# Nonequilibrium Thermodynamics and Fitness Costs Associated with Information Preservation May Explain Longevity Differences between Species

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Abstract

The aging process in most organisms is enormously complex, involving a multitude of integrated molecular pathways that define and modulate the gradual cellular, tissue and system-level changes that evoke the aging phenotype. Despite this sophistication, the root causes underlying the susceptibility of an organism to aging may be comparatively straightforward. Here, I posit that organismal aging can be explained using a three-legged framework derived from established principles of physics (nonequilibrium thermodynamics and Newtonian), evolutionary theory, and information theory. I suggest that this logic also demonstrates that aging is biologically inevitable. It is argued here that stipulations derived from the second law of thermodynamics and Newtonian mechanics may be critical in defining evolutionary fitness landscapes that vary according to the ability of the organism to resist the loss of data in information-encoding biomolecules (DNA), and in some organisms possibly other biocomponents subject to irreversible fidelity loss, and that this may largely explain the differences in longevity amongst many organisms.

1 Introduction

Concepts from evolutionary theory, genetics, biochemistry, and cellular and molecular biology have all been used to attempt to explain biological aging. Yet, despite all of the efforts to unlock the mysteries of aging, recent focus has remained in these areas—more fundamental universal physical law has been under or incorrectly applied, or ignored altogether. Notwithstanding the fact that deterioration is implicated nearly universally in the aging process, the connection to nonequilibrium thermodynamics and entropy production has not been firmly established and is infrequently mentioned. There have been a few notable exceptions; for example, Hayflick contends that entropy alone is sufficient to explain aging (Hayflick, 2007a; 2000; 2004; 2007b).

The second law of thermodynamics (hereafter abbreviated to ‘second law’) stipulates that all energy, regardless of form, has a propensity to transition from a localized state to one that is more spread out, dispersed in space, at a rate that is determined by the ability of contributing external factors to counteract this tendency. In any system that is not at equilibrium, this tendency will result in entropy production by means of irreversible processes. A nonequilibrium system will continue to produce entropy indefinitely until equilibrium is reached, resulting in a transition from a higher concentration of molecular bond energy to a lower bond energy concentration (Demirel, 2014a).

It has been argued that the second law only relates to closed systems and that since organisms are open systems the second law does not apply (Mitteldorf, 2010). This is false—the second law is universally applicable and always satisfied (Kondepudi and Prigogine, 2014). According to modern nonequilibrium thermodynamics, the second law describes the tendency for internal entropy to be produced by any system that is not in equilibrium. Clearly organisms are not in equilibrium and therefore internal entropy is produced continuously in all organisms.

Organisms combat entropy increases by exchanging heat and other forms of energy with their surroundings and importing/exporting entropy in the form of metabolites and catabolites. It has been suggested that any entropy increase in an organism can always be counteracted without repercussions by simply expending energy; from this, some have concluded that there is no thermodynamic stipulation for aging to occur and no role for thermodynamics in explaining aging. This is a rather obvious non sequitur—yet this notion has been perpetuated in the aging literature, both explicitly and implicitly (Kirkwood, 1999; Mitteldorf, 2010; Trindade et al., 2013). It will be demonstrated here why this inference is a logical fallacy and how it neglects to consider the effects of internal entropy production within an organism—particularly the influence of internal entropy production on the flow of biomolecular-encoded information over time.

Despite existing in a nonequilibrium state, for a period of time organisms resist the decay to equilibrium. I will consider how internal entropy production is combatted within an organism and how various classes of biomolecules are impacted differently by thermodynamically-explained phenomena.

Internal entropy production will be demonstrated to lead to inevitable reductions in the mutual genetic information contained in DNA molecules over time. While death due to other circumstances may occur first, individuals must
eventually succumb to this effect with adequate time. Although germ cells will also inevitably lose mutual DNA information, species are able to survive and adapt due to selective pressures favoring the resulting genotypes that maximize fitness. Similarly, losses in genetic information (i.e. mutations) that reduce species fitness are gradually eliminated from the gene pool.

It will also be established how strategies that increase or decrease the rate of loss of mutual DNA information in the individuals of a species produce concomitant changes in other factors that impact fitness. Long-established allometric (and other) trends related to lifespan predict the state of many of these factors across a diverse range of species. The described logic suggests the existence of correlations between species longevity, the rate of loss of mutual DNA information in individuals of a species and these fitness-modulating factors.

2 Nonequilibrium Thermodynamics Stipulates Biomolecular Damage in Living Organisms

Thermodynamic equilibrium can be characterized as the absence of thermodynamic potentials within a system. Free energy is minimized in equilibrium systems. In living organisms, energy remains highly concentrated and considerable thermodynamic potentials exist; i.e. organisms are nonequilibrium dissipative structures. Through the continuous exchange of energy and matter with the environment, organisms establish an ordered structure with lower entropy than could be attained if they were in equilibrium. In terms of the preservation of overall biomolecular integrity, an organism could be characterized as a near steady-state nonequilibrium system—at least if considered over a snapshot-in-time that is short compared to total lifespan. As the atomic arrangement of biomolecules fails to maximize free energy\(^1\), the second law stipulates that irreversible processes that drive the system in the direction of equilibrium will occur and impose insults on biomolecular structure. This degradative phenomenon must be counteracted by the organism to prevent loss of biomolecular integrity.

Due to the presence of thermal, chemical, mechanical, electrical and other thermodynamic fluxes within an organism, not to mention significant spatial and temporal heterogeneity of the same at the mesoscopic and macroscopic level, a multitude of opportunities exist for biomolecular interactions that result in transitions to undesirable structural states. Mechanical force-based unfolding of proteins and unzipping or shearing of nucleic acids can occur, as can protein folding alterations and improper protein associations due to crowding (Zhou, 2010). DNA is subject to hydrolysis, oxidation, and methylation reactions among others (Lindahl, 1993). Denaturation of DNA and protein from excessive temperature is also possible. The disruption of hydrophobic interactions can occur in many situations and can alter protein conformation. These are some of the more obvious ways by which a biomolecule could be damaged in a living organism. It should be apparent that while it is beneficial to the organism for the probabilities of these occurrences to be minimized, it is impossible to reduce them to zero.

2.1 A Model System for Analyzing Thermodynamically-Derived Biomolecular Degradation

Even in conditions of relative homogeneity, biomolecules will face degradation as stipulated by thermodynamic principles. For example, biomolecular structure can be compromised by a variety of undesirable chemical reactions that directly alter the molecular arrangement. To demonstrate the degradative effects of thermodynamic chemical forces on biomolecules, we will consider a system consisting of a fixed volume of cytosol. The analysis will focus on a single type of biomolecule; this could be an expressed protein, synthesized lipid or any other biomolecule that is produced by the organism’s cellular machinery. We will assume for this example that the biomolecule of interest is a protein. The temperature and pressure of the system are in equilibrium with the surroundings and equivalent to physiological values. The concentrations of all molecules aside from the protein of interest are held constant by chemostats. The system is assumed to remain in thermomechanical equilibrium at all times but not chemical equilibrium. Chemical reactions not involving the protein of

\(^{1}\) Not to be confused with free-energy minimization during protein folding and the conformational changes of other biomolecules. In these examples, the free-energy being minimized only considers conformation options for a given atomic structure (transitions that can occur within a very short time period). Even in its lowest free-energy conformation, any given biomolecule will still possess considerable excess energy, largely stored in the bonds between its atoms, compared to an equilibrium state where this energy has been maximally dispersed.
interest are inhibited (reaction rates and affinities are zeroed), as are all biomolecular repair and replacement mechanisms. At time \( t_0 \), every molecule of the protein of interest is in a state consistent with that immediately following successful protein synthesis, proper folding and post-translational modification (if applicable).

In accordance with the second law, the described system will produce internal entropy and transition irreversibly from an initial state at time \( t_0 \) through a very large number of nonequilibrium states until all chemical reaction affinities have been reduced to zero. Since the system as defined has no means of counteracting internal entropy production, the second law requires that the only stable or steady state is the chemical equilibrium state. Although some reaction affinities may be low, even the most improbably reactions must have nonzero reaction rates. The presence of reactive oxygen species (ROS) may generate reactions with particularly high affinities but such reactions are not the only source of internal entropy production. During the progression towards chemical equilibrium, the protein can exist in a very large number of alternative internal states representing various degradative arrangements. The transitions between internal states can be characterized by reactions of the form

\[
\sum_{\alpha=1}^{N_a} a_{\alpha}^m A_{\alpha} + n \rightleftharpoons \sum_{\beta=1}^{N_b} b_{\beta}^m B_{\beta}
\]

where \( n \) and \( m \) are the initial and new protein internal states, \( a_{\alpha}^m \) and \( b_{\beta}^m \) are the number of molecules of reactant (\( A_{\alpha} \)) or product (\( B_{\beta} \)) involved in the reaction, and \( N_a \) and \( N_b \) are the number of different reactants and products involved. As these reactions proceed, internal entropy will be produced until the system reaches equilibrium. The rate of internal entropy production \( d_i S / dt \) at any time \( t \) up until equilibrium can be expressed as

\[
d_i S / dt = \sum_{j=1}^{r} \sum_{i=1}^{k} \left( -v_i^{(j)} \mu_i / T \right) \frac{d\epsilon_j}{dt} > 0
\]

where \( k \) is the number of chemical species involved in a particular reaction, \( r \) is the total number of reactions taking place in the system at time \( t \), \( v_i^{(j)} \) are the stoichiometric coefficients, \( \mu_i \) are the chemical potentials, and \( d\epsilon_j / dt \) represents the reaction velocity at time \( t \). At thermodynamic equilibrium, both the reaction velocity and the reaction affinity \( A_r = \Sigma_{i=1}^{k} \left( -v_i^{(j)} \mu_i / T \right) \) will be zero.

Nonspontaneous processes can also occur during the degradation towards system equilibrium by way of being driven through thermodynamic coupling (Wang, 2009). Although these processes will usually also result in a biomolecule transitioning into a biologically undesirable internal state, negative entropy may be produced locally. Per the second law, internal entropy production must be non-negative in nonequilibrium systems at all times. Therefore, any negative internal entropy produced by a nonspontaneous process will be offset by positive internal entropy production elsewhere in the system.

The described system illustrates why biomolecular degradation will occur continuously within all organisms, tissues, and cells—due to both spontaneous and nonspontaneous reactions. For homeostasis (steady-state) to be preserved, this degradation must be combatted by biological mechanisms capable of producing sufficient negative entropy to offset the internal entropy being produced. Obviously, degenerative internal entropy production in a living organism is not limited to chemical reactions, as in the system above, but will also include contributions due to heat, mass, and momentum transfer as well as electrical, magnetic, and other effects. Each of these factors can be modelled similarly using modern nonequilibrium thermodynamic theory and the second law in particular, which establishes an arrow of time stipulating that the future can be distinguished from the past by an ever increasing quantity of internal entropy produced.
2.2 Preservation of Steady-state Nonequilibrium within a Biomolecular System

Returning to our model system from the previous section, the ultimate endpoint of this system represents a state where all of the examined biomolecule have fully degraded and internal entropy production has ceased. Once this state is reached, all reaction affinities $A_r$ and reaction velocities $d e_j / dt$ will be zero, and the system will be in equilibrium.

The total entropy increase in the system at any time $t$ is

$$d S_{sys,t} = \int_{t_0}^{t} \left( \frac{d_e S}{dt} + \frac{d_i S}{dt} \right) dt + \frac{Q_{tot}}{T} + \int_{t_0}^{t} \sum_{j=1}^{r} \sum_{i=1}^{k} \left( \frac{-v_i^{(j)} \mu_i}{T} \right) d e_j / dt$$

Here, $d_e S / dt$ represents the rate of entropy gain/loss in the system due to the exchange of energy with the surroundings (heat flowing into or out of the system). $Q_{tot}$ represents the total heat that has been transferred to/from the system between time $t_0$ and time $t$. Utilizing the change in system entropy $d S_{sys,t}$ for any time $t$ and the increase in system entropy corresponding to the equilibrium condition $d S_{sys,max}$, we can define a new parameter to represent the degree to which the biomolecular ensemble under examination has degraded. This will be called ‘degradation state’ $D$ and is calculated

$$D(t) = \frac{d S_{sys,t}}{d S_{sys,max}}$$

A $D$ of 1 corresponds to full degradation, while a value of 0 is representative of a pool of fully intact biomolecules. To prevent the described system from transitioning to an equilibrium state with maximum disorder and to preserve a steady state, the entropy being produced must be counteracted such that the following equation is satisfied

$$\dot{S}_{sys} = \frac{d_e S}{dt} + \frac{d_i S}{dt} = 0$$

Since the second law stipulates that $d_i S / dt > 0$, in order to maintain a steady state

$$\frac{d_e S}{dt} = - \frac{d_i S}{dt} < 0$$

As expected, negative entropy must be introduced into the system in order for biomolecular integrity to be preserved (i.e. prevent an increase in system entropy). Suppose that the system incorporates a replacement mechanism that replaces $N_{rc}$ moles s$^{-1}$ of degraded biomolecules with newly expressed or fully-repaired biomolecules. A steady state can be maintained if

$$D_{rep} = \frac{-d S_{sys,max}}{N_{tot}} N_{rc} = - \frac{d_i S}{dt}$$

Where $D_{rep}$ represents the average degradation state of a replaced biomolecule and $N_{tot}$ is the total number of the biomolecule of interest in moles. We can rearrange Eq. (7) to solve for replacement rate. (The rate of internal entropy production will be denoted by $\dot{S}_i$ instead of $d S / dt$ for purposes of clarity.)

$$N_{rc} = \dot{S}_i N_{tot} (D_{rep} * d S_{sys,max})^{-1}$$

The degradation state $D$ of a biomolecular pool specifies the level of degradation of the average biomolecule but it does not indicate how well biomolecules perform at that degradation state. A new term, biomolecular performance $P$, will be used to quantify the relative ability of a biomolecule to perform its intrinsic biological function(s). A value for $P$ of 1 indicates that the average biomolecule in an ensemble is able to perform 100% of its intrinsic biological function(s), or in other words, the ensemble will perform as if all biomolecules were in ideal condition. A $P$ of 0 denotes that the average biomolecule can perform none of its intrinsic biological function. Ultimately, we would like to express biomolecular...
replacement rate $N_{rc}$ as a function of biomolecular performance. Several more relationships must be defined before this is possible.

Let us examine how biomolecular performance relates to degradation state. Biomolecular insults are inevitable and common occurrences; for this reason, biomolecules must retain the ability to perform their intrinsic biological function even when some level of damage (i.e. an increase in entropy) is present. If a biomolecule did not have this capability, only a very small percentage of biomolecules within a pool would be functional at any given time.

Many small singular insults to a biomolecule will have little to no effect on biomolecular performance (although certainly some singular insults can render a biomolecule nonfunctional or significantly compromised). As the number of insults incurred by a biomolecular pool begins to accumulate, biomolecular performance must decrease at a rate which will increase with further degradation. As the degradation state continues to increase, an inflection point will eventually be reached where the rate of decrease in $P$ has achieved a maximum and further increases in degradation state will result in increasingly lower rates of decrease in $P$. The described relationship between biomolecular performance and degradation state can be approximated by a logistic curve. This can be represented as

$$P(D) = \frac{1}{1 + e^{k(D-D_{PS0})}}$$  \hspace{1cm} (9)

Parameter $D_{PS0}$ specifies the biomolecular degradation state value that corresponds to a biomolecular performance of 0.5. In other words $D_{PS0}$ is a way to signify how much degradation a biomolecular ensemble can incur before losing half its performance. $D_{PS0}$ can be thought of as a measure of biomolecular durability. The parameter $k$ specifies the steepness of the curve, or the relative ability of a biomolecule to resist decreases in performance with increasing degradation; for this reason, $k$ can be viewed as a measure of biomolecular resiliency (lower values indicate increased resiliency).

Fig. 1 illustrates some hypothetical graphs of $P$ as a function of $D$ for various values of $k$ and $D_{PS0}$.

Next, we will derive a means to express the average degradation state of a biomolecule undergoing turnover in terms of the biomolecular performance of the ensemble. For this purpose, it will be assumed that the biomolecular repair/replacement mechanisms are able to differentiate between the performance state of individual molecules and that the average biomolecular performance of a repaired/replaced biomolecule is $m\%$ of the average biomolecular performance of the ensemble. By rearranging Eq. (9) and incorporating the $m$ term we arrive at the desired expression

$$D_{rep}(P) = D_{PS0} + k^{-1}\ln\left(\frac{100\%}{m\%} - 1\right)$$  \hspace{1cm} (10)

Obviously, this relation does not perfectly describe the exact behavior of a cellular biomolecular repair and replacement strategy. However, for the purposes of the current discussion this approximation will be sufficient.

Lastly, to express biomolecular replacement rate in terms of biomolecular performance for a steady-state scenario we require an expression for the rate of internal entropy production as a function of degradation state. Eq. (2) described the rate of internal entropy production in terms of chemical reaction affinities and velocities. We can approximate a transformation of this equation into one that is a function of degradation state by considering some aspects of the reactions occurring within the system. For the time being, we will disregard radical and other chain-type reactions. We
will assume that there are a very large number of potential reactions and the reaction velocities of these reactions are widely and relatively evenly dispersed. Reactions with high reaction velocities will tend to occur before those with lower reaction velocities. In other words, reactions with high reaction velocities will be more prevalent at low degradation states. As degradation state increases, reactions with lower reaction velocities will begin to represent a larger proportion of the internal entropy being produced. However, there will be fewer total reactions because reactions with higher reaction velocities will have already completed. Therefore, as degradation state increases, the reaction velocity of the average reaction will decrease (reducing $S_i$) and there will be fewer total possible reactions (further reducing $S_i$). For this reason, $S_i$ as a function of degradation state can be approximated by an exponential decay relationship.

$$S_i(D) = S_{\text{max}} e^{-rD}$$ (11)

Where $S_{\text{max}}$ is the maximum rate of internal entropy production (corresponding to a $D$ of zero) and $r$ is the exponential decay constant. Actual values of $r$ should always be greater than 0.

Finally, we have all the requisite relationships to express biomolecular replacement rate $\dot{N}_{rc}$ as a function of biomolecular performance. Combining Eqs. (8) thru (11), and solving for $\dot{N}_{rc}$ yields

$$\dot{N}_{rc}(P) = S_{\text{max}} e^{-rP_{50}}(P^{-1} - 1)^{-1} e^{-rD_{PSO} + k^{-1} \ln \left( \frac{100}{mP - 1} \right)^{-1} \frac{N_{\text{tot}}}{dS_{\text{sys,max}}}}$$ (12)

Biomolecular replacement rate is of particular importance as it closely correlates with the rate of energetic resource consumption required to maintain a specific level of biomolecular performance. The performance of a given biomolecular ensemble must satisfy cellular/organismal requirements. Therefore, it is of interest to consider how biomolecular performance and replacement rate relate to each other and how other parameters may effect this relationship.

In the first hypothetical scenario, we will examine the effects of modulating the exponential decay constant $r$ (Fig. 2, Case A). Higher values of $r$ equate to an increase in the rate of decay of internal entropy production with increasing degradation state (demonstrated in Fig. 2A.2 for three different values of $r$). As long as $r$ is not less than or equal to zero (or in other words, provided that internal entropy production decreases with degradation state), there will be a particular performance value above and below which any change in replacement rate will have a diminishing effect on biomolecular performance. This is illustrated by plotting $\frac{d\dot{N}_{rc}}{dP}$ as a function of $P$. The minima in Fig. 2A.4 represent the biomolecular performance values where the return on investment (in terms of rate of consumption of cellular energetic resources) towards biomolecular replacement rate is maximized. This demonstrates the presence of a tradeoff between biomolecular performance and cellular energetic resource return on investment (ROI).

Next we will consider two variations of a biomolecule that share the same degradation state for a particular performance value but differ in resiliency (parameter $k$) due to differences in biomolecular structure. This is depicted in Fig. 2B.1 for a shared performance value of 0.95. $S_i(D)$ is similar for both variations (Fig. 2B.2). Increasing biomolecular resiliency (decreasing $k$) allows for the same biomolecular performance to be achieved with lower replacement rates (Fig. 2B.3). All else being equal, selective pressure should favor biomolecular configurations that maximize resiliency.
For the last scenario, we will consider the impact of radical and other chain-type reactions. The presence of these reactions will impact $\dot{S}_I(D)$. At low degradation states, there will be relatively few chain-type reactions as reactive product is required to generate these reactions. As degradation state increases, more reactive product will be available, leading to an increase in the number of chain-type reactions and a corresponding increase in internal entropy production. As the amount of reactive product increases, the amount of available reactant will decrease. At some degradation state value,
the amount of reactant remaining will have decreased to the point that the quantity of remaining available reactant becomes the factor limiting the reaction rate. This will result in a maximal contribution to $\dot{S}_i$ at this condition and a continual decrease in the magnitude of the contribution to $\dot{S}_i$ for higher degradation states. If we assume that this switchover occurs at a degradation state of 0.5, we can roughly approximate this behavior with the relationship

$$\dot{S}_{i,\text{tot}}(D) = \dot{S}_{\text{max}} h(D - D^2)$$  \hspace{1cm} (13)$$

Where $h$ is a scaling term. The total internal entropy production equation becomes

$$\dot{S}_{i,\text{tot}}(D) = \dot{S}_{\text{max}} (e^{-rD} + h(D - D^2))$$  \hspace{1cm} (14)$$

Combining this equation with Eqs. (8) thru (10), and solving for $\dot{N}_{rc}$ yields the new expression

$$\dot{N}_{rc}(P) = \dot{S}_{\text{max}} \left( e^{-rD_{P50}(P^{-1} - 1)} \cdot \frac{k^{-1}}{k} \right)
+ h(D_{P50} + k^{-1} \ln(P^{-1} - 1) - (D_{P50} + k^{-1} \ln(P^{-1} - 1))^2) \left( \frac{N_{\text{tot}}}{dS_{\text{sys,max}}} \right)^{-1}$$

We can see the influence of chain-type reactions on total internal entropy production for a hypothetical scenario in Fig. 2C.2. Not surprisingly, incorporating chain-type reactions result in increased replacement rates for all performance values (Fig. 2C.3).

Of note is how it is conceivable for a biomolecular pool to have considerable radical-induced damage while functioning at a degradation state corresponding to that which maximizes cellular energetic resource ROI. In addition, it demonstrates that it is reasonable to expect more radical-induced damage (i.e. increased degradation state) in situations where energetic resource availability is limited and energetic resource ROI is more highly prioritized over peak performance. Biomolecular performance levels that maximize energetic resource ROI are likely to correspond with higher levels of biomolecular radical damage compared to the higher performance levels utilized by organisms with lower energetic resource availability restrictions and where maximal biomolecular performance is a higher priority.

The naked mole-rat (Heterocephalus glaber) may be an example of this phenomenon in action. The naked mole-rat has a maximum lifespan (MLSP) of ~31 years while their similar-sized cousin, the house mouse (Mus musculus), has an MLSP of only ~4 years (Tacutu et al., 2012). Yet, the naked mole-rat has been found to have significantly higher levels of oxidative stress than the house mouse (Andziak et al., 2005). Naked mole-rats also have higher levels of oxidative damage, including increased lipid peroxidation and total protein oxidation (Andziak and Buffenstein, 2006; Andziak et al., 2006). Examination of mitochondrial protein fractions from heart tissue found that mitochondrial proteins are also more damaged on average in naked mole-rats compared to mice (Andziak et al., 2006). Despite this, naked mole-rats do not have superior biochemical defenses and in fact, they do not possess an antioxidant assemblage that is any more effective or efficient than that of mice (Andziak et al., 2005).

Naked mole-rats live in a hypoxic environment and have extremely low metabolic rates for their size (McNab, 1966). It has been considered a paradox that the naked mole-rat exhibits high levels of oxidative stress and protein damage while having such a long lifespan compared to similarly sized, closely-related species. I believe that not only is this not paradoxical, it is actually predictable and straightforward to explain. The first piece of the puzzle is explaining why oxidative damage levels are substantially elevated in the naked mole rat. The naked mole-rat’s limited access to oxygen restricts the rate of cellular ATP production via oxidative phosphorylation, thereby requiring that a very high priority be placed on the energetic ROI of cellular processes. As demonstrated, biomolecular performance levels that maximize energetic ROI are likely to correspond with higher loads of radical (oxidative) damage. Related species that are not as energetic resource restricted, for example the house mouse, may operate at higher biomolecular performance levels (to help maximize
athletic ability, growth rate, etc.) which will correspond to lower levels of oxidative, and other, damage present in their biomolecular pools. Therefore, it should not be surprising that the naked mole-rat has elevated levels of oxidative damage, which is indicative of a higher biomolecular degradation state. The second part of solving the naked mole-rat paradox is explaining why their high biomolecular degradation states do not determine, nor adversely affect, MLSP. This will be addressed in section 7.5.

3 Combatting Degradative Internal Entropy Production within an Organism

Within every living cell of an organism and at any given time, a myriad of metabolic and catabolic thermodynamic processes that have no direct impact on biomolecular fidelity are occurring. By utilizing a discrete system defined to include only the relevant factors, the previous analysis disregards these processes and incorporates only those that contribute to, or combat, biomolecular degradation.

From examining Eq. (5) we can see that in order for an organism to avoid a permanent increase in total entropy (i.e. to maintain a steady-state in terms of the level of biomolecular degradation) it must be capable of producing sufficient negative entropy $d_eS/dt$ to offset the degradative internal entropy produced $d_iS/dt$. Many of the mechanisms utilized for this function have been characterized. These mechanisms include biomolecular expression systems, molecular chaperones, degradation systems (proteasomes, lysosomes) and DNA repair enzymes, to name a few. It is clear that organisms would be unable to maintain (or even attain) a developed state without biomolecular repair and replacement mechanisms (Zimniak, 2008). At the cellular level, stem cells and mitotic cell division, together with apoptosis, provide a means to replace entire cells and, in some organisms, even tissues—thereby preserving (or at least attempting to preserve) the degradation state in these populations.

Consideration should also be given to the factors that influence the rate of degradative internal entropy production $d_iS/dt$ within a system as this will determine the amount of negative entropy required to satisfy a particular steady-state condition. Reducing the rate of internal entropy production will decrease the amount of negative entropy needed, and therefore the energetic investment required, to preserve homeostasis. The rate of internal entropy production is proportional to the sum of the contributions from all possible thermodynamic potentials acting on a biomolecular ensemble. This includes chemical reactions, heat, mass, momentum transfer, and other effects. The magnitudes of the thermodynamic potentials depends on the strengths of the respective “damage-inflicting” forces (which may vary significantly with time, particularly when a biomolecule is in an active state) and the ability of an organism’s biomolecular structures to resist these forces.

The rate of degradative internal entropy production will tend to decrease with increasing degradation state. The steady-state condition that is achieved in a system will be the state where the rate of negative entropy production from repair and replacement is equivalent in magnitude to the rate of degradative internal entropy production. Regardless of the degradation state of a biomolecular ensemble, the pool will consist of biomolecules in various states of degradation. Perfect fidelity is not achievable in any biomolecular ensemble. (As degradation state decreases, less and less negative entropy will be produced by each biomolecular repair/replacement event; therefore, infinite resources would be required to attain perfect fidelity, i.e. a degradation state of zero.)

3.1 Optimization of Biomolecular Structure

Biomolecular structural optimizations can modulate the effects of degradative thermodynamic potentials by resisting biomolecular state changes. As an illustration, consider how a protein may be affected by hydroxyl radicals, which are capable of generating very strong chemical reaction potentials. The amino acids cysteine and methionine are particularly vulnerable to oxidation reactions (Suto et al., 2006). The inclusion of cysteine in a protein could be avoided, and another amino acid substituted, to protect from aberrant structural modifications due to hydroxyl radical reactions. Alternatively, cysteine could be implemented in non-critical locations within a protein as a sacrificial means to scavenge free radicals and help prevent damage to more critical domains. It should be considered, however, that a cysteine or methionine residue in a particular location could bestow an advantageous trait to a protein (improved catalytic activity, energy
utilization, substrate specificity, etc.)—thus any benefits to inclusion must be weighed against the costs associated with the increased susceptibility to insult.

Some of the other ways that altered biomolecular structure could help resist damage-inducing thermodynamic forces include modifications that improve resistance to undesirable hydrophobic interactions capable of generating conformation changes and structural variations that resist temperature-induced denaturation. These biomolecular modifications could result in a deleterious increase in the physical size of the biomolecule or otherwise be disadvantageous, such as limiting the rate at which a biomolecule can perform its intrinsic biological function. Alterations to biomolecular structure could also affect the amount of energetic resources required for the production/replacement/repair of a biomolecule.

On the other hand, high durability/resiliency or low rates of internal entropy production may be sacrificed by structural alterations that maximize the specific rate of work that can be performed by a biomolecule. An example of this is discussed in detail in section 7.4, where it is demonstrated that the polyunsaturated fatty acid content levels of membrane lipids, which varies across species, likely represent evolved tradeoffs between the specific rate of biomolecular work that can be performed by transmembrane proteins and the rate of internal entropy production.

Clearly, biomolecule structural optimization is a multifactorial compromise. It is apparent that through evolution, biomolecular arrangements are “tested” iteratively and through selective pressure converge towards arrangements that provide the appropriate balance between these factors such that fitness is maximized in the species.

### 3.2 Microenvironment Optimization

Also relevant to the rate of degradative internal entropy production within an organism are the microenvironmental conditions, as these will define the magnitude of the degradative thermodynamic forces acting upon biomolecules. Temperature, which is a measure of the average velocity of molecules, has a significant effect on reaction velocities and bond forces/energies. All else being equal, lower temperature will increase molecular stability and reduce the rate of internal entropy production. However, higher temperatures will produce increased kinetic energy transfer during intermolecular collisions. This will increase the specific rate of biomolecular work that can be performed. An evolved compromise is established between these and other temperature-dependent factors such that the defined body temperature represents that which maximizes fitness.

Other attributes of a microenvironment may have less obvious, and even somewhat counterintuitive, ramifications. For example, conditions of higher oxidative stress are expected to increase the magnitude of the degradative thermodynamic forces. At first glance, this may seem purely undesirable from a biological standpoint. Yet, it was demonstrated earlier how arrangements that highly prioritize energetic resource ROI may exhibit elevated levels of oxidative stress.

### 3.3 Biomolecular Work Rate can Influence the Magnitude of Degradative Internal Entropy Production Rates

Consider the state of biomolecules when actively performing their intrinsic biological function. The process taking place will involve a transfer of energy. This will result in a brief period of time when energy is highly concentrated in close proximity to the biomolecule. The magnitude of the thermodynamic potentials contributing towards biomolecular degradation will be amplified during this time. For this reason, internal entropy will be produced at an increased rate when biomolecules are in the active state.

All else being equal, a biomolecular pool that is inactive (not performing any intrinsic biological function) will have lower rates of degradative internal entropy production than one where biomolecules spend a significant percentage of time in the active state. The average rate of internal entropy production can be approximated by

\[
\dot{S}_i = (100 - p)\dot{S}_{i,\text{static}} + p\dot{S}_{i,\text{active}}
\]

Where \(\dot{S}_{i,\text{static}}\) is the degradative internal entropy production rate of the system when biomolecules are not performing any intrinsic biological function, \(\dot{S}_{i,\text{active}}\) is the rate of internal entropy production when all biomolecules are actively...
performing their intrinsic biological functions, and \( p \) represents the percentage of time the average biomolecule spends in the active state. It should be evident that configurations where biomolecules spend more time in the active state (work rate is higher) will require increased rates of biomolecular repair/replacement to preserve the steady-state condition.

### 3.4 Extrapolation of Degradation State Concepts to Larger Physical Scales

The system described in sections 2.1-2.2 was developed using biomolecules as the object of interest. Many of the same concepts can be applied to larger physical scales. For example, organelles are repaired and replaced, and face damage due to degradative internal entropy production in much the same manner as the individual biomolecules that they are assembled from. Mitotic cell populations can also be considered as described earlier, with individual cells utilized as the expressed (replicating) unit.

### 4 Preservation of DNA Molecular Information

Most biomolecules can be replaced directly by expression of a genetic sequence or are the metabolic products of expressed biomolecules. The performance of these biomolecular pools can be preserved through replacement by the successful expression of the appropriate genetic sequence or the relevant metabolic processes, and the removal or repair of any dysfunctional counterparts. With a given rate of turnover and assuming intact expression machinery, the preservation of biomolecular performance within a cell becomes dependent on: (1) the integrity of the genetic material responsible for biomolecular expression, and (2) the cell’s ability to remove all dysfunctional biomolecules. It is suggested that, while the last requirement should not be trivialized, this is a very attainable objective: That is to say, the specificity of degradation pathways can afford to err on the side of casting a wider net to help ensure that any dysfunctional biomolecule is eventually recognized since these biomolecules can be resynthesized anew. Indiscriminate purging of cellular content would eventually dispose of any unwanted products—it is much easier to discard in excess to rid of waste than it is to preserve ultimate integrity in a structure. For these reasons, integrity preservation in biomolecules that cannot be expressed, the genetic-encoding biomolecules—DNA, warrants further scrutiny.

#### 4.1 Decreases in Mutual Information of DNA Molecules

Combatting degradative internal entropy production via replacement requires intact information-containing biomolecules encoding for both the biomolecules being replaced and the expression machinery. DNA molecules contain the information encoding for all other classes of biomolecules, either directly or as the metabolic products of expressed products. Furthermore, DNA molecules hold the instructions for all cellular processes. Clearly, DNA integrity is critical for preservation of organismal state.

Like all molecules within an organism, DNA is subject to molecular insults resulting from internal entropy production and will incur an insult rate proportional to the damage-inflicting thermodynamic potentials of the microenvironment. DNA molecules are unique among classes of biomolecules as they depend on their own integrity for their replacement. In order to maintain genetic biomolecular fidelity, DNA molecular insults must be repaired such that the encoded information is preserved intact.

There are a number of ways that DNA can be damaged resulting in base alterations, cross-linking, strand breaks, and other modifications (De Bont and van Larebeke, 2004). Consider some of the possible outcomes when a double-stranded DNA molecule has suffered a single base excision:

1. The damage is properly repaired by endogenous base excision repair (BER) mechanisms
2. The damage is improperly repaired by BER mechanisms
3. An additional insult occurs at this site before repair can take place
4. No repair or further damage occurs for a length of time

DNA replication takes place far from thermodynamic equilibrium. The accuracy of DNA polymerase is largely dependent on the differences in the free energy released or absorbed by the various possible base-pairing combinations of incoming nucleotides to template nucleotides (Arias-Gonzalez, 2012). Utilizing thermodynamic theory, polymerase error
rates have been estimated and demonstrated to be non-zero, in alignment with empirical findings. Although single-base excision damage is very often repaired by BER (scenario 1), restoring redundancy and preventing changes in stored information, there is always a possibility that a replication error will occur. Additionally, repair machinery must translocate to the site of the insult and perform the repair. This will not occur instantaneously. If the site is further damaged before repair takes place then information loss could occur.

Only a single level of redundancy is definite at all DNA base pairs—that provided by the pairing base on the opposite strand. Even an insult restricted to a single base will deplete this redundancy and can lead to a permanent change in DNA information. This does not imply that more serious insults are not repairable. For example, double-stranded breaks may be completely repaired by homologous recombination in some cases, but there is no guarantee that a homologous site will exist or that the repair will be successful.

Once a DNA molecule has suffered an insult, there is no means to guarantee restoration of redundancy and indefinite preservation of data. As the second law stipulates that molecular insults are inevitable, the genetic data stored in DNA molecules must change with time. The concept of “perfect” DNA repair is flawed and unattainable.

The second law is therefore causally implicated in mandating that losses in mutual DNA information will occur over time. This same conclusion has been drawn previously utilizing information theory; Yockey (1974) suggested that the noisy-channel coding theorem stipulates that, in the right conditions, the stability of the genetic message can be such that the error is “arbitrarily small” but that the probability of error must always be non-zero.

Permanent losses in genetic data are typically discussed in terms of discrete mutations or the rate at which mutations are occurring (Sniegowski et al., 2000). An alternative way to assess these losses is to consider the amount of DNA-encoded information that is preserved using the concept of mutual information\(^2\), which is a measure of the amount of information shared between two datasets. This provides a means to quantitate the difference between the data stored in DNA molecules, be it the same DNA molecule at different points in time or between different DNA molecules. Methods for calculating the mutual information between DNA sequences have been discussed elsewhere (Demirel, 2014b; Grosse et al., 2000; Mahony et al., 2007). As genetic data must change with time, the mutual information of a discrete, non-replicating DNA molecule must also decrease with time; therefore, the rate of change in mutual information of DNA molecules will be negative and can be represented by

\[
MIR_{DNA} = \frac{I_{DNA}}{T} < 0
\]

\(I_{DNA}\) represents the amount of mutual information between the data stored in the DNA molecule at any initial time and after a period of time \(T\) has passed.

\[17\]

4.2 Applicability of the Degradation State Concept to DNA Molecular Ensembles

Synthesized biomolecules depend on the integrity of DNA for their correct expression. If the full integrity of DNA is preserved then, theoretically, negative entropy could be produced at a rate that results in a steady state of performance in any expressed biomolecular pool (this was discussed in section 2.2). Since DNA molecules rely on their own integrity for identical replacement, this biomolecular replacement scenario is not applicable to DNA molecules.

4.3 Considerations for Mutual DNA Information Preservation in Different Cell Types

For a sexually reproducing multicellular organism, the zygote contains the truest representation of the parentally derived genetic data anywhere in the individual and of any stage in life, i.e. the mutual DNA information between parent and

\(^2\) Although thermodynamics is useful for examining the causes of DNA molecular insults and assessing the magnitude of the damage-inducing potentials, concepts from information theory are more appropriate for analyzing DNA integrity quantitatively. So as to not confuse the fields, any use of the term entropy in this manuscript can be assumed to refer to thermodynamic entropy. Direct reference to Shannon entropy is avoided.
offspring is maximal in the zygote. The informational integrity of an organism’s DNA at any later point in life can be quantified by comparing to this baseline standard.

Let us consider how the requirements for preservation of mutual DNA information are likely to vary over the course of an individual multicellular organism’s life and as a function of cell type. Somatic cellular function must remain at a sufficiently high level for a certain minimum period of time in order for the organism to successfully reproduce. Selective pressure for preservation of function begins to decrease as an individual ages past reproductive maturity (Hamilton, 1966; Medawar, 1952). The progeny of adult stem cells are the replenishment source for somatic cells; therefore, it could be predicted that adult stem cells, on average, must retain a higher degree of mutual DNA information than non-stem somatic cells for any given point in an individual’s lifespan.

Singular events that generate losses in mutual DNA information (i.e. mutations) most commonly have little or no effect on offspring fitness. Some mutations will result in decreases in fitness while only the rare insult produces increased fitness (Eyre-Walker and Keightley, 2007; Fisher, 1930). The distribution of these fitness effects can vary considerably between organisms. Evolutionary pressures must be sufficiently strong to select against “negative” mutations in order to prevent a loss of fitness.

The redundancy provided by diploidy/polyploidy, gene duplication, and functional overlap likely provides a degree of robustness that enables non-germ cells to tolerate a certain level of mutual DNA information loss with minimal performance impact on the individual (Medvedev, 1972; Plata and Vitkup, 2013; Riggs, 1994; Yockey, 1974). Similar levels of damage would be more detrimental in germ cells as they would propagate to all cells of the progeny. Therefore, we can confidently state that the average mutual DNA information retained by germ cells must be greater than that of adult stem cells at the time of reproduction, which in turn must be greater than the mutual DNA information retained in non-stem somatic cells at the time of reproduction. This relationship can be written

$$\bar{I}_{DNA}(zyg; som_{rep}) < \bar{I}_{DNA}(zyg; stem_{rep}) < \bar{I}_{DNA}(zyg; germ_{rep})$$

(18)

Where $\bar{I}_{DNA}(zyg; som_{rep})$ represents the average mutual information retained between non-stem somatic cells at the time of reproduction and the information originally contained within the zygote, $\bar{I}_{DNA}(zyg; stem_{rep})$ is the average mutual information between adult stem cells and the zygote, and $\bar{I}_{DNA}(zyg; germ_{rep})$ is the average mutual information between germ cells and the zygote.

In addition to the redundancy within DNA base-sequences, which provides some level of tolerance for loss of mutual DNA information, alternative redundancy strategies could help to preserve the mutual DNA information in somatic cells. One such approach is to utilize multiple copies of DNA, as could be provided by cellular populations together with strategies that select for cells containing DNA molecules that retain the most mutual DNA information. This could be accomplished by the collective pooling and segregation of population of cells, together with specialized, rigid insult-detection and data preservation strategies.

In agreement with Eq. (18), organisms appear to come closest to preserving mutual DNA information in germ cells. This is the result of evolved strategies that place extraordinary emphasis on the preservation of both nuclear and mitochondrial genetic data in germ cells. The fidelity of mtDNA is effectively reset during oogenesis through a genetic bottlenecking process that selects for the healthiest mtDNA and eliminates less efficient, mutated mtDNA molecules (Lee et al., 2012; Wai et al., 2008); likewise, nuclear DNA is subject to very strict insult detection mechanisms (Bailly and Gartner, 2013; Hochwagen and Amon, 2006; Jaramillo-Lambert et al., 2010). Germ cells are more likely than somatic cells to undergo apoptosis when DNA damage is detected, rather than attempt to repair the damage (which often results in the loss of mutual DNA information). Germ cells are also sequestered in a protected microenvironment with various support cells whose sole function is the support and maintenance of the germ cells (Schulz et al., 2002).

Assessing the situation from a thermodynamic perspective would suggest that the rate of mutual DNA information loss can be minimized by keeping the thermodynamic potentials acting on the DNA molecules as low as possible. Primordial
germ cells (gametogonia), as well as oocytes and spermatocytes, have relatively low rates of oxygen consumption (Brinster and Troike, 1979). Most adult stem cells are quiescent and frequently prioritize glycolysis over oxidative phosphorylation for meeting ATP requirements, resulting in relatively low levels of free radicals and ROS (Rossi et al., 2008; Shyh-Chang et al., 2013; Suda et al., 2011; Tothova et al., 2007) and lower mtDNA replication rates. This supports the notion that manipulation of thermodynamic potentials acting on DNA molecules through modulation of cellular processes and manipulation of the microenvironment is a realizable and effective means of reducing the rate of mutual DNA information loss in cells.

The information retained in the gamete common to the parental zygote \( I_{DNA}(zyg; gametes) \) will depend not only on the inevitable germ cell mutual information losses due to internal entropy production but also those losses resulting from genetic recombination during meiosis \( \Delta M_{recom} \):

\[
I_{DNA}(zyg; gametes) = I_{DNA}(zyg; germ_{rep}) - \Delta M_{recom} \quad (19)
\]

Since advantageous mutations are rare, loss of mutual information between parent and offspring will result in a loss of fitness of the species absent effective selection mechanisms. The proportion of progeny with lower fitness must not be so excessive that evolution cannot successfully select for the neutral and higher fitness offspring. Thus, a minimal limit \( I_{DNA}(zyg; gametes_{min}) \) is effectively placed on the mutual information of the progeny:

\[
I_{DNA}(zyg; gametes) \geq I_{DNA}(zyg; gametes_{min}) \quad (20)
\]

Germ cells must be maintained with adequate redundancy levels and a sufficiently stringent support strategy providing fidelity preservation to satisfy Eq. (20). In this way, mutual DNA information is largely preserved generation-to-generation.

There is a direct correlation between the lifetime risk of cancer in a tissue and the number of divisions of the stem cells maintaining that tissue (Tomasetti and Vogelstein, 2015). It is clear that the strategies used to preserve stem cell integrity do not match the fidelity achieved by germ cell preservation strategies. Since the preservation of stem cell mutual DNA information requires dedicated niches with specialized microenvironments, there must be associated negative fitness costs to scaling these niches excessively—even though doing so may result in further reductions in the rate of mutual DNA information loss in the cell type in question. For this reason, an organism’s stem cell niches must be configured to adequately support the respective target tissue over the lifespan of the individual, but not be so unnecessarily burdensome that they lower species fitness.

### 5 Establishing a Connection between Thermodynamic, Information, and Evolutionary Theory in Biological Aging

Modern nonequilibrium thermodynamic theory stipulates that all biomolecules must suffer degradative insults due to the production of internal entropy within any system that is not at equilibrium. Biological repair and replacement mechanisms cannot guarantee that mutual DNA information is preserved or restored in individual cells. As a result, cellular mutual DNA information must decrease with time. We will next examine what repercussions the irreversible loss of mutual DNA information in discrete cells could have on both the individual and the species as a whole.

#### 5.1 In the Individual

Most germline mutations are neutral or detrimental to fitness, with only the rare mutation being beneficial. It follows that mutations occurring in the somatic cells of an individual organism would exhibit this same pattern with regards to their contribution towards the performance of the individual. Therefore, without selection for only those changes that are neutral or beneficial to the individual, mutual DNA information loss in somatic cells would reduce individual performance with time, i.e. organisms will age.

Although evolution and selection are traditionally thought of as occurring between generations of a species, similar concepts are in play in the life and death cycles of the cells of a multicellular organism during an individual’s life. Single cells are the replicating unit in this scenario. For an individual multicellular organism containing cells undergoing mitosis, natural selection will occur on the cellular level and favor those cells that display the highest fitness. These configurations may not necessarily be the most beneficial to the individual multicellular organism as a whole. As natural selection will
always be present at the level of the replicating unit (Baum et al., 2013; Szathmáry and Smith, 1995)—cells in these cases—
the individual must rely on imperfect innate biological mechanisms that attempt to select for only those configurations
that do not reduce the viability of the individual.

The only way to guarantee that the mutual DNA information in a cell is perfectly preserved is by comparing the DNA base
sequence to a known-good reference sequence base-by-base. This master template does not exist in any organism and
there is no means for an organism to perform a comparative DNA sequence analysis. Cells with undesirable base-sequence
modifications must be detected by phenotype. In the case of more severe damage, the cell is often able to detect the
damage and initiate apoptosis (Zhou and Elledge, 2000). At the other end of the scale, singular mutation events may
exhibit very mild or no detectable undesirable phenotype; these cells are likely to avoid detection completely. For
example, mutations whose effect is masked by redundancy are likely to have no detectable phenotype. A mutation may
also occur in a region of the genome that is not currently active or relevant to the particular cell; as a result there may be
no immediate negative phenotype. This genomic region could become active at some later time, at which point the
mutation may have already spread to the cell’s progeny.

Even in the most ideal embodiment, eventually the effects of multiple mutation events must decrease individual viability;
at some point, removing cells determined by biological mechanisms to be undesirable will no longer provide reprieve from
losses in viability as cellular mutual DNA information losses will continue to increase until all cells approach the detectable
threshold of dysfunction. At this point, there would be no ‘good’ configurations to select for to replace those cells
determined to be undesirable, even if such cells could be detected with complete accuracy.

For a period of time, genetic redundancies would likely be able to mostly compensate for the loss of mutual DNA
information in an individual—essentially delaying an aging phenotype (Fig. 3a). A second line of defense is provided by
innate mechanisms that identify specific types of cellular dysfunction and eliminate cells displaying those phenotypes
(Zhou and Elledge, 2000). Once the utility of these redundancies is expended and ever-increasing numbers of
compromised cells circumvent innate detection mechanisms, the individual will no longer be able to avoid a loss of
viability. This resulting dysfunction becomes progressively worse with time. As no existing, or theoretical, biological means
has been demonstrated or postulated to be capable of selecting only for those changes in cellular DNA information that
are neutral or beneficial to the individual, it is inevitable that all individual organisms must age. Due to the impossibility of
an organism achieving an indefinitely sustenant phenotype, the claim by Hamilton (1966) that senescence arises inevitably
due to declining selection pressure with age at the level of the species, while not challenged here, is redundant.

Additionally, no mechanism selecting for changes benefiting an individual multicellular organism could prevent natural
selection from also occurring at the level of the individual cell. Therefore, cancer is also inevitable in any individual
organism given sufficient time—despite the fact that cancer has yet to be detected in a small number of studied species.
(The naked mole-rat has long been considered one such species. This was challenged in a recent article where cancer was
reported in the naked mole-rat for the first time (Delaney et al., 2016)).

5.2 In the Species

As previously discussed, gametes must suffer losses in mutual DNA information with time. The total loss suffered between
a gamete and the zygote that gave rise to the individual that produced the gamete will generally be less than that which
occurs in somatic cells of an individual due to mechanisms that enhance gamete quality (Bailly and Gartner, 2013;
Jaramillo-Lambert et al., 2010). By limiting the amount of loss present in the gamete to a level low enough that selection
for neutral and higher fitness offspring is possible, the fitness of the species can be preserved and even increase. Natural
selection is the only means by which inevitable mutual DNA information losses can be prevented from generating
mandatory fitness losses in the species.

3 Or from selection occurring on a subcellular level amongst DNA-containing organelles (mitochondria and chloroplasts)
Since evolving to maximize species fitness involves genomic changes, configurations that maximize fitness under new selective pressures may generate mutual DNA information losses in the species that are greater than conditions where selection is nearly absent (as calculated by the average mutual DNA information loss between parent and offspring, \( I_{DNA}(zyg; gametes) \)). Fluctuating selective pressures prevent mutual DNA information loss from being minimized in the species.

Consider, however, what would happen if selective pressures were held constant. The rate of mutual DNA information loss will begin at some initial value as fitness of the species increases at some positive rate (Fig. 3b). Through the course of many generations, fewer configurations will be available that are capable of producing higher fitness than the current configuration. As a result, the rate of mutual DNA information loss must decrease and the rate of fitness increase becomes less rapid across generations. With fewer “positive” mutations available and selection tending to eliminate “negative” mutations, the rate of mutual DNA information loss in a species under static selective pressure could be much lower than \( I_{DNA}(zyg; gametes) \). In conditions where selective pressure were static, fitness would eventually approach a theoretical limit as the rate of mutual DNA information loss approaches zero.

Since conditions of perfectly static selective pressure are not realizable and variations in selective pressures result in adaptive genetic changes, species mutual DNA information must decrease with time. This logic establishes a correspondence between the directionality of the second law and mutual DNA information loss in both individuals of a species and species themselves.
Fig. 3. The proposed connection between thermodynamics, information, and evolutionary theory in generating mandatory mutual DNA information losses in both the individual and the species. (a) Although a correlation between individual viability and somatic cell mutual DNA information loss is predicted, genetic redundancies and other compensating mechanisms may attenuate reductions in individual viability due to mutual DNA information losses. (b) The average mutual DNA information in cells of all individuals of a species will decline as a generation ages. This loss can be largely reverted in subsequent generations by portioning germ cells in conditions optimized for preservation of genetic data. (Generations have been aligned for illustration purposes.) (c) In conditions of static selective pressures, the rate of mutual DNA information loss is predicted to decrease as fitness approaches a maximum value.
6 Examining Degradation Increases in Aging Individuals

Living organisms are highly ordered entities, yet they exist in a state far from thermodynamic equilibrium. As a result, degradative processes will occur within organisms as internal entropy is produced. Cellular mechanisms work towards counteracting these damaging effects, establishing what is very close to being a steady state (over a limited time-window) in terms of preservation of biomolecular integrity. Despite these efforts, the overall degradation state of an organism increases with age.

6.1 Energetic Expenditures towards Biomolecular Repair and Replacement – a Paradox?

Proteins account for the majority of biomolecules within a cell. The rate of total protein synthesis has been empirically determined for a number of species. Smaller organisms synthesize proteins at higher rates than larger species (Fig. 4a). Protein synthesis in mice is estimated to occur at a rate sufficient to replace total body protein mass every 5.27 days, while the same occurs in man approximately every 31.07 days (Table 1). Of course, individual protein turnover rates can vary widely protein-to-protein, from minutes to years (Hetzer, 2013).

Over the course of a lifetime, a long-living human will synthesize enough protein to replace total body protein mass 1439 times over (Table 1). Let us assume for the moment that degraded/dysfunctional proteins are “accumulating” due to an insufficient amount of energy being spent on repair and replacement, as suggested by the disposable soma theory of aging (Kirkwood, 1977; Kirkwood and Holliday, 1979; Kirkwood and Rose, 1991). Considering a worst-case scenario where all of the protein in an aged human is in need of replacement, it would only require an estimated 0.07% increase in daily resource investment in protein synthesis to offset the average daily loss of protein quality with age. This translates to 0.23 calories per day. With a daily dietary intake of 2500 calories, this is only 0.0092% of daily energy intake. Although this figure does not include the energetic repair and replacement costs of all classes of biomolecules, the total amount of

Table 1. Protein Synthesis Rates, Number of Days to Turnover Total Body Protein Mass, and Number of Turnovers per Lifespan for Different Metazoan Species

<table>
<thead>
<tr>
<th>Species</th>
<th>BW (kg)</th>
<th>Protein synthesis g/day</th>
<th>g/kg BW per day</th>
<th>g/kg 0.75 BW per day</th>
<th>Body Protein Composition (%)</th>
<th>Days to Replace Total Body Protein Mass</th>
<th>Maximum Lifespan (years)</th>
<th>Turnovers Per Life</th>
<th>Synthesis Rate Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>Honey possum</td>
<td>0.01</td>
<td>0.2798</td>
<td>29.15</td>
<td>9.12</td>
<td>-</td>
<td>6.86</td>
<td>2.00</td>
<td>106.4</td>
<td>Bradshaw and Bradshaw, 2009</td>
</tr>
<tr>
<td>Mouse, small</td>
<td>0.02</td>
<td>0.768</td>
<td>38.40</td>
<td>14.44</td>
<td>20.25¹</td>
<td>5.27</td>
<td>4</td>
<td>276.9</td>
<td>Garlick and Marshall, 1972</td>
</tr>
<tr>
<td>Rat</td>
<td>0.35</td>
<td>7.7</td>
<td>22.00</td>
<td>16.92</td>
<td>20.81¹</td>
<td>9.46</td>
<td>3.80</td>
<td>146.6</td>
<td>Reeds and Harris, 1981</td>
</tr>
<tr>
<td>Cat (HP)</td>
<td>4.8</td>
<td>31.4</td>
<td>6.54</td>
<td>9.68</td>
<td>21.81¹</td>
<td>33.34</td>
<td>30.00</td>
<td>328.4</td>
<td>Russell et al., 2003</td>
</tr>
<tr>
<td>Dog</td>
<td>10.2</td>
<td>123.37</td>
<td>12.10</td>
<td>21.62</td>
<td>22.06¹</td>
<td>18.24</td>
<td>24</td>
<td>480.2</td>
<td>Everett et al., 1977</td>
</tr>
<tr>
<td>Sheep</td>
<td>63</td>
<td>351</td>
<td>5.57</td>
<td>15.70</td>
<td>16.00²</td>
<td>28.72</td>
<td>22.80</td>
<td>289.8</td>
<td>Reeds and Harris, 1981</td>
</tr>
<tr>
<td>Man</td>
<td>67</td>
<td>310</td>
<td>4.63</td>
<td>13.24</td>
<td>14.38³</td>
<td>31.07</td>
<td>122.50</td>
<td>1439.2</td>
<td>Pacy et al., 1994</td>
</tr>
<tr>
<td>Cow</td>
<td>575</td>
<td>1740</td>
<td>3.03</td>
<td>14.82</td>
<td>22.50¹</td>
<td>74.35</td>
<td>20.00</td>
<td>98.2</td>
<td>Reeds and Harris, 1981</td>
</tr>
</tbody>
</table>

Note: Body protein concentration for honey possum not available, used 17% for “days to turnover” calculation. ¹Moulton, 1923. ²Reid et al., 1968. ³Mitchell et al., 1945. ⁴Tacuta et al., 2012.

⁴Protein synthesis requires approximately 4.5 kJ of energy per gram of protein (Waterlow, 2006, p.170). Producing 310 g/day of protein (human rate) equates to roughly 1395 kJ or 333 Cal per day; 0.07% of this value is 0.23 Cals per day.
protein dedicated to translation is 2-15 times greater than that dedicated to transcription and DNA maintenance (Liebermeister et al., 2014)—protein synthesis represents a significant fraction of the total energy spent by an organism on biomolecular repair and replacement. In light of the very small additional investment predicted to be needed to offset the loss of protein quality in the described scenario, the disposable soma theory’s claim that aging is caused by an energetic underinvestment in repair and maintenance resulting in an accumulation of damage (Kirkwood, 1977; Kirkwood and Holliday, 1979; Kirkwood and Rose, 1991) is difficult to accept. Although the numbers above are just estimates, even if they were off by two orders of magnitude this argument would still hold merit. In addition, organisms that turnover their proteins more frequently have shorter lifespans—not longer lifespans (Fig. 4b). Smaller organisms turnover protein at a higher rate than larger organisms (Fig. 4a) and have shorter lifespans (Austad, 2005; Calder, 1984; de Magalhães et al., 2007).

Caloric restriction has been demonstrated to extend lifespan in some organisms. This also appears to contradict the disposable soma theory. Proponents of the disposable soma theory have attempted to explain this “paradox” by suggesting that caloric restriction generates a shift in resources away from reproduction and towards somatic maintenance (Shanley and Kirkwood, 2000). While extended caloric restriction has been found to attenuate the reduction in protein synthesis in muscle tissue that occurs with advanced age in mice (Zangarelli et al., 2006), recent studies have demonstrated that caloric restriction does not produce a direct increase in the rate of mixed protein synthesis in mice (Miller et al., 2013), nor is mitochondrial protein synthesis significantly affected by caloric restriction in liver, heart, or skeletal muscle (Miller et al., 2011). Although it has been proposed that caloric restriction does indeed increase protein turnover (Tavernarakis and Driscoll, 2002), an examination of supporting data suggests that the decreased attenuation in protein synthesis with age resulting from extended caloric restriction was interpreted as an increase in protein turnover in direct response to caloric restriction—yet these are two distinct phenomena with very different implications.

A corollary of the disposable soma theory suggests that an increase in the amount of energy available to an organism would allow it to devote more resources towards somatic maintenance and thus delay aging; however, studies demonstrating that increased caloric intake increases lifespan do not exist—yet it is well-known that obesity leads to increased rates of aging and diabetes (Ahima, 2009). It is clear that energetic expenditures towards repair and replacement alone cannot explain the differences in longevity between species nor does it provide a solid rationale for why aging must occur in the first place.
6.2 Organismal Entropy Increases Slowly with Age in Comparison to Internal Entropy Production Rate

The high frequency at which the animals depicted in Table 1 replace their total body protein mass demonstrates that degradative internal entropy production $dS/\text{dt}$ is being counteracted at a rate much greater than the rate at which organismal entropy increases with age $dS_{\text{age}}/\text{dt}$.

$$\frac{dS_{\text{age}}}{dt} \ll \frac{dS}{dt} \quad (21)$$

Eq. (21) is also intuitively evident when the speed at which biological material degrades at biologically relevant temperatures, even when conditions are sterile and optimized, is contrasted against the lifespan of an organism with even moderate longevity. Nevertheless, the overall degradation state of an individual organism eventually worsens with time. The degradation of an aging organism could be viewed as a progression through many discrete steady-state nonequilibrium conditions which ultimately result in a level of deterioration that render the individual unviable. But why do organisms transition between these states and why is youthful homeostasis always lost? It was argued earlier that DNA molecules face inevitable losses in mutual DNA information in aging organisms. This offers an explanation for why this transition occurs in DNA molecules—yet if they are the only class of biomolecule in an organism directly subject to inevitable, irreversible loss then the reasons for other classes of biomolecules reaching substantial degradation states with age must be more complex.

6.3 Biomolecular Degradation - Accumulation versus Homeostatic Shifts

A closer look at the degradation in these other classes of biomolecules could help to elucidate what may be occurring. Aging has been described as the accumulation of unrepaired damage. This implies that all of the degraded biomolecules in an aged organism are the result of lifelong accumulation. Perhaps this assessment is not entirely accurate.

As demonstrated earlier, an organism is unable to sustain a steady-state condition of perfect biomolecular fidelity (a degradations state $D$ of zero) as this would require infinite resources—thus even in a youthful state of “homeostasis” organisms will have some level of biomolecular degradation (a non-zero degradation state). “Misrepair” is not required in order to have degraded biomolecules as degradative internal entropy production is present in all living organisms.

A reduction in biomolecular replacement or repair rates is predicted to increase degradation state (i.e. decrease average biomolecular performance). Should such a transition occur in an organism for a particular biomolecule, it is expected that a new degradation state would eventually be established, at which time no further reduction in quality should occur unless outside factors are at play.

This logic suggests that biomolecular replacement and repair rates alone do not explain how an accumulation of damaged biomolecules could occur. Restoration of proteasome function in aged human dermal primary fibroblasts largely restores markers of protein aging to youthful levels (Hwang et al., 2007). This is analogous to a shift in the quality of the protein pool from a higher to a lower degradation state and demonstrates that the shift in biomolecular quality occurring with age is at least partially reversible. If the degraded protein is truly representative of accumulated, irreparable damage then upregulation of proteasomal function should not eliminate any damage. The fact that the condition is essentially reversible suggests that the increase in the proportion of damaged biomolecules found in aged organisms is more likely attributable to reduced biomolecular turnover leading to a corresponding shift in biomolecular degradation state—not damage accumulation. Consistent with this notion, protein turnover does indeed significantly decline during aging (Rattan, 1996; Richardson and Cheung, 1982; Ryazanov and Nefsky, 2001).

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5 A small number of biomolecules evidently can accumulate into dysfunctional products when an organism has declined (aged) to a certain degree; for example, advanced glycation end products (AGEs) and certain other aggregates (Verzijl et al., 2000). A decline in global biomolecular repair and replacement processes can lead to biases generating significant differences in repair and replacement rates between biomolecules. With infrequent turnover, the proportion of certain types of damaged product can expand, even when youthful turnover levels prevent accumulation. Superficially, this type of damage could be thought of as ‘accumulated’. An example of this phenomenon was demonstrated by De Baets et al. (2011). I am not aware of any published data...
A distinction between “accumulated” dysfunctional biomolecules and a shift in biomolecular degradation state caused by reduced turnover can be made by simply examining whether turnover is occurring. “Damage” that is actively and continuously turned over should not be referred to as accumulated damage, even if the degradation state of the biomolecule is high.

This raises further doubt over the disposable soma theory’s assertion that aging is caused by an energetic underinvestment in repair and maintenance resulting in an accumulation of damage (Kirkwood, 1977; Kirkwood and Holliday, 1979; Kirkwood and Rose, 1991). The idea of an “energetic underinvestment” is a misnomer as there is no amount of energetic investment that will produce a perfect population of biomolecules (a degradation state of zero). Increasing biomolecular turnover rates will reduce biomolecular degradation state but energetic resource ROI will continually worsen as turnover rate is increased. An energetic underinvestment in repair and replacement cannot explain why youthful homeostasis is lost—there must be a higher-level initiating cause.

The fact that biomolecular degradation state is partly determined by resource allocation towards repair and replacement, and therefore must involve factors that affect fitness, suggests that species have evolved to operate at biomolecular degradation states, and with internal entropy production rates, that balance many factors including athletic performance, metabolic rate, physical size, etc. This alone does not provide direct insight into why organisms age. However, the concept of biomolecular degradation states is useful when considered together with the inevitability of mutual DNA information loss in helping to explain why youthful homeostasis cannot be indefinitely preserved.

6.4 Entropy-Driven Managed Deterioration – Basic Concepts

The energetic cost of repairing an aged individual’s degraded biomolecules once is small relative to the continuous investment made to sustain viable biomolecular degradation states. So why does biomolecular degradation state increase in an aging individual?

Fig. 5 depicts a basic sequence of “events” that may explain the progression of the aging phenotype in many metazoans. In this model, the key top-level event initiating the transition from youthful homeostasis is internal entropy production, which inevitably generates losses in mutual DNA information for both mitochondrial and nuclear DNA. Mutual DNA information losses in mitochondrial DNA (mtDNA) will cause mitochondria from aged individuals to exhibit lower peak energy output (Yaniv et al., 2013). This decline in mutual mtDNA information may be partially modulated by a controlled deceleration in mitochondrial biogenesis (Figge et al., 2012), which reduces the rate of clonal expansion of degraded mtDNA and limits the exposure of mtDNA to the high thermodynamic stress conditions of replication events. The escalating deficit in cellular energy currency production in the aging individual results in a progressively worsening inability to fund all cellular processes at youthful levels. This generates forced reductions in biomolecular turnover that lead to increased biomolecular degradation states and lower biomolecular performance, representative of a transition away from youthful homeostasis.

Losses in nuclear DNA fidelity will result in a mosaic of stochastic cellular dysfunction that worsens with age (Bahar et al., 2006; Lodato et al., 2015). Together with the described mitochondrial dysfunction, this could be largely responsible for age-linked cellular dysfunction and the overall aging phenotype of the individual. Longevity optimization genes may have evolved to attenuate the negative effects of mutual information losses in nuclear and mitochondrial DNA through reallocation of resources and physiological alterations. This model is discussed in more detail in section 8.
Leonard Hayflick has stated that aging is not driven by genes but by thermodynamics (Hayflick, 2004), while he has argued that the genome does, on the other hand, govern longevity. Additionally, Hayflick maintains that natural selection has led towards biomolecular arrangements that are capable of preserving fidelity until reproductive maturity, but that the survival value for arrangements that exceed this longevity is considerably diminished (Hayflick, 2007b).

If aging is driven by thermodynamics, as suggested by Hayflick and further supported here, then any and all factors that contribute towards resisting (or promoting) permanent thermodynamically-induced changes in any biocomponent subject to irreversible loss are implicated in longevity determination. This includes factors that directly or indirectly affect the magnitude of the thermodynamic stresses on these biostructures as well as factors that specify redundancy levels, which can offer varying degrees of protection from permanent information loss.

The loss of mutual DNA information is inevitable in an individual given sufficient time. If such loss is paramount to aging, then a closer examination of the thermodynamics affecting DNA molecules is warranted and may assist in identifying primary longevity determinants.
7.1 Investigating the Rate of Mutual DNA Information Loss in Individuals

To further examine the rate of mutual DNA information loss, it is useful to separate the various contributing conditions and factors. DNA undergoing replication is significantly more likely to undergo mutation due to the impaired stability of single-stranded DNA (Frederico et al., 1990) and the imperfect nature of DNA polymerases (Arias-Gonzalez, 2012). Therefore, replicating and non-replicating conditions should be considered independently. In a living cell, many DNA insults are eliminated by damage-detection and repair systems, but a certain percentage will not be eliminated. A systems flow diagram of this scenario is depicted in Fig. 6. DNA actively being transcribed is also more susceptible to mutation (Kim and Jinks-Robertson, 2012), but it is generally believed that the vast majority of mutations arise from replication events or random DNA damage. For this reason, transcription will not be considered as a separate state condition in this analysis.

Assuming that the time spent in the replicative state is comparatively much less than the time in the static state, a general representation of the average rate of mutual DNA information loss takes the form

\[
\overline{MIR_{DNA}} = -(k_{rep}r_{rep}p_{noise} + k_{static}r_{mut})(1 - p_{det})
\]

where \( k_{rep} \) is the amount of mutual information lost in the average mutation-causing event during replication and \( k_{static} \) is the same for static (non-replicating) conditions, \( r_{rep} \) is the DNA replication rate, \( p_{noise} \) is the probability that a replication event will result in a mutation, \( p_{det} \) is the probability that a mutation will be detected and eliminated by the cell (assumes similar probability for replicating and non-replicating conditions), and \( r_{mut} \) is the rate at which mutations are occurring in non-replicating conditions.

7.2 Preserving mtDNA Integrity

The cells of most eukaryotes contain mitochondria, which range in number from several hundred to thousands per cell. Each mitochondrion contains at least one copy of mtDNA. As the primary function of mitochondria is to generate ATP, mitochondrial dysfunction has the potential to produce deleterious downstream effects on every cellular biochemical reaction that requires ATP. Compared to the nuclear genome, the mitochondrial genome is more susceptible to mutation (Larsson, 2010) and these mutations are more likely to cause dysfunction. There are several reasons for this. For one, the mitochondrial genome is replicated during mitobiogenesis, which is required for preservation of a healthy pool of mitochondria (low degradation state). Generally speaking, in any given cell a pool of mitochondria will be maintained at relatively steady quantities by a combination of mitochondrial fusion, fission, mitophagy, and mitobiogenesis processes; this results in mtDNA replication rates that are very high compared to the rate at which nuclear DNA replicates (which, of course, only occurs when cells divide). Due to the imperfect fidelity of replication with DNA polymerase (Zheng et al., 2006) and the vulnerability of the single-stranded mtDNA replicon (Frederico et al., 1990), each replication event involves a period of time where the possibility for a mutation is considerably higher than non-replicating conditions (Kennedy et al., 2013). The microenvironment within a mitochondrion is also particularly harsh compared to other cellular compartments due to the relatively high concentrations of ROS (Wallace, 1999), resulting in larger internal entropy-
producing thermodynamic potentials and higher molecular insult rates. Furthermore, as the mitochondrial genome has evolved to be extremely compact, mitochondria are very susceptible to dysfunction resulting from single-base alterations.

Eq. (22) can be applied to mtDNA in order to identify factors that could influence the rate at which mutual mtDNA information is lost. Each mtDNA replication event carries an associated probability of resulting in mtDNA mutation \( p_{\text{noise,mtDNA}} \). Mutations can also occur when mtDNA are not replicating. Many of these mutated mtDNA molecules will be eliminated or repaired by the cell’s mitochondrial quality-control mechanisms, but a certain percentage of these mutations will escape detection (represented by \( 1 - p_{\text{det,mtDNA}} \)).

The only known human mtDNA polymerase, DNA polymerase \( \gamma \), is highly conserved across species as diverse as Drosophila melanogaster and Saccharomyces cerevisiae (Chan and Copeland, 2009). Nuclear DNA repair pathways are highly conserved (Gredilla et al., 2010); although mtDNA repair pathways have not been investigated as thoroughly, mitochondria have been found to possess many of the same repair mechanisms and even share some of the nuclear DNA repair enzymes. The GTPases implicated in mitochondrial fission and fusion are also highly conserved (Ashrafi and Schwarz, 2012). PTEN-induced putative kinase protein 1 (PINK1) and the E3 ubiquitin ligase parkin regulate mitophagy in many metazoans and have homologs across species as diverse as humans and Drosophila melanogaster (Cookson, 2012). These similarities suggest that the probability of a mtDNA replication event resulting in a mutation \( p_{\text{noise,mtDNA}} \) and the probability that a mutated mtDNA molecule will be detected and eliminated or repaired \( p_{\text{det,mtDNA}} \) are comparable across a wide range of species.

In addition, since the molecular configuration of DNA is conserved, as are the potential reactions that can result in molecular modifications, it follows that the mutual information lost in the average mutation-causing event is constant; i.e. \( k_{\text{rep}} \) and \( k_{\text{static}} \) should be similar across species. This leaves the mtDNA replication rate \( r_{\text{rep,mtDNA}} \) and the static-condition mutation rate \( r_{\text{mut,mtDNA}} \) as the likely primary factors from Eq. (22) responsible for any variation in the rate of mutual mtDNA information loss between species.

### 7.3 MtDNA Information Loss in Aged Organisms is Primarily the Result of Mutations During Replication

MtDNA mutations increase in an age-dependent manner. High-sensitivity sequencing of human brain tissue from young and old individuals found that most mtDNA point mutations are transition mutations (Kennedy et al., 2011), consistent with replication errors. In addition, 90% of all age-related mutations in mtDNA from human colon are transitions (Greaves et al., 2012). The mtDNA mutation burden in aged Drosophila melanogaster is similar to vertebrate levels and also demonstrates a prevalence of transition mutations (Iitsara et al., 2014). G:C to T:A transversions, which are typical of oxidative damage, only represented a small percentage of the mutations in these studies.

MtDNA mutation patterns display strand asymmetry consistent with spontaneous cytosine deamination on the lagging strand template during replication (Frederico et al., 1990) in both aged human brain (Kennedy et al., 2011) and aged somatic and germline cells of Drosophila melanogaster (Haag-Liautard et al., 2008; Iitsara et al., 2014). Mitochondrial mutational spectra produced with purified human DNA polymerase \( \gamma \) accounted for 83% of the mutations found in vivo (Zheng et al., 2006). These data strongly suggest that: 1) the majority of mutations in mtDNA result from errors during replication, 2) the rate of mutual mtDNA information loss varies across species but reaches similar levels in aged organisms despite lifespan differences, 3) oxidatively-damaged mtDNA is repaired or eliminated with very high efficiency, and 4) oxidatively-damaged mtDNA accounts for only a small percentage of mtDNA mutations occurring with age. Furthermore, these results are inconsistent with theories that implicate ROS levels and the resulting direct oxidative damage to DNA as a primary causative factor of aging.

A logical deduction from this is that mtDNA replication rate is higher in shorter-living animals. Unfortunately, the availability of data to support or refute this assertion is limited. Measuring the mtDNA turnover rate in vivo has historically proven difficult, although more recent techniques have overcome some of the issues (Collins et al., 2003). Primary cell cultures are required for deriving accurate mtDNA replication rates in vitro. Surprisingly, no studies that quantitate mtDNA replication rates across a range of species have been published.
Mitobiogenesis is required to maintain mitochondrial component quality. Reducing the rate of mitobiogenesis excessively will compromise mitochondrial performance since less negative entropy will be produced to counteract degradative internal entropy production and, as a result, a shift to a higher degradation state will occur. Yet mitobiogenesis incorporates mtDNA replication, so a reduction in mitobiogenesis will also generate reductions in the replication rate of mtDNA $r_{rep,mtDNA}$. Thus, although reduced mitobiogenesis could negatively impact mitochondrial performance, provided that the rate of mutation during non-replicating conditions $r_{mut,mtDNA}$ does not drastically increase, reduced mitobiogenesis will lead to a lower rate of mutual mtDNA information loss per Eq. (22).

On the other hand, a higher mitobiogenesis rate will increase the amount of negative entropy available to offset degradative internal entropy production affecting mitochondrial components (other than mtDNA), effectively lowering the degradation state in those components. However, this will also raise the mtDNA replication rate $k_{rep,mtDNA}$ and generate increased exposure of mtDNA to the high thermodynamic-stress conditions experienced during replication—resulting in an increase in the rate of mutual mtDNA information loss.

Preservation of youthful mitochondrial homeostasis requires that the rate of negative entropy production from mitobiogenesis equals or exceeds the rate of degradative internal entropy produced within the mitochondrial network when in a youthful degradation state. If the rate of degradative internal entropy production within mitochondria varies between species, then the rate of mitobiogenesis required to preserve youthful homeostasis in mitochondrial components is likely to also vary. In addition, differences in the intrinsic mitochondrial degradation state between species could affect the rate of mitobiogenesis. This suggests that variations in the rate of mutual mtDNA information loss between species are likely due to either differences in the rate of mitochondrial degradative internal entropy production or different degradation states.

### 7.4 A Closer Look at Mitochondrial Configurations and Membrane Composition

In order to preserve youthful cellular homeostasis, mitobiogenesis must occur at a rate sufficient to sustain mitochondrial component quality at youthful levels by producing negative entropy to offset degradative internal entropy production. Yet since mitobiogenesis encompasses mtDNA replication, which accelerates losses in mutual mtDNA information, forfeiture of youthful mitochondrial homeostasis is not only inevitable but must occur after a period of time dictated, at least in part, by the rate of mitobiogenesis. How then might this rate differ by species, and why?

An examination of cellular metabolic demands provides some clues. Across species, whole-organism basal metabolic rate scales allometrically with body mass: $BMR \propto M_b^f$. Kleiber (1932) estimated $f$ to be 3/4 for the basal metabolisms of mammals and birds. This same value was found to hold for most multicellular organisms, including many other animals (Peters, 1986) and plants (Niklas, 1994). When expressed per unit body mass, resting oxygen consumption scales proportionally with $M_b^{-1/4}$. In other words, mass-specific BMR decreases by approximately 16% for every doubling of body mass. The inverse correlation between relative oxygen consumption and body mass has been verified in isolated hepatocytes from mammals (Porter and Brand, 1995) and birds (Else, 2004) as well as in mammalian liver slices (Couture and Hulbert, 1995). Porter and Brand (1995) found a 5.5-fold decrease in hepatocyte oxygen consumption for every 12,500-fold increase in body mass and concluded that this was due to a decrease in the intrinsic metabolic activity of the cell, not increased cell volume.

Therefore, on average, cells from smaller species have increased oxygen consumption and ATP turnover rates compared to cells from larger organisms. As a result, cells from smaller species place greater energetic demands on their mitochondrial networks. It has been known for some time that mitochondrial count correlates with mass-specific changes in tissue metabolic rate (Smith, 1956). However, the differences in mitochondrial number per cell cannot fully explain the variation in respiration rate with body mass (Porter and Brand, 1995).

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6 The universal value of $f$ is contentious. Scientists are largely divided into two camps: one arguing for 2/3 and the other for 3/4 (White and Seymour, 2005).
Increasing the mitochondrial inner membrane surface area per unit volume of mitochondrial matrix allows for additional transmembrane-localized oxidative phosphorylation enzymatic machinery in the same volume of space. Organisms with higher ATP demands may benefit from increased membrane density. Indeed, a significant negative correlation has been found between mitochondrial inner membrane surface area per unit volume of matrix and body mass. In a study involving mammalian hepatocytes, membranes were as dense as 555 cm² per µL of matrix in mice while on the other end of the spectrum horse hepatocytes contained only 170 cm² membrane surface area per µL of matrix (Porter et al., 1996).

Mitochondrial membrane phospholipid composition also differs widely across species, specifically in fatty acid composition (Daum, 1985). The fatty acid composition of mitochondrial membranes in tissues was found to correlate with body size, with smaller mammals having more polyunsaturated mitochondrial membranes than larger mammals (Porter et al., 1996). The “membrane pacemaker hypothesis of metabolism” (Hulbert and Else, 1999), and subsequently the “membrane pacemaker theory of aging” (Hulbert, 2003), were developed from these and other observations linking not only mitochondrial but overall tissue membrane composition to metabolic rate, body mass, and longevity. Hulbert (2003) suggested that changes in membrane fatty acid composition can make membranes more prone to oxidation, resulting in an increase in reactive molecules that can damage cellular molecules and impact longevity.

Why does membrane fatty acid composition vary allometrically? Some light was shed on this question when the molecular activity of transmembrane proteins were examined in different membrane compositions. The cytoplasmic membrane-localized sodium pump (Na⁺-K⁺-ATPase) varies in molecular activity from approximately 8,000 ATP/min in mammals compared to 2,500 ATP/min in ectotherms (all data taken at 37°C) (Else et al., 1996). Cytoplasmic membrane crossover studies demonstrated that the activity of ectothermic sodium pumps increased significantly when transferred to mammalian membranes, while mammalian sodium pump activity was attenuated in ectothermic membranes (Else and Wu, 1999).

It was hypothesized that the higher sodium pump activities seen in endotherms were due to influences from surrounding lipids, with polyunsaturated membranes promoting increased molecular activity compared to membranes with more monounsaturated membranes. Hulbert and Else (1999) proposed a mechanism by which this may occur: The lateral diffusion coefficient of lipids within a membrane bilayer is greater than that of transmembrane proteins by two orders of magnitude (Storch and Kleinfeld, 1985). As such, membrane proteins are continuously colliding with membrane lipids. The kinetic energy exchanged during these collisions is believed to be critical in facilitating membrane protein function. The acyl chains of saturated and monounsaturated fatty acids are more flexible than polyunsaturated fatty acids. Therefore, a collision involving a lipid containing polyunsaturated fatty acids is expected to transfer more energy to membrane proteins and result in increased molecular activity of the protein than a collision with a lipid containing only highly saturated fats. Of the fatty acids found in membrane lipids, docosahexanoic acid (DHA or 22:6 n-3) contains the largest number of evenly spaced double bonds but is also particularly susceptible to peroxidation. DHA has been referred to as the “acme” of polyunsaturates and may serve as a membrane “energizer” (Hulbert and Else, 1999). Sodium pump molecular activity correlates with membrane DHA concentration in both ectotherms (Turner et al., 2005) and endotherms (Turner et al., 2003).

Peroxidation index is a measure of the susceptibility of membrane lipids to peroxidation and is closely tied to fatty acid unsaturation. The peroxidation index of mitochondrial phospholipids, predominantly driven by DHA content, negatively correlates with MLSP (Pamplona et al., 1998). Importantly, the same trend line holds for both mammals and birds (Hulbert et al., 2007). In addition, mitochondrial membrane remodeling resulting from various levels of caloric restriction in mice produced changes in peroxidation index and MLSP that fit the same trend line (Faulks et al., 2006; Hulbert, 2008).

In addition to the negative allometry of metabolic rate, body mass positively correlates with MLSP (Austad, 2005; Calder, 1984; de Magalhães et al., 2007). The discussed findings suggest that smaller, shorter-living organisms may utilize membranes with more polyunsaturated membranes—largely dictated by DHA content—in order to increase the rate of work that can be performed by each transmembrane protein molecule and, as discussed in section 7.6, to satisfy functional requirements largely specified by recognized allometric relationships that characterize fitness optimization across species.
A downside of polyunsaturated fatty acids is their susceptibility to oxidative damage and contribution towards increased free radical generation. In other words, polyunsaturated fatty acids are less resistant to molecular alterations resulting from the thermodynamic forces of their environment; the presence of higher levels of polyunsaturated fatty acids will lead to increased rates of degradative internal entropy production and will necessitate that mitobiogenesis rates be increased to maintain a given mitochondrial degradation state.

7.5 Identifying Longevity Determinants

In his “membrane pacemaker theory of aging”, Hulbert (2005) proposed that membrane lipid peroxidation influences the cellular levels of ROS, resulting oxidative stress and consequently lifespan. He posited that this feedback effect is variable and determined by the membrane fatty acid composition. Hulbert further suggested that this results in accumulated damage to proteins, genetic material and membrane lipids over an individual’s life—finally reaching a tipping point where antioxidant defenses are exceeded and youthful homeostasis can no longer be sustained.

The argument for membrane composition as a longevity determinant is a strong one, even though the exact mechanisms by which a longevity determining effect is exerted have yet to be fully elucidated. However, Hulbert’s theory is not in agreement with the negative relationship found between levels of antioxidant defenses and MLSP. Long-living species have very low levels of antioxidants (Perez-Campo et al., 1998) and most mtDNA mutations do not appear to be directly due to oxidative stress (Greaves et al., 2012; Haag-Liautard et al., 2008; Itsara et al., 2014; Kennedy et al., 2011; Zheng et al., 2006). If organisms with more peroxidation-susceptible membranes utilize upregulated antioxidant levels as a countering effect then why is their lifespan still so much shorter? Furthermore, why must youthful homeostasis be lost at all?

I offer an explanation for how a longevity-determining effect could arise from the influence of membrane composition on biomolecular turnover rate, metabolism, and ultimately the rate of loss of mutual DNA information. My concept is depicted in Fig. 7. I postulate that membrane composition, and certain other defining characteristics of an organism, are largely stipulated by an organism’s peak power density, which has evolved to the level that maximizes fitness in each species. Here the term “peak power density” represents the maximum localized volume specific rate of external work that is achievable within an organism. The cells or tissues where this potentiality exists may vary by species (for example, skeletal muscle in some organisms, neurons in others, etc.). “External work”, in the context of peak power density, refers to the sum of biomechanical, biochemical and bioelectrical work that is brought to bear on the immediate environment surrounding the localized region where this work originates. Examples include the mechanical work generated by myocytes, the chemical and electrical work produced by neurons, or the chemical work performed on metabolized products by hepatocytes. External work does not include the work that is associated with housekeeping or “overhead” cellular processes such as biomolecular repair and replacement, maintenance of membrane potentials or mitotic cell turnover.

To illustrate the sequence of interactions implicated in this theory, we will consider an arbitrary organism where fitness is maximized by a high level of peak power density compared to some reference organism (Fig. 7). A higher level of peak power density implies an increase in the rate of external work that can be performed by a cell, or a group of cells, of a given volume. I postulate that high-output proteins are utilized for realizing this external work in both transmembrane and non-transmembrane locations. To maximize the rate of work attainable from a given volume, the molecule-specific rate of work of the proteins must be as high as possible. One requirement for achieving this is to optimize the structure of the protein for peak work rate, 1 (bold numbers in this section refer to Fig. 7). This is likely to reduce biomolecular durability and resiliency (due to lower selective pressure on these parameters), and protein repair/replacement rate (turnover) may increase as a result. Secondly, the biomolecular performance of the protein pool should be maintained at a high level (i.e. degradation state should be low), 2. In this way, the contribution from the average protein molecule will be closer to the theoretical maximum. Maintaining low degradation states will increase the rate of protein turnover and lead to a less-than-optimal energetic ROI.
High peak power density signifies the ability to perform work at an increased rate. It does not mean that the maximum limit is achieved at all times. On the other hand, if the limit was never approached then there would be no need to possess this ability in the first place. For these reasons, it is reasonable to expect that high-output proteins are likely to perform work at a higher rate, on average, then low peak power density configurations. At a minimum, the cell must have the ability to provide sufficient energetic resources for these high-output proteins even if peaks levels are only utilized sporadically. This will equate to a requirement for more usable energy per unit time (i.e. higher ATP turnover) and therefore increased metabolic requirements.

In addition, to achieve a maximal rate of work, high-output proteins must spend more time performing work and less time in a resting state. The state of actively performing work involves the transfer of energy and conditions of higher thermodynamic potentials than the static, non-working (resting) state. For these reasons, degradative internal entropy production is likely to be elevated with high-output proteins, resulting in increased protein turnover and further contributing towards a greater metabolic rate. This is consistent with the increased metabolic rates found in smaller...
animals and the fact that smaller animals turnover protein at faster rates compared to larger species (as illustrated in Fig. 4). Transmembrane proteins have increased activity in membranes with lipids that utilize more polyunsaturated fatty acids (increased DHA content) (Else and Wu, 1999; Turner et al., 2003; 2005). It is predictable that configurations that call for a high level of peak power density include these type of membranes, which are also more susceptible to lipid peroxidation. This contributes towards an elevated rate of membrane-damaging internal entropy production that generates more frequent membrane lipid turnover and further increases in transmembrane protein turnover rate. The aforementioned metabolic increases dictate mitochondrial networks that are capable of satisfying these high ATP turnover demands. This is realized with high power density mitochondria, the characteristics of which are outlined in Fig. 8. High-output mitochondrial proteins optimized for maximal ATP production are expected with these configurations. Similar to their cytoplasmic counterparts, high-output mitochondrial proteins will also increase metabolic requirements and are more susceptible to degradation. Increased mitochondrial membrane fatty acid polyunsaturation / DHA levels allow for increased peak ATP output through enhanced transmembrane protein activity but cause the mitochondrial membranes to be more susceptible to lipid peroxidation. Together with a positive feedback effect from elevated ROS and oxidative stress levels, this will increase component-damaging internal entropy production within mitochondria. The combination of elevated mitochondrial membrane polyunsaturation / DHA levels and proteins more susceptible to degradation stipulate that a higher rate of offsetting negative entropy production will be required in order to maintain mitochondrial quality and preserve youthful organismal homeostasis. This need can only be realized through an upregulation of mitobiogenesis, which increases the mitochondrial membrane remodeling and protein turnover rate, but will coincide with a higher mtDNA replication rate. The rate of mutual mtDNA information loss will increase as a result. As mtDNA integrity declines, an organism’s ability to produce usable energy will become compromised and worsen progressively. This will generate a downregulation of cellular processes which could largely be responsible for the aging phenotype. Increased oxidative stress from high power-density mitochondrial configurations is also likely to elevate thermodynamic potentials in cellular proteins, nuclear DNA and other biomolecules—further contributing towards increased metabolic requirements due to the need for additional resources for their repair and replacement. This and the other aforementioned contributors to increased biomolecular damage and turnover rates are likely to increase the rate of cellular turnover. The rate of mutual nuclear DNA information loss will be heightened due to elevated replication rates and this will also increase the rate at which viable stem cells are depleted. Increased oxidative stress may also influence the rate of non-replicative mtDNA damage. However, due to reasons already discussed, this contribution is probably small compared to the effects of an increased replication rate. The loss of mutual DNA information in the individual is unavoidable. Notably, the logic established here describes how the rate of loss of mutual DNA information may be a function of an organism’s peak power density requirements, at least in part. As this rate may be critical in determining the amount of time that passes before youthful homeostasis can no longer be sustained, a potential link is herein established between an organism’s peak power density and lifespan; by this token, peak power density could be thought of as a high-level longevity determinant.
A solution for the second half of the naked mole-rat paradox discussed in section 2.2 can now be proposed. Naked mole-rats have limited access to oxygen and as a result they have extremely low metabolic rates. The need to maintain a low metabolism necessitates low-output proteins, as high-output proteins have increased metabolic requirements for a number of reasons (Fig. 7). The situation is therefore the reverse of the high peak power density scenario just discussed. Lower metabolism will lead to mitochondria that are better optimized for stability (Fig. 8). Consistent with this notion, naked mole-rats have 1/9th the content of DHA in their mitochondrial membranes compared to their similarly-sized cousin the house mouse (Mitchell et al., 2007). Decreased susceptibility to lipid peroxidation will lower the rate of damaging internal entropy production, mitobiogenesis, and reduce the rate at which mutual mtDNA information is lost. Cellular turnover and the rate of mutual nuclear DNA information loss will also decrease. The slower rate of mutual DNA information loss increases the amount of time that passes before transitions from degradation states characteristic of youthful homeostasis will occur. As a result, the naked mole-rat exhibits exceptional longevity for its size. The increased oxidative damage present on biomolecules does not limit lifespan as it is not the factor forcing a shift from youthful homeostasis but is merely indicative of the high degradation state levels that coincide with prioritizing energetic ROI to maximize fitness in very hypoxic conditions. I postulate that the exceptional longevity of the naked mole-rat is primarily a byproduct of the aforementioned requirement for extremely low metabolic rate as opposed to direct selective pressure for extreme longevity.

7.6 Allometric Relationships Describe Peak Power Density Trends that Largely Predict Species Lifespan

If peak power density is a primary longevity determinant, then how and why does this vary by species? Does it align with allometric and other trends? Some answers to these questions may arise from examining how an organism’s mass-specific cost of transport (COT) is driven by certain factors. COT is a measure of the quantity of metabolic energy required to move one unit mass of an organism over one unit distance. In terrestrial animals, COT negatively correlates with body size (Reilly et al., 2007; Strang and Steudel, 1990; Taylor et al., 1982). The reasons for the increased locomotor costs in smaller terrestrial organisms have been discussed in detail elsewhere, including Reilly et al. (2007), and Kilbourne and Hoffman (2013). We will briefly examine some of the more significant causes here. Although the mass-specific metabolic energy...
consumed per stride remains constant across large and small mammals at the same stride frequency, larger animals require fewer strides to cover an equivalent distance; this at least partly explains the reduction in COT with increasing body size (Heglund and Taylor, 1988; Heglund et al., 1982; Kram and Taylor, 1990). The effect is compounded by the fact that larger mammals have disproportionately longer limbs (positive allometry) (Pontzer, 2007).

In general, smaller animals cannot simply decrease their top speeds to offset the increased COT and preserve a low metabolic rate as they must be able to achieve speeds that are sufficient to evade larger predators. This is demonstrated by the fact that, although top speed does increase with body mass in mammals (Garland, 1982), the allometric scaling factor can only partially counteract the increased COT in smaller mammals. In other words, the rate of mass-specific metabolic energy consumed by smaller mammals to achieve their top speed is greater than that of larger mammals.

Posture can also significantly affect COT (Biewener, 1989). Smaller terrestrial animals tend to have limbs that are more abducted and flexed during movement (Reilly et al., 2007). Larger animals utilize a more upright posture, which confers a mechanical advantage to anti-gravity muscles. For these reasons, smaller mammals have increased muscular energetic demands for counteracting the flexing moment of the ground reaction force. Additionally, larger animals are able to benefit more from elastic storage because the capacity to store energy in tendons positively correlates with tendon cross-sectional area (Bennett et al., 1986; Biewener and Blickhan, 1988; Biewener et al., 1981). Pendular savings can reduce the metabolic cost of locomotion and become increasingly relevant as body size increases in erect animals—but are insignificant in smaller crouched animals (Reilly et al., 2007).

For the aforementioned reasons, an increase in the peak power density of skeletal muscles and supporting organs (heart, lungs, etc.), together with a corresponding increase in the metabolic consumption of the same, is expected in smaller terrestrial animals. As skeletal muscle is the major contributor to non-resting metabolism, it should not be surprising that field metabolic rate scales with negative allometry (Nagy, 2005).

Surface area scales as a function of body mass per the relation $A \propto M_b^{2/3}$. The exponent in this case is less than 1, signifying that the mass-specific capacity for heat exchange decreases as body size increases. Since no thermodynamic process is 100% efficient, a portion of the energy utilized for metabolism is unavoidably converted to heat. The efficiency of the oxidative phosphorylation machinery in mitochondria is highly optimized and not a function of body mass, as indicated by the fact that ATP turnover per unit of consumed oxygen does not change with body mass in mammals (Porter and Brand, 1995). Therefore, in the absence of other limiters, the maximum sustainable metabolic rate will be lower in larger organisms due to their reduced relative capacity to shed metabolic waste heat. This translates to higher theoretical peak power densities in smaller organisms. A converse effect of the surface area to mass ratio is believed to limit the minimum attainable body size of endothermic amniotes: maintenance of a constant body temperature, below a particular body size for a given set of environmental living conditions, will require an increasing proportion of metabolic energy as body size decreases.

Another factor may also contribute to the minimum attainable body size and generate increased metabolic requirements in smaller animals. West and colleagues argued that metabolic rate scaling is constrained by characteristics of the circulatory system (and other fractal networks) that can be explained by principles from fluid dynamics (West et al., 1997; West and Brown, 2005). As vessels become smaller, viscosity causes energy to be dissipated at a substantially increased rate. Additionally, flows become highly damped in smaller vessels and unable to benefit from impedance matching, which can greatly reduce the energy lost due to reflections in larger vessel branch points. These energy consuming effects play an ever-increasing role as body size decreases and narrow vessels predominate. West et al. calculated the minimum mass of a mammal to be ~ 1 gram, based on cardiovascular parameters; this is similar to that of the smallest known mammal, the shrew (West et al., 2002). West’s concept assumes that the organism utilizes a fractal-like vascular system, and is not applicable to organisms such as Hydra which exchange nutrients, gases, and waste by simple diffusion.

Although the allometric relationships between BMR and $M_b$, and lifespan and $M_b$, at the species level are well established and accepted, these describe only general trends; they do not hold true for all species. Clearly, the described allometric
relationships are not imposing a strict, specific value for peak power density, metabolic rate, or lifespan on a species or individual based solely on body mass. Rather, they appear to establish median values (averaged across a range of species) together with upper and lower theoretical bounds on these factors. The optimal compromise between peak power density, longevity and body size for a given species evolves within these general constraints towards the configuration that maximizes species fitness. Deviations from the general relationships should be expected. For example, a species living in an environment with low predatory pressure may receive a fitness benefit from sacrificing peak athletic performance for increased longevity. Suppose that in this case, it is not necessary for the organism to function anywhere near the metabolic limit dictated by its capacity for heat exchange to ensure high rates of survival and fecundity over its lifespan—it receives more fitness benefit from maintaining a reasonable level of fecundity over an increased lifespan than from a marginal decrease in predation over a shorter lifespan. Another example of an expected significant deviation from the median are situations where organisms utilize a specific behavioral tactic or enhanced cognitive capabilities to increase their survival odds in lieu of maximizing peak power density. Humans are the ultimate embodiment of such a strategy.

Although BMR correlates with longevity across a wide range of organisms, no significant correlation remains in either eutherians or birds after correcting for body mass and phylogeny (de Magalhães et al., 2007). One possible explanation for this can be derived from the fact that peak power density, which may be a primary high-level longevity determinant, need not correlate exactly with BMR. BMR measures the amount of energy used while an organisms is at rest. Field metabolic rate (FMR), which also scales allometrically with $M_B$, takes into account BMR as well as thermoregulation and activity costs. Even within the same class, FMR allometric scaling slopes are frequently different from BMR slopes (Nagy, 2005). FMR will correlate more closely with peak power density than BMR will because FMR values always exceed BMR and thus are closer to peak sustainable metabolic levels (which is a better measure of peak power density). Even so, FMR still represents a time average of metabolism; organisms that exhibit short periods of very high metabolic activity (and hence possess higher peak power density) could have similar FMR to those that have much lower peak power density but more consistent levels of metabolism, and vice-versa. This offers a reasonable explanation for how peak power density can still be a longevity determinant and correlate with BMR across species and within distinct phylogenetic groups, even if BMR does not correlate with longevity after correcting for body mass and phylogeny.

Birds have higher BMRs than mammals, yet they live on average approximately three times as long as similar-sized mammals. Many of the same arguments just mentioned could help explain this discrepancy. Of course, the thermal physiology of mammals and birds is vastly different and this could contribute to the variation in longevity as a function of BMR. As pointed out earlier and in agreement with the notion that peak power density is a primary longevity determinant, a common trend line predicts MLSP as a function of mitochondrial membrane peroxidation index with similar accuracy for both birds and mammals (Hulbert et al., 2007).

These generalized allometric relationships also do not hold universally when examining the individuals with a species. For example, larger individuals in some species, such as dogs (Speakman et al., 2003) tend to have shorter lives than smaller individuals. This may be in part because longevity determinants have evolved, and are genetically engraved, at the species level; in other words, the genetic elements that specify peak power density, membrane composition, biomolecular turnover rates, stem cell reserve levels, and other factors that contribute towards resisting (or promoting) permanent thermodynamically-induced changes in biocomponents subject to irreversible losses are mostly preset within the genome of a species and do not vary significantly as a function of body size. It is not surprising that significant deviations from the median body size would result in a compromised individual—and that this would include decreased longevity.
8 Longevity Optimization

With sufficient time, the loss of mutual DNA information must lead to performance deficits in the individual. Mitochondrial energy production efficiency will be compromised as a result of loss of mitochondrial mutual DNA information, while losses in nuclear DNA are expected to eventually result in a mosaic of random cellular dysfunction.

Once mitochondrial dysfunction has progressed to the point that resource deficits prevent the funding of all cellular processes at youthful levels and/or genetic redundancies are no longer able to sufficiently compensate for other losses in genetic fidelity, an aged phenotype must begin to take shape. It is reasonable to expect that the optimal allocation of resources for preserving maximal survival and fecundity in an aged individual would be different than the configuration used in young adulthood when adequate resources are available to fully fund all cellular processes. Factors most critical to immediate survival are of highest priority to the individual. Therefore, a genotype optimized for an aging individual could be predicted to increasingly deprioritize less vital processes and biocomponents as useable energetic resource availability decreases and dysfunction increases so that more critical biocomponents are preserved in states that are adequate to sustain life and maximize survival potential and fecundity. Eventually, a state will be reached where even vital factors cannot be adequately sustained and the individual’s overall condition becomes unconducive to continued life.

Could an anti-aging strategy such as the one described above exist in multicellular organisms? A large number of genetic elements regulating pathways that appear to be related to longevity have been identified (ENCODE Project Consortium et al., 2007). Many scientists believe that these pathways are largely responsible for stipulating the presence of aging and for modulating the rate of aging between species (Austad, 2009; Holliday, 2010; Kirkwood, 2005; Vijg and Campisi, 2008). A proposed complementary hypothesis is that longer-living species have evolved to contain superior mechanisms and/or biomolecules for retarding senescence; some scientists believe that incorporation of these changes into shorter-living organisms could lead to delayed senescence in these other organisms as well.

I submit here an alternative theory proposing that a major function of the putative aging pathways is the optimization of the process of aging to maximize individual longevity and fecundity, and that this is an evolved response. Contained within these pathways, genetic elements that I term “longevity optimizers” work together to elicit a balanced response to the unavoidable progression towards increasing levels of biomolecular fidelity loss.

To my knowledge, this concept has not been previously discussed or proposed in published literature. There are several likely reasons for this. Firstly, it is not generally acknowledged that the aging of an individual is unavoidable. Many popular aging theories (e.g. antagonistic pleiotropy, mutation accumulation, and disposable soma) utilize evolutionary concepts to justify the existence of aging in the individual and do not incorporate universal law or approach the problem in a multidisciplinary fashion. These theories claim that aging is not avoidable but rather that it exists because it projects beneficial effects on species fitness in other ways (antagonistic pleiotropy, disposable soma) or that there is insufficient evolutionary pressure to eradicate aging (mutation accumulation). If aging in multicellular organisms is not mandated by physical law, then there is no need for mechanisms and strategies to resist or optimize it.

Here, rationale and evidence has been provided for why aging is in fact an inevitable consequence of physical law that cannot be overcome by evolution. If this is the case, then it is reasonable to propose the existence of evolved mechanisms to resist and optimize an organism’s susceptibility to these effects in order to maximize fitness. For reasons explained in detail in the following sections, I believe that the argument is strong that such mechanisms exist.

A counterargument is that aging optimizations are unlikely to evolve because selective pressures begin to decrease once an organism has reached the age of reproductive maturity. However, as the potential for loss of mutual DNA information begins at conception—not at reproductive maturity—this phenomenon must be suitably combatted at all life stages. Even if selective pressures were entirely absent past the age of reproductive maturity, in order to maximize fitness an organism would require strategies for preventing the loss of mutual DNA information from reaching excessive levels and to best handle the mutual DNA information loss that has occurred at all life stages up to this age.
8.1 Selective Pressures Favor Genotypes that Attenuate Increases in Mortality and Losses in Fecundity Occurring After Reproductive Maturity

Germline mutations are only rarely beneficial to the organism. Similarly, we can confidently state that, in the absence of compensating mechanisms, any somatic mutation or other form of irreversible degradation to a necessary biocomponent will nearly always impact an individual’s instantaneous mortality rate and/or fecundity negatively or, at best, neutrally. Therefore, the integrative effect of the systemic degradation occurring with age must have negative repercussions for an individual.

In any aging individual organism, those biocomponents that are susceptible to irreversible fidelity losses will be the first biocomponents to incur shifts from their youthful homeostatic states; for most organisms with at least moderate lifespans this is likely to be DNA molecules. The continual loss of mutual DNA information must eventually force shifts in the degradation state of other biocomponents—biomolecules, cells, tissues, etc. The magnitude of any deleterious impact on individual instantaneous mortality rate and fecundity due to an increase in the degradation state of a biocomponent will vary depending on the function of the biocomponent and the extent of the shift in degradation state.

What are some potential biological responses for minimizing the negative repercussions of unavoidable fidelity loss with age? One such strategy for maximizing survival rate and fecundity in these conditions is a genotype that prioritizes minimizing the degradation state, or that reduces the failure likelihood, of biocomponents most critical to these parameters. We will examine whether such a strategy would be evolutionarily favored.

Hamilton (1966) exploited the Euler-Lotka equation (Euler, 1767; Fisher, 1930; Lotka and Sharpe, 1911) to derive a measure of fitness $r$ from age-specific survival and fecundity rates.

$$\int_{0}^{\infty} e^{-rx} l(x) m(x) dx = 1$$

(23)

Here $l(x)$ represents survival up to age $x$ and $m(x)$ is fecundity at age $x$. Using a similar framework, Fisher (1930) introduced the concept of age-specific reproductive value $v(x)$ with the following relation

$$v(x) = \int_{x}^{\infty} e^{-r(y-x)} \frac{l(y)}{l(x)} m(y) dy$$

(24)

Fisher (1930) described reproductive value as a measure of the contribution of individuals of age $x$ to the future ancestry of a population and stated that (p.27) “the direct action of natural selection must be proportional to this contribution”. In other words, genotypes that maximize reproductive value for a given age will be favored by selection over those that produce a lower $v(x)$—thus maximizing fitness. Fisher also discussed why reproductive value typically increases from birth before reaching an apex and then declining at more advanced ages. He demonstrated this trend with human population data.
Let us examine some plots of reproductive value with age for a hypothetical organism with slightly different genotypes. Assume that in this organism, $m(x)$ peaks near reproductive maturity and declines after this age. Also assume that mortality increases from this point forward, accelerating the rate at which $l(x)$ is decreasing with age. We will assume that irreversible fidelity loss is the primary driver of these reductions in $l(x)$ and $m(x)$, aside from a baseline constant mortality rate. In Fig. 9a, the red curve depicts a reproductive value curve for this organism with a genotype that does not incorporate any elements for optimizing fecundity and/or survival in response to irreversible losses in fidelity. If the same values for survival and fecundity up to the age of reproductive maturity are used but losses in $l(x)$ and $m(x)$ occurring after this age are attenuated, peak reproductive value will increase, occur at a later age, and reproductive value will be maintained longer (Fig. 9a, blue curve). Due to the positive contribution to reproductive value, genes/genotypes that attenuate the described pattern of losses in $l(x)$ and/or $m(x)$ will be evolutionarily favored (i.e. they will increase fitness), provided they do not negatively influence early reproductive value. Therefore, if genes that attenuate reductions in $l(x)$ and $m(x)$ occurring after reproductive maturity due to irreversible fidelity loss exist, then it is likely that these genes will be selected for and incorporated into an organism's genome.

8.2 Deterioration Management Strategies

It is illogical for an organism to have evolved such that fecundity or mortality are negatively affected (at ages where selective pressure is still above some minimal threshold) due to the disproportionate deterioration, or increased likelihood of failure, of one or a small number of vital biocomponents. As demonstrated in the previous section, selection would favor genotypes that avoid susceptibility to the catastrophic failure of a small number of weak links.

I propose that through evolution, organisms have developed cellular mechanisms and pathways for managing inevitable degradation afflicting an aging individual, due to thermodynamically-explained phenomena, in a progressive and dynamic manner. Biocomponents most susceptible to degradation effects, and most critical to survival and fecundity, are prioritized. To illustrate this concept, two terms will be utilized: “managed deterioration” and “unmanaged deterioration”.

With unmanaged deterioration, the degradation of critical singular biocomponents would occur at a rate proportional to the biocomponent’s susceptibility to irreversible fidelity loss due to internal entropy production, or the direct and indirect effects of degradation present in other components (Fig. 10, left). Regardless of their importance to instantaneous...
mortality rate or fecundity, the most susceptible components would reach failure levels first—leading to premature reductions in survival probability and fecundity—while other components could still remain at relatively high performance levels (i.e. low degradation states).

In managed deterioration, longevity optimization genes could modulate the rate of deterioration of different biocomponents so that biocomponents of similar importance degrade at comparable rates and/or reach their failure threshold at equivalent ages. Critical biocomponents would be prioritized. Thus, the age at which any one biocomponent reaches a level that compromises fecundity or survival probability is delayed—effectively increasing longevity and overall fitness (Fig. 10, right). This could be accomplished by several means, including:

1. Reallocation of resources, at the cellular level and higher, as usable energetic resource availability becomes compromised to prioritize those biocomponents most important to preserving reproductive value.
2. Adjustment of microenvironmental conditions to decrease the thermodynamic potentials on more vital biocomponents and thereby lower the rate of damage-inflicting internal entropy production.
3. Reduce biocomponent turnover rates to delay the clonal expansion of irreversibly compromised biocomponents (effectively reduces the rate of mutual information loss).
4. Alter physiology such that stresses on more vital biocomponents are reduced and maintained below their operating limits, resulting in a decreased likelihood of failure of biocomponents most critical to preserving fecundity and mitigating increases in mortality.

One possible example of items (2) and (3) is the way by which mutual mtDNA information loss may be attenuated by controlled decreases in mitochondrial fusion and fission in aging individuals. This can be demonstrated by examining the “Mitochondrial Infectious Damage Adaption” (MIDA) model proposed by Figge et al. (2012). Using a probabilistic modelling approach, they showed that the decrease in mitochondrial fusion and fission rates seen with increasing age preserves average mitochondrial quality and delays the age at which mitochondrial quality drops below the minimal level required for cell viability. In short, the age-linked reduction of mitochondrial fusion and fission rates may attenuate mutual mtDNA information loss by reducing the exposure of mtDNA molecules to the high thermodynamic stress conditions encountered during replication and delaying the spread of parasitic mutated mtDNA molecules.

A downside to decelerating mitochondrial fusion/fission rates is that these processes are integral to mitophagy (Twig et al., 2008; Youle and Narendra, 2011). Mitophagy serves to remove the mitochondrial mutants that are detectable (Kowald and Kirkwood, 2011) but is also believed to be critical in preserving the quality of mitochondrial components by segregating deteriorated components, such as lipids and protein, into mitochondria that will be targeted for destruction. Therefore, a reduction in fusion/fission rates increases the load of ROS products and otherwise damaged mitochondrial components, compromising overall mitochondrial quality. The combination of reduced mitochondrial fusion/fission and the loss of mutual mtDNA information results in mitochondria in aged organisms that produce less usable energy (Yaniv et al., 2013).

Since mutual mtDNA information loss is inevitable, preserving a constant mitochondrial fusion/fission rate will still result in the eventual loss of mitochondrial quality. However, Figge et al. (2012) demonstrated that decelerating mitochondrial dynamics actually preserves mitochondrial quality and extends the limit on longevity implied by mitochondrial dysfunction; this is evidently because the resulting reduction in the rate of mutual mtDNA information loss is more critical to preservation of reproductive value than the tradeoff of increased degradation state in other mitochondrial biocomponents. In the context of the current discussion, the genes responsible for realizing this strategy would be considered longevity optimizers.
Skeletal muscle mass has been shown to decrease substantially with age (Grounds, 1998). The loss of muscle mass with age is generally regarded as a purely undesirable physical manifestation of aging. Along this line of thinking, a safe and effective therapeutic intervention capable of preventing or attenuating age-related skeletal muscle mass loss is commonly viewed as something that would be desirable and beneficial for the health of the elderly. For example, a substantial body of evidence suggests that the loss of function in satellite cells is a proximal cause of age-related muscle mass loss (Carlson and Conboy, 2007; Sousa-Victor et al., 2014) and interventions have been proposed to “correct” this deficiency (Carlson and Conboy, 2007; Dumont et al., 2015; García-Prat et al., 2013; Sousa-Victor et al., 2014; 2015).

I propose that an alternative path of reasoning should be considered for explaining this, and perhaps other, age-linked traits. Given that cardiac output declines significantly with age in humans (Brandfonbrener et al., 1955) and is rooted in functional deficits at the cardiomyocyte level (Guo and Ren, 2006), a reduction of skeletal muscle mass will lower the stresses on an age-compromised heart by reducing the volume of blood in the body and decreasing the contractile forces required to circulate the blood. This raises the possibility that a decrease of skeletal muscle mass with age is a beneficial, evolved response—or at least a tolerated condition—which reduces cardiovascular stress and lowers the mortality risk of cardiac events. This is one example of how age-dependent physiological alterations could decrease the likelihood of failure of more critical biocomponents in light of inevitable degradation, as proposed in item (4) from the above list, and serve to

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**Fig. 10.** Demonstration of unmanaged (left) and managed (right) deterioration strategies. A group of arbitrary biocomponents, equally vital to an organism’s fecundity/survival, are depicted. Three ages are considered: Top—Young Adult, Center—Middle-Age, Bottom—Elderly. ‘Red’ indicates that a biocomponent is very susceptible to irreversible degradation (which could be due to direct and/or indirect effects), while ‘blue’ signifies that a biocomponent has very little or no susceptibility to irreversible degradation. The vertical axis depicts how resistant a biocomponent is to failing, given its current degradation state. In unmanaged deterioration, biocomponents will approach imminent failure at a rate proportional to their susceptibility to irreversible fidelity loss. Biocomponents most susceptible to irreversible degradation will reach failure levels first and the organism will die prematurely. With managed deterioration, longevity optimization genes produce adjustments in the aging individual which partially offset decreases in resistance to failure of the most vital and susceptible biocomponents (details in main text). By not allowing any one vital factor to reach the imminent failure state at an earlier age than others, managed deterioration strategies may enhance longevity and increase fecundity.
extend longevity. To be clear, this hypothesis is not intended to explain extreme muscle wasting outside of normal age-related trends, which is undoubtedly a genuine pathological condition. In addition, there are certainly a number of undesirable aspects of age-related skeletal muscle dysfunction. The concept being put forth is the idea that age-dependent physiological alterations, even those that at first glance appear purely detrimental, may actually serve a purpose in establishing an optimally balanced configuration in the face of inevitable, and progressively increasing, degradation in the individual.

It is prohibitively difficult to directly establish that the altered age-dependent expression of one gene represents an evolutionarily established tradeoff with some other gene(s) that extends longevity, as suggested by item (1). The reason for this is the sheer number of genes, which renders establishment of any correlation a highly multifactorial problem. However, beyond the evolutionary argument for their existence, there is other evidence suggesting that mechanisms of this type may exist—specifically, features of the proteomic, gene expression, and epigenetic signatures that have been found to characterize aging individuals.

A meta-analysis of gene expression profiles from mice, rats and humans revealed a characteristic age-associated pattern of changes in expression of specific genes (de Magalhães et al., 2009). These differential expressions were consistent across all three species and across multiple tissue types examined. Specific biological processes and functions were associated with this meta-signature. Lysosomal genes were one group found to be overexpressed with age. This could represent an adaptive mechanism for counteracting the increased degradation state in proteins (since, due to reductions in usable energetic cellular resources, protein turnover is reduced with age). A result of decreased protein turnover is that a greater proportion of proteins may degrade to the extent that they are not effectively ubiquitinated or processed by proteasomes and must be recycled by other means, i.e. by lysosomes. Although the energetic resources dedicated to increased lysosomal expression could have been allocated to lessening the severity of the general reduction in protein turnover, it may be that age-dependent lysosomal overexpression optimizes the overall protein degradation state based on energetic resource availability and that this represents the best compromise for maximizing reproductive value. A proteomic analysis of human fibroblasts from healthy adult female donors from 3 age groups (young: 20-30 years; middle-aged: 40-50; older: 60-70) revealed 43 proteins with age-associated abundance changes (Waldera-Lupa et al., 2014). Interestingly, this included two proteasome subunits that were significantly down-regulated and two heat shock proteins that were up-regulated. This further supports the possibility that age-linked responses have evolved that minimize protein degradation state via optimization of resource allocation.

Epigenetic studies have also demonstrated the presence of characteristic aging signatures. DNA methylation expression patterns have been shown to change across the human lifespan in line with chronological age (Bell et al., 2012; Bocklandt et al., 2011; Boks et al., 2009; Christensen et al., 2009; Christiansen et al., 2015; Florath et al., 2013; Garagnani et al., 2012; Gentilini et al., 2012; Hannum et al., 2013; Heyn et al., 2012; Horvath, 2013; McClay et al., 2013; Rakyan et al., 2010). Predictors have also been developed that can reliably estimate the age of human cells from any human tissue type based on epigenomic DNA methylation profiles (Hannum et al., 2013; Horvath, 2013). One of these predictors has also been demonstrated to be applicable to chimpanzees, although less so to gorillas (Horvath, 2013). Importantly, a significant correlation was also observed between cell passage number and predicted age in both induced pluripotent and embryonic stem cells. This supports the notion that age-related epigenetic signatures do not simply represent accumulated regulatory dysfunction, but that at least some component of this signature represents a progressive and dynamic response to the loss of mutual DNA information—possibly through a telomere-related mechanism. Furthermore, a number of characteristic age-related epigenetic changes have been found to be tissue-type dependent (Christensen et al., 2009; Thompson et al., 2010), supporting the hypothesis that longevity optimization may extend to the tissue-level.

In sum, the above findings support the feasibility of the notion that an age-associated reallocation of resources indeed occurs and is not limited to the cellular level but functions with some degree of tissue specificity (item 1 from above list). These findings also further support the existence of items (2), (3), and (4).
8.3 Longevity Optimization Strategies from Early Adulthood May Serve as Templates for Those Used in Later Life

The theory advanced here proposes that selective pressures have led to the evolution of genetic optimizations that attenuate the rate of loss of mutual DNA information and the detrimental effects of these losses in aging individuals. There can be little doubt that in the face of inevitable and progressive degradation, a diverse array of intermediate configurations would be required to realize optimal aging during all stages of life. As compromised biomolecules reach non-trivial levels even during early adulthood (Ben-Zvi et al., 2009; Greaves et al., 2014), it is reasonable to propose that longevity optimizers have evolved to incorporate complex modulatory strategies to ensure optimal adjustments to the corresponding overall state of an aging individual.

This suggests that evolved, early adult-life longevity optimization pathways may serve as the basis for at least some of a late-life longevity optimization strategy. It could be largely through the extrapolation of these early adult-life mechanisms that the maximal lifespan of an organism is able to extend well beyond the age of peak reproductive value, particularly in species such as humans where older individuals are kept in protected environments. The use of pre-existing genes and pathways as a basis for later-life optimizations may also explain how genetic elements could evolve to a highly optimized state for relatively advanced ages, even though selective pressure decreases with age (Hamilton, 1966; Medawar, 1952; Williams, 1957). If genes and pathways for early adult-life longevity optimization were already present within an organism’s genome, the extension of these strategies for late-life longevity optimization may require considerably less selective pressure.

Since selective pressure does decrease with age, it would likely still require an extremely long time for very late-life longevity optimizations to evolve to fully maximize longevity extension potential. Therefore, it could be predicted that longevity optimization in any given organism will be somewhat below ideal. This concept is illustrated in Fig. 9b. Utilizing a hypothetical organism with survival and fecundity parameters similar to those described in section 8.1, it can be shown that a genotype lacking any longevity optimization will exhibit a relatively steep drop in reproductive value with progressing age after the age of peak reproductive value (red curve). Incorporating longevity optimizer genes capable of maximally attenuating losses in fecundity, and increases in mortality, with age into the same organism will preserve higher reproductive values into later ages (blue curve). Now suppose that longevity optimization is close to ideal for ages near peak fecundity but becomes progressively less ideal as age increases and selective pressure decreases; this would result in a reproductive value curve between the two described extremes (green curve). I propose that this last curve is representative of the evolved state of the typical metazoan. The gray shaded region thus represents the “intervention potential”—the maximal gains in reproductive value attainable by further genetic longevity optimizations or through artificial manipulation of individuals (i.e. drugs and therapies, excluding therapies that replenish mutual DNA information).

Although beyond the scope of the current discussion, by examining statistics of proportionate mortality by pathological condition and other population data, it may be possible to predict the ideal longevity optimization curve for a particular organism (blue curve in Fig. 9b).

8.4 Entropy-Driven Managed Deterioration in Further Detail

It is theorized here that metazoans have evolved to make compensatory adjustments as individuals age so as to minimize the deleterious effects of thermodynamic phenomena on reproductive value—resulting in survival, for the moment, but nonetheless unable to avoid an ever-increasing negative phenotype. These longevity optimizers may protect the biocomponents of an organism at all levels (biomolecules, cells, tissues, and organs) that are most critical to immediate survival and fecundity by sacrificing other aspects of health, leading to a diverse “spread the misery” phenotype. In essence, the diversity of the biocomponents affected during aging and the relatively high degree of conservation of the aging phenotype across taxa may be largely manifestations of these compromises. A more detailed depiction of this theory links further aspects of the aging process (Fig. 11).

MtDNA mutations are ubiquitous in aged mammals (Wallace, 1999), equating to the loss of mutual mtDNA information with age. The described theory incorporates the concept that these losses, resulting from the effects of the second law, are a driving force towards the deceleration of mitochondrial fusion/fission, 1 (bold numbers in this section refer to Fig.
mitigating, but unable to prevent, further losses in mutual mtDNA information. This deceleration is metered (Figge et al., 2012) in response to an ever-increasing systemic mutational load (Cao et al., 2001; Kennedy et al., 2013; Kraytsberg et al., 2006). As an individual ages, losses in mutual mtDNA information and reduced fusion/fission rates lead to elevated levels of mitochondrial ROS products and compromised mitochondrial components (increased degradation state). This reduces the peak amount of usable cellular energy (ATP) that a mitochondrion from an aged individual can produce (Yaniv et al., 2013), once mitochondrial dysfunction exceeds a threshold, youthful homeostasis can no longer be preserved. A shortage of sufficient ATP to fund all cellular processes at youthful levels results in the aforementioned reallocation of resources and physiological alterations. 1. I propose that these adjustments have evolved, are balanced and adaptive, and are largely signified by the epigenetic state of a cell—which has been found to have distinctive signatures for different ages (Bell et al., 2012; Bocklandt et al., 2011; Boks et al., 2009; Christensen et al., 2009; Christiansen et al., 2015; Day et al., 2013; Florath et al., 2013; Gentilini et al., 2012; Hannum et al., 2013; Heyn et al., 2012; Horvath, 2013; McClay et al., 2013; Rakyan et al., 2010; Thompson et al., 2010). The resulting age-dependent epigenetic signatures should not be confused with epimutations, where distribution is mostly random (Heyn et al., 2012). Protein production is slowed in the cells of an aging animal (Ben-Zvi et al., 2009) and fewer resources are dedicated to maintaining the proteome (Douglas and Dillin, 2010; Hwang et al., 2007). These mandatory energy-conserving events reduce the ability of cells to counter the degradative effects of internal entropy production and to preserve youthful biomolecular homeostasis, leading to a continuous but slowly accelerating increase in biocomponent degradation states, 5. This is exemplified by the increased levels of damaged, misfolded and polymerized proteins seen with age (Balch et al., 2008). Since ATP is also required to help protect the nuclear and mitochondrial genomes from permanent losses, nuclear and mitochondrial DNA integrity is gradually further compromised as usable energy becomes more scarce, 6, as demonstrated by higher sustained levels of unrepaired damage (Bailey et al., 2004; Wallace, 1999). This increases the probability of sustaining further permanent losses in mutual DNA information.

Losses in mutual nuclear DNA information with age contribute to increased cell-to-cell stochasticity in gene expression (Bahar et al., 2006) and clonal mosaicism (Lodato et al., 2015), causing average cellular performance to decrease. The loss of mutual DNA information will also decrease stem cell viability and consume stem cell reserves, in addition to generating losses in the number and viability of nonmitotic somatic cells, 7.

Dysfunctional telomeres can activate the DNA damage response pathway, engaging tumor protein p53 and leading to promotion of apoptosis or replicative senescence (Deng et al., 2008). Telomere attrition is upregulated in aged cells (Passos et al., 2007). This is an evolved mechanism, distinct from the length reduction that occurs during replication, believed to partially offset the increased likelihood of developing cancerous mutations in age-compromised cells (Campisi, 2005), 8. This adaptive response involves the preferential degradation of telomeric DNA in conditions of increased mitochondrial superoxide production (Passos et al., 2007; Petersen et al., 1998; Zglinicki, 2002), as occurs with aging.

Epigenome maintenance is downregulated in aged mammals (Cencioni et al., 2013). As internal entropy production will continue to result in insults to the once tightly-regulated epigenome and fewer resources are dedicated to its maintenance, the number of unrepaired spontaneous epigenome mutations will increase with age (Chambers et al., 2007), 9. This, combined with the downregulation of conventional DNA damage repair mechanisms (Beerman et al., 2014; Zhang et al., 2010), contributes to an ever-increasing risk of developing cancer (Hansen et al., 2011), as seen with advancing age (American Cancer Society, 2013).
Inevitably, the result of cellular component-level degradation will be compromised cellular performance, albeit less overall performance loss than if the damage had not been apportioned. This loss in cellular performance will lead to a concomitant loss in performance of the macro structures that they constitute: tissues, organs and the overall organism. Cells will also be compromised in their ability to perform specialized functions—leading to inflammation, compromised immune function and increased susceptibility to disease.

Connecting the Dots

9.1 Differentiating between Longevity Determinants and Longevity Optimizers

It is proposed here that two groups of factors specify intrinsic longevity in individuals of a species: 1) longevity determinants and 2) longevity optimizers. The first term has been utilized in previous literature but the definition used here is somewhat different. It is important to differentiate between these distinct, but occasionally overlapping, groups. Longevity determinants are defined as factors that directly or indirectly specify or influence the basal rate of loss of fidelity in any biocomponent (biomolecule, organ, tissue, etc.) susceptible to irreversible fidelity loss (such as DNA). This is accomplished either by manipulation of the magnitude of the thermodynamic forces affecting these structures, the level of redundancy, replication rate, or by influencing the ability of said biocomponents to resist the thermodynamic forces present in their microenvironments. The genetic arrangements that ultimately determine an organism’s basal longevity are driven by universal law and evolutionary factors, and are further contingent on the exact environment and environmental interaction factors in which the species exists (Fig. 12). Every genetic factor that specifies a phenotypic characteristic that influences the basal rate of aging can be viewed as a longevity determinant, as can the phenotypic characteristics themselves. Some of the macro-level characteristics that may be classified as longevity determinants are...
peak power density, physical size, athletic ability, and metabolic rate. At the micro-level, longevity determinants include: stem cell reserves, membrane composition, biomolecular performance, biomolecular stability, degree of genetic redundancy within DNA molecules, and the thermodynamic potentials on biocomponents subject to irreversible fidelity loss. Environmental determinants of species basal longevity include temperature/climate, resource availability (food, oxygen, etc.), predation pressure and other factors that mandate tradeoffs between fecundity/mortality and longevity. Survival strategies, and behavior in general, can also influence basal longevity by providing competitive advantages that result in reduced negative repercussions associated with characteristics that serve a role in longevity determination.

A number of the relationships between longevity determinants and basal longevity across species can be represented with simple mathematical formulæ. For example, the general relationships between longevity and body mass, and longevity and metabolic rate, are well characterized. At the micro-level, relationships between membrane composition and longevity are well known. Due to the fact that no single factor alone determines longevity in an organism, it is not surprising that these relationships are unable to hold invariably across taxa.

In contrast to a longevity determinant, a longevity optimizer is any genetic element that increases basal longevity by contributing towards an affect that generally becomes progressively more dominant over an organism’s lifespan and effectively delays the severity, or rate of progression, of the aged phenotype. As an individual ages, longevity optimizers reallocate resources and alter physiology such that the organism’s overall state maximizes instantaneous survival rate and fecundity at all ages. In summary, longevity determinants define an organism’s basal longevity while longevity optimizers seek to further maximize longevity through dynamic adjustments during the aging process which ultimately serve to balance the aging phenotype.

Typically, the putative “aging pathways/genes” have been branded as such because they were found to contain genetic elements which, when altered, modulated longevity in some model organism (often of low complexity, e.g. fruit fly or nematode) or produced a distinct effect on a characteristic(s) typically associated with the aging phenotype. The problem with this method of identifying aging pathways/genes is that it fails to incorporate, and does little to elucidate, many of the high-level factors that are likely involved in the determination of organismal longevity. Observations of singular connections between genetic elements and particular phenotypes demonstrates only that the gene is responsible for modulating those characteristics—it should not imply that the gene is responsible for aging, nor does it necessarily reveal anything about the aging process.

I posit that the current catalog of putative aging pathways/genes fails to include a large number of genetic elements involved in determining longevity; even worse, this has directed research focus away from the high-level factors that are truly important. For example, physical size implies physiological limits on peak power density and metabolism that must be balanced with other factors affecting longevity. Yet, despite this and the clear allometry of lifespan across species, the genetic elements specifying physical size, and aspects of physiology related to physical size, are not generally considered to be longevity determinants. The logic commonly utilized to identify so-called aging pathways/genes diverts attention from more overriding principles that may help to explain the differences in longevity between species and instead focuses on individual components—obfuscating the true relevance of any particular factor to the overall process of aging. For these reasons, I believe that the current putative aging pathways/genes represent, at best, a grossly incomplete set of the factors truly relevant to longevity determination.

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7 As I subscribe to the belief that aging is a chance-driven catabolic process rather than a genetically-engrained behavior (Hayflick, 2007a; 2007b), I view the terms “aging pathways” and “aging genes” as misnomers. I use these terms here only to make reference to current literature. “Longevity determinants” and “longevity optimizers” are more appropriate terms for these factors, and this is used when referring to concepts discussed in this paper.
The framework proposed here attempts to establish a hierarchy to aid in the identification and categorization of the actors involved in the determination of species longevity. Longevity determinants are considered separately from the forces that drive aging (universal law and evolutionary theory) (Fig. 12). The subdivision of longevity determinants into genotypic, phenotypic, and environmental elements allows for a clearer depiction of the interplay between the drivers and these different factors. Longevity optimizers are classified into a separate group as well. Although these elements also affect longevity (they could be thought of as ‘secondary’ longevity determinants), considering the factors specifying basal longevity separately from those that dynamically optimize the aging process brings further conceptual clarity to the theory of aging presented here.

Fig. 12. The proposed relationship between longevity determinants, the root causes of aging (explained by universal law and evolutionary theory) and species basal longevity in multicellular organisms.
9.2 The Number of Genetic Elements Serving as Longevity Determinants/Optimizers Likely Correlates Positively with Organismal Complexity

It follows from the previous discussion that highly complex organisms would be expected to possess a larger number of longevity determinants and optimizers compared to less complex organisms. The reasons for this are fairly intuitive and can be explained by considering the characteristics implicated in increasing organismal complexity. Complex organisms are likely to have more cell and tissue types and to have increased specialization in these structures. Cellular interactions are more numerous and more sophisticated. Signaling pathways and their associated biomolecules, though often highly conserved, may utilize additional component derivatives to increase complexity. Due to this sophistication, more complex organisms will tend to have an increased number of opportunities for problems to occur. Complex organisms require additional “protective” mechanisms to temper this increase in vulnerability, further contributing to increased organismal complexity.

As an individual ages, functional deficits will eventually become unavoidable. The repair and replacement of biocomponents less vital to survival or preservation of fecundity may be deprioritized before more vital biocomponents. To minimize the severity of the aging phenotype in an older individual, alterations in resource allocation must be continuous and dynamic, and other physiological adjustments must also occur to effectively prioritize the functionality of those biocomponents most critical to survival. For these reasons, even simple organisms could conceivably display a relatively high level of sophistication in their longevity optimization pathways.

In organisms with a greater number of biocomponents and potential interactions, additional corrective factors must exist to manage these elements and provide an ideal configuration during all phases of aging. Furthermore, these adjustment mechanisms must also allow for a variable and dynamic response, in accordance with an organism’s current state of degradation. This logic suggests that the number of longevity determinants and optimizers should, in general, positively correlate with organismal complexity across species. The FOXO subfamily of forkhead transcriptions factors is a core player in one such conserved pathway that is implicated in longevity, but shows variation in its sophistication (Calnan and Brunet, 2008). While invertebrates have only one FoxO gene, there are four FoxO family members in mammals, each with distinct but occasionally overlapping functions. Sirtuins are another gene family implicated in longevity determination that demonstrate significantly greater diversification in mammals compared to invertebrates.

If there are indeed a greater number of longevity determinants and optimizers in more complex organisms, then it follows that significant lifespan extension in complex organisms would require manipulation of a multitude of longevity determinants and, likely, alterations to longevity optimization pathways. On the other hand, this may explain how the longevity of simple organisms might benefit significantly from manipulation of only one or a few longevity determinants.

Examination of conserved pathways known to be related to longevity support this hypothesis. In invertebrates, insulin-like growth factor 1 (IGF-1) and insulin bind to a single receptor, whereas in mammals distinct receptors are used for IGF-1 and insulin. Specific mutations in this receptor can greatly increase lifespan in Caenorhabditis elegans (Kenyon et al., 1993; Kenyon, 2010) while lifespan increases in the more complex Drosophila melanogaster are much lower (Tatar et al., 2001). Manipulation of the IGF-1 and insulin pathways in mice imparts only a modest increase in lifespan (Blüher et al., 2003; Selman et al., 2008). These observations illustrate the relationship between organismal complexity and the increasingly multifactorial nature of longevity determination.

9.3 The Rigidity of Species MLSP

Beyond the supposition that significant lifespan extension in more complex organisms is likely to require manipulation of considerably more elements than in simpler organisms, physiological barriers also constrain the longevity possibilities. It may be possible to assess the plasticity, or rigidity, of MLSP in a species by these two factors: complexity and physiology. Selective pressure has led to highly optimized physiology, based on compromises between other factors affecting fitness (peak power density, physical size, etc.). Consider the potential implications of lowering the mass-specific metabolic rate of a mouse to 1/8th its normal rate (approximately that of a human). The physiology of a murine heart is appropriate for the level of performance of the individual murine cardiomyocytes that it is comprised of and for the demands of a mouse.
body. Reducing the metabolic rate by such a large amount would likely mandate a loss in the peak power density of cardiomyocytes. These cardiomyocytes would be less able to generate the contractile forces necessary to counter the energy dissipation inherent to the murine circulatory system and hence blood circulation may be insufficient for sustaining life. Only with fundamental changes to the configuration of the vasculature, which would require additional genomic alterations, could this energy dissipation factor be reduced. Yet, even with a configuration optimized for efficiency over performance, viable metabolic rates will be constrained to those values capable of satisfying certain physical requirements. Governing models derived from principles of fluid dynamics have been proposed (West et al., 1997; West and Brown, 2005) that provide an example of this type of phenomenon. Although the circulatory system is perhaps the easiest example to conceptualize, there are many other potential negative physiological implications of manipulating singular longevity determinants such as metabolism. A number of other tissues would be similarly affected in this scenario, such as the liver and brain.

For these reasons I hypothesize that species MLSP exhibits a degree of rigidity that increases with organismal complexity. If accurate, this highlights the naivety of longevity extension efforts to identify and manipulate genes that could significantly extend human lifespan without compromising health or performance, and further explains why longevity extension results do not translate well outside of simple “model” organisms.

10 Summary and Conclusions

Aging is the greatest risk factor for all age-related diseases. Yet, most “aging research” is focused on age-associated pathologies, rather than the fundamental biology of aging (Hayflick, 2000; 2007b). Because of this, and despite the fact that a number of pathways related to longevity have been identified and elucidated, it could be strongly argued that scientists today know little more about the true reasons for aging than was known 50 years ago. This is exemplified by the clear lack of any consensus theory of aging. Even worse, despite the continued accumulation of serious anomalies challenging common aging theories, the scientific community remains complacent. The multitude of aging theories, the discontinuities between them, and the failure of the scientific community to agree on the root causes of aging, while disappointing, represents a clear opportunity to revisit this problem with a multidisciplinary and somewhat radical approach.

In many aging theories proposed during the last half century, evolutionary theory has been relied on heavily, and often singularly, to explain aging. Yet, an evolutionary-based model of senescence that does not incorporate physical law is incomplete. Previous attempts to undermine the relevance and importance of thermodynamics in aging (Mitteldorf, 2010) are terribly misguided, as they fail to recognize and accept the impact of the second law on mutual information flow within an organism. Nonequilibrium thermodynamic theory stipulates that biomolecules will suffer degradative insults with time. DNA molecules cannot escape the inevitability that some of these insults will result in permanent changes to the genetic sequence. As it is not possible to select for only neutral or advantageous configurations in the individual, the loss of mutual DNA information requires that the performance of the individual will decrease with time. The species is also susceptible to the loss of mutual DNA information but through selection is able to preserve species fitness. In any case, mutual DNA information cannot be retained indefinitely in either the species or in individuals.

Although evolutionary theory predicts that senescence will arise inevitably due to declining selective pressure with age (Hamilton, 1966), this is redundant for aging to occur. Furthermore, blanket acceptance of declining selective pressure as the sole cause of aging is a logical fallacy (converse error). The rate at which mutual DNA information is lost in an individual can clearly be impacted by factors that otherwise affect species fitness. This is most easily conceptualized by examining the flow of genetic information through the individual cells of an organism and considering those factors that may increase or decrease the probability of an irreversible insult occurring to a DNA molecule. The predicted effect of some of these factors (many of which can be described by allometric and other trends) on the rate of mutual DNA information loss suggests the presence of a negative correlation between the rate of mutual DNA information loss and longevity. For this reason, it is reasonable to propose that the loss of mutual DNA information may be a more critical determinant of longevity than declining selective pressure in many organisms.
Living organisms are nonequilibrium systems. As such, they are constantly producing internal entropy—leading to biomolecular degradation. This degradation must be continually countered in order to prevent structure from quickly deteriorating to a nonviable state. It is not possible to attain a “perfect” quality state (a degradation state of zero) in any population of biomolecules. The quality (or degradation state) of a biomolecular ensemble is partly determined by the rate at which degraded molecules are replaced and damage is repaired. Biomolecular quality also depends on the ability to resist thermodynamic potentials and the magnitude of the potentials themselves—as stipulated by the biomolecular structure, the microenvironment and the time distribution between the high thermodynamic potential “active” molecular state and the resting state. These parameters are specified by an organism’s genotype and may vary considerably between organisms.

Aging is perceived to commence when an individual is no longer able to maintain a youthful steady state—although individuals actually begin to lose mutual DNA information at conception. Biomolecular and cellular turnover rate alone cannot explain why youthful steady-state homeostasis is lost. An obvious vulnerability lies in any biocomponent that lacks renewal capacity. Given the short half-life of most biomolecules, those that can be expressed are unlikely to be the culprits in organisms with at least moderate longevity. As DNA molecules are the information-containing biomolecules encoding all other biomolecules, the absolute integrity of the information in DNA molecules would have to be retained universally within an individual to avoid a shift from the existing steady state. This, of course, is not possible. Cellular pooling (via stem cells) increases DNA information redundancy and must be scaled appropriately within an organism to ensure that mutual DNA information is preserved for a sufficiently long period of time and at adequate levels to satisfy the longevity requirements of the organism. With age, undersized stem-cell pools would result in progenitor cells that prematurely reach the Hayflick limit (Hayflick and Moorhead, 1961) and/or stem cells with excessive losses in mutual DNA information.

Increased biomolecular activity results in a higher rate of loss of mutual DNA information due to increased exposure of DNA molecules to the high thermodynamic stress conditions of replication and an increased rate of clonal expansion of compromised DNA molecules. This affects intracellular pools of mtDNA, as well as the fidelity of nuclear DNA. The loss of mutual DNA information will consume redundancy until a pathological phenotype is unavoidable. There are fitness tradeoffs associated with the establishment and preservation of information redundancy. Organisms must establish a balance such that their internal entropy management strategy is sufficient to preserve mutual DNA information for an adequate period of time—to meet the lifespan requirements of the organism—but not so burdensome as to lower fitness. This balance is, of course, represented by a species’ evolved genotype.

It is an interesting observation that organisms with shorter lifespans expend more energy on biomolecular repair and replacement. Although this is the precise opposite of what the disposable soma theory of aging would predict, it is, in fact, quite expected and straightforward to explain. Internal entropy production is higher in shorter-living organisms due to their increased peak power density; this necessitates a greater rate of negative entropy production, which is represented by upregulated biomolecular repair and replacement. A consequence of the increased metabolic activity required to achieve this is that higher thermodynamic stress is placed on DNA molecules (largely through increased replication rates)—resulting in an increase in the rate of loss of mutual DNA information which leads to reduced longevity. The establishment of a link between peak power density, metabolism, and longevity may help to explain the existence of many of the species lifespan trends that have been found to describe metazoans. The basic premise of the disposable soma theory is thus fatally flawed and should be rejected—lifespan is not positively correlated with the proportion of energy directed towards repair and replacement, but rather is likely largely determined by an organism’s overall entropy management strategy.

Longevity determination is encoded within the genome; however, genes are not the only longevity determinants. The phenotypic characteristics represented by those genetic elements should also be considered longevity determinants. Phenotype is contingent on the environment and environmental interaction factors, and is constrained, defined, and driven by universal law and evolutionary factors. The relationship between these drivers and phenotype is the fundamental core of a theoretical framework that may help to explain organismal aging and longevity determination.
thinking is quite a departure from the current mainstream approach, which focuses on establishing direct relationships between particular genes and their observable effects on the aging phenotype—leading to short-sighted conclusions of cause and effect that reveal very little of the true essence of organismal aging.

I propose that the putative aging pathways are also involved in molding the progression of the aging phenotype. As mutual DNA information loss escalates to the point where youthful homeostasis can no longer be preserved, longevity optimizers adjust the configuration of an organism to lessen the effects of degradation on the viability of the individual. While longevity optimizers may be an important component of an organism’s entropy management strategy, it is useful to view these factors as separate from the primary longevity determinants because their mode of action is distinct.

If managed deterioration is a true component of the aging process, then there is little doubt that scientists are drawing some incorrect conclusions from studies focusing on manipulation of the aging phenotype. The retrospective approach taken by most scientists to demystifying aging, where the end results are studied and one attempts to work backwards to establish causality, has virtually no chance of success. Manipulation of many of the genetic elements implicated in longevity can indeed alter certain aspects of the aging phenotype. Yet, the complexity of the aging phenotype and the many intercorrelations between longevity determinants and optimizers make it very difficult to make meaningful inferences towards the true causes of aging, absent a solid theoretical framework of the aging process. Instead, this approach will lead to incorrect presumptions regarding the culpability of a particular factor as a root-level longevity determinant or “cause” of aging. A prospective approach is required to both recognize the possibility of the presence of managed deterioration and to understand and properly interpret experimental results involving longevity determinants and optimizers.

While evolutionary theory alone cannot explain aging, neither can other theories that approach this problem from a singular field of study—a multidisciplinary approach is required. The theoretical framework discussed here utilizes concepts from physics, information theory, as well as evolutionary theory. This theory differs from most others in that it does not ask, “what could possibly go wrong?” but rather, “what will inevitably go wrong and why?” While the theory put forth here is well supported by the findings of others in diverse fields, it is admittedly not devoid of speculative components. Additional data, such as the species differences in mitobiogenesis rates, would bring better clarity to important questions that remain and would be very helpful in refining the argument presented here.
REFERENCES


