# 1 Title: Muntjac chromosome evolution and architecture

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- 3 Authors: Austin B. Mudd[1], Jessen V. Bredeson[1], Rachel Baum[1], Dirk Hockemeyer[1,2,3],
- 4 Daniel S. Rokhsar\*[1,2,3,4,5]

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- 6 Affiliations:
- 7 [1] Department of Molecular and Cell Biology, University of California, Berkeley, California,
- 8 USA
- 9 [2] Innovative Genomics Institute, University of California, Berkeley, California, USA
- 10 [3] Chan Zuckerberg Biohub, San Francisco, California, USA
- 11 [4] Department of Energy Joint Genome Institute, Walnut Creek, California, USA
- 12 [5] Molecular Genetics Unit, Okinawa Institute of Science and Technology Graduate University,
- 13 Onna, Okinawa, Japan
- 14 \* Corresponding author
- 15
- 16 Email addresses:
- 17 ABM: amudd@berkeley.edu
- 18 JVB: jessenbredeson@berkeley.edu
- 19 RB: rachel\_baum@berkeley.edu
- 20 DH: hockemeyer@berkeley.edu
- 21 DSR: dsrokhsar@gmail.com

### 1 Abstract

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3 Despite their recent divergence, muntjac deer show striking karyotype differences. Here we 4 describe new chromosome-scale genome assemblies for the Chinese and Indian muntjacs, 5 Muntiacus reevesi (2n=46) and Muntiacus muntjak (2n=6/7), and analyze their evolution and 6 architecture. We identified six fusion events shared by both species relative to the cervid 7 ancestor and therefore present in the muntiac common ancestor, six fusion events unique to the 8 *M. reevesi* lineage, and twenty-six fusion events unique to the *M. muntjak* lineage. One of these M. muntjak fusions reverses an earlier fission in the cervid lineage. Although comparative Hi-C 9 10 analysis revealed differences in long-range genome contacts and A/B compartment structures, 11 we discovered widespread conservation of local chromatin contacts between the muntjacs, even 12 near the fusion sites. A small number of genes involved in chromosome maintenance show 13 evidence for rapid evolution, possibly associated with the dramatic changes in karyotype. 14 Analysis of muntiac genomes reveals new insights into this unique case of rapid karyotype 15 evolution and the resulting biological variation.

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### 18 Keywords

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20 Chromosome evolution, karyotype evolution, genome, muntjac, *Muntiacus muntjak*, *Muntiacus*21 *reevesi*

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### 1 Background

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3 Rapid karyotype evolution, or chromosomal tachytely [1], has been found in various species, 4 such as rodents [2], bears [3], and gibbons [4], and as a byproduct of chromosomal instability in 5 cancer [5]. Perhaps the most spectacular example of rapid karyotype evolution is found in muntjacs, a genus of small deer with karyotypes ranging from 2n=46 for *Muntiacus reevesi* to 6 7 2n=6/7 for female/male Muntiacus muntjak, respectively, with M. muntjak having the smallest 8 chromosome number of any mammal [6]. Cytogenetic analysis showed that muntjac karyotype 9 diversity arose primarily through centromere-telomere (head-tail) tandem fusions and, to a lesser 10 extent, centromere-centromere (head-head) tandem fusions (*i.e.*, Robertsonian translocations [7]) 11 [8,9]. Importantly, independent fusions occurred in each lineage after divergence from their 12 common ancestor, such that the 2n=46 M. reevesi karyotype does not represent an intermediate 13 stage between the ancestral 2n=70 cervid karyotype and the highly reduced M. muntjak 14 karyotype [10,11].

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16 Understanding the variation of genomic architectures in muntjacs has the potential to reveal new 17 insights into chromosome evolution [12]. We therefore set out to explore karyotype changes in 18 muntiacs by determining the number, distribution, and timing of shared and lineage-specific 19 fusion events. To this end, we described the first chromosome-scale assemblies of *M. muntjak* 20 and *M. reevesi* with contiguity metrics that surpass those of earlier draft assemblies [13,14]. To 21 infer the series of karyotype changes in muntjac, we leveraged existing assemblies of *Bos taurus* 22 (cow) [15], Cervus elaphus (red deer) [16], and Rangifer tarandus (reindeer) [17]. In total, we 23 characterized thirty-eight muntjac fusion events, six of which are shared by *M. muntjak* and *M.* 

reevesi. The rate of twenty-six unique fusion events in the M. muntjak lineage over 4.9 million 1 2 years represents more than an order of magnitude increase relative to the mammalian average. 3 Although the molecular mechanism driving these karyotype changes is unknown, we found that 4 one fusion event in the *M. muntjak* lineage reversed a chromosome fission that occurred earlier 5 in the cervid lineage; in another case, we found that a pair of ancestral cervid chromosomes 6 likely fused independently in the *M. muntjac* and *M. reevesi* lineages. These findings suggest that 7 some chromosomes may be more prone to karyotype changes than others and that care should be 8 taken in applying the parsimony principle due to the possibility of convergent change. 9 10 We also took advantage of the extensive collinearity of the muntiac genomes to study changes in 11 three-dimensional genome architecture that accompany chromosome fusions. Our findings 12 suggest that while karyotype changes disrupt long-range three-dimensional genome structure, 13 including A/B compartments, there are few changes at the local level. These analyses explore 14 features of chromosome structure derived from the unique evolutionary history of these two 15 karyotypically divergent species. 16 17 **Results and discussion** 18

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Assembly and annotation. To investigate the tempo and mode of muntjac chromosome evolution, we generated high-quality, chromosome-scale genome assemblies for *M. muntjak* and *M. reevesi* (Table S1) using a combination of linked-reads (10X Genomics Chromium Genome) and chromatin conformation capture (Dovetail Genomics Hi-C; Table S2, Methods). The

resulting assemblies each contain 2.5 Gb of contig sequence with contig N50 lengths over 200 kb. In both assemblies, over 92% of contig sequence is anchored to chromosomes. Compared with the publicly available assemblies [14], the assemblies described here represent a hundredfold improvement in scaffold N50 length and severalfold improvement in contig N50 length. As typical for short-read assemblies, our muntjac assemblies are largely complete with respect to genic sequences (see below) but are likely to underrepresent repetitive sequences such as pericentromeric heterochromatin and repetitive subtelomeric regions.

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9 The assembled chromosome numbers recapitulate the karyotypes reported in the literature (2n=6)10 for female M. muntjak [18] and 2n=46 for M. reevesi [19]). M. reevesi chromosomes were 11 validated against previously published chromosome painting data [20]. For M. muntjak, we 12 aligned 377 previously sequenced bacterial artificial chromosomes (BACs) [21–23] and, based 13 on corresponding fluorescent in situ hybridization (FISH) location data, found that 360 (95%) of BACs align to the expected chromosomes. Of the 17 BACs that align to a different chromosome 14 15 than expected by FISH, 16 are well-aligned to our assembly in regions of conserved colinearity among cow, red deer, and muntjac chromosomes, which suggests that the FISH-based 16 17 chromosome assignments of these BACs are likely incorrect. Only one of these 17 BACs aligns 18 to two of our assembled *M. muntjak* chromosomes, indicating a possible local misassembly or 19 BAC construction error.

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For each muntjac genome, we annotated ~26,000 protein-coding genes based on homology with *B. taurus* [15], *Ovis aries* (sheep) [24], and *Homo sapiens* (human) [25]. Over 98% of annotated
genes are functionally annotated by InterProScan [26]. From these annotations, we identified

19,649 one-to-one gene orthologs between the two muntjac species as well as 7,953 one-to-one
gene orthologs present in the two muntjacs, *B. taurus* [15], *C. elaphus* [16], and *R. tarandus* [17].
These ortholog sets were used in the evolutionary and phylogenomic analyses below (Figure 1A and 1C, Table S3, Methods). Gene set comparisons (Figure S1) show that the muntjac annotations include several thousand more conserved cervid genes than are found in the *C. elaphus* and *R. tarandus* annotations and demonstrate comparable completeness to *B. taurus*, supporting the completeness and accuracy of the muntjac assemblies in genic regions.

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9 **Comparative analysis.** In order to study sequence and karyotype evolution, we aligned the two 10 muntjac assemblies to each other and to *B. taurus* [15] as well as *B. taurus* to *C. elaphus* [16] and 11 R. tarandus [17]. The pairwise alignment of the muntjac genomes contains 2.45 Gb of contig 12 sequence, or over 97% of the assembled contig sequence lengths, with an average identity of 13 98.5% (excluding indels), reflecting the degree of sequence conservation between the two 14 species and their recent divergence. In comparison, alignments of red deer, reindeer, and 15 muntjacs to *B. taurus* contain 1.80 to 2.21 Gb of contig sequences with 92.7% to 93.2% average 16 identity. Sequence alignments formed long runs of collinearity, and analysis of these alignments 17 revealed the timing of fission and fusion events in each lineage (Figures 1A–B and S2A–D).

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19 Chromosome evolution. We assessed chromosome evolution in muntjacs using *B. taurus*20 (BTA) and *C. elaphus* (CEL) as outgroups. For convenience, we refer to chromosomal regions
21 by their *B. taurus* (BTA) chromosome identifiers. We confirmed prior reports in literature [20]
22 that:

1	1.	In the last common ancestor of cow and deer, segments corresponding to the two cow
2		chromosomes BTA26 and BTA28 were present as a single chromosome in the last common
3		ancestor of cervids and B. taurus. This ancestral state, corresponding to BTA26_28, is
4		retained in C. elaphus and the muntjacs.
5	2.	Twelve chromosomes of the cervid ancestor arose by fission of chromosomes represented by
6		six cow chromosomes (BTA1 => CEL19 and CEL31; BTA2 => CEL8 and CEL33; BTA5
7		=> CEL3 and CEL22; BTA6 => CEL6 and CEL17; BTA8 => CEL16 and CEL29; and
8		BTA9 => CEL26 and CEL28).
9	3.	Although chromosomes homologous to BTA17 and BTA19 are fused in the C. elaphus
10		lineage as CEL5, this fusion is unique to the C. elaphus lineage, and these cow chromosomes
11		correspond to distinct ancestral cervid chromosomes.
12		
13	In	the muntjacs, we found six fusions shared by M. muntjak and M. reevesi (BTA7/BTA3,
14	BI	CA5prox/BTA22, BTA2dist/BTA11, BTA18/BTA25/BTA26_28 (fusion of three ancestral
15	ch	romosomes counted as two fusion events), and BTA27/BTA8dist; Figure S3). All six of these
16	fu	sions shared by M. muntjak and M. reevesi were also confirmed in previous BAC-FISH
17	an	alyses of Muntiacus crinifrons, Muntiacus feae, and Muntiacus gongshanensis [27,28]. After
18	the	e divergence of <i>M. muntjak</i> and <i>M. reevesi</i> , each lineage experienced additional fusions. In the

19 M. reevesi lineage, there were six fusions (BTA7\_3/BTA5dist, BTA18\_25\_26\_28/BTA13,

20 BTA2prox/BTA9dist/BTA2dist\_11, BTA5prox\_22/BTA24, and BTA29/BTA16). In the *M*.

21 *muntjak* lineage, the three chromosomes arose via twenty-six lineage-specific fusions:

*M. muntjak* chromosome 1: BTA7\_3/BTA5prox\_22/BTA17/BTA2prox/BTA1dist/BTA29/
 BTA8prox/BTA9dist/BTA19/ BTA24/BTA23/BTA14/BTA2dist\_11,

*M. muntjak* chromosome 2: BTA15/BTA13/BTA18\_25\_26\_28/BTA9prox/BTA20/BTA21/
 BTA27\_8dist/BTA5dist, and

*M. muntjak* chromosome 3: BTAX/BTA1prox/BTA4/BTA16/BTA12/BTA6prox/BTA6dist/
BTA10.

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We note that while both *M. muntjak* and *M. reevesi* karyotypes include chromosomes that arose
by fusion of BTA13 and BTA18\_25\_26\_28, these events appear to have occurred independently.
Consistent with our analysis, published BAC FISH mapping of *M. reevesi* against *M. crinifrons*, *M. feae*, and *M. gongshanensis* found different locations of *B. taurus* chromosomes 13 and
18\_25\_26\_28 in the muntjac species [27,28]. This supports our finding that these are
independent, lineage-specific fusion events.

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13 In total, we found thirty-eight fusion events and no fissions separating the two muntiac species 14 (Figure 1A). All twelve of the *M. reevesi* fusions identified by our comparative analysis are 15 confirmed by BAC-FISH [20], and seventeen of the *M. muntiak* fusions are confirmed [29]. The 16 additional fusions found in our analysis were not assayed by prior BAC-based studies. Our 17 results are also consistent with the BAC-FISH findings of Chi et al. [9]. The rates of karyotype 18 changes based on fission and fusion events in muntjacs are higher than the mammalian average 19 of 0.4 changes per million years [30]. The M. muntjak lineage, with six fission events and thirty-20 two fusion events over the past 22.8 million years since the cervid ancestor, averaged 1.7 events 21 per million years. In the 4.9 million years since the divergence from *M. reevesi*, this rate has 22 increased to 5.3 fusion events per million years, an order of magnitude greater than the 23 mammalian average. The *M. reevesi* lineage, on the other hand, averaged 0.8 events per million

years over the past 22.8 million years, with an accelerated rate of 1.2 events per million years over the past 4.9 million years. Although the calculated nucleotide divergence and time between the two muntjac species (Figure 1A and 1C, Table S3) mirrors the evolutionary distance between humans and chimpanzees [31,32], this number of fusion events since the muntjac last common ancestor far exceeds the rate in the chimpanzee and human lineages since their respective last common ancestor (*i.e.*, a single fusion on the human lineage [33]).

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8 **Reversal of a cervid-specific fission in** *M. muntjak.* While analyzing the fission and fusion 9 events, we discovered a fusion in *M. muntjak* that reverses, to the resolution of our assembly, the 10 cervid-specific fission of the ancestral chromosome corresponding to BTA6 (Figure S4). To 11 estimate the probability of such a reversion occurring by chance given the high rate of fusion in M. muntjak, we simulated a simplified model for karyotype change with four rules: (1) only one 12 13 fission is allowed per chromosome; (2) all fissions occur first, followed by all fusions; (3) for 14 each fission, a chromosome is chosen at random; and (4) for each fusion, chromosomes and 15 chromosomal orientations are chosen at random. From a starting karyotype of n=29, representing 16 the last common ancestor of cervids and *B. taurus* [20], we simulated the model of fissions and fusions to one million iterations per fission-fusion combination (Figure S5). The M. muntjak 17 18 lineage, with six fissions and thirty-two fusions, had a 4% probability of at least one fusion 19 reversing a prior fission. In comparison, the C. elaphus lineage, with six fissions and one fusion, 20 had only a 0.13% probability of reversal by chance, and the *M. reevesi* lineage, with six fissions 21 and twelve fusions, had a 1.5% chance of reversal. Given the large number of fusions in 22 muntjacs, the probability of a chance reversal of a previous fission is small; however, it is 23 plausible that the reversal was aided by unmodeled effects of differential chromosome fusion

probability arising, for example, by chromosomal proximity in the nucleus. This analysis points
 to the importance of having multiple outgroups (here both *B. taurus* and *C. elaphus*) in
 phylogenetic analyses of karyotypes.

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5 Changes in three-dimensional genome structure after karyotype change. Despite the 6 extensive fusions documented above for *M. muntjak* and *M. reevesi*, the genomes are locally 7 very similar (98.5% identity in aligned regions and fourfold synonymous substitution rate of 8 1.3%). Our Hi-C chromatin conformation capture data allows us to examine the impact of these 9 rearrangements on local (*i.e.*, within a megabase along the genome) and longer (*i.e.*, 5 megabase) 10 length scales as chromosomal segments become juxtaposed in novel ways. Focusing first on the 11 M. muntjak and M. reevesi lineage-specific fusion sites (Tables S4-7), we note the maintenance 12 of distinct Hi-C boundaries in several examples, such as the junction between M. muntiak chromosomes X and 3 at 133 Mb on chromosome 3\_X. Other fusion sites, however, show no 13 14 notable difference from the rest of the genome in *M. muntjak*. As expected, *M. reevesi* shows a 15 clear distinction between intra- and inter-chromosomal contacts, including across fusion sites in 16 *M. muntjak* (Figure 2). To quantify the chromatin changes at these fusion sites, we divided the 17 genomes into 1 Mb bins and compared normalized inter-bin Hi-C contact between bins 5 Mb apart in the two species, using the *M. muntjak* assembly as the backbone for comparison (Figure 18 19 S7). Confirming the initial visual analysis, we found that most bins containing a fusion site have 20 fewer long-range chromatin contacts in M. reevesi (averaging  $0.16 \pm 0.09$  normalized contacts 21 per bin) compared with *M. muntjak* (averaging  $0.62 \pm 0.35$  normalized contacts per bin), though 22 we identified bins with few contacts in both species (Figure S7).

1 In order to test whether differences are present at a more local level, we next compared 2 normalized 1 Mb intra-bin Hi-C contacts between the two species, again using the *M. muntjak* 3 assembly as the backbone for comparison. We found that most of the chromatin contacts are 4 consistent between the two muntjacs, including all but three of the bins containing fusion sites 5 (Figures 3A and S6). Several regions, however, show distinctive variation in chromatin contacts 6 between the two species: the X chromosome and two regions on M. muntjak chromosome 1 7 (186–355 and 615–630 Mb). Since our sequenced M. reevesi sample is male [10] while the 8 sequenced *M. muntjak* sample is female [34], we expect a difference in chromatin contacts on the 9 X chromosome, a finding that is further supported by analysis of copy number across the genome 10 using the 10X Genomics linked-read data (Figure 3B). From this copy number analysis, we also 11 hypothesize that the two regions on *M. muntjak* chromosome 1 (186–355 and 615–630 Mb) are a haplotype-specific duplication and a haplotype-specific deletion, respectively, which would 12 13 explain the difference in chromatin signal between the two muntjacs (Figure 3C–D). Although 14 the inter-bin analysis identified long-range chromatin changes between sites 5 Mb apart, our 15 quantitative comparison of 1 Mb intra-bin chromatin contacts found substantial chromatin 16 conservation between the genome assemblies, including nearly all of the fusion sites. This 17 conclusion is further supported by intra-bin analysis with 100 kb bins (Figure S8).

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On a multi-megabase length scale, mammalian chromosomes can be subdivided into alternating A/B compartments based on intra-chromosome contacts; these compartments correspond to open and closed chromatin, respectively, and differ in gene density and GC content [35]. To test whether these compartments are conserved or disrupted by fusions, we computed the A/B chromatin compartment structures for *M. muntjak* and *M. reevesi* from the Hi-C data, again using

1 the *M. muntjak* assembly as the backbone for comparison. We found that, in general, 2 compartment boundaries are not well conserved between the muntjacs (Figure S9). Specifically, 3 for A/B compartments larger than 3 Mb (*i.e.*, containing more than three 1 Mb bins), only 17 4 compartments were completely conserved between the two species, out of 221 A/B 5 compartments analyzed in M. muntjak and 161 in M. reevesi. We found that many of the 6 compartments in *M. reevesi* are subdivided into multiple compartments in *M. muntjak*. 7 Combining our analysis of A/B compartments and the chromatin contacts, we found that the 8 extensive set of fusions in the *M. muntjac* lineage altered three-dimensional genome structure at 9 the multi-megabase scale while still maintaining conservation at the local level. These large-10 scale chromatin changes accompanying karyotype change must have only limited effects on the 11 underlying gene expression, since the two muntjac species can produce sterile hybrid offspring 12 [36]. Similar uncoupling between genome topology and gene expression has been observed in 13 Drosophila melanogaster [37].

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15 Genic evolution accompanying rapid karyotype change. Finally, we searched for genic 16 differences between muntiac that may have accompanied rapid karyotype evolution. These 17 could, for example, be mutations that led to dysfunctional chromosome maintenance and thus 18 triggered the rapid occurrence of multiple fusions, such as by destabilization of telomeres. More 19 subtly, these genic changes could have occurred as a response to chromosomal change; for 20 example, the dramatic reduction in the number of telomeres following large-scale fusion could 21 be permissive for mutations that make telomere maintenance less efficient. Our survey of gene 22 and gene family differences between muntjacs were suggestive but ultimately inconclusive. In 23 particular, we found evidence for positive selection of centromere-associated proteins CENPQ

and CENPV and meiotic double strand break protein MEI4 as well as the expansion of the
 nucleosome-binding domain-containing HMG14 family in *M. muntjak*.

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### 5 **Conclusions**

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7 We present here new chromosome-scale assemblies of two muntjac deer that differ dramatically 8 in karyotype, despite only limited sequence change, after  $\sim 4.9$  million years of divergence. 9 Analysis of these new assemblies revealed multiple changes in the underlying chromosome 10 structure, including variation in the A/B compartments despite maintenance of local (*i.e.*, sub-11 megabase) three-dimensional genome contacts. One of the chromosome fusions reverses an 12 earlier chromosome fission to the resolution of our assemblies, with the two events being 13 separated by more than eight million years. Several chromosome maintenance associated 14 proteins show accelerated evolution in *M. muntjak*, although functional studies will be required 15 to determine any possible causal link to rapid karyotype change. Future studies will use these 16 assemblies to resolve the nature of the fusion sites and to better understand the biological 17 mechanisms related to chromosome fissions and fusions in muntjac.

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### 20 Methods

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DNA extraction and sequencing. High molecular weight DNA was extracted, as previously
described [38], from fibroblast cell lines obtained from the University of Texas Southwestern

Medical Center for *M. muntjak* (female) [34] and the University of Cambridge for *M. reevesi* (male) [10]. A 10X Genomics Chromium Genome library [39] was prepared for each species by the DNA Technologies and Expression Analysis Cores at the University of California Davis Genome Center and sequenced on the Illumina HiSeq X by Novogene Corporation. A Hi-C chromatin conformation capture library was also prepared for each species using the Dovetail Genomics Hi-C library preparation kit and sequenced on the Illumina HiSeq 4000 by the Vincent J. Coates Genomics Sequencing Laboratory at the University of California Berkeley.

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9 **Shotgun assembly.** 10X Genomics linked-reads were assembled with Supernova (v2.0.1) [39]. 10 Putative archaeal, bacterial, viral, and vector contamination was identified and removed by 11 querying the assemblies using BLAST+ (v2.6.0) [40] against the respective RefSeq and UniVec 12 databases, removing sequences with at least 95% identity, E-value less than 1E-10, and hits 13 aligning to more than half the scaffold size or 200 bases, using custom script general\_decon.sh 14 (v1.0). Putative mitochondrial sequence was also identified and removed by querying the 15 assemblies using BLAST+ (v2.6.0) [40] against their respective mitochondrial assemblies (NCBI 16 NC\_004563.1 [41] and NC\_004069.1 [42]), removing sequence with at least 99% identity and E-17 value less than 1E-10, using custom script mt\_decon.sh (v1.0). 71 scaffolds totaling 836 kb were 18 removed from the *M. muntjak* assembly, and 36 scaffolds totaling 9 kb were removed from the 19 M. reevesi assembly.

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Chromosomal assembly. Hi-C reads were aligned to each assembly with Juicer (v1.5.4-71gd3ee11b) [43]. A preliminary round of Hi-C-based scaffolding was performed with 3D-DNA
(commit 745779b) [44], and residual redundancy due to split haplotypes was manually filtered

through visualization of the Hi-C contact map in Juicebox (v1.9.0) [45], removing the smaller of 1 2 any pair of duplicate scaffolds. This process removed 1.04 Gb of sequence from the M. muntjak 3 assembly and 25 Mb of sequence from the *M. reevesi* assembly. The remaining scaffolds were 4 organized into chromosomes by realigning the Hi-C reads to the deduplicated assembly with 5 Juicer (v1.5.4-71-gd3ee11b) [43], ordering and orienting scaffolds into chromosomes with 3D-6 DNA (commit 745779b) [44], and then manually correcting using Juicebox (v1.9.0) [45]. After 7 correction, gaps in the assembly were filled with adapter-trimmed 10X Genomics data using 8 custom script trim 10X.py (v1.0) and Platanus (v1.2.1) [46].

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10 **Final assembly release and validation.** Scaffolds smaller than 1 kb in the gap-filled assembly 11 were removed with seqtk seq (v1.3-r106; https://github.com/lh3/seqtk), and chromosomes and 12 scaffolds were numbered in order of size using SeqKit (v0.7.2-dev) [47]. X chromosomes were 13 later renamed based on alignment with B. taurus [15]. Chromosomes in both species were 14 oriented arbitrarily. For *M. reevesi*, the chromosome numbering in the assembly may differ from 15 prior BAC-based studies. As *B. taurus* chromosome numbering is universally recognized, the 16 extensive genomic collinearity of cervids, including both muntjacs, with cow provides a standard 17 method of referencing homologous segments.

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To validate the *M. muntjak* assembly, sequenced BACs [21–23] were aligned to it with BWA
(v0.7.17-r1188) [48], and primary alignments were checked against the corresponding FISH
locations, excluding unaligned BACs or those aligned to unplaced scaffolds.

1 Annotation and homology analysis. Repetitive elements were identified and classified with 2 RepeatModeler (v1.0.11) [49] and combined for each species with ancestral Cetartiodactyla 3 repeats from RepBase (downloaded Nov 8, 2018) [50]. The assemblies were then soft masked 4 with RepeatMasker (v4.0.7) [51]. The assemblies were annotated using Gene Model Mapper 5 (v1.5.3) [52] and BLAST+ (v2.6.0) [40] with the following assemblies and annotations from 6 Ensembl release 94 [53] as input evidence: B. taurus (Sep 2011 genebuild of 7 GCA 000003055.3) [15], *H. sapiens* (Jul 2018 genebuild of GCA 000001405.27) [25], and *O.* 8 aries (May 2015 genebuild of GCA 000298735.1) [24]. Coding nucleotide and peptide 9 sequences were extracted using gff3ToGenePred and genePredToProt from the UCSC Genomics 10 Institute (binaries downloaded 2019-03-05) [54] using custom script postGeMoMa.py (v1.0), 11 and functional annotation was run with InterProScan (v5.34-73.0) [26].

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13 Pairwise gene homology of the two muntjac annotations as well as total gene homology of the 14 two muntjac, B. taurus (Ensembl release 94 Sep 2011 genebuild of GCA\_000003055.3) [15,53], 15 C. elaphus (publication genebuild of GCA\_002197005.1) [16], and R. tarandus (release date 2017-10-17 genebuild) [17] annotations were analyzed with OrthoVenn [55] using the default E-16 17 value of 1e-5 and inflation value of 1.5. One-to-one orthologous muntjac genes were extracted 18 from the pairwise OrthoVenn output, and Yang-Nielsen synonymous and nonsynonymous 19 substitution rates were calculated with the Ks calculation script (commit 78dda1e; 20 https://github.com/tanghaibao/bio-pipeline/tree/master/synonymous\_calculation) using 21 ClustalW2 (v2.1) [56] and PAML (v4.7) [57]. Gene gain was identified from the full gene 22 homology OrthoVenn output, requiring that the number of *M. muntjak* genes in an OrthoVenn 23 cluster be greater than the number of genes found in any other analyzed species. Putative gene

names of the results were extracted from the BLAST+ (v2.6.0) [40] best hit to the *H. sapiens*proteome from UniProt [58].

3

4 **Comparative analysis.** The two muntiac assemblies were aligned to each other with cactus 5 (v1590-ge4d0859) [59]. After removing any ambiguous sequence with seqtk randbase (v1.3-6 r106; https://github.com/lh3/seqtk), the muntjac assemblies, C. elaphus (GCA\_002197005.1) 7 [16], and R. tarandus (release date 2017-10-17 version) [17] were each also aligned pairwise 8 against B. taurus (GCA 000003055.3) [15] with cactus (v1590-ge4d0859) [59]. Using custom 9 script cactus filter.py (v1.0), all pairwise output HAL alignment files were converted into PSL 10 format with halLiftover (v200-gf7287c8) [60]. Using tools from the UCSC Genomics Institute 11 (binaries downloaded 2019-03-05) [54] unless noted otherwise, the PSL files were filtered and 12 converted with pslMap, axtChain, chainPreNet, chainCleaner (commit aacca59) [61], chainNet, 13 netSyntenic, netToAxt, axtSort, and axtToMaf. Runs of collinearity were extracted from each 14 pairwise MAF file by linking together local alignment blocks where the species 1 and species 2 15 locations, correspondingly, are in the same orientation and are neighboring in their respective 16 genomes without intervening aligned sequence from elsewhere in the genomes. The pairwise 17 MAF files from the alignments against B. taurus were also merged with ROAST/MULTIZ 18 (v012109) [62], using the phylogenetic topology extracted with Newick utilities (v1.6) [63] from 19 a consensus tree of the species from 10kTrees [64], and sorted with last (v912) [65].

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Phylogeny. From the one-to-one orthologous genes of all five species identified by OrthoVenn,
codons with potential four-fold degeneracy were extracted from the *B. taurus* Ensembl release 94
Sep 2011 genebuild, excluding codons spanning introns, using custom script 4Dextract.py (v1.0).

1 Using the ROAST-merged MAF file with *B. taurus* as reference, the corresponding codons were 2 identified in the other four species, checking for corresponding amino acid conservation and 3 excluding any codons that span two alignment blocks in the MAF file. The output fasta file 4 containing four-fold degenerate bases was converted in phylip format with BeforePhylo (commit 5 0885849; https://github.com/qiyunzhu/BeforePhylo) and then analyzed with RAxML (v8.2.11) 6 [66] using the GTR+Gamma model of substitution with outgroup *B. taurus*. As previously 7 described [67], the divergence time confidence intervals from TimeTree [68] for all nodes except 8 the outgroup B. taurus node were input into MEGA7 (v7.0.26) [69] using the Reltime method 9 [70] and the GTR+Gamma model to create a time tree. To confirm the resulting times, the time 10 calculated for the outgroup *B. taurus* node was verified in the literature [71].

11

12 **Chromatin conformation analysis.** Hi-C reads from both species were aligned to the *M*. 13 muntjak assembly with Juicer (v1.5.4-71-gd3ee11b) [43], and KR normalized intrachromosomal 14 Hi-C contact matrices were extracted with Juicer Tools (v1.5.4-71-gd3ee11b) [43] at 1 Mb 15 resolution. A sliding window-based localized principle component analysis (PCA) was used to 16 call A/B compartment structure using custom script call-compartments.R 17 (https://bitbucket.org/bredeson/artisanal). Localization of the PCA along the diagonal of the 18 Pearson correlation matrix (forty 1 Mb windows with a step of twenty) mitigates confounding 19 signal from large-scale intrachromosomal interarm contacts and amplifies compartment signal. 20 21 Hi-C contacts from the Juicer (v1.5.4-71-gd3ee11b) [43] merged nodups.txt output file were

split into 1 Mb and 100 kb bins using custom scripts HiCbins\_1Mb.py and HiCbins\_100kb.py,

1	respectively. Intra-bin and inter-bin Hi-C contacts were extracted and normalized based on the
2	average number of contacts per bin for each species.
3	
4	Copy number analysis. To explore the three regions with variation in chromatin contacts,
5	adapter trimmed 10X Genomics data for each species was aligned to the M. muntjak assembly
6	with BWA (v0.7.17-r1188) [48]. Alignment depth was extracted with SAMtools (v1.6) [72], and
7	copy number was calculated from the average alignment depth per 1 Mb bin for each species.
8	
9	
10	Abbreviations
11	
12	B. taurus: Bos taurus; C. elaphus: Cervus elaphus; H. sapiens: Homo sapiens; M. crinifrons:
13	Muntiacus crinifrons; M. feae: Muntiacus feae; M. gongshanensis: Muntiacus gongshanensis;
14	M. muntjak: Muntiacus muntjak; M. reevesi: Muntiacus reevesi; O. aries: Ovis aries; R.
15	tarandus: Rangifer tarandus; bacterial artificial chromosome: BAC; fluorescent in situ
16	hybridization: FISH; proximal: prox; distal: dist; principle component analysis: PCA
17	
18	
19	Declarations
20	
21	Ethics approval and consent to participate. Not applicable
22	
23	Consent for publication. Not applicable

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2	Availability of data and materials. The assemblies, annotations, and raw data for M. muntjak
3	and M. reevesi were deposited at NCBI under BioProjects PRJNA542135 and PRJNA542137,
4	respectively. Supporting files for the repeat and gene annotations are available at
5	https://doi.org/10.6078/D1KT16. Unless otherwise stated, custom code used in this study is
6	available at https://github.com/abmudd/Assembly.
7	
8	Competing interests. DSR is a member of the Scientific Advisory Board of and a minor
9	shareholder in Dovetail Genomics, which developed the Hi-C library preparation kit used in this
10	study and performed quality control analyses on the Hi-C libraries.
11	
12	Funding. This study was supported by NIH grants R01GM086321 and R01HD080708 to DSR
13	and R01CA196884 to DH. ABM was supported by NIH grants T32GM007127 and
14	T32HG000047 and a David L. Boren Fellowship. RB was supported by NIH grant
15	T32GM066698. DH is a Pew-Stewart Scholar for Cancer Research supported by the Pew
16	Charitable Trusts and the Alexander and Margaret Stewart Trust. DH and DSR are Chan
17	Zuckerberg Biohub Investigators. This work used the Vincent J. Coates Genomics Sequencing
18	Laboratory at the University of California Berkeley, supported by NIH grant S100D018174, and
19	the DNA Technologies and Expression Analysis Cores at the University of California Davis
20	Genome Center, supported by NIH grant S10OD010786. This research used the National Energy
21	Research Scientific Computing Center, a Department of Energy Office of Science User Facility
22	supported by contract number DE-AC02-05CH11231.
23	

Authors' contributions. ABM assembled and annotated the genomes, completed the
bioinformatic analyses, and wrote the manuscript. JVB assisted with the bioinformatic analyses
and script development. RB prepared the Hi-C libraries. DH coordinated the cell line
acquisitions, extracted the DNA, and prepared the Hi-C libraries. DH and DSR provided
scientific leadership of the project and wrote the manuscript. All authors reviewed the
manuscript.

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8 Acknowledgements. We thank Jerry Shay and Woody Wright for providing the *M. muntjak* cell 9 line; Malcolm Ferguson-Smith and Fengtang Yang for providing the *M. reevesi* cell line; Karen 10 Lundy and the Functional Genomics Laboratory at the University of California Berkeley for 11 running quality control on the extracted DNA; Dovetail Genomics for providing the Hi-C library 12 preparation kit and running quality control on the Hi-C libraries; Shana McDevitt and the 13 Vincent J. Coates Genomics Sequencing Laboratory at the University of California Berkeley for 14 sequencing the Hi-C libraries; Jessica Lyons for coordinating the preparation and sequencing of 15 the *M. muntjak* 10X Genomics library; Diana Burkart-Waco and the DNA Technologies and 16 Expression Analysis Cores at the University of California Davis Genome Center for preparing 17 the 10X Genomics libraries; Novogene Corporation for sequencing the 10X Genomics libraries; 18 and Gary Karpen for providing comments on the manuscript.

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21 Figures and tables

1 Figure 1. Evolutionary and phylogenomic analyses. [A] The phylogenetic tree of the five 2 analyzed species, calculated from four-fold degenerate sites and divergence time confidence 3 intervals, was visualized with FigTree (commit 901211e; https://github.com/rambaut/figtree). 4 The tree denotes the ancestral karyotype at each node and the six branches with fission and 5 fusion events relative to the ancestral karyotype. The lack of fissions or fusions on the R. 6 tarandus-specific branch as well as the timings of the cervid-specific and B. taurus-specific 7 fissions are derived from literature [20]. [B] Plot with jcvi.graphics.karyotype (v0.8.12; 8 https://github.com/tanghaibao/jcvi) using runs of collinearity containing at least 25 kb of aligned 9 sequence between B. taurus, C. elaphus, M. reevesi, and M. muntjak. R. tarandus was excluded, 10 as it is not a chromosome-scale assembly. [C] Pairwise distances in substitutions per four-fold 11 degenerate site extracted from the RAxML (v8.2.11) [66] phylogenetic tree using Newick 12 utilities (v1.6) [63] are shown from reference genome *M. muntjak*.

13

Figure 2. Chromosomal Hi-C contact maps. Visualization of the *M. muntjak* chromosomes'
Hi-C contact map (bottom left) and the *M. reevesi* chromosomes' Hi-C contact map (top right)
using the *M. muntjak* assembly as the reference in Juicebox (v1.9.0) [45]. The blue lines
demarcate the boundaries of the three *M. muntjak* chromosomes.

18

Figure 3. Evaluation of inter-chromosomal contacts. [A] 1 Mb intra-bin Hi-C contacts for *M*. *muntjak* (y axis) vs. *M. reevesi* (x axis) with the bins containing the *M. muntjak* lineage-specific
fusion sites (Table S6), chromosome ends, the X chromosome, the potential *M. muntjak*haplotype-specific duplication, and the potential *M. muntjak* haplotype-specific deletion colored.
The expected result of conserved Hi-C contacts is represented with a dashed red line. For fusion

site ranges spanning two bins, the bin containing the majority of the fusion site range was deemed to be the fusion site bin. Copy number was calculated from normalized coverage of adapter-trimmed 10X Genomics linked-reads for three regions with variation in the chromatin contacts: [B] the X. chromosome, [C] the potential *M. muntjak* haplotype-specific duplication, and [D] the potential *M. muntjak* haplotype-specific deletion, with the copy number of *M. muntjak* in blue and *M. reevesi* in orange.

7

8 Figure S1. [A] Venn diagram of gene homology between the two muntjac annotations, B. taurus 9 (Ensembl release 94 Sep 2011 genebuild of GCA 000003055.3) [15,53], C. elaphus (publication 10 genebuild of GCA\_002197005.1) [16], and R. tarandus (release date 2017-10-17 genebuild) [17] 11 annotations analyzed with OrthoVenn [55] and [B] the occurrence table of gene homology 12 between these species reanalyzed with OrthoVenn2 [73] for visualization purposes. In the 13 occurrence table, the green and grey represent the presence or absence, respectively, of that 14 species in the OrthoVenn 2 clustering. The number of clusters and proteins are provided for all 15 species combinations.

16

Figure S2. Circos (v0.69-6) [74] plots with runs of collinearity containing at least 25 kb of
aligned sequence between [A] *B. taurus* (left, Bt) and *C. elaphus* (right, Ce), [B] *B. taurus* (left,
Bt) and *M. muntjak* (right, Mm), [C] *B. taurus* (left, Bt) and *M. reevesi* (right, Mr), and [D] *M. reevesi* (left, Mr) and *M. muntjak* (right, Mm).

21

Figure S3. Circos (v0.69-6) [74] plots using runs of collinearity containing at least 25 kb of
aligned sequence between [A] *B. taurus* (left, Bt) and *M. muntjak* (right, Mm) and [B] *B. taurus*

1	(left, Bt) and M. reevesi (right, Mr) specifying the six shared muntjac fusions: 7/3 (purple),
2	5prox/22 (red), 2dist/11 (green), 18/25/26_28 (blue), and 27/8dist (orange).
3	
4	Figure S4. Circos (v0.69-6) [74] plots using runs of collinearity containing at least 25 kb of
5	aligned sequence between [A] B. taurus (left, Bt) and C. elaphus (right, Ce) with the fission of B.
6	taurus chromosome 6 in purple; [B] B. taurus (left, Bt) and M. muntjak (right, Mm) with the
7	fission-fusion reversal of <i>B. taurus</i> chromosome 6 in orange; and [C] <i>B. taurus</i> (left, Bt) and <i>M.</i>
8	reevesi (right, Mr) with the fission of B. taurus chromosome 6 in green.
9	
10	Figure S5. Heatmap of probabilities where at least one fusion reverses a prior fission modeled to
11	one million iterations for each possible scenario from a starting karyotype of n=29 using custom
12	script run_fission_fusion.sh (v1.0).
13	
14	Figure S6. Using a bin size of 1 Mb and the <i>M. muntjak</i> assembly as the reference, normalized
15	intra-bin Hi-C contacts for M. muntjak (blue) and M. reevesi (orange) at each position on [A]
16	chromosome 1, [B] chromosome 2, and [C] chromosome 3_X. The difference of M. muntjak
17	contacts minus M. reevesi contacts is displayed in yellow.
18	
19	Figure S7. 1 Mb inter-bin Hi-C contacts between bins 5 Mb apart for <i>M. muntjak</i> (y axis) vs. <i>M</i> .
20	reevesi (x axis) with the inter-bin contacts that span across but do not include the M. muntjak
21	lineage-specific fusion sites (Table S6) colored black. The expected result of conserved Hi-C
22	contacts is represented with a dashed red line.
23	

Figure S8. 100 kb intra-bin Hi-C contacts for *M. muntjak* (y axis) vs. *M. reevesi* (x axis) with the bins containing the *M. muntjak* lineage-specific fusion sites (Table S6) colored black. The expected result of conserved Hi-C contacts is represented with a dashed red line. For fusion site ranges spanning two bins, the bin containing the majority of the fusion site range was deemed to be the fusion site bin. For fusion site ranges spanning three or more bins, the middle 100 kb bin(s) was deemed to be the fusion site bin(s).

7

8 Figure S9. Using the *M. muntjak* assembly as reference, identification of A/B compartment
9 boundaries for *M. muntjak* (blue) and *M. reevesi* (orange) based on PCA 1 eigenvalues with call10 compartments.R.

11

12 **Table S1.** Summary of genome assembly. Statistics were calculated using assemblathon\_stats.pl

13 (commit d1f044b) [75] and GenomeTools (v1.5.9) [76].

Genomic feature	M. muntjak	M. reevesi
Total scaffold length, bp	2,573,529,099	2,579,575,442
Number of scaffolds	25,651	29,705
Scaffold N50 length, bp	682,452,208	94,101,870
Total contig length, bp	2,518,738,577	2,514,747,046
Number of contigs	49,270	53,090
Contig N50 length, bp	215,534	225,142
Contigs sequence in chromosomes, %	95.06	92.93
Contig GC content, %	41.59	41.59
Masked contig repeat sequence, %	40.33	40.06
Number of genes	25,753	26,054
Genes with functional annotation, %	98.11	98.15
Average number of exons per gene	7.83	7.77
Median gene size, aa	328	326

Median exon size, bp	124	124
Median intron size, bp	921	911

- **Table S2.** DNA sequencing generated for the genome assembly.

Species	Library type	Total number of reads	Total bases sequenced
M. muntjak (NCBI	10X Genomics	768,921,264	115,338,189,600
BioProject	Chromium Genome		
PRJNA542135)	Dovetail Genomics	521,749,568	78,784,184,768
	Hi-C		
M. reevesi (NCBI	10X Genomics	696,864,964	104,529,744,600
BioProject	Chromium Genome		
PRJNA542137)	Dovetail Genomics	530,002,086	80,030,314,986
	Hi-C		

**Table S3.** Pairwise nucleotide divergence based on four-fold degeneracy between the examined

# 5 species.

Species	B. taurus	C. elaphus	M. muntjak	M. reevesi
C. elaphus	0.0549632	_	-	-
M. muntjak	0.0606332	0.0266608	_	_
M. reevesi	0.0598953	0.0259229	0.0130037	_
R. tarandus	0.0591649	0.0298125	0.0354825	0.0347446

**Table S4.** Locations of six cervid-specific fissions on the *B. taurus* genome assembly.

B. taurus	Using runs of	Using runs of	Using runs of
chromosome	collinearity from C.	collinearity from M.	collinearity from M.
	elaphus	muntjak	reevesi
BTA1	58,941,477 –	57,645,593 -	57,645,547 -
	58,978,602	57,778,258	57,746,127
BTA2	93,282,776 -	79,668,309 -	79,668,935 -
	93,424,724	79,719,766	79,719,765
BTA5	70,623,938 -	57,880,818 -	57,880,818 -
	70,699,763	58,822,584	60,196,482
BTA6	63,301,740 -	Fusion reversal	68,435,554 -
	63,370,450		68,455,313
BTA8	64,071,291 -	67,266,180 -	67,369,805 -
	64,114,095	67,499,444	67,497,566
BTA9	63,670,677 -	64,824,832 -	64,824,832 -
	64,013,115	65,087,945	65,087,945

1

# 2 Table S5. Locations of shared fusion events in the *M. muntjak* and *M. reevesi* genome assembly

3 using runs of collinearity from *B. taurus*.

B. taurus fused chromosomes	M. muntjak	M. reevesi
7/3	Chr1: 103,142,901 –	Chr1: 103,650,999 –
	103,201,151	103,852,521
5prox/22	Chr1: 267,859,762 –	Chr4: 52,627,734 –
	267,926,350	52,781,577
2dist/11	Chr1: 1,006,153,244 –	Chr3: 100,711,306 –
	1,006,638,886	101,302,993
18/25	Chr2: 216,351,804 –	Chr2: 210,159,178 –
	216,390,051	210,200,937
25/26	Chr2: 256,956,082 –	Chr2: 169,793,458 –
	257,281,016	170,063,149
26_28 (B. taurus fission)	Chr2: 305,072,202 –	Chr2: 121,918,267 –
	305,072,202	122,082,397
27/8dist	Chr2: 580,274,743 –	Chr9: 40,769,993 –
	582,942,905	43,560,918

- 5 **Table S6.** Locations of twenty-six unique fusion events in the *M. muntjak* genome assembly
- 6 derived from one-to-one orthologs between *M. muntjak* and *M. reevesi* and then refined using
- 7 runs of collinearity from *B. taurus* and *M. reevesi* against *M. muntjak*.

M. muntjak chromosome	<i>M. muntjak</i> start	M. muntjak end
Chromosome 1	215,667,096	215,740,550
Chromosome 1	326,596,489	326,664,606
Chromosome 1	394,376,597	394,423,120
Chromosome 1	468,279,958	468,421,169
Chromosome 1	562,054,424	562,154,407
Chromosome 1	609,147,303	609,442,186
Chromosome 1	669,798,392	669,917,570
Chromosome 1	707,332,274	707,411,696
Chromosome 1	767,481,614	767,858,594
Chromosome 1	825,563,679	825,664,460
Chromosome 1	875,352,976	875,473,556
Chromosome 1	952,277,739	952,439,995
Chromosome 2	76,554,689	76,587,068
Chromosome 2	155,096,035	155,468,627
Chromosome 2	348,208,540	348,522,144

Chromosome 2	407,305,863	407,476,405
Chromosome 2	474,891,789	475,146,554
Chromosome 2	540,052,390	540,055,842
Chromosome 2	624,503,504	624,522,918
Chromosome 3_X	133,000,163	133,001,250
Chromosome 3_X	184,069,851	184,122,591
Chromosome 3_X	295,103,485	295,251,858
Chromosome 3_X	370,301,578	370,307,164
Chromosome 3_X	454,989,747	454,992,643
Chromosome 3_X	516,012,504	516,138,154
Chromosome 3_X	562,995,659	563,046,092

1

- 2 **Table S7.** Locations of six unique fusion events in the *M. reevesi* genome assembly derived from
- 3 one-to-one orthologs between *M. muntjak* and *M. reevesi* and then refined using runs of
- 4 collinearity from *B. taurus* and *M. muntjak* against *M. reevesi*.

M. reevesi chromosome	M. reevesi start	M. reevesi end	
Chromosome 1	216,558,007	216,594,231	
Chromosome 2	78,441,940	78,562,328	
Chromosome 3	154,290,838	154,298,872	
Chromosome 3	191,988,183	192,099,419	
Chromosome 4	111,704,918	111,709,617	
Chromosome 5	47,104,391	47,224,935	

5

6

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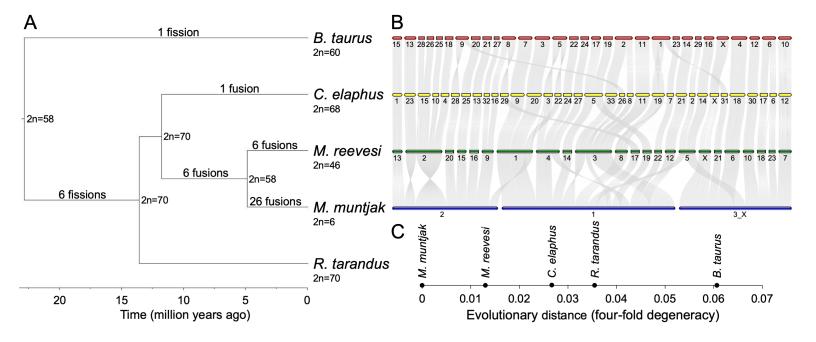
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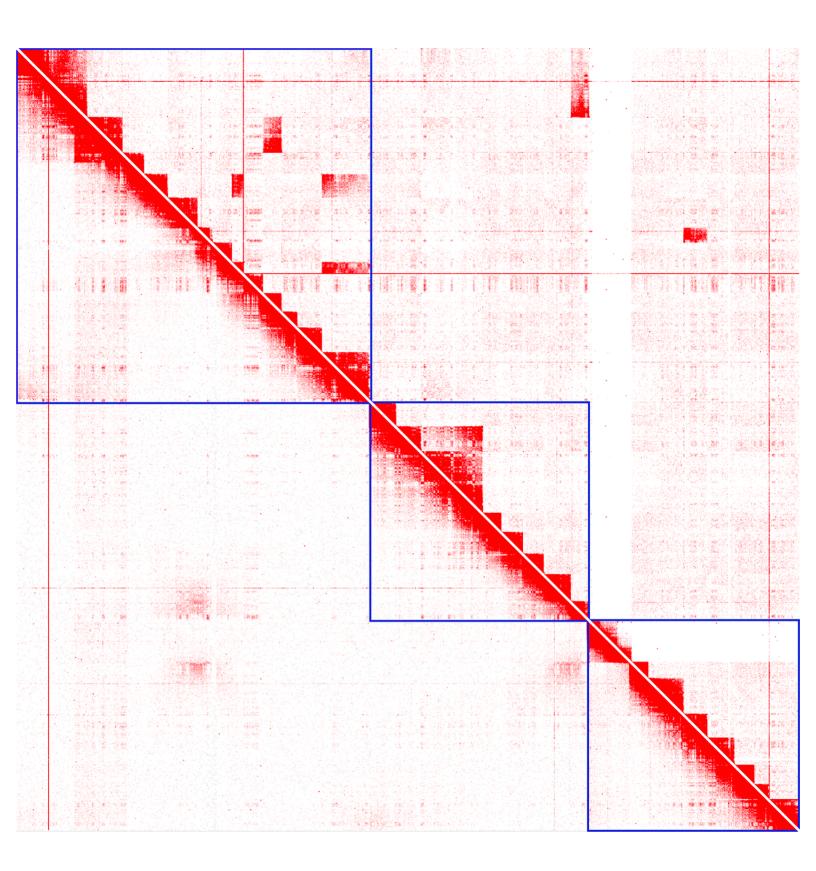
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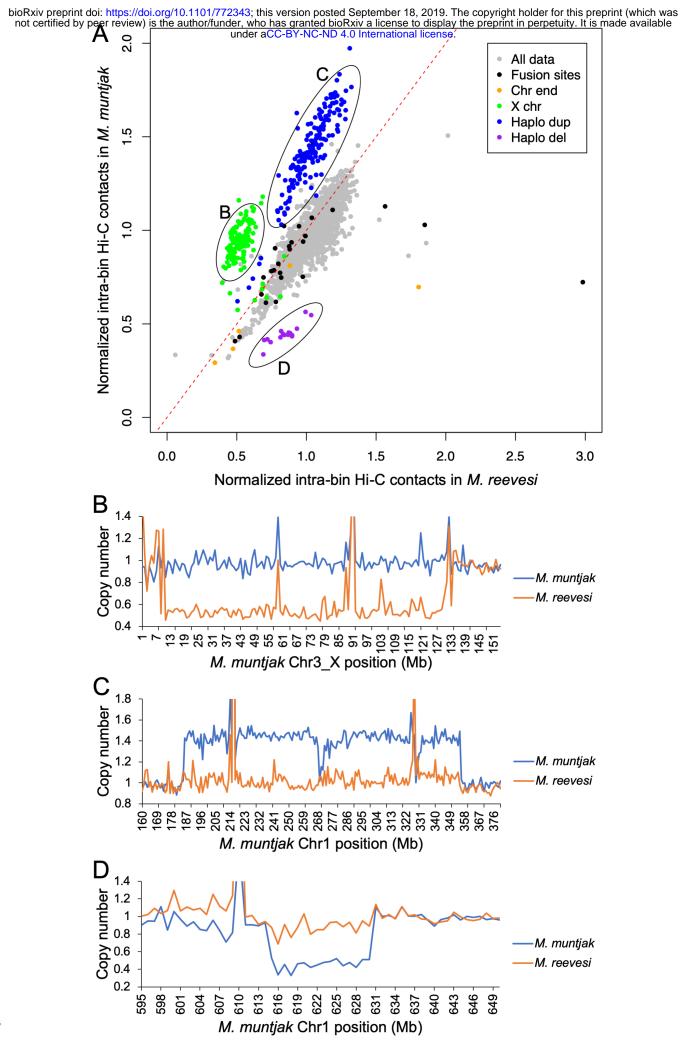
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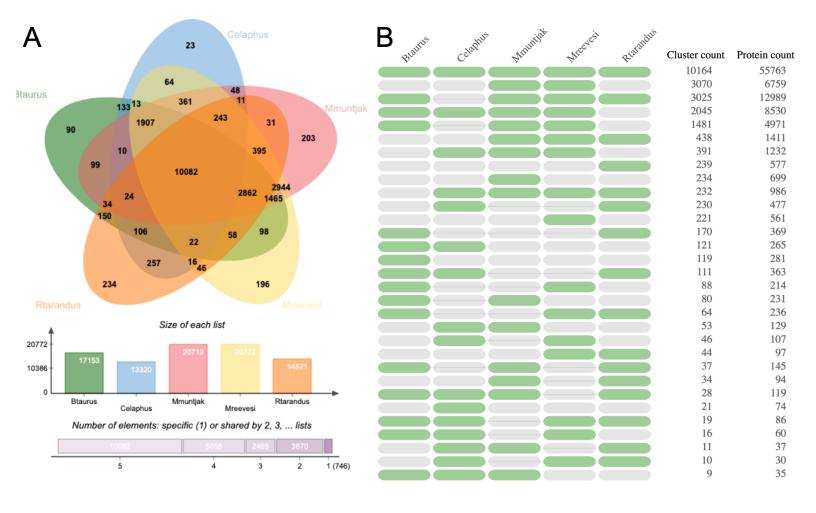
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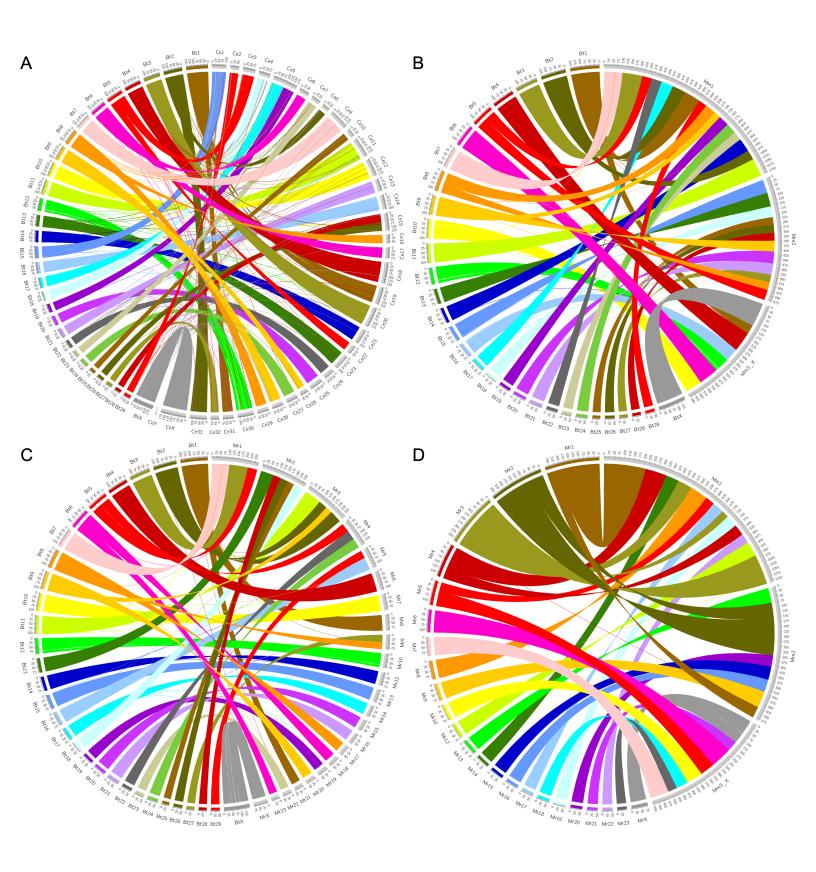
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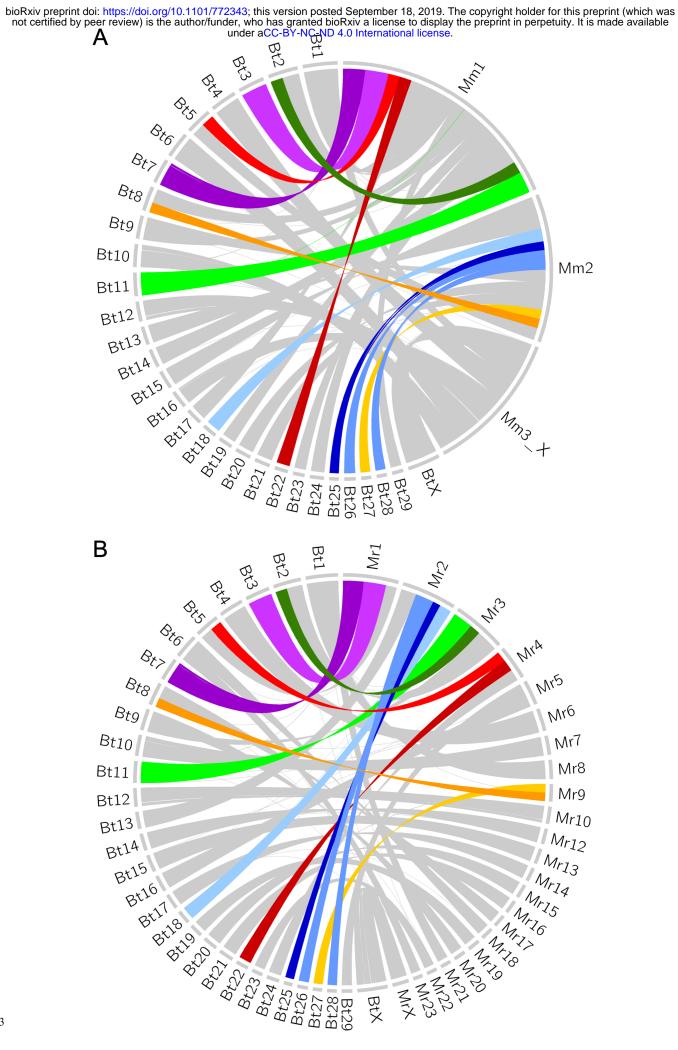


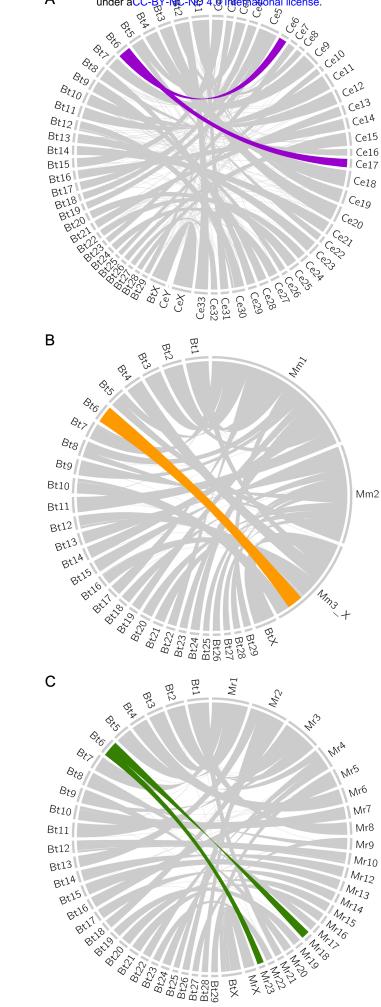


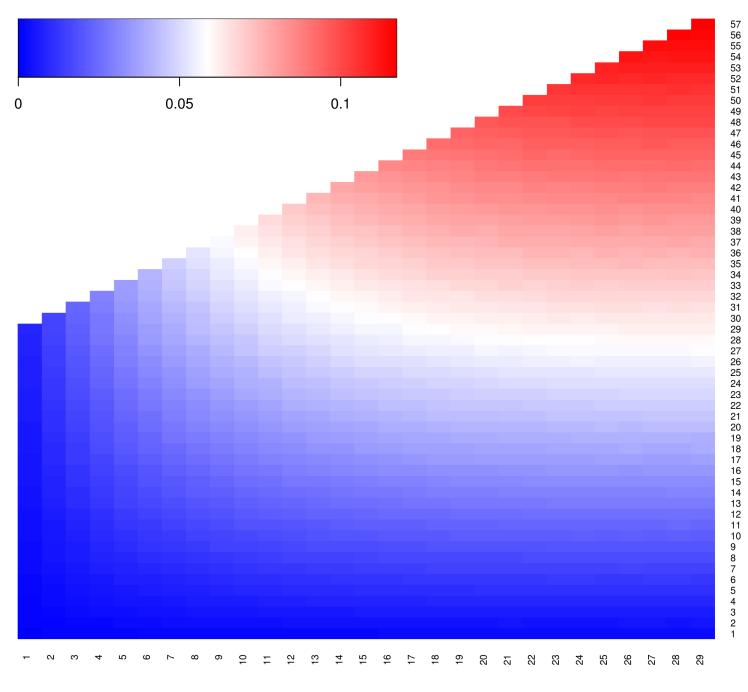








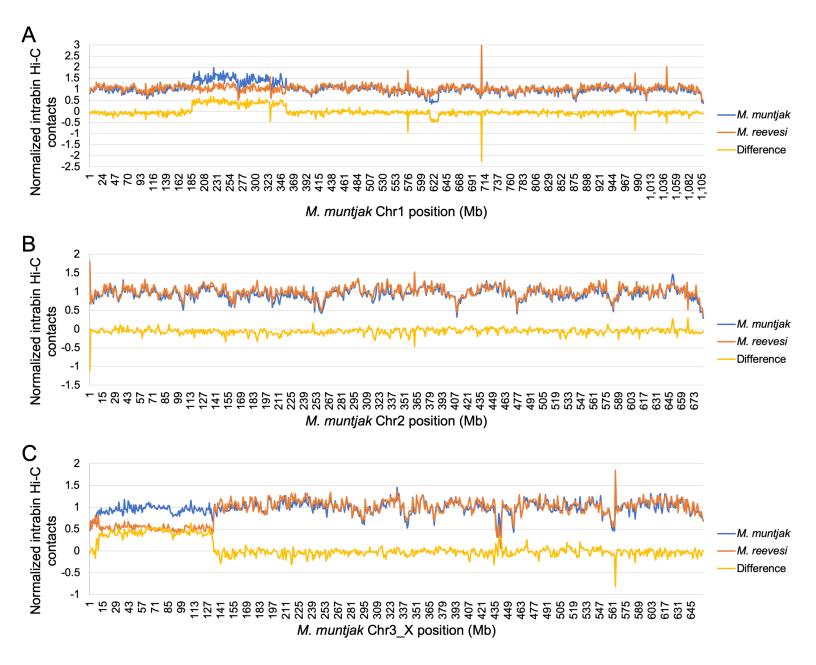


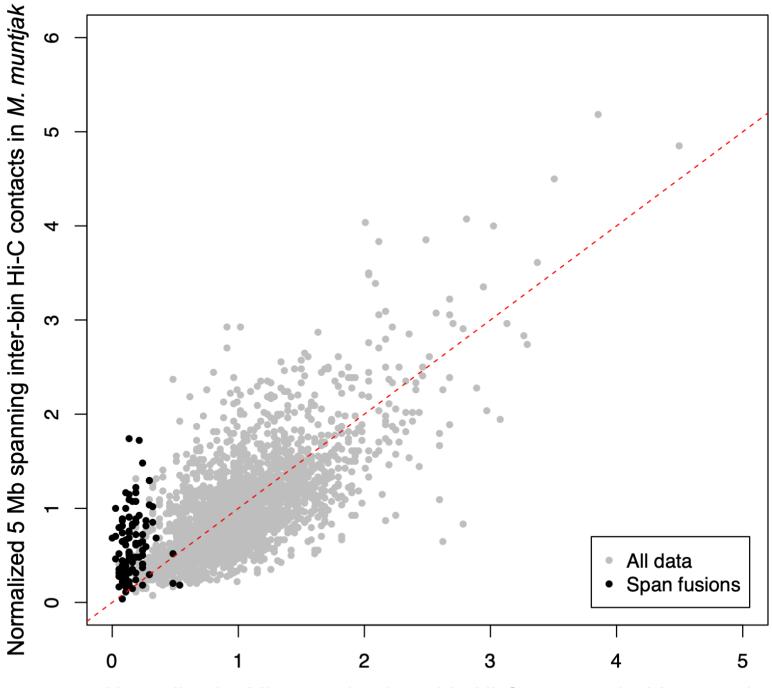


Fusions

Fissions

Figure S5





Normalized 5 Mb spanning inter-bin Hi-C contacts in M. reevesi

