

1 **Into the deep (sequence) of the foot-and-mouth disease virus gene pool: bottlenecks and**
2 **adaptation during infection in naïve and vaccinated cattle**

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14

15 Abstract

16

17 Foot-and-mouth disease virus (FMDV), like many RNA viruses, infects hosts as a population of
18 closely related viruses referred to as a quasispecies. The behavior of this quasispecies has not
19 been described in detail over the full course of infection in a natural host species. In this study,
20 virus samples taken from vaccinated and non-vaccinated cattle up to 35 days post experimental
21 infection with FMDV A24-Cruzeiro were analyzed by deep-sequencing. Vaccination induced
22 significant differences compared to viruses from non-vaccinated cattle. in virus substitution
23 rates, entropy, and evidence for adaptation. Genomic variation detected during early infection
24 was found to reflect the diversity inherited from the source virus (inoculum), whereas by 12 days
25 post infection (dpi) dominant viruses were defined by newly acquired mutations. In most serially
26 sampled cattle, mutations conferring recognized fitness gain occurred within numerous genetic
27 backgrounds, often associated with selective sweeps. Persistent infections always included
28 multiple FMDV subpopulations, suggesting independently maintained foci of infection within
29 the nasopharyngeal mucosa. Although vaccination prevented disease, subclinical infection in this
30 group was associated with very early bottlenecks which subsequently reduced the diversity
31 within the virus population. This implies an added consequence of vaccination in the control of
32 foot-and-mouth disease. Viruses sampled from both animal cohorts contained putative antigenic
33 escape mutations. However, these mutations occurred during later stages of infection, at which
34 time transmission between animals is less likely to occur.

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36 Importance

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38 Preparedness and control of foot-and-mouth disease virus have substantial, yet distinct
39 implications in endemic and free regions. Viral evolution and emergence of novel strains are of
40 critical concern in both settings. The factors that contribute to the asymptomatic carrier state, a
41 common form of long-term FMDV infection in cattle and other species, are important but not
42 well-understood. This experimental study of foot-and-mouth disease virus in cattle explored the
43 evolution of the pathogen through detailed sampling and analytical methods in both vaccinated
44 and non-vaccinated hosts. Significant differences were identified between the viruses
45 subclinically infecting vaccinated animals and those causing clinical disease in the non-
46 vaccinated cohort. These results can benefit vaccination programs and contribute to the
47 understanding of persistent infection of cattle.

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49 Introduction

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51 Foot-and-mouth disease (FMD) is a viral disease affecting even-toed ungulates, causing
52 economically devastating effects on animal production and international trade [1-3]. Though
53 Europe and the Americas have largely eliminated FMD, the disease remains a substantial
54 concern for livestock farmers in much of the world [4]. The clinical form of FMD manifests with
55 fever, lameness and characteristic vesicular lesions on the feet, oral cavities and teats, thereby
56 negatively impacting animal welfare and herd productivity [2, 5]. The economic impacts
57 associated with decreased production, disease surveillance, trade restrictions and vaccination
58 campaigns comprise a large burden to FMD-endemic and neighboring countries [3].

59

60 The etiologic agent of FMD, foot-and-mouth disease virus (FMDV) (family: *Picornaviridae*,
61 genus: *Aphthovirus*) is a single-stranded positive-sense RNA virus with a particularly high
62 mutation rate [6]. The approximately 8.3 kb genome includes a 7 kb open reading frame
63 encoding a polyprotein that is post-translationally processed into four structural and eleven non-
64 structural proteins including two forms of Leader protease (Lpro) and three copies of VPg [5, 7].
65 High levels of variation, often associated with positive selection and antigenic escape, are
66 commonly detected in the capsid protein coding regions [8-10]. The GH loop in capsid protein
67 VP1 is particularly important for host cell entry and antibody-mediated neutralization [11, 12].
68 Specifically, the Arg-Gly-Asp (RGD) motif within this GH loop interacts directly with the host
69 cell integrin receptors (e.g. $\alpha\beta6$) [Reviewed in 13].

70

71 Like many RNA viruses, FMDV naturally exists as a population with a complex depth of genetic
72 variation, i.e. as a quasispecies. In recent years, next-generation sequencing technology has
73 enabled subconsensus-level characterization of this complex viral population [14-17]. Viral
74 population diversity and availability of low frequency mutations have been demonstrated to
75 mediate viral swarm adaptability, fitness and ultimately virulence [18-20]. The relevance of the
76 FMDV quasispecies swarm has been extensively investigated in cell culture [reviewed in 21].
77 Those studies have shown that events that disrupt the quasispecies can affect virus adaptation
78 and virulence. Relating understanding of the FMDV quasispecies *in vitro* to swarm behavior in
79 natural host species is an important goal as it relates to infection progression, differential
80 virulence, and mechanisms mediating immunity in vaccinated and non-vaccinated hosts.

81

82 The path to improved FMD countermeasures may depend upon elucidation of viral and host
83 determinants of FMDV evolution. Delineating differences in viral genetic change in response to
84 both primed (vaccinated) and unprimed host humoral immunity are of particular interest as
85 conventional FMD vaccines do not prevent subclinical or persistent infection. In cattle, both
86 primary and persistent infection have been localized to the nasopharyngeal mucosa [22-25]. In
87 non-vaccinated animals, acute FMDV infection lasts approximately one to two weeks, and
88 involves systemic dissemination of virus and transient viremia [26]. The clinical phase of
89 disease is followed by a transitional period during which the virus is completely cleared in a
90 subset of animals that do not maintain persistent infection (herein referred to as terminators)
91 [25]. Persistent FMDV infection is defined by the presence of infectious FMDV in
92 oropharyngeal fluid samples four or more weeks after infection [27]. Appropriately vaccinated
93 animals are generally protected against systemic generalization of virus and clinical FMD.
94 However, these vaccinated animals often become subclinically infected and traverse the
95 corresponding phases of early, transitional, and persistent infection, during which viral
96 replication is fully restricted to the nasopharynx (upper respiratory tract).

97

98 The present study investigated the evolution of FMDV populations within natural hosts by
99 examining the deep sequences of viruses sampled through 35 days following experimental
100 infection of naïve and vaccinated cattle [17, 24, 25]. This study identified changes in the viral
101 swarm, the influence of bottlenecks and adaptive immunity and the respective roles of novel
102 subconsensus mutations. The results of this study suggest that vaccination causes earlier
103 bottlenecks in FMDV populations as compared to the viruses present in their non-vaccinated
104 counterparts and that antigenic escape along with other novel mutations, occur during the

105 persistent phase of infection. These findings enhance understanding of FMDV evolution *in vivo*
106 and may contribute to development of improved FMD vaccines.

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108

109 Results

110

111 *Animal experiments and clinical outcomes*

112 The 10 non-vaccinated cattle included in the study all developed fulminant clinical FMD after
113 virus exposure. The 10 vaccinated animals were protected from clinical disease but were
114 subclinically infected as demonstrated by repeated recovery of virus from clinical samples.

115 Details of clinical symptoms, infection dynamics, and tissue distribution of FMDV in these cattle
116 have been published previously [24, 25]. Half of the animals (5 vaccinated and 5 non-vaccinated)
117 were euthanized between 1 and 10 days post inoculation (dpi) for harvest of tissue samples
118 (Figure S1) while the remainder of the animals were sampled through 35 dpi. Among the 10
119 cattle followed through study-end, seven were determined to be persistently infected with FMDV
120 (carriers) while three individuals (animal IDs 14-108, 14-111, and 14-57) fully cleared infection
121 (terminators) during the transitional phase of infection.

122

123

124 *Effects of vaccination on FMDV populations*

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126 The rate of FMDV genomic change over time was compared between vaccinated and non-
127 vaccinated cohorts in order to investigate if vaccination induced selective pressures upon the

128 inoculating virus population which were distinct from those which occurred in naïve (non-
 129 vaccinated) animals. In order to quantitate this effect, pairwise differences over time were first
 130 calculated between each FMDV sample's consensus sequence and the preceding sequence
 131 obtained from the same animal (inoculum used as 0 dpi). Viruses in non-vaccinated animals had
 132 higher substitution rates than the viruses in the vaccinated cohort during early- (0.188 vs. 0.131
 133 substitutions/site/year (subs/st/yr)) and transitional (0.127 vs. 0.089 subs/st/yr) phases of
 134 infection; however, these differences between groups were not statistically significant (Table 1).
 135 While the substitution rates decreased with advancing phase of infection for synonymous and
 136 nonsynonymous sites (Table 1, Figure S2), this change was only statistically significant for the
 137 non-vaccinated cohort between early infection (0.188 subs/st/yr) and persistent infection (0.080
 138 subs/st/yr, $P < 0.05$). Specifically, nonsynonymous changes had the highest observed substitution
 139 rate change between early and persistent phases of infection (Figure S2, $P < 0.005$). These data
 140 suggest that the non-vaccinated cattle maintain a large, diverse and dynamic virus population
 141 during early infection which evolves more slowly during later stages. During the persistent phase
 142 of infection, FMDV genomic rates of change in non-vaccinated and vaccinated cattle were
 143 similar.

144

145 **Table 1.** Nucleotide substitution rates and Shannon entropy for FMDV samples

146

	substitution rate (subs/st/yr)			Shannon entropy			
	early	transitional	persistent	CDS	nonstructural	capsid	capsid / CDS
inoculum	-	-	-	0.0156	0.0147	0.0181	1.16
non-vaccin. cattle	0.188 ^a	0.127	0.080 ^a	0.0171 ^b	0.0160 ^b	0.0200 ^b	1.17 ^b
vaccinated cattle	0.131	0.089	0.079	0.0120 ^b	0.0115 ^b	0.0130 ^b	1.08 ^b

147 ^a = $P < 0.05$; ^b = $P < 0.001$; subs/st/yr: substitutions / site / year. CDS: coding region.

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149

150 *Entropy*

151 Deep sequence analyses of the sampled FMDV populations allowed for estimation of Shannon
152 entropy. This provided a site-specific quantitation of nucleotide variation for each sampled virus,
153 calculated from aligned sequencing reads and averaged across distinct genomic regions. Entropy
154 for the protein coding region (CDS) of the inoculum was 0.0156, which was greater than that of
155 nearly all vaccinated cattle samples, indicating higher diversity (mean 0.0120, median 0.0110,
156 Table 1 and Figure 1). This evidence aligns with a previous analysis of the multi-haplotypic
157 composition of this inoculum [17]. The CDS average entropy across all samples from non-
158 vaccinated cattle (mean 0.0171, median 0.0169), was similar to the inoculum. The average dpi-
159 matched non-vaccinated sample entropies were significantly ($P < 0.001$) higher than samples
160 from vaccinated cattle across the full CDS, as well as for the capsid and nonstructural coding
161 regions separately (Table 1). Since coding region entropies did not significantly change within
162 either cohort over time (Figure 1), non-vaccinated cattle maintained significantly higher average
163 entropies than vaccinated cattle through all phases of infection (Table 1). These data suggest a
164 strong, early and enduring reduction in FMDV population diversity, i.e. an early bottleneck in
165 the vaccinated animals. In contrast, there was little or no evidence of reduction in diversity or
166 effective population size during initial infection of non-vaccinated cattle (Tables 1 and S2).

167

168 Average site-wise entropy across the capsid coding regions for VP1, VP2, and VP3 was
169 calculated in proportion to the overall CDS entropy (capsid / CDS entropy, Table 1); this allowed
170 for comparison between samples of capsid entropy proportional to each sample's global (CDS)
171 diversity. Averaged across all phases of infection, capsid/CDS entropy values of the viral

	animal ID	DPI	CDS	nonstruc.	capsid	capsid/CDS	avg. coverage	
non-vaccinated	14-33	1_dpi	0.0158	0.0150	0.0177	1.13	3174	
	14-33	2_dpi	0.0154	0.0142	0.0186	1.21	2034	
	14-33	3_dpi	0.0176	0.0165	0.0204	1.16	1298	
	14-33	4_dpi	0.0151	0.0138	0.0183	1.22	3280	
	14-33	6_dpi	0.0152	0.0140	0.0182	1.20	2730	
	14-33	10_dpi_r	0.0133	0.0129	0.0143	1.08	1069	
	14-33	21_dpi	0.0154	0.0142	0.0185	1.20	3574	
	14-33	29_dpi	0.0173	0.0161	0.0205	1.18	5378	
	14-34	1_dpi	0.0151	0.0141	0.0177	1.17	4043	
	14-34	2_dpi	0.0146	0.0133	0.0178	1.22	3430	
	14-34	3_dpi	0.0183	0.0178	0.0198	1.08	1614	
	14-34	4_dpi	0.0145	0.0137	0.0166	1.14	3602	
	14-34	5_dpi	0.0160	0.0147	0.0194	1.21	98100	
	14-34	6_dpi	0.0169	0.0162	0.0189	1.12	119659	
	14-34	7_dpi	0.0175	0.0171	0.0185	1.06	91384	
	14-34	8_dpi	0.0160	0.0154	0.0177	1.10	96647	
	14-34	9_dpi	0.0176	0.0171	0.0189	1.07	152331	
	14-34	28_dpi	0.0179	0.0163	0.0221	1.23	1133	
14-34	31_dpi	0.0171	0.0154	0.0214	1.25	4557		
non-vaccinated	14-49	2_dpi	0.0261	0.0239	0.0317	1.22	99169	
	14-49	6_dpi	0.0215	0.0211	0.0223	1.04	154576	
	14-49	10_dpi	0.0210	0.0203	0.0228	1.08	120842	
	14-108	0.25_dpi	0.0192	0.0177	0.0230	1.20	3332	
	14-108	0.5_dpi	0.0172	0.0155	0.0215	1.25	3554	
	14-108	0.75_dpi	0.0149	0.0139	0.0175	1.18	4413	
	14-108	1_dpi	0.0203	0.0185	0.0249	1.23	2993	
	14-108	3_dpi	0.0200	0.0190	0.0227	1.13	3795	
	14-108	5_dpi	0.0122	0.0126	0.0112	0.92	368	
	14-108	8_dpi	0.0143	0.0132	0.0172	1.20	3410	
	14-108	10_dpi	0.0135	0.0121	0.0172	1.27	715	
	14-110	0.17_dpi	0.0172	0.0155	0.0216	1.26	6031	
	14-110	0.42_dpi	0.0165	0.0146	0.0213	1.30	826	
	14-110	0.75_dpi	0.0195	0.0185	0.0218	1.12	2551	
	14-110	0.88_dpi	0.0199	0.0184	0.0238	1.19	1255	
	14-110	2_dpi	0.0151	0.0140	0.0177	1.18	4298	
	14-110	3_dpi	0.0161	0.0149	0.0191	1.19	3380	
	14-110	8_dpi	0.0188	0.0181	0.0206	1.10	4919458	
14-110	14_dpi	0.0118	0.0113	0.0132	1.12	129333		
14-110	17_dpi	0.0159	0.0138	0.0214	1.35	118564		
14-110	28_dpi_r	0.0184	0.0181	0.0191	1.04	1331		
14-110	35_dpi	0.0157	0.0145	0.0188	1.20	2589		
non-vaccinated	14-111	0.17_dpi	0.0174	0.0159	0.0215	1.23	4149	
	14-111	0.42_dpi	0.0182	0.0167	0.0222	1.22	5601	
	14-111	0.75_dpi	0.0198	0.0183	0.0234	1.19	3209	
	14-111	0.88_dpi	0.0181	0.0169	0.0210	1.16	338	
	14-111	2_dpi	0.0195	0.0187	0.0218	1.11	3153	
	14-111	3_dpi	0.0224	0.0208	0.0266	1.19	3770	
	14-111	7_dpi	0.0164	0.0152	0.0195	1.19	4092	
	14-111	8_dpi	0.0162	0.0151	0.0189	1.17	3747	
	14-111	9_dpi	0.0148	0.0137	0.0178	1.20	1702	
	14-111	10_dpi	0.0162	0.0148	0.0199	1.23	587	
	non-vax avg:			0.0171	0.0160	0.0200	1.17	
	vaccinated	14-50	2_dpi	0.0083	0.0080	0.0090	1.09	8634
		14-51	2_dpi	0.0087	0.0085	0.0093	1.06	12933
		14-57	2_dpi	0.0134	0.0126	0.0156	1.17	4839
		14-57	3_dpi	0.0152	0.0144	0.0173	1.14	6938
		15-12	1_dpi	0.0153	0.0143	0.0178	1.16	2275
		15-12	2_dpi	0.0146	0.0134	0.0176	1.21	4373
		15-12	3_dpi	0.0153	0.0148	0.0166	1.09	137552
15-12		28_dpi	0.0170	0.0166	0.0180	1.06	117464	
15-12		35_dpi	0.0140	0.0135	0.0153	1.10	146728	
15-13		7_dpi	0.0093	0.0092	0.0096	1.02	154975	
15-13		14_dpi	0.0095	0.0093	0.0100	1.05	128923	
15-14		5_dpi	0.0093	0.0093	0.0092	0.99	74267	
15-14		17_dpi	0.0089	0.0088	0.0091	1.03	138526	
15-14		28_dpi	0.0110	0.0107	0.0117	1.06	113413	
1551		8_dpi	0.0095	0.0096	0.0095	0.99	2238	
vax avg:			0.0120	0.0115	0.0130	1.08		
all avg:			0.0159	0.0149	0.0184	1.15		
inoculum			0.0156	0.0147	0.0181	1.16	10443755	
non-carriers*:			0.0148	0.0138	0.0174	1.17		
carriers*:			0.0169	0.0162	0.0186	1.11		

Figure 1. Average Shannon entropy in sample FMDV coding regions. Abbreviations: dpi: days post infection, CDS: coding sequence, nonstruc.: nonstructural gene coding regions (including VP4), capsid: VP1, VP2, and VP3 coding regions. Heat coloring indicates relative values with red denoting higher and blue denoting lower. *Non-vaccinated only, dpi-matched (5-14 dpi) samples averaged for non-carriers and carriers. Animals with undetermined carrier status (14-49, 14-50 and 14-51) were sacrificed at 10 dpi or earlier.

172 populations were significantly higher in non-vaccinated animals (1.17, $P < 0.001$) than in
173 vaccinated animals (1.08, Table 1). This suggests that the early immune response, which had
174 been primed in the vaccinated animals, more strongly reduced relative capsid diversity in these
175 hosts and that this took place rapidly following infection; this was also consistent with
176 population bottlenecks. Notably, there was no significant difference in entropy or relative capsid
177 entropy based upon the phase of infection (time) within either cohort ($P > 0.05$). This suggested
178 that reduced FMDV diversity in vaccinated animals was sustained through the phases of
179 infection examined herein. For non-vaccinated animals, this suggests that substantial population
180 diversity is maintained despite the reduction in total virus load associated with the clearance of
181 generalized infection and virus restriction to the nasopharynx during persistent infection. This is
182 consistent with previous reports of FMDV population diversity detected during persistent
183 infection [28, 29].

184

185 *Haplotypic population structure*

186

187 The inoculum used to infect the animals in this study was derived from pooled vesicular lesion
188 samples from multiple cattle which resulted in a highly heterogeneous virus population. As
189 previously reported for a subset of the current samples, multiple haplogroups originating in the
190 inoculum were detected at consensus level within samples derived from different animals at
191 different times after infection [17]. The phylogenetic relationship between these viruses was
192 assessed by maximum likelihood (Figure 2) and six haplogroups (A through F) were assigned
193 based on phylogenetic clustering and inferred ancestral relationships.

194

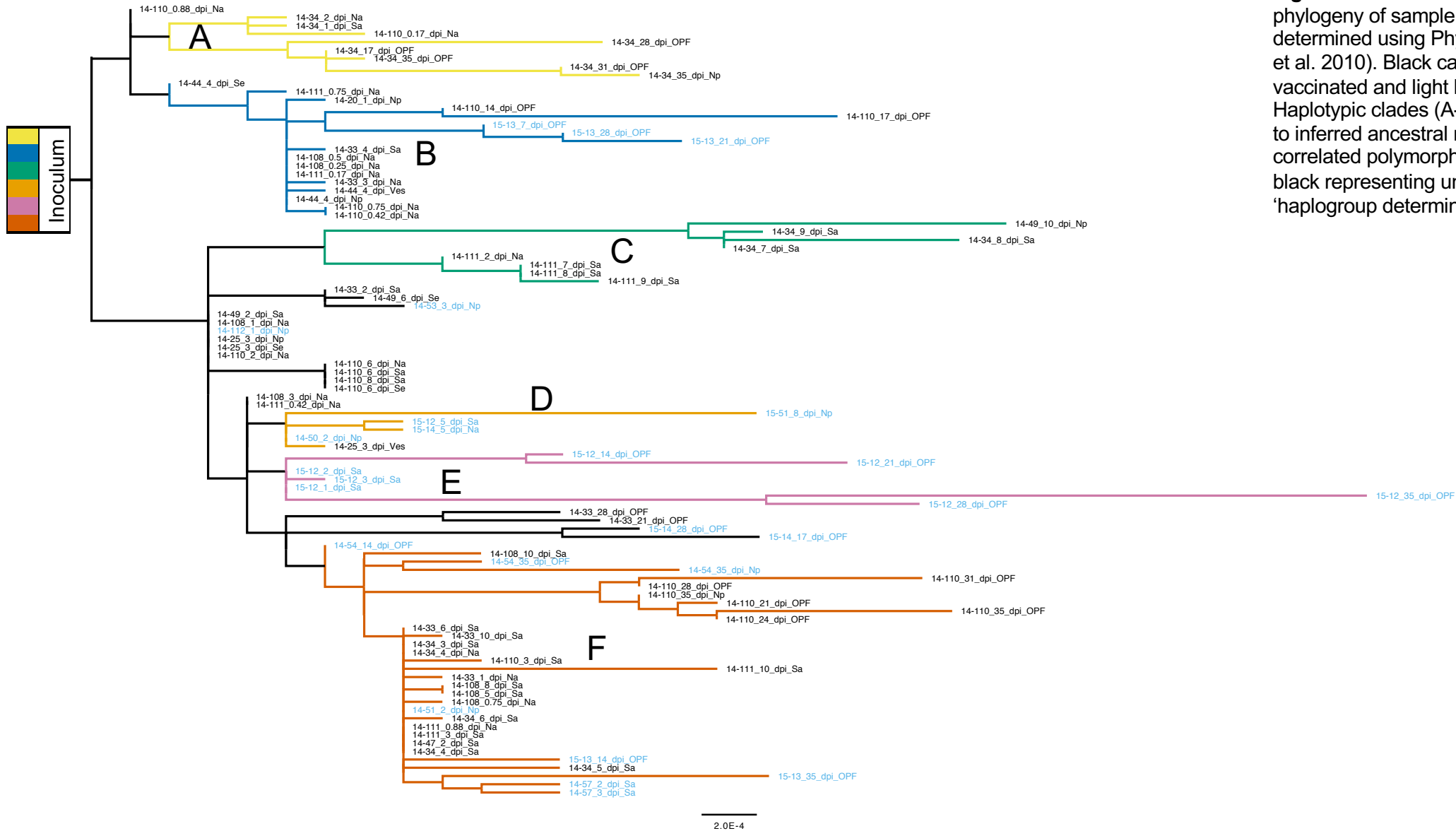


Figure 2. Inoculum-rooted maximum likelihood phylogeny of sample consensus sequences determined using PhyML (Guindon, Dufayard et al. 2010). Black cattle IDs are non-vaccinated and light blue are vaccinated. Haplotypic clades (A-F) are colored according to inferred ancestral relationships and correlated polymorphism frequencies with black representing unclassified (see 'haplogroup determination' in methods).

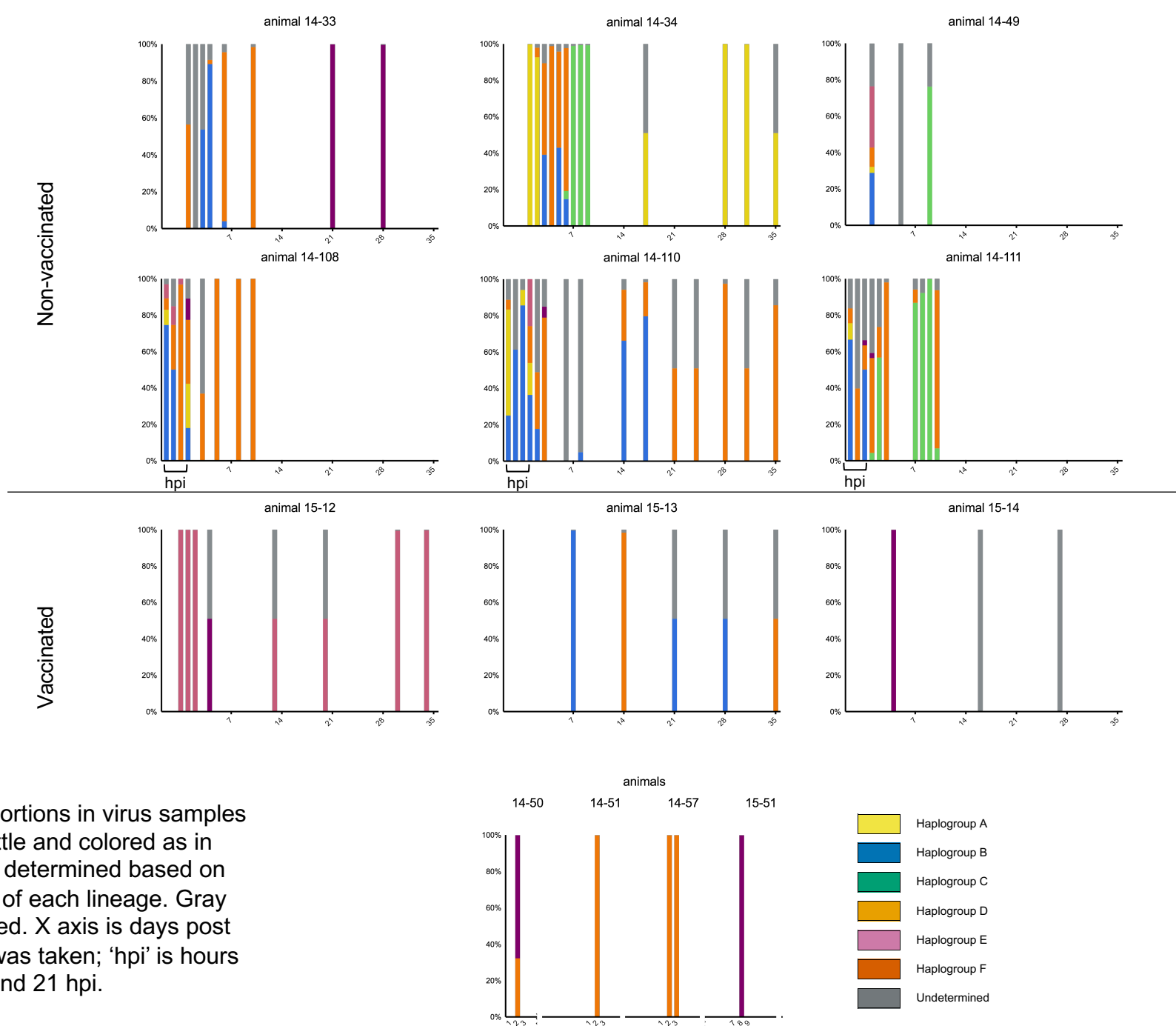


Figure 3. Haplogroup proportions in virus samples from 9 serially-sampled cattle and colored as in Figure 2. Proportions were determined based on SNP profiles characteristic of each lineage. Gray indicates lineage unidentified. X axis is days post infection at which sample was taken; 'hpi' is hours post infection, between 4 and 21 hpi.

195 Polymorphisms present at $\geq 2\%$ (Table S1) were assessed for all deep-sequenced samples (75 of
196 103 total samples; see Figure S1). Sets of single nucleotide polymorphisms (SNPs) characteristic
197 of haplogroups A-F were used to classify subpopulations present within samples (Figure 3).
198 Non-vaccinated cattle's acute phase virus populations were highly haplotypically diverse, while
199 vaccinated host samples tended to include only single haplotypes. In addition to haplotypic
200 polymorphism, abundant variation $\geq 2\%$ was regularly detected in virus populations (Table S1).
201 Overall, substantially less genetic diversity was detected in samples derived from vaccinated- as
202 compared to non-vaccinated animals. Samples isolated from non-vaccinated hosts were in daily
203 flux through the early days of infection and regularly included viruses belonging to multiple
204 haplogroups. For example, in animal 14-34, group A viruses dominated samples from 1 and 2
205 dpi, group B and F viruses co-dominated at 3 dpi and group F viruses dominated at 4 dpi (Figure
206 3). This is consistent with the high entropy and elevated rates of substitution measured for these
207 animals (Table 1, Figure 1 and Figure S2). In contrast, virus populations in vaccinated cattle
208 typically contained a single haplogroup and less polymorphism overall (Figure 3 and Table S1).
209
210 As both animal cohorts (vaccinated and non-vaccinated) progressed to the persistent phase of
211 infection, within-host haplotypic diversity decreased, with each persistent phase sample
212 containing viruses belonging to only a single haplogroup (Figure 3). The one exception to this
213 was animal 15-13's persistent phase samples that sequentially included viruses belonging to
214 either groups B or F. Across animals, no specific haplogroup dominated for any particular time
215 range or cohort, thus no objective fitness advantage was detected between haplogroups. Despite
216 haplotypic stabilization in later stages of infection, population diversity as indicated by
217 subconsensus polymorphism did not decrease over time (Table S1). Rather, population diversity

218 was either maintained or increased through the persistent phase of infection. Specifically, OPF
219 samples at 28 dpi from animals 14-34, 14-110, 15-12, and 15-14 all included substantial
220 assortments of SNPs at frequencies between 10 and 49% (11-24 SNPs each, Table S1).

221

222 *Genomic evolution of the viral swarm*

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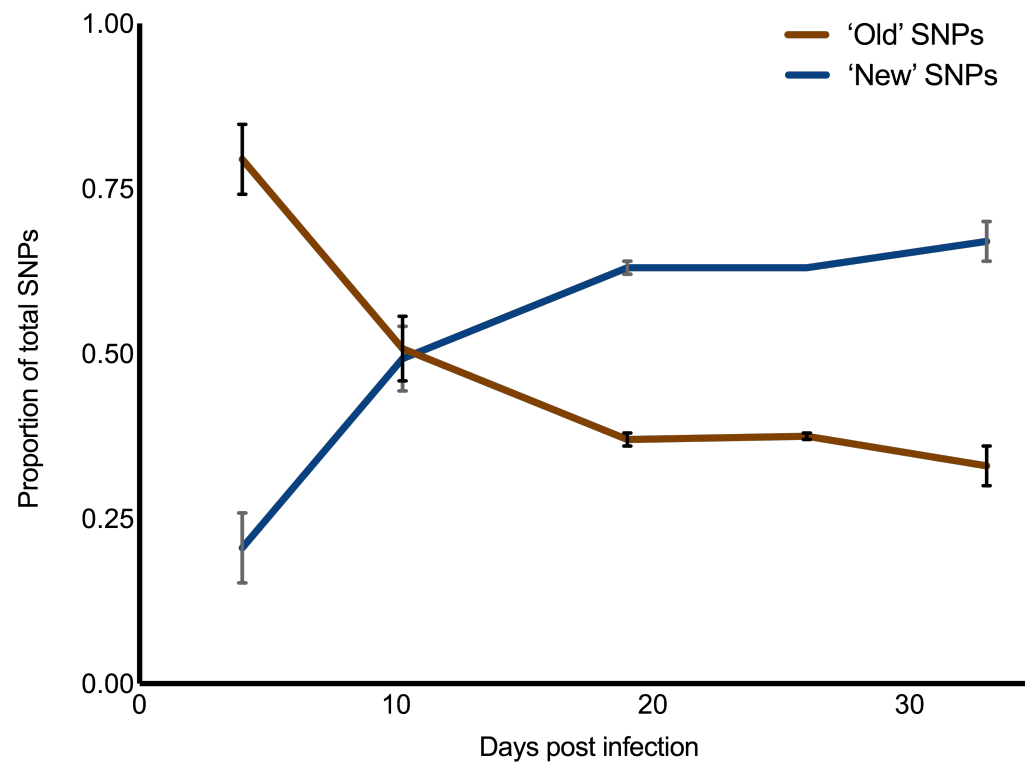
224 The site-specific heterogeneity within the initial infecting population (inoculum) was assessed
225 and compared to consensus sequences of samples collected from the infected animals. Across the
226 inoculum CDS, ultra-deep sequencing (10.4 million reads) indicated 217 variable sites encoding
227 220 single nucleotide variants (SNVs) at frequencies $\geq 0.5\%$, three of which had multiple
228 polymorphisms at the same site (Table S2). Twenty-seven (12.3%) of these SNVs were
229 identified at the consensus level in cattle samples (Figure 4). All SNPs that were present at high
230 frequency ($>10\%$) in the inoculum, 14 in total, were detected at the consensus level in multiple
231 samples (Table S2); this is consistent with genetic drift contributing to the dominance of specific
232 genotypes. As a gross means of measuring the influence of ancestral variation compared to novel
233 mutation over time, inoculum minority variants ($\geq 0.5\%$) present in sample consensus sequences
234 (ancestral SNPs) on each sampling date were measured proportionally to those SNPs not
235 detected in the inoculum (novel SNPs) (Figure 5). Within the first week of infection, the majority
236 of SNPs in virus consensus sequences were ancestral, i.e. already present in the founding
237 populations. The rate of loss of ancestral SNPs and gain of novel SNPs were comparable
238 between non-vaccinated and vaccinated cattle (not shown). At approximately 10 days after
239 infection, novel SNPs became equally common to ancestral SNPs.

240

Figure 4. Variable FMDV capsid amino acids and positively-selected sites combined. ^a Presence of positively-selected sites identified in individual serially-sampled cattle using mixed effects model of evolution (MEME) analysis ($P \leq 0.10$) (Murrell et al. 2012). ^b Amino acids identified as variable between viruses at the consensus level in VP1, VP2, and VP3 capsid coding regions.

	Gene Position	Inoculum Consensus	Variant 1	Variant 2	Variant 3	Variant % in inoculum	MEME ^a N = 9	Naïve ^b N = 10	Vaccinated ^b N = 10
Lpro	11	V	A				1	1	
	22	L	P			4.4	1		
	81	E	K			1.2	1	1	
	107	I	P				1		
	122	H	Y				1		
VP2	44	A	T				1	1	
	65	Y	C	S			1		
	78	L	M						1
	82	E	K						1
	88	H	N				1		1
131	E	G						1	
VP3	65	T	N				1		
	70	D	A	N	G		2	2	
	99	T	A				1	1	
	111	F	V				1		
	131	E	K	G		23 (K)		7	1
	175	T	K						2
220	Q	R					2		
VP1	96	S	T					1	
	131	N	S				1		
	142	G	R				1	1	
	144	S	R		G		3	5	2
	147	T	M				1	9	9
	149	S	A		Y		4		
	155	V	A		M			2	2
	160	A	V			3.2 (A)	2	1	
	172	I	V				1		
	196	S	F					1	
197	S	L					1		
199	D	G					1	1	
2B	85	L	V				1	1	
2C	59	D	A				1		
	136	D	E				1	2	
	282	D	H				1		
	283	V	M			49.8	1	8	1
	288	P	L				1	1	
3A	94+	Insertion	Insertion				1		
	136	N	D			35.9	6	7	8
	146	A	V				1		
	147	E	A				1	1	
3B	3	Y	H			0.6	1		
	7	L	R				1		
3C	66	D	D			11.4	1		1
3D	3	I	V				1		1
	138	T	I				1		2

Figure 5. Proportion of inherited polymorphism



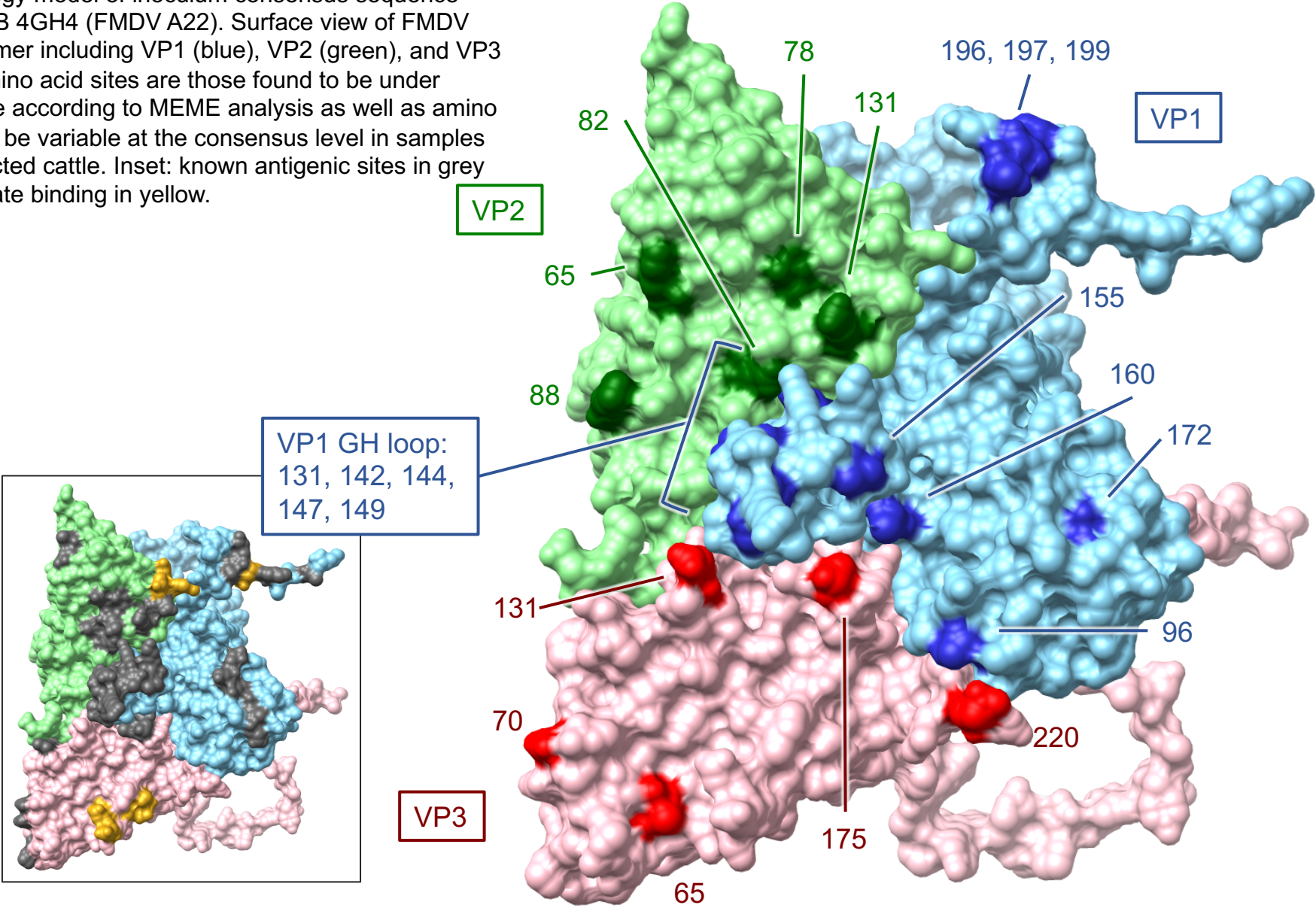
241 *Nonsynonymous substitutions*

242 Genetic variations that resulted in amino acid substitutions in sampled viruses were examined at
243 the consensus and subconsensus level. Focusing on the regions encoding the capsid proteins
244 VP2, VP3 and VP1 at the consensus level, 31 sites encoded amino acid changes yet only 3 of
245 these were polymorphic in the inoculum (Figure 4 and Table S2). Homology modeling
246 implicated 15 of these sites as putative antigenic targets based on published data [30-36]: VP2-
247 78, 82, 88, and 131; VP3-70, 131, and 175; VP1-96, 142, 144, 147, 155, 196, 197, and 199
248 (Figure 6). In order to detect residues with evidence of positive selective pressure, mixed effects
249 model of evolution (MEME) analysis was run collectively (per host) on FMDV haplotypes
250 reconstructed from serially-sampled deep sequence [37]. Haplotypes present in each sample were
251 resolved at relative frequencies $> 0.5\%$ or $> 2.0\%$ with ViQuaS [38]. The presence of positively-
252 selected amino acid changes predicted by MEME ($P \leq 0.10$) are tallied for each host (Figure 4).
253 The majority of consensus-level nonsynonymous substitutions and those detected in MEME
254 analysis were detected only transiently (did not become fixed) and none were detected
255 consistently in either vaccinated or naïve groups.

256

257 The greatest quantity of residues with evidence of positive selective pressure was in VP1,
258 specifically in the GH loop (Figure 4, Figure 6). The canonical FMDV receptor in cattle is
259 integrin $\alpha\beta_6$, which binds to a conserved RGD motif within the GH loop of VP1 [12, 39]. The
260 vaccine used in this study was an adenovirus-vectored recombinant which encoded RGD at the
261 anti-receptor motif. However, the inoculum encoded an SGD motif at this locus (residues 144-
262 146) with no evidence of an RGD virus $\geq 0.5\%$ in the population (Table S2). The earliest
263 detection of RGD at this site was in animal 14-34 at 6 dpi, in which 4.4% of the-virus population

Figure 6. Homology model of inoculum consensus sequence with template PDB 4GH4 (FMDV A22). Surface view of FMDV A24 capsid protomer including VP1 (blue), VP2 (green), and VP3 (red). Labeled amino acid sites are those found to be under selective pressure according to MEME analysis as well as amino acids identified to be variable at the consensus level in samples derived from infected cattle. Inset: known antigenic sites in grey and heparan sulfate binding in yellow.



264 had the arginine substitution. The following day, 99.3% of the virus population sampled in this
265 host encoded RGD at the GH loop. Ultimately, VP1-S144R came to fixation in all cattle sampled
266 in the persistent phase except for two vaccinated individuals (15-12 and 15-13); this substitution
267 was also commonly observed in persistent-phase cattle samples in a previous study that used the
268 same inoculum [40]. The latest initial detection of a dominant RGD genome was in animal 14-
269 33, in which it emerged between 10 dpi ($\leq 2\%$) and 21 dpi (99.6%). The VP1-S144R substitution
270 was separately encoded by 2 of the 3 possible S>R codon changes (AGU>CGU and
271 AGU>AGA) in 5 of the 6 characterized haplotypic backgrounds and one uncategorized genotype
272 (15-14 at 17 and 28 dpi). There was also evidence of multiple independent RGD subpopulations
273 co-infecting hosts. The subconsensus variants in animal 14-110 samples at 14 and 17 dpi
274 included dozens of intermediate-frequency (10-50%) SNPs indicative of many different viruses
275 alongside a fixed (99.8%) VP1-S144R substitution (Table S1). At the consensus level, a distinct
276 shift in dominance from one haplogroup (group B) to another (group F) was evident between 17
277 dpi and 21 dpi, differing by 23 SNPs, (Figure 2).

278 There was strong evidence suggesting that the selection for RGD viruses resulted in global
279 reductions in population diversity and introduction of novelty through genetic draft (i.e. resultant
280 selective sweeps). The clearest evidence of this was the dominance of haplogroup C viruses in
281 animals 14-34 and 14-49, in which a series of changes in the coding region for 2C -
282 T43M...H84N...D136E...I248T co-emerged with VP1-S144R at corresponding proportions
283 (Tables S1 and Figure S3). Selective sweeps associated with RGD genome emergences were also
284 evident in animal 15-14 at 17 dpi (VP3-A75V and VP1-I35V) as well as animal 14-33 at 21 dpi
285 (VP1-G33S) (Table S1 and Figure S3). In contrast, animals 15-12 and 15-13 maintained SGD
286 viruses through study end. Interestingly, this was associated with consensus-level changes

287 indicative of antigenic escape. In 15-12, 21 dpi FMDV samples had a qualitatively divergent
288 VP2-E82K capsid substitution and subsequent 28 and 35 dpi samples had VP2-H88N and VP1-
289 V155A substitutions. In 15-13, 21 and 28 dpi viruses had VP3-E131K capsid substitution and
290 were followed at 35 dpi by variants with dominant VP2-E131G and VP3-E131G substitutions.
291 Each of these amino acid changes involved electrostatic shifts on the capsid surface in known
292 antigenic regions (Figure 6).

293 In the present study, there were relatively few sites with evidence of adaptation within
294 nonstructural proteins. The most common replacement in nonstructural regions found across all
295 animals was 3A-N136D, which was present in 15 of 20 animals. Although this replacement was
296 relatively common in the inoculum at 35.9%, its presence as fixed or in the final sample
297 consensus of 5/7 persistently infected animals, suggested an adaptive advantage. MEME analysis
298 identified several sites under selective pressure in coding region for the C-terminus of 3A, with
299 3A-N136D identified in more cattle than any other substitution (Figure 4). In contrast, other
300 commonly variable consensus-level amino acid changes such as 2C-V283M and 3A-S117N, had
301 no evidence of adaptive value in that there was little or no predilection for fixation.

302

303 *Persistent infection & the nasopharynx*

304

305 The hypothesis that viral population diversity correlated with the establishment or maintenance
306 of persistent FMDV infection was tested. Viruses characterized from terminators (14-108, 14-
307 111, and 14-57) did not significantly differ from dpi-matched viruses sampled from persistently
308 infected carriers in substitution rate (4.29 vs. 3.47 subs/day, Figure S2) or global entropy (Figure
309 1). Entropy was lower in viruses sampled from terminators compared to dpi-matched samples

310 from carriers (Figure 1). This difference was only statistically significant within the nonstructural
311 protein coding regions, indicating more conservation (purifying selection) in these regions of the
312 FMDV genomes in terminators than in carriers. While very few consensus-level amino acid
313 changes were identified in non-carrier viruses, the mutation VP3-Q220R, located at the VP3-
314 VP1 cleavage site and on the capsid surface, was uniquely identified in samples derived from
315 these cattle at 10 dpi.

316

317

318 **Discussion**

319

320 Although the quasispecies character of RNA viruses have been known for many years,
321 investigation during infection of natural hosts considering the inter-relationships with hosts'
322 biological processes have received less attention. In this study, samples collected from FMDV-
323 vaccinated and non-vaccinated cattle over 5 weeks were analyzed by deep-sequencing in order to
324 investigate viral population dynamics in a natural host over all phases of infection. Host
325 vaccination status was associated with significant differences in virus substitution rates, entropy,
326 and evidence for adaptation. While both vaccinated and non-vaccinated cohorts established and
327 maintained FMDV infection at similar prevalence [25, 41], deep sequence evaluation of the
328 sampled viruses clearly demonstrated a narrow population bottleneck during early infection of
329 vaccinated animals and contrasted the absence of population contraction in the non-vaccinated
330 hosts. Specifically, measures of global Shannon entropy and rates of consensus-level nucleotide
331 substitution were consistently lower through the early phase of infection in virus populations
332 sampled from vaccinated versus non-vaccinated cattle (Table 1, Table S1, Figure S2).

333 Additionally, virus populations in samples from the early phase of infection in non-vaccinated
334 animals were composed of multiple haplogroups while those in samples from vaccinated cattle
335 belonged to single lineages. However, detection of endurance of the multiple founding lineages
336 became increasingly uncommon in non-vaccinated hosts over time and by 21 dpi, nearly all
337 samples included only single haplogroups. This pattern is consistent with bottlenecks observed in
338 the transition from acute to chronic stages of infection with hepatitis C virus [42] and human
339 immunodeficiency virus 1 [43]. Those studies reported that acute infection ended with a
340 bottleneck of the multiple lineages that had thus far co-existed, leaving only viruses of a single
341 lineage during chronic infection. In the present study, this decrease in haplogroup heterogeneity
342 during persistent infection was accompanied by intra-haplotypic diversification as evidenced by
343 abundant subconsensus polymorphism and sustained entropy measures.

344

345 The early phase of infection in both non-vaccinated and vaccinated hosts are situations in which
346 virus adaptation is hypothesized to be low [reviewed in 44]. For viruses infecting non-vaccinated
347 cattle, large population sizes combined with a naïve (non-primed) immune response [24, 41]
348 creates a context in which fitness differences between variants are minimized, leading to
349 relatively unconstrained virus propagation. In the current study, these conditions contributed to
350 relaxed selective pressure which resulted in high virus entropy and maintenance of inherited
351 variation within virus populations. In vaccinated cattle, a strong primed immune response [24]
352 provided efficient restriction of most of the variants within the inoculated virus as illustrated by
353 low entropy and low global variation as compared to non-vaccinates. Importantly, this restriction
354 eliminated low-frequency mutants with potential adaptive value. Thus, there was no evidence for
355 viral adaptation in either cohort during the early stages of infection. This is consistent with a

356 previous analysis of FMDV minority variance within serial samples taken from three FMDV-
357 infected cattle during the acute phase of infection which suggested genetic drift as the primary
358 mechanism of FMDV evolution both within and between hosts [45]. Of importance to FMDV
359 epidemiology, these findings suggest that variation detected in field samples from FMDV
360 outbreaks (acute phase) is most likely the result of stochastic processes e.g. genetic drift and
361 transmission bottlenecks.

362

363 Nonetheless, virus evolution did take place within the scope of this study, most notably in
364 genomic regions encoding capsid proteins. The canonical FMDV receptor in cattle is integrin
365 $\alpha\beta 6$, which the virus utilizes for host cell entry by binding to a conserved RGD motif within the
366 GH loop of capsid protein VP1 (residues 144-146) [12, 39]. The inoculum used in the current set
367 of experiments was known to instead encode an SGD motif at this locus [17] as a result of prior
368 passages in bovine tongue epithelium (see methods for details). Mutation of the SGD motif to
369 RGD is fitness-enhancing as it facilitates integrin binding and host cell entry in all cattle.
370 Specifically, experimental work has demonstrated that VP1-144 serine to arginine substitution
371 allows for improved cell to cell transmission [46]. In the current study, RGD fixation took place
372 in every non-vaccinated animal sampled after 10 dpi (5/5) yet in only half of vaccinated animals
373 through persistent-phase to study end (2/4). This suggests that even though vaccination does not
374 prevent subclinical or persistent infection, it can provide improved protection from critical viral
375 adaptations (in this study, SGD->RGD). This represents an important benefit not typically
376 attributed to vaccines, i.e. impeding the accrual of mutations which might be beneficial to the
377 virus.

378

379 Experimental works have shown that escape mutations were likely to arise at GH loop residues
380 near to a conserved RGD motif, including under GH loop-specific monoclonal antibody
381 neutralization [47-51]. In the current study, MEME analysis identified numerous sites in
382 antigenic capsid regions suggestive of escape mutations. The majority of the sites identified by
383 MEME were detected at low subconsensus frequencies and were not shared across animals. This
384 type of low-frequency variation, independent of vaccination status or phase of infection in this
385 study, is consistent with mutant swarm character described as central to the concept of FMDV
386 quasispecies [6, 52].

387

388 In six serially sampled cattle, MEME identified residue 136 in the 3A protein to have evolved
389 under positive selective pressure. 3A is a membrane-integrated protein that interacts with FMDV
390 RNA polymerase and, although not fully understood, has been implicated in intracellular
391 transport [53, 54]. The C-terminus of 3A has been associated with host-specific adaptation of
392 FMDV. Specific deletions within this region have been associated with clinical attenuation in
393 cattle [55-57] while virulence in pigs is maintained [58, 59].

394

395 In order to test the hypothesis that FMDV clearance was associated with specific virus
396 population characteristics, samples from terminators were examined for consistent trends.
397 Viruses isolated from these hosts during their later stages of infection had reduced global entropy
398 when compared to both earlier samples from those same animals and comparable carrier viruses.
399 Specifically, virus sampled from animal 14-108 at 10 dpi encoded a fixed haplotype (group F)
400 with low entropy and no polymorphism; these population characteristics are consistent with a
401 swarm that was nearing extinction. Viruses from animal 14-111 also had reduced global entropy

402 without ever acquiring the VP1-144R that was detected in all other viruses isolated from non-
403 vaccinated cattle, possibly making the virus more vulnerable to clearance despite its two
404 divergent lineages (groups B and F). A single amino acid replacement shared exclusively by
405 terminators was VP3 Q220R. While this site has been shown to be prone to variation, including
406 glutamine and arginine [30, 60], and thus unlikely deleterious, it merits further investigation.
407 These results suggest that reduced diversity of viral populations may contribute to termination of
408 infection, consistent with the concept that the mutant spectrum is important to viral fitness [21,
409 61]. Specific host immunological profiles [41] were not associated with observed viral genomic
410 changes. Although the transitional phase is the period during which persistence-determining
411 events are hypothesized to take place [25], the mechanisms responsible for FMDV persistence
412 were not clearly established herein. Future investigation focused on the FMDV quasispecies and
413 host factors during the transitional period in terminators may elucidate the critical factors that
414 determine viral clearance.

415
416 The particularly rapid mutation rates of RNA viruses can facilitate responses to changing
417 adaptive host immunity through the selection for escape mutants at antigenic epitopes [62-65].
418 We hypothesized that as FMDV evolved within each host, the virus would acquire escape
419 mutations as a result of actuated humoral and cellular immune responses. However, based upon
420 comparative literature- and homology model-based SNP analysis, there was limited expansion of
421 antigenic diversity observed in the majority (5/7) of animals that were sampled through the
422 persistent phase of infection. Each of these five cattle acquired populations with fixed (> 98%)
423 RGD genomes associated with selective sweeps. Such sweeps include the clearance of ancestral
424 and novel low-frequency variation as well as genetic draft, both of which were observed in these

425 cattle. Loss of low-frequency variation provides a plausible explanation for the limited detection
426 of antigenic variation in these cattle while genetic draft may explain the acquisition of irregular
427 changes (e.g. 2C substitutions in haplogroup C members) that occurred synchronously with RGD
428 replacement. Although previous studies have demonstrated that continual changes to FMDV
429 capsids occur over longer time courses of persistent infection [66, 67], this could not be
430 addressed in the current study.

431

432 Notably, there is some suggestion from the current findings that selective pressure on the virus
433 may also be reduced during FMDV persistence. Specifically, capsid/CDS entropy did not
434 significantly differ between phases of infection (in any cohort), nor did subconsensus or
435 consensus amino acid replacements indicate strong adaptation. This is consistent with previous
436 findings in Cape buffalo that autologous antibody neutralization of FMDV does not change
437 throughout persistent infection [29] and other reports demonstrating that the nasopharyngeal
438 mucosa may function as an immunoprivileged or immunosuppressed site, supported by gene
439 expression patterns suggesting a down-regulated anti-viral response [68, 69]. This privileged
440 state may in effect relax selective pressure, thus further limiting persistent virus escape
441 adaptation. A goal of our ongoing research is to integrate sub-anatomic host tissue features and
442 signaling patterns with FMDV subconsensus variation.

443

444 In contrast to the SGD-RGD transformation which occurred in most animals, viruses isolated
445 from vaccinated animals 15-12 and 15-13 never acquired the RGD motif nor were these
446 populations affected by associated selective sweeps. Viruses in these two hosts were thus more
447 capable of acquiring predicted escape substitutions featuring substantial electrostatic changes

448 within the time frame of the study. Specifically, persistent phase viruses included replacements
449 at VP2-82 and -88, VP3-131 and VP1-155. An alternative hypothesis for capsid mutation
450 particular to SGD viruses is adaptation to an alternative host cell receptor, such as heparan
451 sulfate [70]. However, evaluation of amino acid changes in capsid structural models did not
452 support any of these lying in the heparan sulfate binding site (Figure 6 inset) [31]; nonetheless,
453 efficiency to bind other integrins or alternate receptors may be involved [46, 71]. The extent to
454 which the recombinant RGD vaccine prevented emergence of the RGD motif in 2 vaccinated
455 animals could not be verified within the current study design.

456
457 Persistent FMDV infection in cattle is restricted to distinct epithelial foci within the
458 nasopharyngeal mucosa [23, 25, 72]. If these foci represent distinct viral subpopulations, this
459 should be reflected in the deep sequence of oropharyngeal fluid samples which are retrieved with
460 a probang cup, which harvests cells from multiple regions of the pharynx epithelium. Each OPF
461 sample included at least 2 subpopulations in all hosts. These subpopulations may represent sub-
462 anatomic vicariance at distinct epithelial foci, i.e. viruses infect cells and replicate in isolated
463 groups, leading to genomically identifiable subgroups. Coexistent persistent-phase FMDVs
464 belonging to different lineages has previously been reported for cattle [73, 74]. Genomic RNA
465 and in some cases, infectious virus, belonging to multiple FMDV serotypes have been detected
466 in subclinically infected Cape buffalo (*Syncerus caffer*) and water buffalo (*Bubalus bubalis*) [28,
467 75, 76]. Coexistent viruses are a prerequisite for recombination, which has been demonstrated to
468 play a role in the evolution of FMDV [28, 77, 78]. The divergence among the viruses sampled
469 herein was inadequate for recombination detection. Nonetheless, inability to determine

470 haplogroups in late-stage samples from hosts 15-14 and 14-33 was due to ambiguity of clade-
471 informative SNPs, which may be a result of recombination.

472

473 These findings have important implications for the inter-relationship between FMDV within-host
474 evolution and transmission. In both vaccinated and non-vaccinated hosts, variation detected
475 during the first few days of infection appears not to be driven by selective (immunological)
476 pressures. Novel mutations, while highly-adaptive, took at least one week to reach consensus
477 level. Because most transmission of FMDV is believed to occur within the first few days of
478 infection [79, 80], these novel mutations would have a narrow chance of being passed on within
479 this window of transmission. If this course of evolution is typical throughout chains of
480 transmission, it follows that nearly all FMDV genomic change observed in field isolates is the
481 result of purifying and neutral evolution, as has been suggested for the virus [45, 74, 81, 82]. For
482 those low-frequency adaptive SNPs that are successfully transmitted, neutral or weak-purifying
483 selection within this window would not favor them through extended chains of transmission.

484

485

486 *Summary and Conclusion*

487

488 Subconsensus variation in FMDV populations were investigated in vaccinated and naïve cattle
489 for 35 days following simulated natural infections. FMDV genomic change detected during early
490 infection was consistent with neutral evolution all cattle. A critical capsid adaptation at the site of
491 host cell entry, VP1 S144R, came to fixation in most animals and was associated with selective
492 sweeps; putative antigenic escape mutations only arose in vaccinated animals within the time
493 frame of the study. Furthermore, during early infection, vaccination caused virus population

494 bottlenecks which did not occur in the naïve cattle. This differential quasispecies behavior in
495 vaccinated hosts may provide insights into further enhancement of countermeasures to impede
496 viral propagation at the individual animal level. Additionally, multiple subpopulations were
497 present in viruses recovered during the persistent phase, consistent with distinct foci of FMDV
498 infection in nasopharyngeal epithelial cells, furthering our understanding of the nature of
499 persistent infection. These findings contribute novel insights to the evolution of FMDV in natural
500 host species.

501

502 **Methods**

503

504 *Animal studies*

505 The animal experiments were part of a multi-study analysis of the FMDV carrier state described
506 in previous publications [24, 25, 41]. All studies were carried out at Plum Island Animal Disease
507 Center, New York under BSL-3Ag conditions and with approval from the Plum Island Animal
508 Disease Center Institutional Animal Care and Use Committee (protocol 209-13). Briefly, a group
509 of steers were vaccinated with a recombinant adenovirus-vectored FMDV A vaccine 2-weeks
510 prior to intra-nasopharygeal inoculation with FMDV A24 Cruzeiro. In parallel, a group of non-
511 vaccinated animals were inoculated with the same FMDV A24 Cruzeiro inoculum. Animals
512 were sacrificed at predetermined time points, up to 35 days post inoculation and tissues were
513 harvested for analysis.

514 Three distinct phases, namely, the early, transitional and persistent phases, define FMDV
515 infection in livestock [25, 83]. The phases vary between animals of different immune statuses i.e.
516 vaccinated or non-vaccinated animals. Non-vaccinated cattle undergo clinical and systemic

517 disease in the early (acute) period lasting approximately 1-9 dpi while vaccinated cattle remain
518 subclinically infected yet shedding virus between 1-7 dpi [84]. The transitional phase is
519 associated with a reduction of clinical signs (if present) and either completely clearing of
520 infection or ‘transitioning’ from early to persistent infection. The transitional phase in vaccinated
521 and non-vaccinated animals occurs approximately between 7-14 dpi and 10-21 dpi, respectively.
522 Entry into the persistent phase of infection is associated with subclinical FMDV replication in
523 the nasopharyngeal mucosa if the infection was not cleared in the transitional phase.
524 Antemortem samples collected from these animals included oral swabs, nasal swabs, serum, and
525 oropharyngeal fluid (OPF) harvested using a probang cup [83]. Postmortem vesicular lesions
526 (Ves) or nasopharyngeal mucosa (Np) were collected at necropsy. Host factors including
527 immunoglobulin and transcriptomic data were analyzed in prior publications [24, 25, 41]. The
528 inoculum and a total of 103 samples from 20 animals were included in the present analysis; 77
529 samples originated from 10 non-vaccinated animals and 26 from 10 vaccinated animals.

530

531 *Inoculum*

532 The FMDV A24 Cruzeiro (GenBank # SRP149342) inoculum was derived from a field strain
533 passaged once in BHK-21 cells and twice in cattle as previously described [17]. The first bovine
534 passage consisted of harvested vesicular epithelium and vesicular fluid obtained at 48 hours post
535 tongue inoculation of two animals. The filtered suspension generated from the harvested material
536 was subsequently used to inoculate a second cohort of three cattle. Vesicular fluid and
537 epithelium were again harvested at 48 hours post inoculation and processed (macerated and
538 filtered) to generate the virus suspension that was used to infect all animals in the present work.
539 The inoculum was aliquoted and stored at -70°C until use, at which time 10^5 BTID₅₀ (50%

540 infectious does titrated in bovine tongue epithelium) [27] was used for inoculation in the current
541 study.

542

543 *Sequencing*

544 Illumina-derived deep sequence was examined for 75 of the total 103 virus samples (NCBI
545 PRJNA473786). The consensus sequences of 52 of these samples were previously published,
546 (GenBank MH426523-74) [17]. Nine samples were not passaged, noted as ‘raw’ in Figure S1
547 and Figure S3, and 94 samples were passaged once in LFBK- $\alpha\beta 6$ cells [85]. Viral RNA was
548 extracted using the MagMAX RNA Isolation Kit (Thermo Fisher Scientific), reverse-transcribed
549 and amplified, generating three overlapping amplicons covering the full CDS. Sequencing
550 libraries were prepared with the Nextera XT DNA Library Prep Kit (Illumina, USA) and
551 sequenced on the Illumina NextSeq 500 platform. All reads were quality-filtered, primer-
552 trimmed and mapped to the inoculum consensus sequence in CLC Genomics Workbench v. 10
553 (www.qiagenbioinformatics.com). Read coverage of samples for which deep sequence was
554 included in this study ranged from 338 – 155,000 (mean 30,000) averaged across the CDS. The
555 inoculum deep sequence run totaled 10.4 million reads with a minimum coverage of 72,700
556 across the CDS.

557

558 *Consensus-level sequence analysis*

559 Alignments, pairwise distances and the maximum likelihood phylogeny (PhyML 3.2 [86]) were
560 evaluated in MEGA 7.0 [87] and Geneious 7.1 (www.geneious.com [88]). Substitution rates
561 were calculated by tabulating pairwise nucleotide differences between each consensus sequence
562 and the preceding sample sequence as a function of elapsed time between the two sample

563 acquisitions. In cases for which there were multiple samples from the same animal on the same
564 date (differing only by sample type or passage history), values were averaged. Statistical
565 significance of differences between rates was calculated via T-test and Rank-sum, with the
566 higher value of the two used as P in associated text, figures and tables.

567

568 *Subconsensus sequence analysis*

569 The Low Frequency Variant Detection tool in CLC Genomics Workbench was utilized to
570 determine variant sites present within each deep-sequenced sample present in > 2% of mapped
571 reads with a minimum coverage of 20 reads and .75 strand-bias filter. For the inoculum, variants
572 present $\geq 0.5\%$ were determined. Consensus-level sample substitutions that matched SNVs
573 present at $\geq 0.5\%$ in the inoculum deep sequence were categorized as ancestral SNPs, having
574 most likely been present in an ancestral genome (i.e. transmitted in the inoculum gene pool). The
575 remaining substitutions, those not detected in the inoculum $\geq 0.5\%$, were classified as novel
576 SNPs, more likely to have resulted from within-host *de novo* mutation. Shannon entropy was
577 calculated from quality-filtered and primer-trimmed reads in natural log units with a custom
578 script.

579

580 *Test of diversifying selection*

581 Sites with evidence of having evolved under positive selective pressure in FMDV populations
582 within hosts over time were determined with mixed effects model of evolution (MEME) analysis
583 in the HyPhy package [89]. MEME analysis of each individual host identified sites encoding
584 amino acid changes that significantly deviate from those that occur under neutral models of
585 evolution; positively selected sites of statistical significance ($P \leq 0.10$) are included in Figure 4.

586 In order to incorporate subconsensus variation and linkage between low-frequency variants in
587 MEME, haplotypes were reconstructed with the ViQuaS pipeline [38] with *SSAKE* [90]
588 parameters $o = 5$, $r = 0.75$. This pipeline reconstructs the haplotypic composition present within
589 each sample present either $> 0.5\%$ for cattle 14-33, 14-49, 14-108, 14-111, 15-12, 15-13, and 15-
590 14 or $> 2\%$ for cattle 14-34 and 14-110 thresholds from quality-filtered, primer-trimmed deep
591 sequence reads.

592

593 *Haplotypic composition of sample populations*

594 In order to characterize FMDV lineages that made up each sample population, haplogroup-
595 specific SNPs were first inferred from consensus sequence-derived phylogenetic relationships.
596 The presence of these characteristic (haplotypic) SNPs dictated the subpopulation in which the
597 sample was classified (Figure 3). Idealized criteria for these SNPs: i) shared with all members of
598 a lineage, ii) present in identical consensus sequenced derived from different animals, iii)
599 detected at proportionate frequencies at the subconsensus level and iv) present in at least one
600 homogeneous sample. For most samples, the dominant (majority) virus was identified by the
601 sample consensus and location in the phylogeny. This approach is exemplified with sample 14-
602 34_6_dpi (Table S1ex.), where haplogroup F characteristic SNPs (orange) are represented by
603 approximately 79% of reads, group A (blue) includes SNPs ranging from 14.5 – 20.3% and
604 group C (green) by ~4.5% of reads. Relative haplotype frequencies within each sample were
605 established using the lowest SNP frequency among each haplotype's characteristic SNPs. These
606 frequencies were used to construct proportional stacked bar graphs (Figure 3); samples lacking
607 deep sequence data had 49% of the population designated undetermined.

608

609 *Protein structure*

610 The inoculum capsid protomer homology modeling was executed in SWISS-MODEL
611 (swissmodel.expasy.org) with an FMDV A22 (PDB 4GH4) template. UCSF Chimera 1.13
612 (www.cgl.ucsf.edu/chimera [91]) was used for annotation and imaging. Annotation of antigenic
613 regions and heparan sulfate binding site are based upon published works [31, 34-36].

614

615 *Data availability*

616 All new sequence data have been made available as sequence read archive (SRA) files at the
617 National Center for Biotechnology Information (NCBI) under SAMN10280742-861. Previously
618 published sequence data included in the present work is also available at NCBI, GenBank
619 MH426523-74.

620

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632

633 Figure / Table Captions:

634

635 Table 1. ^a = $P < 0.05$; ^b = $P < 0.001$; subs/st/yr: substitutions / site / year. CDS: coding region.

636

637 Figure 1. Average Shannon entropy in sample FMDV coding regions. DPI: days post infection,

638 CDS: coding sequence, nonstruc.: nonstructural protein coding regions (including VP4), capsid:

639 VP1, VP2, and VP3 coding regions. Heat coloring indicates relative values with red denoting

640 higher and blue denoting lower. *Non-vaccinated only, dpi-matched (5-14 dpi) samples

641 averaged for non-carriers and carriers. Animals with undetermined carrier status (14-49, 14-50

642 and 14-51) were euthanized at 10 dpi or earlier.

643

644 Figure 2. Inoculum-rooted maximum likelihood phylogeny of sample consensus sequences

645 determined using PhyML [86]. Non-vaccinated cattle IDs are black text and vaccinated are light

646 blue. Haplotypic clades (A-F) are colored according to inferred ancestral relationships and

647 correlated polymorphism frequencies (see ‘haplotypic composition of sample populations’ in

648 methods).

649

650 Figure 3. Haplogroup proportions in virus samples from 9 serially-sampled cattle and colored as

651 in Figure 2. Proportions were determined based on SNP profiles characteristic of each lineage.

652 Gray indicates lineage unidentified. X axis is days post infection at which sample was taken;

653 ‘hpi’ is hours post infection, between 4 and 21 hpi.

654

655 Figure 4. Variable FMDV capsid amino acids and positively-selected sites combined. ^a Presence
656 of positively-selected sites identified in individual serially-sampled cattle using mixed effects
657 model of evolution (MEME) analysis ($P \leq 0.10$) (Murrell et al. 2012). ^b Amino acids identified as
658 variable between viruses at the consensus level in VP1, VP2, and VP3 capsid coding regions.

659

660 Figure 5. Proportion of inherited polymorphism. Substitutions present at the consensus level
661 across samples also present at the subconsensus level in the inoculum ($\geq 0.5\%$) are defined as
662 ancestral SNPs. The remaining nucleotide changes observed in sample consensuses (not detected
663 in the inoculum) are defined as novel.

664

665 Figure 6. Homology model of inoculum consensus sequence with template PDB 4GH4 (FMDV
666 A22). Surface view of FMDV A24 capsid protomer including VP1 (blue), VP2 (green), and VP3
667 (red). Labeled amino acid sites are those found to be under selective pressure according to
668 MEME analysis as well as amino acids identified to be variable at the consensus level in samples
669 derived from infected cattle. Inset: known antigenic sites in grey and heparan sulfate binding in
670 yellow.

671

672 Supplemental Figures and Tables

673 Figure S1. Experimental design: sequenced sample sources and times.

674 Figure S2. Pairwise differences: Vaccinated vs. Naïve, Carriers vs. Terminators.

675 Table S1. Table of all variant nucleotides $\geq 2\%$ in deep-sequenced samples.

676 Table S2. Table of all variant nucleotides $\geq 0.5\%$ in inoculum.

677 Figure S3. Amino acid alignment of all sample consensus sequences.

678

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Figure S1. Experimental design: sequenced sample sources and times. Naïve cattle above center black line and vaccinated below. Abbreviations: hrs - fraction of first 24 hours (all nasal secretions), dpi – days post infection, Na – nasal secretion, Np – nasopharyngeal tissue (necropsy), OPF – oropharyngeal fluid, Sa – saliva, Se – serum, Ves – epithelial vesicle, r.p – both passaged and un-passaged samples were sequenced. * - consensus sequence available only (no deep sequencing data).

	Early Subs Rate - Naïve			Transitional Subs Rate - Naïve			Persistent Subs Rate - Naïve		
	All Subs	Nonsyn Subs	Syn Subs	All Subs	Nonsyn Subs	Syn Subs	All Subs	Nonsyn Subs	Syn Subs
subs/day	3.61 ^a	1.52 ^b	2.14	2.44	0.70	1.74	1.54 ^a	0.28 ^b	1.27
subs/site/year	0.188 ^a	0.079 ^b	0.111	0.127	0.037	0.091	0.080 ^a	0.014 ^b	0.066
	Early Subs Rate - Vaccinated			Transitional Subs Rate - Vaccinated			Persistent Subs Rate - Vaccinated		
subs/day	2.51	1.07	1.43	1.70	0.73	0.97	1.52	0.49	1.03
subs/site/year	0.131	0.056	0.075	0.089	0.038	0.050	0.079	0.026	0.054
	Trans-Persistent Subs Rate - Naïve			1-10 dpi Subs Rates - Terminators					
	All Subs	Nonsyn Subs	Syn Subs	All Subs	Nonsyn Subs	Syn Subs	All Subs	Nonsyn Subs	Syn Subs
subs/day	1.90	0.46	1.44	4.29	1.92	2.50			
subs/site/year	0.099	0.024	0.075	0.224	0.100	0.131			
	Trans-Persistent Subs Rate - Vaccinated			1-10 dpi Subs Rates - Carriers					
subs/day	1.53	0.54	0.99	3.47	1.45	2.02			
subs/site/year	0.080	0.028	0.051	0.181	0.076	0.105			

Figure S2. Pairwise differences: Vaccinated vs. Naïve, Carriers vs. Terminators. The number of consensus-level pairwise differences between each sample and the preceding sample (inoculum = 0 dpi) divided by intervening time within each animal. Pairwise values from 0.25 - 0.88 dpi and animals of unknown carrier status omitted. For instances of samples with same dpi - same animal (different tissue) and where both raw and passaged were sequenced, pairwise differences were averaged. ^a = P < 0.05 and ^b = P < 0.005.

