

The role of standing variation in geographic convergent adaptation

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Abstract

2 The extent to which populations experiencing shared selective pressures adapt through a shared genetic
3 response is relevant to many questions in evolutionary biology. In a number of well studied traits and
4 species, it appears that convergent evolution within species is common. In this paper, we explore how
5 standing, genetic variation contributes to convergent genetic responses in a geographically spread population,
6 extending our previous work on the topic. Geographically limited dispersal slows the spread of each selected
7 allele, hence allowing other alleles – newly arisen mutants or present as standing variation – to spread before
8 any one comes to dominate the population. When such alleles meet, their progress is substantially slowed –
9 if the alleles are selectively equivalent, they mix slowly, dividing the species range into a random tessellation,
10 which can be well understood by analogy to a Poisson process model of crystallization. In this framework, we
11 derive the geographic scale over which a typical allele is expected to dominate, the time it takes the species
12 to adapt as a whole, and the proportion of adaptive alleles that arise from standing variation. Finally, we
13 explore how negative pleiotropic effects of alleles before an environment change can bias the subset of alleles
14 that contribute to the species' adaptive response. We apply the results to the many geographically localized
15 G6PD deficiency alleles thought to confer resistance to malaria, where the large mutational target size makes
16 it a likely candidate for adaptation from standing variation, despite the selective cost of G6PD deficiency
17 alleles in the absence of malaria. We find the numbers and geographic spread of these alleles matches
18 our predictions reasonably well, consistent with the view that they arose from a combination of standing
19 variation and new mutations since the advent of malaria. Our results suggest that much of adaptation may
20 be geographically local even when selection pressures are homogeneous. Therefore, we argue that caution
21 must be exercised when arguing that strongly geographically restricted alleles are necessarily the outcome
22 of local adaptation. We close by discussing the implications of these results for ideas of species coherence
and the nature of divergence between species.

24 1 Introduction

25 There are an increasing number of examples where different populations within a species have adapted to
26 similar environments by means of independent genetic changes. In some cases this convergent evolution

is the result of quite distinct genetic changes, involving very different genes and pathways, despite shared
28 selection pressures; in other cases independent adaptations are identical down the same nucleotide change
(Jeong & Rienzo, 2014; Stern, 2013; Martin & Orgogozo, 2013; Conte et al., 2012). Such convergent evolution
30 within populations has been seen for many carefully studied phenotypes across a range of species, including
drug resistance in pathogens, resistance to pathogens or pesticides, and the molecular basis of pigmentation
32 changes. The phrase “parallel evolution” is also used to refer to such convergent evolution; here we use these
synonymously, as we are concerned with adaptation within a single species that can occur via a different or
34 shared genetic routes (see Arendt & Reznick, 2008, for more discussion).

The issue of convergent adaptation within species touches on a number of important questions in evolu-
36 tionary biology. These include the extent to which adaptation is shaped by pleiotropic constraints (Haldane,
1932; Orr, 2005), whether adaptation is mutation-limited (Bradshaw, 1991; Karasov et al., 2010), and to
38 what degree species should be regarded as cohesive units. Convergent evolution also affects our ability to
detect adaptation from population genomic data, since no single allele sweeps to fixation over the entire area
40 affected by the selection pressure (Pennings & Hermisson, 2006b).

Convergent evolution can occur even within a well mixed population subject to a constant selection
42 pressure, either through selection on multiple mutations present as standing variation within the population
before selection pressures switch (Orr & Betancourt, 2001; Hermisson & Pennings, 2005), or due to multiple
44 adaptive alleles that arise after selection pressures switch (Pennings & Hermisson, 2006a). Previous work
has shown that a primary determinant of the probability that multiple alleles contribute to adaptation is
46 the product of the population size and the mutation rate (see Messer & Petrov, 2013, for a review).

Spatial population structure, as caused for example by geographically limited dispersal, also increases
48 the chance of convergent evolution. For example, geographically patchy selection pressures can lead to much
higher probability of parallel adaptation than uniform pressures, since alleles are unable to spread through
50 intervening populations (Ralph & Coop, 2014). In Ralph & Coop (2010) we formulated a simple model of
convergent adaptation in a spatially spread population with local dispersal that is exposed to some novel,
52 spatially homogeneous selection pressure. We assumed that a single mutational change was sufficient to
adapt the population after the change in environment. Under this assumption, selected alleles arise and
54 spread locally, as shown in Figure 1. If the geographic area is large enough multiple selected alleles can arise
independently and spread before any one has spread across all of space. A somewhat analogous situation
56 also arises in spatial models of clonal inference in asexuals (Gordo & Campos, 2006; Martens & Hallatschek,
2011; Otwinowski & Krug, 2014).

Under this model we previously derived the characteristic geographic scale over which multiple instances of
58 the adaptive allele are expected to arise in parallel, a characteristic length expressed in terms the parameters
of interest. In Ralph & Coop (2010) we assumed that there was no standing variation for the adaptive allele
60 (e.g., because the allele was very strongly deleterious before the environmental change), so that parallel
mutation must be due to multiple new mutations occurring after the environmental shift.
62

In this paper, we extend this spatial model to include standing variation present at mutation-selection
64 balance before the selection pressures switch. Below, we show that convergent adaptation within a widespread
species is likely to be common when ranges, population sizes, or mutational targets are large, as has already
66 been seen for a number of traits. On this basis we argue that the genetics of adaptation may often be
geographically local even when selection pressures are geographically broad, and that widespread selective
68 sweeps should tend to occur only when adaptation is highly constrained (e.g. by small mutation rate or
the need for a linked combination of alleles). We discuss the history, and implications, of this view for the
70 evolutionary coherence of species and molecular evolution.

1.1 Model description

72 We assume that the species range is a large, homogeneous, one- or two-dimensional region. There are
two selective classes – the *ancestral* type, and the *mutated* type (which will offer a fitness benefit after
74 the environmental switch). We assume that separately arising mutations are distinguishable – either as
selectively equivalent mutations, or by linked neutral variation. We assume that all alleles of the mutated
76 type are selectively equivalent (both in terms how deleterious they were before, and how advantageous they

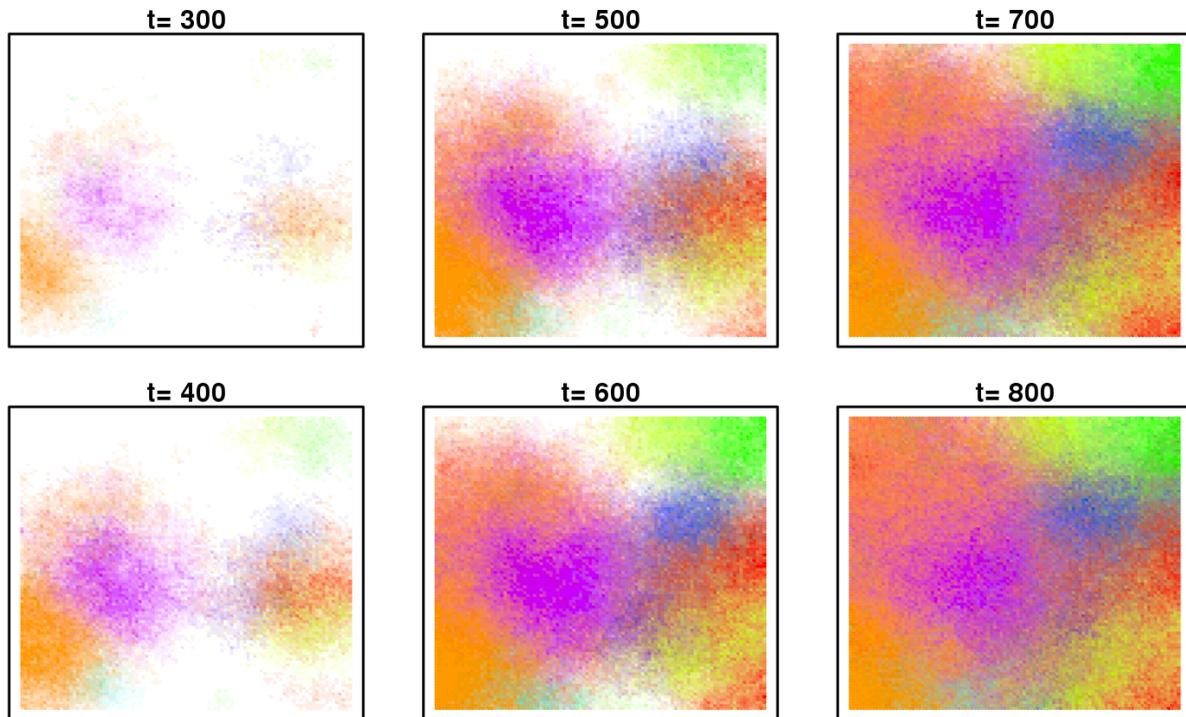


Figure 1: **Simulation of the geographic spread of new mutations.** Simulation on a grid of 101×101 populations with 100 haploid individuals in each, showing spread of a beneficial allele with advantage $s = 0.1$. Times are in numbers of generations, with probability of mutation per generation 0.3. Different colours show the different independent origins and spreads of selected alleles. Alleles quickly establish and start to spread, but because they are selectively equivalent once they spread into each other they only mix slowly (note the lack of change from generation 600 onward). More details of the simulation are given in Ralph & Coop (2010).

are after, the environmental shift). Note that these mutations do not have to arise at the same locus, just
78 that they are selectively equivalent: these could be mutations arising at the same base pair, or knockout
80 mutations of any one of a number of genes in a pathway, as long as carrying at least one of these alleles is
sufficient to adapt an individual to the new environment.

We also suppose that the mutated type has been at a selective disadvantage for a sufficiently long enough
82 time in the past to be at selection-mutation equilibrium, but at a certain time the selective regime changes,
so that the mutated type has a selective advantage and quickly spreads to fixation. After fixation, alleles are
84 either descended from families of mutants present as standing variation when the selective regime changed,
or from new mutants arising since that time.

For concreteness, suppose that before time $t = 0$, the mutant type has fitness $1 - s_d$ relative to the neutral
86 type (i.e. it produces on average $1 - s_d$ times the number of offspring per generation), and that after time
88 $t = 0$, the mutant type has fitness $1 + s_b$, where $s_b > 0$ will usually be assumed to be small, and $0 < s_d < 1$.
We assume that diploid fitness is additive, or at least that the important early dynamics are determined
90 by the heterozygous fitness, with no reference to the fitness of the homozygote for the mutant alleles. Note
that this means that we are ignoring the case of totally recessive alleles. We assume that the variance in
92 offspring number is one, see Ralph & Coop (2010) for how this assumption can be relaxed. As for the other
parameters, suppose that each offspring of a neutral parent is of the mutant type with probability μ , and

94 that the mean squared geographic distance between parent and child is σ^2 . We assume that the dispersal
95 kernel is not heavy tailed, i.e., falling into the Gaussian domain of attraction, see Ralph & Coop (2010) for
96 discussion of heavy tailed kernels and Hallatschek & Fisher (2014) for recent progress on rapid spreading
97 due to very long distance dispersal. The species occupies an area with mean density ρ of alleles per unit
98 area (twice the number of diploid individuals per unit area).

Rates of origination of standing and new mutations We make use of the commonly used approxima-
100 tion that neglects competition between close relatives, treating the offspring of a new mutant that appears
101 in an area not already occupied by the mutated type as a branching process in continuous time, measured
102 in generations (average parental age at birth). After $t = 0$, the offspring of each individual mutant thus
103 forms (approximately) a branching process with growth rate s_b , so each new mutant establishes locally with
104 probability $p_s \approx 2s_b$. As in Ralph & Coop (2010), each new offspring has a very small probability of being
105 a mutant and establishing locally, so the collections of times and locations at which mutants appear and
106 establish locally is well-approximated by a Poisson process in space and time. By this we mean that there
107 is a constant rate across space and time at which new mutations arise, and the occurrence of new mutations
108 in any region of space-time is independent of that in non-overlapping intervals in which the mutated type
109 has not already appeared. The rate of this Poisson process, i.e. the mean number of new mutants per unit
110 area and per generation that appear and establish locally after $t = 0$ in areas not already occupied by the
111 mutant type, is the product of the haploid population density, denoted ρ , the mutation rate (μ), and the
112 probability of fixation p_s , or approximately

$$\lambda = 2s_b\mu\rho \quad (1)$$

113 In geographic areas already occupied by the mutant type, new mutations are effectively neutral, and so
114 unlikely to establish over the short-time scales considered here, and are hence excluded.

Before $t = 0$, on the other hand, the allele is deleterious. The genetic descendants of each new mutation
are (with high probability) doomed to extinction, but may persist for a short time (note that we have
assumed that s_d is not too small). The times and locations of new mutations before $t = 0$ also will be well-
approximated by a Poisson process with rate $\mu\rho$ since mutations are rare events. Therefore, the locations
of all mutant families extant at $t = 0$ whose descendants are destined to fix locally is a thinning of the
original process, and so, by the Poisson Mapping Theorem, is also a Poisson process. We define λ_0 to
be the mean density of this process, i.e., the geographic density of standing variants that are present and
escape loss when the environment shifts. If we assume that the descendants of at most only a few members
of any extant mutant family at $t = 0$ will survive, and that these progenitors are near to each other in
space, we can then treat each such family as equivalent to a single new mutation, but with somewhat larger
probability of local establishment, following the environmental shift. (This approximation will be good if
the logarithm of the size of each extant family is small relative to the establishment time, since then new
families quickly “catch up” to the size of already-extant families, and the spatial distribution of each is small
relative to the spread between the families.) To find λ_0 , consider a mutation that arose T generations ago,
and let Z_T be the number of its descendants at time 0. At time $t = 0$, when the environment shifts, there
are Z_T individuals present with the mutation, and each has probability p_s of establishing, approximately
independently. Therefore, the probability that at least one descendant of this mutation establish and fix
locally is $1 - (1 - p_s)^{Z_T}$, the mean number of clusters of standing variants destined to fix locally, per unit
area, is

$$\mu\rho \int_0^\infty \mathbb{E}[1 - (1 - p_s)^{Z_T}] dT.$$

For s_b small, using $p_s \approx 2s_b$ and that $\mathbb{E}[Z_T] = (1 - s_d)^T$, we know that $\mathbb{E}[(1 - p_s)^{Z_T}] \approx 1 - 2s_b\mathbb{E}[Z_T] =$

$1 - 2s_b(1 - s_d)^T$, resulting in the approximation

$$\lambda_0 = \mu\rho \int_0^\infty 2s_b(1 - s_d)^T dT \quad (2)$$

$$= \frac{2\mu\rho s_b}{-\log(1 - s_d)}. \quad (3)$$

$$= \frac{\lambda}{(-\log(1 - s_d))}. \quad (4)$$

Note that for small s_d this can also be found by taking the expected frequency under mutation-selection balance μ/s_d (Haldane, 1927, 1937) multiplying it by the population density to obtain the expected number of chromosomes per unit area carrying the deleterious allele, with each of these having a probability $2s_b$ of escaping loss (an analogous approach to that taken by Orr & Betancourt, 2001).

Geographic spread of alleles Once an allele has become locally established it can begin to spread across space. We assume that the allele, once established, quickly settles down to spread spatially as a traveling wave of constant speed. The behavior of this wave of advance of a beneficial allele was first described by Fisher (1937) and Kolmogorov, Petrovskii & Piscunov (1937). Under reasonably general conditions, the speed of advance of this wave is $v = \sigma\sqrt{2s}$. See Ralph & Coop (2010) for a more thorough review of these travelling waves. Note that the speed of the wave will vary with details of the space that individuals migrate across (e.g. see Slatkin, 1976; Slatkin & Charlesworth, 1978, for comparisons to migration on discrete grids).

Putting it together. Now, we can put these ingredients together for a simple model of the geographic spread of alleles, a cartoon example of which is shown in Figure 2. Initially, when the selection pressures change at $t = 0$, a set of standing variants can start to spread having escaped loss through drift. The originating mutations of these variants are depicted by lightning bolts, and occur at a density λ_0 across space. They spread at velocity v , carving out cones in space-time. As these alleles proceed in their geographic spread, other new alleles can arise and become established in parallel, whose origins are indicated by stars. These new mutations arise and become established at rate λ .

As we outlined in Ralph & Coop (2010), this model of geographic convergent evolution, when $\lambda_0 = 0$, is analogous to a model of crystallization due to Kolmogorov (1937). In this model, nucleation sites form at random at a constant rate in time and space and initiate the radial growth of new crystals. After their initial spread, the different orientations of crystals form a random tessellation of space, whose properties have been studied by Møller (1992, 1995) and others (Bollobás & Riordan, 2008; Gilbert, 1962). The generalized version of this process, for non-constant wave speeds and inhomogeneous Poisson processes is known as the Kolmogorov–Johnson–Mehl–Avrami tessellation (Fanfoni & Tomellini, 1998). Our combined process with both standing variation and new mutation is a special case of the KJMA tessellation, where the spatial-temporally homogeneous Poisson origination process of new mutations, is supplemented by a single pulse of origination points at time zero with spatial density λ_0 . (For the purposes of analogy, we could imagine that before time $t = 0$ the temperature is high enough that nucleation sites appear but do not persist long.)

If we ignore the effects of new mutation, then everything about the process is relatively simple: each point in space will be first reached by the wave whose origination point lies closest to it. This random tessellation of space is known as a Poisson-Voronoi tessellation (Møller, 1994) (i.e. the cells formed by assigning regions of space to the nearest point in a Poisson process). The properties of this tessellation by alleles is determined by the spatial locations of the initiation points, which are sampled from a spatially homogeneous Poisson process, independent of the rate of spatial spread. Introducing new mutations cause some qualitative changes beyond dependence on new parameters: the cells formed by a Voronoi tessellation have straight sides, but the introduction of new mutations cause these to curve (because the radii of the colliding circles differ; see Figure 1 of Ralph & Coop, 2010, for a graphical depiction of this point).

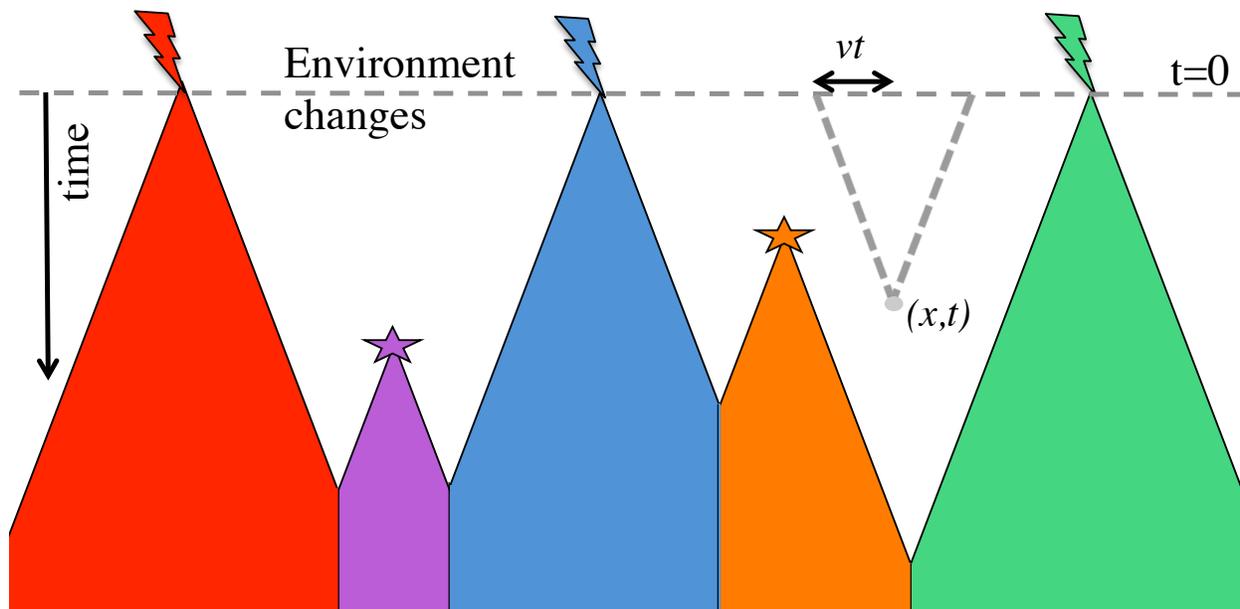


Figure 2: **Cartoon space-time diagram of the geographic spread of standing and new mutations.** Time runs down the page, and a single spatial dimension runs across the page. The environment switches at $t = 0$, following which adaptive alleles that successfully escape loss by drift spread locally from both standing variants (lightning bolts) and new mutations (stars). (Note that the standing variants arose at times prior to $t = 0$.) The mean spatial density of successful standing variants at $t = 0$ is λ_0 , and the mean density in (space \times time) of successful new mutations is λ . These successful alleles spread spatially outwards at speed v , so the space-time profile of their spread forms a cone. Any mutation that arises in an area already within a cone will not have a selective advantage. When these adaptive alleles meet, their rapid advance is halted because they no longer have an advantage, so their boundaries form straight lines on short time-scales (over longer time-scales, they will mix into each other). One of the ways we derive various results in the text is by taking a space-time point (x, t) and asking the probability that no successful alleles have spread there yet. The grey dashed line shows a cone radiating backward in space-time, originating at (x, t) , with slope v ; its radius at $t = 0$ is vt . This shows the location x is not yet adapted at time t because no successful alleles appear within this grey cone.

1.2 G6PD example

154 Below we describe a number of properties of our process, but we will first introduce a motivating example
155 to provide some concrete numbers to use for illustrative purposes.

156 Over roughly the past ten thousand years, alleles conferring resistance to malaria have arisen in a number
157 of genes and spread through human populations in areas where malaria has been endemic (Kwiatkowski,
158 2005). A number of these alleles appear to be examples of convergent adaptation, as different derived muta-
159 tions in the same gene are seen in different individuals. For example, a number of changes that confer malaria
160 resistance have been observed in the β -globin gene; and the sickle cell allele may plausibly have arisen by
161 up to five independent occurrences of the same base pair mutation at different locations within Africa (Flint
162 et al., 1998; Ralph & Coop, 2010). Another particularly impressive case of convergent evolution is presented
163 by the numerous changes throughout the X-linked G6PD gene, with upward of 50 polymorphic variants
164 (above 1% local frequency) having so far been described that lower the activity of the enzyme (Howes et al.,
165 2013; Minucci et al., 2012). These alleles are now found at a combined frequency of around 8% frequency
166 in malaria endemic areas, rarely exceeding 20% (Howes et al., 2012). Whether these all confer resistance to

168 malaria is unknown, but malaria is thought to be the primary driver of these polymorphisms (see Hedrick,
170 2011, for a general review). Three G6PD deficiency alleles are particularly common and relatively well
172 studied: the *A-* allele found in much of sub-Saharan Africa; the Med allele found in the Mediterranean and
174 Middle East; and the Mahidol allele found Myanmar and Thailand. The protective effects of these G6PD
176 alleles are complicated, and are likely heterogeneous across study populations and the form of malaria con-
178 sidered (see Manjurano et al., 2015; Malaria Genomic Epidemiology Network, 2014, for recent discussion).
180 The *A-* and Mahidol alleles are thought to offer some protective effects against *Plasmodium falciparum* and
(1995) on the basis of the present day levels of resistance to malaria due to the *A-* allele.

182
184 Given such strong selection the alleles should have risen quickly to fixation, so their presence at intermedi-
186 ate frequency, over a broad geographic area, makes it a good candidate for a recently balanced polymorphism
188 due to heterozygote advantage (note that the conditions for a balanced polymorphism are complicated by
190 the hemizygoty of males, see Hedrick, 2011; Pamillo, 1979). Indeed, hemizygous males and homozygous
192 females suffer from G6PD deficiency, and homozygote females may also not be protected against malaria
(Manjurano et al., 2015; Malaria Genomic Epidemiology Network, 2014). The theory we use regarding the
194 “wave of advance” (Fisher, 1937) applies as well in the case of heterozygote advantage (Aronson & Wein-
196 berger, 1975), with the selected allele spreading locally to the equilibrium frequency (rather than fixation).
Therefore, our framework is applicable to the spread of G6PD, with speed determined by the advantage of
heterozygotes when rare. We assume that before malaria became prevalent, G6PD deficiency alleles suffered
a decrease in relative fitness of s_d in heterozygote and homozygotes females and hemizygote males. Assuming
that the underlying causes and strength of this drop in fitness have not changed, we estimate that s_d has to
have been upward of ~ 0.05 (if $s_b \geq 0.05$), in order to have resulted in the equilibrium frequency seen today
in areas with endemic malaria (based on heterozygote advantage calculations for the X chromosome, results
not shown, see also Ruwende et al., 1995).

198 The geographic area of Central and Eastern Asia with malaria is on the order of ten million square
200 kilometers. In that area there are at least 15 common, clinically relevant variants (see Figure 3, from Howes
202 et al., 2013). (These are type 2 variants that express at $< 50\%$ enzyme activity, predispose individuals
to haemolytic anaemia, and are found in at least 10 localities; see Howes et al. (2013) for more details.)
204 Therefore, the average width of an area occupied by an allele is $\sqrt{10^7/15} \approx 800\text{km}$. The coding region
of G6PD is 515 codons long, and around 140 distinct deficiency alleles have been observed. Assuming
206 a mutation rate of $\approx 10^{-8}$ per base pair per generation, we can take as an order-of-magnitude estimate
 $\mu \approx 10^{-6}$ per generation. The dispersal and demographic parameters of humans in the past few thousand
years is unclear, particularly as we are concerned with the “effective” population density (i.e. population
density divided by variance in offspring number). We therefore will use two reasonable values for the effective
208 population density: $\rho = 2$ and 0.2 people per km^2 , and three values for the dispersal distance: $\sigma = 10, 50$
and 100 kilometers per generation. Clearly, human migration has been shaped both by local dispersal and
210 larger-scale expansions (see Pickrell & Reich, 2014, for a recent discussion), so these parameters only provide
a rough view of the process.

212 1.3 The geographic resolution of adaptation from new and standing variation

214 In Ralph & Coop (2010), studying the model without standing variation, we defined a *characteristic length*
216 which gave the spatial scale across which mutants with distinct origins would establish. This was proportional
to the mean distance between neighboring established mutants, but had the advantage of being easier to
calculate. Furthermore, the time scale over which adaptation occurred could be found by dividing the

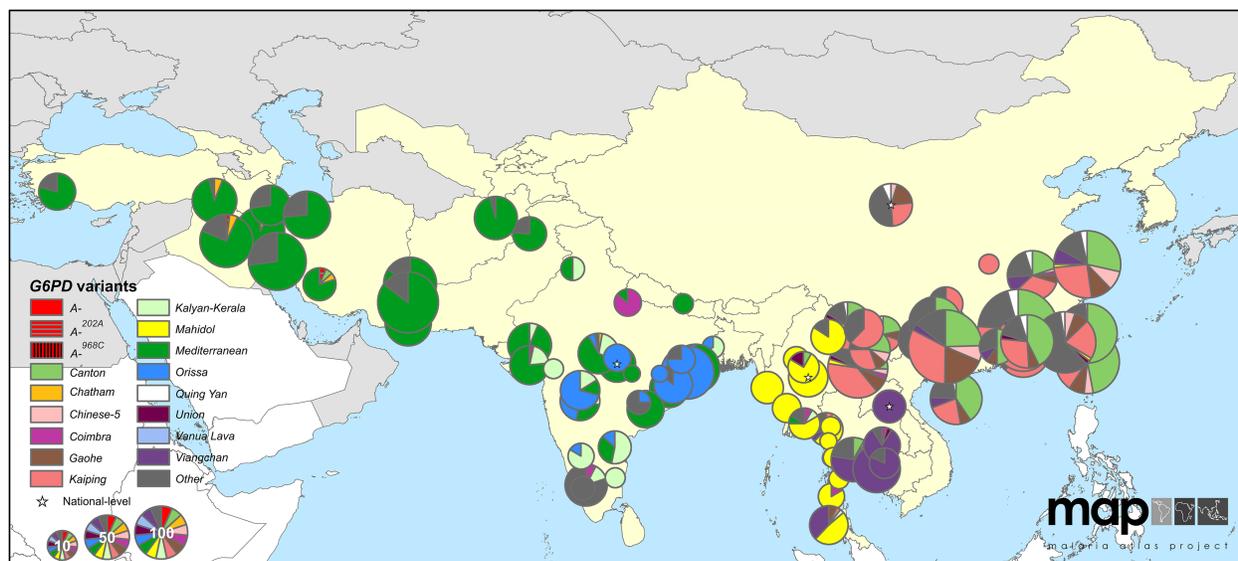


Figure 3: **Map of G6PD-deficiency allele frequencies across Asia.** The pie chart shows the frequency of G6PD-deficiency alleles. The size of the pie chart indicates the number of G6PD-deficient individuals sampled. Countries with endemic malaria are colored yellow. Figure taken from Howes et al. (2013) <http://www.malariajournal.com/content/12/1/418>.

characteristic length by the speed at which the mutants spread. We first define a similar characteristic length for this new model.

Suppose we fix our attention on a particular new mutation that happens to be the first to occur in some region. If it does not encounter other locally fixed, beneficial mutants, it will cover a distance L in time L/v . In doing so (in two-dimensions) it will have started from a point in space and spread out to cover a circular geographic region of area πL^2 . The cylinder in space-time with this circle as a base and height L/v has volume of this cylinder is $\pi L^2 \times (L/v)$. Therefore, the number of other successfully established mutations that would have appeared in the circle it has covered up until this time is Poisson with mean $\lambda_0 \pi L^2 + \lambda \pi L^3/v$ in two dimensions (and $2\lambda_0 L + 2\lambda L^2/v$ in one dimension). Therefore, if we define χ for a two-dimensional model to be the unique positive solution to

$$\lambda_0 \pi \chi^2 + \lambda \pi \chi^3 / v = 1, \quad (5)$$

then χ gives the distance spread unobstructed by the descendants of a new mutant before it is expected that one other successful mutation would have arisen in the area covered so far. The explicit formula for χ in two dimensions can be found by rearranging eqn. (5) but is cumbersome; here we omit it. In one dimension things are a little prettier and the characteristic length is $\chi = (\sqrt{\lambda_0^2 + 2\lambda/v} - \lambda_0)/(2\lambda/v)$. Substituting expressions for λ_0 , λ , and v from above, in two-dimensions we can rewrite eqn. (5) as

$$\frac{\sqrt{2s_b}}{-\log(1-s_d)} \chi^2 + \frac{1}{\sigma} \chi^3 = \frac{1}{\sqrt{2s_b} \rho \mu \pi} \quad (6)$$

From this we see that χ decreases with ρ and μ . Furthermore, for large σ , the characteristic length approaches the value we would obtain just from standing variation:

$$\chi = \sqrt{-\log(1-s_d)/2s_b \rho \mu \pi} + O(1/\sigma). \quad (7)$$

On the other hand, if the mutant allele is highly deleterious before $t = 0$, then standing variation is unim-

portant the characteristic length is approaches the value from Ralph & Coop (2010):

$$\chi = (\sigma/\rho\mu\pi\sqrt{2s_b})^{1/3} + O(1/\log(1 - s_d)). \quad (8)$$

236 These two end points help build our intuition for the interaction of parameters in shaping the geographic
 238 scale of convergent evolution. By the above calculation, we know that the relevant mutations occur about
 distance χ apart, and occur within the first χ/v generations. Said another way, if we look in a circular region
 of space of radius χ over χ/v generations, we expect to find roughly one mutational origin.

240 In Figures 4 and 5, we show the range of characteristic lengths as a function of various parameters
 chosen to match the evolution of malaria resistance at G6PD. These curves match our intuition that higher
 242 population densities result in smaller characteristic lengths (as would higher mutation rates). Allowing
 standing variation increases the input of new alleles and so decreases the characteristic length below that
 244 predicted by only new mutations (i.e. equation (8), Ralph & Coop (2010)). In turn, increasing the prior
 deleterious effect of the allele (s_d) acts to increase the characteristic length until it reaches that predicted
 246 by new mutation alone. Higher dispersal distances lead to larger characteristic lengths, since more rapid
 geographic spread block other mutations from establishing. A larger selective advantage (s_b) acts in two
 248 conflicting ways: aiding the rapid geographic spread of established alleles, and also helping more independent
 copies to escape drift and become established. The effect of helping locally establish alleles wins out, since
 250 increasing the selective benefit s_b decreases the characteristic length. (This can be shown in general by
 differentiating (6) with respect to $z = \sqrt{s_b}$, showing that $\partial_z \chi = -(C_1 z \chi + C_2 \chi^2)/(C_3 z^2 + C_4 z \chi) \leq 0$ for
 252 appropriate nonnegative constants C_{1-4} .) This effect is strongest when only standing variation contributes
 ($s_b^{-1/2}$, equation (7)), as in that case the speed of spread does not matter only the initial density of established
 254 alleles after the environmental shift. The dependence of the characteristic length on s_b when only new
 mutations contribute is much weaker (of order $s_b^{-1/6}$, equation (8)) Overall, the range of characteristic
 256 lengths observed are reasonably consistent with the average diameter of a G6PD variant in Eurasia of
 800km, especially for the lower population density, as long as the fitness cost of G6PD-deficiency alleles
 258 before malaria (s_d) was high.

Finally, while the form of eqn. (6), specifying the characteristic length, is not particularly intuitive we
 260 can use it to ask when we should expect multiple adaptative alleles within a large geographic region where
 our selective pressure is present (thanks to Sam Yeaman for proposing this interpretation). Consider the
 262 case where this geographic area is a fairly regular shape of area G and diameter \sqrt{G} . Denote the total
 effective population size over this area by $N = \rho G$, and the standard deviation of dispersal as a fraction of
 264 the diameter of this area by $\sigma_G = \sigma/\sqrt{G}$. Measuring distance in units of the diameter of \sqrt{G} , we expect
 multiple mutations when $\chi < 1$ a condition which will be met when

$$N > \frac{\sigma_G}{\mu\pi\sqrt{2s_b}(1 - \sigma_G\sqrt{2s_b}/\log(1 - s_d))}, \quad (9)$$

266 this is found by rearranging eqn. (6) having set $\chi = 1$. This nicely shows that σ_G/μ is a primary determinant
 of the critical population density necessary for convergent evolution, when σ_G is small. As $\sigma_G s_b/s_d$ becomes
 268 large, e.g. because the allele is not too deleterious before the environmental shift, we get our standing
 variation only case where the dependence of σ_G drops out leaving the critical population size as $1/(2\mu\pi s_b/s_d)$

270 Note that the conflicting roles of ρ and σ mean that even in species where levels of neutral differentiation
 are low, geographic convergent adaptation may be common. This is because low levels of neutral genetic
 272 differentiation between geographic regions can be due to high population densities rather than high dispersal
 distances, and high population densities would allow convergent adaptation. As such geographic convergent
 274 evolution may be common even in species with little neutral population structure.

1.4 Time to adaptation

276 It is also straightforward to compute the mean time until adaptation. Imagine a geographic location, and
 let $\tau \geq 0$ be the time at which this location is first reached by some advantageous mutation. Then, as can
 278 be seen from the perspective of the grey dot in Figure 2, $\tau > t$ if and only if the cone with point at (x, t) and

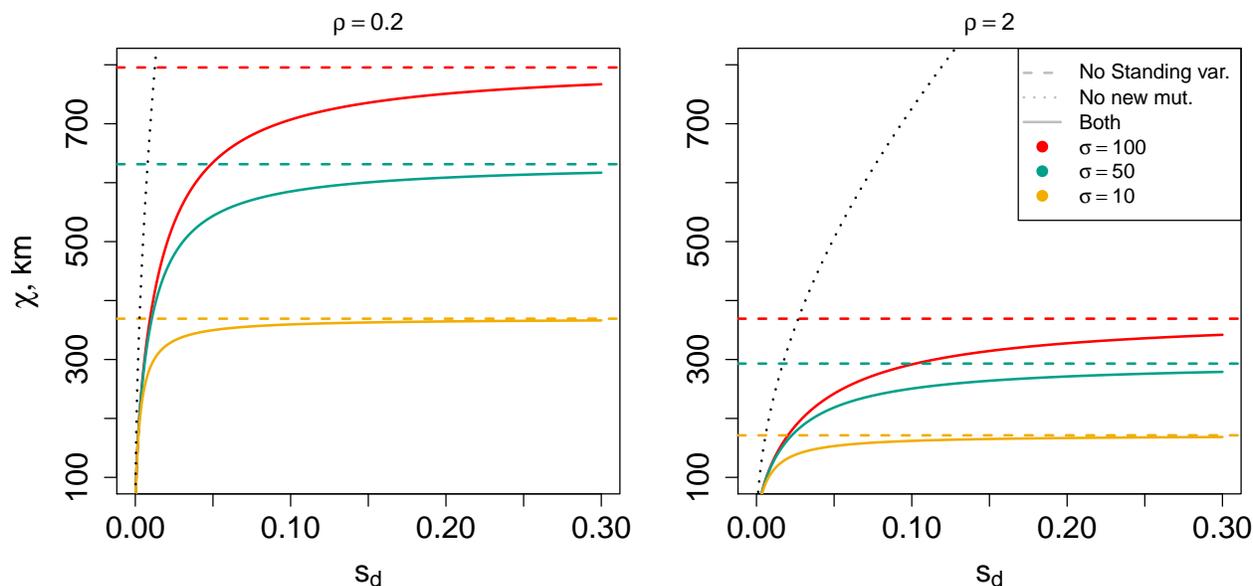


Figure 4: **Characteristic length, in kilometers, as a function of selective disadvantage**, compared to the corresponding quantity without standing variation (equation (8)) and to the quantity only considering standing variants (equation (7)). The other parameters are chosen to match those of G6PD, $\mu = 10^{-6}$ and $s_b = 0.05$. As the characteristic length with only standing variation is independent of dispersal distance it is plotted as a single black dotted line.

slope v extending back to $t = 0$ (light grey dashed lines) is empty of successful mutations. Since we assume that successful alleles arise as a Poisson process, in two dimensions

$$\mathbb{P}\{\tau > t\} = \exp(-\lambda_0 \pi v^2 t^2 - \lambda \pi v^2 t^3 / 3), \quad (10)$$

i.e., the combined probability that area of a circle of radius vt surrounding our point at $t = 0$ was free of successful standing variants, and no successful, new mutations arose in the cone (that has radius $r = vt$ at $t = 0$ and height $h = t$, and hence volume $\pi r^2 h / 3$). Since $\mathbb{E}[\tau] = \int_0^\infty \mathbb{P}\{\tau > t\} dt$,

$$\mathbb{E}[\tau] = \int_0^\infty \exp(-\lambda_0 \pi v^2 t^2 - \lambda \pi v^2 t^3 / 3) dt. \quad (11)$$

For applications we evaluate this integral numerically.

In Figure 6 we show the mean time until adaptation for various values of the parameters chosen to match the case of adaptation at G6PD. Increasing σ and decreasing s_d lower the time to adaptation, as alleles spread geographically more quickly and are present as standing variation more often respectively. Increasing s_b strongly decreases the time to adaptation, as it both causes more alleles to escape drift and to rapidly spread. Given that the G6PD alleles likely spread over a few thousand years, i.e. less than a few hundred generations, this time scale seems quite plausible, except perhaps for the lowest dispersal distances.

1.5 The contribution of standing variation.

We can also ask in our framework to address what proportion of new adaptive variants arise from standing variation. We have defined λ_0 to be the mean density of standing variants that are present and escape loss when the environment shifts. We will define γ to be the mean density of newly arising alleles that spread having arisen in an area free of other adaptive alleles. Since the probability that a mutant arising at location

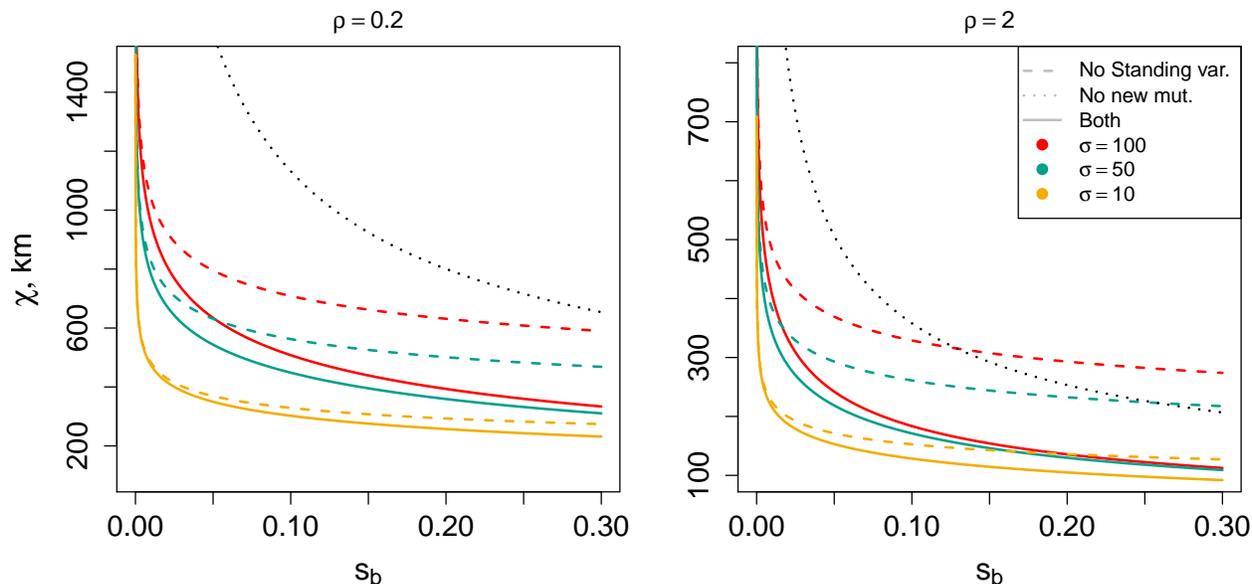


Figure 5: **Characteristic length**, in kilometers, as a function of selective advantage, at two population densities, holding the prior disadvantage of the allele fixed at $s_d = 0.05$ and the mutation rate fixed at $\mu = 10^{-6}$. We compare this to the corresponding quantity without standing variation (equation (8)) and to the quantity only considering standing variants (equation (7)).

294 x and time t is lucky enough to be born in a location not already occupied by mutants is $\mathbb{P}\{\tau > t\}$, we can see $\gamma = \int_0^\infty \lambda \mathbb{P}\{\tau > t\} dt$, and hence $\gamma = \lambda \mathbb{E}[\tau]$. Therefore, the mean proportion of adapted patches that come from standing variation is

$$\lambda_0 / (\lambda_0 + \gamma) = \frac{1}{1 - \log(1/(1 - s_d)) \mathbb{E}[\tau]}, \quad (12)$$

296 using the fact that $\lambda_0 = \lambda / \log(1/(1 - s_d))$. There are $\lambda_0 + \gamma$ patches per unit area, so the typical patch (informally, the patch around a randomly chosen successful mutation; formally, drawn from the Palm measure 298 (Cox & Isham, 1980)) occupies area $1/(\lambda_0 + \gamma)$.

We can also find the mean proportion of space covered by standing variants (we will restrict ourselves to 300 two dimensions). At time t a geographic location has not yet been reached by the mutation with probability given by equation (10). Given that it has not been reached by t , the probability that it will be reached by 302 time $t + dt$ by a standing variant is approximately $2\lambda_0 \pi v^2 t dt$, which is λ_0 multiplied by the thin slice of extra area in our expanded circle at $t = 0$, which has gone from a radius vt to $v(t + dt)$. The corresponding 304 probability that the point is reached by a new variant is $\lambda \pi v^2 t^2 dt$, which is λ multiplied by the sliver of extra volume in our space-time cone at time $t + dt$ compared to that at time t . Therefore, the mean proportion of 306 space covered by standing variants, is

$$z_0 = \int_0^\infty 2\lambda_0 \pi v^2 t \exp(-\lambda_0 \pi v^2 t^2 - \lambda \pi v^2 t^3 / 3) dt, \quad (13)$$

308 this is the probability a given location is reached first by a standing variant (which follows from competing our two exponential waiting times). For applications we evaluate this integral numerically.

310 Furthermore, if we define a_0 to be the mean area occupied by a typical standing variant, then a_0 is given by the proportion of the range occupied by standing variants divided by the mean density of unique standing

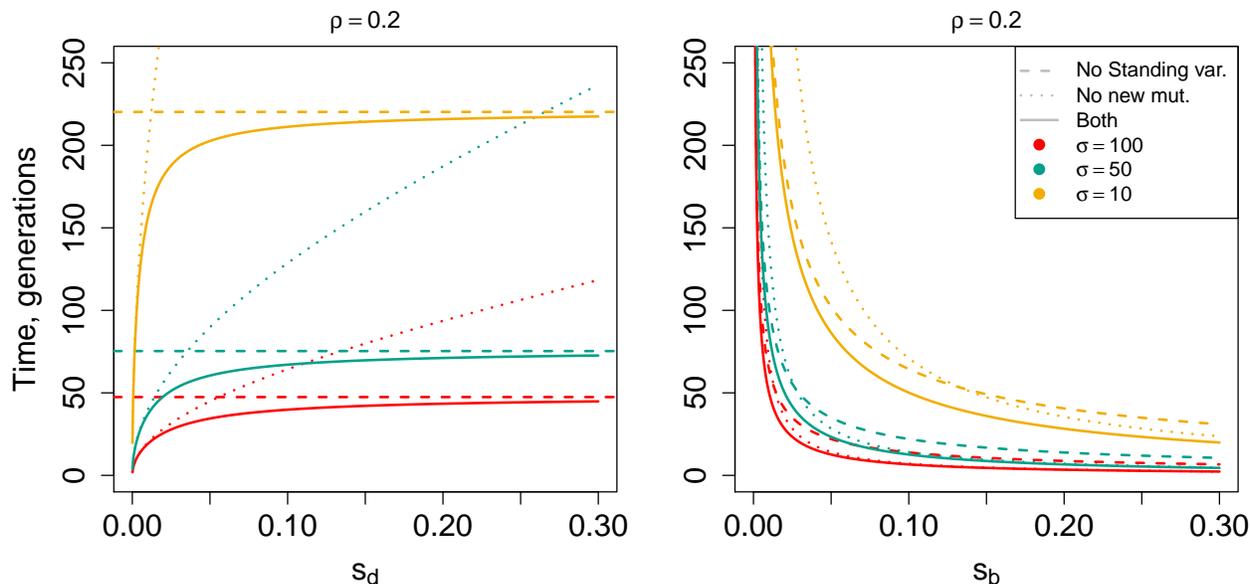


Figure 6: **Mean adaptation times** as a function of selective advantage and disadvantage. We compare these to the corresponding quantities without standing variation and only considering standing variants.

variants, i.e. $a_0 = z_0/\lambda_0$. We can solve for a_+ , the corresponding mean area occupied by a given new variant, using the formula $a_0/a_+ = z_0/(1 - z_0)$.

In Figure 7 we show the proportion of alleles that spread from standing variation, and the proportion of geographic space covered by standing variants for parameters chosen to match our G6PD example. Even for relatively large deleterious costs prior to the environmental switch, standing variants still make up quite a large proportion of the adaptive alleles, and an even larger proportion of the range (they occupy a larger area than new mutations, since they get a head start).

1.6 Multiple variant types

Another problem that we can address with this work is the extent to which pleiotropy biases adaptation towards the repeated use of particular subset of loci (i.e. convergence at genetic level). While many alleles may confer the beneficial phenotype, not all will contribute equally to adaptation if they have negative pleiotropic consequences. There are at least two ways that negative pleiotropy can contribute to high rates of convergence when adapting if a single change is sufficient for adaptation. First, negative pleiotropic effects can reduce the overall beneficial selection coefficient of an allele in the new environment, making them unlikely to become established and slow to spread (and in the worst case making them deleterious). This first effect has been well studied by a number of authors (Orr, 2000; Otto, 2004; Welch & Waxman, 2003; Chevin et al., 2010) and its role in genetic convergence examined (Orr, 2005; Chevin et al., 2010; Unckless & Orr, 2009). A second contribution is that alleles that have less negative pleiotropy are more likely to be present as standing variation before the environmental shift, and so are more able to respond immediately.

Here we focus primarily on the second effect. Let's imagine for the moment that there are several classes of beneficial allele, all having the same beneficial selection coefficient (or at least that beneficial selection coefficients are similar enough that our selective exclusion approximation holds over the time scale on which we examine the process). Each class of mutations j has its own mutation rate μ_j and selective disadvantage $s_{d,j}$ prior to the environmental switch. As they have the same beneficial selection coefficient after the switch,

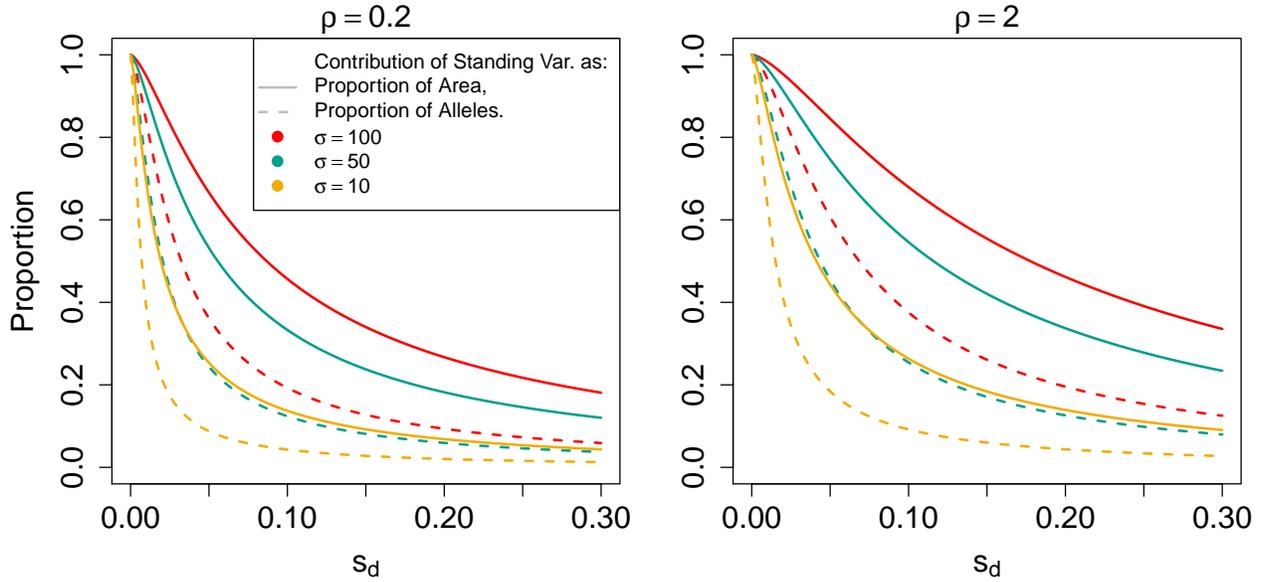


Figure 7: **Mean proportion of patches arising from standing variation**, as a function of selective disadvantage, at two population densities. The parameters given are for the G6PD example. Both panels show the expected proportion of patches that arise from standing variation (dotted lines, equation (12)), and the expected proportion of geographic space that is covered by adaptation from standing variation (solid lines, equation (13)). We set $\mu = 10^{-5}$ and $s_b = 0.05$ to match our G6PD example.

all of the waves travel outward at a rate v . Then, the density of type j standing variants per unit area and the input rate of *de novo* variants per unit area per generation are, respectively,

$$\lambda_{0,j} = \frac{2\mu_j \rho s_b}{-\log(1 - s_{d,j})} \quad \text{and} \quad \lambda_j = 2\mu_j \rho s_b. \quad (14)$$

Using these rates, and an argument analogous to that used to derive equation (13), at the time when every location has been reached by an adaptive allele, the proportion of the species range covered by alleles of type j is

$$p_j = \int_0^\infty (2\lambda_{0,j} \pi v^2 t + \lambda_j \pi v^2 t^2) \exp\left(-\sum_k (\lambda_{0,k} \pi v^2 t^2 + \lambda_k \pi v^2 t^3 / 3)\right) dt. \quad (15)$$

If we only allow standing variation this collapses to

$$p_j = \frac{\mu_j / \log(1 - s_{d,j})}{\sum_k \mu_k / \log(1 - s_{d,k})}, \quad (16)$$

while if we only allow new variation, i.e. if all variants are highly deleterious before the environment switches, $p_j = \mu_j / (\sum_k \mu_k)$.

To illustrate some of the properties of this model, let's imagine the somewhat extreme scenario in which there is a single base pair at which a possible mutation is relatively free of negative pleiotropy (call this class 1); and a larger mutational target where changes have more serious pleiotropic consequences in the ancestral environment (class 2). We set $s_{d,1} \leq s_{d,2} = 0.05$ and $\mu_1 = 1 \times 10^{-8}$, assume that both classes share a beneficial selection coefficient of $s_b = 0.05$, and think of the second class of alleles as arising at one of ten, one hundred, or one thousand base pairs. We show the expected proportion of space covered by the rarer, class 1 mutations in Figure 8. As expected intuitively, the contribution of the rarer mutation

344 decreases as the mutational target of the second class becomes larger, and as the difference in the negative
345 pleiotropic consequences of the two classes of alleles decreases. The case with standing variation only is the
346 best case scenario for the rarer mutation, so its rate of introduction after $t = 0$ is necessarily lower. However,
347 the standing-variation-only case does seem to provide a reasonable rule of thumb, especially for parameter
348 combinations, such as high population densities and high dispersal distances, that increase the contribution
of standing variation (and similarly for high s_b).

350 It is natural to also incorporate differences in the beneficial selection coefficients of the different classes
of alleles, to allow for negative pleiotropic effects acting to suppress the advantage of an allele once the
351 environment switches. One simple way to do this is to simply replace s_b with $s_{b,j}$ resulting in a class-specific
352 new allele establishment rates (λ_j) and rates of spread (v_j). These then could be used in equation (15).
353 For instance, we could extend the two class model above so that class one has the additional advantage of
354 $s_{1,b} > s_{2,b}$. This would further increase the contribution of the rarer class, because this class would both
355 overcome drift more often and spread more rapidly. However, a straightforward application of the logic of
used to construct equation (15) fails once the different allelic types meet, since the assumption of selective
356 exclusion no longer holds: alleles with higher s_b will spread, at a lower speed, into regions occupied by
alleles with lower s_b . Given enough time, the most advantageous type (type 1, in this case) would spread
357 everywhere, and so substituting multiple values for s_b in equation (15) would only provide a short-term
approximation to a longer term dynamic. Even if the initial tessellation has formed with purely class 2
358 alleles, the first allele would have a selective advantage $\delta s = s_{b,1} - s_{b,2}$, and so would arise at rate $2\rho\mu_1\delta s$
and would spread at speed $\sigma\sqrt{\delta s}$. An extension of our Poisson process model could incorporate these effects,
359 by thinning the Poisson process of establishing mutations by correctly, but is considerably less tractable.
Whether allele 2 persists would depend on the linkage arrangements between loci. If the loci underlying
360 allele 1 and 2 are unlinked, then allele 1 can spread without disrupting allele 2. However, if they are linked,
the spread of allele 1 may push allele 2 out of the population. More complicated dynamics, including
361 spatial Dobzhansky-Muller incompatibilities (Kondrashov, 2003; Ralph & Coop, 2010) could ensue if there
are epistatic interactions between the alleles.
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370 1.7 Local establishment and comparison to panmixia

In the above, we have assumed that once the mutation appears, conditional on eventual fixation, it begins to
371 spread spatially at speed v instantly, effectively neglecting the time it must first spend escaping demographic
stochasticity. In Ralph & Coop (2010) we addressed this by noting that there would be no change at all in
372 our results if all mutations had to wait the same amount of time before fixing locally, and that this time
was short relative to the time it took the wave to spread across the characteristic length; we then showed
373 via simulation that this was reasonable in certain situations. In this section we examine this assumption in
more detail, although mostly through heuristic arguments, and also compare the results above to the results
374 without geographic structure of Pennings & Hermisson (2006a).
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We are assuming that shortly after a new mutation appears, it can be approximated by a branching
378 process growing at rate s_b until the point that it grows large enough to “feel” spatial structure, at which
point it begins to spread as a more-or-less deterministic wave. Although we are not aware of good analysis of
379 this transition, the relevant size of the branching process when spatial structure becomes important should
be something close to $\sigma^2\rho$ (i.e. Wright’s “local effective population size”, Wright (1943)). Let Z_t be a
380 continuous-time branching process with $Z_0 = 1$ and $\mathbb{E}[Z_t] = e^{s_b t}$. Then we know that there exists a random
variable W such that $\lim_{t \rightarrow \infty} e^{-s_b t} Z_t = W$ almost surely, so that if τ is the time Z_t reaches size $\sigma^2\rho$, then
381 $\sigma^2\rho = Z_\tau \approx e^{s_b \tau} W$ (Jagers, 1975). From this we know that $\tau \approx (1/s_b)(\log(\sigma^2\rho) - \log W)$; although more
detailed information is available (e.g. a central limit theorem for τ , Nagaev (1971)), we will stick to the loose
382 interpretation.

So, roughly speaking, we need to evaluate the importance of a delay of about $T = (1/s_b) \log(\sigma^2\rho)$. New
383 mutations will appear and become established during this time if $2\rho\sigma^2\mu s_b \geq 1/T$, i.e. if $2\rho\sigma^2\mu \geq 1/\log(\sigma^2\rho)$.
Our model will still be a good approximation, however, as long as T is short relative to the time a wave takes
384 to spread between nearby mutational origins. This can be worked out, but it is simpler to note that if the
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converse is true (i.e., that reaching local fixation is slow compared to the spread of the wave), the process is largely unaffected by spatial structure, and so the panmictic model is a good approximation for the true process.

Pennings & Hermisson (2006a) show that under a panmictic model with certain assumptions on the parameters, the number of independent origins due to both standing variation and new mutation seen in a sample of size n has approximately the Ewens distribution with parameters n and $\theta = 4N\mu$. As n increases, the total number of types seen grows as $\log n$. In our model, we can increase total population size by increasing either the density ρ or the total amount of area. In either case, the predicted number of distinct types grows linearly with n , much faster than under panmixia.

The results of Hermisson & Pennings (2005) and Pennings & Hermisson (2006a) suggest that in a panmictic population the number of independent alleles (and their frequencies) in a sample is nearly independent of s_b and s_d (although this breaks down with fluctuating population size, Wilson et al., 2014). In the panmictic model the lack of dependence on s_b comes about because while increasing s_b increases the rate at which independent mutations become established, it also accelerates the frequency gain of established alleles, hence decreasing the time period in which new alleles can arise and hope to be at significant frequency in the population. These two effects approximately cancel each other out leading to no strong effect of s_b on the number of independent alleles. Decreasing s_d increases the number of standing variants within a population, increasing the number of alleles that manage to establish and spread from standing variation (Hermisson & Pennings, 2005; Orr & Betancourt, 2001). However, having more established standing alleles acts to exclude the spread of new alleles that arise once the environment switches. These two opposing effects again cancel out, leading to little overall effect of s_d on the number of independent alleles. In contrast, our results show that the characteristic length (closely related to the density of independent alleles) depends on both s_b and s_d in a geographically spread population. Like the panmictic model, in our model, alleles also act to exclude each other; however, the geographic spread of an allele is slow compared to the initial exponential growth of an allele in a panmictic population. That means that the role of selection in helping alleles become established can dominate, leading to more independent origins, both by being weaker before and stronger after the environmental switch.

2 Discussion

When the geographical area where a species experiences a selection pressure is greater than our characteristic length we expect multiple independent alleles to arise and spread in that area. Our results suggest that convergent evolution among populations may be quite common, at least when population densities and mutational target sizes are not too small, and dispersal is limited across the range. While considering smaller mutational target sizes (e.g., less than the 100bp in our example) or lower population densities would lower the probability of convergence within a given geographical area, it would also act to considerably lengthen the time to adaptation. In such cases adaptation may simply fail to occur at all or populations may adapt via some other means (e.g., by using a broader mutational target).

Our inclusion of standing variation before environmental change greatly increase the probability of convergence within a species. While at face value this increase seems unsurprising, this relationship differs markedly from the case of multiple competing alleles in a panmictic population (see discussion above and in Hermisson & Pennings, 2005; Pennings & Hermisson, 2006a). Importantly, allowing standing variation may greatly lower the time until the species becomes adapted across the geographic range of the selection pressure. We have also shown that adaptation through standing variation biases the type of variation towards those alleles with fewer pleiotropic effects, since these are more common as standing variation before the environmental shift. This bias can in some cases easily overcome quite significant differences in mutational target sizes among loci allowing the same locus to be repeatedly the source of adaptation even if there are seemingly many different routes to adaptation. (See Figure 8.)

The confusing signal of geographic convergent evolution. As we have argued in Ralph & Coop (2010) the ease with which geographic convergent adaptation occurs means that we should incorporate it

442 more widely into our thinking about the genetic basis of adaptation. For example, the absence of European
443 skin pigmentation alleles in ancient DNA from Europeans who lived several thousand years ago has led to
444 the suggestion that these individuals had dark pigmentation (Olalde et al., 2014; Lazaridis et al., 2014; Wilde
445 et al., 2014). However, given our results and the partially convergent basis of skin pigmentation between
446 Europeans and East Asians (Norton et al., 2007; Edwards et al., 2010) it seems just as plausible that these
447 ancient individuals adapted to high latitudes via a different complement of “light-skin” pigmentation alleles;
448 to our knowledge, we have no strong evidence either way. Such convergence may considerably complicate
449 the exploration of phenotypes and adaptation among populations using variants mapped in a limited set of
450 populations (Berg & Coop, 2014).

450 More generally, if geographic convergence is common, we should often expect to see selected alleles that
451 are strongly geographically restricted as they have simply not had time for neutral gene flow to spread them
452 across the landscape. Such convergent alleles will be F_{ST} -outliers compared to older neutral variation, until
453 there is sufficient time for migration to smooth them out across the landscape. This pattern may be
454 very hard to distinguish from local adaptation using population genomic approaches alone. This is especially
455 problematic as boundaries between convergent alleles may often occur where gene flow rates are low, i.e.
456 historical and ecological breaks, even if the alleles concerned have no bearing on the ecological differences
457 across these breaks (see Bierne et al., 2011, for a wide-ranging discussion of how allelic differentiation may
458 build along particular zones). We are rarely so fortunate as to know as much about the genetics, phenotypic
459 distributions, and potential selection agents as we do for malaria resistance in humans. Therefore, we must
460 be wary of mistaking the strange spatial distributions of particular alleles for adaptation to some very specific
461 selection pressure (e.g. the distribution of the Mahidol allele in Figure 3), when they are simply elements of
462 a larger geographic mosaic of alleles responding to a broadly shared selection pressure.

463 Each of these local sweeps will be associated with the haplotype on which the particular allele arose. Under
464 the parameter regime we study, standing variants are still quite young, so we do not expect a strongly reduced
465 hitchhiking effect. As such, following the initial period of adaptation, we should expect the population to
466 be partitioned into a set of geographically restricted long haplotypes. Given sufficient time these haplotypes
467 will mix together through migration and drift, potentially leading to a within population signal of a sweep
468 from multiple independent mutations if our selected allele occurs at the same locus (Pennings & Hermisson,
469 2006b), or to multiple partial sweeps if the loci are scattered across the genome (Coop & Ralph, 2012).

470 Our results are predicated on the idea that adaptive variants are initially rare within populations, i.e. they
471 are reasonably deleterious before the environment switches. In contrast, if they adapt via common variation
472 even distant populations could have a shared basis of adaptation, e.g. previously neutral (or nearly neutral)
473 variation, shared among populations. If many loci contribute to variation in a trait, then selection on any one
474 allele may be weak, which might lead adaptation to use the same alleles in different populations. However,
475 sufficiently differentiated populations may still adapt via different genetic routes, as the constellation of
476 alleles that respond to selection quickest will be somewhat different due to drift among populations (Barton,
477 1989). Therefore, it may be the case that polygenic traits are even less susceptible to a shared genetic basis
478 to adaptation across populations than simple traits. However, we currently lack good models and methods
479 with which to test this.

480 **Are species held together by widespread selective sweeps?** Our results touch on an old debate
481 on the evolutionary coherence of species. Mayr and many others have argued that species are coherent
482 evolutionary units because they are united by shared gene flow (pages 521–522 in Mayr, 1963). However,
483 this argument has been questioned by a number of authors based on relatively high levels of differentiation,
484 and low rates of dispersal, in many species (Ehrlich & Raven, 1969; Levin, 1979). Even if gene flow is not
485 high enough to prevent neutral differentiation or local adaptation, a number of authors have argued that
486 species are cohesive if gene flow is high enough for globally selected alleles (and their hitchhiking haplotypes)
487 to spread across entire species (see also Rieseberg & Burke, 2001; Morjan & Rieseberg, 2004; Ellstrand,
488 2014). At present, large scale genotyping and sequencing projects, along with more sophisticated methods,
489 are highlighting ever more signals of gene flow between populations and species (Patterson et al., 2012;
490 Sousa & Hey, 2013; Hellenthal et al., 2014). However, our work on geographic convergent adaptation (see

also Ralph & Coop, 2010, 2014) suggests that species should often adapt to widespread selection pressures through convergent evolution rather than waiting for a single allele to migrate across the range.

In support of this idea, putative recent selective sweeps seem to often be geographically restricted (Pickrell et al., 2009; Coop et al., 2009; Granka et al., 2012), rather than species-wide (but see Clark et al., 2007; Long et al., 2013, for a potential example). This is likely in part due to the relatively low incidence of widespread selection pressures, but as noted above even when we know of widespread selection pressures (e.g. malaria) the response is usually convergent, not shared, across large spatial scales. On the other hand, introgression of adaptive alleles across species and sub-species boundaries, suggests that selected alleles do sometimes spread despite low migration rates (see Hedrick, 2013, for a recent review). However, at least some of these cases may be caused by introgression of haplotype complexes consisting of many, tightly linked, beneficial alleles (that perhaps are inaccessible by mutation over reasonable time-scales for a population in a new environment). Currently we can only scan genomes for species-wide sweeps in those few organisms with population-scale sequence data, and so we do not know if these observations generalize to most species. This is rapidly changing, and will allow us to form a much improved picture of the relationship between the level of neutral population structure, and the age and geographic spread of selected alleles across many species.

Even if selective sweeps only bring alleles to fixation locally, they are still potentially a stronger homogenizing force than neutral mixing through migration. Under neutral mixing, the mean number of generations back to the most recent common ancestor is on order of the total effective population size. This quantity has not been worked out for a model with simultaneous local sweeps, but will be somewhat analogous to the “spatial Λ -Fleming–Viot” models of Barton et al. (2013b), in which local sweeps occur independently across the range. Lineages that are closely linked to the sweeping allele ($\sim v/\chi$ Morgans) will be moved towards the center of the sweep (a displacement $O(\chi)$), and pairs of lineages caught up in the same sweep could be forced to coalesce (see Barton et al., 2013a, for work on geographic hitchhiking). In this case lineages and alleles are literally hitchhiking across space. The overall rate of lineage movement and coalescence depends on the rate of sweeps, their geographic scale, and the rate of recombination, and could be calculated by combining the result presented here with Barton et al. (2013b) and Barton et al. (2013a). However, if geographic sweeps are common then this may substantially speed up the rate of mixing compared to neutral drift and migration.

How then do substitutions occur? If it is rare for gene flow to rapidly spread selected alleles across a species range, how then do selected alleles ever become fixed within species? Drift alone will act only slowly to sort variants within species into divergence among species. Slight selective differences in the pleiotropic effects (or linked background) among convergent alleles could allow one allele to press into areas occupied by other alleles. Furthermore, repeated bouts of adaptation in particular genomic regions may act to push a subset of previously selected alleles to fixation across the species range, through the spread of the genetic backgrounds on which they arise. However, it seems likely that this is a slow process compared to the initial rapid spread of selected alleles.

Speciation and extinction as phases of molecular evolution? One potential resolution is that many selected alleles achieve fixation, not through their own species-wide spread, but rather through subsequent large-scale changes in geographic range size induced by extirpation of the species over parts of its range (see Barton et al., 2013b, and references therein for how such a model could be constructed). Such drops in range size may fix, or radically change the species-wide frequency, of alleles previously restricted to small portion of a species range. Furthermore, many modes of speciation are proposed to occur through a geographically-limited subset of populations forming the basis of new species, e.g. the splitting off of part of the range through a vicariance event or dispersal of a subset of individuals. In this case, speciation will cause geographic assortment of polymorphic ancestral variation, again acting to fix variants within newly formed species that were previously polymorphic across ancestral species ranges.

Such ideas are not completely new and represent a perhaps logical consequence of an allopatric or parapatric view of the biogeography of speciation. However, it is worth revisiting this idea as geographically broad population genomic sampling allows us to return to themes in biogeography. Along similar lines, Futuyma

540 has argued that much of the adaptive differentiation within species, e.g. adaptation to local conditions, may
542 be ephemeral and subject to loss due to local extinction and the mixing following the collapse of population
544 structure (Futuyma, 2010, 1987). Futuyma offered this as an explanation of the pattern of punctuated equi-
546 librium (Eldredge & Gould, 1972), and argued that the observation of stasis and rapid anagenesis associated
548 with speciation were consistent with micro-evolution. Futuyma argued that despite rapid adaptation over
550 short time-scales, we may observe morphological stasis in the fossil record as much of this adaptation is lost
552 to local extinction and the collapse of population structure (see also Lieberman & Dudgeon, 1996; Eldredge
554 et al., 2005; Futuyma, 2010). Furthermore, he suggests that speciation may act as ratchet to prevent the loss
of differentiation, acting to maintain adaptive changes among populations, and prevent their loss by inter-
breeding. At face value the rate of species formation seems too low to contribute to this process. However,
Rosenblum et al. (2012), and many others, have argued that the rate of speciation may well be quite high,
but that the majority of incipient species do not persist long due to reabsorption or extinction. Changes in
range size, due to local extinction, can also be very rapid on the time-scales over which alleles may spread
on the landscape (Gaston, 2003; Hewitt, 1996). Repeated bouts of extinction and speciation will send waves
of alleles to fixation along particular lineages.

Such a link between speciation and substitution would not imply that substitutions should necessarily be
thought of as being clustered at splits in inferred phylogenies (see Pennell et al., 2014a; Venditti & Pagel,
2014; Pennell et al., 2014b, for a recent exchange on this). Neutral substitutions are unaffected by this
process, because they accumulate in a clocklike manner along lineages, as dictated by the mutation rate,
regardless of the geographic details of their polymorphic stage. Turning to the accumulation of adaptive
substitutions, it is likely that splits in phylogenies are only a tiny proportion of all incipient speciation events,
because extinction rates may be high (Rosenblum et al., 2012), and so every lineage has likely passed through
many “speciation events” in addition to the observed ones. Under these assumptions, spatial polymorphisms
could accumulate gradually in geographically restricted populations between the large-scale biogeographic
events that cause their fixation or loss. This effectively decorrelates the time at which new alleles arise and
when they fix in the species, an effect similar to that pointed out by Gillespie (1994).

This view would not imply that adaptive evolution or speciation is driven by the shifting balance or
genetic revolutions (Wright, 1932; Mayr, 1954), whereby genetic drift allows populations to cross fitness
valleys and substitute novel epistatic combinations. Although geographic lineage sorting via speciation and
extinction can be thought of as very large-scale genetic drift events, in the models we study here the initial
spread of alleles is due to selection, not drift (see also Futuyma, 1989, for discussion).

There is evidence that a reasonable fraction of genome-wide substitutions are fixed by positive selection
in a number of species (most notably *Drosophila*, Sella et al., 2009). Under the geographic view of fixation,
selection has played a strong role in the establishment of these alleles locally. As we get more broadly
geographic population genomics sampling for a range of species we will have the opportunity to study
whether the class of alleles that contribute to local differentiation are similar to those underlying species
divergence, and the extent to which the answer to this depends on the age and type of population structure
within species.

Finally, we close by noting that range expansion and speciation are obviously not separate from adaptive
differentiation. The invasion of new geographic areas may lead to a burst of adaptive differentiation, at least in
a subset of genes, and speciation may be associated with rapidly adaptation to novel environments. Conversely,
if the geographic spread of adaptive alleles within ranges is slow (e.g. if they only offer a local advantage or
if they are selectively excluded) this may allow Dobzhansky-Muller incompatibilities to arise within species,
effectively offering a mechanism for hybrid incompatibilities to evolve in parapatry, and fracturing the range
(Bank et al., 2012; Kondrashov, 2003; Bierne et al., 2011). The alleles that act as components in many of
the Dobzhansky-Muller incompatibilities studied to date are geographically restricted (see Cutter, 2012).
Therefore, it seems possible that populations within species may often be tending towards speciation, and
that as outlined here that this may drive some proportion of molecular divergence.

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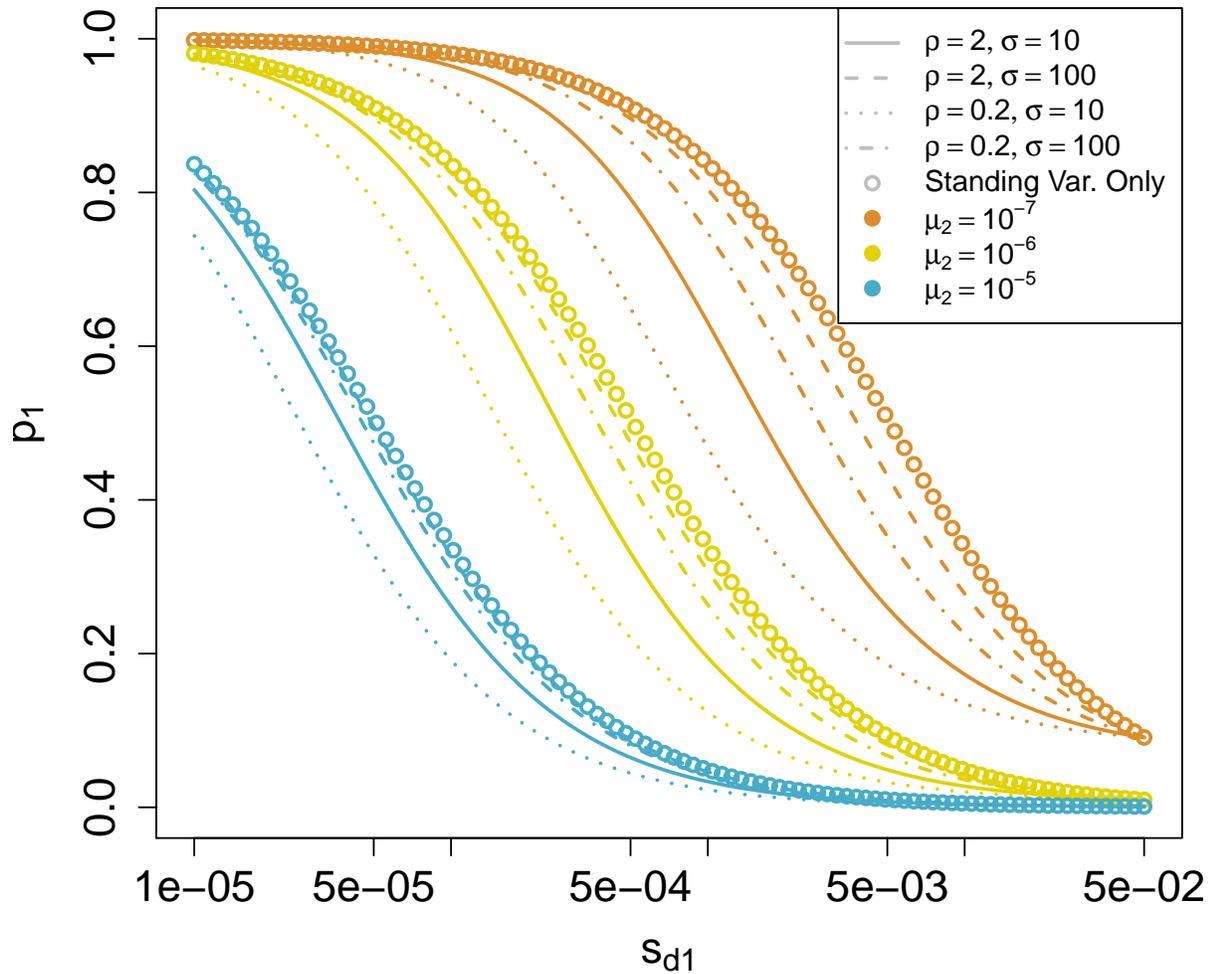


Figure 8: **Proportion of space covered by rarer but less negatively pleiotropic mutation.** Empty circles give the result for standing variation only, equation (16). Lines give the result allowing both standing and *de novo* mutations (equation (15)). Here we hold $s_b = s_{d,2} = 0.05$ and $\mu_1 = 1 \times 10^{-8}$.