

1 Reference-free resolution of long-read metagenomic data

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3 Lusine Khachatryan^{1*}, Seyed Yahya Anvar^{1,2†}, Rolf H. A. M. Vossen^{2††}, and Jeroen F. J.

4 Laros^{1,3,4†††}

5 1 - Department of Human Genetics, Leiden University Medical Center, Leiden, The Netherlands

6 2 - Leiden Genome Technology Center, Leiden University Medical Center, Leiden, The Netherlands

7 3 - Department of Clinical Genetics, Leiden University Medical Center, Leiden, The Netherlands

8 4 - GenomeScan, Leiden, The Netherlands

9

10 * - khachatryan.l.a@gmail.com – Corresponding author

11 † - s.y.anvar@lumc.nl

12 †† - r.h.a.m.vossen@lumc.nl

13 ††† - j.f.j.laros@lumc.nl

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18 ABSTRACT

19 *Background*

20 Read binning is a key step in proper and accurate analysis of metagenomics data. Typically, this is
21 performed by comparing metagenomics reads to known microbial sequences. However, microbial
22 communities usually contain mixtures of hundreds to thousands of unknown bacteria. This restricts
23 the accuracy and completeness of alignment-based approaches. The possibility of reference-free
24 deconvolution of environmental sequencing data could benefit the field of metagenomics,
25 contributing to the estimation of metagenome complexity, improving the metagenome assembly, and
26 enabling the investigation of new bacterial species that are not visible using standard laboratory or
27 alignment-based bioinformatics techniques.

28 *Results*

29 Here, we apply an alignment-free method that leverages on k -mer frequencies to classify reads within
30 a single long read metagenomic dataset. In addition to a series of simulated metagenomic datasets,
31 we generated sequencing data from a bioreactor microbiome using the PacBio RSII single-molecule
32 real-time sequencing platform. We show that distances obtained after the comparison of k -mer
33 profiles can reveal relationships between reads within a single metagenome, leading to a clustering
34 per species.

35 *Conclusions*

36 In this study, we demonstrated the possibility to detect substructures within a single metagenome
37 operating only with the information derived from the sequencing reads. The obtained results are
38 highly important as they establish a principle that might potentially expand the toolkit for the detection
39 and investigation of previously unknown microorganisms.

40

41 KEYWORDS

42 Metagenomics binning, PacBio sequencing, metagenome resolving

43

44 INTRODUCTION

45 The analysis of metagenomics data is becoming a routine for many different research fields, since it
46 serves scientific purposes as well as improves our life quality. Particularly, with the use of
47 metagenomics a large step was made towards the understanding of the human microbiome and
48 uncovering its real composition and diversity [1-6]. The understanding of the human microbiome in
49 health and disease contributed to the development of diagnostics and treatment strategies based on
50 metagenomic knowledge [7-14]. The study of microbial ecosystems allows us to predict the possible
51 processes, changes and sustainability of particular environments [15, 16]. Genes isolated from
52 uncultivable inhabitants of soil metagenomes are being successfully utilized, for example, in the
53 biofuel industry for production and tolerance to byproducts [17-19]. Various newly discovered
54 biosynthetic capacities of microbial communities benefit the production of industrial, food, and health
55 products, as well as contribute into the field of bioremediation [20-23].

56 Despite all the progress made in resolving genetic data derived from environmental samples, it is still
57 a challenging task. Reads binning is one of the most critical steps in the analysis of metagenomics
58 data. To estimate the composition of a particular microbiome, it is important to ensure that sequencing
59 reads derived from the same organism are grouped together. Currently, alignment of DNA extracted
60 from an environmental sample to a set of known sequences remains the main strategy for
61 metagenomics binning [24, 25]. There is a full range of techniques allowing the comparison of
62 metagenomic reads to a reference database. It can be performed using different metagenomic data
63 types (16S or WGS) and various matching approaches (classic alignment or use of *k*-mers or
64 taxonomical signatures). Most of the time, the binning is performed for all reads in the database, but
65 in some cases only a particular subset of sequencing data is selected for binning. Lastly, there is a
66 wide spectrum of databases that can be used to perform the binning. The database might contain all
67 possible annotated nucleotide/protein sequences, marker genes for distinct phylogenetic clades,
68 sequencing signatures specific to particular taxa, etc. The obvious downside of all listed strategies is

69 the incapability to perform an accurate binning for the reads of organisms that are not present in the
70 reference database.

71 Metagenomic binning was improved by alignment-free approaches, which can be split into two
72 subgroups: reference-dependent and reference-independent methods. The tools from the first
73 subgroup utilize existing databases to train a supervised classifier for the reads binning. Various
74 techniques can be performed to achieve this goal: linear regression, Interpolated Markov Models,
75 Gaussian Mixture Models, Hidden Markov Models [26-32]. Even though these approaches are
76 reference dependent, they can be used to classify reads that are derived from previously unknown
77 species. However, the accuracy of reference-dependent methods will be always limited by the content
78 of reference databases. The content of the current reference databases utilized for training differs from
79 the true distribution of microbial species on our planet [33-39]. For some metagenomic datasets the
80 amount of unknown sequences might be quite high [40, 41], thus using supervised classification tools
81 based on known genetic sequences is questionable in such cases.

82 Reference-independent approaches for metagenomics binning try to solve the problem of missing
83 taxonomic content: they are designed to classify reads into genetically homogeneous groups without
84 utilizing any information from known genomes. Instead, they use only the features of the sequencing
85 data (usually k -mer distributions, DNA segments of length k) for classification. One of those tools,
86 LicklyBin, performs a Markov Chain Monte Carlo approach based on the assumption that the k -mer
87 frequency distribution is homogeneous within a bacterial genome [42]. This tool performs well for
88 very simple metagenomes with significant phylogenetic diversity within the metagenome, but it
89 cannot handle genomes with more complicated structure such as those resulting from horizontal gene
90 transfer [43]. Another one, AbundanceBin [44], works under the assumption that the abundances of
91 species in metagenome reads are following a Poisson distribution, and thus struggles analyzing
92 datasets where some species have similar abundance ratios. MetaCluster [45] and BiMeta [46] address
93 this problem of non-Poisson species distribution. However, for these tools it is necessary to provide

94 an estimation of the final number of clusters, which cannot be done for many metagenomes without
95 any prior knowledge. Also, both MetaCluster and BiMeta are using a Euclidian metric to compute the
96 dissimilarity between k -mer profiles, which was shown to be influenced by stochastic noise in
97 analyzed sequences [47]. Another recent tool, MetaProb, implements a more advanced similarity
98 measure technique and can automatically estimate the number of read clusters [48]. This tool classifies
99 metagenomic datasets in two steps: first, reads are grouped based on the extent of their overlap. After
100 that, a set of representing reads is chosen for each group. Based on the comparison of the k -mer
101 distributions for those sets, groups are merged together into final clusters. Even though MetaProb
102 outperformed other tools during the analysis of simulated data, it was shown to perform not very well
103 on the real metagenomics data.

104 In this article we present a new technique for alignment- and reference-free classification of
105 metagenomics data. Our approach is based on a pairwise comparison of k -mer profiles calculated for
106 each sequencing read in a long-read metagenomics dataset, using the previously described kPAL
107 toolkit [49]. It also performs unsupervised clustering to facilitate the identification of genetically
108 homogeneous groups of reads present in a sample. The main assumption of our method is that after
109 assigning the pairwise distances for all reads in the dataset, those belonging to the same organism will
110 form dense groups, and thus the metagenome binning could be resolved using density-based
111 clustering. We developed an algorithm which automatically detects the regions with high density and
112 hierarchically splits the dataset until there is one dense region per cluster. The approach is designed
113 to work with long reads (more than 1000 bp) since we calculate k -mer profiles for each read separately
114 and shorter reads would yield non-informative profiles. We performed our analysis on long PacBio
115 reads that were either simulated or generated from a real metagenomic sample. We have shown that
116 despite the fact that PacBio data is known to have a high error rate, the approach successfully
117 performed read classification for simulated and real metagenomic data.

118 MATERIALS AND METHODS

119 *1. Software*

120 All analyses were done using publicly available tools (parameters used are listed below for each
121 specific case) along with custom Python scripts.

122 *2. PacBio data simulation*

123 Complete genomes of five common skin bacteria were used to generate artificial PacBio
124 metagenomes (Table 1). The reads were simulated from reference sequences using the PBSIM toolkit
125 [50] with CLR as the output data type and a final sequencing depth of 20. For the calibration of the
126 read length distribution, a set of previously sequenced *C. difficile* reads [51] was used as a model.

127 *3. Bioreactor metagenome PacBio sequencing*

128 Bioreactor metagenome coupling anaerobic ammonium oxidation (Annamox) to Nitrite/Nitrate
129 dependent Anaerobic Methane Oxidation (N-DAMO) processes [52] was used to generate WGS
130 PacBio sequencing data.

131 Metagenome contained the N-DAMO bacteria *Methylomirabilis oxyfera* (complete genome with
132 GeneBank Accession FP565575.1 was used as a reference), two Annamox bacteria (*Kuenenia*
133 *stuttgartiensis*, assembly contigs from the Bio Project PR- JEB22746 were used as a reference and a
134 member of *Broccardia* genus, assembly contigs of *Broccardia sinica* from Bio Project PRJDB103
135 were used as reference) and an archaea species *Methanoperedens nitroreducens* (assembly contigs
136 from the Bio Project PRJNA242803 were used as a reference).

137 Bacterial cell pellets were disrupted with a Dounce homogenizer. DNA was isolated using a Genomic
138 Tip 500/G kit (Qiagen) and needle sheared with a 26G blunt end needle (SAI Infusion). Pulsed-field
139 Gel electrophoresis was performed to assess the size distribution of the sheared DNA. A SMRTbell
140 library was constructed using 5 μ g of DNA following the 20kb template preparation protocol (Pacific
141 Biosciences). The SMRTbell library was size selected using the BluePippin system (SAGE Science)

142 with a 10kb lower cut-off setting. The final library was sequenced with the P6-C4 chemistry with a
143 movie time of 360 minutes.

144 *4. Reads origin checking*

145 Reads were corrected using the PacBio Hierarchical Genome Assembly Process algorithm before
146 being mapped to the genomes of the references of expected metagenome inhabitants using the BLASR
147 aligner [53] with default settings. The alignments were used to determine the origin of the reads.
148 Reads that were not mapped during the previous step were subjected to the BLASTn [54] search
149 against the NCBI database. The identity cut-off was set to 90, the (E)value was chosen to be 0.001.

150 *5. Bioreactor metagenome PacBio reads assembly*

151 The assembly of corrected PacBio reads was performed using the FALCON [55] assembler. The
152 resulting contigs were mapped to the candidate reference genomes using LAST [56] with default
153 settings. To determine the similarity cutoff for the mapping procedure, the curve representing the
154 number of contigs versus the similarity to the reference genome was analyzed. The first inflection
155 point at (in case of mapping contigs to the *M.oxyfera* genome 12%), dividing the fast-declining part
156 of the curve from the slow-declining part, was chosen as a threshold (See Section S1 of Additional
157 file 1 for more details).

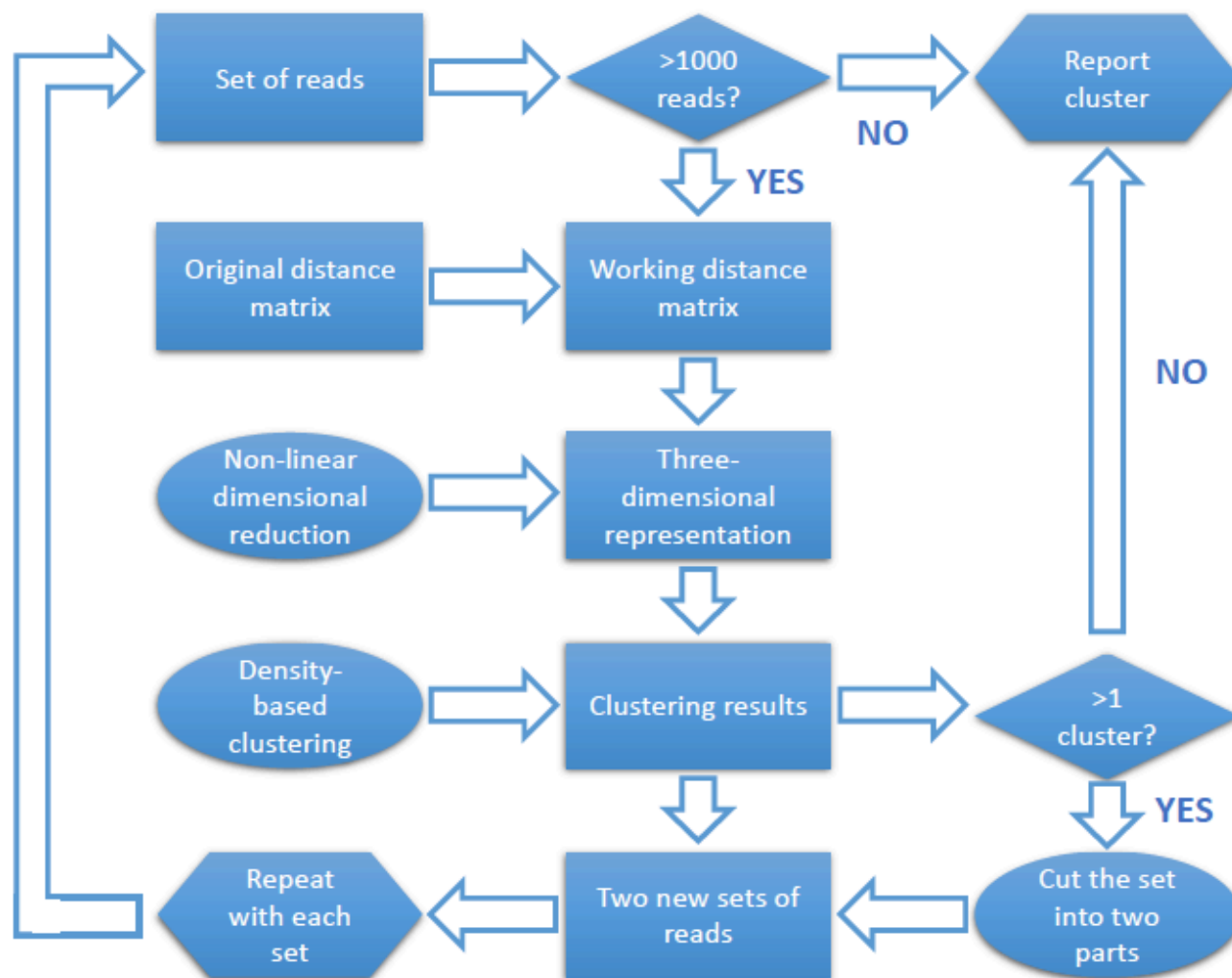
158 *6. Binning procedure*

159 For each read, the frequencies of all possible five-mers are calculated using the *count* command of
160 the kPAL toolkit. The resulting profiles are balanced (a procedure that compensates for differences
161 that occur because of reading either the forward or reverse complement strand) and compared in a
162 pairwise manner by using the *balance* and *matrix* commands of kPAL accordingly, yielding a pairwise
163 distance matrix. Normalization for differences in read length is dealt with by the scaling option during
164 the pairwise comparison.

165 The resulting distance matrix, hereafter called the original distance matrix, was subjected to a multi-
166 step clustering procedure. A schematic representation of this procedure can be found in Fig. 1. Due

167 to practical limitations (runtime), this analysis was restricted to a set of 10 000 randomly selected
168 reads.

169



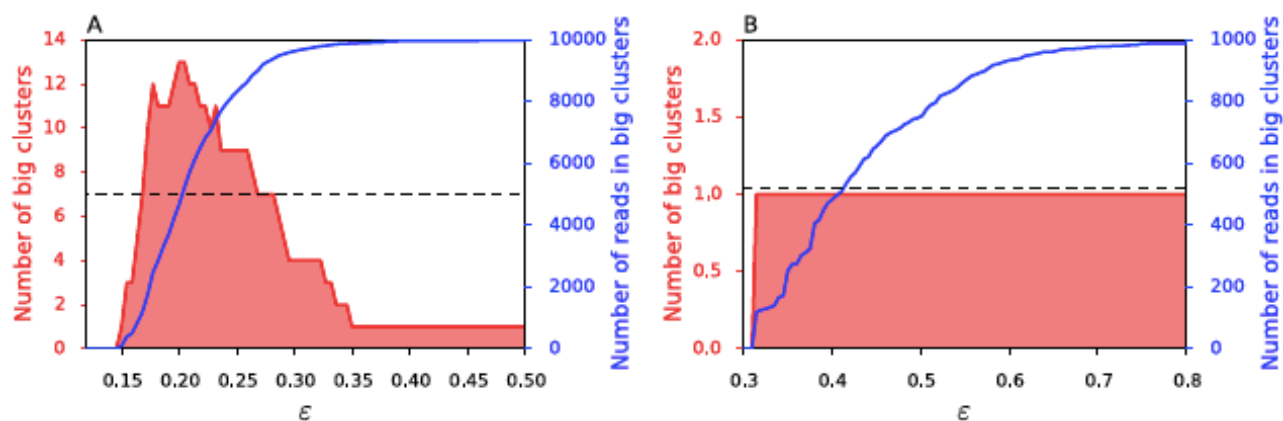
170

171 Fig. 1. Schematic representation of the clustering procedure.

172

173 This multi-step clustering procedure works recursively: it starts with the analysis of a set of reads and
174 either reports the entire set as one cluster, or it splits the set into two subsets, which are each analyzed
175 using the same procedure. The decision whether to split the set of reads into two subsets is made using
176 the following approach. First, the pairwise distances for all reads in the set are extracted from the
177 original distance matrix in order to construct the working distance matrix. After that, the

178 dimensionality of the analyzed set is decreased to three using the t-SNE algorithm [57] in order to
179 reduce noise caused by outliers in the distance matrix. The reads, now represented by a point in three-
180 dimensional space, are subjected to density-based clustering using the DBSCAN algorithm [58] with
181 the default distance function. We choose the *MinPts* parameter of DBSCAN (the minimal amounts of
182 points in the neighborhood to extend the cluster) to be either 1% of the size of the dataset for sets
183 larger than 2000 reads, or 20 for sets smaller than 2000 reads. The number of clusters found by
184 DBSCAN depends on the neighborhood diameter ϵ . When ϵ is too small, no clusters are reported
185 since all points are isolated. On the other hand, when ϵ is too large all points are grouped into one
186 cluster. Our algorithm therefore performs a parameter sweep for ϵ , from the value providing zero
187 clusters to the value with which 99% of the reads are grouped in one cluster for the chosen *MinPts*.
188



189
190 Fig. 2. Density-based clustering analysis example. The data is clustered with DBSCAN with ϵ ranging from 0 to the value
191 when 90% of the points are assigned to one cluster. When at least half of the data set is assigned to a dense cluster, the
192 number of clusters is used to determine whether subdivision of the data set is required. Only if more than one cluster is
193 identified at this point, the procedure is repeated recursively with two partitions of the data. The partitions are determined
194 by using the largest ϵ that clusters the data into two clusters. In this example two datasets are shown: one that was further
195 split into two partitions (A) and one that was reported as one dense cluster (B).
196

197 The results of this parameter sweep are used to check the dependency of the number of dense clusters
198 on a particular ϵ (only clusters larger than 100 points are considered) and how many points of the

199 analyzed set are included in the obtained clusters (Fig. 2). If for some ϵ there are two or more clusters
200 that together cover more than half of the total amount, the analyzed set is divided into two new sets
201 (Fig. 2A). The analyzed set is reported as one cluster if the aforementioned condition is not satisfied
202 (Fig. 2B), or when the size of the analyzed set was smaller than 1000 points.

203 The division is done using the following strategy. DBSCAN is performed using the optimal ϵ ,
204 yielding two dense clusters that serve as center points for two partitions. Each of the remaining
205 unclassified points is assigned to the cluster containing the closest classified neighbor.

206 *7. Classification for larger sets*

207 Read classification for sets larger than 10 000 was performed in two steps. First, 10 000 reads (larger
208 than 10kb) were randomly chosen and classified using the algorithm described in previous section.
209 After that, the pairwise distances between every unclassified read and every classified read were
210 calculated using their 5-mer profiles. These distances were used to assign the unclassified read to the
211 cluster containing the closest classified read.

212 *8. Data availability*

213 Sequencing reads of bioreactor metagenome were submitted to NCBI under the BioProject number
214 PRJNA487927. Artificial PacBio metagenomic reads with the addition of 0%, 5%, 10%, and 15% of
215 real “noise” reads were submitted to NCBI under the BioProject number PRJNA533970.
216 Supplementary materials were deposited on Figshare and available for downloading using the
217 following link: <https://doi.org/10.6084/m9.figshare.c.4218857.v1>.

218 Example of the classification procedure can be found using the following link:

219 https://git.lumc.nl/l.khachatryan/pacbio-meta/blob/master/analysis/real_data/tsne_subset2/analysis_example.ipynb

220

221 RESULTS

222 1. Reads classification in artificial PacBio metagenomes

223 To construct artificial metagenomes, we used simulated PacBio reads based on the genomes of five
224 common skin flora bacteria together with so-called "noise" reads. These are reads from a PacBio
225 sequencing data of an environmental metagenome [59] that were not assigned to the major inhabitant
226 *K. stuttgartiensis* or other known organisms. They were added to represent low abundant species that
227 are present in any typical metagenomic dataset.

228 We constructed four artificial PacBio datasets in this way, each containing 10 000 randomly selected
229 reads (length > 9kb) containing 0%, 5%, 10% and 15% noise reads, respectively. For the simplicity
230 the number of simulated reads was adjusted to provide an equal abundance for each bacterium in the
231 final metagenome (see Table 1).

232 We subjected each dataset to the classification procedure described in Section 6 of MATERIALS
233 AND METHODS. The reads in the resulting clusters were then classified according to their origin
234 (See Section S2 of Additional file 1 for more data).

235

236 **Table 1.** Content of artificial metagenomics PacBio datasets.

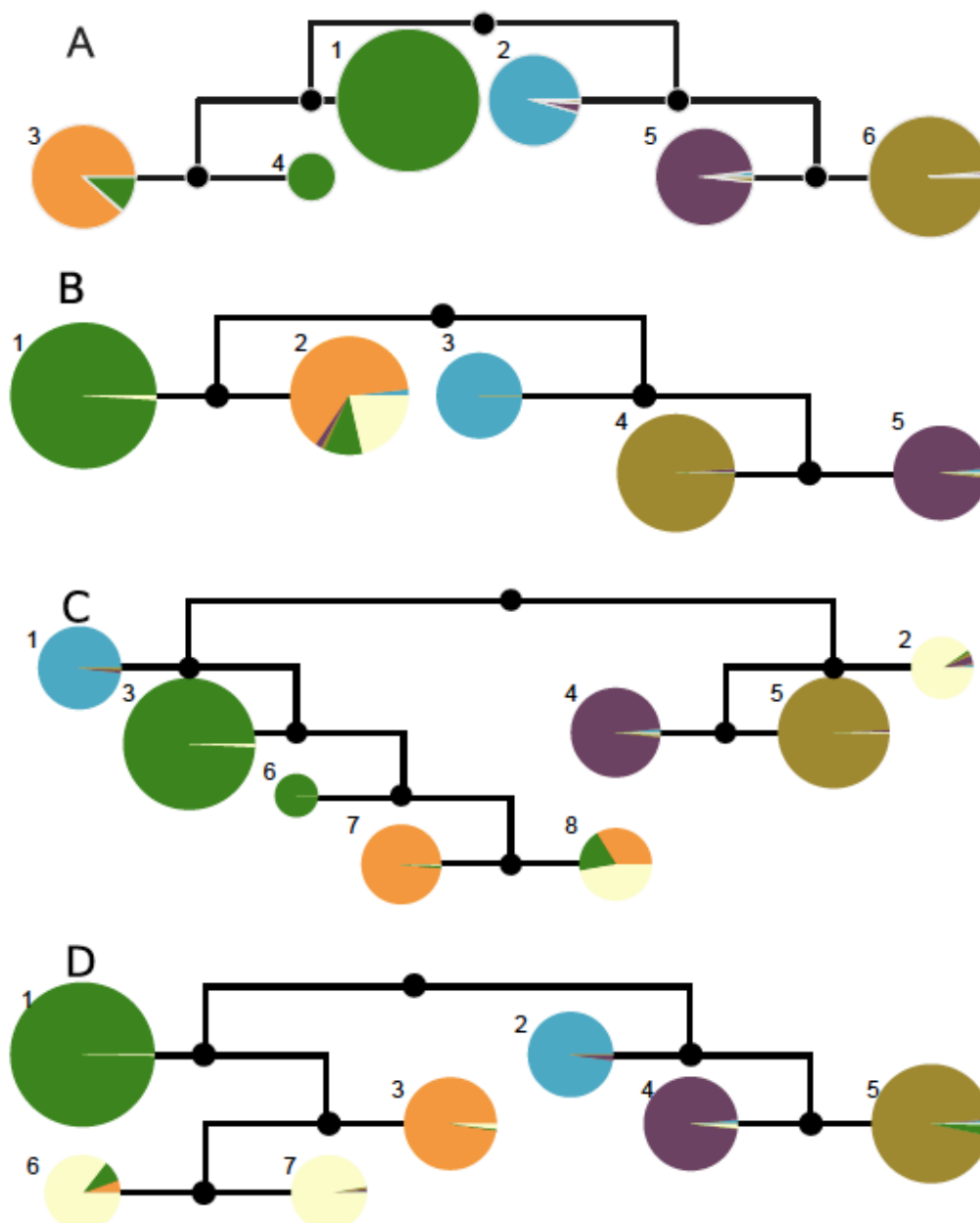
Reads origin	RefSeq AC	Genome length, Mb	Number of reads per dataset			
			0% noise	5% noise	10% noise	15% noise
<i>S. mitis</i>	NC_013853.1	2.1	1 246	1 183	1 121	1 059
<i>P. acnes</i>	NC_017550.1	2.5	1 443	1 371	1 298	1 226
<i>S. epidermidis</i>	NC_004461.1	2.6	1 448	1 376	1 304	1 231
<i>A. calcoaceticus</i>	NC_016603.1	3.9	2 236	2 125	2 013	1 901
<i>P. aeruginosa</i>	NC_002516.2	6.3	3 627	3 446	3 264	3 083

237

238 In Fig. 3, it can be seen that for each experiment we obtained five large clusters (> 1 000 reads)
239 consisting mainly of reads belonging to the same species.

240

 *S. mitis* *P. acnes* *S. epidermidis* *A. calcoaceticus* *P. aeruginosa* noise



241
242 Fig. 3. Classification recall for artificial PacBio metagenomes. Subsets that were subjected to the partitioning are shown
243 as black circles, final clusters are represented as pie charts with the color indicating the reads origin. The area of the pie
244 chart corresponds to the relative cluster size. The cluster number is shown next to each pie chart. The results are shown
245 for datasets with 0% (A), 5% (B), 10% (C) and 15% (D) of noise reads.

246 For all three datasets containing noise reads we see the tendency of noise reads to be clustered with
 247 some fraction of *P. acnes* and *P. aeruginosa* reads.

248 However, as can be seen from Fig. 3 and Table 2, increasing the noise content leads to better isolation
 249 of these reads. Indeed, for dataset B (5% of the noise reads), the majority of noise reads were assigned
 250 to the cluster that is primarily occupied by reads belonging to *P. acnes* and *P. aeruginosa*. Increasing
 251 the noise content (dataset C and D in Fig. 4, 10% and 15% noise reads accordingly) led to the
 252 appearance of two clusters which contain mostly noise reads (Table 2, A).

253
 254 **Table 2.** Composition of clusters containing the majority of noise reads after the classification procedure for three artificial
 255 PacBio datasets.

Dataset	5% noise	10% noise		15% noise	
Reads origin	Cluster 2	Cluster 2	Cluster 8	Cluster 6	Cluster 7
A					
noise	21.4	90.3	47.8	85.6	97.3
<i>P. acnes</i>	63.7	0.5	33.8	5.6	0
<i>P. aeruginosa</i>	10.4	1.3	19.1	8.9	0
B					
noise	91.8	55.9	39.9	45.0	50.8
<i>P. acnes</i>	99.6	0.2	22.3	3.6	0
<i>P. aeruginosa</i>	6.4	0.2	5.3	2.3	0

256 A - cluster composition; B - the percentage of reads with particular origin (noise, *P. acnes* or *P. aeruginosa*) included to
 257 the cluster within all reads of the same origin in the dataset. Clusters are grouped per dataset. Only organisms whose reads
 258 would occupy more than 90% of cluster content are shown.

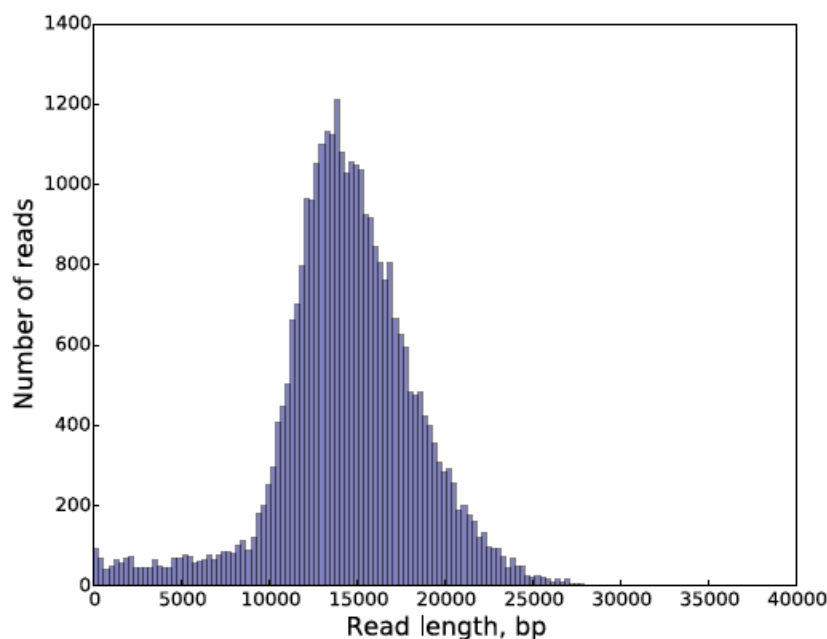
259

260 We also see that with the *increase* of noise content, the fractions of *P. acnes* and *P. aeruginosa* reads
261 included in the same clusters as the noise reads are dropping (Table 2, B). In conclusion, the more
262 noise reads were added to the dataset, the more they were grouped together in one or two clusters
263 (Table 2, A).

264 4.2 PacBio sequencing of bio reactor metagenome

265 After sequencing and correction, we obtained 31,757 reads longer than 1kb for the bio reactor
266 metagenome. The read length distribution for this dataset can be found in Fig. 4.

267



268
269 Fig. 4. Bio reactor metagenome reads length distribution.

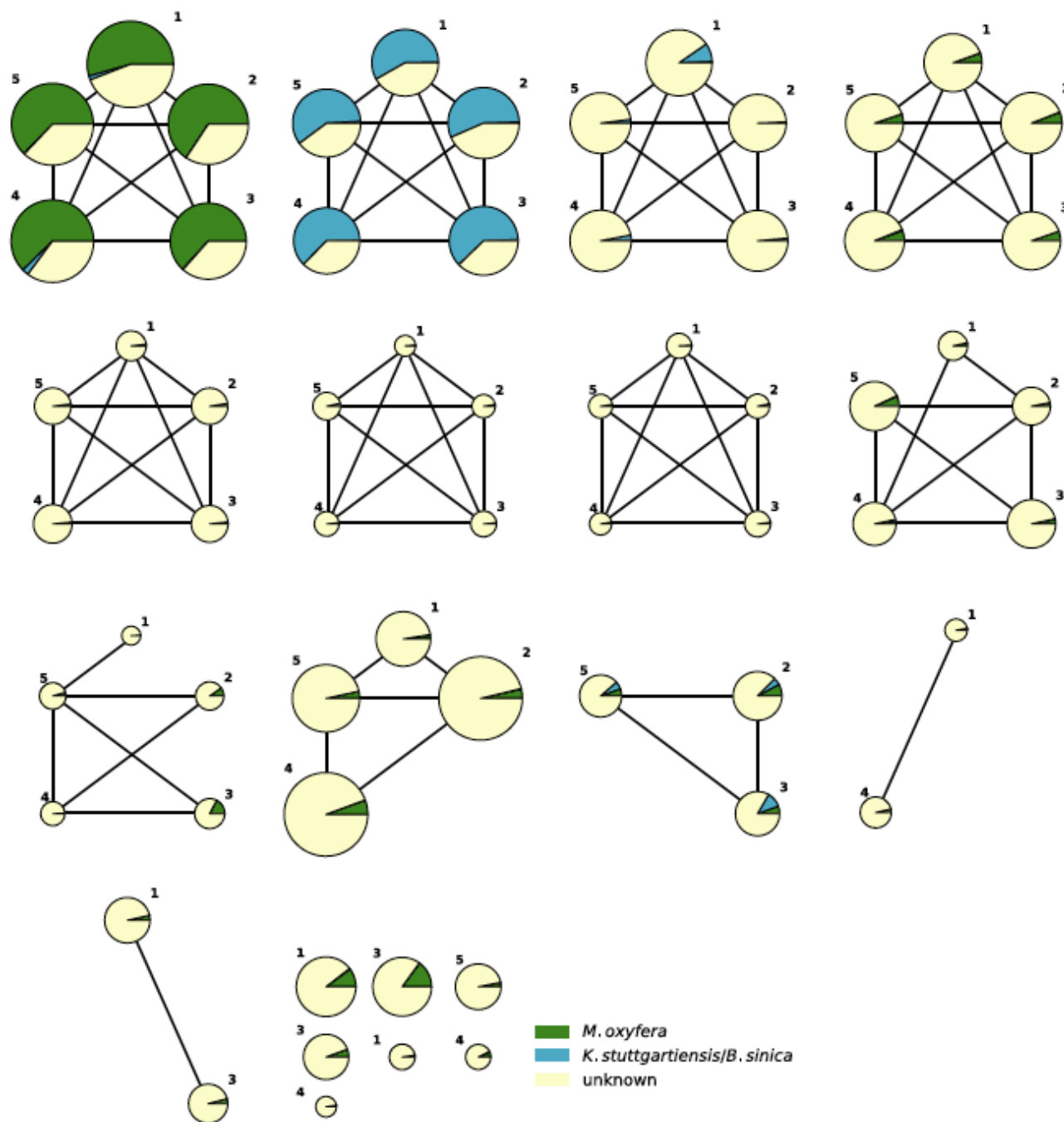
270
271 Reads were mapped to the genomes of the expected metagenome inhabitants or genomes of closely
272 related species. Since the groups of reads that we could map to the genomes of *K. stuttgartiensis* and
273 *B. sinica* had a significant overlap (27%), we decided to combine reads mapped to the reference
274 genomes of these two organisms in one group. We detected almost no (0.01%) reads that would map
275 to the *M. nitroreducens* genome in the sequencing data, suggesting that this organism was either not
276 present in the metagenome sample, or that its DNA could not be isolated reliably during the sample

277 preparation. Thus, we divided our reads into three groups: uniquely mapped on *M. oxyfera* (4,903
278 reads), uniquely mapped on *K. stuttgartiensis/B. sinica* (2973 reads), and all remaining reads with
279 unknown origin (~75%, 23881 reads). The reads with unknown origin were checked with the
280 BLASTn software against NCBI microbial database, to find significant similarity to any known
281 organism. However, only 334 reads (less than 2% of total number of checked reads) got hits; there
282 were no organisms among the obtained hits reported more than 53 times.

283 *4.3 Bio reactor metagenome PacBio read classification*

284 For the reads originating from *M. oxyfera* and *K. stuttgartiensis/B. sinica*, we checked whether the
285 data was clustered by origin. Since roughly 75% of this sequencing data is of unknown origin, we
286 assessed whether the clustering results for reads with unknown origin is robust. To do this, we created
287 five subsets using the bio reactor metagenome sequencing data. Each subset contains 10,000 randomly
288 selected reads with length > 10kb. After subjecting each subset to the classification procedure, we
289 checked whether reads, shared by two subsets, are being clustered similarly. We compared all clusters
290 from different subsets in a pairwise manner and marked two clusters 'similar' when they shared at
291 least 25% of their content. On average, every pair of subsets shared 34% of their content. Thus, in
292 case of perfect matching of clustering results, the pair of clusters from two different subsets should
293 on average share 34% of their content. The 25% cutoff value was chosen to compensate for possible
294 flaws introduced by clustering mis-assignments. In Fig. 5 this analysis is shown as a graph: each pie
295 chart represents a cluster obtained for one of the subsets (with a subset number marked next to the pie
296 chart).

297



298
 299 Fig. 5 Comparison of classification results obtained for five Bio reactor sub-datasets. The pie charts represent reported
 300 clusters for all sub-datasets colored by the origin of reads in cluster. The pie chart area indicates the relative size of the
 301 cluster. The number next to the node denotes the sub-dataset, for which the cluster was obtained. Two clusters are
 302 connected with a node if they belong to two different sub-datasets and share at least 25% of their content. The groups of
 303 size five (the set of five fully connected pie-charts) represent groups of stable clusters.
 304

305 Clusters are connected if they were marked as similar and thus shared more than 25% of their content.
306 We looked for sub-graphs, of size five for which all five nodes would be mutually connected. That
307 would mean that all five clusters are coming from the different subsets and share a significant (at least
308 25% out of 34% possible) number of reads. These groups of clusters (here and after called the stable
309 groups) represent reads that are clustered the same way regardless of the subset of reads selected.
310 Clusters belonging to the stable groups are called the stable clusters. The proportion of reads in the
311 stable clusters was comparable among datasets and equaled on average 64%. As displayed in Fig. 5,
312 we found seven groups of stable clusters. Four groups of stable clusters have clusters with more than
313 1 000 reads, and two of those four are represented by clusters enriched with *M. oxyfera* or
314 *K. stuttgartiensis/B. sinica* reads. In Table 3 we display the content and the number of reported
315 clusters after the classification procedure for each of the five subsets.

316

317 Table 3. Subsets information and clustering results.

Subset	1	2	3	4	5
number of <i>M.oxyfera</i> reads	1 499	1 563	1 528	1 544	1 529
number of <i>K.stuttgartiensis/B.sinica</i> reads	949	918	981	935	906
Clusters after the classification procedure	14	11	13	13	12
Big (>1000 reads) clusters	5	5	5	5	5
% of reads in stable clusters	65.96	64.12	61.98	64.46	64.16

318

319

320 Once we estimated the robustness of the classification procedure, we selected the subset that yielded
321 the lowest number of clusters (subset 2, 11 clusters) for downstream analysis. The content of all
322 clusters that were not reported as stable were merged into one cluster. Thus, the original 10 000 reads

323 were spread among 8 clusters. These clusters were used as a classifier for the remaining 21 757 reads
324 in the dataset (Table 4).

325

326 **Table 4.** Results of bio reactor metagenome reads classification

Cluster	Stable	Reads before extension	Reads after extension
1	Yes	403	1 038
2	Yes	168	528
3	Yes	1 133	3 204
4	Yes	1 540	5 151
5	Yes	1 004	3 337
6	Yes	181	506
7	Yes	1 983	6 459
8	No	3 588	11 534

327

328 4.5. Assembly of the bio reactor metagenome before and after reads binning

329 We assembled reads belonging to different clusters separately, and compared the resulting contigs
330 with the results of the assembly of the entire dataset. The total number of contigs after assembly of
331 the partitioned dataset was comparable to the amount of contigs obtained from the assembly of the
332 entire dataset (Table 5). The same can be said about the total length of contigs and contigs length
333 distributions (see supplementary materials). These results, showing that the database partitioning did
334 not lead to the change of the contigs number or their lengths, can be seen as indirect evidence proving
335 that our *k*-mer based binning of metagenome reads results in species-based clustering.

336 We compared the assembled contigs obtained for the entire and partitioned datasets to the reference
337 genomes of *M. oxyfera*, *K. stuttgartiensis* and *B. sinica*. Even though we could successfully map
338 around 9% of the reads to the reference genomes of *K. stuttgartiensis* and *B. sinica*, we did not get

339 contigs that could be mapped to these genomes. However, the contigs assembled from the entire and
 340 partitioned datasets did map to *M. oxyfera* genome. Only 91 out of 196 contigs obtained from the
 341 entire dataset assembly could be mapped back to the *M. oxyfera* genome covering 54% of its length.
 342 For the assembly of the partitioned dataset, 85 contigs were mapped to the genome of *M. oxyfera* in
 343 total, covering 52.65% of its length. The vast majority of those contigs (79, covering 51% of the
 344 *M. oxyfera* genome length) derived from the assembly of reads belonging to one cluster. Thus, our
 345 dataset partitioning binned the majority of contigs according to their origin.

346

347 **Table 5.** Results of entire and partitioned bio reactor sequencing data assembly and comparison of obtained contigs to
 348 the *M.oxyfera* genome.

Dataset assembled	Entire dataset	Cluster 1	Cluster 2	Cluster 3	Cluster 4	Cluster 5	Cluster 6	Cluster 7	Cluster 8
Assembly length, bp	3 251 357	5 438	10 747	380,905	377 792	601 065	0	1 602 878	41 310
Contigs	196	1	1	28	30	47	0	79	4
Contigs mapped on <i>M.oxyfera</i> genome	91	0	0	9	1	2	0	71	2
Length of mapped contigs, bp	1 842 182	0	0	132 863	11 945	21 105	0	1 497 132	17 013
% of <i>M.oxyfera</i> genome covered	54	0	0	1.2	0.1	0.15	0	51	0.2

349

350 DISCUSSIONS

351 We described a new approach for efficient, alignment-free binning of metagenomic sequencing reads
352 based on k -mer frequencies. Our method successfully classifies reads per organism of origin, for both
353 simulated and real metagenomics data.

354 As shown in the results section, the approach was used to classify reads obtained by PacBio
355 sequencing of a real bio reactor metagenome. The absolute majority of the reads with known origin
356 (*M. oxyfera* or *K. stuttgartiensis/B. sinica*) were clustered together per origin after pairwise
357 comparison of their k -mer profiles and subsequent density-based cluster detection. This result was
358 robust, as we observed during the analysis of five subsets of the original PacBio sequencing data with
359 overlapping content. The same experiment demonstrated that each subset provides a similar number
360 of clusters. Reads with unknown origin tended to cluster similarly among different subsets, again
361 confirming the clustering consistency. Although the majority of reads in the analyzed metagenome
362 was of unknown origin, the results can be used to estimate the microbial community complexity for
363 its most abundant inhabitants.

364 The binning of the bio-reactor metagenomics dataset had almost no influence on the results of the
365 metagenome assembly. The number of contigs and their lengths obtained for the entire and partitioned
366 datasets were comparable. This indicates that the k -mer based reads binning leads to the organism-
367 based partitioning of metagenomic data. Furthermore, contigs, belonging to the same organism, were
368 automatically grouped together when assembling the dataset subjected to the classification procedure.
369 Thus, our k -mer based binning technique can be used to interpret metagenomic assembly results.

370 Performing the binning procedure on an artificially generated PacBio datasets lead to a reads
371 classification per organism, even after adding reads with unknown origin (noise reads). Moreover,
372 increasing the proportion of noise reads leads to a better separation between them and the reads with
373 known origin. This observation supports the central hypothesis of this research, namely that k -mer

374 distances can be used to cluster reads of the same origin together once those reads provide sufficient
375 coverage of the organisms' genome.

376 The main disadvantages of the current implementation of our method is the limited number of reads
377 (10 000) that can be analyzed. As mentioned before, reads, derived from the same organism, will
378 cluster together, but this is possible only under the condition that the organisms' genome is
379 sufficiently covered. Thus, the described technique is unsuitable for the analysis of metagenomes with
380 a large number of inhabitants or when the inhabitants have large genomes, as 10 000 reads will not
381 be enough to provide sufficient coverage. The depth of the classification that can be performed by the
382 suggested method is still to be discovered.

383 We believe that adapting our metagenomics reads binning technique for larger sets of data and further
384 investigation of its metagenome resolving capacity would allow to expand the current limits of
385 microbiology in the future.

386 CONCLUSIONS

387 In this study we demonstrated the possibility to detect substructures within a single metagenome
388 operating only with the information derived from the sequencing reads. Results obtained for both
389 artificial and real metagenomic data indicated the reads clustering per their known origin. We have
390 shown the robustness of the obtained results by adding different proportions of “noise” reads to the
391 artificially generated metagenomic data and by comparing the results of binning procedure performed
392 on the different subsets of the same real metagenomic dataset. The obtained results are highly
393 important as they establish a principle that might potentially greatly expand the toolkit for the
394 detection and investigation of previously unknown microorganisms.

395 LIST OF ABBREVIATIONS

396 PacBio - Pacific Biosciences

397 NGS - next-generation sequencing;

398 N-DAMO - Nitrite/Nitrate dependent Anaerobic Methane Oxidation

399 Annamox - anaerobic ammonium oxidation

400 WGS - whole-genome shotgun sequencing.

401

402 DECLARATIONS

403 *Ethics approval and consent to participate*

404 Since in this research no human material or clinical records of patients or volunteers were used, this

405 research is out of scope for a medical ethical committee. This information was verified by the Leiden

406 University Medical Center Medical Ethical Committee.

407 *Consent for publication*

408 Not applicable

409 *Availability of data and material*

410 Sequencing reads of bioreactor metagenome were submitted to NCBI under the BioProject number

411 PRJNA487927. Artificial PacBio metagenomic reads with the addition of 0%, 5%, 10%, and 15% of

412 real “noise” reads were submitted to NCBI under the BioProject number PRJNA533970.

413 Supplementary materials (Additional file 1) were deposited on Figshare and available for

414 downloading using the following link: <https://doi.org/10.6084/m9.figshare.c.4218857.v1>.

415 Example of the classification procedure can be found using the following link:

416 https://git.lumc.nl/l.khachatryan/pacbio-meta/blob/master/analysis/real_data/tsne_subset2/analysis_example.ipynb

417 *Competing interests*

418 The authors declare that they have no competing interests

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423 data.

424 *Authors' contributions*

425 LK algorithm developing, data acquisition, analysis and interpretation, manuscript drafting; SYA
426 conception, data acquisition and analysis, manuscript editing; RHAMV data acquisition, manuscript
427 editing; JFJL conception, manuscript editing, general supervision.

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574

575 ADDITIONAL FILES

576 Additional file 1: Article supplement (PDF 143 kb).

577 Section S1: Threshold for the contig-genome similarity using LAST; Section S2: Detailed results of
578 artificial metagenomes binning.