Increased expression of MCPIP1 in HIV-1 controllers is correlated with overexpression of p21

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28 Abstract

29 Some multifunctional cellular proteins, as the monocyte chemotactic protein-induced protein 1 30 (MCPIP1) and the cyclin-dependent kinase inhibitor p21, have also shown to be able to modulate the 31 cellular susceptibility to the human immunodeficiency virus type 1 (HIV-1). Several studies described that p21 is expressed at high levels ex vivo in cells from individuals who naturally control HIV-1 32 33 replication (HIC). The expression level of MCPIP1 in HIC was never described before, but a recent 34 study in a model of renal carcinoma cells showed that MCPIP1 overexpression was associated with an 35 increase of both p21 transcripts and proteins levels. Here, we explored the potential associations 36 between MCPIP1 and p21 expression, as well as with cellular activation in HIC, sustaining 37 undetectable (elite controllers – EC) or low (viremic controllers – VC) viral loads. We found a selective 38 upregulation of MCPIP1 and p21 mRNA levels in PBMC from HIC compared with both ART-39 suppressed and HIV–negative control groups (P ≤ 0.02) and a strong positive correlation (r ≥ 0.57 ; P 40 ≤ 0.014) between expressions of both transcripts independently of the VL, treatment condition and 41 HIV status. The mRNA levels of p21, but not of MCPIP1, were positively correlated with activated CD4⁺ T cells levels in HIC and EC ($r \ge 0.53$; P ≤ 0.017). In relation to the monocyte activation, the 42 43 mRNA levels of both p21 (r = 0.74; P = 0.005) and MCPIP1 (r = 0.58; P = 0.040) were positively 44 correlated with plasmatic levels of sCD14 only in EC. Multivariate analysis confirmed the association 45 between MCPIP1 and p21 mRNA levels, and between the latter with the frequency of activated CD4⁺ T cells. These data show for the first time the simultaneous overexpression and positive correlation of 46 47 MCPIP1 and p21 transcripts in the setting of natural suppression of HIV-1 replication *in vivo*. The 48 positive correlation between MCPIP1 and p21 transcripts supports a common regulatory pathway 49 connecting these multifunctional host factors and a possible synergistic effect on HIV-1 replication 50 control. Pharmacological manipulation of these cellular proteins may open novel therapeutic 51 perspectives to prevent HIV-1 replication and disease progression.

52

53 **1 Introduction**

Among the individuals infected by the human immunodeficiency virus type 1 (HIV-1), a rare group called HIV controllers (HIC) suppress viral replication in absence of antiretroviral therapy, maintaining RNA viral loads (VL) below the limit of detection (LOD) (elite controllers, EC) or at low levels (> LOD and < 2,000 copies/ml; viremic controllers, VC). Natural control of HIV-1 replication is probably

a multifactorial feature that involves different combinations of host and/or viral factors (1).

59 Some intrinsic host proteins, termed restriction factors (RF), are components of the innate immune response (2,3) that have the ability to cause a significant reduction in viral infectivity by interacting 60 61 directly with the pathogen and are generally induced by interferon (IFN), hence being known as IFNstimulated genes (ISGs) (4). Several RF has been shown to limit HIV replication in vitro at different 62 63 stages of its life cycle (3), including some classical RF such the Apolipoprotein B mRNA-Editing 64 enzyme, Catalytic polypeptide-like (APOBEC3G), the Bone Stromal Tumor protein 2 (BST2)/Tetherin, and the Sterile Alpha Motif domain and HD domain-containing protein 1 (SAMHD1) 65 (2), and others more recently characterized like the Myxovirus resistance protein 2 (Mx2), the 66 67 Interferon-inducible transmembrane family proteins (IFITM1-3 members) and Schlafen 11 (SLFN11) (3). The mRNA levels of some RF including SAMHD1, Theterin, IFITM1, Mx2 and SLFN11 have 68 69 been described to be elevated in peripheral blood mononuclear cells (PBMC) or CD4⁺ T cells of HIC 70 compared to antiretroviral (ART)-suppressed and/or HIV-uninfected individuals (5–9), although with

71 contrasting findings across different HIC cohorts.

72 Others host multifunctional proteins, not recognized as classical RF, are also able to modulate the 73 cellular susceptibility to HIV-1 infection. The cyclin-dependent kinase (CDK) inhibitor p21, encoded 74 by the CDKN1A gene, modulates multiple relevant processes of the immune system, including 75 proliferation of activated/memory T cells, macrophage activation and inflammation (10-17). This 76 protein also indirectly limits the HIV-1 replication in vitro in various cellular systems by blocking the 77 biosynthesis of dNTPs required for viral reverse transcription and by inhibiting the CDK9 activity 78 required for HIV-1 mRNA transcription (18-23). Several studies described that p21 is expressed at 79 high levels *ex vivo* in CD4⁺ T cells from HICs (21,24–26) and that p21 mRNA levels correlated with 80 CD4⁺ T cell activation in EC, but not in other HIV-infected groups (5). These evidences suggest that

81 the inducibility of p21 to immune activation is a singular characteristic of EC and may contribute to

82 the natural control of HIV-1 replication *in vivo*.

83 The monocyte chemotactic protein-induced protein 1 (MCPIP1), encoded by ZC3H12A gene, is 84 another newly discovered host multifunctional modulator of immune response with antiviral activity 85 (27). MCPIP1 plays a critical role in the regulation of the inflammatory response and immune 86 homeostasis and also blocks HIV-1 replication in vitro by promoting the viral mRNA degradation 87 through its RNase activity, particularly in quiescent CD4⁺ T cells (27,28). In activated CD4⁺ T cells, 88 MCPIP1 is rapidly degraded (28) after its cleavage by the mucosa-associated lymphoid-tissue lymphoma-translocation 1 (MALT1) protein (29,30). In activated macrophage cells, by contrast, 89 90 MCPIP1 transcripts are induced by TLR ligands and pro-inflammatory cytokines (mainly, TNF-α, IL-91 1β and CCL2/MCP-1), and its expression stimulate a negative feedback loop that attenuates the 92 inflammatory state by decreasing its fundamental mediators (27,31).

93 The expression level of MCPIP1 in HIC was never described before. Interestingly, a recent study in 94 renal carcinoma cells (Caki-1 cells) revealed that MCPIP1 overexpression reduces the cellular growth 95 by increasing the levels of p21 transcripts, along with other proteins involved in cell cycle 96 progression/arrest, supporting a coordinate regulation of MCPIP1 and p21 transcripts in that cell-line

97 (32). This evidence prompted us to ask whether the expression of MCPIP1 could be elevated and 98 positively correlated with p21 in the setting of natural control of HIV-1 infection. To test this 99 hypothesis, we quantified the *in vivo* expression of MCPIP1, p21 and several antiviral host RF mRNAs 100 in PBMC from HIC, ART-suppressed and HIV-uninfected individuals. We further explored the 101 potential relationship between MCPIP1/p21 expression and levels of systemic cellular activation in 102 HIC.

103 **2** Methods

104 2.1 Study Subjects

105 We analyzed a cohort of 21 HIC subjects followed-up at the Instituto Nacional de Infectologia Evandro Chagas (INI) in Rio de Janeiro, Brazil. All HIC maintained RNA VL of < 2,000 copies/ml without 106 107 antiretroviral therapy for at least five years and were subdivided in two sub-groups: EC (n = 13) when 108 most (\geq 70%) plasma VL determinations were below the limit of detection (LOD), and VC (n = 8) 109 when most (> 70%) VL determinations were > LOD and < 2,000 copies/ml. The limit of detection of 110 plasma VL determinations varied over the follow-up period in according to the Brazilian Ministry of 111 Health guidelines, with methodologies being updated overtime to improve sensitivity: Nuclisens HIV-112 1 RNA QT assay (Organon Teknika, Durham, NC, limit of detection: 80 copies/mL) from 1999 to 113 2007; the Versant HIV-1 3.0 RNA assay (bDNA 3.0, Siemens, Tarrytown, NY, limit of detection: 50 copies/mL) from 2007 to 2013; and the Abbott RealTime HIV-1 assay (Abbott Laboratories, 114 115 Wiesbaden, Germany, limit of detection: 40 copies/mL) from 2013 to until today. Virological and 116 immunological characteristics of these subjects were described in detail in previous studies (33,34). Two groups of ART-suppressed subjects (ART, n = 8) and healthy HIV-1-uninfected subjects (NEG, 117 118 n = 10) were used as controls.

119 2.2 mRNA gene-expression analysis

Total RNA was extracted from 1 x 10⁷ PBMC using RNeasy mini kit (Qiagen, Hilden, North Rhine-120 Westphalia, Germany) in which buffer RLT was supplemented with β -mercaptoethanol and displaced 121 122 on-column DNase treatment using a Oiagen RNase-Free DNase Set (Oiagen, Hilden, North Rhine-Westphalia, Germany) according to manufacturer's instruction. Total RNA yield and quality were 123 determined using NanoDrop[®] 8000 spectrophotometer and an Agilent[®] 2100 Bioanalyzer. Only 124 125 samples with an RNA integrity number (RIN) greater than 8.0 were used. Purified RNA (1 µg) was reverse-transcribed to cDNA using RT² First Strand Kit (Qiagen, Hilden, North Rhine-Westphalia, 126 Germany). The cDNA was mixed with RT²SYBR Green/ROX qPCR Master Mix (Qiagen, Hilden, 127 128 North Rhine-Westphalia, Germany) and the mixture was added into customized RT²RNA PCR Array 129 (Qiagen, Hilden, North Rhine-Westphalia, Germany) to measure the mRNA expression of 10 cellular 130 target genes (APOBEC3G, SAMHD1, Tetherin, Mx1, Mx2, SLFN11, IFITM1, IFITM3, MCPIP1, and 131 p21) besides three housekeeping genes (GAPDH, β-actin, and RNase-P), according to manufacturer's 132 instructions. Values of the crossing point at the maximum of the second derivative of the four-133 parameters fitted sigmoid curve second derivative, Cp, was determined for each sample. The efficiency 134 of each amplification reaction was calculated as the ratio between the fluorescence of the cycle of 135 quantification and fluorescence of the cycle immediately preceding that. Genes used in the 136 normalization among samples were selected by the geNorm method (35). Data were expressed as fold-137 changes in mRNA abundance calculated as the normalized gene expression in any test sample divided 138 by the mean normalized gene expression in the control HIV-negative group.

139 **2.3 T cell and monocyte activation analyses**

140 We used data of T cell and monocyte activation obtained in a previous study conducted by our group

141 including these patients (34), in which plasma levels of soluble CD14 (sCD14) were determined by

142 ELISA-sCD14 Quantikine assay (R&D Systems Minneapolis, MN) according to the manufacturer's

protocol and surface expression of combined HLA-DR and CD38 on CD4⁺ and CD8⁺ T cells was 143

analyzed by flow cytometry. 144

145 2.4 **Data analyses**

146 The comparisons of mean log-fold changes in mRNA abundance were performed by either t-tests or 147 one-way ANOVA nonparametric permutation tests (B = 1,000 permutations), followed by pair-wise 148 comparisons with Holm-Bonferroni adjustment (36), for two or more groups respectively. Spearman 149 coefficient was used for correlation analyses. A first-order log-Normal multiple regression analysis 150 was fitted to model p21 gene expression as a function of MCPIP1 gene expression, CD4⁺ T cell 151 activation (HLA-DR⁺CD38⁺), and HIC groups (EC and VC). The threshold for statistical significance 152 was set to P < 0.05. Data were analyzed with R software (version 3.5.2) (37).

153

154 3 **Results**

Twenty-nine HIV-1 positive (21 HIC and 8 ART-suppressed) and 10 HIV-negative individuals were 155

156 included in this cross-sectional study. Most HIV-positive (59%) and HIV-negative (60%) individuals were females and all individuals displayed CD4⁺ T cells counts above 500 cells/µl (Table 1). Although 157

158 the EC subgroup shows a higher proportion of females (77%), the difference was not significant

159 (Supplementary Table 1).

160 Analysis of the expression of multifunctional genes revealed a significant upregulation of both

161 MCPIP1 and p21 transcripts in PBMC from HIC (Figure 1). The MCPIP1 mRNA was upregulated in

PBMC from HIC compared to cells from both ART-suppressed (1.68-fold increase; P = 0.003) and 162

163 HIV-negative (1.37-fold increase; P = 0.02) individuals (Figure 1A). A similar overexpression of the

164 p21 mRNA was observed in PBMC from HIC compared to ART-suppressed (1.63-fold increase; P =

165 (0.003) and HIV-negative (1.55-fold increase; P = 0.003) individuals (Figure 1B). In contrast, we found

166 no significant differences in the mRNA levels of antiretroviral RF between the HIC and control groups,

167 with the only exception of IFITM1 that was significantly elevated (1.15-fold increase; P = 0.03) in HIC

168 in comparison to the HIV-negative group (Supplementary Figure S1).

169 We observed a significant positive correlation between the mRNA expression of MCPIP1 and p21 (r 170 ≥ 0.57 ; $P \leq 0.014$) in our cohort independently of the VL, treatment condition and HIV status (Figure 171 2). This positive correlation was maintained when individuals were subdivided by sex (Supplementary 172 Figure S2). No significant correlations were observed between the mRNA expression of 173 multifunctional genes MCPIP1/p21 and RF, with the only exception of a significant, negative

174 correlation between MCPIP1/p21 and APOBEC3G in HIC (Supplementary Figure S3) and EC 175 (Supplementary Figure S4).

176 To explore the potential relationship of p21 or MCPIP1 expression with immune activation, we 177 measured the frequency of phenotype HLA-DR⁺CD38⁺ on CD4⁺ and CD8⁺ T cells (T cell activation) 178 and plasma levels of sCD14 (monocyte activation) in our cohort. Frequencies of activated CD4⁺ T cell 179 populations in VC and ART-suppressed subjects were higher than in EC (P < 0.0001) and HIV-180 negative (P = 0.0002) individuals (Supplementary Figure S5A). The VC subgroup also had significantly higher frequencies of activated CD8⁺ T cell than EC (P = 0.0007) and control groups (P 181 182 \leq 0.0009) (Supplementary Figure S5B). The median concentration of sCD14 in plasma was not 183 significantly different across the groups (Supplementary Figure S5C). No significant correlations 184

185 activation were observed for HIC or EC subsets. The mRNA levels of p21 were positively associated with activated CD4⁺ T cells levels in HIC (r = 0.53; P = 0.016) and EC (r = 0.68; P = 0.017) (Figure 186 3B); but not with activated CD8⁺ T cell levels (data not shown). Levels of sCD14 were positively 187 188 correlated with both MCPIP1 (r = 0.58; P = 0.04) and p21 (r = 0.74; P = 0.005) mRNA levels only in 189 the EC subset (Figure 3C and D). No significant correlations between mRNA levels of MCPIP1/p21 190 and CD4⁺/CD8⁺ T cell activation or sCD14 levels were observed when ART-suppressed and HIV-191 negative individuals were included (Supplementary Figures S6). Multivariate analysis showed that the 192 upregulation of MCPIP1 was positively associated with the increase of p21 expression in HIC (1.44-193 fold increase; P = 0.0035) (Supplementary Figure S7A). The frequency of activated CD4⁺ T cells also 194 was positively associated with the increase of p21 expression in both EC and VC (1.48-fold increase; 195 P = 0.0116), although this increase of the p21 expression was down-regulated by the increase of 196 activated CD4⁺ T cells in VC when compared to EC (1.30-fold decrease by an increase of 1% 197 $CD4^{+}HLA-DR^{+}CD38^{+}$ T cells; P = 0.0284) (Supplementary Figure S7B). Overall, the model was highly significant (P = 0.003) and could explain as much as 70% ($R^2 = 0.492$) of p21 expression. 198

199 **4 Discussion**

200 In this study, we observed that MCPIP1 and p21 mRNA expression were significantly increased in

201 PBMC of HIC compared to cells of HIV-negative and -positive/ART-suppressed individuals. While

202 elevated expression of p21 in PBMC of HIC had already been previously described (5,21,24–26), this

203 is the first study to show overexpression of MCPIP1 alongside with p21 in these individuals.

204 The mRNA levels of MCPIP1 and p21 were positively correlated in HIC as well as in HIV-positive 205 and –negative individuals. This supports a coordinated expression of these cellular genes in different 206 settings, consistent with what has been shown for a renal carcinoma cell line (32). According to this 207 study, MCPIP1 expression triggers the activation of p21 by two mechanisms: 1) down-modulation of 208 damage-specific DNA binding protein 1 (DDB1) which regulates degradation of p21; and 2) 209 upregulation of the mRNA levels of chromatin licensing and DNA replication factor 1 (CDT1) which 210 activates p21 (32). In addition, following HIV-1 infection, the cellular let-7c miRNA is upregulated 211 and it downregulate p21, resulting in higher copy number of viral genome transcripts in infected cells 212 (38). MCPIP1 acts as a broad suppressor of the biogenesis pathway of both cellular (39) and viral 213 miRNA (40). The involvement of the MCPIP1 in the degradation of another precursor of let-7 family 214 (pre-let-7g) was already described (41), reinforcing the hypothesis that MCPIP1 might enhance the 215 antiviral responses triggered by HIV-1 entry and infection by downregulating the miRNAs that target 216 p21.

217 Increased expression of some host RF, which are also ISGs (4), has been previously observed in CD4⁺ 218 T cells (i.e., SAMHD1, SLFN11 and IFITM1) (5,7,8) and PBMC (i.e., Mx1, Mx2, Tetherin and 219 SLFN11) from HIC (6,9). With the only exception of IFITM1, no other RF analyzed here were 220 upregulated in PBMC from our HIC cohort. In the chronic phase of HIV-1 infection in viremic untreated patients, most ISGs are upregulated in CD4⁺ T cells (42–44) and their expression is positively 221 222 correlated with the percentage of activated T cells and negatively correlated with CD4⁺ T cell counts 223 (42–46). This suggests that residual or low-level viremia observed in our HIC might not be enough to 224 induce a generalized upregulation of ISGs during chronic infection (44). In addition, MCPIP1 (47,48) 225 and p21 (16) negatively regulate the NF- κ B cascade and their overexpression may also contribute to 226 limit the chronic overexpression of ISGs in HIC. While most RF are mainly induced by IFN type I, 227 IFITM1 can also be induced by IFN type II (49), indicating that another pathway may have stimulated 228 its expression in our HIC cohort.

229 Although we have failed to detect an overall up-regulation of host RF in our HIC cohort, it is interesting 230 to note that a few individuals displayed mRNA levels of SAMHD1 and/or SLFN11 well above the 231 normal range (Supplementary Figure S1). These observations suggest that there might not be a unique 232 host RF expression signature common to all HIC, but that different combinations of host RF could be associated with natural control of HIV-1 replication in distinct individuals. Thus, the particular set of 233 234 increased host RF may vary across different HIC cohorts and this might explain the apparently 235 contrasting findings across studies (5-9,50). Additionally, even though we were able to identify 236 statistically significant differences in expression levels of MCPIP1 and p21 in PBMC between HIC 237 and control groups, these findings warrant validation using larger cohorts.

238 Our results confirm previous observations that levels of p21 mRNA are positively correlated with CD4⁺ 239 T cell activation in EC and HIC groups (5) and further support a positive correlation with sCD14, a 240 marker of monocyte activation, in EC. These correlations are fully consistent with the critical role of 241 p21 as a negative regulator of the proliferation of activated/memory T cells (10,13,14) and of 242 macrophage-mediated inflammatory responses (15–17). Although MCPIP1 expression is also essential 243 for suppressing peripheral T cell (51) and macrophage (52,53) activation, we only found a positive 244 correlation of MCPIP1 mRNA with sCD14 in EC. While induction of MCPIP1 mRNA in vitro in 245 response to TLR as well as IL-1 β stimulation in macrophages is rapid and long-lasting ($\geq 24h$) (52– 246 54), the corresponding induction upon T cell receptor stimulation in CD4⁺ T cell is more ephemeral (< 247 12 hours) (55), which could have hindered the observation of a direct correlation between these two 248 parameters. Notably, increased expression of MCPIP1/p21 associated with T cell and/or monocyte 249 activation seems to be a unique characteristic of HIC/EC, because similar correlations were not 250 observed in our study for other HIV-infected or HIV-negative subjects and previous studies have 251 shown that viremic progressors display reduced levels of p21 even though exhibit high levels of cellular 252 activation and inflammation (21). These results suggest that MCPIP1/p21 overexpression may be a 253 distinctive homoeostatic innate response of HIC to limit the deleterious effects of aberrant chronic 254 immune activation and inflammation driven by HIV-1 infection.

255 Transcript levels of RF here analyzed were not significantly correlated with T cell activation or sCD14, 256 with the only exception of a negative correlation between APOBEC3G mRNA and sCD14 levels in 257 EC (r = -0.73. P = 0.006; data not shown). Surprisingly, transcripts levels of APOBEC3G were also 258 negatively correlated with MCPIP1 and p21 mRNA levels in both HIC and EC. One possible 259 explanation for these negative correlations lies in the interaction of APOBEC3G, MCPIP1, and p21 260 with the product of an important monocyte differentiation gene, the Kruppel-like factor 4 (KLF4). The 261 expression of KLF4 in human macrophages is induced after IFN- γ , LPS, or TNF- α stimulus (56), 262 mediating the proinflammatory signaling and the direct transcriptional regulation of CD14 in vitro (57). 263 Interestingly, KLF4 is also able to induce expression of both MCPIP1 (58) and p21 (59,60), whereas 264 APOBEC3G binds to the 3'-UTR of KLF4 mRNA and results in the reduction of its expression (61). 265 Thus, lower levels of APOBEC3G mRNA may be associated with an upregulation of KLF4 that in 266 turn induce higher levels of sCD14 and MCPIP1/p21 mRNA.

267 Selective upregulation of MCPIP1 and p21 in CD4⁺ T, macrophages and/or dendritic cells may directly 268 limit HIV-1 replication by 1) reducing the reverse transcription and chromosomal integration of HIV-269 1 in quiescent cells and thus limiting the size of the latent proviral reservoir (18–20,62–64); 2) 270 restricting HIV-1 LTR transcription (47,48,65,66); and, 3) degrading viral mRNA and miRNA 271 (28,39,40,67). Upregulation of p21 and MCPIP1 may also indirectly limit HIV-1 replication and 272 further prevent CD4⁺ T cells loss by reducing chronic IFN-I signaling, generalized inflammation and 273 over-activation of the immune system (10,14-17,52,53,68-70), without affecting the activation of 274 antiviral cellular responses. Although the enhanced antiviral and anti-inflammatory state may not be

enough to fully restrict HIV-1 replication (71), it could act in concert with other innate and adaptiveimmune mechanisms to control HIV replication in HIC.

277 The enhanced expression of a few select host genes, including p21, was strongly associated with 278 reduced CD4⁺ T cell-associated HIV RNA during ART, indicating that the p21 may contribute to the 279 control of viral expression and ongoing replication during ART (72). Another study demonstrates that 280 atorvastatin, a lipid-lowering medication, exert a broad spectrum of anti-inflammatory functions and 281 further reduced HIV infection in both rested and activated CD4⁺ T cells in vitro via p21 upregulation 282 (22). Interestingly, atorvastatin was found to up-regulates p21 through a p53 independent pathway, 283 which is consistent with a potential role of MCPIP1 in that antiviral mechanism. These observations 284 suggest that pharmacological manipulation of p21 and MCPIP1 may open novel therapeutic 285 perspectives to prevent HIV-1 replication and to attenuate HIV-associated inflammation and immune 286 activation during ART.

287 An important limitation of our study is the impossibility of assigning which cell(s) population(s) has 288 increased expression of p21 and MCPIP1 in HIC. The expression profile of many RF and ISGs may 289 be different between CD4⁺ T cells and monocytes (8), suggesting that the individualization of these 290 cell types might better decipher the mechanisms of host factors regulation in the setting of natural 291 control of HIV-1 infection. Another potential limitation is that only mRNA levels were analyzed. 292 Previous studies showed that p21 mRNA levels mirror p21 protein levels in CD4⁺ T cells from HIC 293 (21) and that MCPIP1 mRNA levels reflect MCPIP1 protein levels in HCV-infected hepatoma cells 294 (73). Although this evidence indicates a close match between transcripts and protein expression levels, 295 measuring the levels/activity of p21 and MCPIP1 proteins in cells from HIC should also help to 296 elucidate the relevance of these RF for HIV control.

In summary, our data confirm the high levels of p21 mRNA expression and shows for the first-time the concurrent overexpression of MCPIP1 mRNA in HIC. Moreover, we found a positive correlation between p21 and MCPIP1 transcripts in HIC, indicating a possible synergistic effect of both innate host RF on natural suppression of HIV-1 replication *in vivo*. Further studies are needed to better understand the role of p21 and MCPIP1 in the natural control of HIV-1 replication and disease progression in HIC. These findings may also have important implications for the development of new immune-based therapeutic strategies for a functional cure of HIV-1 infection.

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306 5 Figure legends

Figure 1. MCPIP1 and p21 mRNA levels are upregulated in PBMC from HIC. Boxplots represent
 the interquartile and sample median (central solid black line) of the relative changes (fold-change
 values relative to the mean of HIV-1-uninfected (NEG) subjects) of MCPIP1 (A) and p21 (B)
 expression comparing NEG and ART-suppressed subjects (ART) with HIV controllers (HIC). P-values
 < 0.05 were considered statistically significant.

Figure 2. p21 and MCPIP1 mRNA levels in PBMC from HIC are positively correlated. The p21 and MCPIP1 normalized expression correlations were calculated considering all groups (A), HIVinfected (B), HIC (C), and EC (D). The points' colors indicate the patient group, accordingly to the legend. Correlation coefficients (Spearman's ρ) are shown in the upper right corner of each graph. Pvalues < 0.05 were considered statistically significant.

Figure 3. p21 transcripts are positively correlated with CD4⁺ T cell and monocyte activation while MCPIP1 transcripts are positively correlated only with monocyte activation in EC. The correlations were made evaluating the relationship between activated CD4⁺ T cells (A and B) or sCD14 levels (C and D) with the normalized expression of p21 and MCPIP1 for EC and HIC groups. The points' colors present in each graph indicate the groups present according to the legend. Correlations coefficient (Spearman's ρ) are shown in the upper left corner of each graph.

323 6 Ethics Statement

This study was carried out in accordance with the recommendations of the ethical committee of Instituto Nacional de Infectologia Evandro Chagas (INI-Fiocruz) that approved the study protocol (CAAE 1717.0.000.009-07). All subjects gave written informed consent in accordance with the Declaration of Helsinki.

328 7 Conflict of Interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

331 8 Author Contributions

GB and TMLS conceived and designed the study and supervised the experiments. SSDA conducted experiments and analyzed the data together with MR-A and GB. FH performed the CD4⁺ T cell and monocyte activation assays. ED collaborated with mRNA gene-expression analysis. BH, BG, and VGV conducted patient recruitment and follow-up. FH, ED and MGM provided intellectual input for results interpretations. SSDA, GB and MR-A wrote the first draft and all authors assisted with the writing and approved the final manuscript.

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Characteristics	HIC (n = 21)		ART-suppressed	HIV-1 negative
Characteristics	EC $(n = 13)$	VC (n = 8)	(n = 8)	$(n = 10)^{5/7}$
Sex, no. (%)				598
Female	10 (77)	3 (38)	4 (50)	6 (60)
Male	3 (23)	5 (62)	4 (50)	4 (40) 599
Age (years)*	45 (39-60)	43.5 (39-47)	47 (38-53)	47 (36-51)
Study point				600
Time since HIV-1	9 (5.5-15)	12.5 (7-16)	NA	-
diagnosis (years)				601
CD4 ⁺ T cell (cells/µl)	1027 (834-1255)	664 (563-1228)) 889 (678-1097)	1043 (784-1581)
Plasma HIV RNA (copies/ml)	<50	641 (327-915)	<40	- 602
CD4/CD8 ratio	1.33 (1.24-1.61)	0.91 (0.67-1.23)) 1.06 (0.73-1.5)	1.69 (1.62-2603

596 **Table 1.** Main clinical and epidemiologic characteristics of individuals of this study.

604 * Age at study point; Interquartile ranges are shown in parenthesis. HIC, HIV controllers; ART, antiretroviral therapy; EC,

605 elite controllers; VC, viremic controllers. NA, not available.











