1 2	Accumulation and purging of deleterious mutations through severe bottlenecks in ibex
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# 24 Abstract

25 Population bottlenecks have a profound impact on the genetic makeup of a species including levels of 26 deleterious variation. How reduced selection efficacy and purging interact is known from theory but largely lacks empirical support. Here, we analyze patterns of genome-wide variation in 60 genomes of 27 28 six ibex species and the domestic goat. Ibex species that suffered recent severe bottlenecks accumulated 29 deleterious mutations compared to other species. Then, we take advantage of exceptionally well-30 characterized repeated bottlenecks during the restoration of the near-extinct Alpine ibex and show that 31 experienced bottleneck strength correlates with elevated individual inbreeding. Strong bottlenecks led 32 to the accumulation of mildly deleterious mutations and purging of highly deleterious mutations. We 33 show in a simulation model that realistic bottleneck strengths can indeed simultaneously purge highly 34 deleterious mutations during overall mutation accumulation. Genome-wide purging of highly 35 deleterious mutation load over few generations in the wild has implications for species conservation 36 efforts.

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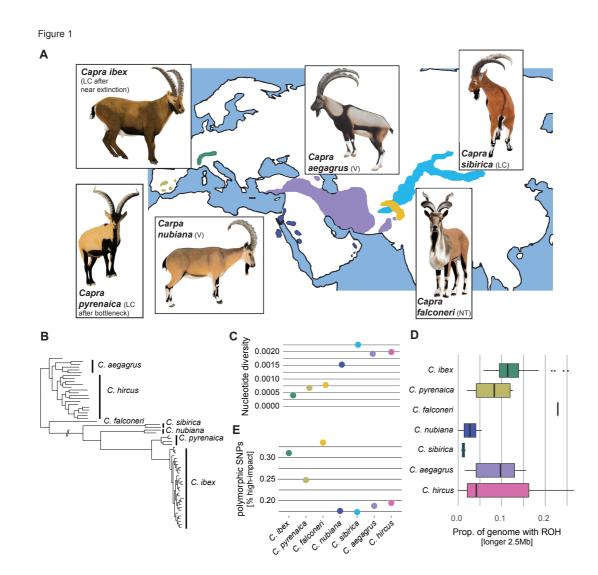
## 39 Main text

40 Dramatic, temporary reductions in population size – so-called bottlenecks – occur in nearly all plant and animal populations including humans<sup>1</sup>. These demographic changes have important consequences 41 for wildlife management and the conservation of endangered species<sup>2</sup>, but they also have profound 42 consequences including genetic disorders<sup>e.g.3-7</sup>. Increased genetic drift and inbreeding due to bottlenecks 43 44 lead to loss of neutral genetic variation, a reduced efficacy of natural selection, and increased expression of deleterious recessive mutations <sup>8-10</sup>. The expression of recessive mutations under inbreeding creates 45 46 the potential for selection to act against these mutations, a process known as purging. Purging reduces 47 the frequency of deleterious mutations depending on the population size, the degree of dominance, and the magnitude of the deleterious effects <sup>11</sup>. Unless population sizes are extremely low, bottlenecks tend 48 to purge highly deleterious, recessive mutations <sup>11,12</sup>. On the other hand, genetic drift during bottlenecks 49 reduces the efficacy of selection <sup>13</sup>. This allows mildly deleterious mutations to drift to substantially 50

51 higher frequencies <sup>6,14</sup>. The combination of purging and reduced selection efficacy generates complex
52 dynamics of deleterious mutation frequencies following population bottlenecks <sup>11,12,15-17</sup>.

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Theory predicts how reduced selection efficacy and purging impact the mutation load through 54 bottlenecks <sup>11,15,16,18</sup>, but genetic evidence from wild populations is rare <sup>19-21</sup>. Most previous research 55 used changes in fitness to infer possible purging events <sup>18,21-24</sup>, but changes in fitness can result from 56 57 causes unrelated to purging such as adaptation to specific environmental conditions or the fixation of deleterious mutations <sup>10,25</sup>. One study provided direct evidence for purging of the most deleterious 58 59 mutations in isolated mountain gorilla populations that split off larger lowland populations  $\sim 20'000$ years ago <sup>19</sup>. However, it remains unknown how more complex or recent demographic events affect 60 61 levels of deleterious mutations in the wild. Here, we take advantage of exceptionally well characterized 62 repeated bottlenecks during the reintroduction of the near-extinct Alpine ibex to retrace the fate of 63 deleterious mutations. Alpine ibex were reduced to ~100 individuals in the 19th century in a single population in the Gran Paradiso region of Northern Italy<sup>26</sup>. In less than a century, a census size of ca. 64 65 50'000 individuals has been re-established across the Alps. Thus, the population bottleneck of Alpine 66 ibex is among the most dramatic recorded for any successfully restored species. Most extant populations experienced at least three bottlenecks leaving strong footprints of low genetic diversity <sup>27,28</sup>. 67



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Figure 1: Characterization of sampled ibex species. A) Geographical distribution and IUCN conservation status of ibex and wild goat species (LC: Least concern, V: Vulnerable, NT: Near threatened <sup>60</sup>). Sample izes: *C. ibex*: N=29, *C. pyrenaica*: N=4, *C. aegagrus*: N=6, *C. sibirica*: N=2, *C. falconeri*: N=1, *C. nubiana*: N=2. B) Maximum likelihood phylogenetic analyses, C) nucleotide diversity, D) proportion of the genome with runs of homozygosity (ROH) longer than 2.5 Mb and E) percentage of polymorphic sites within species that segregate highly deleterious mutations.

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We analyze 60 *Capra* genomes covering Alpine ibex (*C. ibex*), five additional wild goats and the
domestic goat, we find exceptionally low genome-wide variation and an accumulation of deleterious
mutations in Alpine ibex (Figure 1). Both nucleotide diversity and number of heterozygous sites per kb
sequenced was lowest in Alpine ibex and Iberian ibex (*C. pyrenaica*), the two species that experienced
the strongest recent bottlenecks (Figure 1C, Figure S2, Tables S1 and S2). Genome-wide diversity was

83 highest in Siberian ibex (C. sibirica), which have large and relatively well-connected populations  $^{29}$ .

Genomes of the Siberian and Nubian ibex (*C. nubiana*) and of some domestic goat (*C. aegagrus hircus*) showed the least evidence for recent inbreeding estimated by genome-wide runs of homozygosity (ROH)<sup>30</sup>. In contrast, the genomes of some Alpine ibex, the Markhor (*C. falconeri*), and some domestic goat individuals contained more than 20% ROH (Figure 1D, Figures S3A and B, Table S3). Overall, there was clear genomic evidence that the near extinction and recovery of the Alpine ibex resulted in substantial genetic drift and inbreeding, opening the possibility for purging and accumulation of deleterious mutations.

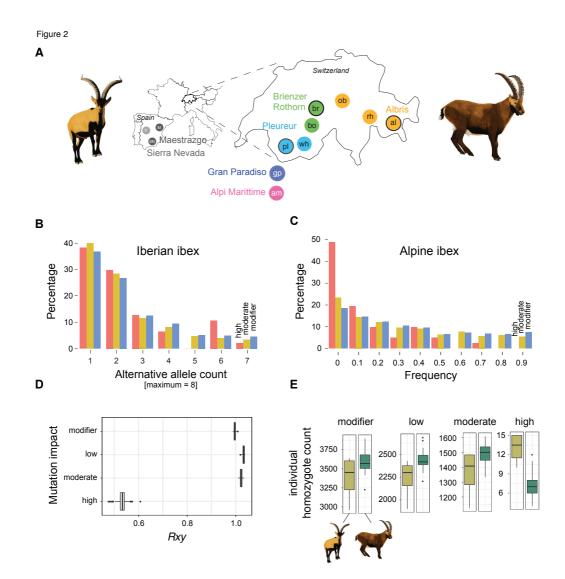
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92 We analyzed all *Capra* genomes for evidence of segregating deleterious mutations (Figure S6). We 93 restricted our analyses to autosomal coding sequences with evidence for transcriptional activity in 94 Alpine ibex organs. We further removed sites with low genomic evolutionary rate profiling (GERP)<sup>31</sup> 95 scores yielding a total of 370'853 SNPs (Table S4). We functionally annotated SNP variants for the 96 expected impact on the protein function. We found that across all seven Capra species 0.17% of these 97 SNPs carried a highly deleterious variant with the majority incurring a stop-gain mutation (Table S4). 98 We found that the proportion of highly deleterious mutations varied substantially among *Capra* species 99 (Figure 1E, Figures S7A to D). The proportion of highly deleterious variants segregating within species 100 was inversely correlated with nucleotide diversity (Pearson, df=5, r=-0.86, p=0.012). Hence, the Capra 101 species with the smallest populations or the most severe population size reductions show an 102 accumulation of deleterious mutations.

103

104 Both Alpine and Iberian ibex experienced severe bottlenecks due to overhunting and habitat 105 fragmentation. Historic records indicate that Alpine ibex suffered a bottleneck of ~100 individuals at the end of the 19th century and Iberian ibex a bottleneck of ~1000 individuals <sup>32</sup> (Table S1). We first 106 107 looked for evidence of purging in the allele frequency spectra of mutation classes of varying severity. 108 We focused only on derived sites that were polymorphic in at least one of the two sister species (Figure 109 2A, S8). We found that frequency distributions of high and moderate impact mutations in Alpine ibex 110 were downwards shifted indicating purifying selection (Figure 2C and GERP score analyses in Figure 111 S9A). Short indels ( $\leq 10$  bp) in coding sequences revealed a similar shift towards lower frequencies

- 112 (Figure S9B). This is consistent with stronger selection acting against highly deleterious mutations in
- 113 Alpine ibex.
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116 Figure 2: Segregating deleterious mutations in Alpine and Iberian ibex. A) Population sampling locations of 117 Iberian ibex (left, grey circles) and Alpine ibex (right, colored circles). Each filled circle represents a population. 118 Circles with a black outline indicate the first three reintroduced populations in Switzerland that were used for all 119 subsequent population reintroductions of Alpine ibex. Colors associate founder and descendant populations (see 120 also Figure 3A). Site frequency spectra for neutral (modifier), mildly (moderate impact) and highly deleterious 121 (high impact) mutations for (B) Iberian and (C) Alpine ibex. D) Rxy analysis contrasting Iberian with Alpine ibex 122 across the spectrum of impact categories. Rxy < 1 indicates a relative frequency deficit of the corresponding 123 category in Alpine ibex compared to Iberian ibex. E) Individual homozygote counts per impact category for 124 Iberian (light green) and Alpine ibex (dark green).

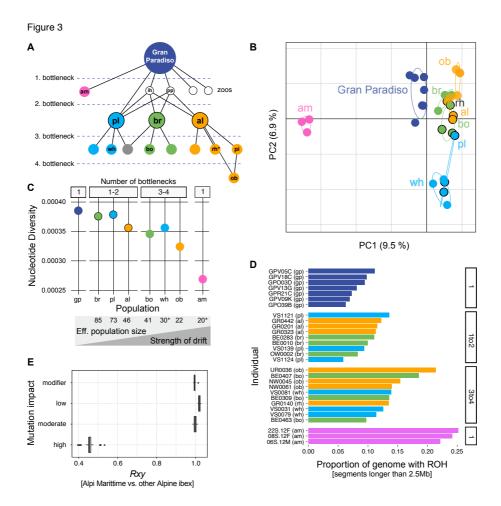
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128 To test whether Alpine ibex indeed showed evidence for purging of deleterious mutations compared to Iberian ibex, we calculated the relative number of derived alleles  $Rxy^{33}$  for each mutation impact 129 130 category (Figure 2D). We used a random set of intergenic SNPs for standardization, which makes *Rxy* 131 robust against sampling effects and population substructure <sup>33</sup>. Low and moderate impact mutations (*i.e.* 132 mildly deleterious mutations) showed a minor excess in Alpine ibex compared to Iberian ibex, 133 indicating a higher load in Alpine ibex. In contrast, we found that highly deleterious mutations were 134 strongly reduced in Alpine ibex compared to Iberian ibex (Figure 2D). Strikingly, the proportion of 135 SNPs across the genome segregating a highly deleterious mutation is higher in Alpine ibex (Figure 1E), 136 but Rxv shows that highly deleterious mutations have a pronounced downwards allele frequency shift 137 in Alpine ibex compared to Iberian ibex (Figure 2C). Furthermore, the number of homozygous sites with highly deleterious mutations per individual were considerably lower in Alpine ibex than Iberian 138 139 ibex (Figure 2E). Together, this shows that highly deleterious mutations were substantially purged in 140 Alpine ibex. We also found evidence for the accumulation of mildly deleterious mutations through 141 genetic drift in Alpine ibex.

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143 Consistent with the fact that all extant Alpine ibex originate from the Gran Paradiso, this population occupies the center of a principal component analysis (Figure 3A-B, Figure S11A-B; <sup>28</sup>). The first 144 145 populations re-established in the Alps were already clearly distinct from the Gran Paradiso source 146 population and showed reduced nucleotide diversity (Figure 3A, C), having experienced 1 or 2 147 additional bottlenecks <sup>27</sup>. These initial three reintroduced populations were used to establish additional 148 populations, which underwent a total of 3-4 bottlenecks. These additional bottlenecks lead to further 149 loss of nucleotide diversity and genetic drift, as indicated by the increasing spread in the principal 150 component analysis (Figure 3A-C). An exceptional case constitutes the Alpi Marittime population, 151 which was established through the translocation of 25 Gran Paradiso individuals of which only six successfully reproduced <sup>34</sup>. As expected from such an extreme bottleneck, Alpi Marittime showed 152 153 strong genetic differentiation from all other Alpine ibex populations and highly reduced nucleotide diversity (Figures 3B-C; <sup>35</sup>). To estimate the expected strength of drift experienced by different 154 155 populations, we estimated effective population sizes through the long-term harmonic mean population

sizes based on demographic records spanning the near century since establishment <sup>36,37</sup>. We found that both the nucleotide diversity and the individual number of heterozygous sites per kb decreased with smaller long-term population size (Figure 3C, Figure S12). In parallel to genetic drift, inbreeding was also higher in the populations with the lowest harmonic mean population sizes. Genomes from the Gran Paradiso source population generally showed the lowest proportions of the genome affected by ROH, while reintroduced populations of lowest effective population size had the highest proportions of the genome affected by ROH (Figure 3D and Figure S3).

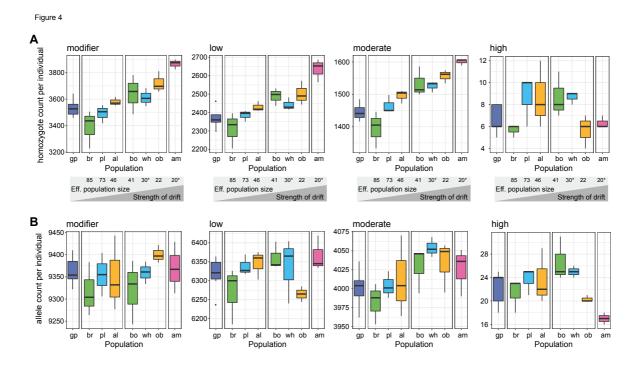


164 Figure 3: Population genomic consequences of Alpine ibex recolonization. A) Schematic showing the 165 recolonization history and population pedigree of Alpine ibex. Locations include also zoos and the population 166 Pilatus (pi), which was not sampled for this study but is known to have contributed to the population 167 Oberbauenstock (ob). am: Alpi Marittime, gp: Gran Paradiso; ih: Zoo Interlaken Harder; al: Albris; bo: Bire 168 Öschinen; br: Brienzer Rothorn; ob: Oberbauenstock; pl: Pleureur; rh: Rheinwald; wh: Weisshorn; pi: Pilatus; pp: 169 Wildpark Peter and Paul. The grey circle represents a population that was founded from more than one population. 170 Figure elements were modified from Biebach and Keller (2009) with permission. B) Principal component analysis 171 of all Alpine ibex individuals included in the study. C) Nucleotide diversity per population. D) Proportion of the 172 genome within runs of homozygosity (ROH) longer than 2.5 Mb. E) Rxy analysis contrasting the strongly 173 bottlenecked Alpi Marittime population with all other Alpine ibex populations across the spectrum of impact 174 categories. Rxy < 1 indicates a relative frequency deficit of the corresponding category in the Alpi Marittime 175 population. Circles with a black outline indicate the first three reintroduced populations in Switzerland that were

used for all subsequent population reintroductions of Alpine ibex. Colors associate founder and descendant
 populations.

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180 Bottlenecks should affect deleterious mutations by randomly increasing or decreasing allele frequencies 181 at individual loci. As predicted from theory, we find that individuals from populations that underwent 182 stronger bottlenecks carry significantly more homozygotes for modifier, low and moderate impact 183 mutations (i.e. nearly neutral and mildly deleterious mutations; Figure 4A). In contrast, individuals 184 showed no meaningful difference in number of homozygotes for high impact (*i.e.* highly deleterious) 185 mutations across populations. The stability in the number of homozygotes for high impact mutations 186 through successive bottlenecks despite a step-wise increase in the number of homozygotes for weaker 187 impact mutations, strongly suggests that purging occurred over the course of the Alpine ibex 188 reintroductions. This finding was confirmed using an alternative categorization of deleterious mutation 189 load based on phylogenetic conservation based GERP scores (Figure S13). Because the above findings 190 are contingent on a model where deleterious mutations are recessive, we also analyzed the total number 191 of derived alleles per individual. We find a consistent but less pronounced increase in total number of 192 derived alleles per individual for nearly neutral and mildly deleterious mutations (Figure 4B). In 193 contrast, the total number of derived alleles for highly deleterious mutations did not correlate with the 194 strength of bottleneck and was lowest in the most severely bottlenecked Alpi Marittime population 195 (Figure 4B), suggesting that the most deleterious mutations were purged in this population. The Rxy196 statistics showed a corresponding strong deficit in the Alpi Marittime population (Figure 3E). This is 197 consistent with substantially more purging in the most bottlenecked Alpine ibex population.

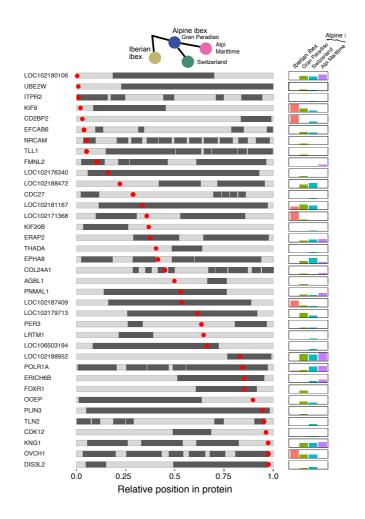


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Figure 4: Impact of recolonization on the mutation load per individual. (A) Homozygote counts and (B) allele
 counts per individual for each Alpine ibex population. The schematic between A and B indicates the harmonic
 mean of the census size of each population, which is inversely correlated with the strength of drift. \*) Estimated
 numbers. Colors associate founder and descendant populations (see also Figure 3A).

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We analyzed the predicted protein truncation by highly deleterious mutations using homology-based inferences. Focusing on high-impact mutations segregating in Alpine ibex, we found that nearly all mutations disrupted conserved protein family (PFAM) domains encoded by the affected genes (Figure 5).



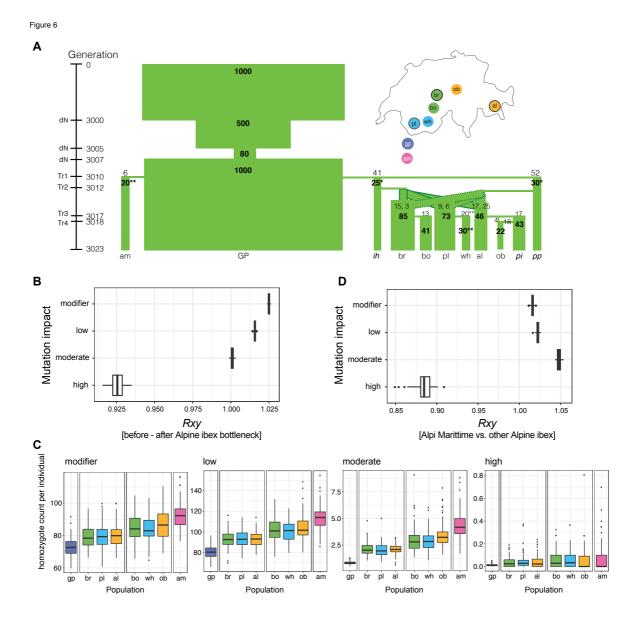
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Figure 5: Homology-based inference of the impact of highly deleterious mutations. The localization of protein
 family (PFAM) domains are highlighted in dark. Red dots indicate the relative position of a highly deleterious
 mutation segregating in Alpine ibex. The frequencies of highly deleterious mutations are summarized for Iberian
 ibex and three subsets of Alpine ibex. The demographic history is shown with a schematic.

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217 To ascertain whether accumulation and purging of different mutation classes is indeed expected to occur 218 in the demographic context of the Alpine ibex reintroductions, we parametrized an individual-based forward simulation model with the demographic record<sup>38</sup> (Figure 6A). The model included all 219 populations relevant for the founding of the populations under study and was parametrized with the 220 221 actual founder size (Figure 6A, S15, Table S1). We used Rxy to analyze the evolution of deleterious 222 mutation frequencies through the reintroduction bottlenecks. The simulations showed a deficit of highly 223 deleterious mutations after the reintroduction bottlenecks consistent with purging (Figure 6B). The most 224 bottlenecked Alpi Marittime population also showed evidence of purging of the highly deleterious 225 mutations in the simulated dataset but simultaneously accumulation of mildly deleterious mutations

- (Figures 6C, D). Consistent with evidence from *Rxy*, the simulations showed that the number of derived
- 227 mildly deleterious homozygotes increased with the strength of drift, while no increase was found for
- highly deleterious mutations (Figure 6D, Figures S17-S20).



230 Figure 6: Individual-based forward simulations recapitulating the reintroduction history of Alpine ibex. 231 A) Demographic model used for the individual-based simulations. The model was parametrized using census data 232 and historical records (see methods). Bold numbers represent the carrying capacities defined as the harmonic 233 mean of the census size. Numbers not in **bold** represent the number of individuals released to found each 234 population. If a population was established from two source populations, the individual numbers are separated by 235 commas. \* ) Upwards adjusted harmonic means of the census size (historical records were ih=16, Zoo Interlaken 236 Harder, and pp = 20, Wildpark Peter and Paul). The adjustment was necessary to prevent extinction of zoo 237 populations. \*\*) Census numbers were estimated based on historical records of the population but no long- term 238 data census data was available. B) Relative frequency comparison (Rxy) of Alpine ibex just before and after the 239 species bottleneck and recolonization. C) Rxy analysis contrasting the strongly bottlenecked Alpi Marittime 240 population with all other Alpine ibex populations across the spectrum of impact categories. D) Individual

homozygote counts per impact category. Boxplots summarized 100 population means across simulation
 replicates. Colors associate founder and descendant populations (see also Figure 3A).

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245 Ibex species with recently reduced population sizes accumulated deleterious mutations compared to 246 closely related species. This accumulation was particularly pronounced in the Iberian ibex that 247 experienced a severe bottleneck and Alpine ibex that went nearly extinct. We show that even though 248 Alpine ibex carry an overall higher mutation burden than related species, the strong bottlenecks imposed 249 by the reintroduction of populations purged highly deleterious mutations, the most bottlenecked 250 population (Alpi Marittime) showing the most purging. However, purging was only effective against the most highly deleterious mutations. Empirical evidence for purging in the wild is scarce <sup>18,19</sup>. Here, 251 252 we show that a few dozen generations were sufficient to reduce the burden of highly deleterious 253 mutations. This suggests that purging may occur widely in populations undergoing severe bottlenecks. 254 It is important to note that mildly deleterious mutations actually accumulated over the course of the 255 reintroduction, consistent with less efficient selection against mildly deleterious mutations in small 256 populations. Hence, the overall mutation load may have increased with bottleneck strength. This is 257 consistent with the finding that population-level inbreeding, which is a strong indicator of past 258 bottlenecks, is correlated with lower population growth rates in Alpine ibex (Bozzuto et al. in review). 259 Our empirical results from the Alpine ibex reintroduction are in line with theoretical predictions that 260 populations with an effective size below 100 individuals can accumulate a substantial burden of mildly 261 deleterious mutations within a relatively short time. The burden of deleterious mutations evident in 262 Iberian ibex supports the notion that even population sizes of ~1000 still accumulate mildly deleterious 263 mutations. High loads of deleterious mutations have been shown to increase the extinction risk of a 264 species <sup>39</sup>. Thus, conservation efforts aimed at keeping effective population sizes above a minimum of 1000 individuals<sup>2</sup> are well justified. 265

267 268	Methods
269	Genomic data acquisition
270	DNA samples from 29 Alpine ibex, 4 Iberian ibex, 2 Nubian ibex, 2 Siberian ibex and 1 Markhor
271	individuals were sequenced on an Illumina Hiseq2500 or Hiseq4000 to a depth of 15-38 (median of
272	17). Table S2 specifies individual sampling locations. Libraries were produced using the TruSeq DNA
273	Nano kit. Illumina sequencing data of 6 Bezoar and 16 domestic goat (coverage 6x – 14x, median 12x)
274	were generated by the NextGen Consortium (https://nextgen.epfl.ch). The corresponding raw data was
275	downloaded from the EBI Short Read Archive: ftp://ftp.sra.ebi.ac.uk/vol1/fastq/.
276	
277	Read alignment and variant calling
278	Trimmomatic v.0.36 $^{40}$ was used for quality and adapter trimming before reads were mapped to the
279	domestic goat reference genome (version CHIR1, <sup>41</sup> ) using Bowtie2 v.2.2.5 <sup>42</sup> . MarkDuplicates from
280	Picard (http://broadinstitute.github.io/picard, v.1.130) was used to mark duplicates. Genotype calling
281	was performed using HaplotypeCaller and GenotypeGVCF (GATK, v.3.6 <sup>43,44</sup> ). VariantFiltration of
282	GATK was used to remove single nucleotide polymorphisms (SNP) if: QD <2.0, FS > 40.0, SOR > 5.0,
283	MQ < 20.0, -3.0 > MQR and kSum > 3.0, -3.0 > ReadPosRankSum > 3.0 and AN < 62 (80% of all Alpine A
284	ibex individuals). Indels up to 10 bp were also retained and filtered using the same filters and filter
285	parameters, except for not including the filter MQRankSum, because this measure is more likely to be
286	biased for indels of several base pairs. Filtering parameters were chosen based on genome-wide quality

statistics distributions (see Figures S21 – S38). Variant positions were independently validated by using

288 the SNP caller Freebayes (v1.0.2-33-gdbb6160<sup>45</sup>) with the following settings: --no-complex --use-best-

n-alleles 6 --min-base-quality 3 --min-mapping-quality 20 --no-population-priors --hwe-priors-off.

To ensure high-quality SNPs, we only retained SNPs that were called and passed filtering using GATK, and that were confirmed by Freebayes. Overall, 97.5 % of all high-quality GATK SNP calls were confirmed by Freebayes. This percentage was slightly lower for chromosome X (96,7%) and unplaced scaffolds (95.2%). We tested whether the independent SNP calls of GATK and Freebayes were concordant and we could validate 99.6% of the biallelic SNPs. We retained genotypes called by GATK.

The total number of SNPs detected was 59.5 million among all species. Per species, the number of
SNPs ranged from 21.9 million in the domestic goat (N=16) to 2.0 million in Markhor (N=1, Table S2).

298 *RNA-seq data generation* 

299 Tissue samples of a freshly harvested Alpine ibex female were immediately conserved in RNAlater 300 (QIAGEN) in the field and stored at -80°C until extraction. The following ten organs were sampled: 301 retina/uvea, skin, heart, lung, lymph, bladder, ovary, kidney, liver and spleen. RNA was extracted using 302 the AllPrep DNA/RNA Mini Kit from Qiagen following the manufacturer's protocol. Homogenization 303 of the samples was performed using a Retsch bead beater (Retsch GmbH) in RLT plus buffer (Oiagen). RNA was enriched using a PolyA enrichment protocol implemented in the TruSeq RNA library 304 305 preparation kit. Illumina sequencing libraries were produced using the Truseq RNA stranded kit. 306 Sequencing was performed on two lanes of an Illumina Hiseq4000.

307

## **308** *Genetic diversity and runs of homozygosity*

309 Genetic diversity measured as individual number of heterozygous sites and nucleotide diversity were computed using vcftools <sup>46</sup>. Runs of homozygosity were called using BCFtools/RoH <sup>47</sup>, an extension of 310 311 the software package BCFtools, v.1.3.1. BCFtools/RoH uses a hidden Markov model to detect segments 312 of autozygosity from next generation sequencing data. Due to the lack of a detailed linkage map, we 313 used physical distance as a proxy for recombination rates with the option -M and assuming 1.2cM/Mb 314 following sheep recombination rates <sup>48</sup>. Smaller values for -M led to slightly longer ROH (Figures S3 315 -S5). Because of small per population sample size, we decided to fix the alternative allele frequency 316 (option --AF-dflt) to 0.4. Estimates for the population with the largest sample size (Gran Paradiso, N=7) 317 were very similar if actual population frequencies (option --AF-estimate sp) were used (Figures S4 and 318 S5). Option --viterbi-training was used to estimate transition probabilities before running the HMM. 319 Running the analysis without the option --viterbi-training led to less but longer ROH (Figures S3-S5).

320

## 321 Identification of high-confidence deleterious mutations

322 Three lines of evidence were used to identify high-confidence deleterious mutations. First, variants leading to a functional change are candidates for deleterious mutations. We used snpEff<sup>49</sup> v.4.3 for the 323 324 functional annotation of each variant. The annotation file ref CHIR 1.0 top level.gff3 was 325 downloaded from: ftp://ftp.ncbi.nlm.nih.gov/genomes/Capra hircus/GFF and then converted to gtf 326 using gffread. Option -V was used to discard any mRNAs with CDS having in-frame stop codons. 327 SnpEff predicts the effects of genetic variants (e.g. stop-gain variants) and assesses the expected impact. 328 The following categories were retrieved: high (e.g. stop-gain or frameshift variant), moderate (e.g. 329 missense variant, in-frame deletion), low (e.g. synonymous variant) and modifier (e.g. exon variant, 330 downstream gene variant). In the case of overlapping transcripts for the same variant, we used the 331 primary transcript for further analysis. A total of 49.0 % of all detected SNPs were located in intergenic 332 regions, 43.2 % in introns, 6.5 % down- and upstream of genes. A total of 0.7% of variants were within 333 CDS, of which ~60% were synonymous and ~40% were missense variants. Overall, 0.002 % were stop-334 gain mutations.

335 Protein sequences were annotated using InterProScan v.5.33 by identifying conserved protein family
 336 (PFAM) domains <sup>50</sup>.

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338 Second, we assessed the severity of a variant by its phylogenetic conservation score. A non-339 synonymous variant is more likely to be deleterious if it occurs in a conserved region of the genome. 340 We used GERP conservation scores, which are calculated as the number of substitutions observed 341 minus the number of substitutions expected from the species tree under a neutral model. We 342 downloaded GERP scores (accessed from http://mendel.stanford.edu/SidowLab), which have been 343 computed for the human reference genome version hg19. The alignment was based on 35 mammal 344 did species but not include the domestic https://genome.ucsc.edu/cgigoat (see 345 bin/hgTrackUi?db=hg19&g=allHg19RS BW for more information). Exclusion of the focal species 346 domestic goat is recommended for the computation of conservation scores, as the inclusion of the reference genome may lead to biases <sup>51</sup>. 347

In order to remap the GERP scores associated to hg19 positions to the domestic goat reference genome
positions, we used liftOver (hgdownload.cse.ucsc.edu, v.287) and the chain file downloaded from
hgdownload-test.cse.ucsc.edu/goldenPath/capHir1.

351

352 Third, we ascertained support for gene models annotated in the domestic goat genome with expression analyses of Alpine ibex tissue samples. We included expression data from 10 organs of an Alpine ibex 353 354 female (see RNA-seq data section above) to assess expression levels of each gene model. Quality filtering of the raw data was performed using Trimmomatic <sup>40</sup> v.0.36. Hisat2 <sup>52</sup> v.2.0.5 was used to map 355 356 the reads of each organ to the domestic goat reference genome. The mapping was run with option --357 rna-strandness RF (stranded library) and supported by including a file with known splice sites (option --known-splicesite-infile). The input file was produced using the script hisat2 extract splice sites.py 358 359 (part of hisat2 package) from the same gtf file as the one used for the snpEff analyis (see above). For each organ, featureCounts <sup>53</sup> (subread-1.5.1) was used to count reads per each exon using the following 360 options: -s 2 (reverse stranded) -f (count reads at the exon level), -O (assign reads to all their 361 362 overlapping features), -C (excluding read pairs mapping to different chromosomes or the same chromosome but on a different strand). The R package edgeR <sup>54</sup> was used to calculate FPKM 363 364 (Fragments Per Kilobase Of Exon Per Million Fragments Mapped) per each gene and organ. For variant 365 sites that were included in more than one exon, the highest FPKM value was used. We found that 16'013 366 out of 17'998 genes showed transcriptional activity of at least one exon (FPKM > 0.3). Overall 166'973 367 out of 178'504 exons showed evidence for transcription. In a total of 1928 genes, one or more exons 368 showed no evidence for transcription. Retained SNPs were found among 118'756 exons and 17'685 369 genes. Overall 611'711 out of 677'578 SNPs were located in genes with evidence for transcription.

370

371 Deleterious mutations are assumed to be overwhelmingly derived mutations. We used all ibex species 372 except Alpine and Iberian ibex as an outgroup to define the derived state. For each biallelic site, which 373 was observed in alternative state in Alpine ibex or Iberian ibex, the alternative state was defined as 374 derived if its frequency was zero in all other species (a total of 44'730 autosomal SNPs). For loci with 375 more than two alleles, the derived state was defined as unknown. For comparisons among all species,

376 we only used the following criteria to select SNPs (370'853 biallelic SNPs retained): transcriptional 377 activity (FPKM > 0.3 in at least one organ), GERP > -2 and a minimal distance to the next SNP of 3bp. 378

#### Individual-based simulations with Nemo 379

Individual-based forward simulations were run using the software Nemo<sup>38</sup> v.2.3.51. A customized 380 version of aNEMOne <sup>55</sup> was used to prepare input files for parameter exploration. The sim.ini file for 381 382 the final set of parameters run in 100 replicates is available as Supplementary File 1. All populations relevant for the founding of the populations under study were included in the model. See Figure 6A for 383 384 the simulated demography, which was modeled with the actual founder numbers (assuming a sex-ratio of 1:1), while the translocations were simplified into four phases (data from <sup>37</sup>, DRYAD entry 385 doi:10.5061/dryad.274b1 and <sup>36</sup>). The harmonic mean of the population census from the founding up to 386 387 the final sampling year (2007) was used to define the population carrying capacity. Mating was assumed to be random and fecundity (mean number of offspring per female) set to five. The selection coefficients 388 389 of 5000 biallelic loci subject to selection were drawn from a gamma distribution with a mean of 0.01 and a shape parameter of 0.3 resulting in s < 1% for 99.2% of all loci <sup>56</sup> (Figure S16). Based on empirical 390 evidence, we assumed a negative relationship between h and  $s^{57}$ . We used the exponential equation h391  $= \exp(-51*s)/2$  with a mean h set to 0.37 following <sup>58</sup>. We assumed hard selection acting at the offspring 392 393 level. In addition to the 5000 loci under selection, we simulated 500 neutral loci. Recombination rates 394 among each neutral or deleterious locus was set to 0.5. This corresponds to an unlinked state. Initial 395 allele frequencies were set to  $\mu / h * s = 0.0014$  (corresponding to the expected mean frequency at 396 mutation-selection balance <sup>59</sup>). Mutation rate  $\mu$  was set to 5e-05 and deleterious mutations were allowed 397 to back-mutate at a rate of 5e-07.

398 A burn-in of 3000 generations was run with one population (N = 1000) representing the entire species 399 allowing to reach a quasi-equilibrium. N was reduced to N = 500 for five generations before a brief, two generation bottleneck of N = 80. At generation 3007, the population recovered to N = 1000 and 400 401 three generations later the reintroduction was started with the founding of the two zoos Interlaken 402 Harder (ih) and Peter and Paul (pp). The founding of new populations was modeled by migration of

### 403 offspring into an empty patch.

404 The zoo ih (Interlaken Harder) and several populations did not survive all replicates of the simulations. 405 Extinction rates were as follows: ih (Zoo Interlaken Harder) 84%, bo (Bire Öschinen) 3%, wh 406 (Weisshorn) 3%, ob (Oberbauenstock) 9%, am (Alpi Marittime) 14% and pil (Pilatus) 2%. The high 407 extinction rate of the zoo Interlaken Harder did not affect the outcome of the simulations. The 408 extinctions were a result of the strong reduction in population size during the founding and occurred always after the founding (see also Figure S15). The extinctions of the reintroduced populations did not 409 410 affect the estimates of derived allele counts but reduced sample sizes and, hence, affected the variance 411 of estimators.

### 412 *Data availability*

413 Raw whole-genome sequencing data produced for this project was deposited at the NCBI Short Read
414 Archive under the Accession nos. SAMN10736122–SAMN10736160 (BioProject PRJNA514886).

415 Raw RNA sequencing data produced for this project was deposited at the NCBI Short Read Archive

416 under the Accession nos. SAMN10839218-SAMN10839227 (BioProject PRJNA517635).

417

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567	Figure	legends			
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569	Figure	1: Characterization of sampled ibex species. A) Geographical distribution and IUCN			
570	conservation status of ibex and wild goat species (LC: Least concern, V: Vulnerable, NT: Near				
571	threatened <sup>60</sup> ). Sample sizes: <i>C. ibex</i> : N=29, <i>C. pyrenaica</i> : N=4, <i>C. aegagrus</i> : N=6, <i>C. sibirica</i> : N=2, <i>C.</i>				
572	falconeri: N=1, C. nubiana: N=2. B) Maximum likelihood phylogenetic analyses, C) nucleotide				
573	diversity, D) proportion of the genome with runs of homozygosity (ROH) longer than 2.5 Mb and E)				
574	percentage of polymorphic sites within species that segregate highly deleterious mutations.				
575					
576	Figure	2: Segregating deleterious mutations in Alpine and Iberian ibex. A) Population sampling			
577	location	s of Iberian ibex (left, grey circles) and Alpine ibex (right, colored circles). Each filled circle			
578	represei	nts a population. Circles with a black outline indicate the first three reintroduced populations in			
579	Switzer	Switzerland that were used for all subsequent population reintroductions of Alpine ibex. Color			
580	associate founder and descendant populations (see also Figure 3A). Site frequency spectra for neutral				
581	(modifier), mildly (moderate impact) and highly deleterious (high impact) mutations for (B) Iberian				

582and (C) Alpine ibex. D) Rxy analysis contrasting Iberian with Alpine ibex across the spectrum of impact583categories. Rxy < 1 indicates a relative frequency deficit of the corresponding category in Alpine ibex584compared to Iberian ibex. E) Individual homozygote counts per impact category for Iberian (light green)585and Alpine ibex (dark green).

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587 Figure 3: Population genomic consequences of Alpine ibex recolonization. A) Schematic showing 588 the recolonization history and population pedigree of Alpine ibex. Locations include also zoos and the 589 population Pilatus (pi), which was not sampled for this study but is known to have contributed to the 590 population Oberbauenstock (ob). am: Alpi Marittime, gp: Gran Paradiso; ih: Zoo Interlaken Harder; al: 591 Albris; bo: Bire Öschinen; br: Brienzer Rothorn; ob: Oberbauenstock; pl: Pleureur; rh: Rheinwald; wh: 592 Weisshorn; pi: Pilatus; pp: Wildpark Peter and Paul. The grey circle represents a population that was 593 founded from more than one population. Figure elements were modified from Biebach and Keller 594 (2009) with permission. B) Principal component analysis of all Alpine ibex individuals included in the 595 study. C) Nucleotide diversity per population. D) Proportion of the genome within runs of 596 homozygosity (ROH) longer than 2.5 Mb. E) Rxy analysis contrasting the strongly bottlenecked Alpi 597 Marittime population with all other Alpine ibex populations across the spectrum of impact categories. 598 Rxy < 1 indicates a relative frequency deficit of the corresponding category in the Alpi Marittime 599 population. Circles with a black outline indicate the first three reintroduced populations in Switzerland 600 that were used for all subsequent population reintroductions of Alpine ibex. Colors associate founder 601 and descendant populations.

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Figure 4: Impact of recolonization on the mutation load per individual. (A) Homozygote counts and (B) allele counts per individual for each Alpine ibex population. The schematic between A and B indicates the harmonic mean of the census size of each population, which is inversely correlated with the strength of drift. \*) Estimated numbers. Colors associate founder and descendant populations (see also Figure 3A).

609 Figure 5: Homology-based inference of the impact of highly deleterious mutations. The 610 localization of protein family (PFAM) domains are highlighted in dark. Red dots indicate the relative 611 position of a highly deleterious mutation segregating in Alpine ibex. The frequencies of highly 612 deleterious mutations are summarized for Iberian ibex and three subsets of Alpine ibex. The 613 demographic history is shown with a schematic.

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615 Figure 6: Individual-based forward simulations recapitulating the reintroduction history of

616 Alpine ibex. A) Demographic model used for the individual-based simulations. The model was

617 parametrized using census data and historical records (see methods). Bold numbers represent the

618 carrying capacities defined as the harmonic mean of the census size. Numbers not in **bold** represent

619 the number of individuals released to found each population. If a population was established from two

621 means of the census size (historical records were ih = 16 and pp = 20). The adjustment was necessary

source populations, the individual numbers are separated by commas. \* ) Upwards adjusted harmonic

622 to prevent extinction of zoo populations. \*\*) Census numbers were estimated based on historical

623 records of the population but no long- term data census data was available. B) Relative frequency

624 comparison (*Rxy*) of Alpine ibex just before and after the species bottleneck and recolonization. C)

625 *Rxy* analysis contrasting the strongly bottlenecked Alpi Marittime population with all other Alpine

626 ibex populations across the spectrum of impact categories. D) Individual homozygote counts per

627 impact category. Boxplots summarized 100 population means across simulation replicates. Colors

628 associate founder and descendant populations (see also Figure 3A).

