

1     **An evolutionary hourglass of herbivore-induced transcriptomic responses in *Nicotiana attenuata***

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12

13 ***Abstract***

14           Herbivore induced defences are robust, evolve rapidly and activated in plants when specific  
15           elicitors, frequently found in the herbivores' oral secretions (OS) are introduced into wounds during  
16           attack. How these complex induced defences evolve remains unclear. Here, we show that herbivore-  
17           induced transcriptomic responses in a wild tobacco, *Nicotiana attenuata*, display an evolutionary  
18           hourglass: the pattern that characterises the transcriptomic evolution of embryogenesis in animals, plants,  
19           and fungi. While relatively young and rapidly evolving genes involved in signal perception and  
20           processing to regulate defence metabolite biosynthesis are recruited both early (1 h) and late (9-21 h) in  
21           the defence elicitation process, a group of highly conserved and older genes involved in transcriptomic  
22           regulation are activated in the middle stage (5 h). The appearance of the evolutionary hourglass  
23           architecture in both developmental and defence elicitation processes may reflect the importance of  
24           robustness and evolvability in the signalling of these important biological processes.

25

## 26 **Introduction**

27 Herbivore-induced defences are widespread in plants and play an important role in maintaining  
28 plant fitness when they are under attack <sup>1</sup>. The molecular mechanisms and ecological functions of  
29 herbivore-induced signalling cascades and defence responses have been examined in several plant  
30 systems <sup>1,2</sup>. After attack, chemical cues (herbivore-associated elicitors: HAE) in insect oral secretions  
31 (OS) elicit a series of signalling cascades and induced defences in plants, which directly or indirectly  
32 deter feeding herbivores and protect plants from further damage <sup>1,3</sup>. For example, in a wild tobacco,  
33 *Nicotiana attenuata*, which is an ecological model plant for studying herbivore-induced defences, the  
34 fatty acid conjugates (FAC) found in the oral secretion (OS<sub>Ms</sub>) of a Solanaceae specialists herbivore,  
35 *Manduca sexta*, elicit rapid phytohormonal changes in leaves, including the rapid accumulation of  
36 jasmonic acid (JA) and its derivatives when OS<sub>Ms</sub> are introduced into wounds as larvae feed on leaves <sup>2,4</sup>.  
37 The amplification of the wound-induced JA burst by OS<sub>Ms</sub> activates the biosynthesis of several potent  
38 herbivore toxins, such as phenolamides, 17-hydroxygeranylinalool diterpene glycosides (HGL-DTG) etc  
39 <sup>5,6</sup>, which function as anti-herbivore defences in both the laboratory and the native environment of *N.*  
40 *attenuata* <sup>6-8</sup>.

41 However, induced defences can also have negative effects on plant fitness due to their  
42 physiological and ecological costs <sup>9-12</sup>. In *N. attenuata*, activating induced defences by increasing  
43 endogenous JA levels reduces plant fitness by 26% when plants are protected from herbivores <sup>9</sup>.  
44 Therefore, the defences in different plant populations and species that grow in heterogeneous  
45 environments are subject to divergent selections, which are determined by the effectiveness of induced  
46 defences against the local herbivore communities and the costs involved in the activating the induced  
47 defences. Indeed, comparative studies on induced defences within and among species have suggested that  
48 herbivore-induced defences in plants are highly specific and evolving rapidly <sup>1,13-19</sup>: one plant can elicit  
49 different induced defences to different herbivores and different plant species show diverse induced  
50 defences to the attack of the same herbivore. Their rapid turnover and common occurrence among plant

51 families further suggests that inducing defences and producing them on-demand is a robust strategy for  
52 balancing these highly context-specific costs and benefits. However, how herbivore-induced defences  
53 evolve, as well as the mechanisms that facilitate their robustness and specificity to diverse and dynamic  
54 biotic stresses remains unknown.

55         Phylotranscriptomic analysis which incorporates the age of the genes into transcriptomic analysis,  
56 has proven to be an exceptionally valuable way of understanding the evolution of the developmental  
57 processes, despite methodological controversies on gene age estimation <sup>20</sup>. Using this approach, recent  
58 studies have shown that transcriptomes of embryogenesis in animals, plants and fungi display an  
59 evolutionary hourglass <sup>21-23</sup>, in which genes involved in the early- and late-embryogenic stages are  
60 relatively younger and evolve faster than genes from mid-embryogenic stages. One of compelling  
61 hypotheses for the establishment and maintenance of such hourglass pattern is their differential  
62 interactions with ecological factors at the different developmental stages <sup>22</sup>. For example in animal  
63 embryogenesis, while early (zygote) and late (juvenile and adults) embryonic stages often interact with  
64 environmental stimuli, the mid-embryonic stages that characterize the phylotypic phase are normally not  
65 in direct contact with environment and thus less likely to be subject to ecological adaptations and  
66 evolutionary changes <sup>22</sup>. The same logic would predict a similar hourglass pattern in herbivore-induced  
67 responses, as only signal perception and the resulting responses are directly in contact to the environment.  
68 To test this inference, we analysed the evolution of herbivore-induced transcriptomic responses in *N.*  
69 *attenuata* using phylotranscriptomic approach. The results show that induced-defence signalling indeed  
70 displays an evolutionary hourglass. We hypothesize that the hourglass, which reflects modulation and  
71 signalling architecture of induced defences may facilitate their evolvability and robustness.

## 72 ***Results and Discussions***

73         HAE are known to elicit different transcriptomic and metabolomic responses at different times  
74 after elicitation in *N. attenuata* <sup>5</sup>, indicating the modulation of HAE-induced defence responses. Such

75 modulations allow us to study the evolutionary patterns of defence signalling with an approach similar to  
76 the one used to study the evolution of embryogenesis in animals<sup>22,24</sup> and plants<sup>23</sup> by combining  
77 transcriptomic induction of genome-wide microarray data with two different evolutionary distance  
78 measurements: evolutionary age and sequence divergence. The evolutionary age of each *N. attenuata*  
79 gene was estimated with a phylostratigraphic map, constructed by identifying the most distant  
80 phylogenetic node that contains at least one species with a detectable homolog<sup>23,24</sup>. In total, 35,096 *N.*  
81 *attenuata* genes were assigned to 13 phylostratigraphic groups (phylostrata; Figure S1), with oldest genes  
82 assigned to phylostratum 1 (PS1, shares homologues with prokaryotes) and the youngest genes assigned  
83 to PS13 (*N. attenuata* specific). To estimate the sequence divergence, we calculated the Ka/Ks ratio, an  
84 indicator of selection pressure at protein coding region, between *N. attenuata* and *N. obtusifolia*, which  
85 diverged ~7 million years ago (MYA)<sup>25</sup>, and between *N. attenuata* and tomato, which diverged ~ 24  
86 MYA<sup>25</sup>.

87 To capture the evolutionary properties of genes induced by HAE, we computed two different  
88 transcriptomic induction indices for each gene: transcriptomic induction age (TIA), which combines gene  
89 induction ( $\log_2$  fold change) and gene age (see Materials and Methods); and transcriptomic induction  
90 divergence (TID), which combines gene induction ( $\log_2$  fold change) and sequence divergence. Here, the  
91 TIA represents the mean evolutionary age of HAE-induced genes (phylostratum) weighted by its  
92 induction level. Similarly, TID represents the mean sequence divergence of HAE-induced genes, where a  
93 gene's sequence divergence (Ka/Ks) is weighted by its induction level (see Materials and Methods).  
94 Together, TIA and TID indices provide complementary and independent measurements of evolutionary  
95 distances<sup>23</sup> (Table S2).

96 TIA and TID were calculated using the microarray data from a HAE-induced 21 h time course  
97 experiment sampled at 4 h intervals from locally treated leaves (TL), systemic leaves (SL) and systemic  
98 roots (RT), from both control and *M. sexta* oral secretion (OS<sub>MS</sub>) induced wild type *N. attenuata* plants  
99 (Figure S2)<sup>26</sup>. The results revealed that HAE-induced transcriptomic responses in *N. attenuata* locally

100 treated leaves display an evolutionary hourglass (Figure 1). At 1 h after elicitation, the induced genes are  
101 relatively young (high TIA) and divergent (high TID). At 5 h, the induced genes are dominated by old and  
102 conserved genes. And at the later time points (9-21 h), the induced genes are relatively young and highly  
103 divergent again. The permutation tests described in Drost et.al.<sup>27</sup> revealed that the TIA and TID values  
104 were significantly different from a flat line ( $P = 2.95e-54$ ) and consistent with an hourglass pattern ( $P =$   
105  $1.63e-28$ ), with 1 h as early, 5 h as middle and 9-21 h as late time points (see Materials and Methods).  
106 Calculating the TIA and TID for up and down-regulated genes separately reveals that up-regulated genes  
107 are primarily responsible for the hourglass (Figure 1). We also found a similar hourglass in the elicitation  
108 of systemic leaves ( $P = 0.025$ ), but not in roots ( $P = 0.66$ ) (Figure S3). The similar up-regulation of the  
109 same genes in systemic and local leaves was responsible for the common hourglass response of these  
110 tissues (Table S1).

111 To test the robustness of the observed hourglass pattern, we compared the TIA index based on  
112 gene ages estimated using three different homologue searching algorithms, BLASTP, PSI-BLAST and  
113 HMMER. We calculated the TID index based on sequence divergences between *N. attenuata* and *N.*  
114 *obtusifolia*, and between *N. attenuata* and *Solanum lycopersicum* (tomato) which diverged ~ 24 MYA<sup>25</sup>.  
115 Our analyses revealed that the observed hourglass pattern was robust to different estimations of gene age  
116 and gene divergence (Figure S4 and Figure S5). To capture an additional OS<sub>M5</sub>-induced early  
117 transcriptomic response, we analysed one additional microarray dataset that measured transcriptomic  
118 responses at 30 min in TL after OS<sub>M5</sub>-induction in WT plants that were transformed with an empty vector,  
119 since these plants show very similar induced responses and overall phenotypes to WT plants. This  
120 analysis revealed that both TIA and TID values were high at 30 min after elicitation and the hourglass  
121 pattern was robustly reconfirmed (Figure S6).

122 We calculated the log odds ratio to identify over-represented phylostratigraphic (PS) groups at  
123 different time points for the significantly up-regulated genes (false discovery rate adjusted  $P < 0.05$ ,  
124 absolute value of  $\log_2$  fold change  $> 1$ ) induced by HAE. Consistently, the analysis showed that old genes

125 (PS < 4) were significantly over-represented at 5 h after elicitation (Figure 2). The larger proportion of  
126 old genes induced at 5 h was largely due to genes recruited from phylostratigraphic group 2. In addition,  
127 genes that were significantly induced by HAE elicitation at 5 h showed lower Ka/Ks ratios between *N.*  
128 *attenuata* and *N. obtusifolia* than genes induced at other time points (Figure S7), consistent with the  
129 inference that genes induced at 5 h are more evolutionarily conserved than those genes induced at other  
130 time points.

131 To further understand the mechanism underlying the observed evolutionary hourglass pattern, we  
132 performed gene ontology (GO) enrichment analysis on the significantly induced genes at each time point  
133 (Supplementary dataset 1). At 1 h, the response was enriched in defence and stress signalling processing  
134 genes, such as responses to biotic stresses, JA signalling pathways, etc. These genes are known to play  
135 key roles in plant-environment interactions and are likely rapidly evolving<sup>28,29</sup>. At 5 h, the genes were  
136 enriched in functions related to RNA translation and modification (Figure 3), a highly conserved and  
137 central part of the cellular machinery of Eukaryota. Although no specific GO terms were enriched in late-  
138 induced genes likely due to their relatively young age and no functional annotations were available,  
139 several mediate the biosynthesis of *Nicotiana* specific defence metabolites, such as 17-  
140 hydroxygeranylinalool diterpene glycosides (HGL-DTG), potent herbivore toxins<sup>5,7</sup>. This evolutionary  
141 hourglass thus reflects the architecture and modulation of an HAE-induced signalling cascade in *N.*  
142 *attenuata* leaves (Figure 4).

143 Is the observed hourglass pattern specific to HAE-induced defence signalling? We computed TIA  
144 and TID values from locally treated leaves of *Arabidopsis thaliana* induced by flg22, a bacterial  
145 associated elicitor<sup>30</sup>. Throughout the 3 h time course of this experiment, both TIA and TID decreased  
146 (Figure S8), consistent with the HAE-induced pattern observed at early time points (30 min -5 h) in *N.*  
147 *attenuata*. As data are not available for later times in the elicitation process, we do not know if flg22  
148 elicits the exact same hourglass pattern as HAE elicitation. However, the patterns found in the available  
149 data for flg22- and HAE-induced TIA and TID patterns are consistent with a general hourglass response

150 pattern in plant biotic stress-induced defence signalling. Interestingly, the TIA and TID showed different  
151 patterns when plants were infected by different living pathogens (Figure S9), likely due to changes in  
152 defence signalling caused by pathogen-plant interactions that follow the early pathogen recognition  
153 responses.

154 A strong inference of this analysis is that the different modules in signalling cascades that  
155 mediate defence responses respond differently to natural selection. While genes involved in signalling  
156 modules that are directly interacting with environmental factors are evolving rapidly, the signalling  
157 modules in the middle stage are relatively conserved. Clearly many more resistance responses elicited by  
158 different biotic and abiotic stimuli need to be examined with similar phylotranscriptomic approaches in  
159 different plant species to test the robustness of the hourglass phenomena. The challenge will be to  
160 develop/find/mine datasets that are sufficiently deep in their temporal analysis to capture the complete  
161 ontogeny of a discrete response and without having the response be confounded by additional cycles of  
162 elicitation and response, as commonly occurs in biotrophic pathogen-plant interactions.

163 Evolutionary hourglasses describe embryogenesis in animals <sup>22,24</sup>, plants <sup>23</sup> and fungi <sup>21</sup>. Here, a  
164 similar transcriptional hourglass is found in an induced defence response. Although the embryogenesis  
165 and induced defences are distinct biological processes, they share the similar feature: the modulation of  
166 signalling networks and differential interactions with environmental factors among modules <sup>22-24,31</sup>.  
167 Systems biologists have predicted that the modulation and hourglass architecture (bow-tie shape) of  
168 signalling networks can facilitate evolvability and robustness of traits <sup>32,33</sup>; both of these features are  
169 required for embryogenesis and induced defence. Therefore it is plausible that the modulation of the  
170 signalling network itself in induced defences and embryogenesis might be a consequence of adaptations  
171 that facilitated their robustness. Synthetic allopolyploid plants may represent an excellent system in which  
172 to test this inference. Allopolyploidy, whether it originated in the laboratory or in nature, occurs when the  
173 genomes of two different species fuse and new signalling systems are produced that emerge from the  
174 recruitment of different modules of the parental species in new combinations <sup>34</sup>. A prediction from this

175 study would be that the variation of induced defences among offspring produced from these interspecies  
176 fusions displays a similar hourglass pattern, in which transcriptomic responses at early and late time  
177 points after elicitation are more variable than the ones in the middle.

178 Both developmental processes and herbivore-induced defence responses can also be seen as  
179 examples of phenotypic plasticity, in that a single genotype can produce multiple phenotypes in response  
180 to signals from the organism's environment. These phenotypically plastic responses can profoundly  
181 influence the process of adaptation, diversification, and more controversially, speciation, as a jack-of-all-  
182 trades genotype may impede the speciation/evolutionary process<sup>35</sup>. Understanding the evolutionary  
183 history of the different signalling modules that are recruited in these responses may help to resolve some  
184 of the controversies, as evolutionarily conserved modules are sandwiched between highly diverging  
185 modules in these hourglass patterns. We predict that phylotranscriptomic analyses of developmental  
186 signal cascades that mediate phenotypic plasticity would be enriched in hourglass patterns.

## 187 ***Materials and Methods***

### 188 **Phylostratigraphic map**

189 To construct the phylostratigraphic map (Figure S1), we used BLASTP from the BLAST  
190 (v2.2.25+) suite to search the curated NCBI taxonomy database<sup>22,36</sup> to assign *N. attenuata* genes to 13  
191 phylostrata. This method is similar to methods used in previous studies<sup>22,36</sup>, with some modifications. In  
192 brief, all protein-coding sequences of *N. attenuata* were compared to the non-redundant (nr) NCBI protein  
193 database (downloaded on April 29<sup>th</sup>, 2014) by searching BLASTP with an E-value cut-off of  $10^{-3}$ . The  
194 BLASTP results were further filtered to exclude synthetic sequences, viruses, and sequences that do not  
195 descend from the 'cellular organisms' phylostratum. Due to the scarcity of protein sequences from the  
196 *Nicotiana* genus in the nr database, all *N. attenuata* genes without a match were further searched against a  
197 locally stored *N. obtusifolia* genome. A gene was allocated to phylostratum (PS) 12 (*Nicotiana* specific) if  
198 a hit to *N. obtusifolia* was detected or to PS 13 (*N. attenuata* specific) if no hit was detected. All genes

199 were assigned to the phylogenetically most ancient PS containing at least one species with at least one  
200 blast hit using a custom python script. This method assumes that genes with shared domains belong to the  
201 same gene family, and therefore subsequent duplications of founder genes are generally assigned to the  
202 same PS as the founder gene, regardless of the time period in which the duplication event occurred <sup>36</sup>.

203         Though this method has been used previously <sup>22,36</sup>, a recent study by Moyers and Zhang has  
204 demonstrated that using the BLASTP algorithm to find homologs can underestimate a gene's  
205 phylostratigraphic age and result in a biased phylostratigraphic map <sup>20</sup>. To test the robustness of the  
206 hourglass pattern, we used two additional homolog search algorithms, PSI-BLAST and PHMMER, which  
207 use sequence profile information to search distant homologs. PSI-BLAST (from BLAST 2.2.25+) was run  
208 with a cutoff value of  $10^{-3}$  for four iterations<sup>37</sup>. HMMER (version: 3.1b1) was run with default parameters  
209 and with an E-value cutoff of  $10^{-3}$ . While the phylostratigraphic map of *N. attenuata* genes based on  
210 BLASTP resembles the distribution reported in *A. thaliana* by Quint et al <sup>23</sup>, the *N. attenuata*  
211 phylostratigraphic map based on PSI-BLAST resulted in a larger number of genes assigned to earlier PS  
212 groups, as predicted by Moyers and Zhang <sup>20</sup>. For example, genes assigned to the PS1 group increased  
213 from 4326 (BLASTP) to 6309 (PSI-BLAST). Such shifts in the gene age distribution towards earlier  
214 phylostrata on the phylostratigraphic map was even more pronounced when the PHMMER algorithm was  
215 used, which resulted in more than a three-fold increase of genes assigned to PS1 group (from 4326 to  
216 16188 in comparison to BLASTP, Figure S1).

## 217 **Ka/Ks ratios**

218         The gKaKs (v1.2.3) <sup>38</sup> was used to calculate the genome-wide substitution rate between *N.*  
219 *attenuata* and *N. obtusifolia* (diverged ~ 7 MYA), and between *N. attenuata* and *S. lycopersicum*  
220 (diverged ~ 24 MYA) <sup>25</sup>. All predicted *N. attenuata* protein coding sequences were used as a query, and  
221 the assembled and repeat masked *N. obtusifolia* and *S. lycopersicum* genomes were used as target  
222 genomes. In this pipeline, if the query gene has more than one best match (exact same score) in the target  
223 genome, then they were removed to reduce the errors resulted from calculating Ka/Ks from non-

224 orthologous gene pairs. The minimum identity was set to 0.8. The  $K_a/K_s$  ratio was calculated using the  
225 codeml method from the PAML package (version 4.7). Genes with a  $K_s$  value less than 0.05 and greater  
226 than 1 were removed from the downstream analysis. The  $K_a/K_s$  ratio is an indicator of selection pressure  
227 on protein coding genes and thus reflects the natural selection that drives the molecular evolution of  
228 analysed genes. Similar to a previous study<sup>23,27</sup>, our analysis showed that the sequence divergence and  
229 gene age only show weak correlations (Figure S10) and thus can provide complementary evidence for  
230 estimating evolutionary distance.

### 231 **Transcriptome induction indices**

232 The transcriptome indices were calculated based on the microarray data<sup>5</sup> of locally treated leaves,  
233 systemic leaves and roots at six time points within 21 h of a simulated herbivore attack (Figure S2). This  
234 data set contains two groups of microarray data for each tissue: an herbivore-induced group (wounding +  
235 oral secretion (OS) from *M. sexta* to simulate herbivore attack), and a control group (no manipulation).  
236 Each group had three biological replicates. The original microarray datasets were obtained from the NCBI  
237 gene expression database (BioProject ID: PRJNA143589) and quantile normalization was applied to all  
238 microarray data before statistical analysis. The statistical differences and fold change of each gene  
239 between control (no manipulation) and the induced group (wounding + oral secretion) for each time point  
240 were calculated using the limma (v2.14) package in R (v.3.0.2). For each data point, two different  
241 transcriptome indices were calculated: the transcriptome induction age index (TIA), which was calculated  
242 based on the expression fold change and gene age; and the transcriptome induction divergence index  
243 (TID), which was calculated based on the expression fold change and sequence divergence ( $K_a/K_s$ ). The  
244 TIA is a weighted mean age of the transcriptome that is induced at each time point. The TID is a weighted  
245 mean evolutionary divergence of the transcriptome that is induced at each time point. The TIA and TID  
246 are analogous to the TAI (transcriptome age index) and TDI (transcriptome divergence index) found in  
247 previous studies<sup>21,23,24,27</sup>. The TIA and TID are defined as follows:

$$TIA_t = \frac{\sum_{i=1}^n PS_i |FC_{it}|}{\sum_{i=1}^n |FC_{it}|} \quad (1)$$

248

249

$$TID_t = \frac{\sum_{i=1}^n \left(\frac{K_{ai}}{K_{si}}\right) |FC_{it}|}{\sum_{i=1}^n |FC_{it}|} \quad (2)$$

250

251 Where  $t$  is a time point,  $n$  is the total number of genes analysed,  $PS_i$  is the assigned PS of gene  $i$ ,  $FC_{it}$  is the  
252  $\log_2$  fold change of gene  $i$  at time point  $t$ , and  $\frac{K_{ai}}{K_{si}}$  is the  $\frac{K_a}{K_s}$  of gene  $i$ . In order to compute these two indices,  
253 all the probes from the microarray were mapped to the predicted protein coding genes using BLASTN,  
254 and probes that could be mapped to more than one gene with 60bp matches and 100% identity were  
255 removed.

256 We calculated TIA and TID indices instead of original TAI and TDI indices for two reasons: 1),  
257 the main goal of our analysis was to analyse the evolution of induced transcriptomic responses, therefore,  
258 the original TAI and TDI that only use gene expression information do not adequately reflect the levels of  
259 induction by HAE; 2), the time course experiment lasted 21 h, and the diurnal and circadian rhythms can  
260 strongly influence gene expression changes. To minimize the effects of diurnal and circadian rhythms, we  
261 calculated induced fold changes based samples collected at same time.

262 Only genes with at least one unique probe on the microarray dataset were considered for TIA and  
263 TID calculations. In total, 17,195 and 12,267 genes were analysed from the microarray data to calculate  
264 the TIA and TID, respectively.

265 For flg22 induced transcriptomic responses in leaves, expression profiles (GSE51720) based on a  
266 sequencing technique from a time course experiment that included *Arabidopsis thaliana* leaves induced  
267 by flg22 within 3 h were used<sup>30</sup>. The  $\log_2$  fold change data generated from Rallapalli, G. et al<sup>30</sup>, gene age  
268 and Ka/Ks information from the development hourglass study by Quint et al<sup>23</sup> were used for calculating

269 TIA and TID, using similar methods to those mentioned above. For bacterial induced transcriptomic  
270 responses, the microarray data from AtGenExpress biotic stress dataset were used (data were originally  
271 downloaded from <http://www.weigelworld.org/> in October 2013). The  $\log_2$  fold changes were calculated  
272 using the R package limma (v2.14). In total, the TIA and TID patterns from induced transcriptome  
273 responses induced by four different *Pseudomonas* strains (*Phaseolicola*, *HrcC*, *DC300*, *avrRpm1*) and  
274 *Phytophthora infestans* were analysed using the approach described above.

275 To test whether the TIA and TID values were significantly different from a flat line and  
276 consistent with an hourglass pattern, the permutation tests described in Drost et. al<sup>27</sup> were used (10000  
277 permutations and 100 runs). Because the TIA and TID values differ among later time points, the distance  
278 values do not fit a normal distribution, which was assumed to be the case in the original method for the  
279 calculation of  $P$  values<sup>27</sup>. Therefore, we used a more conservative approach by calculating  $P$  values based  
280 on only three time points: the time point with the lowest TIA or TID value (for herbivore dataset, 5 h) was  
281 assumed to be the middle stage, the lowest TIA or TID value before and after middle time point was  
282 selected as early and late stages, respectively. The  $P$  values calculated using this approach are therefore  
283 usually higher (more conservative estimation) than they would be if all time points were included in the  
284 later stage sample. The conclusions about the TIA and TID patterns were robust even when using this  
285 more conservative approach, and also when using non-parametric statistical tests on all different time  
286 points.

### 287 **Log odds ratio**

288 We calculated the log-odds ratio of significantly induced genes from each phylostrata. The  
289 significantly induced genes were identified based on whether their expression was significantly induced  
290 by *M. sexta* OS in comparison to control (FDR adjusted  $P$ -value less than 0.05 and an absolute  $\log_2$  fold  
291 change greater than 1) for each time point. Then the log odds ratio was calculated as:

$$292 \quad \text{logodds}_{\text{Pst}} = \log\left(\frac{N(I)_{\text{Pst}}/N(T)_t}{N(\text{PS})/N(T)}\right)$$

293 (3)

294 Where PS is phylostrata group,  $t$  is each time point;  $N(I)_{PS,t}$  is the number genes that were induced at the  
295 time  $t$ ;  $N(T)_t$  is the number of significantly induced genes at time  $t$ ;  $N(PS)$  is total number of genes  
296 belong to the phylostrata group PS;  $N(T)$  is the informative genes from the microarray. For each PS  
297 group, a log-odds ratio greater than 0 indicates that the genes from the tested PS group are over-  
298 represented among the significantly  $OS_{MS}$ -induced genes, whereas a log-odds ratio less than 0 indicates  
299 under-representation. The confidence intervals for the odds ratio calculated by appealing to the  
300 asymptotic normality of the log-odds ratio, which has a limiting variance given by the square root of the  
301 sum of the reciprocals of these four numbers. The log-odds ratios were calculated for both merged and  
302 separated PS groups. For the merged PS groups, we classified all genes into two groups, young ( $PS \geq 4$ )  
303 and old ( $PS < 4$ ). To calculate  $P$  values, a generalized linear model (GLM, with binomial distribution)  
304 was used.

### 305 **GO enrichment analysis**

306 To further understand the mechanism of the herbivore-induced signalling hourglass pattern, GO  
307 enrichment analyses were conducted on the significantly induced genes for each time point. The  
308 Cytoscape app ClueGO<sup>39</sup> was used to determine significant GO groups with an adjusted  $P$  value less than  
309 0.05. The REViGO online visualization tool<sup>40</sup> was used to reduce this list of redundant GO categories  
310 and to produce tree maps proportioned by the statistical significance of each category.

311 The original data and the R scripts used for analysing TIA and TID indices are deposited at  
312 labarchives (<https://goo.gl/DIJ9Zo>).

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319 **References**

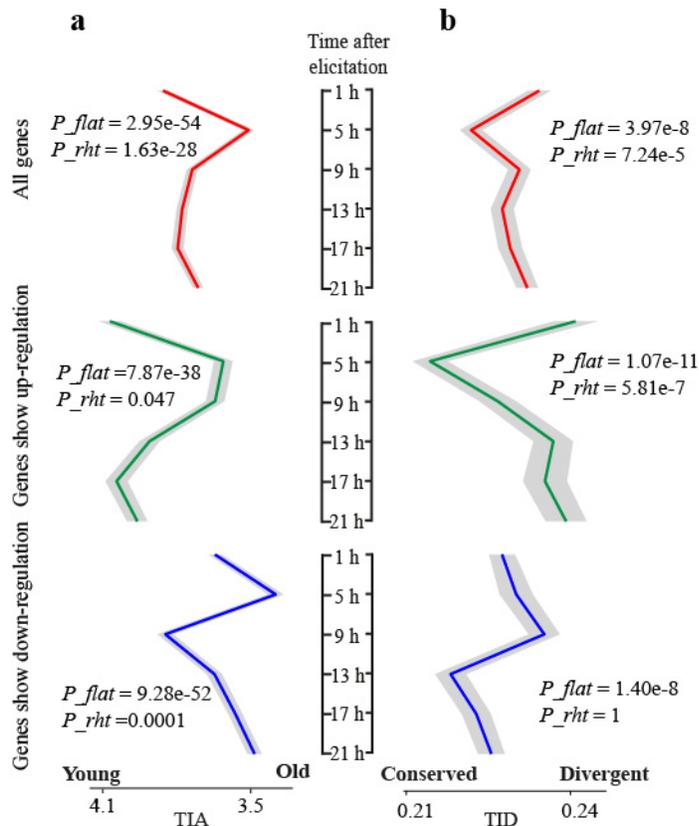
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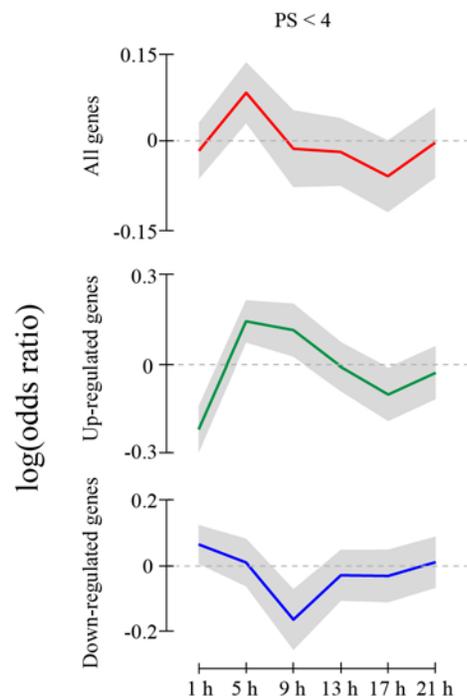
401 **Figures.**



402

403 **Figure 1.** Transcriptome induction age (TIA) and transcriptome induction divergence (TID) in locally  
 404 treated *N. attenuata* leaves after simulated herbivory. a and b. Red, green and blue lines designate mean  
 405 indices calculated on all genes, up- and down-regulated genes, respectively. The grey ribbons refer to  
 406 standard deviations.  $P_{flat}$  and  $P_{rht}$  indicate the  $P$  value from a flat line and reductive hourglass tests,  
 407 respectively.  $P_{flat}$  less than 0.05 indicates the pattern is significantly different from a flat line and  $P_{rht}$  less  
 408 than 0.05 indicates the pattern follows an hourglass (high-low-high) pattern.

409



410

411 **Figure 2.** The log odds ratio reveals that old genes are overrepresented 5 h after induction.

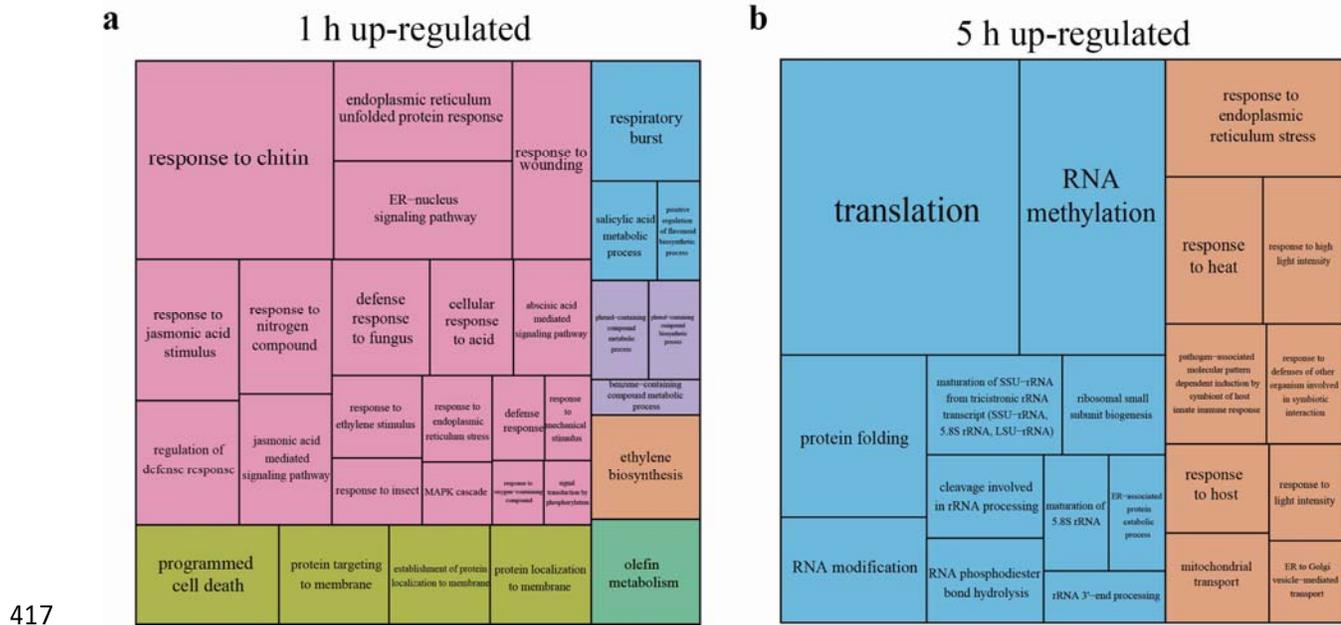
412 Red, green and blue lines refer to mean index calculated based on all differentially expressed genes,

413 significantly up-regulated genes, and significantly down-regulated genes with a phylostratigraphic (PS)

414 group less than 4. X-axis indicates the time after induction; Y-axis indicates the log odds ratio. The grey

415 ribbons refer to 95% confidence interval.

416

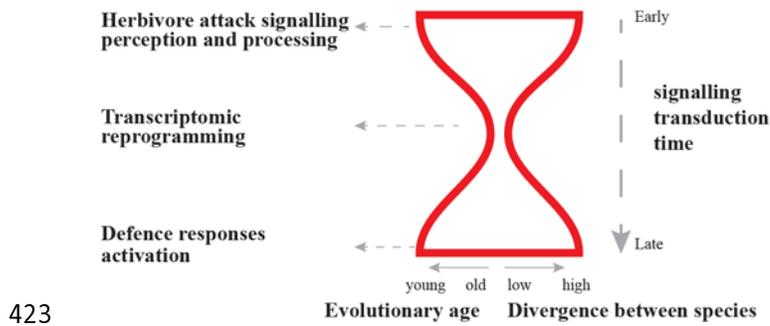


417

418 **Figure 3.** GO terms enriched in genes up-regulated at 1 and 5 h after HAE-induction.

419 While GO terms related to defence signalling are enriched in genes induced at 1 h (left), GO terms related  
 420 to RNA-modifications and regulations were enriched in genes induced at 5 h (right). The relative size of  
 421 each GO category is proportional to their statistical significance.

422



424 **Figure 4.** Evolutionary hourglass of herbivore-induced defence signalling in *N. attenuata*. Herbivore-  
425 induced defence signalling is modulated in three phases. 1) immediately after a plant perceives herbivore  
426 attack, a large group of rapidly evolving and relatively young genes involved in signalling perception and  
427 processing are elicited; 2), the perceived and processed signals result in transcriptomic reprogramming by  
428 activating a group of highly conserved and old genes involved in RNA-regulation machinery; 3) at later  
429 time points, the reprogrammed transcriptomes activate highly specific defence responses by recruiting  
430 relatively young and rapidly evolving genes.