1	Demographic history of a remote ichthyofauna assemblage reveals common
2	processes driving colonization and persistence in endemic coral reef fishes
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26 Elucidating demographic history during the settlement of ecological communities is 27 crucial to properly inferring the mechanisms that shape species diversity and their 28 persistence through time. We used genomic data to elucidate for the first time the 29 demographic dynamics associated with the settlement by endemic reef fish fauna of 30 one of the most isolated islands of the Pacific Ocean, Rapa Nui (Easter Island). We 31 compared the demographic history of nine endemic species including seven small-32 range (restricted to Rapa Nui and Motu Motiro Hiva) and two large-range (present in 33 Rapa Nui and other southern subtropical islands of the Pacific) endemic species in 34 order to explore the onset of community settlement and associated demographic 35 history. We found that most Rapa Nui endemic species share a common demographic 36 history, with a demographic expansion initiated during the last interglacial period and 37 related to the last sea level high-stand. The commonality of this pattern suggests that 38 eustatic fluctuations associated with Milankovitch cycles have played a central role in 39 species demographic history and the final stage of contemporary community assembly 40 of Rapa Nui reef fishes. We discuss the potential role of seamounts in the colonization / 41 extinction / recolonization dynamics of populations of the Rapa Nui Archipelago. 42

43 **1. Introduction**

44	Local and regional processes jointly drive community assembly, <i>i.e.</i> the
45	dynamics of species aggregations in ecological communities and the number of
46	coexisting species at a given place. The importance and nature of local (e.g.
47	environmental filtering, species interactions inside a community) and regional (e.g.
48	dispersal, immigration of new species from outside of a community) processes in the
49	origin and maintenance of biodiversity in communities is one of the oldest question in
50	ecology [1,2]. Phylogenetic analysis of community assembly can give a better
51	understanding of the relative importance of local and regional dynamics in shaping
52	community assembly [3] as they provide a temporal framework [4]. However, the
53	difficulty of reconstructing accurate divergence times between species due to the
54	incompleteness of available phylogenies limit the power of such analyses. In the
55	meantime, community assembly, especially in island systems, is known to be dynamic,
56	with regular colonization / extinction / and recolonization of populations occurring
57	through time [2,5,6], processes which cannot be assessed using species level
58	approaches. Investigate shallower time scale, population genetics can provide insights
59	into both assembly time and species demographic history during community assembly,
60	hence helping understanding the mechanisms by which species persist through time.
61	Thus by comparing the demographic histories of multiple species in a community and
62	estimating the timing of the early stages of community assembly, it is possible to
63	disentangle not only the relative contribution of historical (e.g. tectonic, eustatic
64	changes) and ecological (e.g. competitive exclusion, habitat filtering) dynamics but also
65	the scale of the processes (local vs. regional) in shaping the final stage of
66	contemporary community assembly.
67	

Rapa Nui (Easter Island, Chile, 166 km²) is one of the most remote islands on
 Earth and hosts an exceptional reef fish community. The mechanisms at the origin of

70 this diversity and its persistence through time remain enigmatic. Rapa Nui (RN) is the 71 second hotspot of endemism of the Indo-Pacific Ocean, with 21.7% of fishes endemic 72 to these islands [7,8]. This reef fish community is known for being extremely species 73 poor (only 169 fish species; 139 shore fishes) compared to the species-rich islands of 74 the Indo-Australian Archipelago for instance. RN and the islet Motu Motiro Hiva (MMH) 75 (Salas y Gómez, 0.15 km²), located 400 km further east, which shares the same reef 76 fish fauna as RN [9], constitute the only two emerged islands of the Easter Chain. RN 77 and MMH are relatively young; 2.5 and 1.7 My, respectively [10], but they are 78 embedded in a network of numerous seamounts. This seamount chain extends 2,232 79 km east to the Nazca seamount (23°360 S et 83°300 W) [10,11] and these mounts 80 have emerged to various degrees during periods of low sea level. In this context, an 81 "Ancient Archipelago" hypothesis has been formulated to account for the high level of 82 endemism observed in RN and MMH, young islands, hypothesizing that "most if not all 83 endemic species were acquired from elsewhere in the region" [12]. Seamounts, which 84 were once likely islands, could have provided potentially suitable habitat for at least the 85 past 29 My for endemics of the region to evolve and persist up to present times [12]. 86 However, a recent analysis of the divergence times of endemic species from their 87 closest relatives has shown that small-range endemics are not older that the 88 emergence of RN and MMH: they are thus neoendemics [13], thereby guestioning the 89 ancient origin of RN endemics. Yet, the seamounts nearby RN could have played a 90 major role in long-term species persistence through time, especially during the 91 Pleistocene. This era is characterized by notable Milankovitch cycles, historical 92 processes resulting in the alternation of glacial and inter-glacial time that resulted in 93 sea levels 150 m below present level. Such paleo-environmental perturbations might 94 be expected to have left a footprint of bottlenecks and expansions in the demographic 95 history of populations of many marine species [14–17]. These major sea level changes 96 altered shallow water habitats; exposing continental shelves during low sea levels but

also giving access to habitat otherwise too deep to colonize such as relatively shallow
seamounts. Thus, these features of the Pleistocene could have temporally expanded
the range distribution of endemic species and their population sizes[18].

100

101 Reef fish community assembly in remote islands is dependent on colonization / 102 extinctions / recolonization processes. The RN reef ichtyofauna is very dynamic; 103 several species that were reported as being abundant in 1969 in RN waters were then 104 reported as rare or even absent 16 years later. Along the same line, numerous species 105 are reported as present in RN waters but are actually vagrants, i.e. species that 106 colonize RN from time to time without being able to establish a population locally [7]. 107 The endemic RN reef ichtyofauna is composed of two types of endemics, small-range 108 and large-range endemic species. It is possible to hypothesize that *local* processes, at 109 the scale of the RN and MMH community, influence the demographic history of small-110 range endemics as these fishes are restricted to these two islands. On the contrary, 111 large-range endemics are present both in RN and in other southern subtropical islands 112 of the Pacific. As such, populations of these fishes are embedded in metapopulations 113 of larger geographic ranges than those of small-range endemics. Thus the population 114 dynamics of large-range endemics are likely to also be affected by regional processes 115 such as fragmentation and dispersal outside of the RN and MMH community.

116

117 Through genome-wide sequencing (ddRAD), we explore the demographic 118 history of nine endemic species of RN. The species studied here represent the two 119 types of endemics (small-range and large-range), seven major reef fish families, and 120 three different reproductive strategies endemics. We examine whether demographic 121 histories vary (1) according to the current range distribution of the species; (2) with life 122 history traits; doing so, we investigate the consequence of historical processes such as

- 123 sea level changes or ecological dynamics driven by reproductive strategy on the
- 124 genetic diversity. If historical processes are more important than ecological processes
- 125 in the maintenance of endemic species in RN, we expect to find similar population
- 126 dynamic for the two types of endemic species. In the same way, if local processes are
- 127 more important than regional processes in the maintenance of endemic species in RN,
- 128 we expect to find similar assembly time for the two types of endemic species.
- 129 Implications for the biogeography of the area are discussed.
- 130

131 **2. Material and methods**

132

133 (a) Sampling

- 134 A total of 143 reef fishes (nine species) were collected using polespears or an
- 135 anesthetic (clove oil) in Rapa Nui in October 2016 (Table 1). Species were classified as
- 136 either small-range or large-range endemics. Small-range endemics only present
- 137 around RN and MMH and have a maximum range of <500 km in linear distance, see
- 138 Delrieu-Trottin et al. [19]. Southern subtropical endemics have large-ranges (1,000–
- 139 8,000 km in linear distance, see Delrieu-Trottin et al. [19], hereafter large-range
- 140 endemic species) and are distributed from Southern Polynesia to RN (regional
- 141 endemics in Friedlander et al. [9]). The species analyzed here also possess different
- 142 reproductive strategies, with five species producing pelagic eggs, three species
- 143 producing demersal eggs, and one species brooding eggs in their mouths (Table 1).
- 144

145 (b) Library preparation and sequencing

- 146 Whole genomic DNA was extracted from fin or gill tissue preserved in 96% ethanol
- 147 using the GeneJet Genomic DNA purification kit according to the manufacturer's
- 148 protocols (Thermo Fisher Scientific). Double-digest restriction-associated DNA
- 149 (ddRAD) libraries were prepared following Peterson et al.' protocol [20]. The genomic

- 150 libraries obtained were sequenced in 3 lanes of a HiSeq 2500 Illumina sequencer
- 151 (single end, 125 pb). Illumina reads are available from the Sequence Read Archive
- 152 (SRA) at NCBI under the Accession nos. XXXXXX–XXXXXXX.
- 153

154 (c) De novo assembly

- 155 We used the 'process_radtags.pl' pipeline in STACKS version 2.0 [21,22] to
- demultiplex and quality filter the sequences obtained. In the absence of reference
- 157 genomes for the species under study, RADSeq loci were assembled de novo using the
- 158 'denovo_map.pl' pipeline in STACKS. We used the parameter combination
- 159 recommended by Mastretta-Yanes et al. [23]; this included minimum read depth to
- 160 create a stack (m) = 3, number of mismatches allowed between loci within individuals
- 161 (M) = 3, number of mismatches allowed between loci within catalogue (n) = 3 and
- required a locus to be present in all individuals of each species (r = 1). Following de
- 163 novo mapping, an initial data-filtering step was performed using the population
- 164 component of STACKS removing all loci with maximum observed heterozygosity higher
- than 0.8. We first kept all single-nucleotide polymorphisms (SNP) per stack (*i.e.* locus)
- and did not use any threshold regarding the minor allele frequencies as we obtained a
- 167 high coverage for each individual. We then removed all loci displaying more than three
- 168 SNPs to avoid potential paralogs. Summary statistics based on the resulting vcf file
- 169 (Supplementary material) such as nucleotide diversity (π on variable loci),
- 170 heterozygosity (H) and F_{IS} , were calculated for every SNP using
- 171 the *populations* program in STACKS. The folded Site (Allele) Frequency Spectrum
- 172 (SFS) was computed in R [24] with the package pegas [25].
- 173

174 (d) Demographic analyses

- 175 Variation in the effective population size (*Ne*) through time was investigated using the
- 176 composite likelihood approach implemented in the software stairwayplot [26]. The

177 stairwayplot is a non-parametric model where Ne is free to vary at each coalescent 178 interval. The composite likelihood is evaluated as the difference between the observed 179 SFS and its expectation under a specific demographic history. To confirm the results 180 obtained by the stairwayplot, we ran an additional approximate Bayesian computation 181 algorithm based on coalescent simulations following Maisano Delser et al [27]. Briefly, 182 we performed 1.000,000 coalescent simulations of a demographic model with three 183 instantaneous changes of Ne. The model is therefore defined by seven parameters: 184 four values of Ne and three instantaneous time changes (hereafter, T). We set the 185 same uniform distribution for the four Ne values and incremental uniform distribution for 186 the three T parameters, similarly to Maisano Delser et al [27]. Coalescent simulations 187 and SFS computation were performed with fastsimcoal [28]. We used the SFS and the 188 mean pairwise differences (computed with a custom R script) as summary statistics. 189 We retained the best 5,000 simulations to perform a local linear regression [29] and 190 reconstructed the abc skyline at user specified time points starting from the posterior 191 distribution as in Maisano Delser et al [27]. We used a mutation rate (μ) of 1.0 x 10⁻⁸ / 192 site / generation following [30–34] and generation times found in the literature (Table 1) 193 or inferred using maximum standard length. 194 195 As data did not meet assumptions of normality, we computed the Wilcoxon-

196 Mann-Whitney and Kruskal-Wallis non parametric tests to examine differences in

demography (TMRCA) considering four factors: range size, familiy, generation time,

198 and reproductive strategies. All statistical analyses were performed in R, using the

199 package *vegan* [35] and *ggplot2* was used for graphical representations [36].

200

3. Results

Raw sequence filtering, assembly, and SNP calling. A total of 31 543 967 reads of 121
bp each were obtained for the 143 individual samples from the nine species endemic to

RN. An average of 3 504 885 reads were found per species (min: 2 983 062, max: 4
430 571). The different filtering steps resulted in the building of an average of 41 470
loci (min: 25 331; max: 63 590) per species out of which an average of 23 693 (min: 19
246; max: 28 855) were variable (Table 2). The variable loci harbored an average of 37
107 SNPs (min: 28 239; max: 46 718). The depth of coverage per SNP ranged from
31x to 50x (43x averaged across species).

210

211 Genetic diversity statistics. Different levels of genetic diversity were found for the nine

212 species (Table 2). Cantherhines rapanui displayed the highest values of heterozygosity

213 (max: 0.262) and nucleotide diversity (32.73 x 10⁻⁴). *Chrysiptera rapanui* showed the

214 lowest values of heterozygosity (0.136) while Ostorhinchus chalcius displayed the

215 lowest values of nucleotide diversity (0.063).

216

217 Community assembly time. The mean TMRCA retrieved with the stairway plots for the

nine species was very recent 253,871 YBP (+/- 119,482 YBP) and ranged from

219 105,232 YBP (Ostorhinchus chalcius) to 398,058 YBP (Sargocentron wilhelmi) (Figure

1). The TMRCAs retrieved did not differ significantly among types of endemics (W = 5,

221 p = 0.6667), families (H₆ = 7.4667, p = 0.2798), or reproductive strategy (H₂ =2.56, p =

222 0.278). The only significant factor was generation time ($H_2 = 6.1111$, p = 0.0471).

223

224 Changes in historical effective population size. We recovered very similar demographic

225 patterns with the two methods (stairway plots and the abc skylines; Figure 1, S1).

226 Patterns of population expansions were recovered for seven out of nine endemic

227 species studied, constant population size through time was found for one species

228 (Sargocentron wilhelmi) and a decrease in population size was recovered for one

species (*Cantherhines rapanul*). Expansion times retrieved were highly similar between

the two methods for four out of the seven species, while abc skylines estimated older

- expansion times than those inferred with the stairway plots for the three other cases. In
- the same way, the bottleneck found for *Cantherhines rapanui* was dated slightly older
- with the abc skyline than with the stairway plot analysis.
- 234

235 **4. Discussion**

236

237 Rapa Nui endemic species share a common history, with population expansions 238 dominating the demographic histories dated during the last interglacial period for both 239 small-range and large-range endemics. These expansions, shaped by historical 240 processes, indicate that their current effective population size established in RN during 241 the last glacial maxima (25 000 - 115 000 YBP), a period characterized by climatic 242 cooling and decreased sea levels [37]. These results agree with those found for 243 expansions and bottlenecks of other marine organisms suggesting that sea level 244 oscillations during the last 800 000 years level [38,39] has greatly influenced reef fish 245 populations [40–49]. Rapa Nui endemics, however, differ in their ecology compared to 246 organisms of other reefs in the Pacific region, as they are far less restricted in terms of 247 depth range. Many of the RN endemic species have the particularity to be found from 248 shallow waters to the mesophotic zone (100 - 150 m) in RN water and in seamounts 249 nearby RN (Table 1). Considering the network of seamounts surrounding RN, low sea 250 levels during glacial maxima would have produced periods of maximum reef habitat 251 extension (Figure 2). As such, population expansions during glacial maxima could 252 reflect the colonization / recolonization history of RN from MMH or other seamounts of 253 the RN archipelago. While the Ancient Archipelago hypothesis of Newman & Foster 254 [12] has been invalidated at the species level for this island system [13], this hypothesis 255 could explain what is observed at the population level for RN endemic species. Overall, 256 historical metapopulation dynamics within the RN Archipelago combined with sea level

257 fluctuations during glacial maxima could have driven the population dynamics and

258 hence community assembly of RN endemics.

259

260	The common demographic and temporal patterns found for large-range and
261	small-range endemics provide insights into the mechanisms that shaped the RN
262	ichthyofauna. Community assembly in remote islands is expected to rely on
263	colonization / extinction / recolonization processes. The concordant expansions
264	detected for all species except Cantherines rapanui and Sargocentron wilhelmi suggest
265	a concomitant establishment of current population sizes during the last glacial cycle.
266	This pattern does not seem to be influenced by the size of the species range
267	distribution as both small-range and large-range endemics display similar patterns.
268	Actually, populations of large-range endemics sampled around RN could potentially
269	operate at a regional scale, being also present in several other islands of the South
270	Pacific, but the similar expansion times observed here for both types of endemism
271	argue in favor of population demography driven by historical processes.
272	
273	Local processes rather than regional processes seem to have driven the final stage of

274 community assembly among endemic species around RN, as indicated by the similar 275 TMRCAs retrieved here for both types of endemism. This result is also suggested by 276 the lower species richness observed there compared to in other Pacific islands. The 277 low species diversity in RN reefs might not only be due to the difficulty for larvae to 278 colonize such remote islands, but also due to the difficulty to establish a viable 279 population / metapopulation that functions at such local scales. This hypothesis is 280 reinforced by the fact that the two large range endemics studied here are among the 281 most abundant species of RN [9], yet they are rare throughout the rest of their 282 distribution [50]. Assessing the amount of gene flow between RN populations with

283 those of Pitcairn or Austral Island for these large range endemics could help to test this 284 hypothesis.

285

286	The relatively small range of TMRCAs retrieved here could also reflect the
287	success of speciation for small-range endemic species. This has been hypothesized for
288	French Polynesian small-range endemics which display similarly population
289	expansions older than the Last Glacial Maximum [17]. Speciation is not an
290	instantaneous process, and could takes at least 2 My to complete in various vertebrate
291	clades [51]. Divergence times, upper bound of the speciation time, are known to be
292	quite recent for several small-range species of this study (Cantherhines rapanui 0.54
293	Ma (0.07 - 1.3 95% HPD), Chrysiptera rapanui 1.39 Ma (0.50 - 2.83 95% HPD),
294	Chromis randalli 2.02 (0.58 - 4.3 95 % HPD), Coris debueni: 2.31 (0.72 - 4.11 95%
295	HPD); see [13]). Only a study in a genomic framework based on pairs of sister species
296	could provide a better estimate of the timing of speciation (e.g [33]).
297	
298	Coalescence time does vary across loci and across sets of individuals. By
299	screening thousands of loci, the inferences made on the demographic history of the
300	populations should not be biased by the loci observed. However, uncertainty in both
301	mutation rates and generation times can potentially bias molecular dating. Mutation
302	rates for SNP data of fish range from 2.5 x 10^{-8} [52] to 3.5 10^{-9} [53] and their selection
303	for estimating demographic histories is not often justified. As such, we chose the fish
304	SNP mutation rate most often used in the litterature. Finally, estimations of generation
305	times in the wild for fishes are scarce. Most of the generation times we used (six out of

306 nine) were selected from the literature from species that are phylogenetically close-

307 related to the species studied here (same genus). Additionally, we used maximal length

308 to infer generation times for three species as maximum standard length and generation

309 time are positively correlated [54]. If TMRCAs were found to be correlated to

- 310 generation time, it seems that this parameter did not influence the expansion time
- 311 estimates here as we retrieved a common temporal pattern for most species.
- 312
- 313 Conclusion
- 314 We elucidate for the first time the community assembly time of the RN endemic
- 315 reef fish community using genomic data and demographic inferences. We show that
- the RN reef fish endemic community shares a common history, with expansion
- 317 occurring during the last glacial cycle for the two different types of endemic species.
- 318 Local processes based on the seamounts system around RN should have played a
- 319 major role in the foundation and persistence of this endemic reef fish community
- 320 through dynamic of colonization / extinction / recolonization throughout the RN
- 321 Archipelago.
- 322
- 323 Ethics. All applicable institutional guidelines for the care and use of animals were
- followed. Specimens were collected under permit No. 724, 8 March 2016 obtained from
- 325 the Chilean Subsecretary of Fishing. The Universidad Austral de Chile Ethical Care
- 326 Committee and Biosecurity Protocol approved our use and handling of animals.
- 327
- 328 **Data accessibility.** All Fastq sequence files are available from the GenBank at the
- 329 National Center for Biotechnology Information short-read archive database (accession
- 330 number: forthcoming).
- 331

332 Authors' contributions.

- 333 E.D.-T. and P.S.-A. conceived the study; E.D.-T., P.S.-A. and S.M. acquired the
- 334 funding; E.D.-T., E.C.G., V.N, C.R.E. and P.S.-A., collected the field data; EDT, P.C.-
- B. and A.S. produced the data; E.D.-T.; E.D.-T., N.H., S.M, and P.S.-A. analyzed the

- data; E.D.-T., N.H., E.C.G., S.M, and P.S.-A wrote the manuscript. All authors gave
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531

532

533 **Figure captions**

- 534 **Figure 1.** Stairway plots for large-range (red) and small-range endemics (black)
- 535 representing the variation of effective population size through time and sea level
- 536 fluctuations for the past 400 000 years using data from [37]. Dark tones indicate glacial
- 537 era characterized by rises of the sea level while greys tones indicate inter-glacial era
- 538 with lower sea level.

539

- 540 **Figure 2.** Surface of potential habitat for Rapa Nui endemic reef fish species computed
- 541 using the package marmap [55] in R [24]. Present conditions (red) and past conditions
- 542 during the glacial maximums (orange).

543

544 **Supplementary Material.**

545 **Figure S1.** Median of effective population sizes (Ne) based on stairway plots and abc

546 skylines analyses.

Table 1 Ecological data on the species of interest of this study. Range size (S: small-range size; L: large-range size), Family,
 Maximum Depth where the species have been observed (Max. depth) and reference associated (¹: specimens photographed at
 those depths by Luiz A. Rocha; ²: personal observation from Cristian Rapu-Edmunds freediving); generation time used for this
 study and references associated, maximum size of the species. Codes for reproductive strategy are: [p] pelagic eggs, [b]

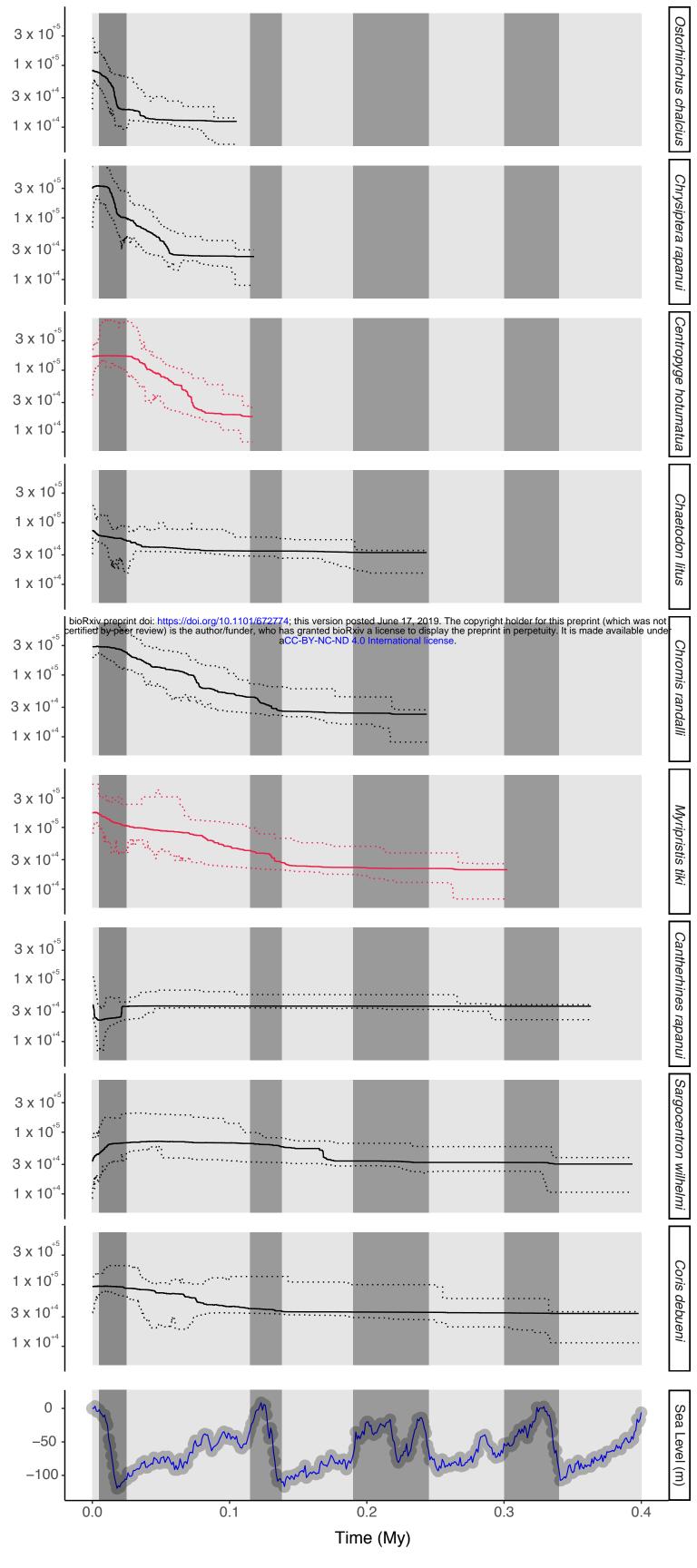
Range				Generation time	Max. size	
Size Family		Species Max. depth (m)		(years)	(TL, cm)	
S	Monacanthidae [b]	Cantherhines rapanui	20 [7]	3	20	
S	Apogonidae [m]	Ostorhinchus chalcius	25 [7]	2	16	
L	Pomacanthidae [p]	Centropyge hotumatua	50 [7]	1 [56]	9	
S	Pomacentridae [b]	Chrysiptera rapanui	72 ¹	1 [57]	7.8	
S	Labridae [p]	Coris debueni	70 ¹	3	27	
L	Holocentridae [p]	Myripristis tiki	80 ²	3 [41]	26.5	
S	Pomacentridae [b]	Chromis randalli	105 ¹	2 [57]	15	
S	Chaetodontidae [p]	Chaetodon litus	105	2 [42]	15.5	
S	Holocentridae [p]	Sargocentron wilhlmi	157 [58]	3 [41]	19.5	

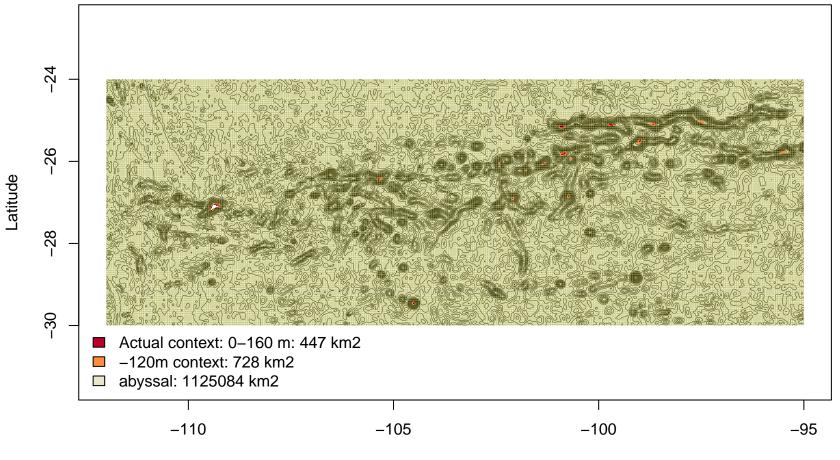
551 demersal eggs and [m] mouth brooding.

Table 2. Summary of genetic data for each species. Sample size and molecular metrics for each species of the study. Observed (Ho) and expected (He) heterozygosity computed on variable loci; F_{IS} , inbreeding coefficient. ¹Nucleotide diversity across all loci (π accross all loci = π on variable loci x No. SNP / No. of variable RADSeq loci x length of RAD loci). Maximum (in bold) and minimum (italics) values are highlighted for all columns.

Species	No. of ind	No. of Sites TOTAL	No. of RADSeq Ioci	No. of variable RADSeq loci	No. SNP	π accross variable sites	Но	Не	F _{IS}	π accross all sites ¹ (10 ⁻⁴)
Cantherhines rapanui	15	5 373 281	44 233	20 052	28 239	0.271	0.262	0.260	0.023	32.73
Centropyge hotumatua	15	3 563 265	29 195	21 684	38 816	0.163	0.156	0.158	0.032	25.21
Chaetodon litus	15	5 024 341	41 439	21 905	33 421	0.227	0.220	0.219	0.022	29.86
Chromis randalli	13	4 247 760	34 893	24 673	43 844	0.172	0.161	0.165	0.043	26.34
Chrysiptera rapanui	18	3 104 187	25 331	19 246	35 735	0.145	0.137	0.141	0.039	23.25
Coris debueni	14	5 817 876	47 949	28 594	45 870	0.216	0.208	0.209	0.029	29.94
Myripristis tiki	18	5 522 762	45 432	28 855	46 718	0.165	0.159	0.160	0.025	23.00
Ostorhinchus chalcius	19	7 702 975	63 590	24 019	32 224	0.166	0.165	0.162	0.008	19.19

	Sargocentron wilhlmi	16	5 009 870	41 234	24 207	37 860	0.224	0.217	0.217	0.026	30.98
556											





Longitude