

TITLE: Host resistance diversity protects susceptible genotypes by restricting pathogen spread and evolution

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RUNNING TITLE: Host diversity protects susceptible genotypes

KEYWORDS: CRISPR-Cas, *Pseudomonas aeruginosa*, phage, experimental evolution, host-pathogen, evolutionary emergence

ARTICLE TYPE: Letter

ABSTRACT LENGTH: 146 words

MAIN TEXT LENGTH: 4944 words

NUMBER OF REFERENCES: 69

NUMER OF FIGURES: 3

STATEMENT OF AUTHORSHIP: JC conducted experiments and analyses. DWS and SVH designed plasmids and cloning methods. ERW conceived of and designed the study. JC wrote the manuscript, DWS, SVH and ERW provided critical revisions.

DATA ACCESSIBILITY STATEMENT: Raw data used in this study will be archived in an appropriate public repository

1 **Abstract**

2

3 Diversity in host resistance often associates with reduced pathogen spread. This
4 may result from limitation of pathogen evolution, and reduced pathogen reproduction
5 due to the dilution of focal hosts. Theory and experiments on bacteria-phage
6 interactions have shown that genetic diversity of the bacterial adaptive immune
7 system can limit phage evolution to overcome resistance. Here we explore how
8 immune diversity impacts the spread of phage when they can overcome a resistance
9 allele, and whether immune diversity affects the evolution of the phage to increase
10 its host range. We show that higher diversity benefits both susceptible and resistant
11 bacteria by limiting the spread of the phage, and this is linked to a reduced
12 probability that phage acquire further mutations to overcome other resistance alleles.
13 These data highlight the tight link between the epidemiological and evolutionary
14 consequences of host resistance allele diversity and their key consequences for
15 host-pathogen interactions.

16 **Introduction**

17

18 Genetic diversity is a key determinant of the ecology and evolution of host-pathogen
19 systems. Various studies of wild organisms have shown that the genetic diversity of
20 host species often affects pathogen prevalence. Species with small population sizes,
21 for example as a result of human-induced bottlenecks, are particularly vulnerable to
22 pathogens because of their reduced genetic diversity. Wild cheetahs were shown to
23 have higher viral loads of coronavirus associated with reduced heterozygosity
24 (O'Brien *et al.*, 1985); fragmented, low-diversity subpopulations of Italian agile frogs
25 were more susceptible to *Ranavirus* (Pearman & Garner, 2005); and more diverse
26 populations of wild parrots have been shown to have reduced prevalence of beak
27 and feather disease virus (Eastwood *et al.*, 2017). Reductions in heterozygosity
28 associated with inbreeding are associated with increases in host susceptibility
29 (Spielman *et al.*, 2004; Ebert *et al.*, 2007; Ellison & Adamec, 2011; Kerstes &
30 Wegner, 2011). In the wild, inbred populations of black-footed ferrets were more
31 prone to canine distemper virus (Thorne & Williams, 1988), and inbred individual
32 California sea lions were more likely to act as pathogen reservoirs (Acevedo-
33 Whitehouse *et al.*, 2003). Reductions in diversity associated with founder effects
34 have been shown to affect pathogen prevalence, for example in young island
35 populations of deer mice (Meagher, 1999) and Galapagos hawks (Whiteman *et al.*,
36 2007). The importance of diversity for limiting disease in agricultural contexts has
37 long been recognised (Elton, 1958), for example in rice (Zhu *et al.*, 2000) and
38 hybridising populations of honeybees (López-Urbe *et al.*, 2017). In laboratory
39 environments, more genetically diverse populations of *Daphnia magna* are more
40 resistant to parasites (Altermatt & Ebert, 2008), an effect that depends on the genetic

41 architecture of resistance (Luijckx *et al.*, 2013). In microbial systems, *Pseudomonas*
42 *aeruginosa* PA14 and *Streptococcus thermophilus* with diverse immunity alleles
43 were shown to be more resistant against lytic bacteriophage (van Houte *et al.*, 2016;
44 Morley *et al.*, 2017).

45

46 The suggested reasons for the increase in host resistance can be broadly divided
47 into evolutionary and epidemiological effects of diversity. Theory suggests that host
48 diversity may limit the evolutionary emergence of novel pathogen genotypes (Sasaki,
49 2000; Ohtsuki & Sasaki, 2006), potentially because the increased prevalence of
50 susceptible hosts in less diverse populations can increase the supply of novel
51 pathogen mutations (Antia *et al.*, 2003; Dennehy *et al.*, 2006; Yates *et al.*, 2006).

52 Further theory and experimental work have suggested that this evolutionary effect of
53 diversity may peak at intermediate levels of host diversity (Benmayor *et al.*, 2009;
54 Chabas *et al.*, 2018). Even if a pathogen evolves to overcome a resistance allele of
55 the host, pathogen spread may be more limited in host populations with greater
56 genetic diversity. This epidemiological effect of diversity may manifest through a
57 dilution effect (Ostfeld & Keesing, 2012; Civitello *et al.*, 2015). Increasing the number
58 of resistant or low-quality hosts decreases the fraction of susceptible hosts, reducing
59 contact rates between free-living pathogens and susceptible hosts, which in turn
60 limits the basic reproduction number of the pathogen (R_0) (Dobson, 2004; Gandon,
61 2004; Lively, 2010). There is much observational support for the role of a dilution
62 effect in host-pathogen systems (reviewed in Civitello *et al.* (2015)), and some
63 experimental work has suggested that dilution of susceptible hosts can limit
64 pathogen spread (Dennehy *et al.*, 2007; Common & Westra, 2019). However, the
65 evolutionary and epidemiological effects of host diversity are likely to depend on one

66 another, as the basic reproductive value of a pathogen will influence its ability to
67 evolve to overcome host resistance (Antia *et al.*, 2003).

68

69 The interaction between lytic bacteriophage (phage) and the bacterial CRISPR-Cas
70 (Clustered Regularly Interspaced Short Palindromic Repeats; CRISPR-associated)
71 immune system represents a tractable model system to study the evolutionary
72 epidemiology of infectious diseases, including the role of host diversity (van Houte *et*
73 *al.*, 2016; Westra *et al.*, 2017; Chabas *et al.*, 2018). CRISPR-Cas immune systems
74 can incorporate short DNA fragments (spacers) of about 30 base pairs derived from
75 the phage genome into CRISPR loci on the host genome (Horvath *et al.*, 2008).
76 Processed CRISPR transcripts guide Cas immune complexes to identify and cleave
77 the invading phage genome, preventing successful re-infections (Brouns *et al.*, 2008;
78 Marraffini & Sontheimer, 2008; Garneau *et al.*, 2010; Datsenko *et al.*, 2012). In turn,
79 phage can evolve to overcome CRISPR immunity by point mutation in the sequence
80 targeted by the spacer (protospacer) or in the protospacer-adjacent motif (PAM),
81 which flanks the protospacer and functions in self/non-self discrimination (Deveau *et*
82 *al.*, 2008; Mojica *et al.*, 2009; Semenova *et al.*, 2011; Westra *et al.*, 2013). Phage
83 evolution to overcome CRISPR immunity can lead to CRISPR-phage coevolution
84 (Paez-Espino *et al.*, 2013; Paez-Espino *et al.*, 2015; Sun *et al.*, 2016; Common *et al.*,
85 2019). However, CRISPR loci in both natural and experimental populations can be
86 highly diverse (Andersson & Banfield, 2008; Paez-Espino *et al.*, 2013; Westra *et al.*,
87 2015; Common *et al.*, 2019), due to different bacteria in the population acquiring
88 different spacers (Westra *et al.*, 2017). Diversity has important implications for the
89 coevolutionary interaction, as CRISPR diversity can provide increased resistance by
90 limiting the ability of phage to acquire the mutations needed to overcome CRISPR

91 resistance, which in turn can drive rapid phage extinction (van Houte *et al.*, 2016;
92 Morley *et al.*, 2017; Chabas *et al.*, 2018).

93

94 Apart from this evolutionary effect, theory predicts that even if a phage mutant
95 evolved that can overcome one CRISPR resistance allele in the population, its ability
96 to amplify will be reduced in a more diverse host population (Lively 2010). Moreover,
97 this in turn is predicted to reduce the ability of the phage to evolve to overcome other
98 CRISPR resistance alleles in the population (Antia *et al.*, 2003; Chabas *et al.*, 2018),
99 but these predictions remain untested. We therefore set out to explicitly test the
100 epidemiological role of host diversity and its knock-on evolutionary effects using the
101 bacteria *Pseudomonas aeruginosa* and its lytic phage DMS3vir. We performed an
102 experiment where we manipulated the degree of CRISPR diversity in the host
103 population by mixing different numbers of CRISPR-resistant clones, and measured
104 host fitness, phage population dynamics and evolution, following infection with a
105 phage that was pre-evolved to infect one CRISPR resistance allele in the population.

106 **Materials & Methods**

107

108 *Bacterial strains and phage*

109

110 Evolution experiments were carried out using *Pseudomonas aeruginosa* UCBPP-
111 PA14 (which has two CRISPR loci, CRISPR1 and CRISPR2), UCBPP-PA14 $\Delta pilA$
112 (this strain lacks the pilus, which is the phage DMS3 receptor, and therefore displays
113 surface-based resistance) and phage DMS3vir (Zegans *et al.*, 2009). We used *P.*
114 *aeruginosa* UCBPP-PA14 *csy3::lacZ* (Cady *et al.*, 2012), which carries an inactive
115 CRISPR-Cas system, for phage amplification, and for top lawns in phage spot and
116 plaque assays. *P. aeruginosa* PA14 *csy3::lacZ*, *Escherichia coli* DH5 α (NEB), *E. coli*
117 CC118 λ pir (NEB), and *E. coli* MFDpir (Ferrieres *et al.*, 2010) were used for
118 molecular cloning.

119

120 *Library of BIMs and escape phages*

121

122 To control the levels of CRISPR diversity in our evolution experiments, we
123 established a library of 24 *P. aeruginosa* PA14 clones each carrying a single spacer
124 in CRISPR2 (bacteriophage-insensitive mutants; BIMs). 11 *P. aeruginosa* PA14
125 BIMs that were known to have a single CRISPR2 spacer were selected from the
126 collection of clones used in van Houte *et al.* (2016). The additional 13 BIMs were
127 generated by evolving *P. aeruginosa* PA14 in the presence of DMS3vir. 6ml of M9
128 minimal media (supplemented with 0.2% glucose; M9m) was inoculated with
129 approximately 10^6 colony-forming units (cfu) of WT *P. aeruginosa* and 10^4 plaque-
130 forming units (pfu) of phage in glass vials. After 24hrs, samples from the infection

131 were plated on LB agar. Potential CRISPR clones were identified through phenotypic
132 and PCR analyses as described previously (Westra 2015; van Houte 2016). CRISPR
133 amplicon sequencing (SourceBioscience, UK) confirmed that each spacer carried by
134 a BIM was unique, so that all clones used in downstream experiments carried a
135 different spacer. Spacer sequences were mapped against the DMS3vir genome
136 (Genbank accession: NC_008717.1) using Geneious v9.1.8 (Kearse *et al.*, 2012) to
137 confirm that spacers did not target overlapping regions of the phage genome. See
138 **Table S1** in **Supporting Information** the spacer sequences of each BIM.

139

140 Next, we independently evolved 24 phage clones that could infect each BIM (escape
141 phage). 15ml LB was inoculated with approximately 10^6 cfu of a single BIM and
142 approximately 10^6 pfu DMS3vir. We also added approximately 10^6 of *P. aeruginosa*
143 PA14 *csy3::lacZ* to provide a pool of sensitive hosts on which phage could replicate
144 and hence supply novel escape mutations. Phage extracted from these
145 amplifications were plaque-purified to ensure a monoclonal phage stock. Each
146 escape phage was challenged against the entire BIM library to check for a one-to-
147 one infection match. A successful infection was defined if a clear lysis zone was
148 visible in the top lawn of the target BIM.

149

150 *Generating labelled BIMs*

151

152 To be able to monitor the population dynamics and relative fitness of individual
153 bacterial clones within the mixed populations over the course of the co-culture
154 experiment, we transformed 8 BIMs to carry a *lacZ* reporter gene. The *LacZ* gene
155 encodes the β -galactosidase enzyme that hydrolyses 5-bromo-4-chloro-3-indolyl- β -

156 D-galactopyranoside (X-gal), resulting in the production of a blue pigment. The BIMs
157 chosen for transformation were such that a single clone could be monitored in each
158 of the 3-clone mixtures (that is, BIMs 1, 4, 7, 10, 13, 19, and 22; see **Table S1**),
159 which enabled us to measure relative frequency and fitness of a labelled BIM
160 through time by performing a blue:white screen when plating on LB agar
161 supplemented with 40µg/ml X-gal.

162

163 All cloning reactions to generate the labelled BIMs were carried out according to
164 manufacturers' instructions unless stated otherwise. Restriction enzymes, Antarctic
165 phosphatase, and T4 DNA ligase were purchased from NEB; HF versions were used
166 if available. Strains, primers, and plasmids used for molecular work are outlined in
167 **Table S2**. We used the synthetic mini-Tn5 transposon vector pBAMD1-6 (Martínez-
168 García *et al.*, 2014) to deliver the *lacZ* gene to target BIMs. pBAMD1-6 is a non-
169 replicative vector in *P. aeruginosa* encoding a Tn5 transposase, which allows for
170 insertion of a gentamicin resistance gene (GmR) as well as any cargo genes into the
171 bacterial chromosome. To introduce *lacZ* as a cargo gene, we amplified it from PA14
172 *csy3::lacZ* using primers *lacZ_amp_fw* and *lacZ_amp_rv* (**Table S2**) using Phusion
173 High-Fidelity Polymerase (ThermoFisher). The PCR product was cleaned up
174 (QIAGEN PCR cleanup kit) and sub-cloned into pMA-RQ_Cas (Walker-Sünderhauf,
175 unpublished) using NcoI-HF and KpnI-HF to generate a construct in which *lacZ* gene
176 expression is driven by a constitutive β -lactamase promoter P3 (Genbank accession:
177 J01749, region 4156..4233). Using standard molecular cloning protocols and
178 restriction enzymes HindIII-HF and KpnI-HF, this promoter and the downstream *lacZ*
179 gene was inserted into pBAMD1-6 to generate pBAM1(Gm)_*lacZ*. pBAM1(Gm)_*lacZ*
180 was transferred into *E. coli* MFD*pir* by electroporation.

181

182 Tn5 insertions of the recipient BIMs were carried out by conjugative
183 pBAM1(Gm)_lacZ delivery. *E. coli* MFDpir + pBAM1(Gm)_lacZ was used as donor
184 and grown overnight in 5ml LB + 0.3mM diaminopimelic acid (DAP) + 30 µg/ml
185 gentamicin at 37°C, 180 rpm. Recipient BIMs were grown overnight in 5ml LB at
186 37°C, 180rpm. 10ml of fresh media was inoculated from these overnight cultures,
187 and grown at 37°C and 250rpm until OD₆₀₀ ~ 0.6, then pelleted and washed twice in
188 1x M9 salts, and resuspended in 1ml 1 x M9 salts. 600µl of donors were mixed with
189 200µl recipients, pelleted, and resuspended to a volume of 100µl. The entire donor-
190 recipient mixture was pipetted onto sterile 0.2µm microfiber glass filters (Whatman)
191 on LB agar + 0.3mM DAP plates and incubated for 2 days at 37°C. To recover cells,
192 filters were placed into 2.5ml LB and vortexed. 100µl of recovered cells were plated
193 onto LB agar + 30 µg/ml gentamicin + 40µg/ml X-gal + 0.1mM IPTG plates and
194 incubated at 37°C for 2 days to select for BIMs with Tn5 insertions in their genome
195 (absence of DAP selects against the donor strain).

196

197 Because Tn5 inserts at random positions in the *P. aeruginosa* genome, this may
198 affect fitness. We therefore sampled three blue colonies of the transformants and
199 conducted 24hr competition experiments against their untransformed counterpart to
200 verify their fitness was unaffected. The relative fitness of the transformed BIM was
201 calculated as described previously ($W_n = [(fraction\ strain\ A\ at\ t_n) * (1 - (fraction\ stain$
202 $A\ at\ t_0))] / [(fraction\ strain\ A\ at\ t_0) * (1 - (fraction\ strain\ A\ at\ t_n))]$)(Westra *et al.*, 2015).
203 If Tn5 insertion disrupted the CRISPR-Cas system, the transformed BIM would
204 regain susceptibility to ancestral DMS3vir. We therefore checked for this by spotting

205 ancestral DMS3vir on a top lawn of the transformed BIM. If no clear lysis zone was
206 visible on the top lawn, we determined that the CRISPR-Cas system was functional.

207

208 *Co-culture experiment*

209

210 We designed 5 treatments in which we manipulated the level of CRISPR spacer
211 diversity, based on the BIM library: monocultures (1-clone), or polycultures
212 consisting of 3, 6, 12 and 24 clones. For each of the polyclonal treatments, a single
213 BIM carrying the *lacZ* reporter gene was included. From fresh overnight cultures of
214 each BIM, we made mixes of equal proportion of each clone corresponding to the
215 diversity treatments. To monitor the population dynamics and competitive
216 performance of the CRISPR-resistant population as a whole, we also added PA14
217 $\Delta pilA$ (surface mutant; SM, which is fully resistant to phage DMS3vir and has a
218 distinct “smooth” colony morphology) to each mix in equal proportion to the CRISPR
219 population. We then inoculated 6ml of M9m 1:100 from each mix. Approximately
220 1×10^6 pfu ml⁻¹ of the escape phage targeting the labelled BIM were then added to
221 each vial. We also established 1- and 24-clone treatments with ancestral phage as
222 controls. Polyclonal treatments consisted of 8 biological replicates ($N=8$) to ensure
223 that both BIMs and phage were equally represented across treatments, while the 1-
224 clone treatments consisted of 24 biological replicates ($N=24$). Glass vials were
225 incubated at 37°C while shaking at 180rpm. At 1, 2, and 3 days-post infection (dpi),
226 the sampling of the phage and bacterial culture was repeated as described. Cultures
227 were transferred 1:100 to fresh media after sampling had been carried out. The
228 experiment was terminated at 3 dpi.

229

230 Each day 180µl of culture was taken from each vial and phage was extracted using
231 chloroform. Phage titres were determined by serially diluting extracted phage in 1x
232 M9 salts, and then spotting 5µl of each dilution on a top lawn of *P. aeruginosa* PA14
233 *csy3::lacZ*, which was then incubated at 37°C for 24hrs. Phage titres were calculated
234 from this assay. The detection limit of phage spot assays is 10² pfu ml⁻¹. To monitor
235 bacterial densities, culture samples were serially diluted in 1x M9 salts, and then
236 plated on LB agar + 40µg/ml X-gal + 0.1mM IPTG, and incubated for 48hrs at 37°C.
237 The density of SM, CRISPR and the labelled BIM was then calculated. SM were
238 differentiated from CRISPR clones by their “smooth” colony morphology, and the
239 labelled BIM was identified by the blue:white screen.

240

241 We assessed the competitive performance of the CRISPR relative to SM clones and
242 the labelled BIM relative to non-labelled BIMs by calculating selection rate ($r_n = (\ln$
243 $[\text{density strain A at } t_n / \text{density strain A at } t_{n-1}] - \ln[\text{density strain B at } t_n / \text{density strain B}$
244 $\text{at } t_{n-1}]) / \text{day}$) (Lenski, 1991; Travisano & Lenski, 1996), which expresses competitive
245 performance as the natural log of the relative change in density of one competitor
246 against another.

247

248 *Phage evolution*

249

250 We examined phage evolution during the experiment by sampling 12 individual
251 plaques from each replicate that had detectable levels of phage from 1 to 3 dpi,
252 which were amplified on PA14 *csy3::lacZ* overnight in LB, at 37°C and shaking at
253 180rpm. Phage were extracted using chloroform, and then diluted 1000-fold.
254 Samples of each phage were then applied on lawns of each of the 24 BIMs and WT

255 PA14 *csy3::lacZ*. A successful infection was indicated by a clear lysis zone on the
256 top lawn. Phage were classified according to whether they had expanded their
257 infectivity range (could infect the original susceptible clone and a new clone in the
258 BIM library). Of the phages that had undergone a host shift (lost infectivity to the
259 original clone and could only infect a new clone), we confirmed their expanded
260 infectivity range by sequencing the old and new protospacers on the evolved phage
261 genome (SourceBioscience, UK). We also sequenced the relevant protospacers of
262 the pre-evolved escape phage from the BIM-phage library and ancestral DMS3vir.
263 Primers are given in **Table S3**.

264

265 *Statistical analyses*

266

267 All statistical analyses were carried out in R v3.5.2 (R Core Team, 2018). The
268 packages dplyr (Wickham *et al.*, 2018), tidyr (Henry & Wickham, 2018) and magrittr
269 (Bache & Wickham, 2014) were used throughout for data handling. Generalised
270 linear mixed models (GLMMs) were used throughout, and replicate was treated as a
271 random effect in all models. Model selection followed a nested approach, where full
272 versus reduced models were compared using information criteria (Burnham &
273 Anderson, 2003, 2004), and the similarity between observed and predicted values.
274 The overall statistical significance of fixed effects was evaluated with likelihood ratio
275 tests (LRTs). Probit models (the inverse standard normal distribution of the
276 probability) were used to analyse phage evolution. When phage titre was considered
277 as the response variable, data was log-transformed to improve model fit. Confidence
278 intervals around model coefficients and predicted means were calculated to the 95%,

279 89% and 67% level to give the reader a clearer indication of effect size. The package
280 ggplot2 (Wickham, 2009) was used to generate figures.

281 Results

282

283 To explore how population-level immune diversity would influence the population
284 dynamics and evolution of an escape phage and its susceptible host genotype, we
285 first generated 24 *P. aeruginosa* clones that differed only by a single CRISPR spacer
286 and that are resistant to phage DMS3vir. Next, we generated 24 DMS3vir escape
287 phage isolates, each of which could infect a single unique clone among the 24. This
288 gives a host-pathogen system where one host genotype is infected by one pathogen
289 genotype (**Fig. S1**). We then set up an experiment where we mixed 1-, 3-, 6-, 12-
290 and 24-clones, and inoculated each treatment with an escape phage infecting only
291 one of the clones. The susceptible clone (i.e. the one that could be infected by the
292 escape phage) always carried a *lacZ* reporter gene, so we could follow its population
293 dynamics and competitive performance during the experiment. *P. aeruginosa* Δ *pilA*,
294 which only resists phage infection via surface receptor modification, was included in
295 each treatment to provide a benchmark against which the dynamics and
296 performance of the entire CRISPR population could be measured. We also included
297 1- and 24-clone treatments inoculated with ancestral phage to which the whole
298 bacterial population was resistant, which served as a control (van Houte *et al.*,
299 2016). We then monitored population dynamics and evolution of the phage, CRISPR
300 clones and the susceptible clone over 3 days.

301

302 We first examined how CRISPR allele diversity influenced phage population
303 dynamics (**Fig. 1**). Phage densities decreased more rapidly as CRISPR diversity
304 increased (Diversity: $\chi^2_{(1)} = 15.7$, $p = 7.5 \times 10^{-5}$; Time: $\chi^2_{(1)} = 36.9$, $p = 1.23 \times 10^{-9}$;
305 **Fig. S2**), which is consistent with a protective effect of CRISPR diversity. The

306 ancestral phage controls show that phage titre over time in a monoclonal (and
307 initially resistant) host population is statistically similar to that observed when an
308 escape phage infects a monoclonal (but susceptible) population (**Fig. S2**). This is in
309 line with previous data showing that CRISPR-resistant monocultures allow phage
310 persistence due to rapid evolution of escape phages that overcome the CRISPR
311 resistance allele (van Houte *et al.*, 2016). When comparing the population dynamics
312 of ancestral and escape phage during infection of polyclonal bacterial populations,
313 the escape phage did appear to benefit from its ability to infect a fraction of the
314 population. When infecting the 24-clone population with ancestral phage, phage
315 titres were reduced compared to the same infection treatment with the infectious
316 escape phage (difference in log pfu ml⁻¹: β [95% CI] = -2.40 [-4.65, -0.44]; **Fig. S2**).
317 This is consistent with a modest escape phage epidemic being able to establish by
318 replicating on the susceptible fraction of the host population.

319

320 Next, we were interested to see if phage survival across our diversity treatments was
321 related to phage evolution. Phage can escape CRISPR interference by mutations in
322 the protospacer or the protospacer-adjacent motif (PAM) (Deveau *et al.*, 2008;
323 Mojica *et al.*, 2009; Semanova *et al.*, 2011; Westra *et al.*, 2013). Given that a large
324 proportion of the host population was resistant to the escape phage, there would
325 likely have been strong selection to acquire mutations in other protospacers and
326 PAMs to infect other hosts in the population. Indeed, we found that escape phage
327 survival tended to be related to expanded infectivity range. Further, the proportion of
328 escape phage that evolved an expanded infectivity range generally increased with
329 time ($\chi^2_{(1)} = 130.7$, $p < 1 \times 10^{-10}$) (**Fig. 2**). The evolution of range expansion did also
330 depend on diversity ($\chi^2_{(1)} = 6.6$, $p = 0.01$), being most likely in the 6-clone treatment,

331 particularly at 3 days post-infection (dpi) (**Fig. 2**). This is consistent with the idea that
332 intermediate host diversity maximises evolutionary emergence (Benmayor *et al.*,
333 2009; Chabas *et al.*, 2018).

334

335 We were very surprised to observe phage in two replicates of the 24-clone treatment
336 had evolved via host shift. Here, infectivity on the original host is lost but phage
337 evolved to infect a new host. This is a less likely event as it involves two mutations:
338 back-mutation to the ancestral state at the original protospacer and mutation at the
339 new protospacer, while incurring the cost of loss of infectivity on the original host.
340 This could therefore be expected if the initial escape mutation was costly (it may
341 cause a deleterious loss-of-function), or if there was sufficient selection pressure
342 from the diverse population (Chabas *et al.*, 2018). Sequence data confirmed that
343 single nucleotide polymorphisms (SNPs) in the PAM that conferred infectivity to the
344 original host had been lost, and novel SNPs or deletions had emerged in
345 protospacers that conferred infectivity to a new host present in the population
346 (**Tables S4 & S5**). The original escape mutations are unlikely to have been costly as
347 they are due to a SNP in the PAM sequence (**Tables S4 & S5**), so the observed host
348 shift may have instead been driven by the selection pressure induced by high host
349 CRISPR diversity. Together, these data show that phage survival was at least in part
350 driven by evolutionary emergence of phage via selection for an expanded infectivity
351 range, and that host shift could enable transient phage survival in conditions of very
352 high host CRISPR allele diversity.

353

354 Given that CRISPR allele diversity negatively affected phage persistence by limiting
355 evolutionary emergence, we reasoned that this could also lead to enhanced fitness

356 of the CRISPR population as a whole (van Houte *et al.*, 2016), as well as enhanced
357 fitness of the sensitive clone that can be infected by the escape phage. We did find
358 that all polyclonal CRISPR populations had higher CRISPR selection rates
359 compared to clonal populations during infection with escape phage (**Fig. 3A**).
360 However, within the polyclonal populations, we did not detect a relationship between
361 diversity and CRISPR selection rate when controlling for the effect of time ($\chi^2_{(1)} =$
362 $1.90, p = 0.17$)(**Fig. 3A; Fig. S3**). CRISPR selection rate also did not change notably
363 over time ($\chi^2_{(1)} = 0.04, p = 0.84$), even though phage densities decreased with time.
364 Although we did not detect a statistically notable relationship between CRISPR allele
365 diversity and CRISPR selection rate as expected, this may be because escape
366 phage dynamics were dependent on the susceptible subpopulation. Additionally,
367 host range expansion mutants were both rare and their dynamics would remain
368 linked to the originally susceptible host. Hence, any benefit of diversity seen by the
369 resistant subpopulation might be obscured by more detectable benefits seen by the
370 susceptible subpopulation.

371

372 Consistent with this idea, CRISPR selection rate did not differ statistically between
373 treatments infected with ancestral or pre-evolved phage (**Fig. 3A**), suggesting that
374 CRISPR allele diversity protects the susceptible subpopulation from infection.
375 Further, we found that susceptible hosts in the 3- and 6-clone treatments were on
376 average as competitive as the other (resistant) CRISPR clones (mean selection rate
377 [95% CI]; 3-clone: -0.10 [-1.53, 1.07]; 6-clone: 0.45 [-0.91, 1.49]). Selection rates in
378 the 12- and 24-clone treatments were also higher than the 3- and 6-clone treatments
379 (12-clone: 1.53 [0.03, 2.54]; 24-clone: 1.30 [0.25, 2.66]), indicating that susceptible
380 clones did gain a competitive advantage from population-level CRISPR allele

381 diversity (**Fig. 3B**). There was also a slight increase in selection rate over time (**Fig.**
382 **S4**) which, while statistically marginal ($\chi^2_{(1)} = 2.60$, $p = 0.10$), may indicate a link with
383 the decline in phage population sizes over time. These data suggest that a
384 susceptible genotype receives a fitness benefit from the protective effect of CRISPR-
385 resistant bacteria and their CRISPR allele diversity (van Houte *et al.*, 2016).

386 **Discussion**

387

388 Previous studies have shown that CRISPR allele diversity can limit the evolution of
389 phage to overcome host resistance (van Houte *et al.*, 2016; Chabas *et al.*, 2018). In
390 those studies, bacterial populations were infected with ancestral phage that had not
391 been previously exposed to resistant hosts. Here, we examined the consequences of
392 CRISPR allele diversity once a phage has already evolved to overcome one of the
393 CRISPR resistance alleles in the population. This tractable system enabled us to
394 closely monitor how the level of CRISPR allele diversity influenced the population
395 and evolutionary dynamics of the phage, as well as the evolutionary dynamics of the
396 host.

397

398 These analyses show that fitness of both the CRISPR population as a whole, and
399 that of the susceptible subpopulation, was higher in polyclonal versus monoclonal
400 populations. They also show that phage population sizes were negatively affected by
401 host diversity, which is consistent with previous studies (van Houte *et al.*, 2016).
402 Moreover, we observed a negative correlation between phage amplification and
403 fitness of the sensitive sub-population that could be infected by the escape phage.
404 This is presumably because phage replication depends on the density of susceptible
405 hosts, and increasing host diversity reduces susceptible host density. This “dilution
406 effect” of host resistance allele diversity is an important factor in explaining why
407 genetically diverse host populations often have reduced pathogen loads (Keesing *et al.*
408 *et al.*, 2006; Ostfeld & Keesing, 2012; Civitello *et al.*, 2015). In theory, these
409 epidemiological effects can in turn shape the evolutionary dynamics of the bacteria-
410 phage interaction, since smaller phage population sizes will decrease the mutation

411 supply and hence the evolutionary potential of the phage (Antia *et al.*, 2003;
412 Dennehy *et al.*, 2006; Yates *et al.*, 2006). Consistent with this, our data show that
413 phage population and evolutionary dynamics are tightly linked. In less-diverse host
414 populations, susceptible clones were at higher relative frequency, which promoted
415 phage reproduction. The larger the phage population size, the greater the likelihood
416 phage evolved to increase its host range.

417

418 Interestingly, the frequency at which host range mutants of the phage were identified
419 peaked at intermediate levels of CRISPR allele diversity, namely in the 6-clone
420 treatment. This is likely because increasing host diversity dilutes susceptible hosts,
421 which results in smaller phage population size and hence less genetic variation in
422 the phage population on which selection can act. Increasing host diversity can
423 simultaneously increase selection for escape mutations. These two effects can
424 maximise evolutionary emergence at intermediate host diversity (Chabas *et al.*,
425 2018). Further, the instances of phage evolving host shift in our most diverse
426 treatment were possibly related to a small initial escape phage epidemic establishing
427 on susceptible hosts, which allowed host shift to occur. Although host shift only led
428 to transient phage survival in our experiment, the effect of a susceptible host fraction
429 in the context of a diverse, mostly-resistant population may have implications for
430 more complex host-pathogen systems. Host shift mutants could gain an advantage
431 due to changes in host genotype composition, for example when able to migrate to
432 more susceptible subpopulations; or due to changes in reproductive mode in
433 response to environmental change (King *et al.*, 2011).

434

435 In this study, we focussed on host populations where different CRISPR resistance
436 genotypes were at equal starting frequencies, but natural communities are often
437 composed of a few very common and many rare variants (Pachepsky *et al.*, 2001;
438 McGill *et al.*, 2007). This likely matters for the observed dynamics, since the
439 proportion of susceptible hosts has a large impact on the probability of evolutionary
440 emergence of pathogens (Chabas *et al.*, 2018). Also, we focussed our analysis on
441 the simple case where a diverse host population is infected by a clonal pathogen
442 population. In nature, pathogen populations will frequently be genetically diverse as
443 well (Hudson *et al.*, 2006; Telfer *et al.*, 2010), and increased levels of pathogen
444 diversity may affect the benefits of host diversity (Ganz & Ebert, 2010). Indeed,
445 previous studies of CRISPR-phage interactions suggest that infection by two
446 different phages can increase bacteria-phage coexistence compared to infections
447 with a single phage (Paez-Espino *et al.*, 2013; Paez-Espino *et al.*, 2015). The
448 empirical system used in this study offers both a unique ability to link genotypes and
449 phenotypes, as well as tight experimental control over the infectivity matrix of the
450 host-phage interaction. These features will make it an ideal system for more detailed
451 studies to understand how the composition of the host population and the relative
452 diversity levels of the phage and host shape coevolutionary interactions.

453 **Acknowledgements**

454

455 We thank Meaghan Castledine for help constructing the pBAM1(Gm)_lacZ plasmid.

456 JC acknowledges funding from the Biotechnology and Biological Sciences Research

457 Council (bbsrc.ac.uk/) (BB/J014400/1 and BB/M009122/1). DWS acknowledges

458 funding from the Medical Research Council (mrc.ukri.org) (MR/N013794/1). SVH

459 acknowledges funding from the BBSRC (BB/R010781/1) and from the People

460 Programme (Marie Curie Actions; ec.europa.eu/research/mariecurieactions/) of the

461 European Union's Horizon 2020 (REA grant agreement no. 660039). ERW

462 acknowledges funding from the Natural Environment Research Council (nerc.ac.uk)

463 (NE/M018350/1), the European Research Council (erc.europa.eu) (ERC-STG-2016-

464 714478 – EVOIMMECH) and the BBSRC (BB/N017412/1).

465

466 **Figure captions**

467

468 **Figure 1** Population dynamics of phage at different levels of CRISPR allele diversity
469 in the host population. Black lines show the plaque-forming units (pfu) ml⁻¹ in
470 individual replicates over time. The limit of phage detection is 200 pfu ml⁻¹.

471

472 **Figure 2** Proportion of phage that had evolved an expanded infectivity range, i.e.
473 phage that could infect a second CRISPR-resistant clone in addition to the original
474 CRISPR clone they were pre-evolved to infect, shown in Fig. S1. Means are shown
475 as white points with 67, 89 and 95% confidence intervals given in decreasing width.

476

477 **Figure 3** Selection rate of **A)** all CRISPR clones relative to surface mutants and **B)**
478 the susceptible CRISPR clones relative to resistant CRISPR clones in the population
479 for each CRISPR allele diversity treatment. Selection rate is the natural log of the
480 relative change in density of one competitor against another. The dotted line at zero
481 indicates no difference in density change i.e. the susceptible and resistant CRISPR
482 clones are equally fit. Means are derived from a generalised linear mixed model that
483 statistically controls for the effect of time. Means are shown as white points with 67,
484 89 and 95% confidence intervals given in decreasing width.

485

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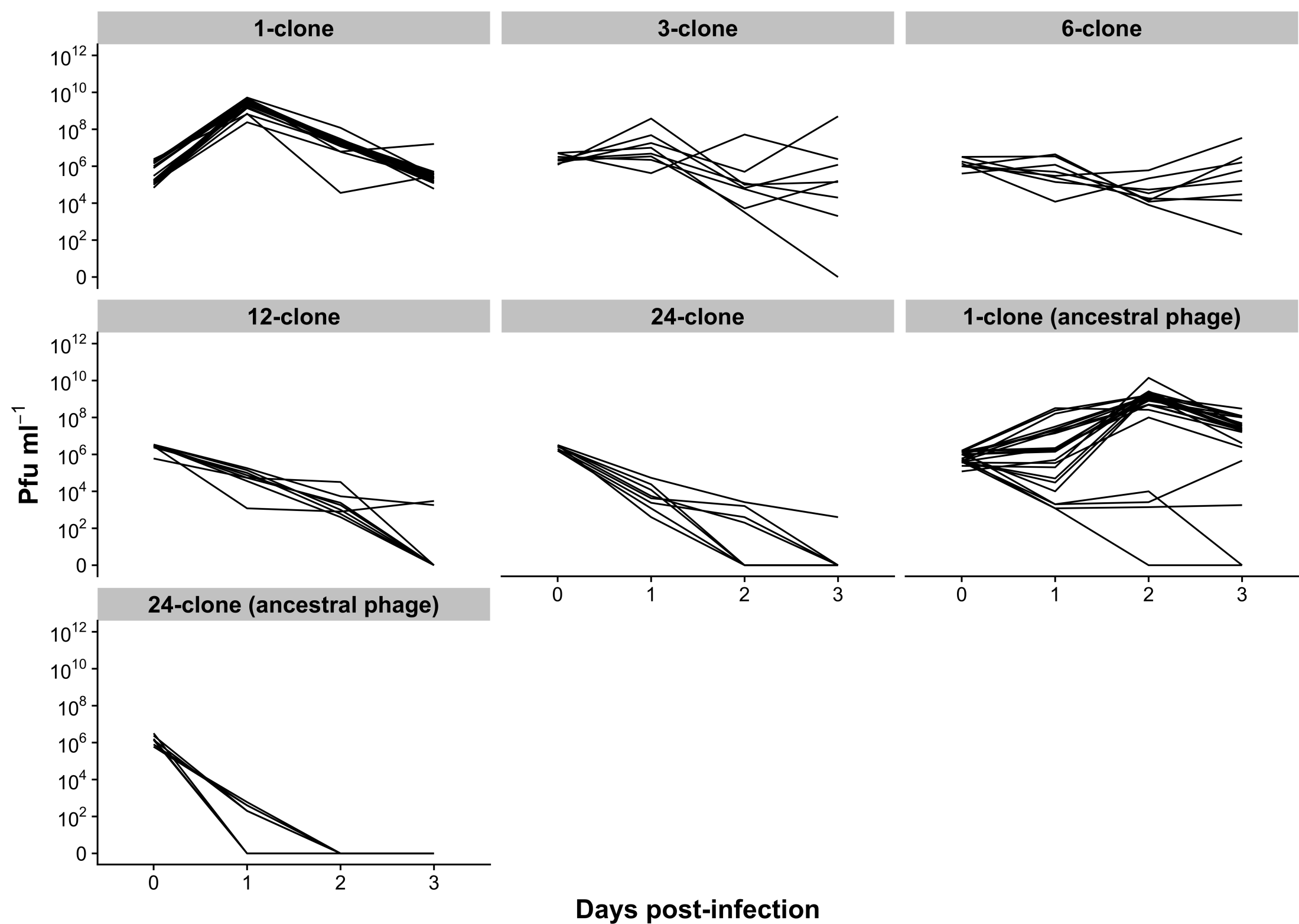
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Proportion of phage with expanded host range

