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1 Beyond accessibility: ATAC-seq footprinting unravels kinetics

2 of transcription factor binding during zygotic genome

3 activation

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19 Abstract

20 While footprinting analysis of ATAC-seq data can theoretically enable investigation of 21 transcription factor (TF) binding, the lack of a computational method implementing both 22 footprinting, visualization and downstream analysis has hindered the widespread application 23 of this method. Here we present TOBIAS, a comprehensive footprinting framework enabling 24 genome-wide investigation of TF binding dynamics for hundreds of TF simultaneously. As a 25 proof-of-concept, we illustrate how TOBIAS can unveil complex TF dynamics during zygotic 26 genome activation (ZGA) in both humans and mice, and explore how the TF Dux activates 27 cascades of TF, binds to repeat elements and induces expression of novel genetic elements. 28 TOBIAS is freely available at: https://github.com/loosolab/TOBIAS.

29

30 Keywords

31 Footprinting, ATAC-seq, epigenetics, transcription factors, ZGA, Dux

3233 Background

34 Epigenetic mechanisms governing chromatin organization and transcription factor (TF) 35 binding are critical components of transcriptional regulation and cellular transitions. In recent 36 years, rapid improvements of pioneering sequencing methods such as ATAC-seq (Assay of 37 Transposase Accessible Chromatin) [1], have allowed for systematic, global scale investigation of epigenetic mechanisms controlling gene expression. While ATAC-seg can 38 39 uncover accessible regions where TFs might bind, true identification of specific TF binding 40 sites (TFBS) still relies on chromatin immunoprecipitation methods such as ChIP-seq. 41 However, ChIP-seq methods require high input cell numbers, are limited to one TF per assay, and are further restricted to TFs for which antibodies are readily available. Latest 42 43 improvements of ChIP based methods [2] can circumvent some of these technical drawbacks, 44 but the limitation of only being able to identify binding sites of one TF per assay persists. 45 Therefore, it remains costly, or even impossible, to study the binding of multiple TFs in parallel.

46 The limitations of investigating TF binding become particularly apparent when investigating 47 processes involving a very limited number of cells such as preimplantation development (PD) 48 of early zygotes. PD encompasses the transformation of the fertilized egg that forms the 49 zygote, which subsequently undergoes a series of cell divisions to finally constitute the 50 blastocyst, a structure built by the inner cell mass (ICM) and trophectoderm (Figure 1a). 51 Following fertilization, maternal and paternal mRNAs are degraded prior to zygotic genome 52 activation (ZGA) (reviewed in [3]), which leads to the transcription of thousands of genes [4]. 53 Integration of multiple omics-based profiling methods have revealed a set of key TFs that are 54 expressed at the onset of and during ZGA including Dux [5, 6], Zscan4 [7], and other 55 homeobox-containing TFs [8]. However, what genetic elements they directly bind to and/or 56 regulate during PD remains poorly understood. Consequently, the global network of TF 57 binding dynamics throughout PD remains almost entirely obscure.

58 A computational method known as *digital genomic footprinting* (DGF) [9] has emerged as an 59 alternative means, which can overcome some limitations of investigating TF binding with ChIP-60 based methods. DGF is a computational analysis of chromatin accessibility assays such as 61 ATAC-seq, which makes use of the intrinsic effect that DNA effector enzymes only cut accessible DNA regions. Similarly to nucleosomes, bound TFs hinder cleavage of DNA. 62 63 resulting in defined regions of decreased signal strength within larger regions of high signal -64 known as footprints [10] (Figure 1b). This concept shows considerable potential as it 65 theoretically allows to survey genome-wide binding of multiple TFs in parallel from a single 66 experiment.

67 However, there are still a multitude of challenges to DGF methods [11, 12]. While ATAC-seq 68 became very popular as it is simpler and require less starting material in comparison to 69 DNAse-seq, only a few of the existing footprinting tools inherently support ATAC-seg analyses 70 [13-16]. In this context the non-random behavior of cleavage enzymes that bind preferentially 71 to certain sequence compositions (e.g. Tn5 bias for ATAC-seq) turned out to be a major 72 challenge [17-20]. In addition, computational issues such as software availability, the use of 73 non-standard file-formats, varying dependencies and lack of support for multiprocessing have 74 made current footprinting tools hard to integrate into existing analysis pipelines. Aside from 75 the identification of footprints, the challenge of integrating footprints, TF motifs and genomic 76 location of genes to be able to fully investigate the epigenetic processes involving TF binding 77 is not a trivial task.

While all of these factors significantly influence the outcome of footprinting analyses, previous investigations have been focused on improving individual computational steps such as estimating differential TF binding on a global scale [21-23], identifying footprints for specific TFs in a local genomic context [16, 24], or correcting the bias within the genomic signals [25, 26]. Few methods have included bias correction as an integrated part of footprint detection [16]. Essentially, a comprehensive framework that takes all of these parameters into account does not exist.

85 Here we describe and exploit application of TOBIAS (Transcription factor Occupancy 86 prediction By Investigation of ATAC-seq Signal), a comprehensive computational framework 87 that we created for footprinting analysis (Figure 1c). TOBIAS is a collection of computational tools utilizing a minimal input of ATAC-seg reads (.bam-format), TF motif information (in the 88 89 form of PWMs) and genome information to enable Tn5 bias correction, footprinting, and comparison of TF binding even for complex experimental designs (e.g. time series). 90 91 Furthermore, TOBIAS includes a variety of modules for downstream analysis such as TF 92 network inference and visualization of footprints. In addition to the TOBIAS Python package, 93 we provide scalable analysis workflows implemented in Snakemake [27] and NextFlow [28]. 94 including a cloud computing compatible version making use of the de.NBI cloud [29].

95 **Results**

96 Validation of TOBIAS

97 As a comprehensive framework for DGF analysis comparable to TOBIAS does not exist, we 98 rated the individual TOBIAS modules in a well-studied system of paired ATAC-seg and ChIP-99 seg datasets (see Methods; Validation) against published methods where possible. In terms of Tn5 bias correction, we found that TOBIAS outperforms other tools in distinguishing 100 101 between bound/unbound sites (Supp. Figure 1a, Supp. File 1). For detection of footprints, we 102 also found that TOBIAS clearly outperforms other known methods capable of screening TFs 103 in parallel (Supp. Figure 1b left and methods). By making use of another exemplary dataset 104 of ATAC-seq data derived from hESC [30], we confirmed the obvious improvement of footprint 105 detection after Tn5 bias correction (Supp. Figure 2a left). Importantly, we also identified a 106 number of cases where the TF motif itself is a disfavored position for Tn5 integration, thereby 107 creating a false-positive footprint if left uncorrected, which disappears after Tn5 bias correction 108 (Supp. Figure 2a; right). Utilizing a footprint metric as described by [22] (Supp. Figure 2b) 109 across different stages of Tn5 bias correction (uncorrected/expected/corrected signals), we 110 found a high correlation between uncorrected and expected footprinting depths (Supp. Figure

111 2c). In contrast, this effect vanished after TOBIAS correction (Supp. Figure 2d), indicating the 112 gain of a real footprint information superimposed by Tn5 bias. In a global perspective, taking 113 590 TFs into account, TOBIAS generated a measurable footprint for 64% of the TFs (Supp. 114 Figure 2e). This is in contrast to previous reports wherein it has been suggested that only 20% 115 of all TFs leave measurable footprints [22]. To summarize, we found that TOBIAS exceeded 116 other software solutions in terms of correctly identifying bound TF binding sites.

117

118 Footprinting uncovers transcription factor binding dynamics in mammalian ZGA

119 To demonstrate the full potential of TOBIAS, in particular in the investigation of processes 120 involving only few cells, we analyzed a series of ATAC-seq datasets derived from both human 121 and murine preimplantation embryos at different developmental stages ranging from 2C, 4C, 122 8C to ICM in addition to embryonic stem cells of their respective species [30] [31]. Altogether. 123 TOBIAS was used to calculate footprint scores for a list of 590 and 464 individual TFs across 124 the entire process of PD of human and mouse embryos, respectively. After clustering TFs into 125 co-active groups within one or multiple developmental timepoints (Human: Figure 2a and 126 Supp. Table 1; Mouse: see next section), we first asked whether the predicted timing of TF 127 activation reflects known processes in human PD. Intriguingly, we found 10 defined clusters 128 of specific binding patterns, the majority of which peaked between 4C and 8C, fully concordant 129 with the transcriptional burst and termination of ZGA (Figure 2a).

130 Two clusters of TFs (Cluster 1+2; n=83) displayed highest activity at the 2-4C stage and 131 strongly decreased thereafter, suggesting that factors within these clusters are likely involved 132 in ZGA initiation. We set out to classify these TFs, and observed a high overlap with known 133 maternally transferred transcripts [32] (LHX8, BACH1, EBF1, LHX2, EMX1, MIXL1, HIC2, 134 FIGLA, SALL4, ZNF449), explaining their activity before ZGA onset. Importantly, DUX4 and DUXA, which are amongst the earliest expressed genes during ZGA [5, 6], were also 135 136 contained in these clusters. Additional TFs included HOXD1, which is known to be expressed 137 in human unfertilized oocytes and preimplantation embryos [33] and ZBTB17, a TF mandatory

138 to generate viable embryos [34]. Cluster 6 (n=67) displayed a particularly prominent 8C 139 specific signature, that harbored well known TFs involved in lineage specification such as 140 PITX1, PITX3, SOX8, MEF2A, MEF2D, OTX2, PAX5 and NKX3.2, Furthermore, overlapping 141 TFs within Cluster 6 with RNA expression datasets ranging from the germinal vesicle to 142 cleavage stage [5], 12 additional TFs (FOXJ3, HNF1A, ARID5A, RARB, HOXD8, TBP, ZFP28, 143 ARID3B, ZNF136, IRF6, ARGFX, MYC, ZSCAN4) were confirmed to be exclusively expressed 144 within this time frame. Taken together, these data show that TOBIAS reliably uncovers 145 massively parallel TF binding dynamics at specific time points during early embryonic 146 development.

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150 Transcription factor scores correlate with footprints and gene expression

151 To confirm that TOBIAS-based footprinting scores are indeed associated with leaving bona 152 fide footprints we utilized the ability to visualize aggregated footprint plots as implemented 153 within the framework. Indeed, bias corrected footprint scores were highly congruent with 154 explicitly defined footprints (Figure 2b) of prime ZGA regulators at developmental stages in 155 which these have been shown to be active [7]. For example, footprints associated with DUX4, 156 a master inducer of ZGA, were clearly visible from 2C-4C, decreased from 8C onwards and 157 were completely lost in later stages, consistent with known expression levels [30] and ZGA 158 onset in humans. Footprints for ZSCAN4, a primary DUX4 target [5], were exclusively visible 159 at the 8C stage. Interestingly, GATA2 footprints were exhibited from 8C to ICM stages which 160 is in line with its known function in regulating trophoblast differentiation [35]. As expected, CTCF creates footprints across all timepoints. Strikingly, we observed that these defined 161 162 footprints were not detectable without TOBIAS mediated Tn5 bias correction (Supp. Figure 163 2f). These data show that footprint scores can be reliably confirmed by footprint visualizations, 164 which further allow to infer TF binding dynamics.

165 To test if the global footprinting scores of individual TFs correlate with the incidence and level 166 of their RNA expression, we matched them to RNA expression datasets derived from 167 individual timepoints throughout zvgotic development, taking TF motif similarity into account. 168 Indeed, we found that TOBIAS scores for the majority of TFs either correlated well with the 169 timing of their expression profiles or displayed a slightly delayed activity after expression 170 peaked (Supp. Figure 3a). This is important because it shows that in conjunction with 171 expression data, TOBIAS can unravel the kinetics between TF expression (mRNA) and the 172 actual binding activity of their translated proteins. The value of this added information becomes 173 particularly apparent when analyzing activities of TFs that did not correlate with the timing of 174 their RNA expression (Supp. Figure 3a; not correlated).

175 For example, within the non-correlated cluster 13 TFs were identified which are of putative 176 maternal origin [32] including SALL4. In mice, Sall4 protein is maternally contributed to the 177 zygote, subsequently degraded at 2C and then reexpressed after zygotic transcription has 178 initiated [36]. Consistent with this, SALL4 expression increased dramatically from 8C onwards 179 (Supp. Table 2). Notably, TOBIAS predicted SALL4 to have the highest activity in 2C and 180 second-highest activity in hESC (on-off-on-pattern). These data show that TOBIAS can predict 181 true on-off-on-patterns, and can infer significant insight into TF activities, in particular for those 182 where determining their expression patterns alone does not suffice to explain when they exert 183 their biological function.

184

185 Differential footprint analysis reveals functional divergence between human and mouse 186 ZGA

The timing of ZGA varies between mice (2C) and humans (4C to 8C) (reviewed in [37] [38]). By integrating the TOBIAS scores from human and mouse (Supp. Figure 3b and Supp. Table 3), and instrumentalizing the capability of TOBIAS to generate differential TF binding plots for all time points automatically, we investigated similarities and differences of PD between these species. Firstly, reflecting the shift of ZGA onset, we identified 30 TFs which appeared to be ZGA specific in both human and mouse (Figure 2c) including several homeobox factors which
already have described functions within ZGA [39] as well as ARID3A which has been shown
to play a role in cell fate decisions in creating trophectoderm [40].

195 Next, we used the differential TF binding plots to display differences in ZGA at the transition 196 between 2C and 4C in mouse (Figure 3a), and human 8C and ICM (Figure 3b) (Supp. File 2 197 + 3 for all pairwise comparisons). In mice, we observed a shift of Obox-factor activity in 2C to 198 an activation of Tead (Tead1-4) and AP-2 (Tfap2a/c/e) motifs in 4C. Notably, AP2/Tfap2c is 199 required for normal embryogenesis in mice [41] and was also recently shown to act as a 200 chromatin modifier that opens enhancers proximal to pluripotency factors in human [42]. We 201 observed a similar shift of TF activity for homeobox factors such as PITX1-3, RHOXF1, CRX 202 and DMBX1 at the human 8C stage towards higher scores in ICM for known pluripotency 203 factors such as POU5F1 (OCT4) and other POU-factors. Taken together, these results 204 highlight the ability of TOBIAS to capture differentially bound TFs, not only across the whole 205 timeline, but also between individual conditions and species.

206 Throughout the pairwise comparisons, we observed that TFs from the same families often 207 display similar binding kinetics within species, which is not surprising since they often possess 208 highly similar binding motifs (Figure 3a right). To characterize TF similarity, TOBIAS provides 209 functionality to cluster TFs based on the overlap of TFBS within investigated samples (Figure 210 3c+3d). This enables quantification of the similarity and clustering of individual TFs that appear 211 to be active at the same time. Thereby, we observed a group of homeobox motifs which cluster 212 together with more than 50% overlap of their respective binding sites in mouse (Figure 3c). In 213 contrast, other TFs such as Tead and AP-2 cluster separately, indicating that these factors 214 utilize independent motifs (Supp. File 2+3). While this might appear trivial, this clustering of 215 TFs in fact also highlights differences in motif usage between human and mouse. One 216 prominent example is the RHOXF1 motif, which shows high binding-site overlap with Obox 217 1/3/5 and Otx2 binding sites in mouse (Figure 3c; ~60% overlap), but does not cluster with 218 OTX2 in human (Figure 3d; ~35% overlap). This observation suggests important functional

219 differences of RHOX/Rhox TFs between mice and humans. In support of this hypothesis 220 RHOXF1, RHOXF2 and RHOXF2B genes are exclusively expressed at 8C and ICM in 221 humans, whereas Rhox factors are not expressed in corresponding developmental stages of 222 preimplantation in mouse (Supp. Table 4). Conceivably, this observation, together with the 223 finding that murine Obox factors share the same motif as RHOX-factors in humans, suggests 224 that Obox TFs might function similarly to RHOX-factors during ZGA. Altogether, the TOBIAS 225 mediated TF clustering based on TFBS overlap allows for quantification of target-similarity 226 and divergence of TF function between motif families.

227

Dux expression induces massive changes of chromatin accessibility, transcription and TF networks

We became particularly attracted to Dux/DUX4 which TOBIAS correctly predicted to be one of the earliest factors to be active in both human and mouse (Figure 2a and Supp. Figure 3b)[5-7, 43, 44]. Despite its prominent role in ZGA, there is however still a poor understanding of how Dux regulates its primary downstream targets, and consequently its secondary targets, during this process. We therefore applied TOBIAS to identify Dux binding sites utilizing an ATAC-seq dataset of Dux overexpression (DuxOE) in mESC [5].

Inspecting the differential TF activity predicted by TOBIAS, we observed an increase of activity
of Dux, Obox and other homeobox-TFs as expected (Figure 4a, Supp. File 4). Interestingly,
this went along with a massive loss of TF binding for pluripotency markers such as Nanog,
Pou5f1 (OCT4) and Sox2 upon DuxOE, indicating that Dux renders previously accessible
chromatin sites associated with pluripotency inaccessible.

241 Consistently, Dux footprints (Figure 4b; left) were clearly evident upon DuxOE. Importantly, 242 TOBIAS discriminated ~30% of all potential binding sites within open chromatin regions to be 243 bound in the DuxOE condition further demonstrating the specificity of this method (Figure 4b; 244 right). To rank the biological relevance of the individually changed binding sites between 245 control and DuxOE conditions, we linked all annotated gene loci to RNA expression. A striking

246 correlation between the gain-of-footprint and gain-of-expression of corresponding loci was 247 clearly observed and mirrored by the TOBIAS predicted bound/unbound state (Figure 4c). 248 Amongst the genes within the list of bound Dux binding sites (Supp. Table 5 for full Dux target 249 list) were well known Dux targets including Zscan4c and Pramef25 [45], for which local 250 footprints for Dux were clearly visible (Figure 4d). The high resolution of footprints is 251 particularly pronounced for Tdpoz1 which harbors two potential Dux binding sites of which one 252 is clearly footprinted in the score track, while the other is predicted to be unoccupied (Figure 253 4d; bottom). In line with this, *Tdpoz1* expression is significantly upregulated upon DuxOE as 254 revealed by RNA-seq (log2FC: 6.95). Consistently, Tdpoz1 expression levels are highest at 255 2C in zygotes and decrease thereafter, strongly indicating that Tdpoz1 is likely a direct target 256 of Dux during PD both in vitro and in vivo [31, 46] (Supp. Table 5). Footprinting scores also 257 directly correlated with ChIP-seq peaks for Dux in the Tdpoz1 promoter (Supp. Figure 4a), an 258 observation which we also found at many other positions (Examples shown in Supp. Figure 259 4b+c).

260 Many of the TOBIAS-predicted Dux targets encode TFs themselves. Therefore, we applied 261 the TOBIAS network module to subset and match all activated binding sites to TF target genes 262 with the aim of inferring how these TF activities might connect. Thereby, we could model an 263 intriguing pseudo timed TF activation network. This directed network uncovered a TF 264 activation cascade initiated by Dux, resulting in the activation of 7 primary TFs which appear 265 to subsequently activate 32 further TFs (first three layers depicted in Figure 4e). As Dux is a 266 regulator of ZGA, we asked how the in vitro activated Dux network compared to gene 267 expression throughout PD in vivo. Strikingly, the in vivo RNA-seq data of the resolved 268 developmental dataset [31] confirmed an early 2C specific expression for Dux, followed by a 269 slightly shifted activation pattern for all direct Dux targets except for Rxrg (Figure 4f). However, 270 it is of note that *Rxrg* is significantly upregulated in the *in vitro* DuxOE from which the network is inferred (Supp. Table 5), pointing to both the similarities and differences between the in vivo 271 272 2C and in vitro 2C-like stages induced by Dux. In conclusion, these data show that beyond

identifying specific target genes of individual TFs, TOBIAS can infer biological insight bypredicting entire TF activation networks.

275 Notably, many of the predicted Dux binding sites (40%) are not annotated to genes (Figure 276 4g), raising the question what role these sites play in ZGA. Dux is known to induce expression 277 of repeat regions such as LTRs [5] and consistently, we found that more than half of the DUX-278 bound sites are indeed located within known LTR sequences (Figure 4g) which were 279 transcribed both in vitro and in vivo (Figure 4h). Interestingly, we found that 28% of all Dux 280 binding sites overlap with genomic loci encoding LINE1 elements. Although LINE1 expression 281 does not appear to be altered in mESC cells, there is a striking pattern of increasing LINE1 282 transcription from 4C-8C (Figure 4h) in vivo, pointing to a possible role of LINE1 regulation 283 throughout PD. Finally, we found that 6% of the Dux binding sites do not overlap with any 284 annotated gene nor with putative regulatory repeat sequences, even though transcription 285 clearly occurs at these sites (Figure 4h bottom). One example is a predicted Dux binding site 286 on chromosome 13, which coincides with a spliced region of increased expression between 287 control mESC/DuxOE and comparable high expression in 2C, 4C and 8C (Supp. Figure 5). 288 These data clearly indicate the existence of novel transcribed genetic elements, the function 289 of which remains unknown, but which are likely controlled by Dux and could play a role during 290 PD.

In conclusion, TOBIAS predicted the exact locations of Dux binding in promoters of target genes, and could unveil how Dux initiates TF-activation networks and induces expression of repeat regions. Importantly, these data further show that TOBIAS can identify any TFBS with increased binding, not only those limited to annotated genes, which aids in uncovering novel regulatory genetic elements.

296

297 **Discussion**

298 Footprint scores reveal true characteristics of protein binding

299 To the best of our knowledge, this is the first application of a DGF approach to visualize gain 300 and loss of individual TF footprints in the context of time series, TF overexpression, and TF-301 DNA binding for a wide-range of TFs in parallel. Importantly, we found that these advances 302 could in large part be attributed to the framework approach we took in developing TOBIAS. 303 which enabled us to simultaneously compare global TF binding across samples and quantify 304 changes in TF binding at specific loci. The modularity of the framework also allowed us to 305 apply a multitude of downstream analysis tools to easily visualize footprints and gain even 306 more information about TF binding dynamics as exemplified by the discovery of the Dux TF-307 activation network.

308 The power of this framework to handle time-series data becomes especially apparent when 309 correlating the TOBIAS-based prediction of TF binding to RNA-seg data from the same time 310 points. For instance, TOBIAS could infer when the maternally transferred TF SALL4 is truly 311 active while its gene expression pattern alone does not allow to make such conclusions. Along 312 this line. TOBIAS is also powerful in circumstances where gene expression of a particular TF 313 appears to be anticorrelated with its binding activity. It is tempting to speculate that TFs for 314 which footprinting scores are low, even though their RNA expression is high, might act as 315 transcriptional repressors, because footprinting relies on the premise that TFs will increase 316 chromatin accessibility around the binding site. In support of this hypothesis, recent 317 investigations have suggested that repressors display a decreased footprinting effect in comparison to activators [23]. Therefore, the integration of ATAC-seq footprinting and RNA-318 319 seq is an important step in revealing additional information such as classification TFs into 320 repressors and activators, as well as the kinetics between expression and binding.

321

322 Species-specific TFs use common ZGA motifs in mice and human

323 By integration of human and murine TF activities using both differential footprinting and 324 species-specific TFBS overlaps, our analyses revealed that the majority of TF motifs are active 325 at corresponding timepoints of human and mouse ZGA. This is not necessarily surprising since 326 homologous TFs that exert the same functions usually use similar motifs (e.g Pou2f1/POU2F1, 327 Otx1/OTX1 and/or Foxa3/FOXA3). Interestingly though, we found that this is not the case for 328 all TF motifs. In this context, we found that the human RHOXF1 motif (Figure 2b) is likely not 329 utilized by Rhox proteins in mice even though more than 30 Rhox genes exists. Evidently, 330 throughout multiple duplications. Rhox genes seem to have obtained other functionalities in 331 mouse [47] in comparison to the two human RHOX genes that are expressed in reproductive 332 tissues [48]. Therefore, although we found the human RHOXF1 motif to be highly active in 333 mice, this motif is most likely utilized by other proteins such as the mouse specific Obox 334 proteins. In support of this conclusion, expression patterns of Obox proteins appear to be 335 tightly regulated during PD [49] ([31]). High expression of Obox 1/2/5/7 is observed from the 336 zygote to 4C stage, while Obox3/6/8 are expressed and peak at later stages (Supp. Table 4). 337 Notably, there is a significant sequence similarity of the homeobox domains but not in the 338 other parts of the RHOXF1 and Obox protein sequences, which supports the similarity in 339 binding specificity. Although the potential functional overlap of RHOXF1 and Obox factors 340 remains unresolved, our inter-species analysis suggests an unappreciated function of these 341 factors and their targets during PD, clearly warranting an in depth investigation.

342 In the context of TF target prediction, the power of TOBIAS was particularly highlighted by the 343 fact that the analysis could identify almost all known Dux targets. In addition to coding genes, 344 our analysis disclosed novel Dux binding sites and significant footprint scores at LINE1 345 encoding genomic loci, which appear to be activated at the 4C/8C stage. This finding is 346 especially interesting because a recent study has shown that LINE1 RNA can interact with 347 Nucleolin and Kap1 to repress Dux expression [50]. Therefore, our findings give rise to a 348 kinetics driven model in which Dux not only initiates ZGA but also regulates its own termination 349 by a temporally delayed negative feedback loop. How this feedback loop is exactly controlled 350 remains to be determined.

351 Limitations and outlook of footprinting analysis

352 Despite the striking capability of DGF analysis, some limitations and dependencies of this 353 method still remain. Amongst these is the need of high-quality TF motifs for matching footprint 354 scores to individual TFs with high confidence. In other words, while the binding of a TF might 355 create an effect that can be interpreted as a footprint, without a known motif, this effect cannot 356 be matched to the corresponding TF. This becomes evident in the context of DPPA2/4, a TF 357 described by several groups to act in PD and even upstream of Dux [44]. DPPA2/4 targets 358 GC rich sequences [44], but its canonical binding motif remains unknown. It also needs to be 359 noted that footprinting analysis cannot take effects into account that arise from heterogeneous 360 mixtures of cells wherein TFs are bound in some cells and in others not. Therefore, if not 361 separated, the classification of differential binding will be an observation averaged across 362 many cells, possibly masking subpopulation effects. Recent advances have enabled to 363 perform ATAC-seg in single cells [51], but this generates sparse matrices, rendering 364 footprinting approaches on single cells illusive. However, we speculate that by creating 365 aggregated pseudo-bulk signals from large clustered SC ATAC datasets, DGF analysis might 366 also become possible in single cells.

367

368 Conclusions

369 Here, we have illustrated the TOBIAS framework as a versatile tool for ATAC-seg analysis 370 which helps to unravel transcription factor binding dynamics in complex experimental settings 371 that are otherwise difficult to investigate. We showed that entire networks of TF binding, which 372 have previously been explored using a combination of omics methods, can be recapitulated 373 to a great extent by DGF analysis, which requires only ATAC-seq and TF motifs. From a global 374 perspective, we provided new insights into PD by quantifying the stage-specific activity of 375 specific TFs. Furthermore, we highlighted the usage of TOBIAS to study specific transcription 376 factors as exemplified by our investigations on Dux. Finally, we used the specific TF target

377 predictions to gain insights into the local binding dynamics of Dux in the context of TF-378 activation networks, repeat regions and novel genetic elements.

379 In conclusion, we present TOBIAS as the first comprehensive software that performs all steps 380 of DGF analysis, natively supports multiple experimental conditions and performs visualization 381 within one single framework. Although we utilized the process of PD as a proof of principle, 382 the modularity and universal nature of the TOBIAS framework enables investigations of 383 various biological conditions beyond PD. We believe that continued work in the field of DGF, 384 including advances in both software and wet-lab methods, will validate this method as a versatile tool to extend our understanding of a variety of epigenetic processes involving TF 385 386 binding.

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392

393 **Declarations**

- 394 Ethics approval and consent to participate
- 395 Not applicable.

396 **Consent for publication**

397 Not applicable.

398 Availability of data and materials

- 399 The TOBIAS software is available on GitHub at: <u>https://github.com/loosolab/TOBIAS</u>.
- 400 Excerpts of the data analyzed here are accessible for dynamic visualization at:
- 401 <u>http://loosolab.mpi-bn.mpg.de/tobias-meets-wilson</u>. All raw data analyzed are available from
- 402 GEO or ENCODE as described in Methods. The complete TOBIAS output for the analysis of

- 403 the Dux overexpression dataset can be downloaded from:
- 404 https://figshare.com/projects/Digital_Genomic_Footprinting_Analysis_of_ATAC-
- 405 <u>seq_dataset_from_preimplantation_timepoints_via_TOBIAS/69959</u>.
- 406 **Competing interests**
- 407 None to declare

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412 Authors' contributions

- 413 MB, CK, JK and ML wrote the manuscript. MB, PG, HS, AP, KK, AF and JP performed the
- 414 bioinformatics analysis. JK, TB and ML directed, coordinated and supervised the work.

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419 Methods

420

421 Datasets

Organism	Deposited data	Source	Identifier
Mouse	ATAC-seq, RNA-seq and ChIP-seq from mESC control and Dux overexpression	[5]	GEO: GSE85632
Mouse	ATAC-seq and RNA-seq from various preimplantation stages	[31]	GEO: GSE66390

Human	ATAC-seq	and	RNA-seq	from	various	[30]	GEO:	
	preimplantation stages						GSE101571	

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For all public data sets used in this study (see table above), raw files were obtained from the European Nucleotide Archive [52] and processed as described in the methods section. See also methods section "Comparison of TOBIAS to existing methods" for links to the ENCODE data used for method validation.

427

428 Processing of ATAC-seq data

429 Raw sequencing fast files were assessed for quality, adapter content and duplication rates 430 with FastQC v0.11.7, trimmed using cutadapt [53] and aligned with STAR v2.6.0c [54] 431 "--alignEndsType EndToEnd --outFilterMismatchNoverLmax (parameters: 0.1 432 outFilterScoreMinOverLread 0.66 --outFilterMatchNminOverLread 0.66 --outFilterMatchNmin 433 20 -- alignIntronMax 1 -- alignSJDBoverhangMin 999 -- alignEndsProtrude 10 ConcordantPair -434 -alignMatesGapMax 2000 --outMultimapperOrder Random --outFilterMultimapNmax 999 --435 outSAMmultNmax 1") to either the mouse or human genome using Mus_musculus.GRCm38 436 or Homo_sapiens.GRCh38 versions from Ensembl [55]. Accessible regions were identified by 437 peak calling for each sample separately using MACS2 (parameters: "--nomodel --shift -100 --438 extsize 200 --broad") [56]. Peaks from each sample were merged to a set of union peaks 439 across all conditions using "bedtools merge". Each union peak was annotated to the transcriptional start site of genes (GENCODE [57]) in a distance of -10000/+1000 from the 440 441 TSS using UROPA [58].

442 Processing of RNA-seq data

Raw reads were assessed for quality, adapter content and duplication rates with FastQC
v0.11.7, trimmed using cutadapt [53] and aligned with STAR v2.6.0c [54] (parameters: "-outFilterMismatchNoverLmax 0.1 --outFilterScoreMinOverLread 0.9 --

446 outFilterMatchNminOverLread 0.9 --outFilterMatchNmin 20 --alignIntronMax 200000 --447 alignMatesGapMax 2000 --alignEndsProtrude 10 ConcordantPair --outMultimapperOrder 448 Random --outFilterMultimapNmax 999") to either the mouse or human genome using Mus_musculus.GRCm38 or Homo_sapiens.GRCh38 versions from Ensembl [55]. 449 450 Differentially expressed genes were identified using DESeq2 v1.22 [59]. Only genes with a 451 minimum log2 fold change of ±1, a maximum Benjamin-Hochberg corrected P-value of 0.05 452 and a minimum combined mean of five reads were classified as significantly differentially 453 expressed.

454 Processing of ChIP-seq data

455 Raw sequencing files in fastg format were quality assessed by Trimmomatic by trimming reads 456 after a quality drop below a mean of Q15 in a window of 5 nucleotides [60]. All reads longer than 15 nucleotides were aligned versus the mouse genome version mm10, keeping just 457 458 unique alignments (parameters: --outFilterMismatchNoverLmax 0.2 --459 outFilterScoreMinOverLread 0.66 --outFilterMatchNminOverLread 0.66 --outFilterMatchNmin 460 20 --alignIntronMax 1 --alignSJDBoverhangMin 999 --outFilterMultimapNmax 1 -alignEndsProtrude 10 ConcordantPair) by using the STAR mapper [54]. Read deduplication 461 462 was done by Picard (http://broadinstitute.github.io/picard/).

463 Processing of transcription factor motifs

464 TF motifs were downloaded from JASPAR CORE 2018 [61], the JASPAR PBM HOMEO 465 collection and Hocomoco V11 [62] databases. We further included the human ARGFX_3 motif 466 from footprintDB [63] which originates from a HT-SELEX assay [64]. In annotation to the 467 Dux/Dux4 motifs of JASPAR and Hocomoco, we also included two TF motifs for MDUX/DUX4 468 created using MEME-ChIP [65] with standard parameters on the ChIP-seq peaks of [45] 469 (GSE87279). 470 JASPAR motifs were linked to Ensembl gene ids by mapping the provided "Uniprot id" to the 471 "Ensembl gene id" through biomaRt [66]. Hocomoco motifs were likewise linked to genes through the provided HGNC/MGI annotation. Due to the redundancy of motifs between 472 473 JASPAR and Hocomoco, we further filtered the TF motifs to one motif per gene, preferentially 474 choosing motifs originating from mouse/human respectively. For each TOBIAS run, we 475 created sets of expressed TFs as estimated from RNA-seq in the respective conditions. This 476 amounted to 590 motifs for the dataset on human preimplantation stages, 464 motifs for the 477 dataset on mouse preimplantation, and 459 for the DuxOE dataset.

478 Maternal genes

479 Maternal genes for human and mouse were downloaded from the REGULATOR database
480 [32]. Entrez gene ids were converted to Ensembl gene ids using biomaRt [66] and
481 subsequently matched to available TF motifs.

482 Overlap of Dux binding sites to repeat elements

483 Repeat elements for mm10 were downloaded from UCSC
484 (<u>http://hgdownload.soe.ucsc.edu/goldenPath/mm10/database/rmsk.gz</u>). Overlap of Dux sites
485 to individual repeat elements (as seen in figure 4G) was performed using "Bedtools intersect".
486 The sum of overlaps were counted by repeat class (LINE1/LTR).

487 Visualization

All TF-score heatmaps were generated by R Version 3.5.3 and complex heatmap package version 3.6 [67]. Individual gene views were generated by loading TOBIAS output tracks into IGV version 2.6.2 [68] or using the svist4get visualization tool [69]. TF networks were drawn with Cytoscape version 3.7.1 [70]. Heatmaps of genomic signal density were generated using Deeptools version 3.3.0 [71]. All other figures, such as footprint plots, volcano plots and motif clustering dendrograms were generated by the TOBIAS visualization modules as described below.

The TOBIAS framework
In developing TOBIAS, we found that there were six main areas of DGF which had not been
comprehensively addressed in the context of ATAC-seq footprinting analysis:
• All-in-one framework including bias correction, footprinting, quantification of protein
binding and visualization
Investigation of TF binding on a global level (which TFs are more bound globally) as
well as the locus-specific level (which TF binds to which genomic locations including
statistics on differential binding)
• Consideration of the redundancy and similarity of known TF binding motifs in the
context of footprinting
• A scoring model for TF-DNA binding taking into account the potential lack of a
canonical footprint effect
• Comparison and quantification of TF binding activity within complex experimental
settings (multiple conditions or time series)
All in one automated workflows for recurring analysis tasks
Modules enabling these individual analysis steps are included in the TOBIAS package, which
is publicly available at Github (https://github.com/loosolab/TOBIAS) as well as on PyPI and
Bioconda. Besides the examples given in the repository README, we also provide a Wiki
(https://github.com/loosolab/TOBIAS/wiki) which introduces some of the individual software
modules. We used the pre-defined workflows in Snakemake and NextFlow to run the full
analysis. The single modules are explained in more detail below.
Bias correction (TOBIAS ATACorrect module)
Each Tn5-cut site is defined as the 5' end of the read shifted by +5 at the plus strand and -4

Each Tn5-cut site is defined as the 5' end of the read shifted by +5 at the plus strand and -4 at the minus strand to center the transposase event. Using the mapped reads from closed chromatin, ATACorrect builds a dinucleotide weight matrix [72] representing the preference of Tn5 insertion. In contrast to the classical position weight matrix (PWM) the dinucleotide weight 522 matrix (DWM) captures the inter-base relationships which arise due to the palindromic nature 523 of the bias. A background model is similarly built by shifting all reads +100bp as described by 524 [17].

Reads within open chromatin peaks are then corrected by estimating the expected number of
cuts per base pair and subtracting this from the observed cut sites as follows (modified from
[24]):

528
$$c_i = x_i - e_i$$

529

where

530
$$e_i = \widehat{x}_i * \widehat{b}_i$$
, $\widehat{x}_i = \sum_{j=i-50}^{i+50} x_j$, $\widehat{b}_i = \frac{b_j}{\sum_{j=i-50}^{i+50} b_j}$

where x_i is the observed cut sites, e_i is the expected cut sites, b_i is the calculated bias level, and c_i is the corrected cut sites at position i. To limit the influence of low-bias positions in the calculation of , a lower limit is set for b_i by calculating the fit of cutsites vs. bias to a rectified linear unit function (ReLu) in moving 100bp-windows and setting every b_i below the linear fit to 0. This calculation is performed for all base pairs within open chromatin, setting all other positions to 0. Lastly, each c_i is rescaled to fit the original sum of cuts \hat{x}_i for each window.

537 Footprinting (TOBIAS ScoreBigwig module)

538 We estimate footprint scores across open chromatin regions by calculating:

$$FP = \overline{x} flank - \overline{x}_{mid}$$

539 540

where

$$\overline{x}_{flank} = \frac{\sum_{i=j}^{j+wf} x_i + \sum_{i=j+wf+wm}^{j+2^*wf+Wm} x_i}{2^*wf} \text{ for } x_i > 0$$

542
$$\overline{x}_{mid} = \frac{\sum_{i=j+wf}^{j+wf+wm} x_i}{wm} \text{ for } x_i < 0$$

543 x_i is the number of cuts at position i, wf = width of flank in bp, wm = width of middle (footprint) 544 in bp. The defaults used are: wf = [10;30], wm = [20;50].

The term \overline{x} *mid* will be negative and will therefore raise the score if there is a high depletion of cuts in the footprint (middle). If there is no depletion, the score will simplify to the mean of cuts in the flanking regions, representing accessibility. It is therefore not necessary to see a canonical footprint shape for the footprint score to be high. The footprint score can be interpreted as higher scores being more evidence that a protein was bound at a given position. All calculations are done by the TOBIAS "ScoreBigwig" module.

551 Estimation of transcription factor states and pairwise comparison between conditions

552 (TOBIAS BINDetect module)

553 To match the calculated footprint scores to potential binding sites, TOBIAS BINDetect 554 integrates genomic sequence, footprint scores from several conditions and motifs to identify 555 up- and down regulated TFs based on footprint scores.

556 In the first step of the algorithm, the MOODS library (https://github.com/jhkorhonen/MOODS 557 [73]) is used to detect TF binding sites (within peaks) with a p-value threshold of 1e-4. 558 Background base pair probabilities are estimated from the input peak set. Subsequently, each 559 binding site is matched to footprint scores for each condition. Simultaneously, a background 560 distribution of values is built by randomly subsetting peak regions at ~200bp intervals, and the 561 scores from each condition are normalized to each other using quantile normalization. These values are used to calculate a distribution of background log2FCs for each pairwise 562 563 comparison of conditions.

564 Overlaps between the TFBS identified in the first step are quantified by creating a distance 565 matrix of TFs. The distance between a TF pair (TF1;TF2) is calculated as:

```
567 dist_{TF1;TF2} = 1 - max(overlap_{TF1;TF2} / total_{TF1}, overlap_{TF2;TF1} / total_{TF2})
568
```

where $total_{TF1}$ and $total_{TF2}$ are the total basepairs of all *TF1* and TF2 sites respectively and $overlap_{TF1;TF2}$ is the amount of base pairs of *TF1* which overlap with *TF2* sites. The max-statement ensures that the overlap is calculated with regards to the shortest TF motif.

572 In the second step of the algorithm, every TF binding site found (for each motif given as input) 573 is split into bound and unbound sites based on a score threshold per condition. The threshold 574 is set at the level of significance of a normal-distribution fit to the background distribution of 575 scores (user-defined p-value). As well as the per-condition split, each site is assigned a 576 log2FC (fold change) per comparison, which represents whether the binding site has 577 larger/smaller footprint scores in comparison. The global distribution of log2FC's per TF is compared to the background distributions to calculate a *differential binding score*, which is 578 579 calculated as:

580

$$\frac{(\overline{x}_o - \overline{x}_b)}{((std_o + std_b) / 2)}$$

where $\overline{x_o}$, std_o and $\overline{x_b}$, std_b are the means and standard deviations of the observed and background log2FC distributions respectively. A p-value is also calculated by subsampling 100 log2FCs from the background and calculating the significance of the observed change (Python's scipy.stats.ttest_1samp). By comparing the observed log2FC distribution to the background log2FC, the effects of any global differences due to sequencing depth, noise etc. are controlled. The differential binding scores and p-values are visualized as a volcano plot per conditioncomparison. All TFs with -log10(pvalue) above the 95% quantile or differential binding scores smaller/larger than the 5% and 95% quantiles (top 5% in each direction) are colored and shown with labels. Below the plot, hierarchical clustering of the TFBS-distance matrix is shown and all TFs with distances less than 0.5 (overlap of 50% of bp) are colored as separate clusters.

593 The result of BINDetect is a folder-structure containing an overview of all potential binding 594 sites (as .bed as well as excel files), the predicted split into bound and unbound sites, and a 595 global overview of differentially bound TFs per condition-comparison.

596 Visualizing aggregate plots and calculation of footprint depth (TOBIAS PlotAggregate
 597 module)

Footprints are visualized using the subtool "TOBIAS PlotAggregate". Aggregate footprints are created by aligning genomic signals centered on all binding sites (taking into account strandedness), to create a matrix of (n sites) x (n bp). The aggregate signal is calculated as the mean of each column (each bp). The default of +/- 60bp from the motif center was used throughout this manuscript.

603 The aggregate footprinting depth (FPD), which is applied in Supp. Figure 2c-d, was calculated 604 for each TF as:

$$FPD = \overline{signal_{flank}} - \overline{signal_{middle}}$$

606

where signal_middle is the mean of the signal centered on the TFBS (30bp) and signal_flank
is the mean of the signal in the remaining flanks ([-60;-15] and [+15;+60] bp) (See Supp. Figure
2b).

Similarly to the investigations in previous literature [22], we applied a mixture model from the
Mixtools R package [74] to estimate the fractions of TFs with/without measurable footprints
(Supp. Figure 2e).

614 Transcription factor binding network (TOBIAS CreateNetwork module)

615 The TF-TF network for Dux was built by subsetting all binding sites on the following 616 characteristics: Bound in the promoter of a target gene, labeled "Unbound" in Control, labeled 617 "Bound" in DuxOE, and log2FC footprint score increasing for DuxOE vs. Control. All targets 618 were further reduced to only include genes encoding TFs with available motifs. Motifs were 619 matched to genes as explained in the methods section "Processing of transcription factor 620 motifs". The network was then created using "TOBIAS CreateNetwork". The result is a network 621 of source and target nodes with directed edges, which in words can be described as: (Source 622 TF) binds in the promoter of (Target TF).

623 **TOBIAS framework output structure**

624 The output generated by the TOBIAS framework is organized in a hierarchical folder structure, 625 which increases clarity of all steps of the analysis. The folder structure specifically organizes 626 input data, pre-processing output like peak-calling and annotation, genomic tracks such as 627 bias correction and footprints, as well as the local and global TF predictions. Particularly, the 628 output for every individual TF investigated is arranged into separate folders containing TF 629 specific plots, annotations and binding predictions. This structure makes it simple to use the 630 output for further downstream analysis, as was showcased in this work. An exemplary output 631 complete framework of the be found can at:

- 632 <u>https://figshare.com/projects/Digital_Genomic_Footprinting_Analysis_of_ATAC-</u>
- 633 <u>seq_dataset_from_preimplantation_timepoints_via_TOBIAS/69959</u>

634 Validation

635 Comparison of TOBIAS to existing methods

- 636 Although footprinting tools for DNase-seq exist [18, 75, 76] [24, 77-79] [80], we have focused 637 our comparison on tools which are easily obtainable and installable, do not require ChIP-seq
- training-data, and are explicitly supporting ATAC-seq. We have additionally added two metrics
- 639 for "peak strength" and "PWM score" to compare TOBIAS to other footprinting-free metrics.
- 640 The validation datasets and usage of existing tools are described in the following sections.

641 Datasets

The TOBIAS framework was benchmarked using ATAC-seq data for the GM12878 cell line (GEO: GSE47753) and TF ChIP-seq data from ENCODE for the same cell line. ATAC-seq data was prepared as explained in the section "Processing of ATAC-seq data". ChIP-seq peak peak regions were downloaded and associated to motifs from Jaspar CORE 2018 using "MEME Centrimo" [81]. Only ChIP-seq experiments with motif enrichment > 1.0e-100 (Centrimo E-value) were kept. The pairing of the remaining 36 motifs and ChIP-seq peaks is seen below:

ENCODE accession	TF name	JASPAR motif ID
ENCSR987MTA	BHLHE40	MA0464.2
ENCSR681NOM	СЕВРВ	MA0466.2
ENCSR839XZU	Crem	MA0609.1
ENCSR000DZN	CTCF	MA0139.1
ENCSR000DZQ	EBF1	MA0154.3
ENCSR841NDX	ELF1	MA0473.2
ENCSR000DZB	ELK1	MA0028.2
ENCSR000BKA	ETS1	MA0098.3
ENCSR626VUC	ETV6	MA0645.1
ENCSR331HPA	Gabpa	MA0062.2

ENCSR009MBP	HSF1	MA0486.2
ENCSR000DYS	JUND	MA0491.1
ENCSR000DYV	MAFK	MA0496.2
ENCSR000DZF	MAX	MA0058.3
ENCSR000BKB	MEF2A	MA0052.3
ENCSR000BNG	MEF2C	MA0497.1
ENCSR000DZI	MXI1	MA1108.1
ENCSR000DNM	NFYB	MA0502.1
ENCSR514VYD	NR2F1	MA0017.2
ENCSR000DZO	NRF1	MA0506.1
ENCSR000BHD	PAX5	MA0014.3
ENCSR000BGR	PBX3	MA1114.1
ENCSR711XNY	PKNOX1	MA0782.1
ENCSR000BGF	REST	MA0138.2
ENCSR000BRI	RUNX3	MA0684.1
ENCSR041XML	SRF	MA0083.3
ENCSR739IHN	TBX21	MA0690.1
ENCSR000BGZ	Tcf12	MA0521.1
ENCSR501DKS	Tcf7	MA0769.1
ENCSR000BGI	USF1	MA0093.2
ENCSR000DZU	USF2	MA0526.2
ENCSR000BNP	YY1	MA0095.2
ENCSR000BHC	ZBTB33	MA0527.1
ENCSR000DZL	ZNF143	MA0088.2
ENCSR072PWP	ZNF24	MA1124.1
ENCSR000DYP	ZNF384	MA1125.1

649

Bound binding sites per TF were defined as any TFBS within +/- 100bp from the paired ChIP-

651 seq peak summit. In case of two or more binding sites per peak, the one closest to the summit

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was set to bound, and others were excluded. Unbound binding sites were defined as any
TFBS not overlapping any ChIP-seq peak, as well as not overlapping bound sites from any
other factors.

- 655 Bias correction approaches
- TOBIAS was compared to the existing bias correction methods as follows:

657	٠	seqOut	Bias					([25])
658		The	seqOutBias	software	was	downloaded	from	GitHub
659		(<u>https://</u>	github.com/guert	inlab/seqOutB	<u>ias</u>). Fol	lowing the vigne	ette for	ATAC-seq,
660		mappab	pility files were o	reated and A	TAC-seq	reads were corre	ected for	plus/minus
661		strand r	eads separately.	After correction	on, we fui	rther shifted the p	ositive ar	nd negative
662		tracks +	5 and -4bp resp	ectively, as this	s was not	performed by the	tool itsel	f.
663	•	HINT-A	TAC					([16])
664		The HIN	IT software was o	downloaded fro	om PyPI a	is part of the RGT	software	suite. Bias-
665	correction was performed from the ATAC-seq reads using the command "rgt-hint							
666		tracks	-bcbigWig <ba< td=""><td>m>".</td><td></td><td></td><td></td><td></td></ba<>	m>".				
667								
668	Aggre	gate foot	prints for each m	nethod across	all (within	i peaks), bound a	nd unbou	und binding
669	sites (see expla	anation above) w	ere visualized	using "TC	DBIAS PlotAggreg	jate".	
670								
671	Footp	orinting						
672	For co	omparing	TOBIAS to existi	ng footprinting) methods	as follows:		
673	٠	msCen	tipede					([14])

The msCentipede software was downloaded from GitHub (https://github.com/rajanil/msCentipede). For each TF, the binding model was built using the 5000 TFBS with highest PWM score genomewide. The resulting models were then used to infer the posterior binding-probability of TFBS in peaks.

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678 • Wellington ([76])

The pyDNase software was downloaded from PyPI. Footprints in ATAC-seq peaks were estimated using "wellington_footprints.py" with the "-A" option for ATAC-seq mode.

- Peak strength
 The "Peak strength" metric is defined as the mean number of Tn5 insertions in the
 ATAC-seq peak where the binding site is found. This score represents the accessibility
 of a certain region not taking into account local footprint information.
- PWM score
 687 The score of the motif-sequence match at the specific TFBS. As this is based on
 688 sequence alone, the PWM-score is independent of chromatin accessibility.

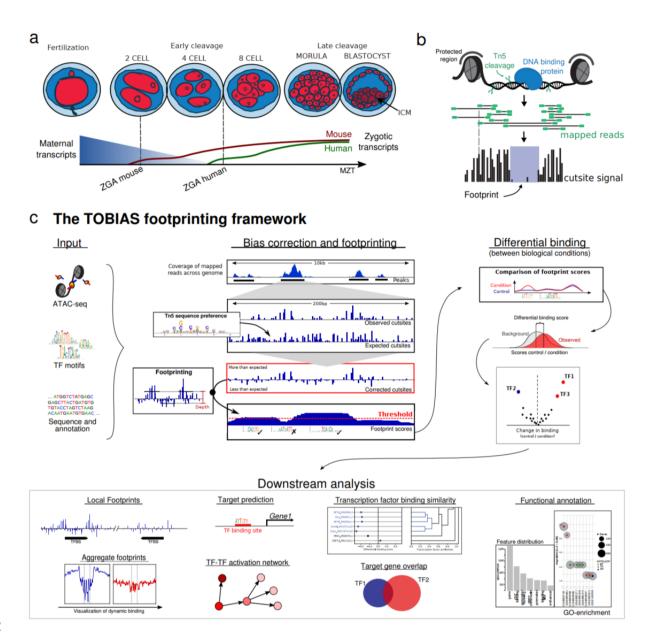
689

690 The area under the ROC curve (auROC) was used to evaluate the predictive power of each 691 method.

Note on comparison: Overall, we find that TOBIAS performs at least equally well in comparison to msCentipede [14], a learning based approach, that demands high computational performance and individually trained models for every TF under investigation (Supp. Figure 1b). Of note, although this learning-based approach performs well overall, it exhibits a drastic loss of predictive power for some TFs, while the TOBIAS scoring model provides robust binding prediction scores even for those TFs that do not leave visible footprints at first glance (Supp. Figure 1b right).

699

701 Figures and figure legends



702

703 Figure 1: The use of chromatin accessibility assays to investigate early developmental

704 processes

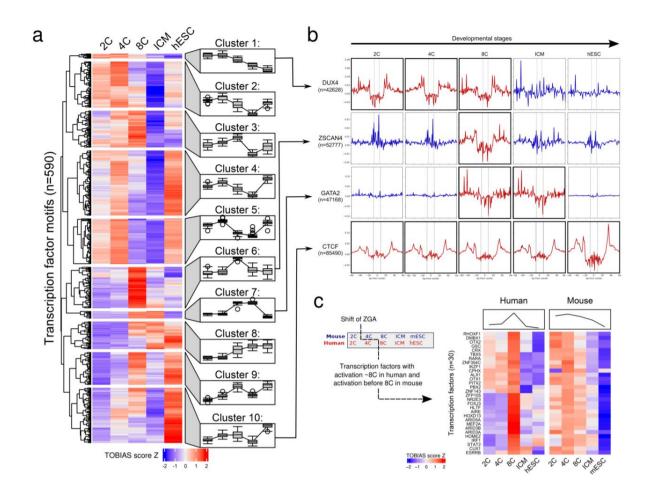
(a) Early embryonic development in human and mouse. The fertilized egg undergoes a series of
divisions ultimately creating the structure of the blastocyst. While maternal transcripts are depleted, the
zygotic genome is activated in waves as indicated by the dark shading. ZGA initiates in mouse at 2-cell
stage and in human at the 4-8-cell stage.

(b) The concept of footprinting using ATAC-seq. The Tn5 transposase cleaves and inserts
 sequencing adapters in open chromatin, but is unable to cut in chromatin occupied by e.g. nucleosomes

711 or transcription factors. The mapped sequencing reads can be used to create a signal of single Tn5-

events (cutsites), in which binding of transcription factors is visible as depletion of signal (the footprint).

- 713 (c) The TOBIAS digital genomic footprinting framework. Using an input of sequencing reads from
- 714 ATAC-seq, transcription factor motifs and sequence information, the TOBIAS footprinting framework
- 715 detects local and global changes in transcription factor binding. Bias-correction of the Tn5 sequence
- 716 preference enables detection of local chromatin footprints and matching to individual TFBS. Footprint
- scores can be compared between conditions and are used to define differential binding in pairwise
- 718 comparisons. The global binding map allows for a variety of downstream analysis such as
- 719 visualization of local and aggregated footprints across conditions, prediction of target genes for each
- 720 TF as well as comparison of binding specificity between several transcription factors. Functional
- annotation such as GO enrichment can be used to infer biological meaning of target gene sets.



723

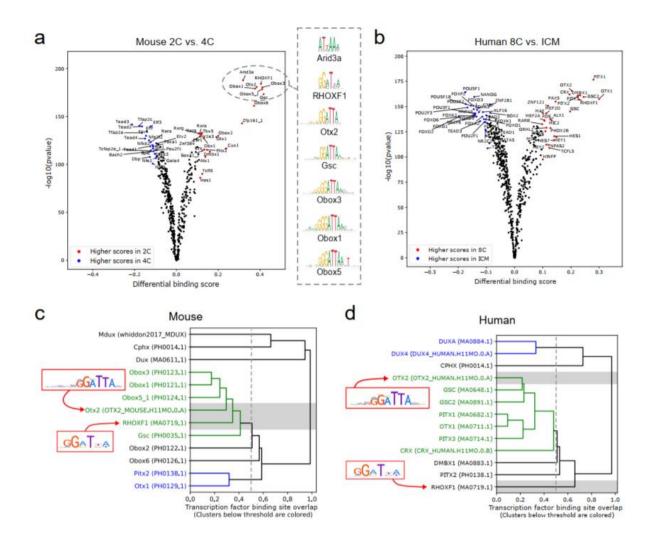


725 binding

(a) Clustering of transcription factor activities throughout development. Each row represents one
 TF, each column a developmental stage; blue color indicates low activity, red color indicates high
 activity. In order to visualize cluster trends, each cluster is associated with a trend line and time point
 specific boxplots.

(b) Bias-corrected ATAC-seq footprints reveal dynamic TF binding. Aggregated footprinting plot matrix for transcription factor binding sites. Plots are centered around binding motifs (n=* relates to the number of binding sites). Rows indicate TFs DUX4, ZSCAN4, GATA2, and CTCF; columns illustrate developmental stages from left to right. Active binding of the individual TFs at the respective timepoints is visible as a depletion in the signal around the binding site (highlighted in red). Upper three TFs are related to developmental stages, CTCF acts as a universal control, generating a footprint in all conditions. See Supplementary Figure 2A for uncorrected footprints.

- 737 (c) TF activity is shifted by ZGA onset in human and mouse. Heatmaps show activity of known
- 738 ZGA-related TFs for human (left) and mouse (right) across matched timepoints 2C / 8C / ICM / hESC
- 739 (mESC). Mean TF activity (top panel) peaks at 4-8C stage in human and is shifted to 2-4C stage in
- 740 mouse by the earlier ZGA onset.
- 741



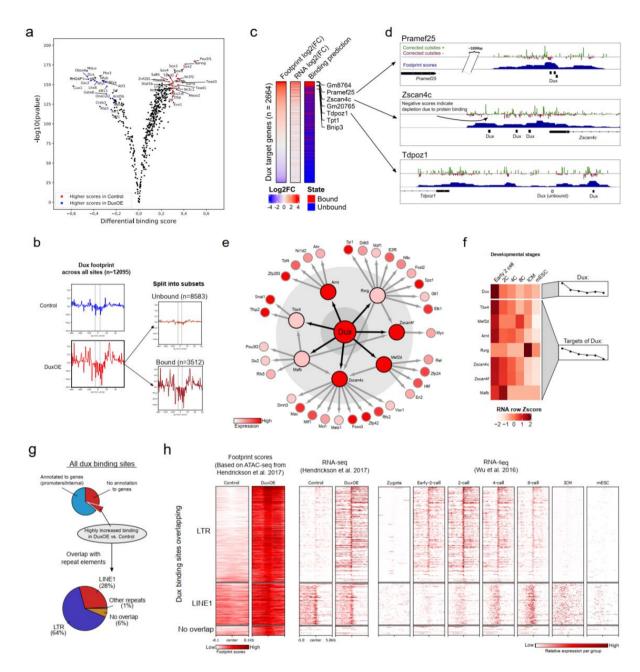
742

743 Figure 3: Comparison of binding site overlaps shows specification of ZGA functions

744 between mouse and human

(a-b) Pairwise comparison of TF activity between developmental stages. The volcano plots show
the differential binding activity against the -log10(pvalue) (as provided by TOBIAS) of the investigated
TF motifs; each dot represents one motif. For (A) 2C stage specific/significant TFs are labeled in red,
4C specific factors are given in blue. For (B) 8C stage specific/significant TFs are labeled in red, ICM
specific factors are given in blue.

(c-d) Clustering of TF motifs based on binding site overlap. Excerpt of the global TF clustering based on TF binding location, illustrating individual TFs as rows. The trees indicate genomic positional overlap of individual TFBS with a tree-depth of 0.2 representing an overlap of 80% of motifs. Each TF is indicated by name and unique ID in brackets. Clusters of TFs with more than 50% overlap (below 0.5 tree distance) are colored. (C) shows overlap of motifs included in the mouse analysis, and (D) shows clustering of human motifs. Complete TF trees are provided in Supp. File 2 and Supp. File 3.





758 **mouse**

756

(a) Volcano plot comparing TF activities between mDux GFP- (Control) and mDux GFP+ (DuxOE).

760 Volcano plot showing the TOBIAS differential binding score on the x-axis and -log10 (p value) on the y-

- 761 axis; each dot represents one TF. DuxOE specific/significant TFs are labeled in blue, Control
- 762 specific/significant TFs are labeled in red.
- 763 (b) Aggregated footprint plots for Dux. The plots are centered on the predicted binding sites for Dux
- and shown for Control and DuxOE condition. The total possible binding sites for DuxOE (n=12095) are
- separated into bound and unbound sites (right). The dashed line represents the edges of the Dux motif.

(c) Change in expression of genes near Dux binding sites. The heatmap shows 2664 Dux binding
sites found in gene promoters. Footprint log2(FC) and RNA log2(FC) represent the changes between
Control and DuxOE for footprints and gene expression, respectively. Log2(FC) is calculated as
log2(DuxOE/Control). The column "Binding prediction" depicts whether the binding site was predicted
by TOBIAS to be bound/unbound in the DuxOE condition.

(d) Genomic tracks showing footprint scores of Dux-binding. Genomic tracks indicating three DUX
target gene promoters (one per row) and respective tracks for cut site signals (red/blue), TOBIAS
footprints (blue), detected motifs (black boxes), and gene locations (solid black boxes with arrows
indicating gene strand).

(e) Dux transcription factor network. The TF-TF network is built of all TFBS with binding in TF
promoters with increasing strength in DuxOE (log2(FC)>0). Sizes of nodes represent the level of the
network starting with Dux (Large: Dux, Medium: 1st level, Small: 2nd level). Nodes are colored based
on RNA level in the OE condition [5].

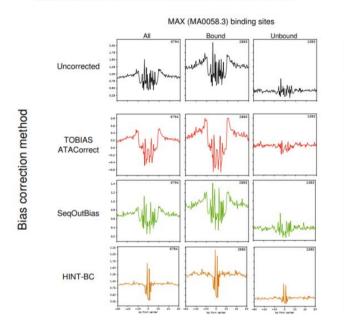
(f) Correlation of the Dux transcription factor network to expression during development. The
heatmap depicts the in vivo gene expression during developmental stages from [31]. The right-hand
group annotation highlights the difference in mean expression for each timepoint. The heatmap is split
into target genes of Dux, target genes of Arnt, Rxrg and Mef2d, as well as the pooled target genes from
Tbx4, Mafb, Zscan4c and Zscan4f (Additional targets).

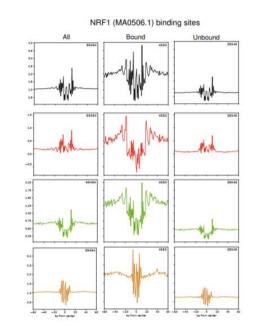
(g) Dux binding sites overlap with repeat elements. All potential Dux binding sites are split into sites
either overlapping promoters/genes or without annotation to any known genes. The bottom pie chart
shows a subset of the latter, additionally having highly increased binding (log2(FC)>1), and overlapping
LTR/LINE1 elements.

(h) Dux induces expression of transcripts specific for preimplantation. Genomic signals for the Dux binding sites which are bound in DuxOE with log2(FC) footprint score >1 (i.e. upregulated in DuxOE) are split into overlapping either LTR, LINE1 or no known genetic elements (top to bottom). Footprint scores (+/- 100bp from Dux binding sites) indicate the differential Dux binding between control and DuxOE. RNA-seq shows the normalized read-counts from [5] and [31] within +/- 5kb of the respective Dux binding sites, while red color indicates high expression.

794

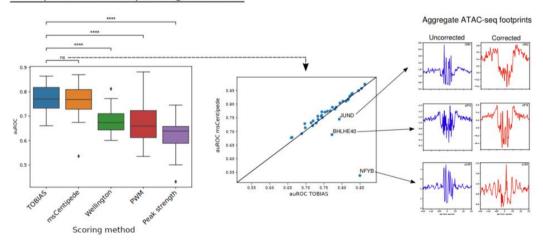
797





a Comparison of bias-correction methods

b Comparison of footprinting methods

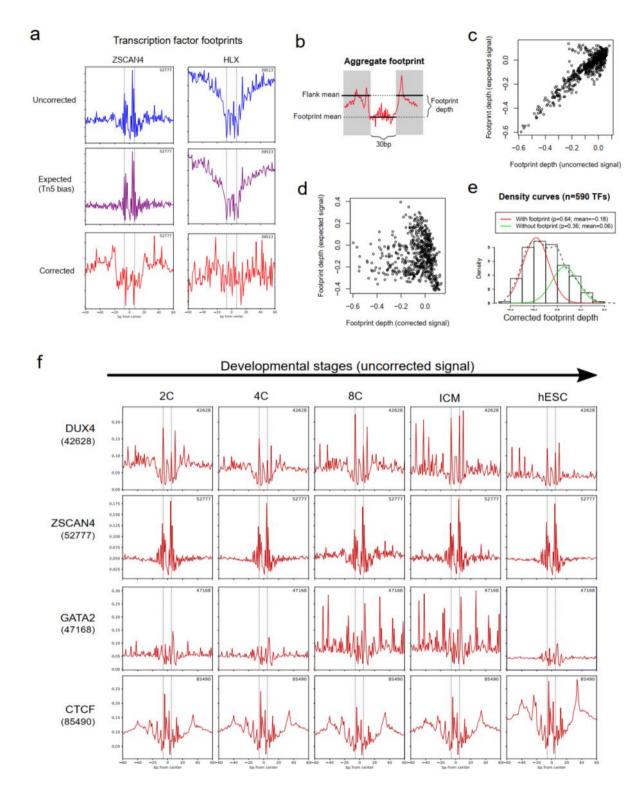


798

799 Supplementary Figure 1: Comparison of existing bias-correction and footprinting

- 800 methods
- 801 (a) Comparison of aggregate footprints for different bias-correction methods. Bound and
- 802 unbound transcription factor binding sites for MAX and NRF1 are shown across uncorrected signal
- 803 (pileup of Tn5 insertions), TOBIAS ATACorrect, SeqOutBias and HINT-BC correction methods. An
- 804 overview of all included TFs can be found in Supplementary File 1.

- 805 (b) Comparison of predictive ability across different footprinting methods. (left) auROC is
- 806 calculated based on ENCODE ChIP-seq for 36 TFs and compared across methods. Boxes indicate
- 807 quantiles, horizontal line indicates mean auROC of all TFs. Significance is indicated if applicable as
- 808 asterisk. (center) TOBIAS and msCentipede are compared by pairwise dotplot, each dot represents
- 809 one TF, TOBIAS has significant gains in auROC for JUND, BHLHE40 and NFYB, for which individual
- 810 aggregated footprints are shown (left, uncorrected in blue, corrected in red)
- 811

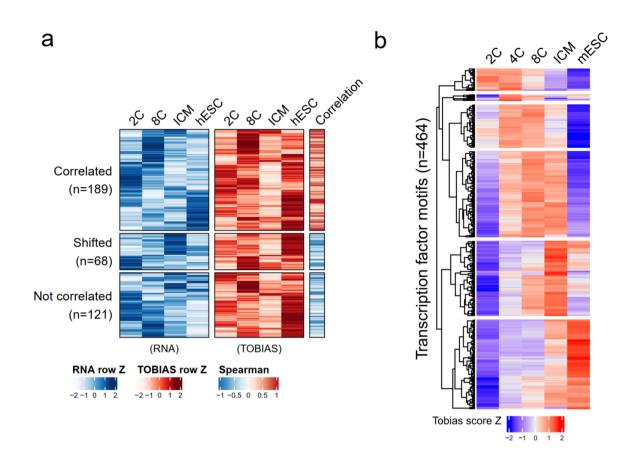


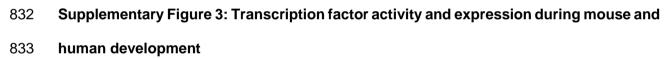


814 footprints from ATAC-seq

815 (a) Examples of Tn5-bias correction using "expected"-intermediates. For ZSCAN4, the
 816 uncorrected signal is clearly influenced by the expected Tn5 bias, whereas the corrected signal

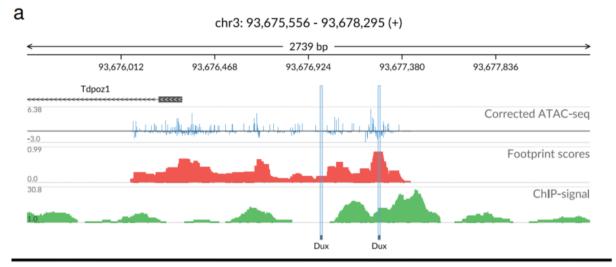
- 817 uncovers the underlying effect of protein binding. Likewise, the uncorrected signal of HLX resembles a
- 818 footprint which is mirrored by the expected signal. However, the corrected signal shows uniformity and
- 819 uncovers that there is little effect of protein binding.
- 820 (b) Aggregate footprint depth model. The footprint depth is calculated using a similar metric as
- 821 described in [22].
- 822 (c) Uncorrected Tn5-bias. The scatter plot show the depth of footprints for uncorrected vs. expected
- 823 footprints
- 824 (d) Corrected Tn5-bias. The scatter plot show the depth of corrected vs. expected footprints.
- (e) Mixture model of all footprinting depths shows that 65% of motifs fall into the category of a
- 826 measurable footprint in the aggregated profile. Data is based on 590 motifs in hESC.
- 827 (f) A depiction of uncorrected footprint aggregates across timepoints for transcription factors
- 828 DUX4, ZSCAN4, GATA2 and CTCF. In contrast to the corresponding corrected signals seen in Figure
- 829 2A, the footprints are hardly visible in the uncorrected aggregates.

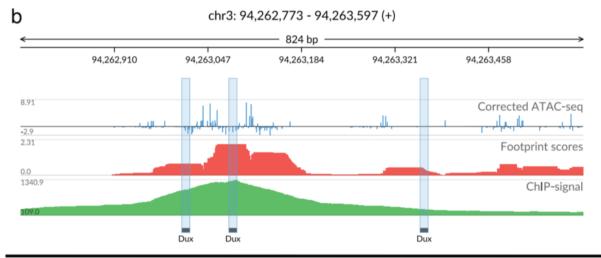


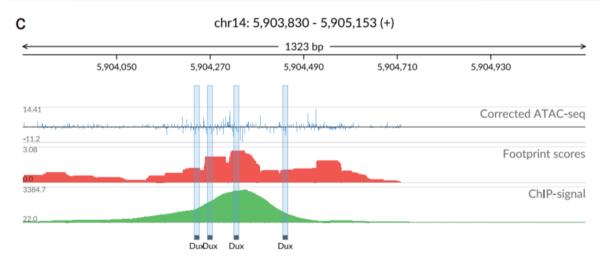


(a) Correlation of footprints and RNA-seq. The left heatmap (blue) depicts expression of transcription
factor clusters in the respective developmental stages. The left heatmap (red) depicts the corresponding
TOBIAS scores across human developmental stages. Spearman column represents the spearman
correlation between TOBIAS/RNA. The TF clusters are grouped into "Correlated" (Spearman≥0.2),
"shifted" (RNA max value appears before TOBIAS max value) and "Not correlated" (Spearman<0.2 with
no apparent shift in RNA).

(b) Dynamic transcription factor binding during mouse embryonic development. Similarly to
figure 2A, the heatmap depicts the TOBIAS-predicted footprint scores for 464 motifs during the
timepoints 2C, 4C, 8C, ICM and mESC. The rows are clustered into 6 clusters using hierarchical
clustering. Individual cluster members are given in Supplementary Table 2.





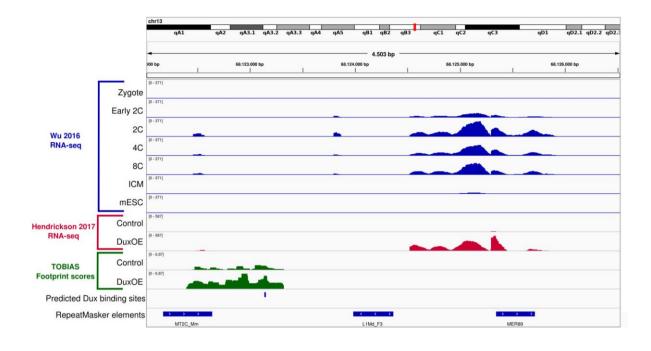




Dux

- 850 (a) A view of the footprinting scores in the promoter of Tdpoz1. Genomic tracks show corrected
- 851 ATAC-seq cutsites at 1bp resolution (blue), footprint scores as calculated by TOBIAS (red), and pileup
- 852 of reads from Dux ChIP-seq of [5] (green). Potential Dux binding sites are highlighted in blue.
- 853 (b-c) Footprinting correlates with ChIP-signal at multiple genomic loci. Genomic tracks are the
- same as described for (a).

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856 857

858 Supplementary Figure 5: Predicted Dux binding site correlates with increase in

859 expression of closeby non-annotated regions

860 The figure shows genomic tracks of RNA-seq from [31] (blue) and [5] (red), TOBIAS footprint scores

861 predicted from ATAC-seq (green) ([5]), predicted Dux binding site as well as known repeats as

862 annotated by RepeatMasker (Smit, AFA, Hubley, R & Green, P. RepeatMasker Open-4.0).

864 Supplemental Information

865 List of Supplementary Files

- 866 Supplementary File 1: Visualization of different methods for Tn5 bias correction across all 36
- 867 TFs with matched ChIP-seq. Each page contains footprints for a specific TF across all binding
- sites (in peaks), bound sites (overlapping ChIP-seq) and unbound sites (not overlapping ChIP-
- seq) for uncorrected/expected/corrected signals from different bias correction methods.
- 870 Supplementary File 2: The direct output file of the "TOBIAS BINDetect"-module containing
- 871 differential binding plots across all pairwise-comparisons of human developmental stages.
- 872 Supplementary File 3: The direct output file of the "TOBIAS BINDetect"-module containing
- 873 differential binding plots across all pairwise-comparisons of mouse developmental stages.
- 874 Supplementary File 4: The direct output file of the "TOBIAS BINDetect"-module containing
- 875 differential binding plots between control (mESC) and DuxOE samples.

876

877 List of Supplementary Tables

878 Supplementary Table 1: Prediction of transcription factor binding across human 879 2C/4C/8C/ICM/hESC clustered into co-active TFs. Each transcription factor is further linked to 880 expression of the factor based on RNA-seq.

881 Supplementary Table 2: TOBIAS TF scores for human PD timepoints, correlated to 882 corresponding RNA expression.

883 Supplementary Table 3: Prediction of transcription factor binding across mouse
884 2C/4C/8C/ICM/mESC clustered into co-active TFs. Each transcription factor is further linked
885 to expression of the factor based on RNA-seq.

- 886 Supplementary Table 4: Human and Mouse RNA expression for Obox and RHOX/Rhox genes
- 887 during preimplantation developmental stages.
- 888 Supplementary Table 5: Full list of the predicted Dux binding sites as well as their change
- 889 between mESC and DuxOE as predicted by TOBIAS.

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