Physics-based predictive simulations to explore the differential effects of motor control and musculoskeletal deficits on gait dysfunction in cerebral palsy: a retrospective case study

Antoine Falisse ^{1,*}, Lorenzo Pitto ¹, Hans Kainz ¹, Hoa Hoang ¹, Mariska Wesseling ¹, Sam Van Rossom ¹, Eirini Papageorgiou ², Lynn Bar-On ^{2,3}, Ann Hallemans ⁴, Kaat Desloovere ², Guy Molenaers ^{5,6}, Anja Van Campenhout ^{5,6}, Friedl De Groote ¹, Ilse Jonkers ¹

 ¹Department of Movement Sciences, KU Leuven, Leuven, Belgium
 ²Department of Rehabilitation Sciences, KU Leuven, Leuven, Belgium
 ³Department of Rehabilitation Medicine, Amsterdam Movement Sciences, Amsterdam UMC, VU University Medical Center, Amsterdam, The Netherlands
 ⁴Department of Rehabilitation Sciences and Physiotherapy, University of Antwerp, Antwerp, Belgium
 ⁵Department of Orthopaedic Surgery, UZ Leuven, Leuven, Belgium
 ⁶Department of Development and Regeneration, KU Leuven, Leuven, Belgium

Correspondence*: Antoine Falisse antoine.falisse@kuleuven.be

2 ABSTRACT

1

Model-based simulations of walking have the theoretical potential to support clinical decision 3 making by predicting the functional outcome of treatments in terms of walking performance. Yet 4 before using such simulations in clinical practice, their ability to identify the main treatment targets 5 in specific patients needs to be demonstrated. In this study, we generated predictive simulations of 6 walking with a medical imaging based neuro-musculoskeletal model of a child with cerebral palsy 7 presenting crouch gait. We explored the influence of altered muscle-tendon properties, reduced 8 neuromuscular control complexity, and spasticity on gait function in terms of joint kinematics, 9 kinetics, muscle activity, and metabolic cost of transport. We modeled altered muscle-tendon 10 properties by personalizing Hill-type muscle-tendon parameters based on data collected during 11 functional movements, simpler neuromuscular control by reducing the number of independent 12 muscle synergies, and spasticity through delayed muscle activity feedback from muscle force and 13 force rate. Our simulations revealed that, in the presence of aberrant musculoskeletal geometries, 14 altered muscle-tendon properties rather than reduced neuromuscular control complexity and 15 spasticity were the primary cause of the crouch gait pattern observed for this child, which is in 16 agreement with the clinical examination. These results suggest that muscle-tendon properties 17 should be the primary target of interventions aiming to restore a more upright gait pattern for this 18 child. This suggestion is in line with the gait analysis following muscle-tendon property and bone 19 deformity corrections. The ability of our simulations to distinguish the contribution of different 20

Falisse et al.

impairments on walking performance opens the door for identifying targeted treatment strategies with the aim of designing optimized interventions for neuro-musculoskeletal disorders.

23 Keywords: computational biomechanics, Hill-type muscle model, human locomotion, magnetic resonance imaging, muscle-tendon

1 INTRODUCTION

Cerebral palsy (CP) is the most common cause of motor disability amongst children, affecting 2 to 3 25 per 1000 live births in Europe (Surveillance of Cerebral Palsy in Europe (2002)). CP is caused by a 26 non-progressive lesion in the immature brain that may induce inabilities to selectively control muscles, 27 spasticity, and weakness. These deficits undermine walking performance and, over time, lead to secondary 28 impairments, such as bone deformities and muscle contracture, that may further deteriorate walking 29 30 abilities (Gage et al. (2009)). Numerous treatments target these impairments with the aim of improving walking performance, such as single-event multi-level orthopedic surgeries (SEMLS) to correct multiple 31 bone and muscle impairments in a single intervention (McGinley et al. (2012)). Yet walking involves 32 complex interactions between the musculoskeletal and motor control systems, which are both impaired 33 in CP. Hence, the treatment outcome does not only depend on the success of the intervention in terms of 34 musculoskeletal remediation but also on the remaining motor control (Schwartz et al. (2016)). As a result, 35 over the last decades, only modest, unpredictable, and stagnant treatment outcomes have been documented 36 for children with CP (Schwartz (2018)). For example, SEMLS have been reported to improve walking 37 performance in only 25 to 43% of the patients (Filho et al. (2008); Chang et al. (2006)) and to lead to 38 clinically meaningful improvements over natural progression in only 37% of the cases (Rajagopal et al. 39 (2018)). Computer models that can predict the functional outcome of treatments on walking performance 40 have the potential to improve this success rate by allowing clinicians to optimize the clinical decision 41 42 making (e.g., by discriminating the effects of musculoskeletal restoration due to surgical interventions to those from tone reduction and physical therapy targeting motor control impairments). However, predictive 43 simulations are not yet applied in clinical practice, in part due to computational and modeling challenges. 44

45 Predictive simulations generate novel movements based on a mathematical model of the neuromusculoskeletal system without relying on experimental data. Typically, these simulations consist in 46 identifying muscle excitations that follow a certain control strategy and drive the musculoskeletal model 47 to achieve a movement-related goal (e.g., moving forward at a given speed). For such simulations to be 48 valuable in predicting the functional outcome of treatments on walking performance, they should be based 49 on models that are complex enough to describe the musculoskeletal structures and motor control processes 50 51 underlying walking that may be impaired and thus affected by treatment. Yet these complex models are computationally expensive in predictive simulations (Anderson and Pandy (2001); Miller (2014); Song 52 and Geyer (2015); Lin et al. (2018)) and, therefore, their ability to predict the variety of gaits encountered 53 54 under different conditions (e.g., healthy and pathological gaits) has been only scarcely explored in the literature. We recently developed a simulation framework to generate rapid (i.e., about 30 minutes of 55 computational time) predictive simulations of gait with complex models (Falisse et al. (2019)). Further, 56 we demonstrated the ability of our framework to predict the mechanics and energetics of a broad range 57 of gaits, suggesting that our models and simulations were sufficiently generalizable for use in clinical 58 applications. Nevertheless, the ability of our simulations to identify the main treatment targets in specific 59 patients remains untested. Specifically, for children with CP, simulations should allow distinguishing the 60 effects of musculoskeletal versus motor control impairments on walking performance to be able to help 61 clinicians optimize treatments. 62

²⁴ complex, optimal control, spasticity, synergy

Falisse et al.

Predictive simulations of CP gaits

Predicting the effects of impairments on walking performance in children with CP requires that the neuromusculoskeletal model captures these impairments. In this work, we focus on two types of impairments: motor control impairments that include spasticity and non-selective muscle control, and musculoskeletal impairments that include bone deformities and altered muscle-tendon properties.

Spasticity has been described as a velocity-dependent increase in tonic stretch reflex responses resulting 67 from hyper-excitability of the stretch reflex (Lance (1980)). Following such description, models have been 68 developed to describe the measured response in muscle activity (i.e., electromyography (EMG)) to passive 69 stretches based on feedback from muscle velocity (van der Krogt et al. (2016)). However, we previously 70 showed that a model based on feedback from muscle force and force rate better explains the response 71 72 of spastic hamstrings and gastrocnemii than length- and velocity-based models (Falisse et al. (2018)). Further, we found that a force-based model could predict muscle activity in agreement with pathological 73 EMG during gait. While spasticity manifests during passive stretches, its influence during gait remains 74 75 unclear (Dietz and Sinkjaer (2007)). Incorporating spasticity models in predictive simulations would allow evaluating the impact of spasticity on gait performance, providing insights into the role of spasticity during 76 gait. Further, modeling spasticity is a prerequisite for simulating the effects of treatments aiming to reduce 77 78 spasticity, such as botulinum toxin type A (BTX-A) injections.

79 The inability to selectively control muscles has been described through muscle synergies (Ivanenko 80 et al. (2004)), which are independent groups of muscles activated in a fixed ratio by a single input signal. Children with CP have been shown to use fewer synergies (i.e., a simpler neuromuscular control strategy) 81 82 than typically developing (TD) individuals during walking (Steele et al. (2015)) as well as to use synergies exhibiting a greater stride-to-stride variability (Kim et al. (2018)). However, assessing the relationship 83 between simpler neuromuscular control and impaired gait is difficult. For example, Shuman et al. (2019) 84 85 showed that treatments such as BTX-A injections, selective dorsal rhizotomy, and SEMLS minimally 86 affected synergies despite changing the walking patterns. Predictive simulations have the potential to relate synergy complexity to impaired walking abilities, which might help designing specific treatments (e.g., 87 88 physical therapy protocols) targeting impaired selective motor control.

Bone deformities and resultant altered muscle path trajectories make the use of generic musculoskeletal 89 90 models linearly-scaled to the subjects' anthropometry inappropriate for clinical analyses in children with CP. A well established approach to capture these aberrant geometries is through the use of models created 91 from Magnetic Resonance Imaging (MRI) (Arnold et al. (2001); Scheys et al. (2009, 2011a)). Such 92 models have been shown to improve, for example, the accuracy of moment arm estimation in children 93 94 with CP (Scheys et al. (2011b)). Besides geometries, the muscle-tendon properties are also altered in these children (e.g., smaller muscle volumes and shorter fiber lengths as compared to TD individuals) 95 96 (Barrett and Lichtwark (2010); Smith et al. (2011); Barber et al. (2011a,b, 2012)). This makes the use of 97 Hill-type muscle-tendon models with generic (i.e., anthropometry-based) parameters unsuited for clinical studies. Indeed, such parameters may not reflect altered muscle force generating capacities and, therefore, 98 result in unrepresentative simulations. To capture the impact of altered muscle-tendon properties on 99 walking performance, the muscle-tendon parameters should be personalized. Different approaches have 100 been proposed for such purpose, including methods based on angle-torque relationships from functional 101 movements (Lloyd and Besier (2003); Falisse et al. (2017)). 102

Predictive simulations have the potential to shed light upon the influence of altered musculoskeletal properties, impaired selective motor control, and spasticity on walking performance by evaluating the isolated effects of these impairments. Yet only few predictive analyses have used simulations for such purpose. Recent modeling work showed that a musculoskeletal model could reproduce an unimpaired

Falisse et al.

Predictive simulations of CP gaits

walking pattern with five synergies but not with two synergies similar to those seen after neurological injury, 107 108 suggesting that impaired control affects walking performance (Meharbi et al. (2019)). Another predictive analysis explored the effects of aging on walking performance by adjusting skeletal and neuromuscular 109 110 parameters and reported a predominant contribution of loss in muscle strength and mass to reduced energy efficiency (Song and Geyer (2018)). Both studies, however, relied on simple two-dimensional (2D) 111 models, neglecting motor control mechanisms in the frontal plane. To the authors' knowledge, no study 112 has yet attempted to relate patients' clinical examination reports to the outcome of predictive simulations 113 evaluating the effects of musculoskeletal and motor control impairments on walking performance based on 114 three-dimensional (3D) subject-specific models. 115

The purpose of this study was to evaluate the ability of our predictive simulation platform to differentiate 116 the effects of musculoskeletal and motor control impairments on the impaired walking pattern (i.e., 117 crouch gait) of a specific child with CP. To this aim, we evaluated the effect of these impairments on 118 119 gait patterns predicted by performance optimization (Figure 1A). We first investigated the influence of using personalized rather than generic muscle-tendon parameters, thereby assessing the contribution of 120 the child's altered muscle-tendon properties to the crouch gait pattern. We then evaluated the impact of 121 imposing a number of synergies lower than typically reported for unimpaired individuals, thereby testing 122 how reducing neuromuscular control complexity affects walking performance. We finally investigated 123 the effect of spasticity modeled based on muscle force and force rate feedback. In all cases, we used a 124 MRI-based musculoskeletal model of the child to take his aberrant geometries into account. We found 125 that the altered muscle-tendon properties rather than the control impairments alone caused a crouch gait 126 127 pattern. As an additional analysis, we investigated whether the child's impairments impede a walking pattern similar to TD walking or rather make such a walking pattern less optimal. To this aim, we extended 128 129 the performance criterion of the predictive simulations with a tracking term that penalized deviations from a TD walking pattern. We found that the musculoskeletal impairments did not prevent an upright walking 130 pattern resembling TD walking but that upright walking was less optimal than walking in crouch. 131

2 MATERIAL AND METHODS

The overall process to evaluate the effects of impairments on walking performance through predictivesimulations is outlined in Figure 1B. The following sections provide details of this process.

134 Experimental data

We collected data from one child with diplegic CP (male; age: 15 years; height: 143 cm; mass: 33.1 135 kg). The data collection was approved by the Ethics Committee at UZ Leuven (Belgium) and written 136 informed consent was obtained from the child's parents. The child was instrumented with retro-reflective 137 skin mounted markers whose 3D trajectories were recorded (100 Hz) using a motion capture system (Vicon, 138 139 Oxford, UK) during overground walking at self-selected speed. Ground reaction forces were recorded (1000 Hz) using force plates (AMTI, Watertown, USA). EMG was recorded (2000 Hz) using a telemetric 140 Zerowire system (Cometa, Milan, Italy) from eight muscles of each leg (rectus femoris, biceps femoris 141 short head, semitendinosus, tibialis anterior, gastrocnemius lateralis, vastus lateralis, soleus, and gluteus 142 medius). EMG from the rectus femoris and vastus lateralis was of poor quality and excluded from the 143 analysis. 144

On the same day as the gait analysis, spasticity of the right medial hamstrings and gastrocnemii was
assessed using an instrumented passive spasticity assessment (IPSA; described in detail by Bar-On et al.
(2013)). Hamstrings and gastrocnemii were passively stretched by moving knee and ankle, respectively,

Falisse et al.

Predictive simulations of CP gaits

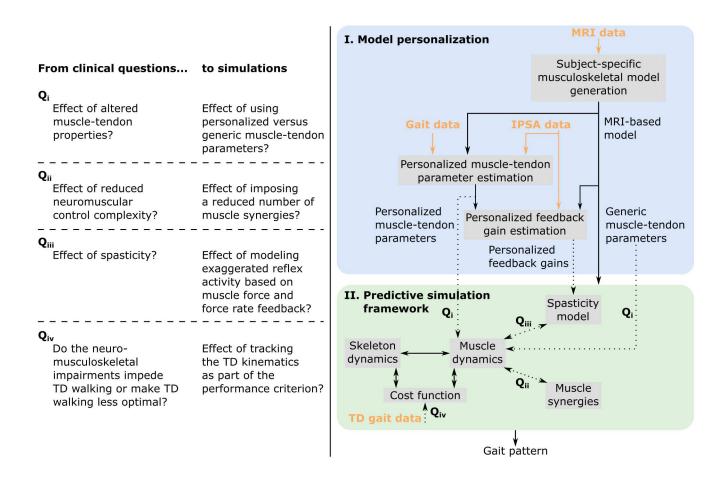


Figure 1. Overview of (A) clinical questions and corresponding simulations, and (B) methodology. MRI images are used to generate a musculoskeletal model of the child with subject-specific geometries. This MRI-based model as well as experimental data collected during walking and instrumented passive spasticity assessments (IPSA) are inputs to optimization procedures providing personalized estimates of Hill-type muscle-tendon parameters characterizing altered muscle-tendon properties and personalized feedback gains characterizing spasticity. The framework for predictive simulations generates gait patterns by optimizing a cost function, describing a walking-related performance criterion, subject to the muscle and skeleton dynamics of the MRI-based musculoskeletal model. We investigated the effects of impairments on predicted gait patterns (dotted arrows): Q_i we evaluated the effect of altered versus unaltered muscle-tendon properties by using personalized versus generic muscle-tendon parameters in the muscle dynamics; Q_{ii} we assessed the influence of reducing the neuromuscular control complexity by imposing a reduced number of muscle synergies; Q_{iii} we explored the impact of spasticity on walking performance. Details on how we modeled these impairments are described in the methods. As an additional analysis, Q_{iv}, we evaluated how well the model was able to reproduce the gait pattern of a typically developing (TD) child by adding a term in the cost function penalizing deviations between predicted gait pattern and measured gait data of a TD child. All these analyses can be combined as well as performed in isolation. Details are provided in section "model-based analyses".

148 one at a time from a predefined position throughout the full range of motion (ROM). The stretches 149 were performed at slow and fast velocities. EMG was collected from four muscles (semitendinosus, 150 gastrocnemius lateralis, rectus femoris, and tibialis anterior) using the same system and electrode placement 151 as used for gait analysis. The motion of the distal and proximal segments were tracked using two inertial 152 measurement units (Analog Devices, ADIS16354). The forces applied to the segment were measured using 153 a hand-held six degrees of freedom (DOFs) load-cell (ATI Industrial Motion, mini45). The position of the 154 load-cell relative to the joint axis was manually measured by the examiner.

Falisse e	et al.
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Muscle strength, selectivity, and ROM were evaluated (Table 1) with a standardized clinical examination protocol (Desloovere et al. (2006)). The child had close to normal ROM at the hip and ankle but bilateral knee extension deficits, bilateral spasticity in most muscles, good strength in most muscles although slight deficits in hip extensors, knee extensors, and hip abductors, and good to perfect selectivity in most muscles.

159 MRI images were collected for the hip region (i.e., pelvis and femur according to the protocol described by

160 Bosmans et al. (2014)). The child was classified at a level II in the Gross Motor Function Classification

161 System (GMFCS).

Table 1. Clinical examination. Spasticity, MAS is for Modified Ashworth Scale: 1 is low, 1+ is medium, and 2 is high spastic involvement; Tard is for Tardieu test. Strength: 3 is medium and 4 is good strength; strength from 3 indicates ability to move against gravity. Selectivity: 1 is medium, 1.5 is good, and 2 is perfect selective control. Clinically meaningful deviations from unimpaired individuals are in bold.

	Range of motion			Spasticity	
	Left	Right		Left	Right
Hip flexion	145°	140°	Hip flexion MAS	2	2
Hip extension	-10 °	-10°	Hip adduction (Knee 0°) MAS	1.5	1.5
Hip abduction (Knee 0°)	25°	25°	Hip adduction (Knee 90°) MAS	0	0
Hip abduction (Knee 90°)	45°	45°	Hamstrings MAS	1.5	1
Hip adduction	0°	0°	Hamstrings Tard	-70 °	1
Hip internal rotation (prone)	60°	70°	DuncanElly MAS	1.5	1.5
Hip external rotation (prone)	25°	25°	DuncanElly Tard	2 °	2 °
Hip internal rotation (supine)	25°	30°	Soleus MAS	0	0
Hip external rotation (supine)	55°	50°	Soleus Tard	/	1
Knee flexion	120°	120°	Gastrocnemius MAS	1.5	1.5
Knee extension	-20 °	-15°	Gastrocnemius Tard	0°	5 °
Knee spontaneous position	-30°	-25°	Tibialis Post MAS	0	0
Popliteal angle unilateral	-70°	-65°	Clonus	0	0
Popliteal angle bilateral	-65°	-60°			
Ankle dorsiflexion (Knee 90°)	20°	25°		Alignment	
Ankle dorsiflexion (Knee 0°)	15°	15°		Left	Right
Ankle plantarflexion	35°	35°	Femoral anteversion	35°	35°
Ankle inversion	40°	45°	Tibia-femoral angle	25°	25°
Ankle eversion	10°	10°	Bimalleor angle	40°	40°
	Selectivity			Strength	
	Left	Right		Left	Right
Hip flexion	2	2	Hip flexion	4	4
Hip extension	1.5	1.5	Hip extension	3	3
Hip abduction	1.5	1.5	Hip abduction	3+	3+
Hip adduction	2	2	Hip adduction	4	4
Knee flexion	1.5	1.5	Knee flexion	4	3+
Knee extension	1	1.5	Knee extension	3+	3+
Ankle dorsiflexion (Knee 90°)	1.5	1.5	Ankle dorsiflexion (Knee 90°)	4	4
Ankle dorsiflexion (Knee 0°)	1.5	1.5	Ankle dorsiflexion (Knee 0°)	4	4
Ankle plantarflexion	1.5	1.5	Ankle plantarflexion	4	3+
Ankle inversion	1.5	1.5	Ankle inversion	4	4
Ankle eversion	2	1.5	Ankle eversion	4	4

162 We processed the experimental gait and IPSA data, used as input for the estimation of muscle-tendon

parameters and feedback gains (Figure 1; details below), with OpenSim 3.3 (Delp et al. (2007)) using the MPL based model described below.

164 MRI-based model described below.

165 Subject-specific musculoskeletal model generation

A 3D musculoskeletal model with subject-specific geometries was created from MRI images (Scheys et al. (2009, 2011a); Bosmans et al. (2014)). Bones of the lower limbs and pelvis were segmented using Mimics (Materialize, Leuven, Belgium). Anatomical reference frames, joint axes, and muscle origin and insertion points were defined using a previously developed workflow (Scheys et al. (2008)). The model

Falisse et al.

Predictive simulations of CP gaits

170 consisted of 21 DOFs (six between the pelvis and the ground; three at each hip joint; one at each knee,

- 171 ankle, and subtalar joint; and three at the lumbar joint), 86 muscles actuating the lower limbs (43 per leg),
- 172 three ideal torque actuators at the lumbar joint, and four contact spheres per foot (Delp et al. (1990, 2007)).
- We added passive torques to the joints of the lower limbs and the trunk to model the role of the ligamentsand other passive structures (Anderson and Pandy (2001)). These passive torques varied exponentially with
- 175 joint positions and linearly with joint velocities.

We used Raasch's model (Raasch et al. (1997); De Groote et al. (2009)) to describe muscle excitation-176 177 activation coupling (muscle activation dynamics) and a Hill-type muscle-tendon model (Zajac (1989); De Groote et al. (2016)) to describe muscle-tendon interaction and the dependence of muscle force on 178 179 fiber length and velocity (muscle contraction dynamics). We modeled skeletal motion with Newtonian 180 rigid body dynamics and smooth approximations of compliant Hunt-Crossley foot-ground contacts (Delp 181 et al. (2007); Sherman et al. (2011); Falisse et al. (2019)). We calibrated the Hunt-Crossley contact 182 parameters (transverse plane locations and contact sphere radii) through muscle-driven tracking simulations 183 of the child's experimental walking data as described in previous work (Falisse et al. (2019)). To increase 184 computational speed, we defined muscle-tendon lengths, velocities, and moment arms as a polynomial function of joint positions and velocities (van den Bogert et al. (2013); Falisse et al. (2019)). 185

186 Personalized muscle-tendon parameter estimation

187 The force-length-velocity relationships describing the force generating capacity of the Hill-type muscle-188 tendon model are dimensionless and can be scaled to a specific muscle through five muscle-tendon 189 parameters: the maximal isometric force F_m^{max} , the optimal fiber length l_m^{opt} , the tendon slack length l_t^s , 190 the optimal pennation angle α_m^{opt} , and the maximal fiber contraction velocity v_m^{max} (assigned to ten times 191 l_m^{opt}). In this study, we used generic and personalized parameters when generating predictive simulations of 192 walking (Figure 1).

The generic parameters were derived by linearly scaling the parameters of a generic musculoskeletal model (Delp et al. (1990)) to the child's anthropometry. The linear scaling was only performed for the optimal fiber lengths and tendon slack lengths. The maximal isometric muscle forces were scaled based on body mass M (van der Krogt et al. (2016)):

$$F_{m,\text{subject}}^{\max} = F_{m,\text{gait2392}}^{\max} \left(\frac{M_{\text{subject}}}{M_{\text{gait2392}}}\right)^{(2/3)},\tag{1}$$

197 where gait2392 refers to the OpenSim gait2392 model (Delp et al. (1990, 2007)).

The personalized parameters reflect the muscle force generating capacity of the subject. Only optimal 198 199 fiber lengths and tendon slack lengths were personalized as gait simulations have been shown to be the most sensitive to these two parameters (De Groote et al. (2010)). The personalization process was based on 200 an extension of an optimal control approach to solve the muscle redundancy problem while accounting 201 for muscle dynamics (De Groote et al. (2016); Falisse et al. (2017)). Solving the muscle redundancy 202 problem identifies muscle excitations that reproduce joint torques underlying a given movement while 203 minimizing a performance criterion (e.g., muscle effort). We augmented this formulation in different ways. 204 First, we added optimal fiber lengths and tendon slack lengths as optimization variables. Second, we 205 introduced a term in the cost function minimizing the difference between muscle activations and scaled 206 EMG signals where scale factors were included as optimization variables. Third, we assumed that muscles 207 operate around their optimal fiber lengths, and that maximal and minimal fiber lengths across movements 208

Falisse et al.

Predictive simulations of CP gaits

should hence be larger and smaller, respectively, than their optimal fiber lengths. Fourth, we assumed that resistance encountered when evaluating the ROM during the clinical examination may be, at least in part, attributed to passive muscle forces. Hence, we included a term in the cost function minimizing the difference between fiber lengths at these extreme positions of the ROM and reference fiber lengths generating large passive forces. Finally, we minimized optimal fiber lengths, assuming that children with CP have short fibers (Barrett and Lichtwark (2010)). The problem thus consisted in identifying muscle excitations and parameters that minimized a multi-objective cost function:

$$J_{\text{estimation}} = \int_{t_0}^{t_f} \left(\underbrace{w_1 \|a\|_2^2}_{\substack{\text{Muscle} \\ \text{effort}}} + \underbrace{w_2 \|a - EMG\|_2^2}_{\substack{\text{EMG} \\ \text{deviation}}} + \underbrace{w_3 \|l_m^{\text{max}} - l_{\text{ref}}^{\text{max}}\|_2^2}_{\substack{\text{Passive forces in} \\ \text{extreme positions}}} + \underbrace{w_4 \|l_m^{\text{opt}}\|_1}_{\substack{\text{Short} \\ \text{fibers}}} + \underbrace{w_5 \|a_r\|_2^2}_{\substack{\text{Reserve} \\ \text{actuators}}} \right) dt, \quad (2)$$

where t_0 and t_f are initial and final times, a are muscle activations, l_m^{max} and $l_{\text{ref}}^{\text{max}} = 1.5$ are simulated 216 and reference fiber lengths, respectively, at the extreme positions of the ROM, a_r are reserve actuators, 217 w_{1-5} are weight factors, and t is time. This cost function was subject to constraints enforcing muscle 218 dynamics, that resultant muscle forces should reproduce joint torques calculated from inverse dynamics, 219 that fiber lengths should cross their optimal fiber lengths during the movement, and that the difference 220 between activations and EMG should not be larger than 0.1. Reserve actuators are non-physiological ideal 221 actuators added to muscle-generated torques to ensure that joint torques from inverse dynamics can be 222 reproduced. The weights were manually adjusted to the following: $w_1 = 10 \times 10^{-4}$, $w_2 = 30 \times 10^{-4}$, 223 $w_3 = 3550 \times 10^{-4}$, $w_4 = 1010 \times 10^{-4}$, and $w_5 = 5400 \times 10^{-4}$. These weights primarily penalized the 224 225 use of reserve actuators and encouraged the generation of passive forces in the extreme positions of the ROM. We solved this problem while simultaneously considering data from four gait trials of each leg and 226 six passive stretches (IPSA measurements) of the right hamstrings, rectus femoris, and gastrocnemii at 227 228 slow and fast velocities (one stretch per muscle per speed). Data from 14 trials (gait and passive trials combined) was thus included. Data from passive stretches of left leg muscles was not available. Hence, we 229 imposed that corresponding parameters of both legs could not differ by more than 5%. The parameters 230 were allowed to vary between 50 and 200% of the generic values. 231

232 Spasticity model - Personalized feedback gain estimation

We modeled spasticity through delayed feedback from muscle-tendon force and its first time derivative (i.e., force rate) (Falisse et al. (2018)). The model relates sensory information s (i.e., muscle force and force rate) to feedback muscle activations a_s through a first order differential equation:

$$\tau \frac{da_s}{dt} = \begin{cases} -a_s, & s \le T_s \\ -a_s + g_s(s - T_s), & s > T_s \end{cases}$$
(3)

236 where T_s is a feedback threshold, g_s is a feedback gain, and $\tau_s = 30$ ms is a time delay.

We determined the threshold for force feedback as the value 20 ms before the EMG onset (Staude and Wolf (1999)) and used a zero threshold for force rate feedback. We identified the personalized feedback gains that minimized the difference between EMG and feedback muscle activations during fast passive stretches (IPSA measurements). We performed such optimization for the right medial hamstrings (i.e., biceps femoris long head, semitendinosus, and semimembranosus) and for the right gastrocnemii (i.e., gastrocnemius lateralis and medialis). We used semitendinosus EMG to drive the three hamstrings

Falisse et al.

Predictive simulations of CP gaits

and gastrocnemius lateralis EMG to drive both gastrocnemii. We normalized EMG using scale factors identified when estimating the personalized muscle-tendon parameters. We described the optimization process in detail in previous work (Falisse et al. (2018)). We incorporated the spasticity model with personalized feedback gains in our framework for predictive simulations (Figure 1). Since we only had IPSA measurement for the right leg, we used feedback gains and thresholds identified with right leg data for left leg muscles. Gait EMG data and spasticity, as clinically assessed (Table 1), were comparable for both legs.

250 Muscle synergies

We modeled the reduced neuromuscular control complexity through muscle synergies. These synergies consisted of two matrices: a $N_{syn} \times N_f$ matrix H, where N_{syn} is the number of synergies and N_f is the number of frames, containing synergy activations and a $N_m \times N_{syn}$ matrix W, where N_m is the number of muscles, containing weights that determine the contribution of each muscle in each synergy. Individual muscle activations were composed from synergies as follows:

$$a = W \times H,\tag{4}$$

where *a* has dimensions $N_m \times N_f$. Importantly, we did not impose subject-specific synergies when generating predictive simulations (Figure 1). Instead, we modeled the effect of reducing the neuromuscular control complexity by limiting the number of synergies per leg to four or three, thereby limiting the selection of independent muscle activations. This represents a reduction of the neuromuscular control complexity under the assumption that five synergies describe healthy human locomotion (Ivanenko et al. (2004)).

262 **Problem formulation**

We predicted gait patterns by optimizing a gait-related cost function, independent of experimental data, based on the MRI-based musculoskeletal model described above. In addition to optimizing performance, we imposed average gait speed and periodicity of the gait pattern. We optimized for a full gait cycle to account for asymmetry of CP gait. We solved the resultant optimal control problem via direct collocation. The problem formulation and computational choices are detailed in previous work (Falisse et al. (2019)).

The cost function represents the goal of the motor task. We modeled this task-level goal as a weighted sum of gait-related performance criteria including metabolic energy rate, muscle fatigue, joint accelerations, passive joint torques, and trunk actuator excitations:

$$J_{\text{prediction}} = \int_{0}^{t_f} \frac{1}{d} \left(\underbrace{w_1 \left\| \dot{E} \right\|_2^2}_{\substack{\text{Metabolic} \\ \text{energy rates}}} + \underbrace{w_2 \left\| a \right\|_{10}^{10}}_{\substack{\text{Muscle} \\ \text{fatigue}}} + \underbrace{w_3 \left\| \ddot{q} \right\|_2^2}_{\substack{\text{Joint} \\ \text{accelerations}}} + \underbrace{w_4 \left\| T_p \right\|_2^2}_{\substack{\text{Passive joint} \\ \text{torques}}} + \underbrace{w_5 \left\| e_t \right\|_2^2}_{\substack{\text{Trunk actuator} \\ \text{excitations}}} \right) dt,$$
(5)

where t_f is unknown gait cycle duration, d is distance travelled by the pelvis in the forward direction, \dot{E} are metabolic energy rates, a are muscle activations, \ddot{q} are joint accelerations, T_p are passive joint torques, e_t are excitations of the trunk torque actuators, w_{1-5} are weight factors, and t is time. We modeled metabolic energy rate using a smooth approximation of the phenomenological model described by Bhargava et al. (2004). This metabolic model requires parameters for fiber type composition and muscle specific tension, which we obtained from the literature (Uchida et al. (2016)). We manually adjusted the weight factors

Falisse et al.

until we found a set of weights that predicted human-like walking: $w_1 = (25/86/\text{body mass}) \times 10^{-2}$, $w_2 = 25/86 \times 10^2$, $w_3 = 50/21$, $w_4 = 10/15 \times 10^2$, and $w_5 = 1/3 \times 10^{-1}$. We added several path constraints enforcing a prescribed average gait speed corresponding to the child's average gait speed $(d/t_f = 1 \text{ m s}^{-1})$, imposing periodic states over the complete gait cycle (except for the pelvis forward position), and preventing inter-penetration of body segments.

282 Model-based analyses

We investigated the differential effects of altered muscle-tendon properties, reduced neuromuscular control complexity, and spasticity on gait patterns predicted with the MRI-based musculoskeletal model (Figure 1). In particular, we compared predicted joint kinematics and kinetics, muscle activity, and stride lengths to their experimental counterparts. We also evaluated how impairments affected the metabolic cost of transport (COT), defined as metabolic energy consumed per unit distance traveled.

First, we tested the influence of altered versus unaltered muscle-tendon properties by using personalized versus generic muscle-tendon parameters in the muscle dynamics (Q_i in Figure 1). In this initial analysis, we did not include spasticity, nor imposed synergies.

Second, we assessed the impact of reducing the neuromuscular control complexity by imposing fixed numbers of synergies (Q_{ii} in Figure 1). To assess the effect of reducing the number of synergies, we compared the synergy activations resulting from simulations with three and four synergies using the coefficient of determination R^2 and the synergy weights using Pearson's coefficient of correlation r. We generated simulations with both sets of muscle-tendon parameters to explore the effect of synergies in isolation as well as in combination with altered muscle-tendon properties.

Finally, we evaluated the effect of spasticity in the three medial hamstrings and two gastrocnemii of both legs (Q_{iii} in Figure 1). We modeled muscle activations as the sum of reflex muscle activations determined based on the personalized spasticity model and feedforward muscle activations:

$$a_{\rm sum} = a_{f_f} + a_{F_t} + a_{dF_t},\tag{6}$$

where a_{f_f} are feedforward muscle activations, and a_{F_t} and a_{dF_t} are muscle activations from muscle force and force rate feedback, respectively. We only tested the effect of spasticity based on the model with personalized muscle-tendon parameters, since these parameters were used to estimate the feedback gains. We tested the effect of spasticity in combination with selective control (i.e., no synergy constraints) as well as with a reduced number of muscle synergies.

305

As an additional analysis, we investigated whether the child adopted an impaired crouch gait pattern because of neuro-mechanical constraints or because it was more optimal (Q_{iv} in Figure 1). To this aim, we added a term in the cost function that penalized deviations from measured kinematics of a TD child:

$$J_{\text{tracking}} = \int_0^{t_f} \left(\underbrace{w_6 \|q - \hat{q}\|_2^2}_{\substack{\text{TD kinematics} \\ \text{deviation}}} \right) dt, \tag{7}$$

309 where \hat{q} are measured joint positions of a TD child and $w_6 = 100/20$ is a weight factor. We generated 310 these simulations with personalized parameters as well as with and without synergies. We did not include

Falisse et al.

311 spasticity in this analysis since it had little influence on the walking pattern in the simulations described 312 above.

We formulated our problems in MATLAB using CasADi (Andersson et al. (2019)), applied direct 313 collocation using a third order Radau quadrature collocation scheme with 150 mesh intervals per gait cycle, 314 and solved the resulting nonlinear programming problems with the solver IPOPT (Wächter and Biegler 315 (2006)). We applied algorithmic differentiation to compute derivatives (Falisse et al. (2019)). We started 316 317 each optimization from multiple initial guesses and selected the result with the lowest optimal cost. Initial 318 guesses for joint variables were based on experimental data. Specifically, for all simulations, we used two initial guesses derived from experimental kinematics of the CP and the TD child. For simulations 319 accounting for synergies, we added initial guesses derived from simulated kinematics with the lowest 320 optimal costs produced without synergies and with more synergies (e.g., with three synergies, initial 321 guesses were derived from the best kinematic solutions with four synergies and without synergies). For 322 simulations accounting for spasticity, we added initial guesses derived from simulated kinematics with 323 the lowest optimal costs produced without spasticity. In all cases, initial guesses for muscle, trunk, and 324 325 synergy variables were constant across time and not informed by experimental data. Initial guesses for synergy weights were constant across muscles and independent of experimental data. 326

RESULTS

327 Gait analysis

The child walked with a pronounced crouch gait pattern characterized by bilateral knee extension deficits with reduced knee ROM during swing, a lack of right ankle dorsiflexion at the end of swing, excessive left ankle dorsiflexion, excessive and deficient right and left hip adduction, respectively, and excessive bilateral hip internal rotation (Figures 2 and S1).

332 Influence of the muscle-tendon parameters

Using personalized versus generic muscle-tendon parameters resulted in a crouch (i.e., excessive knee 333 flexion) versus a more upright gait pattern (Figures 2 and S1; Movies S1-2). Personalized optimal fiber 334 lengths and tendon slack lengths were generally smaller and larger, respectively, than their generic 335 counterparts (Tables S1-2). The use of personalized parameters resulted in decreased deviations (smaller 336 root mean square error (RMSE)) between measured and predicted knee angles (RMSE of 17° and 11° 337 for the left and right leg, respectively) as compared to the use of generic parameters (RMSE of 43° and 338 25°). The gastrocnemius lateralis and soleus (ankle plantarflexors) were activated earlier in stance with 339 the crouch gait, as observed in the child's EMG. The vasti (knee extensors) activity was also increased 340 during stance when the model walked in crouch. The COT was higher with the personalized parameters 341 (crouch gait; 3.45 J kg⁻¹m⁻¹) than with the generic parameters (more upright gait; 3.18 J kg⁻¹m⁻¹). 342 Predicted stride lengths were larger than the average stride length of the child but were within two standard 343 deviations. 344

345 Influence of the synergies with generic muscle-tendon parameters

Reducing the number of synergies in combination with generic muscle-tendon parameters did not induce the amount of crouch that was experimentally measured in the child, although it altered muscle coordination and increased COT (Figures 3 and S2; Movie S3). The right knee flexion angles increased during stance with the reduction of the neuromuscular control complexity but were still smaller than experimentally

Falisse et al.

Predictive simulations of CP gaits

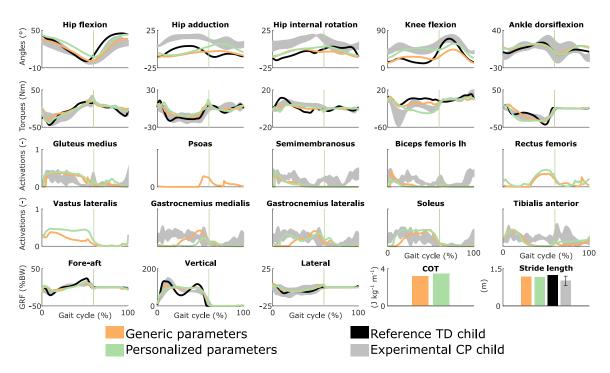


Figure 2. Influence of the muscle-tendon parameters on the predicted walking gaits. Variables from the right leg are shown over a complete gait cycle; left leg variables are shown in Figure S1 (Supplementary Material). Vertical lines indicate the transition from stance to swing. Experimental data is shown as mean \pm two standard deviations. Experimental EMG data was normalized to peak activations. GRF is for ground reaction forces; BW is for body weight; COT is for metabolic cost of transport; lh is for long head.

measured. This was accompanied with increased rectus femoris (knee extensor) activity. The synergies had 350 a limited effect on the left leg that had a straight knee pattern during stance. The COT increased with the 351 reduction of the neuromuscular control complexity (3.58 and 3.90 J kg⁻¹m⁻¹ with four and three synergies, 352 respectively). The synergies had little effect on the predicted stride lengths that were larger than the child's 353 average stride length but were within two standard deviations. The synergies of the three-synergy case were 354 similar to the first three synergies of the four-synergy case (average \mathbb{R}^2 and r over three common synergy 355 activations and weight vectors, respectively, of both legs: 0.84 ± 0.19 and 0.83 ± 0.10). The additional 356 synergy in the four-synergy case was activated in early stance and at the transition between stance and 357 swing, and mainly consisted of hip adductors. 358

359 Influence of the synergies with personalized muscle-tendon parameters

Reducing the number of synergies in combination with personalized muscle-tendon parameters had a 360 minor effect on gait kinematics but altered muscle coordination and increased COT (Figures 4 and S3; 361 Movie S4). Specifically, synergies only had a slight effect on the kinematics during the swing phase of the 362 right leg but affected the activation pattern of certain muscles (e.g., gastrocnemius medialis and lateralis). 363 The COT increased with the reduction of the neuromuscular control complexity (3.94 and 4.09 J kg⁻¹m⁻¹ 364 with four and three synergies, respectively). Stride lengths slightly decreased with synergies but remained 365 larger than the child's average stride length. The synergies of the three-synergy case were similar to the 366 first three synergies of the four-synergy case (average R^2 and $r: 0.85 \pm 0.05$ and 0.87 ± 0.09 , respectively). 367 The additional synergy in the four-synergy case was activated in early stance and at the transition between 368 stance and swing, and mainly consisted of the gemellus, piriformis, tibialis posterior, and several ankle 369 plantarflexors. 370

Falisse et al.

Predictive simulations of CP gaits

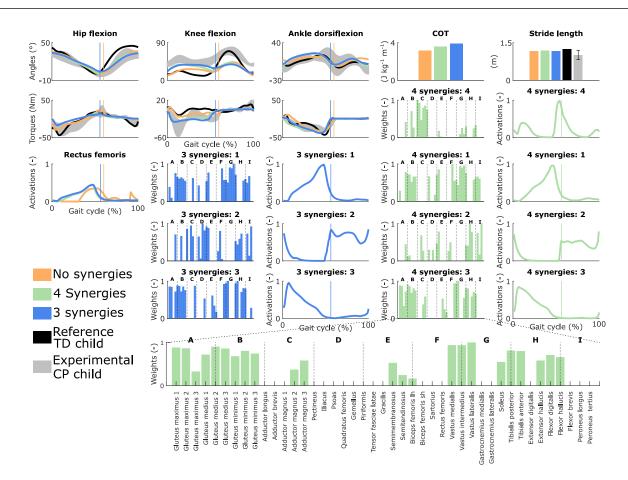


Figure 3. Influence of the synergies on walking gaits predicted with the generic muscle-tendon parameters. Variables from the right leg are shown over a complete gait cycle; left leg variables are shown in Figure S2 (Supplementary Material). Vertical lines (solid) indicate the transition from stance to swing. Panels of synergy weights are divided into sections (A-I) to relate bars to muscle names provided in the bottom bar plot, which is an expanded version of the plot of weights with title 4 synergies: 3. Lh and sh are for long and short head, respectively. Weights were normalized to one. Experimental data is shown as mean \pm two standard deviations.

371 Influence of spasticity

372 Spasticity had a limited effect on muscle coordination and almost no influence on gait kinematics (Figures 373 5 and S4; Movie S5). Specifically, spastic activity was predicted in the medial hamstrings in early stance but this had, overall, a minor effect on the total (i.e., combined spastic and non-spastic contributions) 374 medial hamstrings activity when compared to simulations without spasticity. Bursts of spastic activity 375 were also observed in early swing. Medial hamstrings activity contributes to knee flexion but since similar 376 (timing and magnitude) activity profiles were predicted with and without spasticity, there was no difference 377 in predicted knee flexion angles. A constant low spastic contribution was predicted for the gastrocnemius 378 lateralis during stance, whereas a minor contribution was predicted for the gastrocnemius medialis during 379 stance and at the transition between stance and swing. Spasticity hence does not explain the lack of right 380 ankle dorsiflexion (i.e., increased plantarflexion) observed at the end of swing in experimental data. Similar 381 observations hold with and without synergies. The COT increased when incorporating spasticity (3.75 and 382 4.18 J kg⁻¹m⁻¹ with zero and four synergies, respectively). 383

Falisse et al.

Predictive simulations of CP gaits

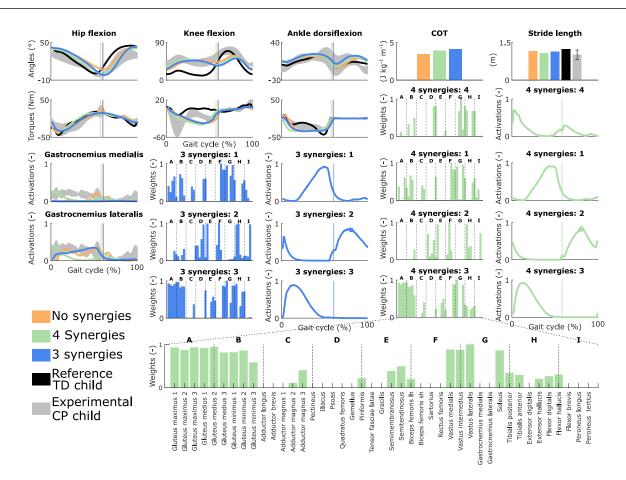


Figure 4. Influence of the synergies on walking gaits predicted with the personalized muscle-tendon parameters. Variables from the right leg are shown over a complete gait cycle; left leg variables are shown in Figure S3 (Supplementary Material). Vertical lines (solid) indicate the transition from stance to swing. Panels of synergy weights are divided into sections (A-I) to relate bars to muscle names provided in the bottom bar plot, which is an expanded version of the plot of weights with title 4 synergies: 3. Lh and sh are for long and short head, respectively. Weights were normalized to one. Experimental data is shown as mean \pm two standard deviations. Experimental EMG data was normalized to peak activations.

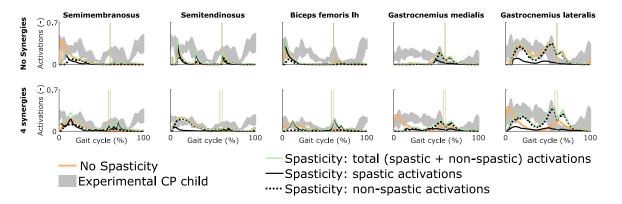


Figure 5. Influence of spasticity on the predicted muscle activity. Activations from right leg muscles only are shown over a complete gait cycle; left leg activations are shown in Figure S4 (Supplementary Material). When accounting for spasticity, total activations (green) combine spastic (solid black) and non-spastic (dotted black) activations. Vertical lines indicate the transition from stance to swing. Experimental data is shown as mean \pm two standard deviations. Experimental EMG data was normalized to peak activations. Lh is for long head.

Falisse et al.

Predictive simulations of CP gaits

384 Influence of tracking the kinematics of a TD child

385 Tracking the TD kinematics while using personalized muscle-tendon parameters produced an upright gait pattern when not incorporating synergies, but decreased the overall gait performance (Figures 6 and S5; 386 Movie S6). Specifically, the simulated gait had a similar COT (3.46 J kg⁻¹m⁻¹) as the crouch gait pattern 387 predicted without such tracking term but the contribution of most terms in the cost function increased, 388 suggesting that walking upright is not prevented by mechanical constraints (i.e., aberrant musculoskeletal 389 390 geometries and altered muscle-tendon properties) but is less optimal, due to these mechanical constraints, than walking in crouch for this child. The contribution of the muscle fatigue term increased by 29%, in 391 part driven by higher activations of the glutei. The contribution of the joint acceleration, metabolic energy 392 393 rate, and passive joint torque terms increased by 15, 15, and 36%, respectively, when walking upright. 394 Similarly, passive muscle forces increased when walking upright for the iliacus and psoas (hip flexors), and biceps femoris short head (knee flexor). Knee flexion increased when adding synergies but did not 395 396 reach the angle that was experimentally measured in the child (Figure S6). Nevertheless, this suggests that 397 reduced neuromuscular control complexity may contribute to crouch gait. The gastrocnemius lateralis and 398 soleus (ankle plantarflexors) were also activated earlier during stance with synergies. Imposing synergies increased the COT (4.12 and 4.05 J kg⁻¹m⁻¹ with four and three synergies, respectively). 399

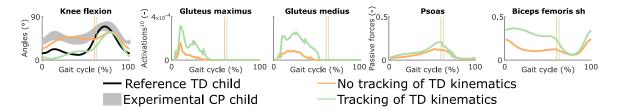


Figure 6. Influence of tracking the TD kinematics on predicted walking gaits. Variables from the right leg are shown over a complete gait cycle; left leg variables are shown in Figure S5 (Supplementary Material). Vertical lines indicate the transition from stance to swing. Experimental data is shown as mean \pm two standard deviations. Muscle fatigue is modeled by activations at the tenth power. Passive muscle forces are normalized by maximal isometric muscle forces. Sh is for short head. The influence of synergies on predicted walking gaits is depicted in Figure S6 (Supplementary Material).

DISCUSSION

We demonstrated the ability of predictive simulations to explore the differential effects of musculoskeletal 400 and motor control impairments on the gait pattern of a child with CP. In this specific case, aberrant 401 musculoskeletal geometries and altered muscle-tendon properties explained the key gait deviation of 402 the child, namely the crouch gait pattern. Accounting for aberrant geometries alone (i.e., MRI-based 403 model with generic muscle-tendon parameters) did not result in a crouch gait pattern. Despite altered 404 muscle-tendon properties and aberrant geometries, the model could still adopt a more upright gait pattern 405 (TD kinematics tracking). Yet such pattern was less optimal as it induced higher muscle fatigue compared 406 to the crouch gait pattern. These simulations thus suggest that adopting an upright gait pattern for this 407 child might produce an early onset of fatigue, which might explain in part why the child walks in crouch. 408 Importantly, not only fatigue, but also joint accelerations, passive joint torques, and metabolic energy rates 409 increased with an upright gait pattern, likely contributing to the selection of a crouch gait pattern. 410

411 Decreasing the neuromuscular control complexity through a reduced number of synergies had a lower 412 effect on the simulated gait patterns than muscular deficits as evaluated when comparing simulated gait

Falisse et al.

Predictive simulations of CP gaits

patterns obtained with personalized and generic muscle-tendon parameters. Nevertheless, the synergies 413 414 resulted in increased knee flexion in several simulations, indicating that impaired selective motor control may contribute to gait deficits as suggested in prior simulation studies (Meharbi et al. (2019)). In this study, 415 416 we imposed the number of synergies but not the synergy structure (synergy weights and activations were optimization variables and not informed by experimental data). We thus explored the effect of reducing the 417 neuromuscular control complexity but not the impact of imposing the child's experimental synergies. We 418 expect this impact to be limited for this child since he had a good selectivity. Nevertheless, further work 419 should consider such investigation. 420

Our predictive simulations generated both movement patterns and the underlying synergies. Only imposing the number of synergies resulted in synergies that presented common features with those reported in the literature, such as one synergy activated during early stance and composed by the glutei and vasti, and one synergy activated during late stance consisting of the glutei, ankle plantarflexors, and iliacus (De Groote et al. (2014)). This suggests that synergy structures might emerge from mechanical constraints and performance optimization during walking. Future research should explore this hypothesis based on a larger population.

Decreasing the number of synergies resulted in a larger COT, as may be expected with a higher level of co-activations. This finding has been hypothesized in previous studies (Steele et al. (2017); Meharbi et al. (2019)) but not tested explicitly. It is indeed difficult to dissociate the influence of the neuromuscular control complexity on the COT through experiments or based on measured data, since many other factors (e.g., spasticity (Hemingway et al. (2001)) and weakness (van der Krogt et al. (2012))) might also play a role. Overall, our predictive simulations allow exploring the effects of isolated impairments on gait energetics, which was not possible through analyses based on measured data.

435 Spasticity had a minor influence on the predicted gait kinematics, suggesting a low impact of spasticity 436 on gait performance for this child. This hypothesis is in agreement with severeal studies reporting a lack of correlation between spasticity as diagnosed during passive movements and determinants of gait (Ada et al. 437 (1998); Marsden et al. (2012); Willerslev-Olsen et al. (2014)). However, it would be premature to draw 438 such conclusion based on this analysis for a single child. First, spasticity was only taken into account for 439 the medial hamstrings and gastrocnemii, whereas the rectus femoris and several hip flexors and adductors 440 were also reported to be spastic (Table 1). Including these other muscles may have an influence on walking 441 performance. Second, experimental data from the spasticity assessment was only collected for the right leg, 442 whereas bilateral spasticity was reported (Table 1). We optimized the feedback parameters using that data 443 but used the resulting parameters for both legs, which might affect our predictions. Third, we used feedback 444 445 parameters optimized from passive stretches to predict spasticity (i.e., reflex activity) during gait, assuming no reflex modulation. This assumption is in line with the decreased reflex modulation reported for patients 446 with spasticity (Sinkjaer et al. (1996); Faist et al. (1999); Dietz (2002); Dietz and Sinkjaer (2007)). Yet 447 further research is needed to ensure that the same model is valid in passive and active conditions. Finally, 448 the optimized feedback gains depend on EMG that was normalized using scale factors optimized during 449 the muscle-tendon parameter estimation. However, these factors may not truly reflect the magnitude of 450 the spastic responses, which may result in an under- or over-estimation of the predicted spastic activity 451 452 during gait. In previous work (Falisse et al. (2018)), we showed that predicted spastic responses of the gastrocnemii were in agreement with large EMG signals observed in early stance in subjects with an 453 equinus gait (i.e., toe walking). Interestingly, in this study, the child walked on his toes but we did not 454 455 observe such EMG rise. Hence, our model predictions were in agreement with the lack of gastrocnemius EMG activity observed during early stance. 456

Falisse et al.

Predictive simulations of CP gaits

457 Our analysis suggests that muscle-tendon properties rather than selective motor control and spasticity 458 should be the target of interventions aiming to restore an upright posture for this child. This suggestion is in line with the surgical report and one-year post-operative gait analysis. Specifically, the child underwent 459 460 SEMLS consisting of bilateral rectus femoris transfer, distal femur extension and derotation osteotomy, tibia derotation, and patella distalization that successfully addressed the knee extension deficits and restored 461 the upright gait pattern. The intervention also included bilateral BTX-A injections in the psoas (hip flexor) 462 463 and gracilis (hip flexor, adductor, and knee flexor) to reduce spasticity. However, BTX-A injections are unlikely to have had an effect one year post-treatment (Molenaers et al. (2010)), suggesting a limited 464 contribution of reduced psoas and gracilis spasticity on restored knee extension. Note that our study did 465 not investigate the sensitivity of the predicted walking patterns to bone misalignment as we considered 466 467 the same aberrant geometries for all analyses. Studying the effect of bone deformities on the gait pattern should be considered in future work. 468

469 Our simulations with personalized muscle-tendon parameters captured salient features of the child's walking pattern. Nevertheless, they deviated from measured data in different ways. In particular, our model 470 471 did not adopt the observed equinus gait. Such pattern might have different underlying roots. On the one hand, it might be an ankle strategy to add functional limb length and compensate for the knee extension 472 473 deficits. Our simulations did not predict such compensation strategy but also lacked knee flexion in early 474 stance as compared to measured data (Figure 2). Increased knee flexion might strengthen the need for 475 ankle compensation, causing the model to adopt an equinus gait. On the other hand, it might be due to contracture of the plantarflexors (Wren et al. (2005); Mathewson et al. (2015)) although this hypothesis is 476 less likely for this child who had a normal ROM in terms of plantarflexion. 477

Other factors might have contributed to the deviations between predicted and measured movements. 478 First, the musculoskeletal model had generic rather than subject-specific (i.e., MRI-based) geometries 479 for feet and tibias. Since the child later underwent a surgery that included bilateral tibia derotation, 480 481 these generic geometries might have contributed to the gait deviations. Second, the clinical examination indicated that the child's trunk was leaning forward. This is likely a compensation strategy, since no fixed 482 lordosis was reported. However, our model had a very simple trunk representation (i.e., one joint with 483 three DOFs), limiting the emergence of compensation strategies. Hence, our simulations resulted in an 484 upright trunk posture, whereas a forward leaning posture might have caused an equinus gait. How to 485 model the trunk to capture such compensations remains an open question. Third, our control strategy 486 likely did not capture all complex control mechanisms that might be at play during gait. For instance, 487 we did not consider in our cost function criteria such as head stability (Menz et al. (2003)) and pain that 488 might contribute to gait control. Further, we designed our cost function based on previous work with 489 a healthy adult but the same performance criterion might not hold for children with CP. Nevertheless, 490 our cost function predicted, as expected, a crouch gait pattern with personalized parameters and a more 491 upright gait pattern with generic parameters, suggesting that it captured at least part of the child's control 492 strategy. Finally, the personalized muscle-tendon parameters might not accurately capture the effect of 493 the child's altered muscle-tendon properties. In previous work (Falisse et al. (2017)), we underlined the 494 importance of incorporating experimental data from multiple functional movements when calibrating 495 496 muscle-tendon parameters in order to obtain valid parameter estimates (i.e., representative of the subject). In this study, the available experimental data was limited to walking trials and passive stretches from one 497 leg. Hence, it is likely that some parameters were calibrated to fit the experimental data but did not truly 498 499 reflect the force-generating capacities of the child. When used in conditions different from the experiments, these parameters may hence result in non-representative force predictions. A challenge for upcoming 500 research will be the design of experimental protocols to collect experimental data that contains sufficient 501

Falisse et al.

Predictive simulations of CP gaits

information for providing valid muscle-tendon parameter estimates while accounting for physiological 502 limitations of impaired individuals and practical limitations of clinical contexts. It is also worth noting 503 504 that our parameter estimation procedure only adjusted optimal fiber lengths and tendon slack lengths, whereas other parameters may need to be personalized, such as maximal isometric muscle forces, tendon 505 compliance, or maximal muscle contraction velocities. The muscle force-length-velocity relationships 506 507 might also be altered in children with CP due to their longer sarcomere lengths. Overall, further tuning of the neuro-musculoskeletal model and validation of the simulation framework outcome with a large 508 population are necessary for augmenting the representativeness of the simulations. 509

CONCLUSION

This study suggests that predictive simulations are able to identify the main treatment targets for specific 510 patients. In particular, our results showed that, in the presence of aberrant musculoskeletal geometries, 511 altered muscle-tendon properties rather than reduced neuromuscular control complexity and spasticity were 512 the primary driver of the impaired crouch gait pattern observed for the child with CP of this study. Based 513 on this observation, we would recommend altered muscle-tendon properties to be the primary target of 514 515 clinical interventions aiming to restore a more upright posture, which is in line with the surgical report and one-year post-operative gait analysis. Validation of our simulation workflow through analysis of many 516 517 more cases is, however, necessary to build confidence in the simulation outcomes. Overall, these results open the door for predicting the functional outcome of treatments on walking performance by allowing *in* 518

519 *silico* assessment of the effect of changes in the neuro-musculoskeletal system on the gait pattern.

CONFLICT OF INTEREST STATEMENT

520 The authors declare that the research was conducted in the absence of any commercial or financial 521 relationships that could be construed as a potential conflict of interest.

AUTHOR CONTRIBUTIONS

AF, FDG, and IJ conceptualized the methods; AF, LP, HK, MW, SVR, HH, EP, and LBO processed the
data; AF performed the formal analysis; AF, HK, LBO, AH, KD, GM, AVC, FDG, and IJ acquired funding;
AF, FDG, and IJ conducted the investigation; AF and FDG developed the methodology; AH, KD, GM,
AVC, FDG, and IJ administrated the project; EP, LBO, AH, KD, GM, and AVC provided resources; AF
developed the software; FDG and IJ supervised the project; AF, FDG, and IJ validated the research outputs;
AF prepared the data visualization; AF drafted the manuscript; and all authors edited the manuscript.

FUNDING

528 This work was supported by the IWT-TBM grant SimCP (140184). AF also received a Ph.D. grant 529 (1S35416N) from the Research Foundation Flanders (FWO). HK received a H2020-MSCA individual 530 fellowship (796120). LBO received a postdoctoral grant (12R4215N) from the Research Foundation 531 Flanders (FWO) and a grant (016.186.144) from the Netherlands Organisation for Scientific Research 532 (NWO).

Falisse et al.

Predictive simulations of CP gaits

DATA AVAILABILITY STATEMENT

All data, code, and materials used in this study will be made available at https://simtk.org/projects/predictcpgait upon publication.

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