# The ELIXIR Core Data Resources: fundamental infrastructure for the life sciences

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# Abstract

## **Motivation**

Life science research in academia, industry, agriculture, and the health sector is critically dependent on free and open data resources. ELIXIR, the European Research Infrastructure for life sciences data, has undertaken the task of identifying the set of Core Data Resources within Europe that are of most fundamental importance to the life science community for the long-term preservation of biological data. Having defined the Core Data Resources, we explored characteristics of the usage, impact and sustainability of the set as a whole to assess the value and importance of these resources as an infrastructure, to understand sustainability to the infrastructure, and to demonstrate a model for assessing Core Data Resources Resources worldwide.

## Results

The nineteen life science data resources designated as Core Data Resources by ELIXIR together form a data infrastructure in Europe that is a subset of the wider worldwide open data infrastructure. These resources are of crucial importance to research in Europe and throughout the world. We show that, from 2013 to 2017, data managed by the Core Data Resources tripled and usage doubled while staff numbers increased by only a sixth. Additionally, funding for the Core Data Resources is precarious, with all resources together only having ensured funding for less than a third of current staff after three years.

Our findings demonstrate the importance of the ELIXIR Core Data Resources as repositories for research data and the knowledge generated from those data, for life sciences researchers worldwide, while also demonstrating the precarious nature of the funding environment for this infrastructure. The ELIXIR Core Data Resources are part of a larger worldwide life sciences data resources ecosystem. ELIXIR will work, within Europe and as part of the Global Biodata Coalition, for longer-term support for the worldwide life sciences data resource and for the subset of that infrastructure that is the ELIXIR Core Data Resources.

# Introduction

Life science data resources have been used extensively in academia and industry for well over two decades, and are increasingly used in clinical settings. These resources are critical for ensuring the reproducibility and integrity of the entire life sciences research enterprise (Bourne *et al.*, 2015). Despite their importance, many are supported in whole or in part by short-term grants and there is little coordination of funding across these resources (Berman, 2008; Gabella *et al.*, 2017; https://www.biorxiv.org/content/10.1101/110825v3).

ELIXIR (<u>www.elixir-europe.org</u>) brings together life sciences resources from across Europe. More than 20 European countries contribute to ELIXIR's infrastructure with scientific tools and databases, as well as compute infrastructure, standards for interoperability, and training. Here, we focus on existing, well-established data resources. One of ELIXIR's goals is to support the most valuable, used and useful resources, i.e., those with a very high scientific impact. To fulfill this goal ELIXIR has created a formal process to identify the most critical life sciences data resources in Europe, designated ELIXIR Core Data Resources (https://www.elixir-europe.org/platforms/data/core-data-resources; Durinx et al., 2016). There are currently 19 Core Data Resources (CDRs, Table 1), spanning a broad range of life sciences data types including genes and genomes, proteins, chemistry, molecular structures and interactions, and the research literature. The process to identify these resources (Durinx et al., 2016) uses a set of qualitative and quantitative indicators of scientific and technical quality and impact. The indicators fall into five categories: Scientific focus and quality of science; Community served by the resource; Quality of service; Legal and funding infrastructure, and governance; Impact and translational stories. The resources identified in this way are of fundamental importance to the wider life sciences community and the long-term preservation of biological data: they are comprehensive, are considered an authority in their fields, are of high scientific quality and provide a high level of service delivery. It is of critical importance that these resources are sustained for the benefit of all researchers.

Many of the Core Data Resource indicators, particularly qualitative indicators such as those concerned with governance or the provision of user support, were collected as part of the initial selection process but tend not to change and are therefore not useful for describing evolutionary changes to the infrastructure as a whole. In this paper we characterise the Core Data Resources using a subset of the quantitative indicators helpful for portraying aspects of the utility and value of the resources to the research community over time.

Rather than considering data resources individually, ELIXIR views the Core Data Resources as a collective entity, together forming an integrated life sciences data infrastructure. As previously described (Durinx *et al.*, 2016), managers of the Core Data Resources supply Indicator data as part of the selection process, with updates provided on an annual basis. Here, we have for the first time used data collected from the Core Data Resources, covering the years 2013-2017 inclusive, to characterise this emerging infrastructure as a whole.

Name	Overview	References
ArrayExpress	Functional Genomics Data from high-throughput functional genomics experiments	Athar <i>et al</i> ., 2019
BRENDA	Database of enzyme and enzyme-ligand information	Jeske <i>et al</i> ., 2019
<u>CATH</u>	Hierarchical domain classification of protein structures PDB	Sillitoe <i>et al,</i> . 2019
<u>ChEBI</u>	Dictionary of molecular entities focused on 'small' chemical compounds	Hastings <i>et al</i> ., 2016
<u>ChEMBL</u>	Database of bioactive drug-like small molecules	Mendez <i>et al</i> ., 2019
EGA	Personally identifiable genetic and phenotypic data	Lappalainen <i>et al.,</i> 2015
ENA	Nucleotide sequencing information	Harrison, 2019
Ensembl	Genome browser for vertebrate genomes	Cunningham, <i>et al.</i> , 2019
Ensembl Genomes	Genome browser for non-vertebrate genomes, with sites for bacteria, protists, fungi, plants, and invertebrate Metazoa	Kersey <i>et al</i> . 2018
Europe PMC	Repository to life sciences articles, books, patents and clinical guidelines	Levchenko <i>et al.</i> , 2018
Human Protein Atlas	Information on human protein-coding genes	Uhlén <i>et al</i> ., 2015
IMEx Consortium (IntAct and MINT)	IntAct: experimentally-verified molecular interactions MINT: experimentally verified protein-protein interactions	Orchard <i>et al.</i> , 2012
InterPro	Functional analysis of protein sequences	Mitchel <i>et al</i> ., 2019
<u>Orphadata</u> <sup>1</sup>	Comprehensive, high-quality datasets related to rare diseases	Rath <i>et al</i> ., 2012
PDBe	Biological macromolecular structures	Mir <i>et al</i> ., 2018
PRIDE	Mass spectrometry-based proteomics data	Perez-Riverol <i>et al.</i> , 2019
SILVA	Resource for quality checked and aligned ribosomal RNA sequence data	Glöcnker <i>et al</i> ., 2017
STRING	Known and predicted protein-protein interactions.	Szklarczyk <i>et al</i> ., 2019
UniProt	Comprehensive resource for protein sequence and annotation data	UniProt Consortium 2019

Table 1: List of ELIXIR Core Data Resources

<sup>&</sup>lt;sup>1</sup> Orphadata was only recently introduced to the Core Data Resource list, in the second round of selection (concluded late in 2018) and is not yet fully integrated into the indicator update cycle, so is not included in the graphics presented here.

# Methods

Qualitative and quantitative information to support the life cycle management of the Core Data Resources is gathered by a defined and iterative process that has been described elsewhere (https://zenodo.org/record/1194123#.XG\_anC10eL5). This work depends on a trusted collaboration between the managers of the ELIXIR Core Data Resources, the ELIXIR team, and tools and infrastructure providers who facilitate access to the necessary information.

Data were collected from each data resource in two phases. For the first round of selection of Core Data Resources (https://f1000research.com/documents/7-1711), a Case Document was prepared by the resource managers, which provided information about 23 indicators (Durinx *et al.*, 2016) for the calendar years 2013-2015. Annual updates were subsequently requested for years 2016 and 2017 from the selected Core Data Resources, using an update form corresponding closely to the original Case Document. For the second round of selection (https://f1000research.com/documents/7-1712) the applicants provided indicator data for the calendar years 2014-2016. From the selected resources, an update was subsequently requested for the year 2017.

In the following section, the methods used to generate each Figure are described in turn. The data from which the Figures were generated and additional specific descriptions of methodology and techniques can be found in the accompanying Supplementary Data.

## Figure 1:

*Data entries:* This indicator corresponds to Indicator 3b "Data entries - Total, cumulative" from Durinx *et al.* (2016). Each Core Data Resource decides which data entity is its primary entry type and provides counts on an annual basis. Data types include, for example, nucleic acid and protein sequences, genomes and metagenomes, macromolecular structures, molecular complexes, publications, complex assemblies, and articles from the scientific literature. The items that make up the "Data Entries" therefore vary between the resources, but the counts down the years are of the same entity for each CDR.

*Users:* This indicator corresponds to Indicator 2a "Overall usage: visitors" from Durinx *et al.*, 2016. The Core Data Resources are, by virtue of the selection criteria, open to all users with no requirement to register for an account. Because usage is unrestricted, determining the number of users poses a challenge. One of the ways to measure the user community of a resource is by counting the average monthly web access for each year in terms of unique IP addresses. This count is necessarily a proxy of usage and both under- and over-reporting is possible, e.g., users may access resources from different devices and thus have multiple IP addresses, and users may also be connected using systems with dynamic IP address assignment: both situations generate more IP addresses than individuals. Conversely, some institutions, representing hundreds or thousands of users, may appear as a single IP address, leading to underreporting. Additionally, a single IP address that accesses different Core Data Resources will be counted separately for each resource.

Web access can be measured with two technologies: web analytics and log analytics. Web analytics ("web page tagging") is based on tags that are embedded in web pages and cookies stored on a user's device, and typically collected through services such as Google Analytics. Log analytics are based on the analysis of IP address data collected on the server hosting the resource. Although web analytics are generally easier to set up, they do not track 100 percent of requests because JavaScript may not be executed on the client side, for example when an end user does not allow the use of cookies or when the download of images is blocked, a typical default setting on smartphones and tablets. Log analytics, on the other hand, are generally more complicated to set up, often requiring dedicated hardware and infrastructure. The system used depends on the technology that is preferred by the hosting institution of the respective CDRs. For 13 CDRs, the estimation of the usage was based on log analytics, figures were chosen for this analysis.

Staff effort in Full Time Equivalents (FTEs): This corresponds to Indicator 1d "Staff effort: number of FTEs per year for the past 2-3 years" from Durinx et al. (2016) and includes curators, bioinformaticians and technical staff, assessed and reported by the resource manager as being representative of the calendar year as a whole. This indicator gives an idea of the staff required to develop and maintain a data resource. There is a difference between a database maintained by one person and a resource that has a team with 30 full-time members. The distribution of types of staff varies between the Core Data Resources. In Deposition Databases, such as ArrayExpress or ENA, the focus is on technical staff and bioinformaticians. By contrast, knowledgebases, for example the Human Protein Atlas or UniProt, add layers of value through teams of highly qualified curators who manually analyse and standardise research data. Each resource uses its own method to settle on an FTE count to provide in its annual update, then uses that same method for each year. An FTE count does not reflect the productivity of the staff, and does not inform on their expertise. It consolidates both part-time and full-time contributors to the equivalent number of full-time positions, so it does not necessarily reveal the actual number of people involved in the resource, either. It is likely that the FTE count recorded for CDRs housed within large bioinformatics institutes underestimates the actual staff effort required to support such resources, due to economies of scale and institutional support provided within those large institutes. Contrast that situation with a resource operating in a smaller institute where it may be the only hosted service, and can therefore not share core IT tasks with other resources.

## Figure 2:

*Literature citations:* This corresponds to Indicator 2c "Usage in research as measured through citation in the literature" from Durinx *et al.* (2016). The aim of this indicator is to evaluate how the CDRs contribute to specific research projects. It is compiled using text mining techniques applied to the open access literature in Europe PMC. For each CDR three different types of citation indicators in Europe PMC have been counted on a yearly basis: a) mentions of the name of the CDR, b) citation of individual datasets within the CDR, identified through mining of the patterns of their unique identifiers, and c) citations by other publications of selected Key Articles describing the individual resources (see Supplementary Data for further details).

The reported citation indicators are a very conservative estimate of usage of the CDRs in research projects. The estimates are constrained by the number of full text papers available in Europe PMC, *de facto* excluding the non-open access literature. Mining resource-name mentions was carried out for 16 of the 19 CDRs: BRENDA, SILVA and Orphadata were not included in the initial list of Core Data Resources, and have not yet been folded into the "Resource Name Mentions" text mining pipeline. Mining of entry identifiers was carried out for 13 of those 16 resources: three resources do not assign their own unique identifiers to individual data sets (see Supplementary Data for further details). A caveat to this methodology is that the usage of certain resources has become so self-evident or "core" to everyday research practice that they are rarely cited. This is for example the case for literature repositories such as Europe PMC, which is heavily used but rarely explicitly cited. Additionally, while initiatives to encourage data citation are gaining traction (https://doi.org/10.25490/a97f-egyk), these are relatively recent and not yet comprehensively adopted. All of these factors contribute to significant, but difficult-to-quantify, undercounting of literature citations to the CDRs.

#### Figure 3:

*Categories of the top 20 CDR-citing journals*: Three citation indicators of CDRs were collected: a) mentions of the name of the CDR, b) citation of individual datasets within the CDR, identified through mining of the patterns of their unique identifiers, and c) citations by other publications of selected Key Articles describing the individual resources (see Supplementary Data for details). For each unique PMID across the three citation indicators, the journal title and citation count were retrieved from Europe PMC. The top 20 CDR-citing journals were identified and mapped to a set of categories, based on the category model used in the Scimago Journal & Country Rank (<u>https://www.scimagojr.com/journalrank.php</u>). Finally, the number of citations to CDRs in all three indicators in journals within each category were tallied and plotted in each column.

#### Figure 4:

*Core Data Resource interconnectivity:* Lists of the data resources to which each Core Data Resource directly link were requested from the Core Data Resource managers. The interrelationships between the Core Data Resources were plotted. The relationships are expressed in a chord diagram, with the arc width weighted according to the number of outgoing links from each CDR to the other CDRs.

#### Figure 5:

Heat map of Core Data Resource co-citation: The citations of CDRs were collected for a) mentions of the name of the CDR, b) citation of individual datasets within the CDR, identified through mining of the patterns of their unique identifier accession numbers within the full text literature, and c) citations by other publications of selected Key Articles describing the individual resources (see Supplementary Data for details). For each unique PMID across the three citation indicators, Cited-by counts were retrieved from Europe PMC. For each pair of resources, the number of common unique PMIDs were counted and displayed graphically as the log of the co-citation count for those two resources. For legibility, only the 12 CDRs that are most co-cited are displayed.

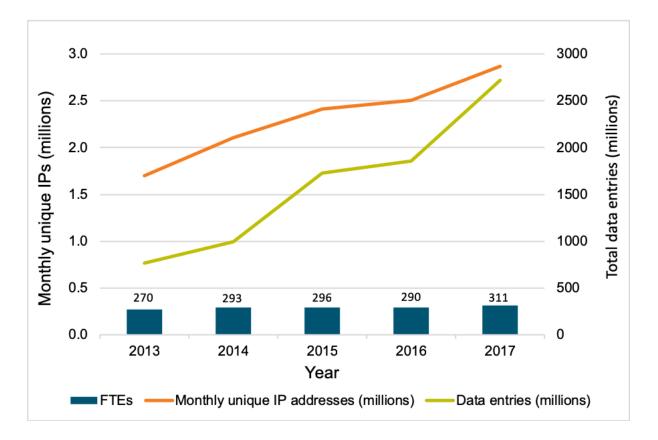
#### Figure 6:

*Horizon of assured funding*: This is related to Indicator 1d "Staff effort" from Durinx *et al.* (2016). Core Data Resource managers were asked "As of January 2019, for how many Full Time Employees (FTEs) do you have committed funding, on 1 January in the following years?" The years for which data was requested were 2019 to 2024 inclusive. The figures should not be taken as an assertion that the baseline (January 2019) figure is sufficient to run a resource well or reflects an optimal situation, as certain resources were sub-optimally funded at the time of the survey. Nor should the figures be taken as a statement that the resources anticipate their support to necessarily decline as shown in the graphic — efforts to secure future funding are foremost in the minds of the resource managers. The question being asked was intentionally specific and aimed at understanding how secure the funding is for the infrastructure, and for how far into the future.

## Results

## Scale of the Core Data Resources

Figure 1 shows the cumulative number of data entries across the Core Data Resources, including all deposited, curated and computed records. The total volume of data stored in the Core Data Resources in 2017 was over 10.5 petabytes, the majority of which is nucleotide sequence data. This total makes a strong case that life sciences data are data at scale, and the overview given in Table 1 conveys the wide range of life sciences concepts included within the big data that are managed by the Core Data Resources infrastructure.



The ELIXIR Core Data Resources: fundamental infrastructure for the life sciences

#### Figure 1. Scale of the Core Data Resources.

Cumulative number of data entries in all Core Data Resources, plotted in conjunction with usage (as measured via the number of unique IP addresses accessing the CDRs per month), and the number of staff at the CDRs (as measured by Full Time Equivalents), per year.

The total number of data entries more than tripled, from 766 million to 2.72 billion, between 2013 and 2017. The number of unique IP addresses accessing the data resources almost doubled in the same time. As noted in the Methods section, IP address figures are proxies for the number of individuals who use the CDRs. On balance, the number of unique IP addresses is almost certainly an overestimate of the number of users. We do not know how many separate IP addresses, on average, each user appears to have during any given period. However, even with very conservative modelling (see

<u>https://beagrie.com/static/resource/EBI-impact-report.pdf</u>) the number of scientists using the CDRs per month, given almost three million unique IP addresses, is in the hundreds of thousands. Additionally, we are confident that the increase in unique IP addresses is a indicator of real growth in users: this figure almost doubled from 2013 to 2017.

The nature of the data – for example, expertly curated or automatically computed by the resource, or directly deposited by researchers – within a given resource must be taken into account when evaluating its "size" and it is possible to have different types of entries within one data resource.

How many people are needed to maintain, curate and serve these data to all these users? The number of people employed in the production of the Core Data Resources grew from 269 to 310, or just 15 percent, over the observed five-year period (Figure 1). Staff numbers are thus growing only slowly despite substantial increases in usage of the Core Data Resources and in their size as measured by the number of records and bytes (their "storage footprint"). This reflects the scalability of the technical solutions that have been adopted, the highly skilled workforce, and the value for money these resources offer. For each full time person employed by a CDR, usage requests from at least 100,000 unique IP addresses per month are recorded.

Science evolves continually, and the concomitant development of data services such as metadata schemas, ontologies and user interfaces to support those evolving needs, whilst also maintaining backward compatibility to older data, is a distinctly human effort. This requires a continual investment in retaining and finding new talented and knowledgeable staff to maintain the scientific relevance of CDRs and ensure their continued growth in usage.

## Open Data and FAIR Data Leadership

The wide usage of Core Data Resources depends critically not only on adherence to standards, technical implementations and community support but also, and fundamentally,

on the legal right to reuse data. All ELIXIR Core Data Resources are open access<sup>2</sup>, with either Terms of Use statements (12 of the resources) or specific licences (7 of the resources) that allow reuse. This corresponds to Indicator 4b "Open science" in the selection process (Durinx *et al.*, 2016). Indeed, during the process of identifying Core Data Resources, six resources changed their licences to be more permissive to fulfill this openness criterion.

The Core Data Resources exemplify FAIR data (Wilkinson et al., 2016), maximizing interoperability and reusability. For example, Core Data Resources use persistent identifiers, standard vocabularies, and ontologies as the norm in their metadata (all these Indicators are included in the "Quality of service" Indicator 3 category). Data exchange is enabled via standard protocols such as HTTP(S) (websites and APIs) and FTP. The Core Data Resources provide user support and customer service via helpdesks, user feedback mechanisms, and outreach and training activities.

## Core Data Resource Citations in the Scientific Literature

Core Data Resources are the backbone of life sciences projects ranging from basic research in an academic setting to the development of specific industrial applications (Bousfield *et al.*, 2016). One way to show that the Core Data Resources have been instrumental in research projects is to measure their citation in the literature.

We assessed this by mining the full text open access publications available in Europe PMC for mentions of Core Data Resources by their name and by their specific data entry identifiers. Open citations of Key Articles describing a specific resource were also considered as citations of that resource. Figure 2 shows the growth in the number of publications in Europe PMC that have at least one of those three citation indicators present.

Based on the total of 51,434 name or data identifier mention citations in 2017, a year in which around 305,000 open access articles were published, 17 percent of the open access articles in Europe PMC in 2017 refer to a Core Data Resource by mentioning the resource name or an entry identifier. This is a significant proportion, and, as shown in Figure 2, their citation in the scientific literature continues to grow.

<sup>&</sup>lt;sup>2</sup> ELIXIR is committed to Open Access as a core principle for publicly funded research. ELIXIR Core Data Resources should reflect this commitment and have terms of use or a licence that enables the reuse and remixing of data. The Creative Commons licenses CC0, CC-BY or CC-BY-SA are all conformant with the Open Definition (<u>http://opendefinition.org/licenses/</u>), as are equivalent open terms of use.

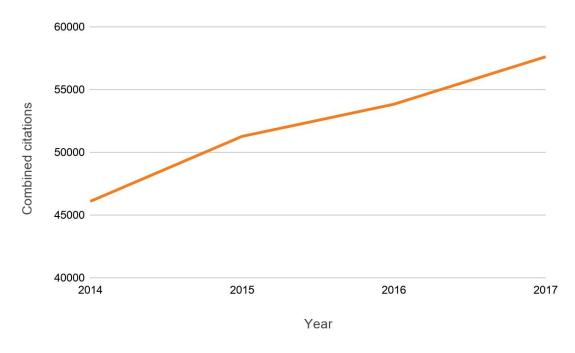


Figure 2. Usage of Core Data Resources in research.

Number of citations in the open access literature per year (citations of the name of the resources (16 CDRs), of resource entry identifiers (12 CDRs), and pre-identified Key Articles describing the respective resources (18 CDRs)).

From further analysis of the citation data, it is clear that the usage goes far beyond bioinformatics and molecular biology, reaching almost every field in the life and biomedical sciences and beyond (Figure 3).

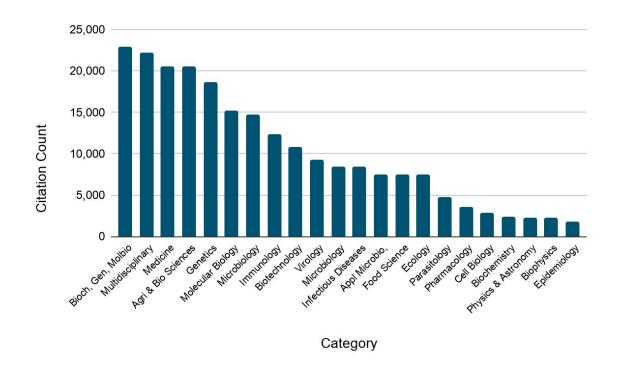


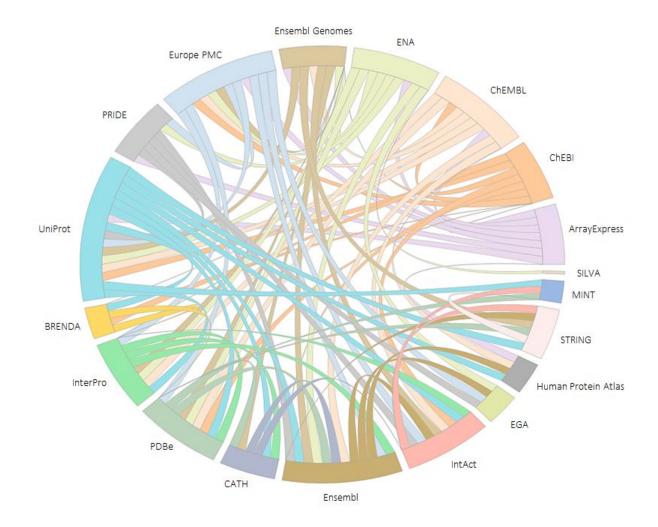
Figure 3. Citation counts for the categories of scientific fields in which the 20 journals that most frequently cite the Core Data Resources are active.

This demonstrates the diversity of fields of research in which the CDRs are mentioned, moving far beyond bioinformatics and genomics, and ranging from primary research into applied and health sciences, food security and the environment. The impact of the Core Data Resources beyond the immediate basic research domain from which they originated is clearly evident.

## Integration, Dependency and Ecosystem

The Core Data Resources exhibit high connectivity and interdependencies, reflecting the biological relationships between different data types. The use of persistent identifiers across different data types is the primary method of cross-referencing between the CDRs, alongside the use of standard shared vocabularies such as the Gene Ontology (The Gene Ontology Consortium 2019) to describe gene and protein function. For example, UniProt protein sequences are translated from ENA sequences and Ensembl, and linked to corresponding PDBe structures. Records for compounds in ChEMBL link to IntAct interactions in which they are involved. The InterPro consortium builds on UniProt sequences to generate protein family signatures, which in turn are used to annotate uncharacterised UniProt sequence data. All resources link to publications (Europe PMC) for biological context, which in turn cite identifiers to link back to the data. Figure 4 shows a representation of the interconnectivity between 17 of the CDRs. As new Core Data Resources are identified, it is expected that they will contribute to and extend the ecosystem.

While the CDRs extensively support each other with the interconnections illustrated in Figure 4, they also interact with multiple resources outside this set. For example, ChEBI is used in UniProt enzyme annotations in the form of Rhea chemical reactions (https://www.rhea-db.org/), and UniProt enzymes are annotated using the IUBMB enzyme classification (https://iubmb.org/) as represented by the ENZYME database (https://enzyme.expasy.org/). While SILVA links to the ENA Core Data Resource, it also cross-references to RNACentral (https://rnacentral.org/), and the prokaryotic standard name resource LPSN (http://www.bacterio.net/) among others. Between them, the Core Data Resources link out to more than 350 external resources, listed in Table S6 in the Supplementary Data. The number and the diversity of these resources illustrates the foundational role of the CDRs in the wider bioinformatics landscape. Worldwide, the life science data resource ecosystem is an interlinked network, and the CDRs are important nodes in that they integrate and make findable the data from hundreds of other resources, many of which are smaller, or domain-specific. In this way the Core Data Resources enhance the value of the other resources to which they are linked by multiplying re-use of their data.



#### Figure 4. Core Data Resource interconnectivity.

The Core Data Resources are placed on the circumference of the circle, with each resource represented by an arc proportional to the total number of interactions. The width of each internal arc, which transects the circle and connects two different resources, is proportional to the number of different data types that are exchanged between the two resources at the ends of the arc.

Another way to represent the integrated nature of CDRs is to analyse the co-citation of different data resources in full text publications. That is, to count the number of times two or more CDRs (name or entry identifiers) are cited in the same publication. Figure 5 depicts the co-citation distribution for the 12 Core Data Resources that show the most co-citation. Notable co-citation hotspots include UniProt, PDBe and ENA, attesting to the frequent use in research of these resources in conjunction with each other and with other CDRs. Co-citations do occur across the full set of CDRs, but the less frequently occuring of these were removed for legibility.

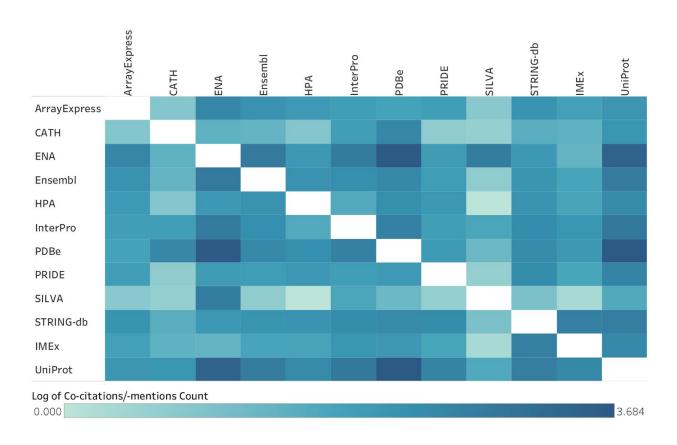


Figure 5. Heat map of the pairwise co-citation of the 12 ELIXIR Core Data Resources that are most frequently co-cited.

The intensity of the shading correlates with the frequency of the co-citation.

## **Funding Horizon**

Many research funders, both public and charitable, now either strongly recommend or require deposition of research data into open access data resources (e.g., European Research Council:

https://erc.europa.eu/sites/default/files/document/file/ERC\_info\_document-Open\_Research\_Data\_an d\_Data\_Management\_Plans.pdf; Science Europe:

<u>https://www.scienceeurope.org/wp-content/uploads/2018/01/SE\_Guidance\_Document\_RDMPs.pdf</u>). Additionally, many journals require deposition of data into public access repositories as a condition of publishing manuscripts referring to those data (e.g., natureresearch: https://www.nature.com/sdata/policies/data-policies; PLOS:

https://journals.plos.org/plosone/s/data-availability). ELIXIR Core Data Resources are the repository of record for a number of data types. Funders, journals, and submitters treat the Core Data Resources as stably funded infrastructure, but funding is in fact not assured past a very short horizon for many resources.

To assess the magnitude of this problem we asked managers of each Core Data Resources to report the confirmed funding for their staff over time (Figure 6). The aggregated results reveal a lack of long-term commitment to support the resources in this essential research infrastructure and, indeed, imply a clear risk to their continued existence. The lack of

assured long-term support for these resources demonstrates the fragility of data infrastructure upon which the research ecosystem depends and upon which funders rely for storing research data generated from public monies.

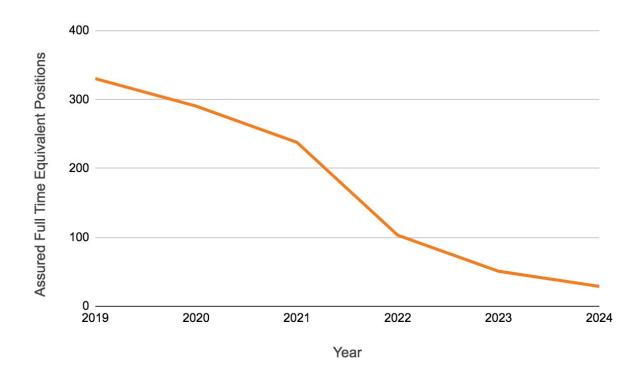


Figure 6. Horizon of assured funding: number of Full Time Equivalent positions for which the CDRs have assured funding, by year, as of January 2019.

Figure 6 shows that as of early 2019, the resources have assured funding for on average 88 percent of the staff within a one-year horizon, and for on average 31 percent of the staff over a three-year horizon. Only four of the 18 resources (22 percent) have the assurance that, one year from January 2019, they would have funds to support the same level of staffing as on that date.

This trajectory illustrates the precariousness of this fundamentally important infrastructure. It is unlikely, of course, that staffing for the infrastructure will collapse as shown in Figure 6. Rather, the Figure illustrates that funding for much of the infrastructure is awarded on the basis of short-term grants or contracts whose terms are often suited more to research projects than to funding infrastructure. Consequently, resource managers spend a significant proportion of their time demonstrating the value of their resources to funders and preparing applications for funding renewal. It is entirely appropriate for funders to exercise mechanisms that continually assess the fit of the infrastructure with the scientific need, but the Figure suggests that the frequency of this assessment is faster than might be warranted for an infrastructure, which by definition must be established, of proven utility, and stable over time.

# Discussion

During the past four decades, the massive growth of data in life sciences research, and the demonstration by researchers and funders that these data are more valuable if shared and re-used, have led to the creation of hundreds of data resources to store, curate, and share these data. Together, these data resources represent a new type of research infrastructure, which, unlike traditional "bricks and mortar" research infrastructures, is both virtual and distributed. The resources that make up this infrastructure are developed and maintained through the expertise of highly qualified personnel. The physical components of the infrastructure are these staff and the computational resources within which the data are stored and through which they are distributed to users.

The successful selection by ELIXIR of a set of Core Data Resources for Europe has shown that it is possible to develop a data-driven process to measure the impact of data resources and to use this process to identify a subset of those resources from within the larger data resource ecosystem that are most crucial to the larger infrastructure. The ELIXIR Core Data Resources define a cohort within the global life sciences infrastructure that funders and other stakeholders may use as a basis for structuring policies that support long-term sustainability, for both the Core Data Resources and the greater worldwide life sciences data infrastructure of which the CDRs are a part.

The CDR selection process depends on qualitative and quantitative indicators, as well as expert judgment. The goal of the process is to build the case for the long-term sustainability of data resources that, together, form an interrelated and essential infrastructure for life sciences research worldwide. It is crucial, given the implications for long term sustainability, that the process is handled carefully, and decisions reached by consensus. In addition to making the case for more sustainable funding support, the named CDRs are models of good practice for managing data resources. They provide a focus for initiatives to integrate data and workflows from other, smaller data resources. Several of the Core Data Resources serve as the "repository of record" for archiving the data type they store: they are crucially important for the long term preservation of hard-won experimental data generated using largely publicly-supplied research funding. Finally, the selection process itself provides a basis for selecting exemplars of good practice for other resource types, such as ELIXIR's Recommended Interoperability Resources

(https://www.elixir-europe.org/platforms/interoperability/rirs), as part of building the European research infrastructure across all components necessary for life sciences research.

The Core Data Resources identified by ELIXIR are, by definition, of fundamental importance to the life sciences research infrastructure in Europe and the rest of the world, and, for the first time here, this assertion is supported by quantitative indicators across the full set of CDRs as infrastructure. In the analysis above we have shown that these Core Data Resources are accessed millions of times per month by hundreds of thousands of users (Figure 1); they are explicitly cited in 17 percent of open access publications in Europe PMC (Figure 2); and they are used extensively across all fields in academic life sciences, medical

sciences, and in various life sciences-related commercial activities (Figure 3). It is clear from our analysis that the value of the Core Data Resources infrastructure for the scientific research effort is continually increasing over time as archived data and the use of those data grows.

*Risk to this critical infrastructure*. This infrastructure has become essential to life sciences research worldwide, as well as in more applied settings such as healthcare, environmental science, biotechnology and food science, and operates in the commercial sector such as the pharmaceutical industry and many small-to-medium-sized companies

(SMEs)(https://f1000research.com/documents/7-590). In recognition of the underpinning nature of open data to both research and the science-driven economy, virtually all research funders, both public and charitable, now strongly recommend or require deposition of research data into open access data resources (European Research Council: <a href="https://erc.europa.eu/sites/default/files/document/file/ERC\_info\_document-Open\_Research\_Data\_an\_d\_Data\_Management\_Plans.pdf">https://erc.europa.eu/sites/default/files/document/file/ERC\_info\_document-Open\_Research\_Data\_an\_d\_Data\_Management\_Plans.pdf</a>; Science Europe:

https://www.scienceeurope.org/wp-content/uploads/2018/01/SE\_Guidance\_Document\_RDMPs.pdf). Leading scientific journals, addressing their concerns about research reproducibility, increasingly advocate and in some cases require deposition in open access data repositories of research data associated with the articles they publish (natureresearch: https://www.nature.com/sdata/policies/data-policies; PLOS:

<u>https://journals.plos.org/plosone/s/data-availability</u>). It follows then that the core resources in this global enterprise should have more sustainable funding (Bourne *et al.*, 2015; Anderson *et al.* 2017).

*Worldwide data ecosystem*. The European Core Data Resources selected by ELIXIR represent only a portion of all life sciences data resources worldwide. The rest of the world also develops and hosts data resources, and many of these are as important to the global life sciences data ecosystem as are the ELIXIR Core Data Resources. Several of the ELIXIR Core Data Resources are already members of international consortia, with ENA (INSDC; <a href="http://www.insdc.org/">http://www.insdc.org/</a>), PDBe (wwPDB; <a href="https://www.wwpdb.org/">https://www.wwpdb.org/</a>), and UniProt (<a href="https://www.uniprot.org/">https://www.uniprot.org/</a>) being three prominent examples. Many of those resources are also at risk from short-term and unstable funding cycles. The ELIXIR Core Data Resource selection process provides a model for identification of other crucial resources worldwide that will allow funders to more efficiently support the worldwide life sciences data resource ecosystem. The nascent Global Biodata Coalition (Anderson, 2017; Anderson *et al. 2017*), supported by funders and heads of international research organisations, will use this process as a model for a worldwide effort to identify and secure long-term funding for crucial data resources.

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