

# 1 Evolution of Conditional Cooperativity 2 Between HOXA11 and FOXO1 Through 3 Allosteric Regulation

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46 **SUMMARY**

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48 Transcription factors (TFs) play multiple roles in different cells and stages of  
49 development. Given this multitude of functional roles it has been assumed that  
50 TFs are evolutionarily highly constrained. Here we investigate the molecular  
51 mechanisms for the origin of a derived functional interaction between two TFs  
52 that play a key role in mammalian pregnancy, HOXA11 and FOXO1. We have  
53 previously shown that the regulatory role of HOXA11 in mammalian endometrial  
54 stromal cells requires an interaction with FOXO1, and that the physical  
55 interaction between these proteins evolved long before their functional  
56 cooperativity. Through a combination of functional, biochemical, and structural  
57 approaches, we demonstrate that the derived functional cooperativity between  
58 HOXA11 and FOXO1 is due to derived allosteric regulation of HOXA11 by  
59 FOXO1. This study shows that TF function can evolve through changes affecting  
60 the functional output of a pre-existing protein complex.

61

62 **Introduction**

63

64 Most TFs play roles in different tissues, cells, and developmental stages (Hu and  
65 Gallo, 2010). For instance HOXA11 is involved in the development of limb,  
66 kidney, male and female reproductive tracts, cloaca and hindgut, and in the  
67 function of T- and B-cells (Davis et al., 1995; Hsieh-Li et al., 1995; Schwab et al.,  
68 2006; Speleman et al., 2005; Wellik and Capecchi, 2003; Yokouchi et al., 1995).  
69 Given its many pleiotropic roles, one might expect random mutations in HOXA11  
70 to have a high likelihood to disrupt at least one of its many functions. However,  
71 there is evidence that the HOXA11 protein underwent functionally advantageous  
72 changes in the stem lineage of placental mammals (Lynch et al., 2008).  
73 Evolutionary changes to TF function have been documented before, e.g.  
74 *Tinman/Nkx2.5* (Ranganayakulu et al., 1998; Schwartz and Olson, 1999), *Ubx*  
75 (Galant and Carroll, 2002; Grenier and Carroll, 2000), flower development genes  
76 (Bartlett and Whipple, 2013; Lamb and Irish, 2003), *HOM/Ftz* (Lohr et al., 2001);  
77 and many more (Wagner and Lynch, 2008). These examples highlight a  
78 discrepancy between the model of conserved TF genes function and the  
79 empirical facts documenting evolutionary changes in TF function. A well-  
80 documented mode of TF protein evolution is the acquisition of Short Linear Motifs  
81 (SLiM) (Diella et al., 2008; Neduva and Russell, 2006). In this model of TF  
82 evolution, new functionalities arise via derived protein-protein interactions that  
83 are usually embedded in a protein segment which lack a well-defined three-  
84 dimensional structure (Fuxreiter et al., 2007). Here we provide evidence for  
85 another mechanism of TF evolution: the evolution of intra-molecular regulation  
86 within the HOXA11 protein resulting in a novel functional output in the presence  
87 of a pre-existing TF partner, FOXO1.

88

89 Endometrial stromal cells are part of the inner lining of the uterus and play an  
90 important role in mammalian pregnancy. To accommodate the implantation of the  
91 conceptus, the stromal cells differentiate into the so-called “decidual cells.”

92 Decidual cells express a number of genes that are important for the maintenance  
93 of pregnancy, most notably decidual prolactin (dPRL) and IGFBP1(Kutsukake et  
94 al., 2007; Tseng and Mazella, 2002). HOXA11 plays a critical role in the  
95 expression of these decidual genes (Lynch et al., 2009). In eutherian mammals,  
96 HOXA11 cooperates with FOXO1 to induce expression of dPRL, while HOXA11  
97 alone is a repressor (Lynch et al., 2009; Roth et al., 2005).

98  
99 In this paper we demonstrate that the activator function of HOXA11 was already  
100 present in the common ancestor of mammalian HOXA11 proteins. In the  
101 absence of FOXO1, however, the activation function of Eutherian HOXA11  
102 proteins is repressed through an intra-molecular interaction. We show that  
103 evolutionary changes to the HOXA11 protein led to FOXO1 dependent  
104 unmasking of the intrinsic transcriptional activation function of HOXA11.

## 105 106 **Results**

107  
108 Our model for decidual gene regulation is the decidual prolactin promoter active  
109 in human decidual cells (Berwaer et al., 1994; Gellersen et al., 1994; Gerlo et al.,  
110 2006). Three findings from our previous work guide our experimental approach:  
111 1) the amino acid substitutions in HOXA11 protein are all located amino (N)-  
112 terminal to the homeodomain (Lynch et al., 2008); 2) The physical interaction  
113 between HOXA11 and FOXO1 arose before the functional cooperativity (Brayer  
114 et al., 2011); 3) The derived cooperativity is due to evolutionary changes in  
115 HOXA11 (Brayer et al., 2011; Lynch et al., 2008). There is no structural  
116 information about the N-terminal region of HOXA11 that could guide our  
117 experimental analysis. We first conducted a computational structural biology  
118 analysis to determine sequence segments, which could embed functional motifs.

### 119 120 **HOXA11 N-terminus is disordered with a tendency to form $\alpha$ -helices**

121 The multiple sequence alignment of HOXA11 sequences from different species  
122 indicates highly conserved amino acid residues in regions 1-67 and 125-151.  
123 Large variation is seen in the range from amino acid 68-124 and 152-173.  
124 Beyond amino acid 173 large gaps, low sequence conservation, and multiple  
125 repeats are found up to amino acid 280 indicating potentially disordered region  
126 (Figure 1A&B). Secondary structure predictions using PSIPRED (Buchan et al.,  
127 2010; Jones, 1999) and JUFO (Leman et al., 2013) predict several short peptides  
128 with a tendency to form  $\alpha$ -helices (AAs 85-93 , 104-107 and 140-148) or  $\beta$ -  
129 strands (around AAs 14, 20, 44, and 60, Figure 1C). We hypothesized that these  
130 segments correspond to regions of intrinsic disorder that might form structure  
131 when interacting with partner proteins (Buchan et al., 2010; Dyson and Wright,  
132 2005; Fuxreiter et al., 2004; Jensen et al., 2009; Jones, 1999; Leman et al.,  
133 2013; Tompa, 2005; Vucetic et al., 2005). To test if formation of secondary or  
134 tertiary structure is plausible we utilized the *de novo* protein structure prediction  
135 algorithm Rosetta (Das et al., 2009; Fleishman et al., 2011; Simons et al., 1997).  
136 We folded residues 1-150, 58-155, and 85-157 in three independent  
137 experiments. In all cases Rosetta introduced  $\alpha$ -helices and  $\beta$ -strands in the

138 regions that were predicted to have some tendency to form secondary structure.  
139 Furthermore, Rosetta sometimes folded regions 58-154, and 85-157 into helical  
140 bundles consisting of three to four  $\alpha$ -helices (Figure 2A & B). We concluded that  
141 region 58-157 has a tendency to form  $\alpha$ -helical secondary structure. We named  
142 the region 64-152 IDR and region 80-152  $\Delta$ NP-IDR (Suppl. Table 1).

143  
144 To test secondary structure content experimentally, circular dichroism spectra  
145 (Macdonald et al., 1964) were collected for the HOXA11 constructs IDR (64-152)  
146 and a  $\Delta$ NP-IDR (80-152). The spectra were similar for both constructs and  
147 exhibited characteristics of intrinsically disordered proteins dominated by a strong  
148 negative band at 200nm with shallow minima at 222nm indicative of a small  
149 amount of  $\alpha$ -helical structure. Addition of 2,2,2-trifluoroethanol (TFE) caused  
150 rapid increase in  $\alpha$ -helicity for both constructs from around 8% to 35% (Figure 2C  
151 & D). We conclude that little secondary structure is present in aqueous solution  
152 but  $\alpha$ -helical character can be readily induced.

153

### 154 **The intrinsically disordered region is critical for regulatory function**

155 To determine the functional role of the IDR, we designed eight N-terminal  
156 truncation mutants of HOXA11 (Figure 3A) (Roth et al., 2005) and tested their  
157 ability to trans-activate luciferase expression from the dPRL promoter when co-  
158 expressed with FOXO1 (Figure 3B). We found that co-transfection of wild type  
159 HOXA11 and FOXO1 resulted in an up-regulation of luciferase expression,  
160 confirming our previously reported results (Lynch et al., 2009; Lynch et al., 2008)  
161 (Figure 3C). Truncation of the HOXA11 protein up to amino acid 130 ( $\Delta$ N130)  
162 had a significant negative effect on the cooperative up-regulation of luciferase  
163 expression from the dPRL promoter, but still retained significantly higher  
164 expression compared to background (t-test  $p < 0.001$ ), whereas a truncation to  
165 amino acid 150 ( $\Delta$ N150) resulted in a complete loss of reporter gene activation.  
166 Further truncations of the protein restored reporter gene expression.  
167 Unexpectedly an internal deletion of the predicted IDR region ( $\Delta$ 66-151) did not  
168 negatively impact trans-activation (Figure 3C). Collectively these results suggest  
169 that the N-terminal region of HOXA11 is a multifunctional disordered segment,  
170 which contains critical intramolecular regulatory sites/motifs that modulate the  
171 trans-activation functions of HOXA11.

172

### 173 **Minimal region of the IDR required for regulatory cooperativity**

174 We performed a detailed deletion scan of the IDR to further characterize its role  
175 in regulating the trans-activation functions of HOXA11. We generated five  
176 internal deletions from the N-terminus of the IDR ( $\Delta$ N66-81,  $\Delta$ N66-96,  $\Delta$ N66-111,  
177  $\Delta$ N66-126,  $\Delta$ N66-141) and five internal deletions from the C-terminus of the IDR  
178 ( $\Delta$ C141-151,  $\Delta$ C126-151,  $\Delta$ C111-151,  $\Delta$ C96-151,  $\Delta$ C81-151) and tested their  
179 ability to trans-activate reporter genes. The most notable deletion construct is  
180 HOXA11  $\Delta$ 66-81, which showed the strongest enhancement ( $>10x$ ) of activity  
181 relative to wild type HOXA11 (Figure 3D).

182

183 The trans-activation abilities of the N-terminal deletions suggest the presence of  
184 a regulatory region within amino acids 66-81 with a strong repressive effect on  
185 the activation function of HOXA11 (Figure 3D). We call the region 66-81  
186 “Negative Regulatory Peptide” (NP). We hypothesize that the NP masks an  
187 activation domain. Moving forward, we refer to IDR construct 80-152 as  $\Delta$ NP-IDR  
188 (deleting residues 66-81).

189

### 190 **The $\Delta$ NP HOXA11 mutation is a FOXO1 independent activator**

191 We hypothesized that the NP is an intra-molecular repressor of HOXA11  
192 activation function and that the interaction between HOXA11 and FOXO1 is  
193 relieving the repressive effect of the NP. This model predicts that the deletion of  
194 the NP fragment,  $\Delta$ NP, should lead to FOXO1 independent activation. We tested  
195 this prediction and found that the HOXA11 $\Delta$ NP construct trans-activated  
196 luciferase expression independently of FOXO1 (Figure 3E). These results are  
197 consistent with an intra-molecular regulatory role of the NP and suggest that the  
198 role of FOXO1 interaction is to relieve the repressive effect of the NP.

199

### 200 **The NP regulatory peptide is ancestral to Therians**

201 We have previously resurrected the ancestral therian HOXA11 protein  
202 (AncThHOXA11), the protein present in the last common ancestor of placental  
203 mammals (Eutheria) and marsupials. We found that the resurrected protein was  
204 expressed, localized to the nucleus, and appropriately regulated target genes  
205 (Brayer et al., 2011; Lynch et al., 2008). The ancestral therian HOXA11 was  
206 shown to have the same repressive effect as the eutherian HOXA11 but is  
207 unable to cooperatively up-regulate dPRL expression in the presence of FOXO1.

208

209 We produced and assayed an NP deletion construct of the AncThHOXA11 (i.e.  
210  $\Delta$ 66-81, AncThA11 $\Delta$ NP). The AncThA11 $\Delta$ NP construct showed an increase in  
211 activation similar to the eutherian HOXA11 $\Delta$ NP and was also found to be FOXO1  
212 independent. (Figure 4A). These results indicate that the regulatory function of  
213 the NP is an ancient feature of HOXA11 that predates the divergence of  
214 marsupials and eutherians, and that the eutherian protein evolved the ability to  
215 relieve the repression by the NP by interaction with FOXO1.

216

### 217 **The NP has intra-molecular interactions**

218 The functional assays we described above suggest that the NP masks the ability  
219 of HOXA11 to trans-activate, which is relieved upon interaction with FOXO1. To  
220 more directly test this hypothesis we used a mammalian-two-hybrid (M2H)  
221 system to detect physical interactions between the NP (60-81), an extended-NP  
222 (1-82),  $\Delta$ NP-IDR (81-151), short-IDR (130-151). We found that co-transfection of  
223 the NP construct with the  $\Delta$ NP-IDR construct, but not with the short-IDR  
224 construct, led to a significant increase in luciferase expression. In contrast cells  
225 co-transfected with the extended-NP and either the short-IDR or the  $\Delta$ NP-IDR,  
226 lead to an increase in luciferase expression (Figure 4B). These data suggest that  
227 NP physically interacts with residues 82-130 of the IDR, whereas residues 1-59  
228 region interacts with residues 130 -151 of the IDR (Figure 4C).

229

## 230 **A derived putative interaction motif interacts with NP in preventing** 231 **activation**

232 Our M2H results suggest that the NP interacts with residues between amino  
233 acids 81 and 130 (Figure 4B and C). To identify a putative interaction site we  
234 looked for evolutionarily derived protein-protein interaction motifs, i.e. regions  
235 that 1) have a derived amino acid sequence in the eutherian HOXA11, i.e.  
236 different in the ancestral HOXA11 protein, 2) have a tendency to form  $\alpha$ -helices  
237 that can serve as scaffold for the interaction, and 3) contain changes in the  
238 pattern of Prolines or Glycines between ancestral and eutherian HOXA11 as  
239 Prolines or Glycines are known to change  $\alpha$ -helical propensity (Cordes et al.,  
240 2002; Jacob et al., 1999). Interestingly, at AA 103-107 we found a sequence,  
241 PGDVL, derived in the eutherian HOXA11 of interest as P103 is not present in  
242 the ancestral HOXA11 protein and residues 104-107 have a tendency to form  $\alpha$ -  
243 helices according to our secondary structure prediction (Figure 2A & B). We label  
244 this region as Putative Interaction Motive (PIM).

245

246 To test whether the derived PIM is responsible for the intra-molecular interaction  
247 with the NP, we introduced two back mutations in the eutherian HOXA11 protein  
248 to their state in the ancestral therian protein (PIM103mu; i.e. AA's 103-107  
249 PGDVL to GDML, P103 deletion and V106M substitution), and performed  
250 reporter gene assays as previously described. As expected, the PIM103mu  
251 mutant trans-activated luciferase expression from the dPRL reporter vector  
252 independent of FOXO1, similar to the  $\Delta$ NP construct (Figure 4D). We then  
253 introduced the derived P103 and M106V substitutions into the AncThHOXA11  
254 construct (PIM103dr) to determine if these changes were sufficient to induce  
255 cooperativity with FOXO1 (Figure 4E). Although we observed a slight increase in  
256 transactivation activity, the change was not significant and could not explain the  
257 cooperative up-regulation seen with the eutherian HOXA11 protein. These  
258 results suggest that the derived PIM103 site is necessary but not sufficient for the  
259 cooperative interaction with FOXO1.

260

## 261 **CBP contributes to cooperative transcriptional regulation**

262 The data presented above suggest a phenomenological model of how  
263 cooperative target gene activation is achieved through the interaction between  
264 eutherian HOXA11 and FOXO1 proteins. To identify the mechanistic  
265 underpinnings we first identified potential co-factors that mediate target gene  
266 activation. The histone acetyltransferase CREB-binding protein, CBP, is an  
267 activating cofactor for many HOX proteins (Bei et al., 2007; Chariot et al., 1999;  
268 Choe et al., 2009). The majority of TF-CBP interactions are mediated through the  
269 KIX binding domains (KBD) that interacts with peptides that have the  $\phi$ -x-x- $\phi$   
270 motif ( $\phi$  is a large hydrophobic residue and "x" is any residue) (De Guzman et al.,  
271 2006; Lee et al., 2009; Plevin et al., 2005; Radhakrishnan et al., 1997; Wang et  
272 al., 2009).

273

274 Analysis of HOXA11 revealed seven sequences with similarity to the KBD  
275 (Suppl. Table 2). Of these three are located in the IDR with only one following the  
276 sequence pattern perfectly. The “perfect KBD” (residues 142-146), is predicted to  
277 have a strong helical propensity by PSIPRED, JUFO and by ANCHOR  
278 calculations (Figure S1B) (Meszaros et al., 2009).

279  
280 To determine the functional role of CBP in the cooperative transactivation of  
281 dPRL by HOXA11 and FOXO1A, we co-transfected CBP, HOXA11 and FOXO1A  
282 and tested their ability to trans-activate gene expression. Up-regulation of the  
283 reporter gene was more pronounced with the addition of the CBP expression  
284 vector compared to transfections with HoxA11 and FOXO1 alone (Figure 5A).  
285 This result suggests that CBP contributes to target gene activation by the  
286 HOXA11-FOXO1A complex.

287

### 288 **KBD142-146 of $\Delta$ NP-IDR binds with KIX domain of CBP**

289 The above results suggest that CBP contributes to target gene activation. We  
290 hypothesized that KBD142-146 mediates binding of CBP. In order to test this  
291 model we investigated the interactions between 80-152 ( $\Delta$ NP-IDR) and the KIX  
292 domain (residues 586-672 of mouse CBP). The  $\Delta$ NP-IDR HOXA11 construct was  
293 titrated into  $^{15}\text{N}$  labeled KIX domain, and corresponding  $^1\text{H}$ - $^{15}\text{N}$  heteronuclear  
294 single quantum coherence (HSQC) spectra were recorded (Figure 5B & C).  
295 Normalized chemical shift changes for each backbone amide group upon the  
296 addition of  $\Delta$ NP-IDR were calculated. Chemical shift differences of 0.04ppm or  
297 higher were considered to be significant. Published NMR assignments for KIX  
298 (Radhakrishnan et al., 1997) were used to map the binding interface of HOXA11  
299  $\Delta$ NP-IDR. Fast chemical exchange was observed between the bound and  
300 unbound states of KIX. Significant chemical shift changes were observed for  
301 residues F612, T614, L620, K621, M625, E626 and N627 corresponding to the  
302 MLL binding site of KIX (Goto et al., 2002), and residues L607, Y650, H651, I660  
303 and E665 correspond to the cMyb binding site of KIX (Zor et al., 2002) (Figure  
304 5D & Figure S2A). The overall binding affinity of KIX was quite low  
305 ( $\sim 0.5 \pm 0.02 \text{mM}$ ) indicating a weak interaction common for disordered proteins  
306 interacting with transcription activators (Wang et al., 2012). Analysis of the two  
307 KIX binding pockets revealed that in fact the binding affinity is two times higher at  
308 the MLL site ( $K_d \sim 0.33 \pm 0.02 \text{mM}$ ) than at the cMyb site (Figure S2B).

309

310 Reverse titration of KIX with  $^{15}\text{N}$  labeled  $\Delta$ NP-IDR produced further insight into  
311 the structural characteristics of this region when bound to KIX (Figure S3).  $^1\text{H}$ - $^{15}\text{N}$   
312 HSQC spectrum of  $\Delta$ NP-IDR shows low signal dispersion in the  $^1\text{H}$  dimension  
313 suggesting an intrinsically disordered state even when bound to KIX (Figure  
314 S3A&B). Significant changes in chemical shift differences were observed for at  
315 least eleven  $\Delta$ NP-IDR residues. Because of the low signal dispersion and large  
316 number of proline residues embedded within the sequence, we were only able to  
317 partially assign  $\Delta$ NP-IDR residues. The peaks exhibiting significant chemical shift  
318 changes were assigned to those corresponding to residues 142-146, i.e. the  
319 putative “perfect” KBD, and a few residues flanking the motif.

320

321 To further test whether the KBD142-146 is necessary for the interaction with the  
322 KIX domain we mutated the hydrophobic residues at 142-146 ( $\Delta$ NP-IDR 142-  
323 146) (FDQFF to ADQAA). These mutations resulted in complete loss of binding  
324 with no significant chemical shift changes observed indicating the importance of  
325 these residues for the binding of the KIX domain and the recruitment of CBP  
326 (Figure S3C). We conclude that the HOXA11-FOXO1A complex drives target  
327 gene expression, at least in part, through the recruitment of CBP to KBD142-146.

328

### 329 **HOXA11 evolved DNA-pk kinase phosphorylation sites necessary for** 330 **transactivation**

331 Mass-spectroscopy results suggest that HOXA11 is differentially phosphorylated  
332 upon hormone stimulation; therefore, phosphorylation might play a role in  
333 HOXA11 activation function (Figure S4 and Suppl. Table 3). We investigated  
334 which kinases are responsible for regulating HOXA11 functional activity. Two of  
335 the identified sites S98 and T119, were derived phosphorylation sites in  
336 eutherian mammals. Computational analysis identified 6 kinases predicted to  
337 phosphorylate S98 or T119 (Figure 6A and Suppl. Table 4). We then used  
338 transcriptome data to identify which of these kinases were expressed in hESCs.  
339 We found that the kinases ERK1/2, GSK-3, DNA-pk, and CDK 2/5 were  
340 predicted to phosphorylate S98 or T119 and were highly expressed in hESC. To  
341 test if phosphorylation by these kinases played a role in potentiating  
342 transactivation by HOXA11 we performed luciferase reporter assays with  
343 eutherian HOXA11 and FOXO1, but blocked kinase activity with either ERK1  
344 Inhibitor II, GS-3 Inhibitor XIII, DNA-pk inhibitor III, or CDK 2/5 kinase inhibitors.  
345 We found that blocking either GSK-3 or DNA-pk inhibited transactivation from the  
346 dPRL reporter vector (Figure 6B).

347

348 To infer if phosphorylation at these sites mediates transactivation, we “simulated”  
349 phosphorylation at S98 and T119 by substitutions with aspartic acid (Pearlman et  
350 al., 2011; Thorsness and Koshland, 1987). We tested whether S98D and T119D  
351 single and double mutants could rescue loss of function due to kinase inhibition  
352 described above. We found that substitutions of either S98D or T119D resulted in  
353 a significant recovery of transactivation in the DNA-pk kinase inhibition assay.  
354 Only the double substitution, however, could completely recover transactivation  
355 activity (Figure 6C). In contrast neither phospho-mimicking substitutions rescued  
356 inhibition of the kinase GSK-3 (Figure 6D). These results suggest that the  
357 phosphorylation of amino acids S98 and T119 are mediated by the kinase DNA-  
358 pk.

359

360 To test whether the phosphorylation at S98 and T119 affects HOXA11-KIX  
361 interaction we performed NMR titration using S98D and T119D substitutions in  
362 the  $\Delta$ NP-IDR construct ( $\Delta$ NP-IDR S98D/T119D). The residues of KIX that were  
363 perturbed upon binding correlated closely with the  $\Delta$ NP-IDR interaction profile.  
364 Interestingly, the binding affinity of the phospho-mimic mutant was almost two  
365 times higher ( $0.19 \pm 0.01$  mM) than the original  $\Delta$ NP-IDR (Figure 6E) at the MLL

366 binding site. This result suggests phosphorylation at S98 and T119 sites  
367 increases HOXA11's affinity to CBP.

368

### 369 **The NP interferes with recruitment of CBP to HoxA11**

370 We hypothesized that the NP is interfering with the binding of CBP to the  
371 KBD142-146. In order to test this model we compared the combined chemical  
372 shift NMR spectra between 1) the KIX domain with  $\Delta$ NP-IDR (80-152, without the  
373 NP), and 2) the KIX domain with IDR (64-152, which contains the NP). Titration  
374 of the IDR resulted in very little correlation to the chemical shift changes  
375 observed when titrating  $\Delta$ NP-IDR (80-152) ( $r^2=0.25$ ) (Figure S5A). Titration of  
376 IDR caused significant perturbation of residues at the N terminus of KIX that  
377 were not observed with the  $\Delta$ NP-IDR construct. These results imply that binding  
378 of IDR to KIX is not equivalent to that of  $\Delta$ NP-IDR, and that the NP alters the  
379 interaction of HOXA11 with CBP.

380

381 Our mammalian two hybrid results presented above show that the NP could  
382 interact with PIM103-107. To understand how the PIM103-107 motif affects KIX  
383 binding we mutated it from PGDVL to its ancestral sequence –GDML (IDR 103-  
384 107). The combined chemical shift change between the interactions of IDR 103-  
385 107 with KIX correlates poorly with that of wild type IDR and KIX ( $r^2=0.5$ ) (Figure  
386 S5B) and much better to that of  $\Delta$ NP-IDR and KIX ( $r^2=0.82$ ) (Figure 6F). These  
387 results suggest that the NP may be interfering with KIX binding by interacting  
388 with the hydrophobic residues at PIM103-107 consistent with our M2H results.  
389 The Rosetta models (Figure 5B, C & Figure S5C) identified W73 residue within  
390 the NP region as being in close proximity with the  $\alpha$ -helical regions. We  
391 speculated that W73 might be necessary for mediating the inter-molecular  
392 interaction. Indeed, mutation of tryptophan 73 to glycine (W73G) in IDR (IDR  
393 W73G) resulted in KIX binding similar to  $\Delta$ NP-IDR ( $r^2=0.82$ ) and IDR103-107  
394 ( $r^2=0.90$ ) (Figure S6A&B) supporting our model.

395

396 Interestingly titration of  $^{15}\text{N}$  labeled IDR to unlabeled KIX produced identical  
397 chemical shift changes for the same peaks observed during  $^{15}\text{N}$  labeled  $\Delta$ NP-IDR  
398 titration (Figure S6C). Never the less, we found that these two constructs have  
399 distinct binding affinity for KIX with  $\Delta$ NP-IDR ( $K_d \approx 0.04 \pm 0.005$  mM) exhibiting  
400 five times stronger binding than IDR ( $K_d \approx 0.2 \pm 0.01$  mM) (Suppl. 6D) consistent  
401 with the model that the NP-PIM103 interaction interferes with the recruitment of  
402 CBP to HoxA11.

403

### 404 **Changes sufficient for the derived HOXA11-FOXO1 cooperativity**

405 The previous experiments suggest that two evolutionary changes are responsible  
406 for the cooperative interaction between HOXA11 and FOXO1: 1) mutations that  
407 lead to the derived PIM at AA's 103-107 and 2) the derived proline residues  
408 associated with residues S98 and T119. We introduced these mutations into the  
409 AncTh HOXA11 protein and tested their effects in luciferase reporter assays to  
410 determine if these mutations are causal for the derived HOXA11-FOXO1  
411 cooperativity. Introducing the derived PIM103 and the proline residues at P97

412 and P120 were sufficient for giving the ancestral HOXA11 protein activation  
413 function (Figure 7A). Interestingly the forward mutated AncTh HOXA11 protein is  
414 a FOXO1 independent activator. Only after introducing phosphorylation  
415 mimicking residues at S98 and T119 we observed FOXO1 dependent activation  
416 (Figure 7B). We conclude that the evolution of the derived protein interaction  
417 motif PIM103-107 together with DNA-pk dependent phosphorylation at S98 and  
418 T119 is sufficient to cause FOXO1 dependent target gene activation by HOXA11  
419 at the decidual PRL promoter. We note that we do not have a full understanding  
420 which amino acid substitutions are necessary to cause the DNA-pk dependent  
421 phosphorylation at S98 and T119.

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## Discussion

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At least two TFs, HOXA11 and CEBPB, involved in the regulation of decidual genes have experienced evolutionary changes in their transcriptional activities coincidental with the origin of decidual cells (Lynch et al., 2011; Lynch et al., 2008). In this paper we investigate the derived activity of HOXA11 in response to FOXO1 binding, which evolved in the stem lineage of placental (eutherian) mammals. Using a wide array of experimental approaches, we provide evidence that the derived functional cooperativity between HOXA11 and FOXO1 in placental mammals is due to allosteric regulation of HOXA11 activity by FOXO1 and phosphorylation (summarized in Figure 7C). This work provides evidence for a mechanistic model of how a new context-specific regulatory function of a TF has evolved, while maintaining key features of the ancestral activities. Here we outline a mechanistic model for the evolution of cooperative gene regulation. We then discuss evolutionary changes in the derived HOXA11 protein that enabled the formation of a new mode of gene regulation.

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### **Ancestral features exploited in the HOXA11/FOXO1 cooperativity**

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Several key factors necessary for the cooperative gene regulation between HOXA11 and FOXO1 were in place prior to the evolution of functional cooperativity. First, the physical interaction between HOXA11 and FOXO1 evolved prior to the most recent common ancestor of monotremes and humans, i.e. in the stem lineage of all mammals (Brayer et al., 2011). In contrast, the functional cooperativity evolved in the stem lineage of placental mammals (Lynch et al., 2008). The physical interaction between HOXA11 and FOXO1 occurs within the well-conserved homeodomain of the HOXA11 protein (Brayer et al., 2011). By maintaining a high level of constraint within the Homeodomain, the HOXA11 protein retained previously established protein-protein and protein-DNA interactions. We interpret our findings to show that the evolution of a new TF functions can arise from the modification of an already present protein-complex.

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Our results demonstrate that the HOXA11 protein has a suppressed ancestral activation function. This activity is masked by an intra-molecular interaction mediated by the newly discovered repressive region, here called NP. This is shown by the fact that a deletion of the NP fragment from the ancestral HOXA11

458 protein causes FOXO1 independent gene activation (Figure 4A). The HOXA11  
459 proteins from both placental and non-placental mammals are intrinsic repressors  
460 if tested in the absence of FOXO1. Only HOXA11 from placental mammals is  
461 capable of functionally responding to FOXO1 and unmasking its activation  
462 function. This suggests that the role of FOXO1 in the cooperative up-regulation of  
463 gene expression in the derived state is to relieve the repression of an already  
464 existing activation function. It is likely that HOXA11 is able to interact with other  
465 TFs in other cells to unmask its activation potential. If this is the case, the  
466 evolutionary event we describe here is an expansion of the set of TFs that  
467 HOXA11 can interact to cause transcriptional activation.

### 468 469 **Evolutionary changes in the derived HOXA11**

470 Two kinds of evolutionary changes were identified to be sufficient for converting  
471 the ancestral HOXA11 into a context-specific transcriptional activator: i) a derived  
472 intra-molecular interaction site and ii) two derived proline substitutions and the  
473 phosphorylation of their associated phosphorylation sites.

474  
475 The first site identified to have a significant impact on the cooperative  
476 transactivation was the derived Protein Interaction Motif (PIM, amino acids 103-  
477 107). The introduction of this site alone, however, could not convert the ancestral  
478 HOXA11 into a FOXO1 dependent activator at levels seen with the eutherian  
479 HOXA11 (Figure 7A). A back mutation of this site in the eutherian HOXA11  
480 resulted in a FOXO1 independent trans-activator of gene expression (Figure 4D).  
481 M2H studies suggest that the derived PIM functions as an interaction site for the  
482 repressive region, NP, in the eutherian HOXA11 (Figure 4B). This model is also  
483 supported by NMR data.

484  
485 The second important evolutionary change identified were two proline  
486 substitutions at positions T97P and T120P. Individually, these sites in  
487 combination with the derived PIM site, in the therian HOXA11, had a significant  
488 effect on gene regulation (Figure 7A & B). However, only when both proline  
489 substitutions were introduced with the derived PIM site were we able to obtain  
490 transactivation at similar levels to the eutherian HOXA11 (Figure 7B).

491  
492 Further support for the allosteric regulation of transcriptional activation is  
493 provided by NMR data. Comparison of chemical shift patterns between  $\Delta$ NP-  
494 IDRL and IDRL with the KIX domain of CBP revealed low similarity in KIX  
495 interaction, suggesting that the NP is affecting KIX binding.  $\Delta$ NP-IDRL binds KIX  
496 at the KIX binding motif FDQFF at amino acids 142-146. Finally the tryptophan  
497 residue (W) at amino acid position 73 in the NP, as well as mutations at the  
498 PIM103-107, leads to a chemical shift pattern very similar to that of  $\Delta$ NP-IDRL.  
499 This result is in agreement with the M2H experiments. Overall our model of  
500 allosteric regulation of HoxA11 activity is supported by functional genetic,  
501 biochemical (M2H) and NMR evidence.  
502

503 From these experiments we conclude that the evolution of the derived  
504 cooperativity involves at least four mutations. The two threonine → proline  
505 substitutions (T97P and T120P) are possible by a single nucleotide substitution  
506 at each site. The ancestral mammalian HOXA11 has a glycine residue instead of  
507 the derived proline at AA's 103. A glycine → proline substitution needs a  
508 minimum of two nucleotide substitutions. We conclude that at least four  
509 nucleotide substitutions are necessary to convert an ancestral HOXA11 into a  
510 derived TF able to respond to FOXO1 binding with transcriptional activation.

511  
512 Multi-functional motifs in disordered regions can allosterically regulate  
513 transcriptional activation function. A pertinent example of allosteric regulation is  
514 the Ubx TF, where multiple disordered regions control DNA interactions (Liu et  
515 al., 2008). Two inhibitory regions (I1 and I2) reduce affinity of Ubx by 2-fold, and  
516 by 40-fold, respectively, whereas a regulatory region (R) improves binding in a  
517 length-dependent manner. I1 directly contacts ionizable residues of the HD  
518 binding interface and also competes with R for the same transient interaction.  
519 Such competitive interplay between the disordered regions fine-tunes DNA  
520 binding affinity. The allosteric regulation is enabled by the disordered state, which  
521 is also maintained in the complex (Fuxreiter et al., 2011). Another recent  
522 example is the striking cooperativity switch found with the E1A-CBP-pRb  
523 complex (Ferreon et al., 2013).

524

## 525 **Conclusions and perspective**

526

527 The evolution of TF proteins has been considered unlikely (Carroll, 2005;  
528 Prud'homme et al., 2007; Wray, 2007). The rationale was that amino acid  
529 substitutions affecting fundamental TF functions would lead to many negative  
530 pleiotropic effects. However, research emerging since the late 1990's has shown  
531 that TFs are capable of evolving new functional activities (Wagner and Lynch,  
532 2008). Nevertheless, the mechanisms of how TF function can change are largely  
533 unknown.

534

535 In this study, we provided evidence for a model of TF evolution where a limited  
536 number of amino acid substitutions led to an evolutionary change of the  
537 *functional output* of a *pre-existing protein complex*. The derived activation  
538 function of the HOXA11::FOXO1 complex evolved through the modulation of a  
539 pre-existing intra-molecular repression of a transcriptional activation domain in  
540 the HOXA11 protein. The derived mechanism of transcriptional activation has the  
541 potential to be context sensitive; it may only happen in cells that express  
542 HOXA11 as well as FOXO1 and the appropriate protein kinases. Whether this  
543 mode of TF evolution leads to changes in gene regulation limited to certain cell  
544 types is an important question to pursue.

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546

## 547 **Supplemental Data**

548

549 Supplemental Data includes Supplemental Data, 6 figures and 4 tables, Material  
550 and Methods with any associated references, and can be found with this article  
551 online.

552

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554

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556 (Grant #12793).

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## 561 Figure Legends

562 Figure 1. **(A)** Schematic representation of HOXA11 showing the overall domain  
563 organization and the sequence variability along different regions. The regions  
564 with conserved sequences (red), larger evolutionary variation (blue), low  
565 sequence complexity (light blue) and highly conserved homeodomain (brown)  
566 were identified from multiple sequence alignment of HOXA11 protein sequences  
567 from several different species. The boxes in gray indicate the two regulatory  
568 domains between regions 64-152 (IDR) identified during this study, which include  
569 the negative regulatory domain (NP, green underlined) and the disordered  
570 regulatory region ( $\Delta$ NP-IDR, red underlined). **(B)** Sequence logo of HOXA11  
571 amino acid sequence generated using weblogo after multiple sequence  
572 alignment. The height of each amino acid is proportional to the degree  
573 conservation of residue in a given position of the sequence. Regions for the NP  
574 and  $\Delta$ NP-IDR are indicated with red and green underlines respectively. **(C)**  
575 Weblogo representation of *HOXA11* IDR secondary structure prediction. The  
576 secondary structure for *HOXA11* residues 1-175 was predicted using jufo. The  
577 vertical axis denotes the probability of a coil (C), Helix (H) or a strand (S) to occur  
578 for a given residue represented in the horizontal axes. The most prominent helix  
579 structures are shown in the black box. Regions for the NP and  $\Delta$ NP-IDR are  
580 indicated with red and green overhead lines respectively.

581  
582 **Figure 2.** Denovo models of HOXA11 structure predicted by ROSETTA for  
583 residues **(A)** 58-154 IDR and **(B)** residues 85-159 ( $\Delta$ NP-IDR). The colors indicate  
584 three putative KIX binding domains at position 85-88 (magenta), 103-107  
585 (orange, also called PIM for Protein Interaction Motif in the paper), 142-146  
586 (Daniels et al.). The single tryptophan residue in IDR at position 73 is colored  
587 blue. Circular Dichroism spectra showing the effect of increasing TFE  
588 concentration on the helical propensity of **(C)** IDR and **(D)**  $\Delta$ NP-IDR. Increasing  
589 concentration of TFE led to 35% helicity in both HOXA11 constructs.

590  
591 **Figure 3.** Characterization of the Intrinsically Disordered Region (IDR). **A**  
592 Conservation plot of Eutherian (n=82, red line) and non-Eutherian (n=55, blue  
593 line) HOXA-11 proteins. **A**, (bottom), Sequence conservation of N-terminal amino  
594 acids of HOXA11. Derived Eutherian amino acid changes are shown in red. **B**,  
595 Diagram of the luciferase reporter vector and experimentally characterized  
596 transcription-factor binding sites. **C**, Gene reporter assays of N-terminal deletion  
597 constructs of Eutherian HOXA11 (mouse) co-transfected with FOXO1 in human  
598 ESCs (HESC) cell lines. Results suggest HOXA11 N-terminal is a multifunctional  
599 domain. **D**, Detailed deletion constructs of the IDR revealed the NP (residues 66-  
600 81) as a critical region of the IDR required for regulatory cooperativity. **E**,  $\Delta$ NP  
601 HOXA11 is FOXO1 independent in transactivation activity. Luciferase values are  
602 shown as fold changes (mean  $\pm$  s.e.m., n = 6) relative to the reporter control  
603 (dPRL). \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001, ns p > 0.05.

604

605 **Figure 4.** Functional equivalence of the NP between Eutherian and Ancestral  
606 Therian HOXA11. **A**, Reconstructed ancestral therian (AncThA11)  $\Delta$ NP mutant  
607 construct (gray shaded area) showed similar repressive function to eutherian  
608 HOXA11 (non-shaded area). The  $\Delta$ NP Ancth-A11 also showed FOXO1  
609 independence in transactivation function (blue column). **B**, Mammalian Two  
610 Hybrid (M2H) assay identifies interaction between the NP and IDR (prey).  
611 Luciferase values are shown as fold changes (mean  $\pm$  s.e.m., n = 6) relative to  
612 background measured using CheckMate Negative Control Vectors: pBIND and  
613 pACT. **C**, Schematic illustration for the intramolecular interaction between the  
614 IDR and N-terminal region. **D**, Both  $\Delta$ NP and backward mutation of derived  
615 PIM103 site in the eutherian HOXA11, are FOXO1 independent transactivators.  
616 **E**, Forward mutation of the derived PIM 103 in ancestral therian HOXA11 was  
617 not sufficient in recovering cooperative transactivation activity.

618  
619 **Figure 5.** The role of CBP in HOXA11/FOXO1 dependent gene activation. **A**,  
620 Cooperative transactivation activity between HOXA11 and FOXO1A is increased  
621 by CBP. Gene reporter assay was performed for Hela cells co-transfected with  
622 HOXA11, FOXO1A, and CBP. Results suggest that the addition of CBP  
623 increases cooperative transactivation off the dPRL that has been previously  
624 described for HOXA11 and FOXO1A. Luciferase values are shown as fold  
625 changes (mean  $\pm$  s.e.m., n = 6) relative to the reporter control (dPRL). \*p < 0.05,  
626 \*\*p < 0.01, \*\*\*p < 0.001, ns p > 0.05. **B**, Overlaid  $^1\text{H}$ - $^{15}\text{N}$  HSQC spectra of  $^{15}\text{N}$   
627 labeled KIX (40 $\mu\text{M}$ ) titrated with up to 20 molar equivalent of unlabeled  $\Delta$ NP-IDR.  
628 **C**, Expanded region of the NMR spectra showing the chemical shift changes of  
629 residue L620 of KIX with increasing  $\Delta$ NP-IDR concentration. **D**, Normalized  
630 backbone chemical shift changes of KIX up on titration of 20 molar equivalent of  
631  $\Delta$ NP-IDR mapped on the solution structure of KIX-MLL-cMyb ternary complex  
632 (PDB: 2AGH). Residues marked with different shades of red indicates standard  
633 deviation from the average chemical shift change of 0.04 ppm. The sites  
634 corresponding to cMyb (left) and MLL (right) are presented through a 90 $^\circ$  rotation  
635 along the vertical axes.

636  
637 **Figure 6.** Gain in function of HOXA11 is mediated by DNA-PK kinase activity. **A**,  
638 Schematic illustration of the gain and lose of Kinase motifs between ancestral  
639 therian (left image) and eutherian HOXA11 (right image). **B**, Effects on the  
640 cooperative transactivation activity of HOXA11 and FOXO1 in HESCs treated  
641 with ERK1/2, GSK-3 $\beta$ , DNA-pk, and CDK 2/5 kinase inhibitors. Rescue of  
642 inhibition on transactivation activity by kinase inhibitors in **(C)** DNA-pk and **(D)**  
643 GSK-3 $\beta$  by phospho-simulation at amino acids S98D and T119D. **E**, Binding  
644 curves of  $\Delta$ NP-IDR and double phospho mimic mutant  $\Delta$ NP-IDR S98D T119D  
645 titrated to  $^{15}\text{N}$  labeled KIX measured from  $^1\text{H}$ - $^{15}\text{N}$  HSQC spectra of the MLL  
646 binding pocket. The x-axis represents increasing concentration of IDR or IDR  
647 S98D T119D, combined chemical shift differences of KIX residue in the y-axis. **F**,  
648 Correlation plot of the combined chemical shift changes of KIX when titrated to  
649 IDR 103-107, mutation of the PIM. and  $\Delta$ NP-IDR at 15 molar equivalents. These

650 results suggest that mutations of the PIM and deletion of NP have similar  
651 consequences for the binding of the KIX domain.

652

653 **Figure 7.** Evolutionary and physiological changes that convert the HOXA11  
654 protein into a transcriptional activator. **A**, Conversion of ancestral therian  
655 HOXA11 to a transactivator that is FOXO1 independent. **B**, Conversion of  
656 ancestral therian HOXA11 to a FOXO1 dependent activator of luciferase gene  
657 expression by mimicking phosphorylation at S98 and T119. Luciferase values are  
658 shown as fold changes (mean  $\pm$  s.e.m., n = 6) relative to the reporter control  
659 (dPRL) \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001, ns p > 0.05. **C**, Schematic model  
660 illustrating the cooperative regulation of dPRL in decidualized human endometrial  
661 stromal cells. **C<sub>A</sub>**, Native HOXA11 is localized in the nucleus and acts as a native  
662 transcriptional repressor. **C<sub>B</sub>**, During decidualization, phosphorylation events to  
663 the FOXO1 protein is one mechanism, among others, that allow a net increase in  
664 nuclear FOXO1. **C<sub>B</sub><sup>i</sup>**, translocation into the nucleus is facilitated by JNK kinase.  
665 **C<sub>B</sub><sup>ii</sup>**, The retention of nuclear FOXO1 is facilitated by the inhibition of PKB/AKT  
666 kinase activity. **C<sub>C</sub>**, Nuclear FOXO1 interaction with HOXA11 possibly induces a  
667 structural change (broken black line) that relieves intra-molecular interactions  
668 exposing activation. **C<sub>D</sub>**, The recruitment of DNA-pk kinase to S98 and T119 is a  
669 derived mechanism of regulating the unmasked activation of HOXA11, by making  
670 it a FOXO1 dependent gene regulator. **C<sub>E</sub>**, Foxo1 dependent activated HOXA11  
671 capable of regulating decidual specific genes such as PRL.

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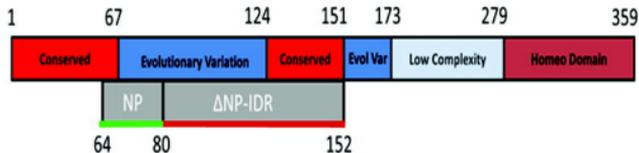
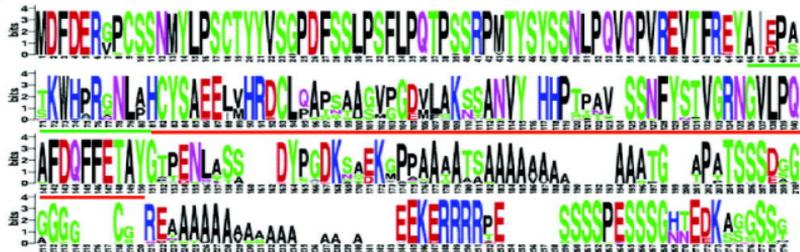
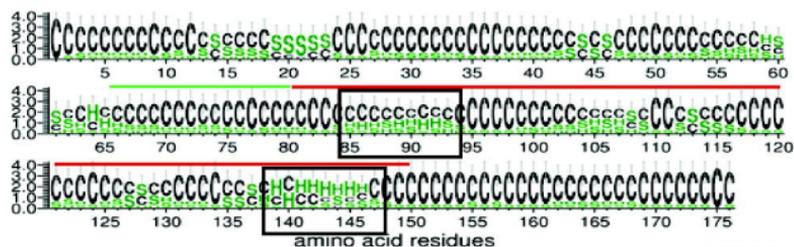
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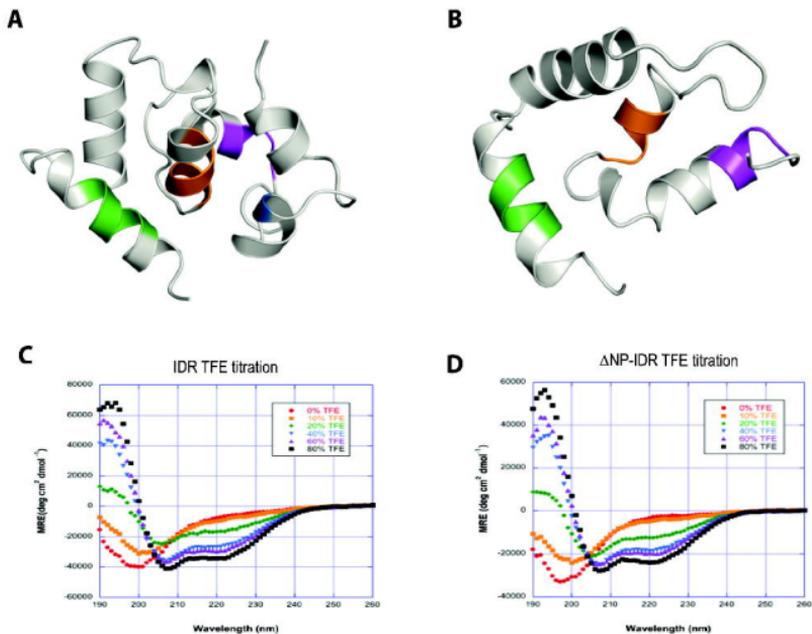
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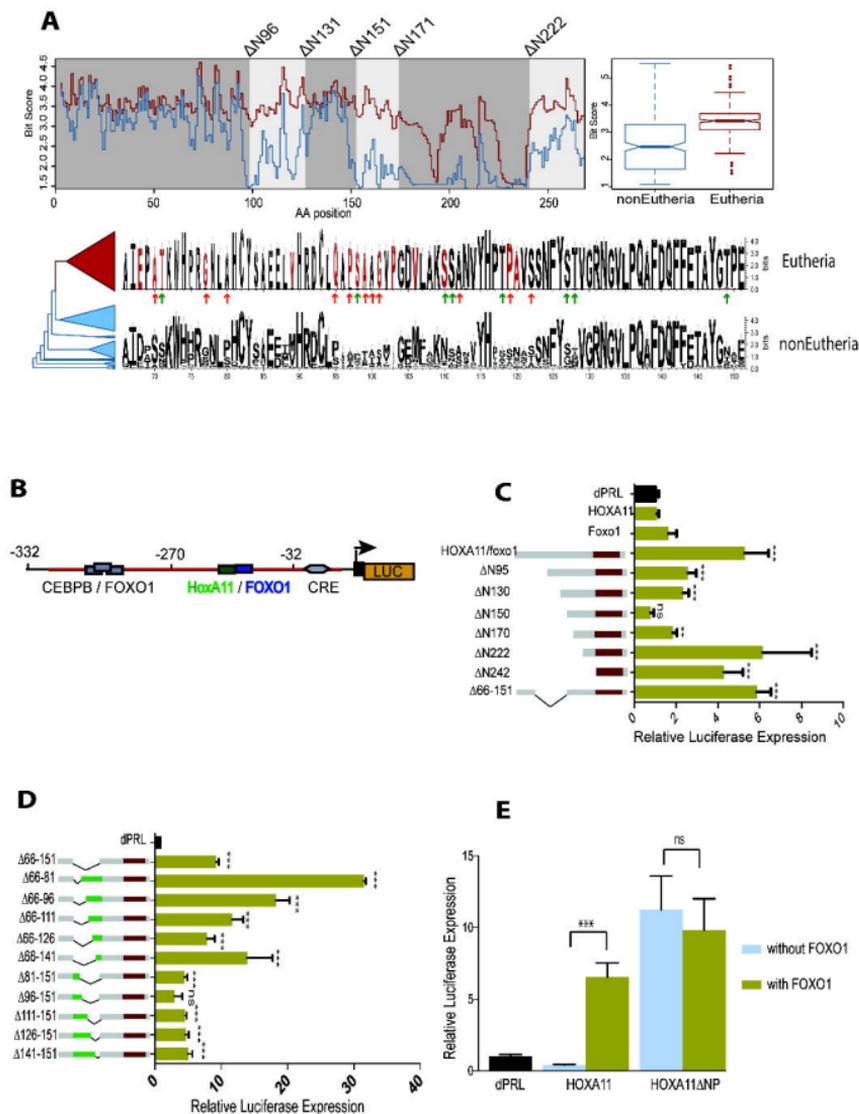
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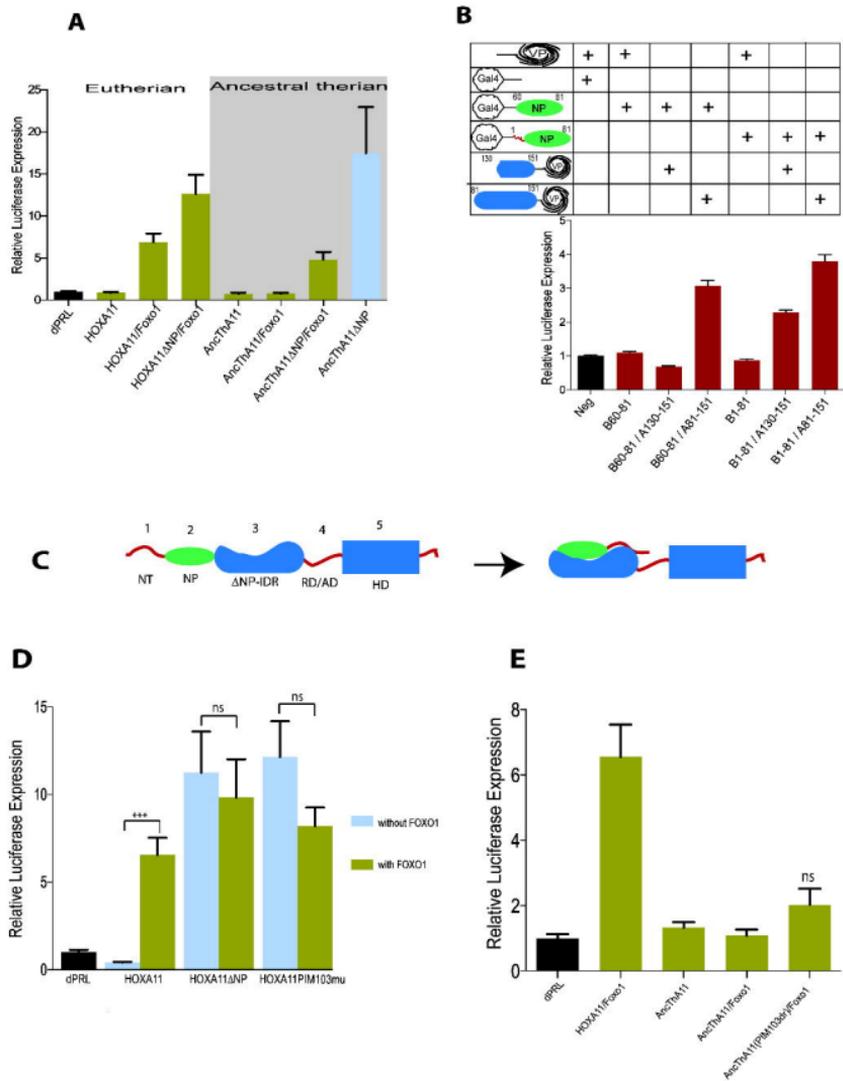
**A****B****C****Figure 1**



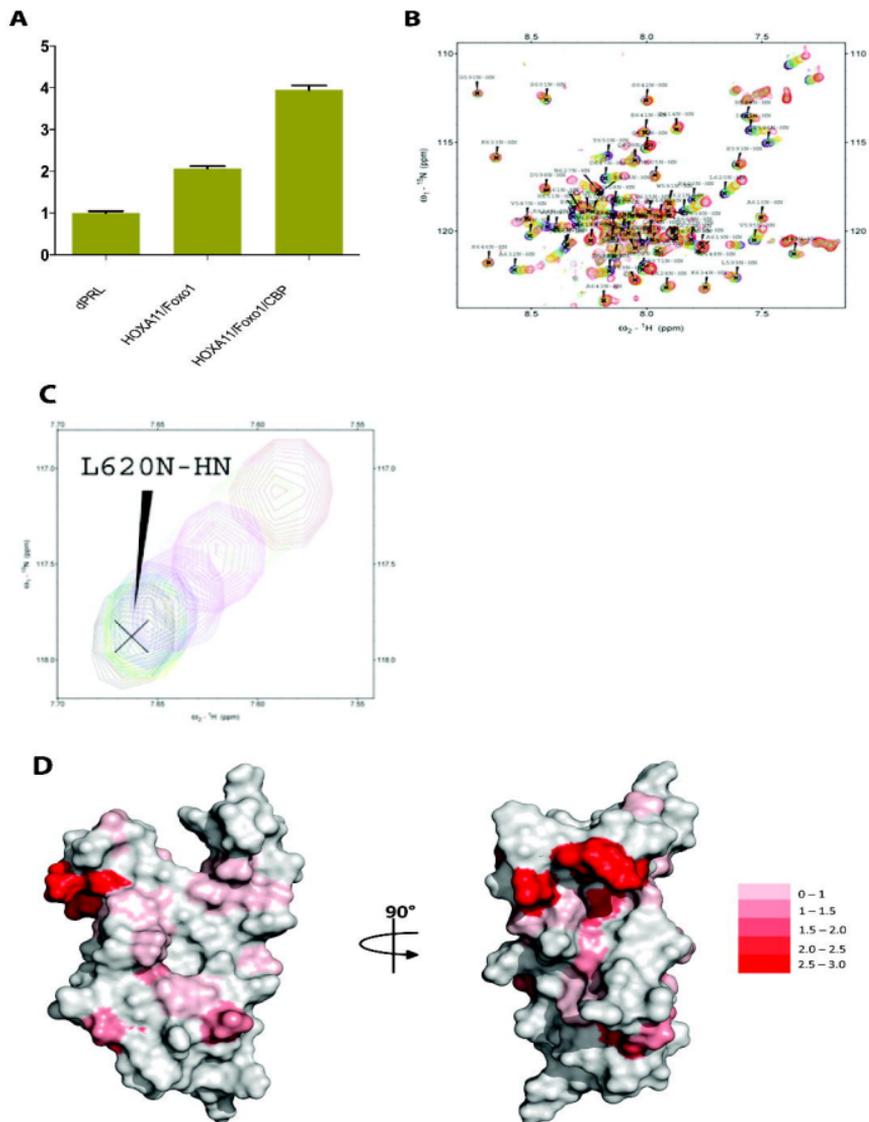
**Figure 2**



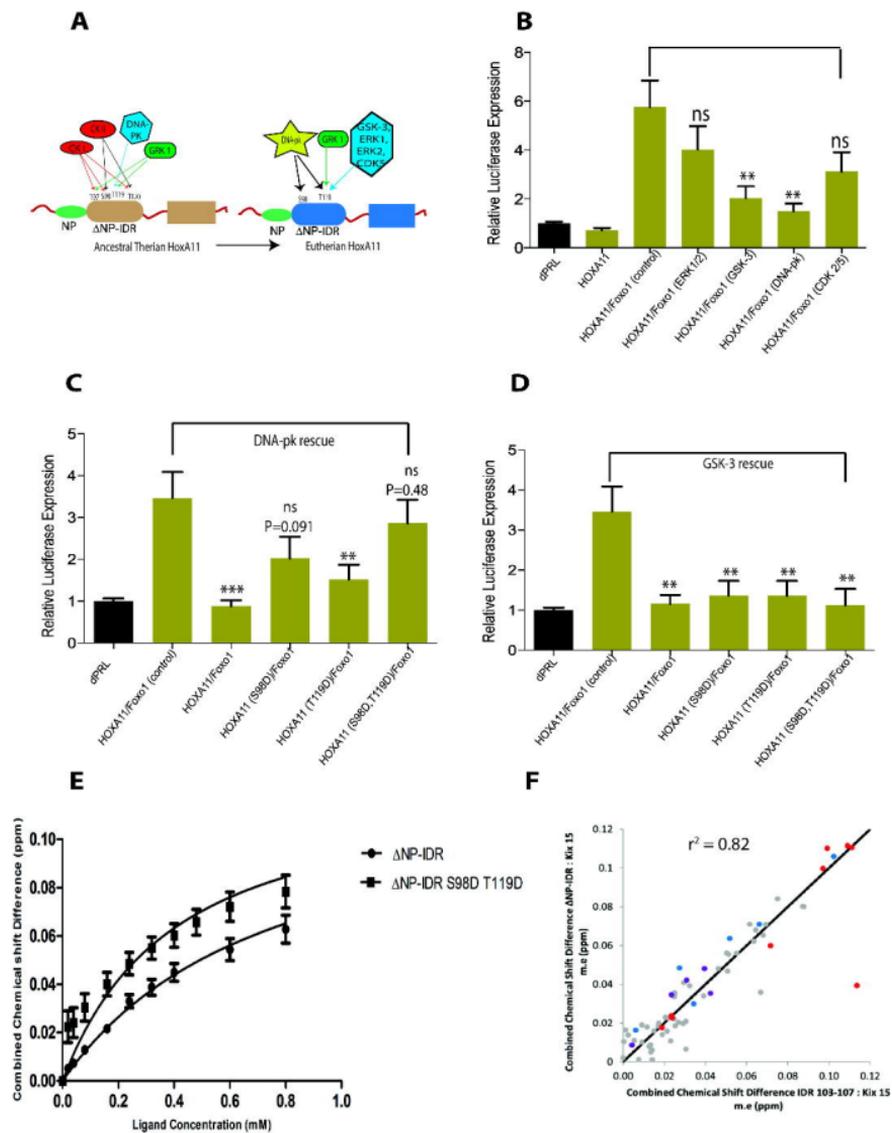
**Figure 3**



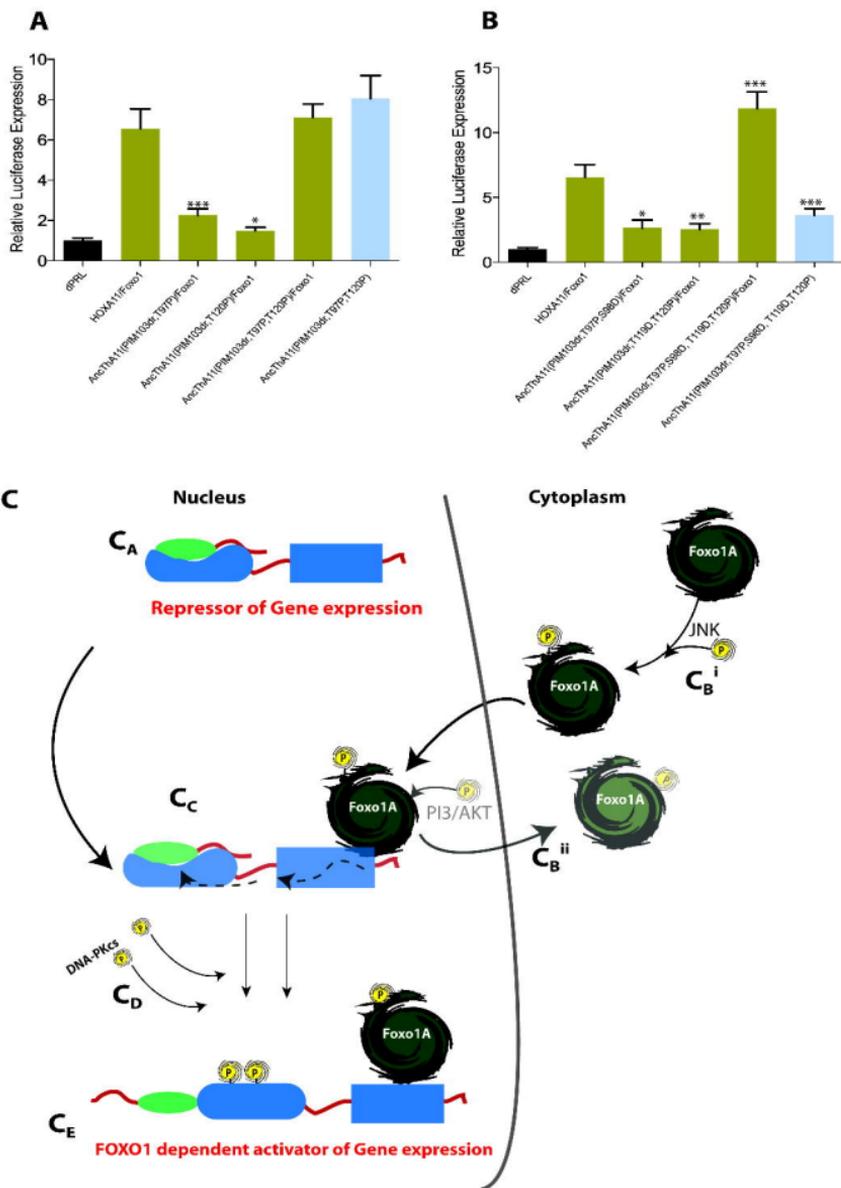
**Figure 4**



**Figure 5**



**Figure 6**



**Figure 7**