1	Metatranscriptomics as a tool to identify fungal species
2	and subspecies in mixed communities
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## 21 Abstract

22 High-throughput sequencing (HTS) enables the generation of large amounts of genome sequence data at a reasonable cost. Organisms in mixed microbial communities can now 23 be sequenced and identified in a culture-independent way, usually using amplicon 24 sequencing of a DNA barcode. Bulk RNA-seq (metatranscriptomics) has several 25 advantages over DNA-based amplicon sequencing: it is less susceptible to amplification 26 27 biases, it captures only living organisms, and it enables a larger set of genes to be used for taxonomic identification. Using a defined mock community comprised of 17 fungal isolates, 28 we evaluated whether metatranscriptomics can accurately identify fungal species and 29 subspecies in mixed communities. Overall, 72.9% of the RNA transcripts were classified, 30 31 from which the vast majority (99.5%) were correctly identified at the species-level. Of the 15 32 species sequenced, 13 were retrieved and identified correctly. We also detected strain-level 33 variation within the Cryptococcus species complexes: 99.3% of transcripts assigned to 34 *Cryptococcus* were classified as one of the four strains used in the mock community. Laboratory contaminants and/or misclassifications were diverse but represented only 35 0.44% of the transcripts. Hence, these results show that it is possible to obtain accurate 36 species- and strain-level fungal identification from metatranscriptome data as long as taxa 37 38 identified at low abundance are discarded to avoid false-positives derived from contamination or misclassifications. This study therefore establishes a base-line for the 39 application of metatranscriptomics in clinical mycology and ecological studies. 40

### 41 Introduction

Microscopic fungal species, such as yeasts and some filamentous fungi, do not live in 42 isolation, they are most commonly found within mixed microbial communities inhabiting 43 soil, water systems, plants and animal hosts. Assessing the diversity of fungi in mixed 44 communities is important because different fungal taxa may exhibit distinctive phenotypes, 45 and consequently may have different pathogenicity or functional roles. For example, in the 46 47 rhizosphere, changes in fungal community composition have been associated with shifts in 48 nutrient cycling (Hannula et al. 2017). Humans also harbor, or are exposed to, a diverse 49 fungal community that provides a source of opportunistic pathogens (Bandara et al. 2019; 50 Huffnagle & Noverr 2013; Seed 2014). Although it is typically assumed that invasive fungal 51 infections are caused by a single strain, multiple Candida strains have been observed during the course of a single episode of infection (Soll et al. 1988). Furthermore, nearly 20% 52 53 of patients with cryptococcosis are infected with multiple strains, with different phenotypes 54 and virulence traits (Desnos-Ollivier et al. 2015; Desnos-Ollivier et al. 2010). Strain-level fungal diversity may influence therapeutic responsiveness and needs further investigation. 55

Despite its importance, fungal taxonomic diversity is poorly characterized. From 56 57 over two million fungal species estimated to exist, less than 8% have been described 58 (Hawksworth & Lucking 2017). Even well-known fungal species are often overlooked during routine diagnostic procedures, surveillance and biodiversity surveys (Brown et al. 2012; 59 60 Enaud et al. 2018; Yahr et al. 2016). This is in part due to challenges in the detection and classification of these organisms, especially microscopic and cryptic species, for example, 61 62 the etiologic agents of cryptococcosis. Currently, two species complexes are recognized: 63 Cryptococcus neoformans and Cryptococcus gattii (Kwon-Chung et al. 2002). Seven major 64 haploid lineages are found within these two species complexes (C. neoformans species complex: VNI, VNII, VNIV, and C. gattii species complex: VGI, VGII, VGIII and VGIV) and 65 their recognition as distinct biological species has been debated (Hagen et al. 2015; Kwon-66 Chung et al. 2017; Ngamskulrungroj et al. 2009). Being able to distinguish closely-related 67 lineages is important because their phenotype, virulence and ecophysiology can vary 68 69 substantially. For example, the JEC21 and B-3501 strains of C. neoformans var. neoformans (VNIV) are 99.5% identical at the genomic sequence level but differ 70 71 substantially in thermotolerance and virulence (Loftus et al. 2005). Likewise, different 72 virulence and antifungal tolerance traits were observed within lineages of C. gattii VGIII 73 (Firacative et al. 2016).

The introduction of high-throughput sequencing (HTS) marked a new era in mycological research, where the vast diversity of fungi can be studied without the need for

76 culture (Nilsson et al. 2019). To date, amplicon sequencing of marker genes 77 (metabarcoding) has been the most popular HTS method used to identify fungal species in 78 mixed communities. Despite its indisputable utility, metabarcoding surveys are affected by 79 PCR amplification biases, and even abundant species can go undetected due to primer 80 mismatch (Marcelino & Verbruggen 2016; Nilsson et al. 2019; Tedersoo et al. 2015). In addition, DNA fragments from dead organisms inflate biodiversity estimates in 81 metabarcoding surveys (Carini et al. 2016). Stool samples, for instance, naturally contain 82 food-derived DNA, which cannot be distinguished from the genetic material of the resident 83 84 gut microbiota when using DNA-based methods. These challenges can be circumvented by 85 directly sequencing actively transcribed genes, via RNA-Seq, hence avoiding the amplification step, and obtaining an unbiased characterization of the living microbial 86 87 community. Metatranscriptomics has been used to identify RNA viruses in a range of 88 animal samples (Shi et al. 2016; Shi et al. 2017; Wille et al. 2018; Zhang et al. 2018) and to 89 characterize the functional profile of microbial communities (Bashiardes et al. 2016; Kuske et al. 2015). Studies applying metatranscriptomics to mycorrhizal communities have 90 91 provided valuable insights into the functional roles of fungi in these symbiotic systems (Gonzalez et al. 2018; Liao et al. 2014). However, links between functional and species-level 92 taxonomy have been sought infrequently, likely because fungal identification from 93 94 metatranscriptome data is considered unreliable below phylum level (Nilsson et al. 2019). 95 Critically, it is currently unknown whether metatranscriptomics can accurately identify fungi at the species and subspecies level within a mixed community. This information is 96 97 fundamental to the investigation of the potential and utility of metatranscriptomics in 98 diagnostics and ecological studies. 99 Herein, we evaluated the utility of metatranscriptomics as a tool for the

99 Herein, we evaluated the utility of metatranscriptomics as a tool for the 100 simultaneous identification of fungal species, using a defined mock community containing 101 15 fungal species. In addition, we investigated whether strains belonging to sister species, 102 such as the *C. neoformans* and *C. gattii* species complexes could be identified correctly 103 using metatranscriptomics. Rather than focusing on marker genes, we sought to classify 104 fungal species using the information from all expressed genes, using the totality of NCBI's 105 nucleotide collection as a reference database. This study paves the way to apply state-of-106 the art techniques in fungal biodiversity surveys and clinical diagnostics.

## 108 Methods

A defined fungal community was constructed from 17 isolates, including 15 fungal species
and three strains of the *C. neoformans* species complex in addition to one strain of *C. gattii*

(Table 1). Fungal strains were obtained from the Westmead Mycology Culture Collection 111 and cultured on Sabouraud agar at 27°C for 72 hours. A sweep of colonies was made with 112 a disposable inoculating loop and dispersed in PBS. Fungal cells were quantified in a 113 Neubauer chamber and their concentration adjusted such that the fungal mixture contained 114 115 equal concentrations of each species (10<sup>8</sup> cells/mL). RNA was isolated with the RNeasy Plus kit (Qiagen), following the manufacture's protocol, with an initial freeze-thaw step in 116 liquid nitrogen to disrupt fungal cells. The quantity and quality of the RNA extract was 117 determined with the Nanodrop Spectrophotometer (Thermo Scientific) and the Agilent 2200 118 119 TapeStation. As some residual DNA was detected, the RNA extract was further treated with 120 DNase I (Qiagen). Ribosomal depletion (Ribo-Zero Gold technology), library preparation and sequencing (Illumina HiSeg HT, 125bp Paired End) were performed by the Australian 121 Genomics Research Facility. The raw sequence data were deposited in the NCBI Short 122 123 Read Archive (accession PRJNA521097).

Sequence reads containing more than five ambiguous positions or with average 124 quality scores  $\leq$  25 were filtered from the dataset using prinseq-lite v.0.20.4 (Schmieder & 125 126 Edwards 2011) with the options -ns\_max\_n 5 -min\_qual\_mean 25 -out\_format 3. Assembly of sequence reads into contigs was performed with Trinity v.2.5.1 (Grabherr et al. 2011). 127 Contigs were mapped to the NCBI nucleotide collection using KMA (Clausen et al. 2018), a 128 129 novel approach that has proven to be more accurate than other mapping software. Prior to 130 mapping, NCBI's taxonomic identifier codes (taxids) were appended to each sequence record in the nucleotide collection, and the reference database was indexed using KMA's 131 options -NI -Sparse TG. Contigs were then mapped to the indexed database with the 132 options -mem\_mode -and -apm f. Matches to the reference database with low support (i.e. 133 134 coverage < 20 and depth < 0.05) were excluded from the analyses. The species-level taxonomic classifications were based on NCBI's taxonomy identifiers (taxids) to minimize 135 the issue of changing species nomenclature (Federhen 2012). Subspecies-level 136 137 classifications within the Cryptococcus neoformans and C. gattii species complexes were examined manually. 138

Abundance was estimated at the level of sequence reads and transcripts. For readlevel abundances, sequence reads were mapped to transcripts using Bowtie2 (Langmead & Salzberg 2012) and quantified in Transcripts Per Million (TPM) with RSEM (Li & Dewey 2011), using the Trinity pipeline. For transcript-level abundances, the depth values estimated within KMA were used, which is the total number of nucleotides (in each contig) covering the reference sequence divided by the length of the reference sequence. The number and length of assembled contigs for each taxon is likely a better proxy for species

abundance than read-level abundances (which are subject to gene expression), and 146 therefore were used for graphic representation and analyses. For simplicity, we refer as 147 'abundance' the transcript-level abundance, unless otherwise stated. 148 It would be logical to expect that species with larger and gene-rich genomes would 149 150 express a greater number of transcripts. To test for this potential correlation, genome sizes and the estimated number of proteins were obtained from the Fungal Genome Size 151 Database (Kullman et al. 2005), Loftus et al. (2005), Muñoz et al. (2018) and NCBI's Genome 152 database (Supplementary table S1). The correlation coefficients between genome size, 153 154 number of proteins and abundance of transcripts were estimated using Person's correlation 155 and visualized using the R package ggpubr (Kassambara 2017).

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#### 158 **Results**

RNA sequencing yielded a total of 26,558,491 paired end reads, of which 98.3% passed 159 guality control. Overall, 277,404 contigs (transcripts) were obtained, from which 202,219 160 (72.9%) were classified. The majority of the sequence reads (80.2%) mapped to a classified 161 162 contig. Of the 15 fungal species sequenced, 13 were retrieved and correctly classified at the species level (Figure 1, Table 2, Supplementary table S2). The two false-negatives were 163 Debaryomyces hansenii and Schizosaccharomyces pombe; these may have been 164 misclassified as another fungus or were lost due to cell pooling inaccuracy and/or RNA 165 166 extraction biases. A small proportion of bacterial transcripts (0.03%) and other eukaryotic microbes (0.4%, including 31 fungi that were not present in the mock community) was also 167 168 observed (Table 2, Supplementary table S2), which likely represent laboratory contaminants and misclassifications (see discussion). However, these were present at a consistently 169 170 lower frequency than true members of the mock community, with the most common – Candida glycerinogenes – only present in 0.08% of the transcripts. Some of the transcripts 171 172 were assigned to entries in GenBank that do not have a species-level classification (e.g. 173 Candida sp. and Pichia sp.). These assignments were considered misclassifications here, 174 although it is possible that the species in our mock community are the correct species-level identity of these GenBank sequences. 175

Overall, the commonest species detected was *C. neoformans*, which was to be expected as it comprised three strains in the mock community and therefore was three times more abundant than other fungal species. Transcripts belonging to *Candida tropicalis* and *Pichia kudriavzevii* (former *Candida krusei*) – were also common (19.2% and 18.8%, respectively), while *C. albicans*, *C. orthopsilosis* and *C. glabrata* (other causes of

candidaemia in humans) were detected at lower abundance (2.0 - 2.9%). There was no 181 relationship evident between abundance of transcripts and phylogenetic relatedness. 182 Genomes with low GC content can be overrepresented in metagenomic sequencing 183 (Shakya et al. 2013). Conversely, some of the species detected here in high abundance 184 185 (Cryptococcus neoformans and Clavispora lusitaniae) have a higher GC content than most other fungal species (Dujon 2010), suggesting that GC bias is unlikely to affect our results. 186 No correlation between abundance of transcripts and genome size or estimated number of 187 188 proteins was observed (p > 0.05, Supplementary figure 1).

189 Molecular type and strain-level variation within the Cryptococcus neoformans and C. 190 gattii species complexes was also detected, with contigs matching to C. gattii VGI WM 276, C. neoformans var. grubii VNI H99 and C. neoformans var. neoformans VNIV strains B-191 192 3501A and JEC21 (Figure 2, Supplementary table S3). A proportion of the transcripts (1.6%) matched with equal probability scores to both strains of C. neoformans var. neoformans (B-193 3501A and JEC21, Supplementary tables S2 and S3). From the transcripts classified as 194 Cryptococcus spp., 99.3% were classified as one of the four Cryptococcus strains (or both 195 B-3501A and JEC21) used in the mock community. It is possible that misclassifications 196 occurred within the strains analyzed. For example, transcripts originally from JEC21 might 197 have been classified as B-3501A and vice versa. As it is not possible to know from which 198 199 strain the transcripts originated, these possible misclassifications would be undetected. 200

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#### 202 Discussion

203 Our metatranscriptomics approach yielded taxonomic identification of fungi from a defined 204 mock community with high success, while false-positives were detected at far lower 205 abundance. These results indicate that it is possible to obtain accurate species- and strain-206 level identifications for fungi from metatranscriptome data, as long as taxa identified at low 207 abundance are removed from the analyses to avoid false-positives derived from 208 contamination or misclassifications.

Taxonomic classification at species and strain levels using metabarcoding and
metagenomic data has been considered inaccurate (Nilsson *et al.* 2019; Sczyrba *et al.*2017; Yamamoto *et al.* 2014), raising the question of how our metatranscriptomics
approach succeeded in identifying closely-related fungal strains. Metabarcoding relies on a
single marker gene (Banchi *et al.* 2018; e.g. McGuire *et al.* 2013; Schmidt *et al.* 2013), which
does not contain sufficient phylogenetic information to differentiate some closely related
fungal lineages (Balasundaram *et al.* 2015; Nilsson *et al.* 2008). Metatranscriptomics, on the

other hand, yields data on all expressed coding sequences. Classifications derived from 216 217 metagenomes are likely to be equally accurate as the ones obtained from metatranscriptomes, except that dead organisms might also be sequenced. Additionally, 218 we used a new alignment method that is both highly accurate and fast (Clausen et al. 2018), 219 220 allowing us to map sequences against the complete NCBI nucleotide collection. Complete genomes of all fungal isolates used here are available in NCBI, and it is likely that the 221 accuracy of identifications is reduced for poorly-documented microorganisms. However, it 222 is possible to extract informative genes from metatranscriptome data and subsequently 223 224 perform phylogenomic analyses to identify rare and novel taxa (e.g. Shi et al. 2017; Wille et 225 al. 2018; Zhang et al. 2018). Besides being highly accurate, metatranscriptomics is less 226 susceptible to amplification bias, no information about the community members is required 227 a priori, and it only detects functionally active members of a microbial community. These advantages make metatranscriptomics a promising tool in biodiversity surveys, functional 228 229 assessments of microbial communities, pathogen detection and biosecurity surveillance (e.g. Kuske et al. 2015; Shi et al. 2016; Wille et al. 2018). 230

231 Even though false-positives were present at low abundance, they pose a challenge in the interpretation of metatranscriptomic and metagenomic data. False-positives generally 232 result from spurious classifications and laboratory contaminants, which may be common in 233 234 laboratory reagents (Salter et al. 2014). However, metatranscriptomics is less sensitive to laboratory contamination than DNA-based metagenomics or metabarcoding, as only living 235 236 microorganisms are sequenced. Nevertheless, contamination can occur at all stages of the library preparation and is routinely observed in RNA-Seq studies (Quince et al. 2017; Strong 237 238 et al. 2014). Misclassifications occur because some genome regions are very similar (or 239 identical) across closely-related species and cannot be differentiated. Errors in reference databases can also result in misclassifications. Sequences attributed to incorrectly-240 classified species are not uncommon in GenBank and result in downstream classification 241 errors (Li et al. 2018). It is also not unusual to find bacterial regions misassembled into 242 eukaryotic genomes (e.g. Koutsovoulos et al. 2016), which can result in sequences from 243 common laboratory contaminants being classified as a eukaryote. Filtering out organisms 244 found in low abundance is an option to reduce the incidence of false-positives in 245 246 downstream analyses. In this study, filtering organisms for which the abundance of transcripts is lower than 0.1% would eliminate false-positives, at the cost of excluding one 247 true-positive from the analyses (Table 2). The application of this abundance-filtering step 248 might not be feasible when sequencing depth (per microbial species) is limited. Species 249

present in low abundances will be represented by a small number of transcripts and so aremore likely to be misclassified or undetected.

The abundance of transcripts and sequence reads can vary according to genome 252 size, number of coding sequences and gene expression. Therefore, the abundance 253 254 disparity across species observed here is unsurprising. Interestingly, we found no correlation between the abundance of transcripts and genome size or number of genes 255 (Supplementary figure 1). Imprecise estimates of cell abundance and RNA extraction biases 256 could also have influenced abundance estimates, and might be the reason why two species 257 258 in the mock community (D. hansenii and S. pombe) were not detected in the analyses. 259 Metabarcoding studies have suggested that performing DNA extraction in triplicate minimizes biases for bacteria, but it had no effect in fungal communities (Feinstein et al. 260 261 2009). To our knowledge, the effect of RNA extraction bias in metatranscriptomics has yet 262 to be studied. As metagenomics surveys are not affected by gene expression, they might 263 be more appropriate for studies where it is important to quantify species abundance.

264 Although fungal species and their genes can be confidently identified, it remains 265 challenging to link some genes with particular species using metatranscriptomics. A large 266 portion of fungal genomes are highly similar among species, making it difficult, if not impossible, to infer which species in the community are expressing which genes. Recently, 267 a method was developed to perform species-level functional profiling of metagenome data 268 (Franzosa et al. 2018). This method, however, relies on a reference database of complete 269 genomes that currently contains few fungal representatives, limiting its application in fungal 270 metagenomics. Contrary to metatranscriptomics, metagenomics yields coding and non-271 272 coding sequences, which can facilitate linking genes to species if sequencing depth is large enough to assemble large parts of fungal genomes (e.g. Olm et al. 2019). 273

274 In sum, we show that metatranscriptomics is a useful approach to identify fungal species and subspecies in mixed samples. The major advantages of metatranscriptomics 275 over other HTS technologies include the selective sequencing of living organisms and the 276 277 ability to detect a wide range of microorganisms in one step, which has multiple applications in biological research, surveillance and diagnosis. There is an increasing 278 279 literature reporting that virulence and antimicrobial tolerance traits vary within species (Firacative et al. 2016; Rizzetto et al. 2013; Schauwvlieghe et al. 2017; Strope et al. 2015) 280 281 and that multiple strains or species can co-infect a host (Desnos-Ollivier et al. 2010; Gupta 282 et al. 2014; Seki et al. 2014; Soll et al. 1988; Tati et al. 2016). The high discriminatory power 283 obtained for closely-related lineages of Cryptococcus provides a good example of where 284 metatranscriptomics would be valuable in precision medicine, where therapy practices are

defined according to strain-specific pathogenicity and drug susceptibility traits. However, it 285 must be acknowledged that metatranscriptomics also has limitations that are common to 286 high-throughput sequencing methods, as it is susceptible to DNA/RNA extraction biases, 287 contamination and misclassifications. These limitations can be significantly minimized if 288 289 appropriate controls are in place (e.g. abundance filtering before statistical analyses). Besides its application to identify well-known fungal species, metatranscriptomics can help 290 to identify novel functional roles of fungi (e.g. Gonzalez et al. 2018; Liao et al. 2014) and 291 novel species when used within a phylogenomic framework. 292 293

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## 456 Tables:

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- 458 **Table 1.** Species and strains used to construct a mock fungal community for
- 459 metatranscriptome sequencing.

Fungal species	Strain number
Candida albicans	WM 229
Candida auris	WM 17.110
Candida glabrata	WM 13.101
Candida dubliniensis	WM 606
Candida orthopsilosis	WM 03.136
Candida tropicalis	WM 17.08
Clavispora lusitaniae (former Candida lusitaniae)	WM 14.04
Cryptococcus gattii (VGI)	WM 276
Cryptococcus neoformans var. grubii (VNI)	H99 GC (H99)
Cryptococcus neoformans var. neoformans (VNIV)	WM 01.133 (B-3501A)
Cryptococcus neoformans var. neoformans (VNIV)	WM 01.127 (JEC21)
Debaryomyces hansenii	WM 36
Pichia kudriavzevii (former Candida krusei)	WM 14
Pichia membranifaciens	WM 46
Saccharomyces cerevisiae	WM 318
Schizosaccharomyces pombe	WM 72
Yarrowia lipolytica	WM 63

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464 **Table 2.** Abundance of reads (TPM) and abundance of transcripts (Depth) per fungal

465 species detected with metatranscriptomics. True members of the mock community – at

466 species level – are shown in bold.

Species*	<b>TPM</b> (read-level)	<b>Depth</b> (transcript-level)	Relative abundance (transcript-level %)
Cryptococcus neoformans	149692.28	11049.04	22.464
Candida tropicalis	142496.47	9424.30	19.161
Pichia kudriavzevii	62133.74	9234.05	18.774
Clavispora lusitaniae	57317.81	7107.80	14.451
Candida auris	13402.41	3354.39	6.820
Candida dubliniensis	52027.94	1706.41	3.469
Pichia membranifaciens	10860.75	1498.26	3.046
Candida albicans	42948.69	1441.56	2.931
Yarrowia lipolytica	52376.03	1384.29	2.814

Candida orthopsilosis	44531.25	1308.09	2.660
Candida glabrata	50404.11	992.55	2.018
Cryptococcus gattii VGI	9350.81	463.71	0.943
Candida glycerinogenes	2345.13	41.51	0.084
Nakaseomyces delphensis	12821.76	35.45	0.072
Candida parapsilosis	15989.78	31.11	0.063
Candida nivariensis	1411.36	12.72	0.026
Kluyveromyces marxianus	47.70	8.90	0.018
Torulaspora delbrueckii	15.01	6.00	0.012
Kluyveromyces lactis	9.24	3.93	0.008
Saccharomyces cerevisiae	22.84	3.77	0.008
Eremothecium sinecaudum	25.93	3.67	0.008
Pichia cecembensis	751.23	3.60	0.007
Lodderomyces elongisporus	34.30	3.59	0.007
Uncultured Candida	885.35	3.13	0.006
Eremothecium gossypii	10.13	3.04	0.006
Naumovozyma dairenensis	17.37	2.80	0.006
Suhomyces tanzawaensis	30.87	2.25	0.005
Dipodascaceae sp. LM136	24286.11	2.16	0.004
Cyberlindnera jadinii	12.32	2.04	0.004
Metschnikowia bicuspidata	16.02	1.29	0.003
Brettanomyces naardenensis	96.13	1.19	0.002
Pichia norvegensis	783.06	1.11	0.002
Debaryomyces fabryi	22.87	0.92	0.002
Candida neerlandica	487.65	0.69	0.001
Melanotaenium endogenum	262.12	0.59	0.001
Pichia kluyveri	51814.00	0.55	0.001
Candida pseudohaemulonis	560.14	0.49	0.001
Candida sp. (in: Saccharomycetales)	330.15	0.49	0.001
Pichia sp. 2 TMS-2011	0.00	0.45	0.001
Cryptococcus neoformans AD hybrid	0.00	0.44	0.001
Saccharomycetales sp. LM594	2.60	0.30	0.001
Naumovozyma castellii	4.56	0.29	0.001
Saccharomyces pastorianus	0.81	0.26	0.001
Cryptococcus gattii VGIII	0.48	0.21	0.000
Other Eukaryotes	936.75	18.83	0.038
Bacteria	423.36	15.79	0.032
Unclassified	198000.57	8.00	0.016
TOTAL	1000000	49186	100

467 \* Species defined according to the NCBI taxonomy database. Strain numbers may indicate vouchers
468 rather than genetically different lineages.

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# 471 Figures

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Saccharomyces cerevisiae, misclassifications and contaminants

474 **Figure 1.** Relative abundance of transcripts assigned to microbial species recovered in the

475 metatranscriptome of a mock community. See Table 2 for a full list of species and more

476 details about their abundance.

