

1 **Accuracy of serological tests for diagnosis of chronic pulmonary aspergillosis: a systematic**
2 **review and meta-analysis**

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21 **Abstract**

22 Chronic pulmonary aspergillosis (CPA) is a disease that benefits from cavities as after-effects of
23 tuberculosis, presenting a high mortality rate. Serological tests like double agar gel
24 immunodiffusion test (DID) or the counterimmunoelectrophoresis (CIE) test have been routinely
25 used for CPA diagnosis in the absence of positive cultures; however, they have been replaced by
26 enzyme-linked immunoassay (ELISA), with a variety of methods.

27 This systematic review aims to compare the accuracy of the ELISA test with the reference test
28 (DID and/or CIE) in CPA diagnosis. It was conducted according to the Preferred Reporting Items
29 for Systematic Reviews and Meta-Analyzes (PRISMA).

30 The study was registered in PROSPERO under the registration number CRD42016046057. We
31 searched the electronic databases MEDLINE (PubMed), EMBASE (Elsevier), LILACS (VHL),
32 Cochrane library, and ISI Web of Science. Gray literature was researched in Google Scholars and
33 conference abstracts. We included articles with patients or serum samples from CPA patients who
34 underwent two serological tests: ELISA (index test) and IDD and/or CIE (reference test), using
35 the accuracy of the tests as a result. Original articles were considered without a restriction of date
36 or language. The pooled sensitivity, specificity, and summary receiver operating characteristic
37 curves were estimated.

38 We included 13 studies in the review, but only four studies were included in the meta-analysis.
39 The pooled sensitivities and specificities were 0.93 and 0.97 for the ELISA test. For the reference
40 test (DID and/or CIE), these values were 0.64 and 0.99. Analyses of summary receiver operating
41 characteristic curves yielded 0.99 for ELISA and 0.99 for the reference test (DID and/or CIE). Our

42 meta-analysis suggests that the diagnostic accuracy of ELISA is greater than that of the reference
43 tests (DID and/or CIE) in early detection of CPA .

44

45 **Introduction**

46 Chronic pulmonary aspergillosis (CPA) is a slow and progressive lung disease caused by
47 *Aspergillus spp.* that develops in preexisting cavities of patients with chronic respiratory diseases,
48 and pulmonary tuberculosis is its main predisposing factor, with a global prevalence estimated at
49 1.2 million cases [1]. Its prognosis is poor, with 38-85% mortality in five years [1,2].

50 CPA presents five clinical forms: 1. aspergillus nodule, 2. pulmonary simple aspergilloma,
51 3. chronic cavitary pulmonary aspergillosis (CCPA), also called complex aspergilloma, 4. chronic
52 fibrosing pulmonary aspergillosis (CFPA), and 5. subacute invasive pulmonary aspergillosis
53 (SAIA) [3]. Aspergilloma is present in only one-third of patients with CPA [1,4].

54 The diagnosis of CPA is based on suggestive images, preferably tomographic images (CT
55 scan), on evidence of microbiological infection by *Aspergillus* or on the presence of an immune
56 response to this agent, maintained for at least 3 months [3,5].

57 Serologic tests are indispensable for the diagnosis in the absence of positive cultures and
58 are considered the best noninvasive tests to diagnose this entity [6,7]. These tests may be over 90%
59 positive with precipitins or in the detection of *Aspergillus* IgG [2,3].

60 In patients presenting *Aspergillus* in the respiratory tree, the detection of specific serum
61 antibodies differentiates infection from colonization, with a positive predictive value of 100% for
62 identification of infection [8]. Initially, antibodies against *Aspergillus fumigatus* were determined
63 by detection of precipitins using the double agar gel immunodiffusion test (DID) or the
64 counterimmunoelectrophoresis technique (CIE) [4,9,10] with a sensitivity of 89.3% [6] and a
65 specificity of 100% [11].

66 These methods (DID and CIE) consume a lot of time, intense work, require relatively large
67 extracts of *A. fumigatus* and patient serum, and provide only semiquantitative results [7].

68 The *Aspergillus* IgG antibody test is strongly recommended by the Infectious Diseases
69 Society of America IDSA [12]. In practice, precipitation techniques have already been replaced
70 by the *Aspergillus* IgG antibody detection test by enzyme-linked immunoassay (ELISA) [13]. This
71 is considered the fastest and most sensitive test [14], producing quantitative results with lower
72 extracts of *A. fumigatus* and patient serum by test, besides it is easily automated [7].

73 Despite its importance, serology for the detection of *Aspergillus* IgG by ELISA still does
74 not reach a definitive conclusion on diagnostic performance for CPA, as significant differences in
75 sensitivity, specificity and coefficient of variation need to be explored with cohorts of well-
76 characterized patients [3].

77 Historically, IgG ELISA assays used in-house antigens, with different antigenic
78 preparations and concentrations, which makes the comparison of test performance very difficult
79 [7]. Currently, we have commercial tests such as ELISA plates for *Aspergillus*-specific IgG
80 antibodies produced by Serion (Germany), IBL (Germany / USA), Dynamiker / Bio-Enoche
81 (China), Bio-Rad (France), Bordier (Switzerland) and Omega / Genesis (UK), as well as specific
82 *Aspergillus* IgG automated systems such as Immunolite-Siemens (Germany) and ImmunoCAP
83 (Thermo Fisher Scientific / Phadia), which are fluoroenzyme immunoassay variants of ELISA.
84 The main limitation of these tests is the detection of antibodies only against *A. fumigatus* and as
85 they account for only 40% of the isolates, diagnosis of CPA caused by non-*fumigatus* strains is
86 still a challenge [2].

87 Considering the variety of methods for detection of antibodies to *Aspergillus*, the use of
88 precipitation tests due to their low cost and the absence of more precise options for serological
89 diagnosis of CPA, the present study review on serological diagnosis of chronic pulmonary

90 aspergillosis, comparing the performance of the precipitation tests with the enzyme-linked
91 immunoassay tests.

92

93 **Materials and Methods**

94 We conducted a systematic review of the literature in accordance with the
95 recommendations of the Preferred Reporting Items for Systematic Reviews and Meta-Analyses
96 (PRISMA) [15] and STARD 2015 [16]. A protocol for systematic review was developed and
97 registered in the International Prospective Register of Systematic Reviews - CRD42016046057.
98 We used the Cochrane recommendations to report systematic reviews and meta-analyses of studies
99 on diagnostic accuracy [17].

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101 **Eligibility criteria**

102 We considered as inclusion criteria articles with population or serum samples from patients
103 diagnosed with aspergilloma or chronic pulmonary aspergillosis that were submitted to the ELISA
104 immunoenzymatic test (ELISA test) and to the double immunodiffusion gel agar and/or
105 counterimmunoelectrophoresis test (DID and/or CIE). The accuracy of the tests was defined as
106 primary outcome. Original studies were included without restriction of language, geographical
107 location or date of publication. We excluded studies with children or animals and/or *in vitro*. We
108 were unable to find an article in Japanese, which was selected for full article reading because it
109 was not available in the international library commuting service.

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112 **Information sources and search strategies**

113 The studies were searched in the following databases: MEDLINE (through PubMed),
114 EMBASE (through Elsevier), LILACS (through VHL), Cochrane library and ISI Web of Science.
115 Gray literature was researched in Google Scholars and congress abstracts. We submitted the search
116 strategy performed until June 2019.

117 We used the following search strategy for Medline and adapted it for the other databases:
118 pulmonary aspergillosis AND serologic test (and its synonyms). 1. ("Pulmonary Aspergillosis"
119 [Mesh] or Aspergillosis, Pulmonary or Pulmonary Aspergillosis or Lung Aspergillosis or
120 Aspergillosis, Lung or Aspergillosis, Lung or Bronchopulmonary Aspergillosis or Aspergillosis,
121 Bronchopulmonary or Bronchopulmonary Aspergillosis or Aspergillosis, Bronchopulmonary or
122 Aspergillose, Bronchopulmonary or Bronchopulmonary Aspergillose) AND ("Serologic Tests"
123 [Mesh] or Serological Tests or Serological Tests or Serological Tests, Serological or Tests,
124 Serologic or Serologic Tests or Serologic Tests or Serodiagnoses).

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126 **Study selection and data extraction**

127 Titles were imported from EndNote Online and duplicate studies were removed. The
128 remaining titles were independently reviewed by two authors (TFS and SMVLO), who selected
129 the article abstracts, as well as defined the complete texts for evaluation. The divergences were
130 resolved by a third expert reviewer (RPM). Two other authors (CEVC and JV) performed
131 independent evaluations of the complete articles and judged the methodological quality of the
132 included studies using the Quality Assessment of Diagnostic Accuracy Studies (QUADAS-2) tool
133 [18]. The divergences were resolved by consensus among the researchers.

134 Two reviewers (CEVC, JV) independently extracted the following data from each included
135 study:

- 136 - Study characteristics: author, year of publication, country, design, and sample size.
- 137 - Population characteristics: according to the inclusion criteria
- 138 - Description of the index test and cut-off points;
- 139 - Description of the reference standard and cut-off points;
- 140 - QUADAS-2 items;
- 141 - Accuracy results obtained in each study to construct a diagnostic contingency (two-by-two table);

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143 **Assessment of methodological quality**

144 For this review, we used the QUADAS-2 tool to assess the methodological quality of
145 studies [18]. QUADAS-2 consists of four key domains: patient selection, index test, reference
146 standard, and flow and timing. We assessed all domains for the potential of risk of bias (ROB) and
147 the first three domains for concerns regarding applicability. Risk of bias is judged as “low”, “high”,
148 or “unclear”. Two review authors independently completed QUADAS-2 and resolved
149 disagreements through discussion.

150

151 **Statistical analysis and data synthesis**

152 We used data reported in the true positive (TP), false positive (FP), true negative (TN) and
153 false negative (FN) format to calculate sensitivity and specificity estimates and 95% confidence
154 intervals (CIs) for individual studies. Summary positive (LR+) and negative (LR-) likelihood ratios
155 and summary diagnostic odds ratio (DOR) were obtained from the bivariate analysis. We used the

156 clinical interpretation of likelihood ratios [19] as follows: conclusive evidence (LR+>10 and LR-
157 <0.1), strong diagnostic evidence (LR+ >5 to 10 and LR- 0.1 to <0.2), weak diagnostic evidence
158 (LR+ >2 to 5 and LR- 0.2 to <0.5) and negligible evidence (LR+ 1 to 2 and LR- 0.5 to 1).

159 In studies where it was possible to calculate sensitivity and specificity for the ELISA test
160 and DID and/or CIE, we calculated accuracy test and Youden's J statistic. The Youden's index
161 values range from zero to one inclusive, with the expectancy that the test will show a greater
162 proportion of positive results for the diseased group than for the control [20].

163 Studies were submitted to meta-analysis when three conditions were required: 1. sample
164 size greater than 20; 2. sensitivity and specificity were available for the index and the reference
165 tests; 3. healthy controls were included in the analysis. We presented individual studies and pooled
166 results graphically by plotting the estimates of sensitivity and specificity (and their 95% CIs),
167 heterogeneity and receiver operating characteristic (ROC) space using Stata software. For the
168 subgroup analysis we presented individual studies and pooled results in forest plots using Meta-
169 DiSc software.

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171 **Investigations of heterogeneity**

172 We investigated heterogeneity by subgroup analyses. We addressed the main source of
173 heterogeneity: in-house and commercial ELISA tests. In-house tests have presented many
174 technical differences. We considered an I² value close to 0% as having no heterogeneity between
175 studies, close to 25% with low heterogeneity, close to 50% with moderate heterogeneity and close
176 to 75% with high heterogeneity between studies [21].

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178 **Results**

179 **Study inclusion**

180 A total of 2096 articles were identified in five databases, of which 2010 were searched
181 through a database and 63 articles were identified from other sources (manual search). After the
182 removal of duplicates, we remain with 1797 articles. After title / abstract exclusion, only 20 articles
183 were submitted to a full text read and 13 of them were included for the systematic review; only
184 four studies were included for the meta-analysis (see Fig 1).

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Fig1. Study flow diagram

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190 **Characteristics of the studies**

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The characteristics of the included studies are presented in S1Table. The earliest study was published in 1983 [22] and the five most current articles were published in 2015 [23], 2016 [24, 25, 26] and 2018 [27]. Nine studies took place in five countries: Japan [25, 28], Brazil [23], United Kingdom [24, 29], France [26, 30, 31] and India [27], but in 4 articles, the study countries were not reported [22, 32, 33, 34].

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Nine articles presented DID as the reference test [22, 23, 25, 27, 28, 30, 32, 33, 34]; an article presented two reference tests, DID and CIE [34] and four studies presented only CIE as the reference test [24, 26, 29, 31].

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When we performed data extraction, some important differences were observed and deserve to be highlighted. Seven articles performed in-house ELISA tests [22, 23, 28, 30, 32, 33, 34] and six articles described their studies with commercial tests [24, 25, 26, 27, 29, 31]. Different *Aspergillus* antigens and cut-off points were used in the in-house ELISA tests; the articles that used commercial tests also used several types of antigens and cutoff points included by authors beyond those established by the manufacturer and are described in S1 Table.

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In one article, we were unable to identify the number of patients evaluated with CPA, nor was it possible to extract data from the 2×2 table for DID and ELISA [28]; in two articles it was not possible to recover the DID data [25, 30]; in another article, data were not obtained from CIE [31] and in another [32], it was not possible to extract data for ELISA. In one study [33], 20 sera

209 from 13 patients were used and it was not possible to extract the accurate data per patient, besides
210 data from the control group was not presented for the ELISA test; in two articles, the tests were
211 not submitted to a control group [26, 29]; furthermore, in one article, the control group was
212 performed on patients with any presence of DID precipitation line and it was not considered by us
213 as a control group [25].

214 During the extraction of ELISA antigen concentration data, five studies with in-house tests
215 presented concentrations varying from 0.1 mcg to 250 mcg per well [22, 23, 30, 33, 34]; in two
216 articles these concentrations were not reported [28, 32].

217 In the in-house tests, we still find other differences, such as ELISA secondary antibody
218 dilution, with concentrations ranging from 1: 100 to 1: 300 when they were described [22, 23, 33,
219 34]; in three articles these dilutions were not reported [28, 30, 32]. When we evaluated the cut-off
220 for ELISA, several descriptions were found with titers ranging from 1: 100 to 1: 800; we also
221 found values in OD (optic density), au / mL, in percentage and in absorbance, and there was no
222 comparable value in in-house tests [22, 23, 33, 34]; in three articles, the cut-off was not described
223 [28, 30, 32]. For the ELISA substrate, TMB (3,3',5,5'-Tetramethylbenzidine) was found in two
224 articles [22, 23], also pNPP (Alkaline Phosphatase Yellow) [33, 34] and OPD (o-
225 Phenylenediamine) [30]; in two articles the substrate was not reported [28, 32].

226 When extracting antigen concentration data from *Aspergillus fumigatus* in the studies for
227 DID or CIE, we found variations between 5mg / mL and 100mg / mL [22, 29, 32, 33, 34]; we found
228 values expressed in microliters in the following studies: 2 μ L [31], 10 μ L [26] and 20 μ L [24]; and
229 in one article different concentrations were used for somatic antigen [20 mg / mL] and antigen
230 filtration [2mg / mL] [29]. The DID concentrations were not described in three articles [23, 28,
231 30].

232 The studies with commercial ELISA tests used the following tests: ImmunoCap [29, 24,
233 27, 25], Platelia [29], Immulite [24], Serion [24, 31], Dynamiker [24], Genesis [24], Bio-Rad [31,
234 26], and Bordier [26]. These tests presented different cut-off points and the one with the best
235 performance is described in S1 Table.

236 All methodological differences can be observed in S1 table.

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238 **Risk assessment of bias**

239 We illustrated the methodological quality of the included 13 studies using the QUADAS-
240 2 tool (Figs 2 and 3). All studies had unclear or high risk of bias in at least one domain. Almost all
241 studies [22, 23, 24, 25, 27, 28, 29, 30, 31, 32, 33, 34] demonstrated high-risk patient selection bias,
242 except one that was unclear (26), resulting mainly from not using consecutive or randomized
243 patient samples and not avoiding a case-control study. In seven studies [22, 28, 30, 31, 32, 33, 34],
244 there is not a clear definition of exclusion criteria.

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246 **Fig 2. Proportion graph of studies assessed as having low, high or unclear risk of bias**
247 **and/or applicability concerns**

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251 **Fig 3. Risk of bias and applicability concerns graph: review of the authors' judgments**
252 **about each domain presented as percentages across included studies**

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257 In the index test, eleven studies [22, 23, 24, 25, 26, 28, 30, 31, 32, 33, 34] presented an
258 unclear or high risk of bias; mainly because the index test was interpreted with prior knowledge
259 of the standard test. Eleven studies had a low risk of bias in the previous cut-off determination [22,
260 23, 24, 25, 26, 27, 28, 29, 31, 33, 34].

261 In the reference test, all studies had a low risk of correctly classifying the target condition;
262 bias risk assessment was uncertain or high risk in 9 studies [22, 24, 25, 26, 28, 30, 31, 33, 34] for
263 not making it clear whether the standard test was interpreted without the knowledge of the index
264 test or if they already had prior knowledge.

265 Regarding flow and time, bias risk assessment was uncertain in eight studies [22, 26, 28,
266 29, 30, 31, 33, 34] for not clearly describing whether there was an appropriate interval between
267 conducting the index test and the reference test; in one study [25] the evaluation was high risk. In
268 eleven studies, all patients were submitted to a reference test, it was included in the analysis [22,
269 23, 24, 25, 27, 29, 30, 31, 32, 33, 34] and they had low risk; in one study, not all patients were
270 submitted to a test reference [26] and in one study [28] this was uncertain.

271 Regarding applicability, almost all the articles presented low concern, because they did not
272 fail to correspond to the critical question of this study.

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280 Diagnostic accuracy

281 We present the Table 1 with all the articles included in this systematic review, with a
 282 description of the index and reference tests, a number of patients and healthy controls, and a
 283 presentation of the values of sensibility, specificity, accuracy test, likelihood positive value,
 284 likelihood negative value and Youden's statistic.

Table 1. Performance of ELISA test and reference tests in studies included in systematic review

Ref.	Assay	CPA	Healthy controls	Sensitivity (%)	Specificity (%)	Accuracy	LR+	LR-	Youden's J statistic
Azevedo et al., 2015	ELISA in-house ^a	22	200	81.8	94	93	13.64	0.193	0.76
	ELISA In-house ^b	22	200	72.7	97	95	29.09	0.280	0.7
	ELISA in-house ^c	22	200	86.4	96.5	96	24.68	0.141	0.83
	ELISA In-house ^d	22	200	59.1	99.5	96	118.18	0.411	0.59
	DID 1 ^e	22	200	45.5	100	95	183.52	0.545	0.46
Baxter et al., 2012	DID 2 ^f	22	200	59.1	100	96	235.96	0.414	0.59
	ELISA ImmunoCAP	116	-	86	-	-	-	-	-
	ELISA Platellia	116	-	85	-	-	-	-	-
Dumollard et al., 2016	CIE	116	0	56	-	-	-	-	-
	ELISA Bordier	129	0	98	-	-	-	-	-
	ELISA Bio-Rad	129	0	95	-	-	-	-	-
Faux et al., 1992	CIE	129	0	87	-	-	-	-	-
	ELISA In-house	11	18	-	-	-	-	-	-
Fujiuchi et al., 2016	DID	11	18	100	100	100	36.42	0.04	1
	ELISA ImmunoCAP	96 ^g	-	98	-	-	-	-	-
	ELISA ImmunoCAP	51 ^h	-	39	-	-	-	-	-
Guitard et al., 2012	DID	147	-	-	-	-	-	-	-
	ELISA Serion	51	222	92/88 ⁱ	95.9/91 ⁱ	95/90 ⁱ	-	-	0.88/0.79 ⁱ
	ELISA Bio-Rad	51	222	94/90 ^a	100/99.5 ^t	100/99 ^t	-	-	0.94/0.9 ^t
Kauffman et al., 1983	CIE	51	222	-	-	-	-	-	-
	ELISA In-house	20 (13) ^j	50	-	-	-	-	-	-

	DID	20 (13)ⁱ	50	-	-	-	-	-	-
Kurup et al., 1984	ELISA in-house^j	24	12	83.3	100	88.9	21.32	0.19	0.83
	ELISA in-house^k	24	12	50	100	66.7	13.00	0.52	0.5
	ELISA in-house^l	24	12	79.2	100	86.1	20.28	0.23	0.79
	DID 507^j	24	12	95.8	91.7	94.4	11.50	0.05	0.88
	DID 534^k	24	12	100	83.3	94.4	5.10	0.03	0.83
	DID 515^l	24	12	96	100	97.2	24.44	0.06	0.96
Mishra et al., 1983	ELISA In-house	17	50	100	98	98.5	33.06	0.03	0.98
	DID	17	50	100	100	100	99.17	0.03	1
Page et al., 2016	CIE ELISA	17	50	100	100	100	99.17	0.03	1
	ImmunoCAP ELISA	341	100	96	98	96	47.95	0.04	0.94
	Immulite ELISA	341	100	96	98	96	47.95	0.04	0.94
	Serion ELISA	341	100	90	98	92	44.87	0.11	0.88
	Dynamiker ELISA	341	100	77	97	82	25.71	0.24	0.74
	Genesis ELISA	341	100	75	99	80	75.07	0.25	0.74
	CIE ELISA	341	100	59	100	68	119.01	0.41	0.59
Sarfati et al., 2006	In-house^m ELISA	51	41	81	98	88	33.09	0.20	0.79
	In-houseⁿ ELISA	51	41	79	98	87	32.37	0.22	0.77
	In-house^o ELISA	51	41	77	98	86	31.65	0.23	0.75
	In-house^p ELISA	51	41	93	95	94	19.06	0.07	0.88
	In-house^q ELISA	51	41	93	95	94	19.06	0.07	0.88
	in-house^r ELISA	51	41	91	95	93	18.70	0.09	0.86
	in-house^s ELISA	51	41	95	93	94	12.95	0.06	0.88
	DID ELISA	51	41	-	-	-	-	-	-
	ImmunoCAP ELISA	137	50	94	100	96	95.72	0.06	0.96
	DID ELISA	137	50	26	100	46	26.24	0.75	0.26
Yamamoto et al., 1988	ELISA in-house	-	45	-	-	-	-	-	-
	DID	-	-	-	-	-	-	-	-

285 AF (*A.fumigatus*) strain and 0.12 cut-off; b. AF, *A.niger* and *A.flavus* pool and 0.13 cut-off; c. AF strain and 0.09 cut-off; d. AF, *A.niger* and *A.flavus*
286 pool and 0.1 cut-off; e. AF strain; f. AF, *A.niger* and *A.flavus* pool; g. proven cases; h. possible case; i. 20 patients (13 sera); j. AF 507 strain; k. AF
287 537 strain; l. AF 515 strain; m. RNU; n. DPPV; o. CAT; p. CAT + RNU; q. CAT+ DPPV; r. RNU + DPPV; s. RNU + DPPV + CAT; t. first and
288 second percentages were obtained then equivocal results were considered as positives or negatives, respectively.

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290 The Youden index ranged from 0.50 to 0.98 for the ELISA test and from 0.26 to 1 for the
291 reference test (DID and/or CIE) for the individual studies. Three studies presented a good
292 performance above 0.90 Youden index for the reference test [22, 32, 34]. The other studies
293 presented a performance below 0.90. The Youden indicates the trade-off between sensitivity and
294 specificity.

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297 **Quantitative synthesis - meta-analysis**

298 In individual studies included in the meta-analysis, ELISA test sensitivity ranged from 0.83
299 (95% CI 0.63 to 0.95) [22] to 0.96 (95% CI 0.93 to 0.98) [24] and specificity ranged from 0.92
300 (95% CI 0.64 to 1.00) [22] to 0.98 (95% CI 0.93 to 1.00) [24]. The pooled sensitivity and
301 specificity for the ELISA test, based on four data studies [22, 23, 24, 27], were 0.93 (95% CI 0.87
302 to 0.96) and 0.97 (95% CI 0.94 to 0.98), respectively. Pooled LR+ and LR- were 31.40 (95% CI
303 16.40 to 60.10) and 0.07 (95% CI 0.04 to 0.14), respectively. Pooled DOR were 440.00 (95% CI
304 156.00 to 1241.00). We interpreted the pooled LR+/LR- from the ELISA test as conclusive
305 evidence, but we have not interpreted the reference test (DID and/or CIE) in the same way, because
306 LR- was included as weak diagnostic evidence.

307 In the DID and/or CIE tests analyses, the sensitivity and specificity in individual studies
308 ranged from 0.26 (95% CI 0.18 to 0.34) [27] to 0.96 (95% CI 0.79 to 1.00) [22] and 0.92 (95% CI
309 0.64 to 1.00) [22] to 1.00 (95% CI 0.97 to 1.00) [23], respectively. The pooled sensitivity and
310 specificity for DID and/or CIE tests were 0.64 (95% CI 0.29 to 0.89) and 0.99 (95% CI 0.96 to
311 1.00). Pooled LR+/LR- were 53.00 (95% CI 19.20 to 146.40) and 0.36 (95% CI 0.14 to 0.92).
312 Pooled DOR were 146.00 (95% CI 40.00 to 532.00).

313 The forest plots in Figs 4 and 5 show the sensitivity, specificity ranges and heterogeneity
314 for the ELISA test and reference test (DID and/or CIE) in detecting chronic pulmonary
315 aspergillosis across the included studies.

316 **Fig 4. Forest plot for sensitivity, specificity and heterogeneity from four ELISA studies.**

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Fig 5. Forest plot for sensitivity, specificity and heterogeneity from four DID and/or CIE studies.

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332 We also constructed the sROC curves and calculated the area under ROC (AUROC) for
333 included studies (Fig 6). The overall diagnostic performance of the ELISA and the reference test
334 (DID and/or CIE) were comparable (AUROC 0.99 [95% CI 0.97 to 0.99], and 0.99 [95% CI 0.97
335 to 0.99], respectively).

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Fig 6. Summary ROC curves from the four included studies. A. AUROC for the ELISA test; B. AUROC for the reference test (DID and/or CIE).

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345 **Investigations of heterogeneity**

346 When we evaluated the four studies [22, 23, 24, 27], we found a heterogeneity (I²) of 67.69
347 (95% CI 33.17 to 100.00) in the ELISA sensitivity pool, considered as moderate heterogeneity,
348 and 96.50 (95% CI 94.38 to 98.62) in the DID and/or CIE sensitivity pool, considered to be highly
349 heterogeneous. We investigated the subgroup analyses, evaluating only the two most recent studies
350 using commercial ELISA tests [24, 27] and the heterogeneity (I²) was 0% for sensitivity and
351 specificity. When we studied the reference tests, the heterogeneity (I²) was 97.8% for sensibility
352 and 0% for specificity.

353 The pooled sensitivity and specificity for the ELISA test, based on two data studies [24,
354 27], were 0.95 (95% CI 0.93 to 0.97) and 0.98 (95% CI 0.95 to 1.00), respectively. Pooled LR+
355 and LR- were 54.92 (95% CI 16.08 to 187.64) and 0.05 (95% CI 0.03 to 0.07), respectively. Pooled
356 DOR were 1231.40 (95% CI 326.00 to 4651.70). The pooled sensitivity and specificity for the
357 reference test (DID and/or CIE), based on two data studies [24, 27], were 0.49 (95% CI 0.45 to
358 0.54) and 0.99 (95% CI 0.96 to 1.00), respectively. Pooled LR+ and LR- were 55.39 (95% CI 7.82
359 to 392.60) and 0.56 (95% CI 0.29 to 1.06), respectively. Pooled DOR were 100.07 (95% CI 11.84
360 to 845.84). These results are presented in Figs 7 and 8.

361

362 **Fig 7. Forest plot of sensitivity (A), specificity(B) and heterogeneity from the ELISA test for**
363 **the subgroup analyses (two studies).**

364

365

366 **Fig 8. Forest plot of sensitivity (A), specificity (B) and heterogeneity from the DID and/or**
367 **CIE test for the subgroup analyses (two studies).**

368

369

370 Studies using in-house ELISA tests show large methodological differences in their
371 performance. In the DID and/or CIE tests, high heterogeneity was maintained for the sensitivity in
372 both studies [24, 27], considering that the precipitation tests are all in-house and also present large
373 methodological differences in the studies included in this review.

374

375

376 **Discussion**

377 This is the first systematic review comparing the ELISA test with the precipitin tests (DID
378 and/or CIE) for the diagnosis of chronic pulmonary aspergillosis. Although current studies suggest
379 ELISA as a better performance test for CPA diagnosis, precipitation tests are still considered in
380 many countries as the reference test, especially in Brazil, where this review was carried out.

381 Thirteen articles that met the criteria for the research question were included, and all studies
382 were considered as having an uncertain or high risk of bias in some domains in the quality risk
383 assessment.

384 Important methodological differences were verified, mainly related to the in-house ELISA
385 tests. More recent studies with commercial ELISA tests were included in the review, but also with
386 differences described. We also observed this phenomenon in the DID and/or CIE tests, as these
387 are all still in-house.

388 Mainly in former studies, we observed that the population selection was based on stored
389 samples from patients already diagnosed with CPA and submitted to the tests described in the
390 review. In addition, the lack of a checklist in the studies' description was very evident, where many

391 items in QUADAS-2 were not reported clearly, interfering with the quality of the evaluation. As
392 an example, we noted that, in one study, although we were skilled in extracting the data for
393 constructing the 2 x 2 table, the discussion and conclusion of the study had an error in printing and
394 they were not compatible with the objective, methods and results of the article [22].

395 In the ELISA evaluation in individual studies included in the meta-analysis, the best
396 performances based on the Youden's test were from the commercial tests [24, 27], with
397 ImmunoCAP and Immulite tests, ranging from 0.94 to 0.96.

398 When we evaluated Youden's J statistic for the precipitation test (DID or CIE), in the
399 studies included in the meta-analysis, only one study presented a performance of 0.96 [22] and the
400 other studies [23, 24, 27] ranged between 0.26 and 0.59.

401 In a review article [35] it was reported that precipitin tests do not detect all CPA cases, but
402 are correlated with disease activity and may become negative, so they can function as a follow-up
403 tool along with imaging and inflammatory markers.

404 The ELISA test seems to be a promising test, and even with important methodological
405 differences, it was useful to evaluate the use of diagnostic data for chronic lung aspergillosis in
406 each study where it was possible to obtain data for the calculation of sensitivity and specificity.
407 Two more recent studies were highlighted in this review [24, 27], with sensitivities presenting
408 lower confidence intervals for the ELISA test, and when compared to the confidence intervals
409 from the reference tests (DID and/or CIE), they showed a better performance. Besides that, the
410 pooled LR+/LR- from the ELISA test presented as conclusive evidence and this was not observed
411 in the reference test results.

412 Several studies have recently been published with serological data using only commercial
413 ELISA tests for CPA diagnosis in an area with a high prevalence of tuberculosis [1, 13, 36].

414 The limitations of this study rely in the primary studies. There were problems regarding
415 individual reporting for the primary studies, thus we could not do a 2×2 table; in some cases the
416 lack of appropriate reporting made us judge the study as having an unclear [22, 28, 30, 33, 34] or
417 high risk of bias [31].

418 The availability of commercial tests demonstrated in recent studies [24, 27] may facilitate
419 the incorporation of the ELISA test into our clinical practice, allowing standardized use for the
420 diagnosis of chronic pulmonary aspergillosis and replacing the reference test that still depends on
421 its in-house performance.

422 Because the global burden of CPA is substantial, mainly as a sequel to pulmonary
423 tuberculosis (PTB) [37] and especially in countries such as Brazil, which is on a list of 30 countries
424 representing over 80% of tuberculosis cases worldwide in 2015 [38], there is still a need for well-
425 designed studies so that the degree of evidence is obtained and demonstrated for the use of the
426 ELISA test in comparison to the precipitation tests.

427 In conclusion our meta-analysis suggests that the enzyme-linked immunosorbent assay
428 (ELISA) presented a better accuracy than the precipitation tests (DID and/or CIE) for CPA
429 diagnosis, and that it can be considered the test of choice in clinical practice.

430

431 **Acknowledgments**

432

433

434 **References**

435

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543 **Supporting information captions**

544

545 **S1 Table. Characterization of the studies included in this systematic review and meta-**
546 **analysis.**

547 **ELISA: Enzyme-Linked Immunosorbent Assay; AF: *Aspergillus fumigatus*; Ag: antigen;**

548 **DID: Double Immunodiffusion; CPA: chronic pulmonary aspergillosis patients; OD: optical**

549 **density; CIE: counterimmunoelectrophoresis; TMB: 3,3',5,5'-Tetramethylbenzidine; pNPP:**

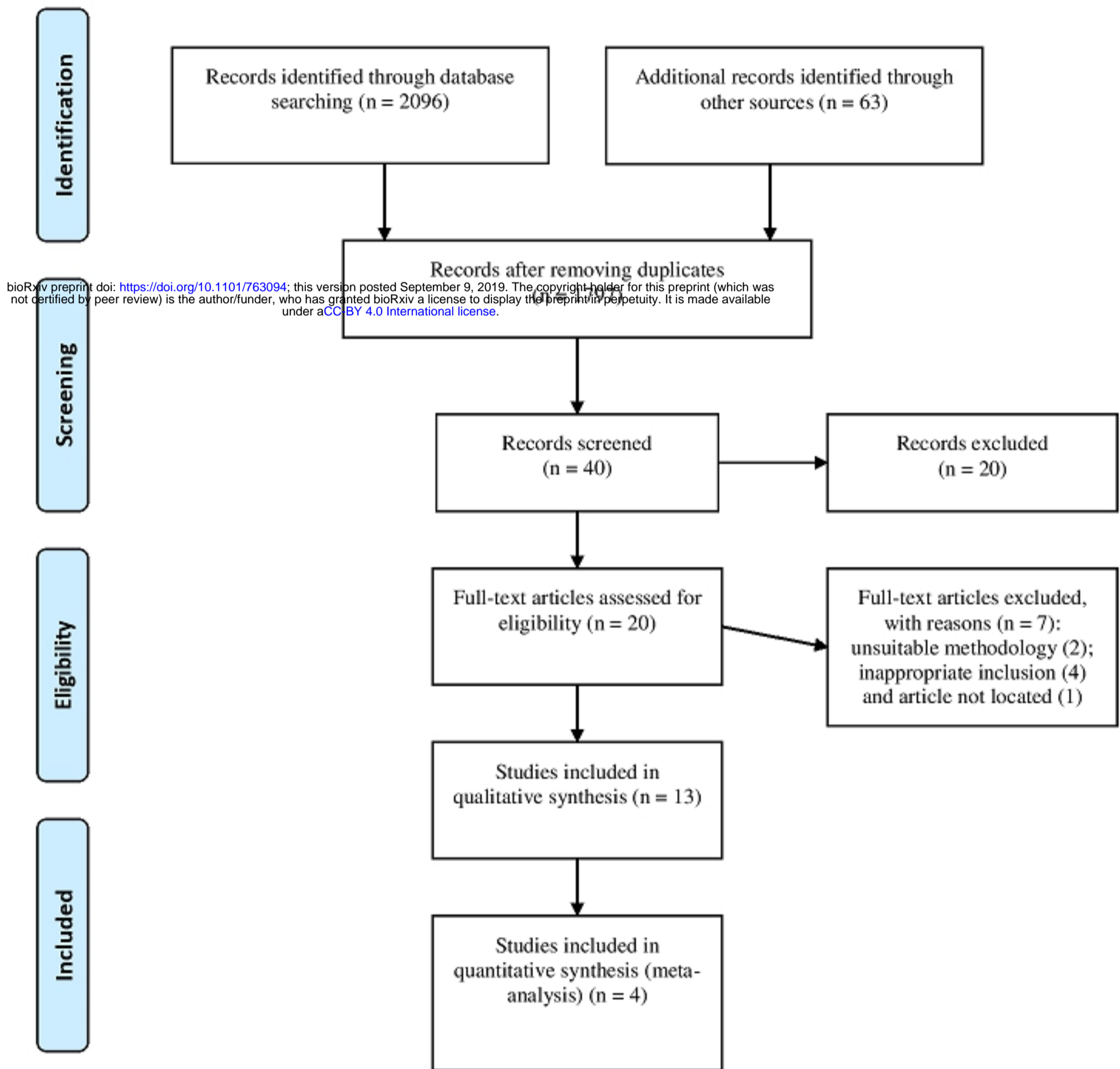
550 **Alkaline Phosphatase Yellow; OPD: o-Phenylenediamine; RNU: 18-kDa ribonuclease;**

551 **DPPV: 88-kDa dipeptidylpeptidase; CAT: 360-kDa catalase**

552



PRISMA 2009 Flow Diagram



From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(7): e1000097. doi:10.1371/journal.pmed1000097

For more information, visit www.prisma-statement.org.

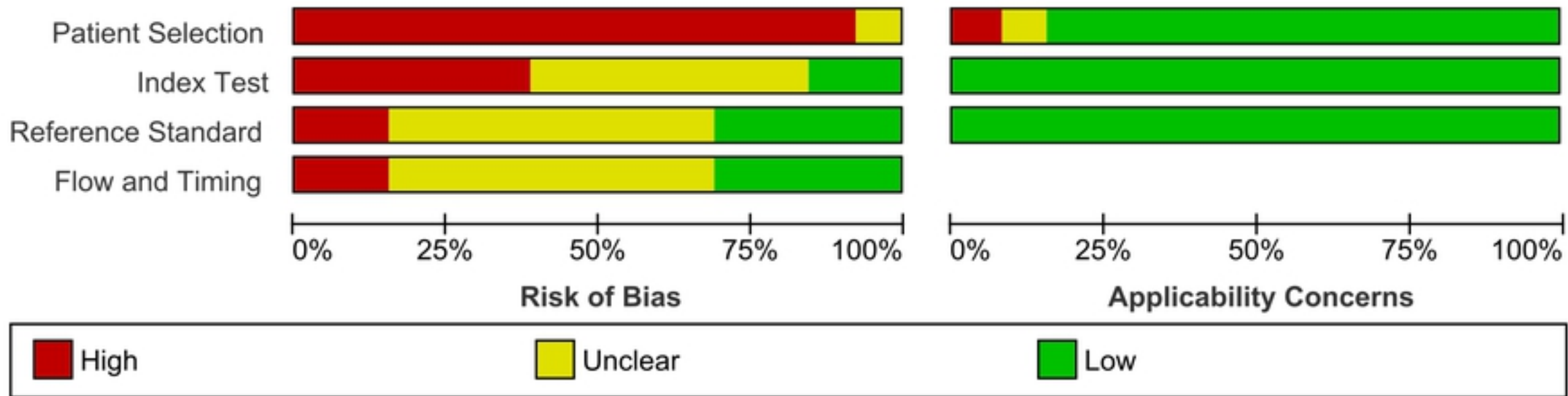


Fig2.TIFF

Risk of Bias

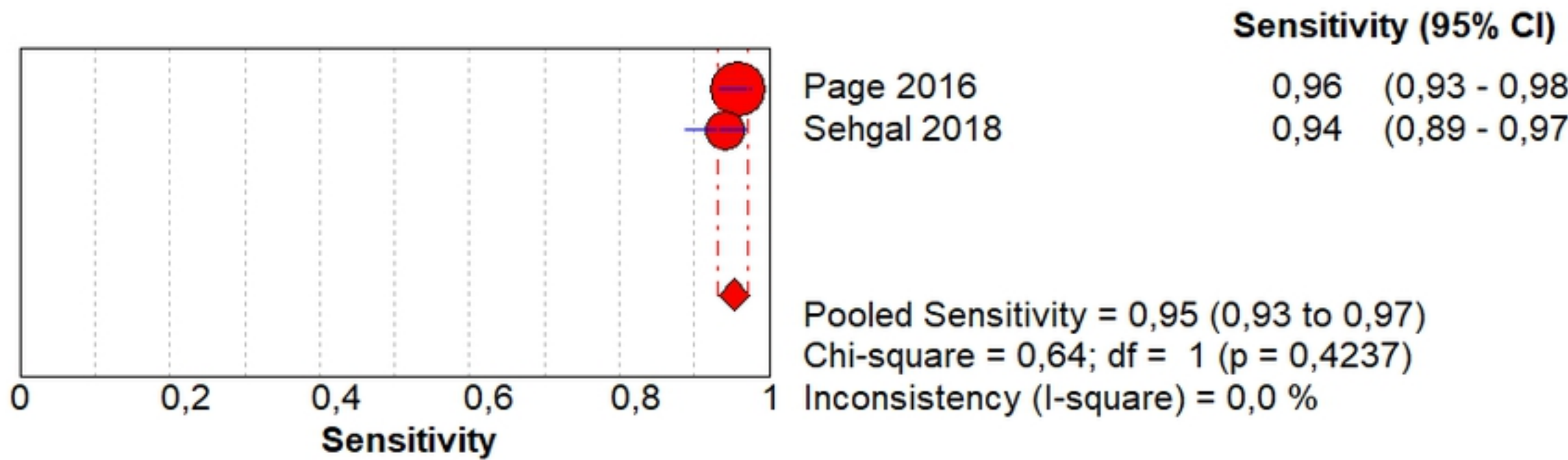
Applicability Concerns

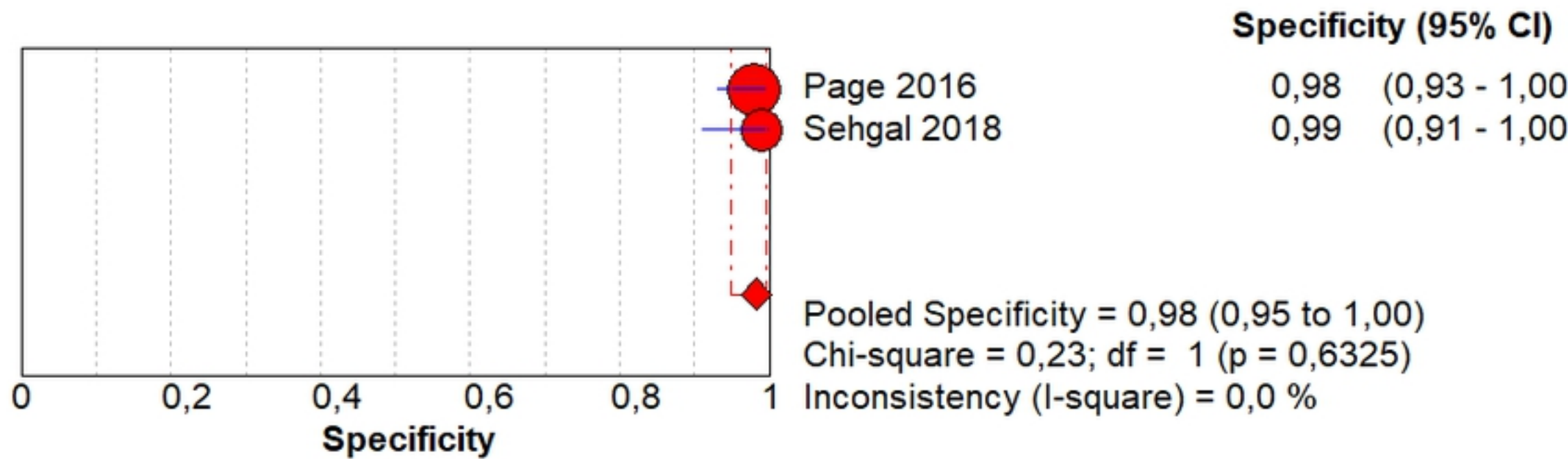
	Risk of Bias				Applicability Concerns		
	Patient Selection	Index Test	Reference Standard	Flow and Timing	Patient Selection	Index Test	Reference Standard
Azevedo 2015	-	-	+	+	+	+	+
Baxter 2013	-	+	+	?	+	+	+
Dumollard 2016	?	?	-	-	+	+	+
Faux 1992	-	-	+	+	+	+	+
Fujiuchi 2016	-	-	?	-	-	+	+
Guitard 2012	-	-	-	?	+	+	+
Kauffman 1983	-	?	?	?	+	+	+
Kurup 1984	-	?	?	?	+	+	+
Mishra 1983	-	?	?	?	+	+	+
Page 2016	-	-	?	+	+	+	+
Sarfati 2006	-	?	?	?	+	+	+
Sehgal 2018	-	+	+	+	+	+	+
Yamamoto 1988	-	?	?	?	?	+	+

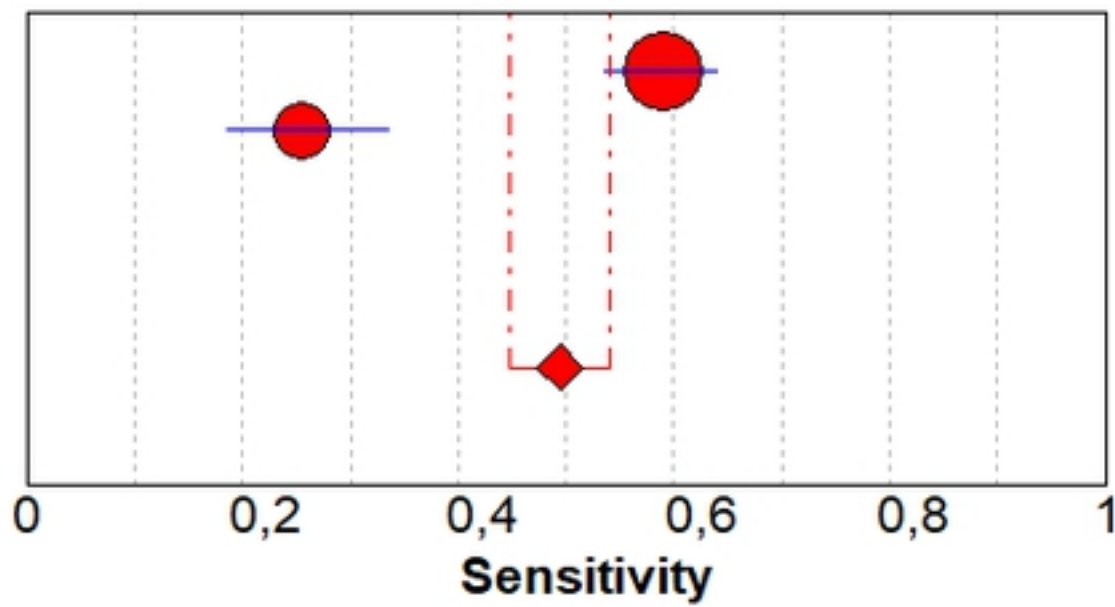
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Fig3.TIFF





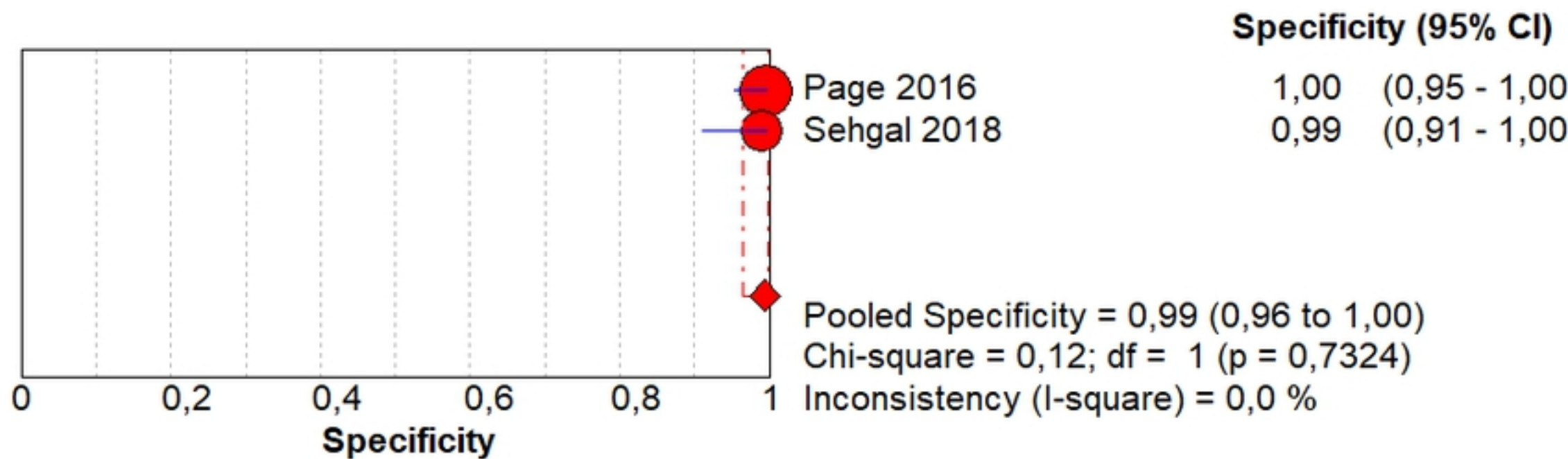


Page 2016
Sehgal 2018

Sensitivity (95% CI)

0,59 (0,54 - 0,64)
0,26 (0,18 - 0,34)

Pooled Sensitivity = 0,49 (0,45 to 0,54)
Chi-square = 45,11; df = 1 (p = 0,0000)
Inconsistency (I-square) = 97,8 %



Reference	Country	Test index and antigens	Reference test and antigens	CPA	Healthy Control	Study Design	Ag/well concentration Elisa	Dilution of the secondary antibody Elisa	Elisa cut-off	Elisa substrate	Ag Concentration on DID and/or CIE	Meta-analysis
Azevedo et al., 2015	Brazil	a. Elisa 1 (AF) b. Elisa 2 (AF pool, <i>A. flavus</i> and <i>A. niger</i>)	a. IDD 1 (AF) b. IDD 2 (pool of AF, <i>A. flavus</i> and <i>A. niger</i>)	22	200	Case-control	10mcg/well	1:3000	a. Elisa 1 - 0.12 (OD), - 0.13 (OD), b. Elisa 2 - 0.09 (OD), - 0.10 (OD)	TMB	Not reported	Yes
Baxter et al., 2013	United Kingdom	a. ImmunoCap (extract of AF conidia and mycelium) b. Platelia (purified, unspecified recombinant antigen – (AF))	CIE (AF somatic; culture filtrate antigens)	116	-	Prospective cohort	Not reported	Not reported	a. >40mg/mL, b. ≥10au/mL	-	AFS - 20mg/mL and culture filtrate antigens - 2mg/mL	No (without healthy control)
Damollard et al., 2016	France	a. Elisa Bordier – two recombinant antigens with somatic and metabolic antigens from AF b. Platelia Bio-Rad – one recombinant antigen AF c. Elisa Virion/Serion – antigenic composition not available (AF)	CIE (somatic and metabolic antigen from AF different from those used in the Bordier)	129	-	Prospective cohort	Not reported	Not reported	a. ≥1 OD b. ≥10au/mL c. >70au/mL	Not reported	10 µL	No (without healthy control)
Faux et al., 1992	Not reported	In-house ELISA (AF)	DID (4 AF extracts)	11	18	Case-control	Not reported	Not reported	Not reported	Not reported	20mg/mL	No (population under 20 and without ELISA date)

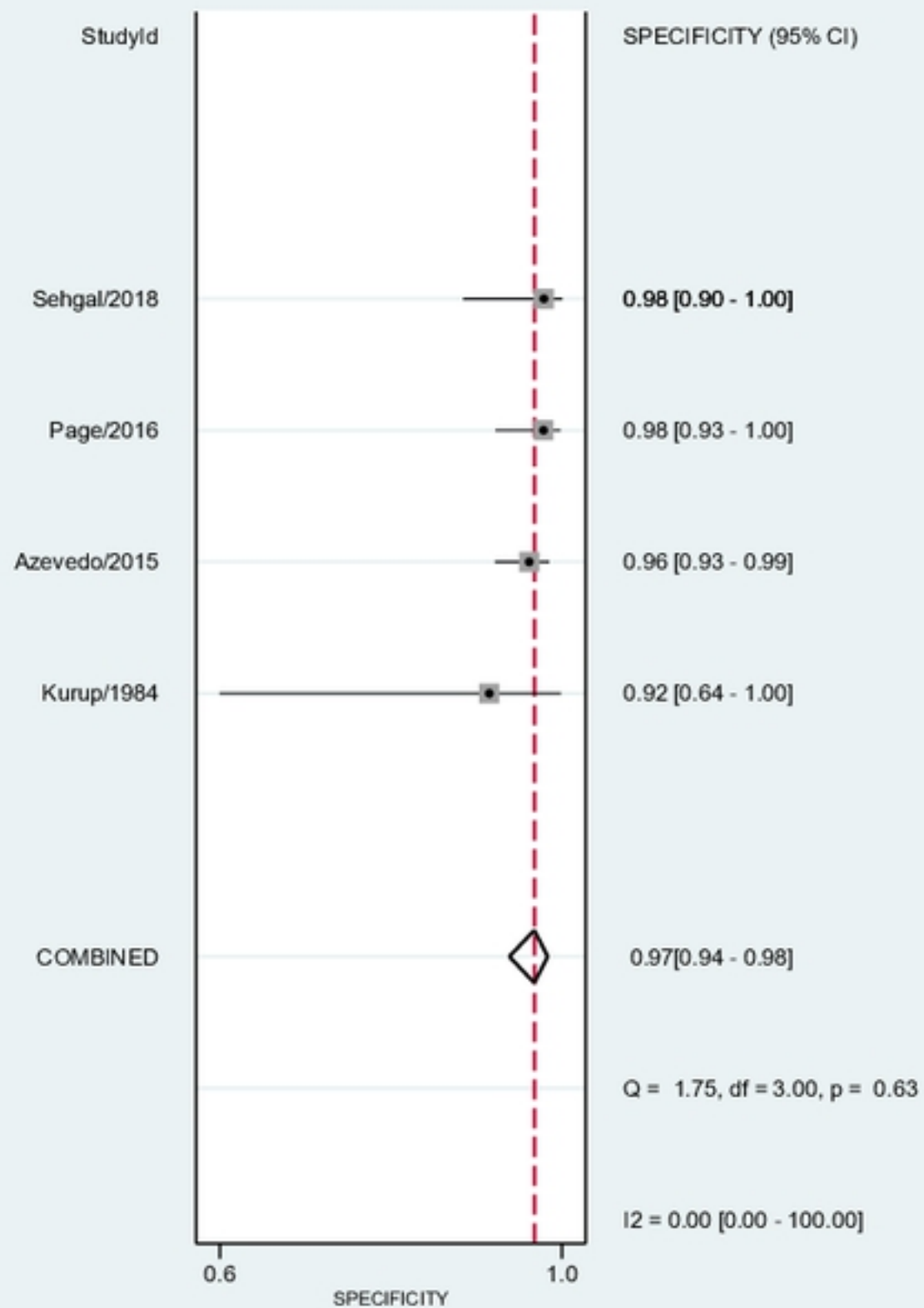
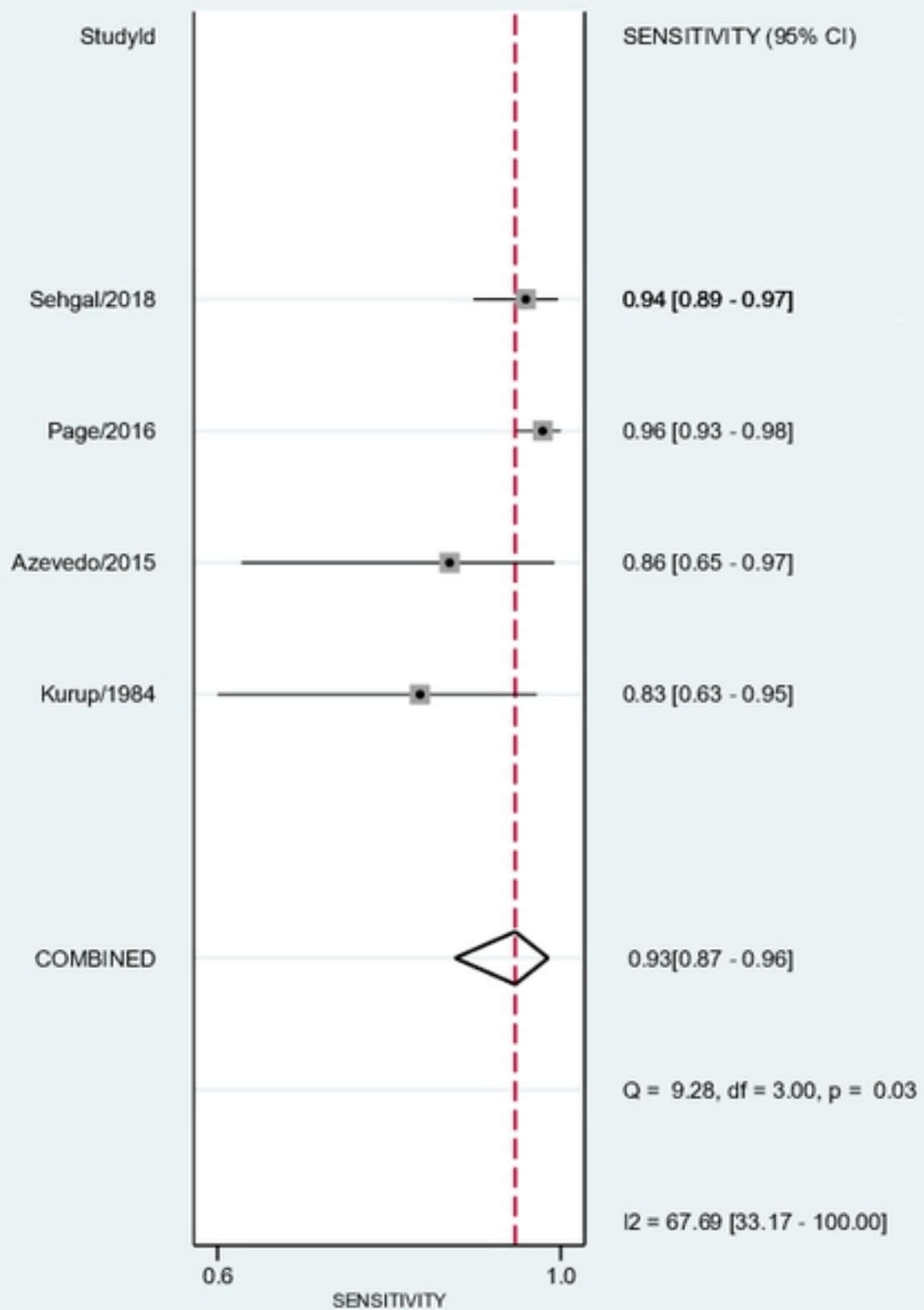


FIG4.TIFF

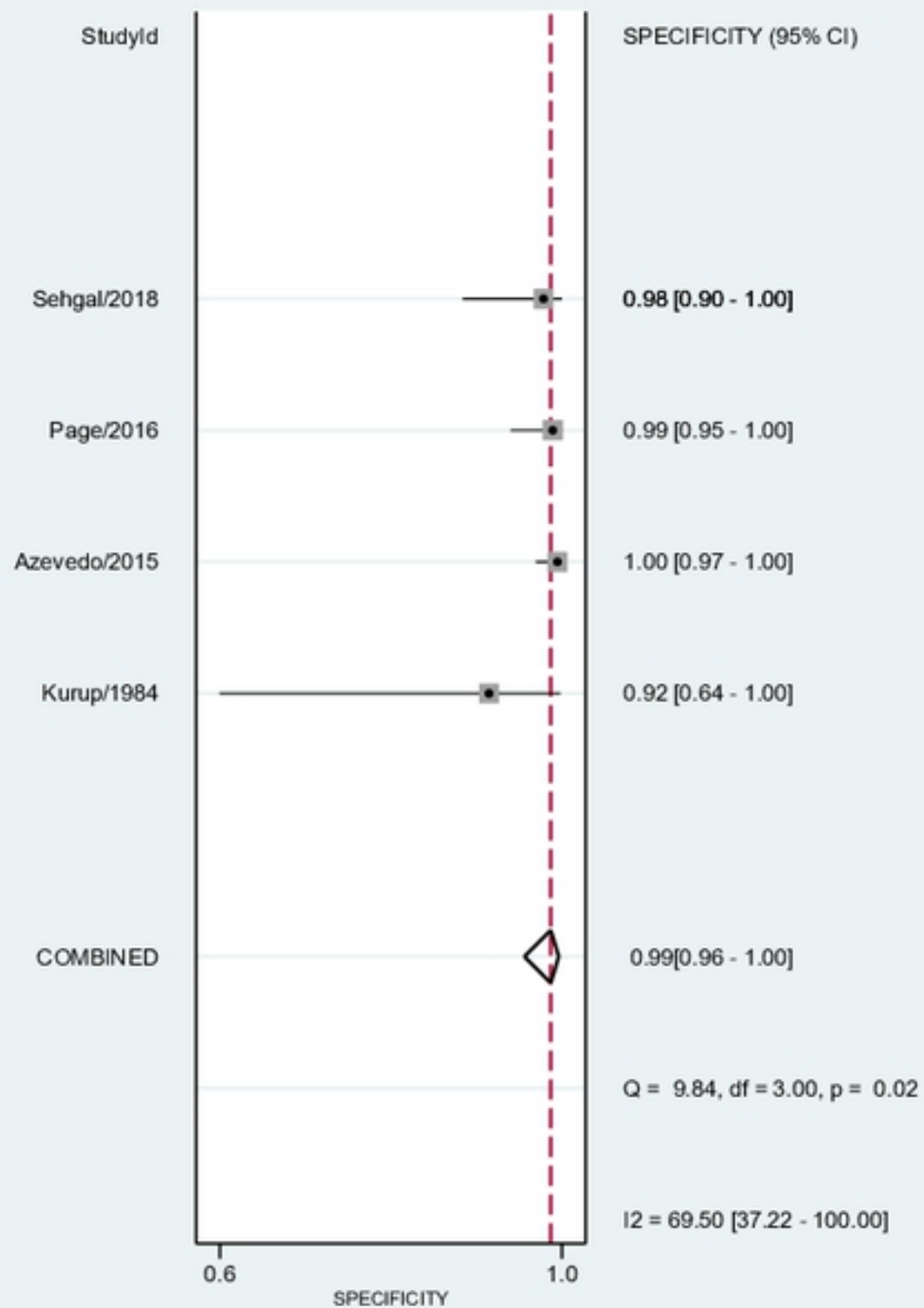
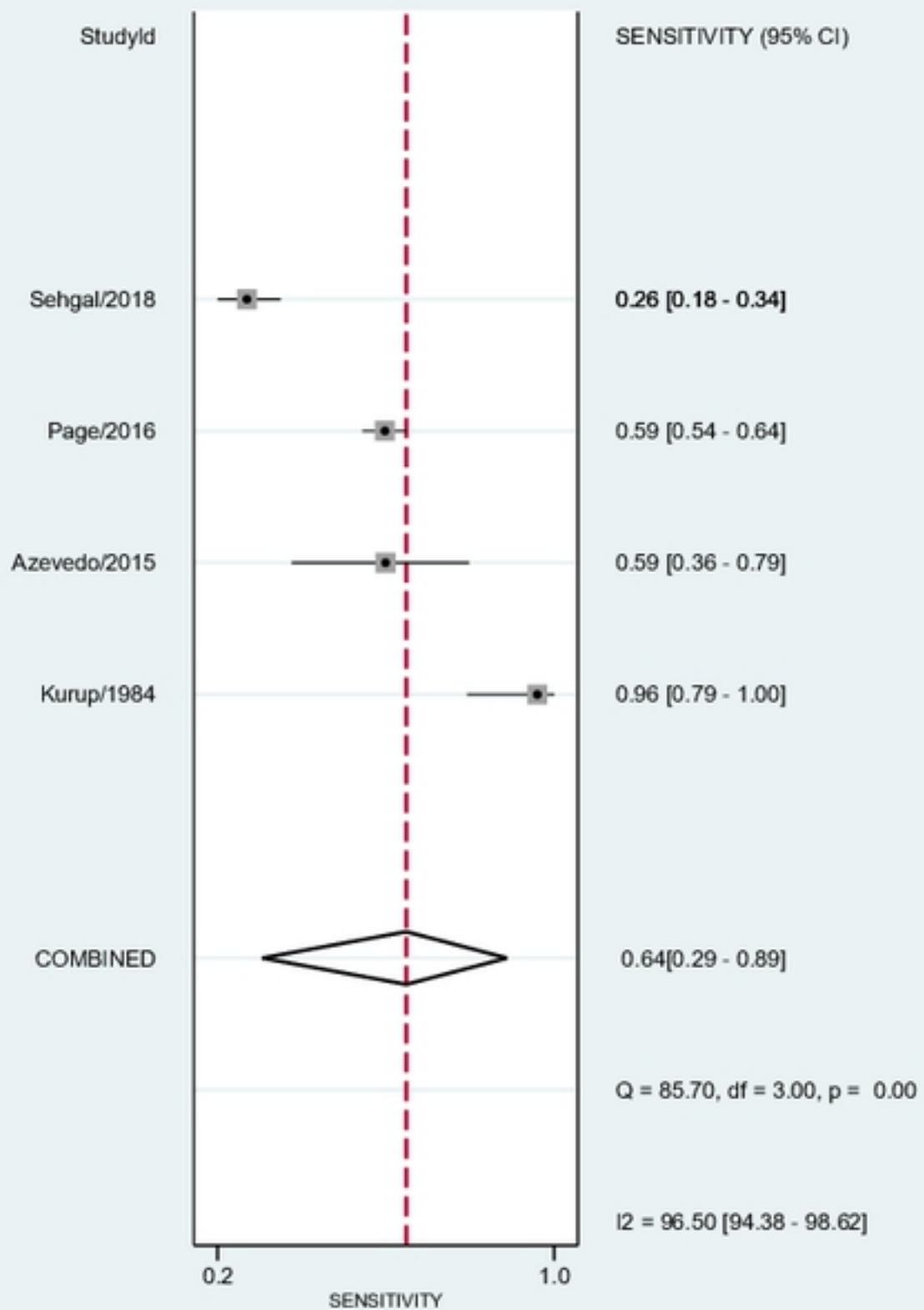
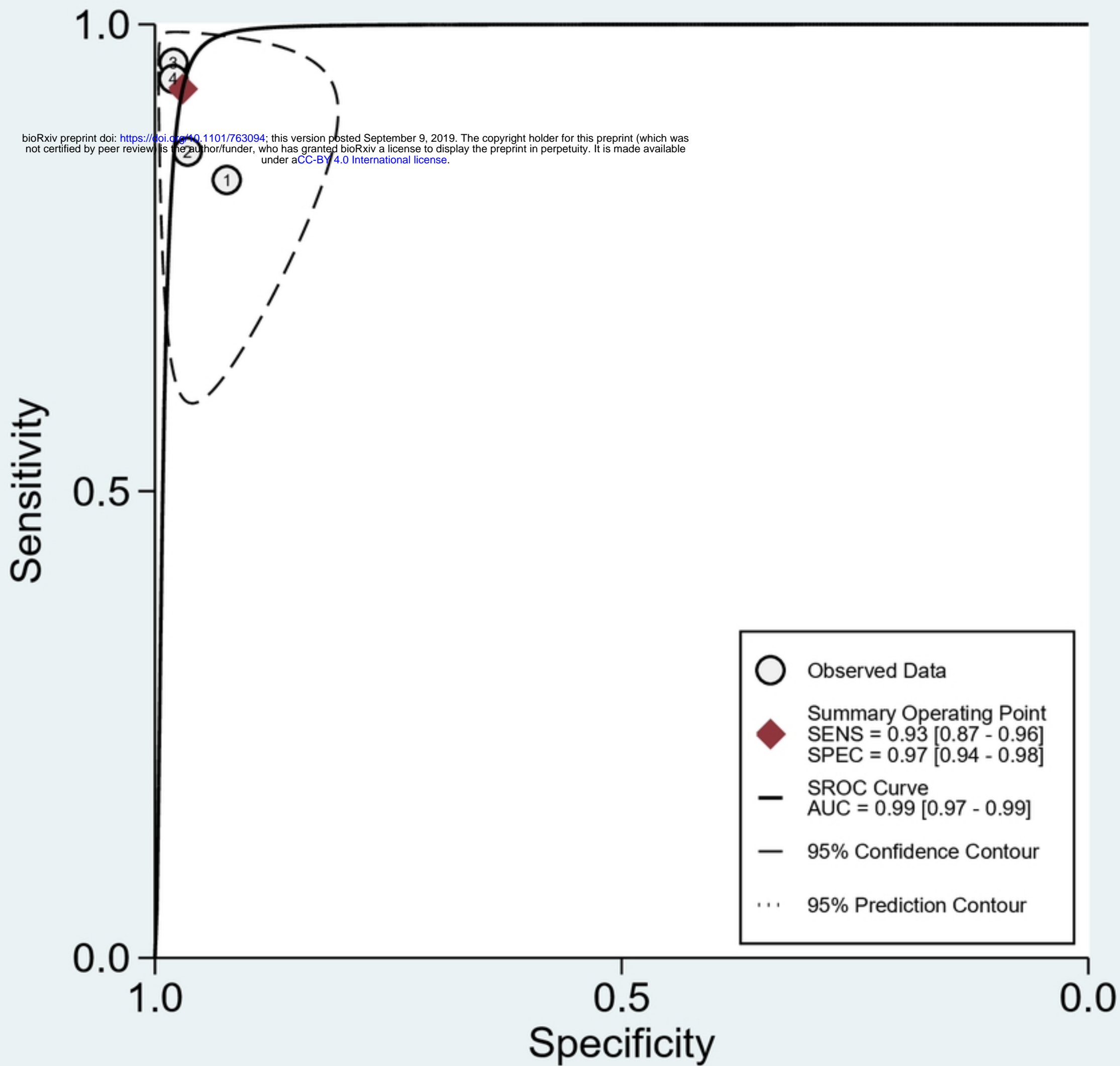


Fig5.TIFF

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