Boosting Brain Signal Variability Underlies Liberal Shifts in Decision Bias

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39 Abstract

40 Strategically adopting decision biases allows organisms to tailor their choices to environmental demands. For example, a liberal response strategy pays off when 41 42 target detection is crucial, whereas a conservative strategy is optimal for avoiding 43 false alarms. Implementing strategic bias shifts is presumed to rely on prefrontal 44 cortex, but human evidence for this is scarce. We hypothesized that strategic liberal 45 bias shifts during a continuous target detection task arise through a more 46 unconstrained neural regime (higher entropy) suited to the detection of unpredictable 47 events. Upregulation of entropy in frontal brain regions indeed strongly characterized 48 the degree to which individuals shifted from a conservative to a liberal bias. EEG deviation 49 standard and spectral power could not account for this 50 relationship, highlighting the unique contribution of moment-to-moment neural 51 variability to bias shifts. Modulation of neural variability through prefrontal cortex 52 appears instrumental for permitting an organism to tailor its decision bias to 53 environmental demands.

54 **Impact statement**

55 Moment-to-moment variability is a prominent feature of neural activity. Rather than

representing mere noise, this variability might enable us to flexibly adapt our decision

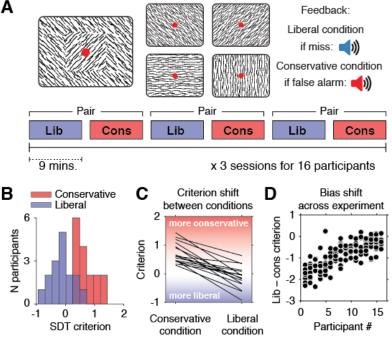
57 biases to the environment.

58 Introduction

59 We often reach decisions not only by objectively weighing different alternatives, but 60 also by allowing subjective decision biases to influence our choices. Ideally, such biases should be under internal control, allowing us to flexibly adapt to changes in 61 62 task context while performing a challenging task. Specifically, contexts which 63 prioritize target detection benefit from a liberal response strategy, whereas a 64 conservative strategy should be used at times when it is important to avoid errors of 65 commission (e.g., false alarms). Strategic shifts in decision bias are presumed to rely 66 on prefrontal cortex (Rahnev et al., 2016), but despite growing interest (Chen et al., 67 2015; Reckless et al., 2014; Windmann et al., 2002), the spatio-temporal neural 68 signature of such within-person bias shifts is unknown. As such, how strategic 69 decision biases are neuronally implemented and retained during a specific task 70 context remain open questions.

71 One candidate neural signature of decision bias shifts that has not been 72 considered thus far is moment-to-moment variability of brain activity. Temporal 73 neural variability is a prominent feature in all types of neural recordings (single-cell, 74 local field potentials, EEG/MEG, fMRI), which has traditionally been considered 75 'noise' that corrupts neural computations. However, increasing evidence suggests 76 that temporal variability can instead prove optimal for neural systems, allowing 77 individuals to perform better, respond faster, and adapt quicker to their environment 78 (Garrett et al., 2015, 2013, 2011). Here, we perform a crucial test of the utility of 79 moment-to-moment neural variability in the context of adaptive human decision 80 making. We hypothesized that within-person upregulation of neural variability would 81 implement a strategic, liberal bias shift that 'opens up' the decision-making process 82 more widely to target input from the environment (Marzen and DeDeo, 2017; 83 Młynarski and Hermundstad, 2018). Specifically, we reasoned that increased neural 84 variability might underlie a state of higher receptiveness to, and preparedness for, 85 events of interest that occur at unpredictable moments in time, thus allowing the 86 decision maker to adopt a more liberal bias towards deciding that such an event has 87 indeed occurred.

88 We tested this hypothesis using data from humans performing a challenging, 89 continuous target detection task under two different decision bias manipulations, 90 while non-invasively recording their electroencephalogram (EEG) (Kloosterman et 91 al., 2019). Sixteen participants (three experimental sessions each) were asked to 92 detect orientation-defined squares within a continuous stream of line textures of 93 various orientations and report targets via a button press (Figure 1A). In alternating nine-minute blocks of trials, we actively biased participants' perceptual decisions by 94 instructing them either to report as many targets as possible (liberal condition), or to 95 96 only report high-certainty targets (conservative condition). We played auditory 97 feedback after errors and imposed monetary penalties to enforce instructions.





99 Figure 1 | Experimental paradigm and behavioral results A. Top, target and non-target stimuli. 100 Subjects detected targets (left panel) within a continuous stream of diagonal and cardinal line stimuli 101 (middle panel), and reported targets via a button press. In different blocks of trials, subjects were 102 instructed to actively avoid either target misses (liberal condition) or false alarms (conservative 103 condition). Auditory feedback was played directly after the respective error in both conditions (right 104 panel). Bottom, time course of an experimental session. The two conditions were alternatingly 105 administered in blocks of nine minutes. In between blocks participants were informed about current 106 task performance and received instructions for the next block. Subsequent liberal and conservative

blocks were paired for within-participant analyses (see panel D, and Figure 3C). B. Distributions of
participants' criterion in both conditions. A positive criterion indicates a more conservative bias,
whereas a negative criterion indicates a more liberal bias. C. Corresponding within-person slopes. D.
Within-person bias shifts for liberal-conservative block pairs (see panel A, bottom). Participants were

111 sorted based on average criterion shift before plotting.

112 The following figure supplement is available for Figure 1:

113 **Figure supplement 1** | Perceptual sensitivity and relationship between decision bias and sensitivity.

114 In our previous paper on these data, we reported within-participant evidence that 115 decision bias in each condition separately is implemented by modulating the 116 accumulation of sensory evidence in posterior brain regions through oscillatory EEG 117 activity in the 8-12 Hz (alpha) and gamma (60-100 Hz) frequency ranges 118 (Kloosterman et al., 2019). In no brain region, however, did we find a change-change 119 relationship between participants' liberal-conservative shifts in decision bias and in 120 spectral power, despite substantial available data (on average 1733 trials per 121 participant) and considerable individual differences in the bias shift. Reasoning that 122 moment-to-moment variability of neural activity may instead better capture the bias 123 shift from person to person and possibly reveal its hypothesized prefrontal signature, 124 we here measured temporal variability in the EEG data using a novel algorithm 125 based on multi-scale entropy (MSE)(Costa et al., 2002). We then tested for a 126 change-change relationship by correlating within-person liberal-conservative shifts in 127 decision bias with those estimated via our modified MSE (mMSE) measure. 128 Furthermore, we explicitly investigated the unique contribution of moment-to-moment 129 neural variability to the bias shift by statistically controlling for the standard deviation 130 and spectral power of the EEG signal. Finally, following a different line of literature, 131 previous work has also linked a transient variability reduction (referred to as 132 'quenching') to improved cognitive ability (Arazi et al., 2017; Churchland et al., 2010; 133 Schurger et al., 2015). We examined whether a transient variability reduction also 134 occurs in entropy and to what extent it is related to behavior in our task.

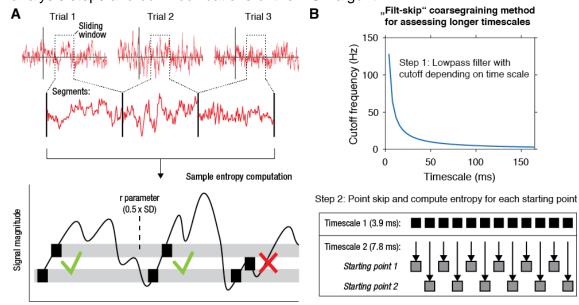
135 **Results**

136 Participants differentially adopted the intended decision biases in the respective 137 conditions, as quantified by the criterion measure from signal detection theory (SDT) 138 (Green and Swets, 1966). Subjects assumed a lower criterion (more liberal bias) 139 when target detection was emphasized (c = -0.13, standard deviation (SD) 0.4) and 140 adopted a higher criterion (more conservative bias) when instructed to avoid false 141 alarms (c = 0.73, SD 0.36; liberal vs. conservative, p = 0.001, two-sided permutation 142 test, 10,000 permutations)(Figure 1B). Participants varied substantially not only in 143 the average criterion they used across the two conditions (range of c = -0.24 to 144 0.89), but also in the size of the criterion shift between conditions (range of $\Delta c = -$ 145 1.54 to -0.23). Highlighting the extent of individual differences, participant's biases in 146 the two conditions were only weakly correlated (Spearman's rho = 0.24, p = 0.36), as 147 can be seen from the subjects' large variation in criterion intercept and slope 148 between the two conditions in Figure 1C. Moreover, the bias shift also fluctuated to 149 some extent within participants over the course of the experiment, as indicated by

150 variation in criterion differences between successive, nine-minute liberal and 151 conservative blocks (participant-average SD 0.37, Figure 1D). Participants also 152 varied widely in their ability to detect targets (range in SDT d` 0.26 to 3.97), but 153 achieved similar d` in both bias conditions (rho = 0.97, p < 0.001, Figure 1, figure 154 supplement 1). Moreover, the liberal-conservative bias shift was only weakly 155 correlated with a shift in sensitivity across participants (rho = 0.44, p = 0.09). 156 indicating that the bias manipulation largely left perceptual sensitivity unaffected. In 157 our previous paper on these data (Kloosterman et al., 2019), we also quantified 158 decision bias in terms of the 'drift bias' parameter within the drift diffusion model 159 (Ratcliff and McKoon, 2008). We chose to focus on SDT criterion in the current 160 paper due to its predominant use in the literature and its comparably simpler 161 computation, while noting the substantial overlap between the two measures as 162 indicated by their high correlation (rho = -0.89, as reported in our previous paper). 163 Taken together, we observed considerable variability in strategic decision bias shifts 164 as a result of our bias manipulation, both at the group level and within single 165 individuals.

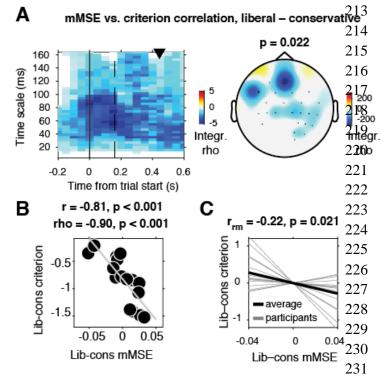
166 We exploited the between- and within-participant variations in liberal-167 conservative criterion differences to test our hypothesis that a boost in brain signal 168 variability underlies a liberal bias shift. To this end, we developed a novel algorithm 169 based on multi-scale entropy (MSE) that directly quantifies the temporal irregularity 170 of the EEG signal at longer and shorter timescales by counting how often temporal 171 patterns in the signal reoccur during the signal's time course (Costa et al., 172 2002)(Figure 2A, bottom). In general, signals that tend to repeat themselves over 173 time, such as neural oscillations, are assigned lower entropy, whereas more 174 irregular, non-repeating signals yield higher entropy. We developed time-resolved, 175 modified MSE (mMSE), that differs from traditional MSE in two ways. First, slower 176 timescales are usually assessed by 'coarsegraining' the data by means of averaging 177 of neighboring data samples and repeating the pattern counting operation depicted 178 in Figure 2A. Although this method can remove faster dynamics from the data in a 179 simple way, it is prone to aliasing artifacts and thereby possibly obscures genuine 180 entropy effects in the data. Therefore, we instead coarsegrain the data using a 181 Butterworth low-pass filter, followed by skipping of data points to coarsen the data 182 (Figure 2B), thereby retaining better control over the frequencies present in the 183 coarse-grained signal (Semmlow, 2004; Valencia et al., 2009). Second, conventional 184 entropy analysis requires substantial continuous data (in the order of minutes) for 185 robust estimation, which makes the standard method unsuitable for studying brief, 186 transient cognitive processes such as decision-making. To investigate entropy 187 dynamics over time, we calculated entropy across discontinuous data segments 188 aggregated across trials via a sliding window approach (Grandy et al., 2016) (Figure 189 2A, top). Prior to mMSE analysis, we removed stimulus-evoked EEG activity by 190 subtracting the event-related potential (computed by averaging all trials within a 191 condition), from each single trial. This was done to focus on ongoing neural activity

(Klimesch et al., 1998). Please see Materials and Methods for details on the variousanalysis steps and our modifications of the MSE algorithm.



Time 194 Figure 2 | mMSE estimation procedure. A. Discontinuous entropy computation procedure. Data 195 segments of 0.5 s duration centered on a specific time point from each trial's onset (top row) are 196 selected and concatenated (middle row). Entropy is then computed on this concatenated time series 197 while excluding discontinuous segment borders by counting repeats of both m (here, m = 1 for 198 illustration purposes) and m+1 (thus 2) sample patterns and taking the log ratio of the two pattern 199 counts (bottom row). We used m = 2 in our actual analyses. The pattern similarity parameter r 200 determines how lenient the algorithm is towards counting a pattern as a repeat by taking a proportion 201 of the signal's standard deviation (SD), indicated by the width of the horizontal gray bars. The 202 pattern counting procedure is repeated at each step of the sliding window, resulting in a time course 203 of entropy estimates computed across trials. B. "Filt-skip" coarsegraining procedure used to estimate 204 entropy on longer timescales, consisting of low-pass filtering followed by point-skipping. Filter cutoff 205 frequency is determined by dividing the data sampling rate (here, 256 Hz i.e. 1 sample per 3.9 ms) by 206 the index of the timescale of interest (top row). The signal is then coarsened by intermittently skipping 207 samples (bottom row). In this example, every second sample is skipped at timescale 2, resulting in 208 two different time courses depending on the starting point. Patterns are counted independently in both 209 resulting time courses and summed before computing entropy.

We tested for a relationship between shifts in decision bias and neural variability between the conservative and liberal conditions by Spearman-correlating joint modulations of mMSE and criterion across participants (averaged over the three



sessions), for all electrodes, time points, and timescales. Strikingly, we found а negative cluster of correlations in mid- and leftfrontal electrodes (p = 0.022, cluster-corrected for multiple comparisons (Maris and Oostenveld, 2007)) indicating that participants who showed a larger bias shift from the conservative to the liberal condition were those who also exhibited a larger boost in frontal entropy (Figure 3A). The cluster ranged across timescales from ~20-164 ms, with most of the cluster

located after trial initialization (solid vertical line in Figure 3A). To illustrate this correlation, we averaged liberal–conservative mMSE within the significant cluster and plotted the across-participant change-change correlation (rho = -0.90) with criterion (Figure 3B).

236 Figure 3 | Change-change correlation between liberal-conservative shifts in mMSE and bias. 237 A. Significant negative electrode-time-timescale cluster observed via Spearman correlation between 238 liberal-conservative mMSE and liberal-conservative SDT criterion. Correlations outside the 239 significant cluster are masked out. Left, time-timescale representation showing the correlation cluster 240 integrated over the electrodes indicated by the black circles in the topographical scalp map. The solid 241 vertical line indicates the time of trial onset. The dotted vertical line indicates time of (non)target onset. 242 Right, scalp map of mMSE integrated across significant time-timescale bins. P-value above scalp 243 map indicates multiple comparison-corrected cluster significance using a permutation test across 244 participants. B. Scatter plot of the correlation after averaging mMSE within the significant cluster. Both 245 Pearson's r and Spearman's rho are indicated. C. Single-subject mMSE vs. criterion slopes across 246 liberal-conservative block pairs. rm, repeated measures correlation across all block pairs performed 247 after centering each subject's shifts in mMSE and criterion around zero.

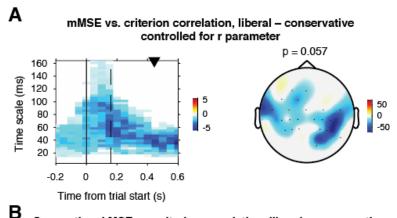
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- 249 The following source data and figure supplements are available for Figure 2:
- 250 **Source data 1.** This MATLAB file contains the data for Figure 3.
- Figure supplement 1. Correlation between liberal conservative mMSE and bias shift is reliable in split data halves.
- 253 Figure supplement 2. Change-change correlations between liberal-conservative mMSE, criterion,
- EEG signal SD and spectral power.

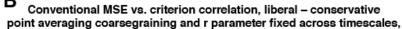
255 Figure supplement 3. EEG spectral power normalized with respect to the pre-trial baseline.

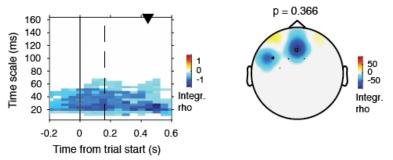
256 We next employed several approaches to strengthen evidence for the observed link 257 between shifts in neural variability and decision bias. First, we asked whether mMSE 258 and bias were also linked within participants across the nine liberal-conservative 259 block pairs (see Figure 1A, bottom and 1D). Critically, we observed a negative 260 repeated measures correlation (Bakdash and Marusich, 2017) between within-261 participant shifts in criterion and mMSE ($r_{rm} = -0.19$, p = 0.039, Figure 3C), providing 262 convergent within-person evidence for a link between shifts in decision bias and 263 neural variability. Second, correlating across a relatively low number of observations 264 can be unreliable (Yarkoni, 2009) depending on the amount of data underlying each 265 observation. We therefore tested whether the correlation across participants was 266 present within two separate halves of the data after an arbitrary split based on odd 267 and even trials. We found significant correlations in both data halves, indicating 268 reliable between-subject associations (odd, rho = -0.61, p = 0.013; even, rho = -269 0.64, p = 0.009, see Figure 3, figure supplement 1).

270 Third, we investigated whether the correlation could alternatively be explained 271 by potential confounds. Specifically, entropy estimates can be influenced by the 272 time-domain signal SD through the pattern similarity (r) parameter (see Figure 2), 273 even when this parameter is recomputed for each timescale after coarsegraining, as 274 done here (Kosciessa et al., 2019). In addition, E/MEG data is often quantified in 275 terms of oscillatory spectral power in canonical delta (1-2 Hz), theta (3-7 Hz), alpha 276 (8-12 Hz), beta (13-30 Hz) and gamma (60-100 Hz) bands (see Kloosterman et al. 277 (Kloosterman et al., 2019) for detailed spectral analysis of the current dataset), which 278 might be able to explain the entropy results through a similar dependency. 279 Therefore, we tested whether the $\Delta bias - \Delta entropy$ correlation could be explained by 280 broadband signal SD and band-specific spectral power. To make the computation of 281 spectral power and entropy as similar as possible, we used the same 0.5 s sliding 282 window and 50 ms step size for spectral analysis (1 s window to allow delta power 283 estimation, see methods), and selected spectral power within the same electrodes 284 and time points in which the mMSE effect was indicated. Strikingly, we found that the 285 Δ bias- Δ entropy correlation remained strong and significant both when controlling for 286 signal SD (partial rho = -0.82, p < 0.0001), and even when controlling for all major 287 power bands simultaneously (delta, theta, alpha, beta, gamma; partial rho = -0.68, p 288 = 0.02). See Figure 3, figure supplement 2 for correlations between mMSE and 289 various potentially confounding factors. Moreover, we found no significant clusters 290 when correlating the bias shift with liberal-conservative spectral power modulation 291 computed by normalizing spectral power using the pre-stimulus baseline, indicating 292 that power modulation also does not track bias shifts (Figure 3, figure supplement 3). 293 Interestingly, explicitly controlling for overall signal variation (SD) in each time-scale 294 bin in each electrode via partial Spearman correlation narrowed the cluster of 295 significant correlations down to timescales from 20-100 ms (Figure 4A), suggesting 296 that the slower timescales implicated in the mMSE correlation in Figure 3A are 297 primarily driven by overall signal variation rather than moment-to-moment variability,

298 whereas intermediate timescales are more driven by moment-to-moment variability. 299 Spatially, the SD-controlled correlation cluster more prominently involved temporal 300 and occipital electrodes, suggesting involvement of sensory and association cortex. 301 Importantly, the results did depend on our modified entropy estimation method, since 302 the frontal correlation cluster was smaller and non-significant when performing the 303 Δ bias- Δ entropy correlation using conventional MSE (cluster p = 0.37)(Costa et al., 304 2002)(Figure 4B). Note that we still employed our novel sliding window approach for 305 comparison with the principal mMSE correlation analysis. Statistically controlling for 306 the participants' perceptual ability to detect targets, quantified as the liberal-307 conservative shift in SDT sensitivity measure d' (Green and Swets, 1966) did not 308 affect the relationship (partial rho = -0.88, p < 0.0001), indicating that perceptual 309 sensitivity could not explain our results.







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Figure 4 | A. Liberal – conservative mMSE vs. criterion correlation when statistically controlling for the
 r parameter (signal SD) across participants. The cluster remains significant and the topography is
 similar, but the effect is more widespread across electrodes, and less widespread across timescales.
 B. As A. but using traditional MSE, including coarse graining through point averaging to asses longer
 timescales and a fixed r parameter across timescales. The cluster does not reach significance.

Finally, improved perceptual sensitivity has been linked to a transient, post-stimulus decrease in neural variability, referred to as variability 'quenching' (Arazi et al., 2017; Churchland et al., 2010; Schurger et al., 2015). Quenching is directly predicted by attractor models of brain organization (Wang, 2002), and is consistent with SDT's main principle that suppression of neural noise enhances perception (Green and Swets, 1966). Quenching has also been reported in the human EEG in terms of a 322 variance reduction across trials in visual cortex following stimulus onset (Arazi et al., 323 2017), although this type of guenching can be attributed to the well-known 324 suppression of low-frequency spectral power following stimulus onset (Daniel et al., 325 2019). In the mMSE modulation with respect to prestimulus baseline we found both a 326 midfrontal and lateral occipital and temporal enhancement of mMSE modulation 327 (Figure 5A) that could not be explained by spectral power (Figure 5B), as well as an 328 mMSE quenching cluster in shorter mMSE timescales (Figure 5C) that was 329 significantly correlated with low-frequency (beta) power (Figure 5D). However, we 330 found significant clusters neither when correlating liberal-conservative mMSE 331 quenching with shifts in bias, nor with shifts in d'. Furthermore, controlling for signal 332 SD (which is most strongly affected by low-frequency power due to the 1/f nature of 333 EEG signals) completely abolished the mMSE guenching, again indicating that this 334 effect could indeed be explained by low-frequency spectral power. When contrasting 335 the conditions, we did find a significant positive cluster in midfrontal electrodes, 336 indicating a stronger transient increase in entropy following trial onset in the liberal 337 condition (Figure 5E). Finally, when change-change correlating mMSE and criterion, 338 we found a left-lateralized negative cluster in temporal electrodes (Figure 5F). Taken 339 together, these various control analyses suggest a unique contribution of moment-to-340 moment neural variability to bias shifts in human decision making, over and above 341 overall brain signal variation, oscillatory neural dynamics, variability guenching, and 342 perceptual sensitivity.

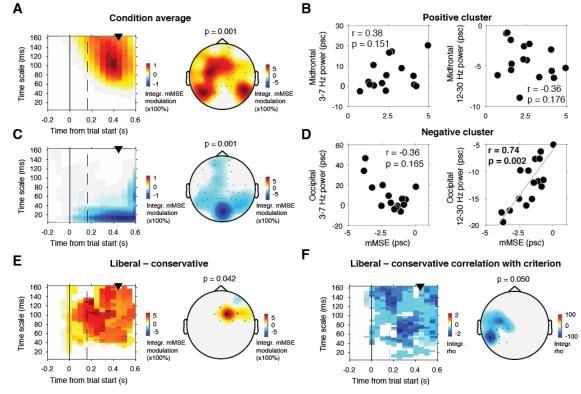


Figure 5 | mMSE modulation with respect to pre-trial baseline. A. Significant positive cluster observed in longer timescales after normalizing mMSE values to percent signal change (psc) units with respect to the pre-trial baseline (-0.2 to 0 s) and averaging across conditions. **B.** Correlation

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between mMSE modulation in the positive cluster depicted in A. and spectral power modulation in midfrontal electrodes. Left panel, 3-7 Hz; right panel, 12-30 Hz. C. D. As B. but for the posterior negative cluster. E. Significant positive cluster observed in mid-frontal electrodes in the liberalconservative contrast of mMSE modulation. F. Significant cluster resulting from the correlation between liberal-conservative mMSE modulation with liberal-conservative SDT criterion. Conventions as in Figure 3.

353 Discussion

354 Strategic decision biases allow organisms to adapt their choices to the context in 355 which decisions are made. Frontal cortex has previously been shown to be involved 356 in strategic bias shifts in humans (Rahnev et al., 2016a) and monkeys (Ferrera et al., 357 2009), but its spatiotemporal neural signature has to date remained elusive. Here, 358 we provide first evidence that flexible adjustment of moment-to-moment variability in 359 frontal regions may underlie such strategic shifts in decision bias, independent of 360 brain signal SD and oscillatory neural dynamics. The observed relationship between 361 shifts in bias and neural variability in anterior brain regions complements our 362 previous findings in the frequency domain that humans can intentionally control 363 prestimulus 8–12 Hz (alpha) oscillatory power in posterior cortex to strategically bias 364 decision making (Kloosterman et al., 2019). Notably, we previously observed 365 increased oscillatory 2-6 Hz (theta) power in the liberal compared to the 366 conservative condition in the same midfrontal electrodes implicated here in the 367 Abias-Aentropy correlation, but this theta power difference was not correlated with 368 the bias shift. This suggests that the bias shift may be reflected both in low-369 frequency spectral power and entropy in midfrontal regions, but that only entropy is 370 linked to the magnitude of the decision-maker's bias shift. One possible explanation 371 for such a dissociation is that spectral power exclusively reflects the amplitude of the 372 signal's oscillatory fluctuations while discarding its phase information. In contrast, 373 entropy is sensitive to both variations in the magnitude as well as the phase of EEG 374 signal fluctuations, since more frequent phase resets will result in a more irregular 375 time-domain signal that will yield higher entropy. Moreover, whereas spectral 376 analysis strictly assumes a sinusoidal waveform of EEG signal fluctuations (Cole and 377 Voytek, 2017; Jones, 2016), entropy is agnostic to the shape of the waveforms 378 present in the signal. Entropy thus provides a more unrestricted description of 379 moment-to-moment fluctuations in neural activity that is highly predictive of decision 380 bias shifts across participants in our data.

381 In contrast with the central idea in this study that neural variability facilitates 382 cognition, previous work has suggested that a temporary stabilization of neural 383 activity after stimulus onset ('quenching') is beneficial for perception (Arazi et al., 384 2017; Schurger et al., 2015). Although we also observed quenching after baseline-385 correcting mMSE, we found no evidence for a change-change relationship between 386 quenching and decision bias or perceptual sensitivity. This suggests that in contrast 387 to our finding that rising variability facilitates a strategic bias shift, the degree to 388 which individuals quench is not related to behavior in our data. We note, however, 389 that quenching and rising of neural variability should not be mutually exclusive

390 concepts, but can in principle occur simultaneously if one considers the different 391 timescales in which these phenomena seem to occur: shorter scales (< 40 ms) for 392 quenching and longer scales (> 40 ms) for rising variability. Furthermore, the 393 relations between quenching observed in neural spiking (Churchland et al., 2010), 394 trial-by-trial variance of E/MEG (Arazi et al., 2017) and mMSE are currently unclear, 395 and require further future investigation. Future studies could also explore how neural 396 variability quenching and rising in different timescales are related to various aspects 397 of decision making, such as perceptual sensitivity, different kinds of biases (Fleming 398 et al., 2010; Talluri et al., 2018; Urai et al., 2019), but also confidence and 399 metacognitive processes (Fleming and Dolan, 2012; Yeung and Summerfield, 2012). 400 Finally, individual decision bias has also been linked to the magnitude of transient 401 dilations of the eye's pupil (de Gee et al., 2017, 2014), also in relation to entropy of 402 EEG (Waschke et al., 2019), suggesting that pupil-linked neuromodulation (Joshi et 403 al., 2015) is possibly linked to decision bias through moment-to-moment neural 404 variability. Further investigation of the relationship between neural variability and 405 neuromodulation could prove fruitful to shed light on the mechanisms underlying 406 higher-order cognitive function (Garrett et al., 2015).

407 Our results suggest that dynamic adjustment of neural variability in frontal 408 regions is crucial for adaptive behavior. Based on our findings, we propose that 409 heightened frontal entropy results from a more dynamic, irregular neural regime that 410 enables an individual to be more prepared to process and act upon uncertain, yet 411 task-relevant information. In the current study, variability (entropy) provides a 412 theoretically driven quantification of the neural instantiation of human decision 413 making (Marzen and DeDeo, 2017; Młynarski and Hermundstad, 2018). We argue 414 that quantifying shifts in neural entropy could help elucidate the mechanisms 415 allowing organisms to adapt to their environment and ultimately increase their 416 chances of survival.

417

418 Materials and Methods

We report a novel analysis of a previously published dataset involving a target
detection task during two different decision bias manipulations (Kloosterman et al.,
2019).

422 **Subjects** Sixteen participants (eight females, mean age 24.1 years, \pm 1.64) took part 423 in the experiment, either for financial compensation (EUR 10 per hour) or in partial 424 fulfillment of first year psychology course requirements. Each participant completed 425 three experimental sessions on different days, each session lasting ca. 2 hours, 426 including preparation and breaks. One participant completed only two sessions, 427 yielding a total number of sessions across subjects of 47. Due to technical issues, for 428 one session only data for the liberal condition was available. One participant was an 429 author. All participants had normal or corrected-to-normal vision and were right 430 handed. Participants provided written informed consent before the start of the

431 experiment. All procedures were approved by the ethics committee of the University432 of Amsterdam.

433 Stimuli Stimuli consisted of a continuous semi-random rapid serial visual 434 presentation (rsvp) of full screen texture patterns. The texture patterns consisted of 435 line elements approx. 0.07° thick and 0.4° long in visual angle. Each texture in the 436 rsvp was presented for 40 ms (i.e. stimulation frequency 25 Hz), and was oriented in 437 one of four possible directions: 0°, 45°, 90° or 135°. Participants were instructed to 438 fixate a red dot in the center of the screen. At random inter trial intervals (ITI's) 439 sampled from a uniform distribution (ITI range 0.3 – 2.2 s), the rsvp contained a fixed 440 sequence of 25 texture patterns, which in total lasted one second. This fixed 441 sequence consisted of four stimuli preceding a (non-)target stimulus (orientations of 442 45°, 90°, 0°, 90° respectively) and twenty stimuli following the (non)-target 443 (orientations of 0°, 90°, 0°, 90°, 0°, 45°, 0°, 135°, 90°, 45°, 0°, 135°, 0°, 45°, 90°, 45°, 444 90°, 135°, 0°, 135° respectively) (see Figure 1A). The fifth texture pattern within the 445 sequence (occurring from 0.16 s after sequence onset) was either a target or a 446 nontarget stimulus. Nontargets consisted of either a 45° or a 135° homogenous 447 texture, whereas targets contained a central orientation-defined square of 2.42° 448 visual angle, thereby consisting of both a 45° and a 135° texture. 50% of all targets 449 consisted of a 45° square and 50% of a 135° square. Of all trials, 75% contained a 450 target and 25% a nontarget. Target and nontarget trials were presented in random 451 order. To avoid specific influences on target stimulus visibility due to presentation of 452 similarly or orthogonally oriented texture patterns temporally close in the cascade, no 453 45° and 135° oriented stimuli were presented directly before or after presentation of 454 the target stimulus. All stimuli had an isoluminance of 72.2 cd/m². Stimuli were 455 created using MATLAB (The Mathworks, Inc., Natick, MA, USA) and presented using 456 Presentation version 9.9 (Neurobehavioral systems, Inc., Albany, CA, USA).

457 **Experimental design** The participants' task was to detect and actively report targets 458 by pressing a button using their right hand. Targets occasionally went unreported, 459 presumably due to constant forward and backward masking by the continuous 460 cascade of stimuli and unpredictability of target timing (Fahrenfort et al., 2007). The 461 onset of the fixed order of texture patterns preceding and following (non-)target 462 stimuli was neither signaled nor apparent. At the beginning of the experiment, 463 participants were informed they could earn a total bonus of EUR 30, -, on top of their 464 regular pay of EUR 10, - per hour or course credit. In two separate conditions within 465 each session of testing, we encouraged participants to use either a conservative or a 466 liberal bias for reporting targets using both aversive sounds as well as reducing their 467 bonus after errors. In the conservative condition, participants were instructed to only 468 press the button when they were relatively sure they had seen the target. The 469 instruction on screen before block onset read as follows: 'Try to detect as many 470 targets as possible. Only press when you are relatively sure you just saw a target.' 471 To maximize effectiveness of this instruction, participants were told the bonus would 472 be diminished by 10 cents after a false alarm. During the experiment, a loud aversive 473 sound was played after a false alarm to inform the participant about an error. During

474 the liberal condition, participants were instructed to miss as few targets as possible. 475 The instruction on screen before block onset read as follows: 'Try to detect as many 476 targets as possible. If you sometimes press when there was nothing this is not so 477 bad'. In this condition, the loud aversive sound was played twice in close succession 478 whenever they failed to report a target, and three cents were subsequently deducted 479 from their bonus. The difference in auditory feedback between both conditions was 480 included to inform the participant about the type of error (miss or false alarm), in 481 order to facilitate the desired bias in both conditions. After every block, the 482 participant's score (number of missed targets in the liberal condition and number of 483 false alarms in the conservative condition) was displayed on the screen, as well as 484 the remainder of the bonus. After completing the last session of the experiment, 485 every participant was paid the full bonus as required by the ethical committee.

486 Participants performed six blocks per session lasting ca. nine minutes each. 487 During a block, participants continuously monitored the screen and were free to 488 respond by button press whenever they thought they saw a target. Each block 489 contained 240 trials, of which 180 target and 60 nontarget trials. The task instruction 490 was presented on the screen before the block started. The condition of the first block 491 of a session was counterbalanced across participants. Prior to EEG recording in the 492 first session, participants performed a 10-min practice run of both conditions, in 493 which visual feedback directly after a miss (liberal condition) or false alarm 494 (conservative) informed participants about their mistake, allowing them to adjust their 495 decision bias accordingly. There were short breaks between blocks, in which 496 participants indicated when they were ready to begin the next block.

Behavioral analysis We defined decision bias as the criterion measure from SDT
(Green and Swets, 1966). We calculated the criterion *c* across the trials in each
condition as follows:

$$c = -\frac{1}{2} \left[Z(Hit - rate) + Z(FA - rate) \right]$$

500 where hit-rate is the proportion target-present responses of all target-present trials, 501 false alarm (FA)-rate is the proportion target-present responses of all target-absent 502 trials, and Z(...) is the inverse standard normal distribution. Furthermore, we 503 calculated perceptual sensitivity using the SDT measure d`:

504

$$d' = Z(Hit - rate) - Z(FA - rate)$$

505 **EEG recording** Continuous EEG data were recorded at 256 Hz using a 48-channel 506 BioSemi Active-Two system (BioSemi, Amsterdam, the Netherlands), connected to a 507 standard EEG cap according to the international 10-20 system. Electrooculography 508 (EOG) was recorded using two electrodes at the outer canthi of the left and right 509 eyes and two electrodes placed above and below the right eye. Horizontal and 510 vertical EOG electrodes were referenced against each other, two for horizontal and 511 two for vertical eye movements (blinks). We used the FieldTrip toolbox (Oostenveld et al., 2011) and custom software in MATLAB R2016b (The Mathworks Inc., Natick,
MA, USA; RRID:SCR_001622) to process the data. Data were re-referenced to the
average voltage of two electrodes attached to the earlobes. We applied a
Butterworth high-pass filter (fourth order, cutoff 0.5 Hz) to remove slow drifts from the
data.

517 **Trial extraction** We extracted trials of variable duration from 1 s before target 518 sequence onset until 1.25 after button press for trials that included a button press 519 (hits and false alarms), and until 1.25 s after stimulus onset for trials without a button 520 press (misses and correct rejects). The following constraints were used to classify 521 (non-)targets as detected (hits and false alarms), while avoiding the occurrence of 522 button presses in close succession to target reports and button presses occurring 523 outside of trials: 1) A trial was marked as detected if a response occurred within 0.84 524 s after target onset; 2) when the onset of the next target stimulus sequence started 525 before trial end, the trial was terminated at the next trial's onset; 3) when a button 526 press occurred in the 1.5 s before trial onset, the trial was extracted from 1.5 s after 527 this button press; 4) when a button press occurred between 0.5 s before until 0.2 s 528 after sequence onset, the trial was discarded. After trial extraction the mean of every 529 channel was removed per trial.

530 Artifact rejection Trials containing muscle artifacts were rejected from further 531 analysis using a standard semi-automatic preprocessing method in Fieldtrip. This 532 procedure consists of bandpass-filtering the trials of a condition block in the 110–125 533 Hz frequency range, which typically contains most of the muscle artifact activity, 534 followed by a Z-transformation. Trials exceeding a threshold Z-score were removed 535 completely from analysis. We used as the threshold the absolute value of the 536 minimum Z-score within the block, + 1. To remove eye blink artifacts from the time 537 courses, the EEG data from a complete session were transformed using 538 independent component analysis (ICA), and components due to blinks (typically one 539 or two) were removed from the data. In addition, to remove microsaccade-related 540 artifacts we included two virtual channels in the ICA based on channels Fp1 and 541 Fp2, which included transient spike potentials as identified using the saccadic 542 artefact detection algorithm from (Hassler et al., 2011). This yielded a total number of 543 channels submitted to ICA of 48 + 2 = 50. The two components loading high on 544 these virtual electrodes (typically with a frontal topography) were also removed. 545 Blinks and eve movements were then semi-automatically detected from the 546 horizontal and vertical EOG (frequency range 1–15 Hz; z-value cut-off 4 for vertical; 547 6 for horizontal) and trials containing eye artefacts within 0.1 s around target onset 548 were discarded. This step was done to remove trials in which the target was not 549 seen because the eyes were closed. Finally, trials exceeding a threshold voltage 550 range of 200 mV were discarded. To attenuate volume conduction effects and 551 suppress any remaining microsaccade-related activity, the scalp current density 552 (SCD) was computed using the second-order derivative (the surface Laplacian) of 553 the EEG potential distribution (Perrin et al., 1989).

554 **ERP removal** We removed stimulus-evoked EEG activity related to external events 555 by computing the event-related potential (ERP) and subtracting the ERP from each 556 single trial prior to entropy or spectral analysis. This was done to focus on ongoing 557 (termed "induced", (Klimesch et al., 1998)) activity and eliminate large-amplitude 558 transients from the data that would increase the signal standard deviation and thus 559 affect the r parameter that is used for determining pattern matches. To eliminate 560 differences in evoked responses between sessions and conditions, we performed 561 this procedure separately for ERPs computed in each condition, session, and 562 participant.

563 Entropy computation We measured temporal neural variability in the EEG using 564 multiscale entropy (MSE) (Costa et al., 2002). MSE characterizes signal irregularity 565 at multiple time scales by estimating sample entropy (SampEn) at each time scale of 566 interest. The estimation of SampEn involves counting how often patterns of m 567 successive data points reoccur in time (p^m) and assessing how many of those 568 patterns remain similar when the next sample m+1 is added to the sequence 569 $(p^{(m+1)})$. Given that amplitude values are rarely exactly equal in physiological time 570 series, a similarity bound defines which individual data points are considered similar. 571 This step discretizes the data and allows to compare data patterns rather than exact 572 data values. The similarity bound is defined as a proportion r of the time series 573 standard deviation (SD; i.e., square root of signal variance) to normalize the 574 estimation of sample entropy for total signal variation. That is, for any data point k, all 575 data points within $k \equiv r \equiv x \equiv SD$ are by definition equal to k, which forms the basis 576 for assessing sequence patterns. SampEn is finally given as the natural log of 577 p^m(r)/ p^(m+1)(r). Consequently, high SampEn values indicate low temporal 578 regularity as many patterns of length m are not repeated at length m+1. In our 579 applications, m was set to 2 and r was set to .5, in line with prior recommendations 580 (Richman and Moorman, 2000) and EEG applications (Courtiol et al., 2016; Heisz 581 and McIntosh, 2013; Kosciessa et al., 2019; McIntosh et al., 2008).

582 **Discontinuous MSE computation** An important limitation of MSE is the need for 583 substantial continuous data for robust estimation. Heuristically, the recommended 584 number of successive data points for estimation at each scale is 100 (minimum) to 585 900 (preferred) points using typical MSE parameter settings (Grandy et al., 2016). 586 This limitation precludes the application of MSE to neuroimaging data recorded 587 during cognitive processes that unfold over brief periods of time, such as perceptual 588 decisions. Grandy et al. (Grandy et al., 2016) showed that the pattern counting 589 process can be extended to discontinuous data segments that are concatenated 590 across time, as long as the counting of artificial patterns across segment borders is 591 avoided (as these patterns are a product of the concatenation and do not occur in 592 the data itself). We applied the MSE computation across discontinuous segments of 593 0.5 s duration (window size). To track the evolution of MSE over the trial, we slid this 594 window across the trials in steps of 50 milliseconds from -0.2 s until 0.6 s, each time 595 recomputing MSE across segments taken from the time window in each trial.

596 **Multi-scale implementation through time series coarsegraining** By counting the reoccurrences of patterns of adjacent data points, SampEn measures entropy at the 597 598 time scale of the signal's sampling rate, which is in the order of milliseconds or 599 shorter in EEG data. To enable estimation of entropy at longer time scales, the time 600 series is typically coarsegrained by averaging groups of adjacent samples ('point 601 averaging') and repeating the entropy computation (Costa et al., 2002). However, 602 despite its simplicity, this method is suboptimal for eliminating short temporal scales. 603 Point averaging is equivalent to low-pass filtering using a finite-impulse response 604 filter, which does not effectively eliminate higher frequencies and can introduce 605 aliasing (Semmlow, 2004; Valencia et al., 2009). For this reason, an improved 606 coarse graining procedure was introduced involving replacement of the multi-point average by a low-pass Butterworth filter, which has a well-defined frequency cutoff 607 608 and precludes aliasing (Valencia et al., 2009)(Figure 2B, top). The filter cutoff 609 frequency is determined by the ratio of 1 and the scale number, such that an 610 increasingly larger portion of the higher frequencies is removed for slower time 611 scales. Notably, low-pass filtering affects the temporal structure of the time-domain 612 signal, which could hamper the interpretation of the EEG dynamics due to smearing 613 of responses (VanRullen, 2011). This issue is largely mitigated, however, due to the 614 liberal-conservative subtraction that we perform before correlating with behavior, 615 since this issue presumably affects both conditions similarly. Filtering is followed by a 616 point-skipping procedure to reduce the signal's sampling rate (Figure 2B, bottom). Since point-skipping omits increasingly large portions of the filtered time series 617 618 depending on the starting point of the point-skipping procedure, we counted patterns 619 separately for each starting point within a scale, summed their counts for two-point 620 and three-point matches separately and computed entropy as described above. 621 Given our segments of 0.5 s window length sampled at 256 Hz, we computed MSE 622 for scales 1 (129 samples within the window) until 42 (three or four samples within 623 the window, depending on the starting point). Note that using a pattern parameter of 624 m = 2, a minimum of three samples within a segment is required to estimate entropy 625 across the segments of continuous data, yielding a maximum possible scale of 42. In line with the MSE literature (Courtiol et al., 2016), we converted the time scale units 626 627 to milliseconds by taking the duration between adjacent data points after each 628 coarsegraining step. For example, time scale 1 corresponds to 1000 ms / 256 Hz = 629 3.9 ms, and scale 42 to 1000 / (256/42) = 164 ms.

630 Pattern similarity parameter computation at each time scale By increasingly 631 smoothing the time series, coarse-graining affects not only on the signal's entropy, 632 but also its overall variation, as reflected in the decreasing standard deviation as a 633 function of time scale (Nikulin and Brismar, 2004). In the original implementation of 634 the MSE calculation, the similarity parameter r was set as a proportion of the original 635 (scale 1) time series' standard deviation and applied to all the scales (Costa et al., 636 2002). Because of the decreasing variation in the time series due to coarse graining, 637 the similarity parameter therefore becomes increasingly tolerant at slower time 638 scales, resulting in more similar patterns and decreased entropy. This decreasing

entropy can be attributed both to changes in signal complexity, but also in overall
variation (Kosciessa et al., 2019; Nikulin and Brismar, 2004). To overcome this
limitation, we recomputed the similarity parameter for each scale, thereby
normalizing MSE with respect to changes in overall time series variation at each
scale.

644 **Spectral analysis** We used a sliding window Fourier transform; step size, 50 ms; 645 window size, 500 ms; frequency resolution, 2 Hz) to calculate time-frequency 646 representations (spectrograms) of the EEG power for each electrode and each trial. 647 We used a single Hann taper for the frequency range of 3-35 Hz (spectral 648 smoothing, 4.5 Hz, bin size, 1 Hz) and the multitaper technique for the 36 – 100 Hz 649 frequency range (spectral smoothing, 8 Hz; bin size, 2 Hz; five tapers)(Mitra and Bokil, 2007). See (Kloosterman et al., 2019) for similar settings. Finally, to 650 651 investigate spectral power between 1-3 Hz (delta band), we performed an additional 652 time-frequency analysis with a window size of 1 s (i.e. frequency resolution 1 Hz) 653 without spectral smoothing (bin size 0.5 Hz). Spectrograms were aligned to the onset 654 of the stimulus sequence containing the (non)target. Power modulations during the 655 trials were quantified as the percentage of power change at a given time point and 656 frequency bin, relative to a baseline power value for each frequency bin. We used as 657 a baseline the mean EEG power in the interval 0.4 to 0 s before trial onset, 658 computed separately for each condition. If this interval was not completely present in 659 the trial due to preceding events (see Trial extraction), this period was shortened 660 accordingly. We normalized the data by subtracting the baseline from each time-661 frequency bin and dividing this difference by the baseline (x 100 %).

662 Statistical significance testing of EEG power modulations and correlations 663 across space, time and timescale/frequency. To determine clusters of significant 664 modulation with respect to the pre-stimulus baseline without any a priori selection, 665 we ran statistics across space-time-frequency bins using paired t-tests across 666 subjects performed at each bin. Single bins were subsequently thresholded at p < 667 0.05 and clusters of contiguous time-space-frequency bins were determined. For the 668 correlation versions of this analysis, we correlated the brain measure at each bin 669 with the criterion and converted the r-values to a t-statistic using the Fisher-670 transformation (Fisher, 1915). We used a cluster-based procedure (Maris and 671 Oostenveld, 2007) to correct for multiple comparisons using a cluster-formation 672 alpha of p < 0.05 and a cluster-corrected alpha of p = 0.05, two-tailed. For 673 visualization purposes, we integrated (using MATLAB's trapz function) power or 674 entropy values in the time-frequency/entropy representations (TFR/TTR) across the 675 highlighted electrodes in the topographies. For the topographical scalp maps, 676 modulation was integrated across the saturated time-frequency bins in the 677 TFRs/TTRs. See (Kloosterman et al., 2019) for a similar procedure in the time-678 frequency domain.

679 **Correlation analysis** We used both Pearson correlation and robust Spearman 680 correlation across participants to test the relationships between the behavioral 681 variables as well as with the EEG entropy and power (modulation). To test whether 682 behavior and EEG activity were linked within participants, we used repeated 683 measures correlation. Repeated measures correlation determines the common 684 within-individual association for paired measures assessed on two or more occasions for multiple individuals by controlling for the specific range in which 685 686 individuals' measurements operate, and correcting the correlation degrees of freedom for non-independence of repeated measurements obtained from each 687 688 individual (Bakdash and Marusich, 2017; Bland and Altman, 1995). To test whether 689 spectral power could account for the observed correlation between criterion and 690 mMSE, we used partial Spearman and Pearson correlation controlling for other 691 variables.

692 Data and code sharing The data analyzed in this study are publicly available on 693 Figshare (Kloosterman et al., 2018). We programmed mMSE analysis in a MATLAB 694 function within the format of the FieldTrip toolbox (Oostenveld et al., 2011). Our 695 ft entropyanalysis.m function takes as input data produced by Fieldtrip's 696 ft preprocessing.m function. In our function, we employed matrix computation of 697 mMSE for increased speed, which is desirable due to the increased computational 698 demand with multi-channel data analyzed with a sliding window. The function 699 supports GPU functionality to further speed up computations. The function is 700 available online (https://github.com/LNDG/mMSE).

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