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Tropism and Transmission Ecology Predict Viral Virulence - Brierley et al.

## 1 Tissue Tropism and Transmission Ecology Predict Virulence of Human

## 2 RNA Viruses

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#### 12 Abstract

Novel infectious diseases continue to emerge within human populations. Predictive studies 13 have begun to identify pathogen traits associated with emergence. However, emerging 14 pathogens vary widely in virulence, a key determinant of their ultimate risk to public health. 15 16 Here, we use structured literature searches to review the virulence of each of the 214 known human-infective RNA virus species. We then use a machine learning framework to determine 17 whether viral virulence can be predicted by ecological traits including human-to-human 18 19 transmissibility, transmission routes, tissue tropisms and host range. Using severity of clinical disease as a measurement of virulence, we identified potential risk factors using predictive 20 classification tree and random forest ensemble models. The random forest model predicted 21 literature-assigned disease severity of test data with 90.3% accuracy, compared to a null 22 accuracy of 74.2%. In addition to viral taxonomy, the ability to cause systemic infection, 23 having renal and/or neural tropism, direct contact or respiratory transmission, and limited (0 < 1)24  $R_0 \leq 1$ ) human-to-human transmissibility were the strongest predictors of severe disease. We 25 present a novel, comparative perspective on the virulence of all currently known human RNA 26 virus species. The risk factors identified may provide novel perspectives in understanding the 27 evolution of virulence and elucidating molecular virulence mechanisms. These risk factors 28 could also improve planning and preparedness in public health strategies as part of a 29 predictive framework for novel human infections. 30

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#### 32 Author Summary

Newly emerging infectious diseases present potentially serious threats to global health. 33 34 Although studies have begun to identify pathogen traits associated with the emergence of new human diseases, these do not address why emerging infections vary in the severity of 35 disease they cause, often termed 'virulence'. We test whether ecological traits of human 36 viruses can act as predictors of virulence, as suggested by theoretical studies. We conduct 37 the first systematic review of virulence across all currently known human RNA virus species. 38 39 We adopt a machine learning approach by constructing a random forest, a model that aims to optimally predict an outcome using a specific structure of predictors. Predictions matched 40 literature-assigned ratings for 28 of 31 test set viruses. Our predictive model suggests that 41 higher virulence is associated with infection of multiple organ systems, nervous systems or 42 43 the renal systems. Higher virulence was also associated with contact-based or airborne transmission, and limited capability to transmit between humans. These risk factors may 44 provide novel starting points for questioning why virulence should evolve and identifying 45 46 causative mechanisms of virulence. In addition, our work could suggest priority targets for infectious disease surveillance and future public health risk strategies. 47

48

49 Blurb

Comparative analysis using machine learning shows specificity of tissue tropism and
 transmission biology can act as predictive risk factors for virulence of human RNA viruses.

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#### 52 Introduction

The emergence of novel infectious diseases continues to represent a threat to global public 53 54 health. Emerging pathogens have been defined as those newly recognised infections of 55 humans following zoonotic transmission, or those increasing in incidence and/or geographic range [1]. High-profile examples of emerging pathogens include the discovery of the novel 56 MERS coronavirus from cases of respiratory illness in 2012 [2], and the expansion of the 57 range of Zika virus across the South Pacific and the Americas [3]. The emergence of 58 59 previously unseen viruses means that the set of known human viruses continually increases by around 2 species per year [4,5]. Initial comparative studies identified trends among 60 emerging human pathogens, for example, increased risk of emergence for pathogens with 61 broad host ranges, and RNA viruses [6–9]. However, more recent comparative analyses have 62 focused on risk factors for specific pathogen traits, such as transmissibility [10–12]. Here, we 63 focus on understanding the ecological determinants of pathogen virulence, using all currently 64 recognised human RNA viruses as a study system. 65

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Emerging RNA viruses vary widely in their virulence, with some never having been associated with human disease at all. For example, Zaire ebolavirus causes severe haemorrhagic fever with outbreaks, including the 2014 West African outbreak showing case fatality ratios of ~60% or more [13,14]. In contrast, human infections with Reston ebolavirus have never exhibited any evidence of disease symptoms [15]. Applying the comparative approach to understand the ecology of virulence could offer valuable synergy with studies of emergence, towards prioritisation and preparedness in the detection of potential new human viruses [16].

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Few comparative analyses have addressed the risk factors driving human pathogen virulence 75 76 to date (but see [17–19]), and none have exhaustively investigated virulence across the 77 breadth of all currently recognised human RNA viruses. Several hypotheses regarding how pathogen ecology affects virulence have been derived from theoretical models of evolution. 78 79 For example, the trade-off hypothesis was developed based on the assumption that rate of 80 transmission between individuals may increase as a function of virulence, but there will be a 81 consequential increase in host mortality (or decrease in host recovery as the inverse of mortality). As a result, pathogen fitness will be subject to trade-off between virulence and 82 transmissibility over a longer infectious window [20,21]. The trade-off hypothesis is highly 83 debated as it is difficult to empirically characterise due to dependency on many other aspects 84 of host-pathogen coevolution [22,23]. However, comparative analysis has been suggested as 85 one method to assess evidence for a virulence-transmission trade-off [22]. Based on these 86 core principles, we hypothesised that limited capability to transmit between humans may act 87 88 as a predictive risk factor for virulence. We also note that evolutionary trade-offs will only apply to coevolved host-virus relationships and that many human viruses result from zoonotic 89 90 cross-species transmission without onward transmission or adaptation. In these cases, 'coincidental' non-adapted virulence may result [24,25], and as above, we hypothesised that 91 limited human-to-human transmissibility may predict higher virulence. 92

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Transmission route may also influence the evolution of virulence. Ewald [18] suggested that
 vector-borne pathogens should be less constrained by costs of virulence, i.e. morbidity and

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immobilisation of the vertebrate host does not impede transmission if it occurs through an
arthropod vector. We therefore hypothesised a vector-borne transmission route would predict
higher virulence.

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100 Several studies have also suggested a link between host range and virulence. Assuming an 101 evolutionary trade-off exists between virulence and transmission rate, higher virulence may result in pathogens with narrower host ranges following selection pressures to increase 102 103 transmission rate within the specialist host(s) [19]. Furthermore, the degree of virulence in experimental infections with Drosophila C virus was more similar between closely related 104 hosts [26]. Though similar ideas have not yet been formally tested for human infections, 105 parasite infectivity correlates with phylogenetic relatedness among primates [27]. We 106 hypothesised infection of non-human primates as a specific related host taxon would predict 107 higher virulence. Finally, although yet unexplored via theoretical models, it may be an intuitive 108 expectation that systemic infections present with more severe disease than local infections. A 109 broader tissue tropism could therefore also predict higher virulence. 110

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We aimed to determine patterns of virulence across the breadth of all known human RNA viruses. We then aimed to use predictive machine learning models to ask whether ecological traits of viruses can act as predictive risk factors for virulence in humans. Specifically, we examined hypotheses that viruses would be more highly virulent if they: lacked transmissibility within humans; had vector-borne transmission routes; had a narrow host range including nonhuman primates; or had greater breadth of tissue tropisms.

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#### 118 Results

119 Virulence of Human RNA Viruses

120 Following [5], as of 2015 there were 214 RNA virus species containing viruses capable of 121 infecting humans, spanning 55 genera and 21 families (with one species unassigned to a family). Using a two-category system, 58 of these were rated as causing 'severe' clinical 122 123 disease and 154 as 'nonsevere' following systematic literature review (Fig 2, see also S1 124 Table, S2 Table). Two virus species could not be assigned a disease severity rating and were 125 excluded from all analyses (Hepatitis delta virus, which is reliant on Hepatitis B virus) coinfection; and *Primate T-lymphotropic virus* 3, which may be associated with chronic 126 disease like other T-lymphotropic viruses, but has not been known in humans long enough for 127 cohort observations). Disease severity differed between viral taxonomic families (Fisher's 128 exact, 1000 simulations, p < 0.001), with Arenaviridae, Filoviridae and Hantaviridae having 129 the highest fractions of severe-rated virus species (Fig 2). Fatalities were reported in healthy 130 adults for 64 viruses and in vulnerable individuals only for an additional 26 viruses, whilst 8 131 viruses rated 'nonsevere' had severe strains, 6 of which belonged to the family 132 Picornaviridae. 133

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135 Classification Tree Risk Factor Analysis

To find predictive risk factors for virulence, we firstly divided the 212 virus species into a training set (n = 181) and test set (n = 31) based on taxonomy and severity in order to minimise potential biases from trait imbalances. Using the training set, we then constructed a single classification tree that aimed to optimally classify viruses in virulence based on their

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140 ecological traits. The final pruned classification tree included variables relating to transmissibility, tissue tropism and taxonomy (Fig 2). Severe disease was predicted by the 141 142 model for four generalised groups: i) viruses with a neural or systemic primary tropism with limited human-to-human transmissibility (excluding orthomyxoviruses, phenuiviruses and 143 reoviruses); ii) viruses known to have a renal tropism (primary or otherwise); iii) hantaviruses; 144 and iv) retroviruses with sustained human-to-human transmissibility. 145 146 147 Random Forest Risk Factor Analysis Although the illustrated classification tree identified several risk factors, this represents one of 148 many possible trees, as tree structure is dependent on the exact sampling partition between 149 training and test data. We therefore constructed a random forest model containing 5000 150 individual trees, each built using a bootstrapped sample of the training data and a randomly 151 restricted subset of predictors. 152 153

Aggregated over these bootstrapped trees, the most informative predictor variables for classifying virulence were taxonomic family and primary tissue tropism (Fig 4). However, transmission route, human-to-human transmissibility level, and having a known neural or renal tropism were also relatively informative, broadly mirroring the risk factors observed in the single tree. Host range predictors were generally uninformative.

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To quantify the effects of the most informative risk factors, partial dependences were
 extracted from the random forest, describing the marginal predicted probabilities of severe

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virulence associated with each virus trait (Fig 5, S3 Table). Averaging across other predictors, viruses having tissue tropisms within neural, renal or systemic across multiple organ systems presented the highest risk of severe virulence, whilst respiratory and gastrointestinal tropisms presented the lowest risk. An increased probability of severe virulence was also observed for viruses transmitted by direct contact or respiratory routes, and those with known but limited human-to-human transmissibility.

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169 Model Performance in Predicting Viral Virulence

Although the single classification tree model predicted the training set well, it did not appear 170 generalisable to novel data within the test set. The single tree correctly predicted virulence 171 ratings from literature-based criteria for 24 of 31 viruses in the test set giving a resulting 172 accuracy of 77.4% (95% confidence interval [CI]: 58.9% - 90.4%), no evident improvement on 173 the null model assigning all viruses as nonsevere (null accuracy = 74.2%). The random forest 174 gave better predictive accuracy, correctly predicting virulence ratings for 28 of 31 test set 175 viruses (accuracy: 90.3%, 95% CI: 74.3% - 98.0%), significantly greater than the null 176 accuracy (exact binomial one-tailed test, p = 0.025). The random forest also achieved 177 superior performance when considering sensitivity, specificity, True Skill Statistic, and the 178 negative predictive value as a performance measure prioritising correct classification of 179 'severe'-rated viruses (Table 1). The random forest also outperformed the classification tree in 180 AUROC, area under the receiver operating characteristic curve (Table 1, Fig 3). 181

All misclassifications from the random forest occurred within the genus *Flavivirus* (S2 Table).
Within the test set, there were two flaviruses rated as severe from literature protocols that

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were predicted to be nonsevere (*Rio Bravo virus, Yellow fever virus*), and one nonsevere
flavivirus predicted to be severe (*Usutu virus*).

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187 The observed predictor importances and risk factor directions were robust to constructing random forest models for subsets of viruses, removing those with low-certainty data or data 188 from serological evidence only (S1 Fig, S2 Fig), and similar performance diagnostics were 189 190 obtained (S5 Table). Redefining our virulence measure to integrate information on known 191 fatalities and differences with subspecies or strains in an ordinal ranking system (S5 Table) did not improve predictive performance (S6 Table). Using alternative virulence 192 193 measurements, the most informative variables and virus traits predicting severity showed good agreement with that of the main analysis (S3 Fig, S4 Fig) though when definitions of 194 'severe' virulence were widened, hepatic tropism became an informative predictor towards 195 disease severity. 196

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#### 197 Discussion

We present the first comparative analysis of virulence across all known human RNA virus 198 199 species to our knowledge. We find that disease severity is non-randomly distributed across 200 virus families and that beyond taxonomy, severe disease is predicted by risk factors of tissue tropism, and to a lesser extent, transmission route and level of human-to-human 201 202 transmissibility. In both the classification tree and random forest, viruses were more likely to 203 be predicted to cause severe disease if they caused systemic infections, had neural or renal 204 tropism, transmitted via direct contact or respiratory routes, or had limited capability to transmit between humans ( $0 < R_0 \le 1$ ). These risk factors were robust to alternative modelling 205 methods, alternative definitions of virulence, and exclusions of poor quality data. 206

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208 Ecology and Evolution of Risk Factor Traits

Primary tissue tropism was the most informative non-taxonomic risk factor (Fig 4) and the first 209 split criteria in the classification tree (Fig 2), with specific neural tropism and generalised 210 systemic tropism predicting severe disease (Fig 5). Few evolutionary studies have directly 211 predicted how tissue tropism should influence virulence. The identified risk factor tropisms 212 could be explainable as a simple function of pathology occurring in multiple or sensitive 213 tissues respectively, increasing intensity of clinical disease. However, it has been suggested 214 that an excessive, non-adapted virulence may result if infections occur within non-target 215 tissues that do not contribute to transmission [28]. Furthermore, the evolutionary determinants 216 217 of tissue tropism themselves are not well understood [29]. Tissue tropism should be a key consideration for future comparative and evolutionary modelling efforts. 218

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We also found viruses primarily transmitted by direct contact and respiratory routes to have a 220 221 higher predicted probability of severe virulence than viruses transmitted by more indirect 222 faecal-oral or vector-borne routes. Contrastingly, Ewald [18] reported a positive association between virulence and vector-borne transmission in comparative analyses pooling several 223 224 microparasite types, including a limited range of viruses, and suggested virulence has fewer 225 costs to viral evolutionary fitness if vector transmission can occur independent of host health 226 and mobility. The opposite association we observe may imply that even if transmission occurs via an indirect route such as through an arthropod vector, virulence could bring ultimate 227 fitness costs due to host mortality before encountering a vector, fomite, etc... 228

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The relationship between virulence and transmissibility appears more complex. Firstly, the 230 random forest model suggested a lower risk of severe virulence for viruses with sustained 231 human-to-human transmissibility (level 4) (Fig 5). This would lend support towards 232 hypothesised virulence-transmissibility trade-offs [20-22] and suggests that the adaptation 233 necessary to develop efficient human-to-human transmissibility could result in attenuation of 234 virulence in RNA viruses. Sustained transmissibility appeared to positively predict severe 235 236 disease for a specific subset of four viruses in the single classification tree (Fig 2), all retroviruses causing chronic syndromes (HIV 1 and 2, Primate T-lymphotropic virus 1 and 2), 237 which are likely subject to different evolutionary dynamics - if disease occurs after the 238 infectious period, virulence brings fewer costs to pathogens from host mortality, essentially 239 'decoupling' from transmission [24]. We note only three non-chronic level 4 viruses rated 240

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severe: Severe acute respiratory syndrome-related coronavirus, Yellow fever virus, and Zaire
ebolavirus.

243

Secondly, cross-species infections incapable of onward transmission (sometimes termed 244 'dead-end' infections) have been predicted to result in higher virulence as without any 245 evolutionary selection, viral phenotypes within that host will be non-adapted, i.e. a 246 'coincidental' by-product [24,25]. However, we did not observe viruses incapable of human-to-247 248 human transmissibility to be more virulent, the highest risk instead being observed for viruses with self-limited transmissibility. This may suggest that if virulence is entirely unselected in 249 dead-end infections, ultimate levels of virulence could also feasibly turn out to be 250 'coincidentally' low. 251

252

Taxonomic family being a highly informative predictor in the random forest implies that there is a broad phylogenetic signal to virulence, but it is also highly likely that the explanatory power represents a proxy for many other phylogenetically-conserved viral traits that are challenging to implement in comparative analyses of this scale, such as variation at the proteomic, transcriptomic or genomic level; or further data beyond simple categorisations, e.g. specific arthropod vector species. Untangling these sources of variation from different scales of traits will be a critical next step in predictive modelling of viral virulence.

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261 Analytical Limitations

262 We acknowledge several limitations to the quality of our data, as with any broad comparative

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analysis. Risk factor data was problematic or missing for certain viruses, e.g. natural
transmission route for viruses only known to infect humans by accidental occupational
exposure, and tissue tropism for viruses only known from serological evidence. However, the
consistency of findings between alternative, stricter definitions of virulence and data subsets
removing viruses with suspected data quality issues suggests scarcity of data does not bias
our analyses.

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270 Virulence also exhibits substantial variation at the sub-species level, i.e. between strains or variants. For example, severity of Lassa virus disease superficially varies with infection route 271 and geography, though this appears to be driven by variation between genotypes [30]. 272 Confirmatory analyses at a finer resolution would validate our identified risk factors, e.g. 273 phylogenetic trait models of individual genera or species. Furthermore, clinical symptoms are 274 also subject to traits of the host individual, e.g., immunocompetence, age, microbiome 275 [31,32]. Our risk factor analysis brings a novel, top-down perspective on virulence at the 276 277 broadest level, though caution must be exerted in extrapolating the risk factors we find to dynamics of specific infections. 278

279

280 Implications for Public Health

The value of predictive modelling as an inexpensive and rapid tool for risk assessments during early emergence is increasingly recognised [16]. Instances where machine learning model predictions do not match outcomes could indicate likely candidates for outcome class changes, e.g. future reservoir hosts for zoonotic disease [33]. Severe virulence was predicted

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- for one virus rated 'nonsevere' from literature protocols, *Usutu virus*, potentially suggesting the capability for more severe disease to be recognised in future.
- 287

However, our models have restricted function in predicting the virulence of a newly identified 288 virus. Although taxonomy is easily accessible and applicable to give simple virulence 289 estimates, the most informative non-taxonomic predictor, tissue tropism, is not likely to be 290 known with confidence before clinical observations of virulence. One way to address this 291 292 paucity of data lies in the potential predictability of tissue tropism from cell receptors, and more challengingly, cell receptors from viral sequence data [34], an increasingly accessible 293 information source during early emergence following advances in genomic sequencing 294 methods [35]. However, the exact links between tissue tropism, cell receptors, and sequences 295 are currently a critical knowledge gap, but a potentially powerful focus for future predictive 296 efforts. A further key area will be the possibility to directly infer virulence itself from other 297 aspects of sequence data, e.g. genome composition biases, which have recently 298 demonstrated the potential to predict reservoir host taxa and arthropod vectors via machine 299 learning [36]. 300

301

More widely, our analysis brings a novel focus that complements comparative models
predicting other aspects of the emergence process, such as zoonotic transmission
[8,9,27,33], propagation within humans [10,11] or geographic hotspots [37,38]. After
continued calls for model-informed strategy, predictive studies are now beginning to shape
surveillance and prevention with respect to emerging zoonoses [16,39], with virulence being

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been suggested as a factor to direct viral surveillance [40], albeit in non-human hosts. The virulence risk factors we identify suggest that broadly targeting direct contact or respiratory transmission interfaces within ecological systems and/or tailoring detection assays towards certain virus families (e.g. *Hantaviridae*) or tissues (e.g. neural tissue) could contribute to a viable strategy to detect future virulent zoonoses.

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313 Conclusion

This work adds to the comparative and predictive modelling efforts surrounding emerging infectious diseases. Here, we contribute a novel focus in ecological predictors of virulence of human RNA viruses, which can be combined in holistic frameworks with other models such as those predicting emergence dynamics. As a predictive model, the featured random forest offers valuable inference into the evolutionary determinants of virulence in newly emerging infections. We propose that future predictive studies and preparedness initiatives with respect to emerging diseases should carefully consider potential for human virulence.

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#### 321 Materials and Methods

322 Data Collection

323 For each of the 214 recognised human-infective RNA virus species following standardised data compilation efforts and critical assessment protocols [5], data on virulence and potential 324 325 risk factors were collected via a systematic search and review of clinical and epidemiological 326 literature. The following were consulted in turn: clinical virology textbooks [41–43]; references 327 from the dataset described by [5]; literature searches using Google Scholar (search terms: 1) 328 [virus name] AND human, 2) [virus name] AND human AND case, 3) [virus name] AND human AND [fatal\* OR death], 4) [virus name] AND human AND [tropi\* or isolat\*]. Searches 3 329 and 4 were carried out only when fatality or tropism data respectively were not already found 330 from previous sources. Data collection and virus name search terms included the full species 331 name, any synonyms or subspecies (excluding vaccine strains) and the standard virus 332 abbreviation as given by ICTV Online Virus Taxonomy [44]. 333

334

Although many possible measurements of virulence have been proposed [45,46], even simple 335 metrics like case fatality ratio (CFR) have not been calculated for the majority of human RNA 336 virus species. Therefore, virulence was rated using a simple two-category measure of severity 337 of typical disease in humans. We rated viruses as 'severe' if they firstly had ≥5% CFR where 338 data was available (159/214 viruses including those with zero CFR), otherwise, we rated 339 viruses as 'severe' if they had frequent reports of hospitalisation, were associated with 340 341 significant morbidity from certain conditions (haemorrhagic fever, seizures/coma, cirrhosis, AIDS, hantavirus pulmonary syndrome, HTLV-associated myelopathy) or were explicitly 342

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343 described as "severe" or "causing severe disease" (S1 Table, S2 Table). We rated viruses as 'nonsevere' if none of these conditions were met. Note that this led to 'nonsevere' ratings for 344 345 some viruses with clinically severe, but rare syndromes, e.g. Dengue virus can cause haemorrhagic dengue fever, though this is much rarer than typical acute dengue fever 346 [41,42]. To address this, data were also collected on whether the virus has caused fatalities in 347 vulnerable individuals (defined as age 16 and below or 60 and above, immunosuppressed, 348 having co-morbidities, or otherwise cited as being 'at-risk' by sources for specific viruses) and 349 350 in healthy adults, and whether any 'nonsevere' virus has atypically severe strains (for example, most infections with viruses within the species Human enterovirus C cause mild 351 disease; however, poliovirus, which causes severe paralytic disease, is also classified under 352 this species). These were examined both individually and within a composite six-rank system 353 (S5 Table). 354

355

Data were compiled for four main risk factors: transmission route(s) and tissue tropisms, 356 sourced from literature search exercises as described; and extent of human-to-human 357 transmissibility and host range, sourced directly from [5]. Although evolutionary theories also 358 predict virulence to vary with other traits, e.g. environmental survivability [47], paucity of data 359 or nestedness within taxonomic family prevented their inclusion in our analysis. Transmission 360 route was defined as the primary route the virus is transmitted by, classified as either vector-361 borne (excluding mechanical transmission), direct contact, faecal-oral or respiratory 362 transmission. Tissue tropism was specified the primary organ system the virus typically 363 infects or targets, classified as either neural, gastrointestinal, hepatic, respiratory, circulatory, 364

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365 vascular, or 'systemic' (primary tropism within multiple organ systems). We accepted isolation of the virus, viral proteins or genetic material, or diagnostic symptoms of the virus (such as 366 367 characteristic histological damage) as evidence of infection within an organ system but did not accept generalised symptoms such as inflammation. However, many human viruses were 368 isolated from blood with no further evidence of any specific tissue tropisms (n = 69). 369 Therefore, we also included an additional 'viraemia' category in this variable to indicate only 370 blood presence was known. Binary variables were also constructed denoting whether viruses 371 372 were ever known to utilise a) more than one transmission route/tissue tropism, and b) each individual transmission route and tropism, including additional categories that were never 373 among the primary routes/tropisms (food-borne and vertical transmission; renal, cardiac, joint, 374 reproductive, sensory, skin, muscular and endocrine tropism). 375

376

Human-to-human transmissibility was specified using infectivity/transmissibility levels, based on previous conceptual models and a systematic compilation and review of evidence [4,5,12]. Level 2 denotes a virus capable of infecting humans but not transmitting between humans ( $R_0$ = 0), level 3 denotes a virus with limited human-to-human transmissibility (0 <  $R_0 \le$  1); and

level 4 denotes a virus with sustained human-to-human transmissibility ( $R_0 \ge 1$ ). Host range

382 was specified as either 'narrow' (infection known only within humans or humans plus non-

human primates) or 'broad' (infection known in mammals or animals beyond primates) [5].

Binary variables were also sourced as to whether infection was known within a) humans only,

b) non-human primates, c) other mammals and d) birds. All virulence and risk factor data

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pertained to natural or unintentional artificially-acquired human infection only and data from
 intentional human infection, animal infection, and *in vitro* infection were not considered. Viral
 taxonomy was included in analyses by specifying both genome type and taxonomic family as
 predictors. All virulence and risk factor data are available via Figshare [48].

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391 Machine Learning Risk Factor Analysis

Firstly, the 212 retained virus species were split into a training set for model fitting and test set for model evaluation at an approximate 75:25 ratio using stratified random sampling based on taxonomic family and virulence rating. Fisher's exact tests confirmed equal representation of families (p = 0.991) and virulence ratings (p > 0.999) between training and test data. Comparative risk factor analyses were firstly carried out by constructing a classification tree using the R package 'rpart' v4.1-11 [49]. Classification trees are a simple form of machine

<sup>398</sup> learning models that aim to optimally classify data points into their correct category of

<sup>399</sup> outcome variable based on a structure of binary predictor splits. Tree-based methods are

400 well-suited for comparative analyses where confounding often results from taxonomic signal

401 or suites of otherwise co-occurring traits as their high structure can intuitively fit complex non 402 linear interactions and local effects.

403

A tree model was fitted to the training set to predict virulence ratings by 'recursive partitioning', the repeated splitting of the dataset using every possible binary permutation of each predictor, and retaining the split that minimises the Gini impurity [50], defined as  $1 - \sum_{i=1}^{n} p(x_i)^2$  for outcome variable *x* with *n* possible ratings and  $p(x_i)$  denoting proportion of

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408 data with rating i, which is equal to zero for perfectly separated data. To prevent overfitting, the tree was pruned back to the optimal branching size, taken as most common consensus 409 size over 1000 repeats of 10-fold cross-validation. To validate the predictive power of the 410 classification tree, predictions of virulence rating were generated when applied to the test set. 411 412 Tree accuracy was then calculated comparing the proportion of correct predictions compared to literature-assigned ratings (assuming these to be 100% accurate as the 'gold standard' or 413 'ground truth'). As virulence ratings were imbalanced (i.e. only a minority of viruses cause 414 severe disease, so correct nonsevere classifications are likely to be achieved by chance), 415 accuracy was directly compared to the null model, i.e. a model with no predictors that 416 predicted 'nonsevere' for all viruses. Additional diagnostics of interest (sensitivity, specificity, 417 negative predictive value, and True Skill Statistic [60]) were also obtained. 418

419

Although classification trees have the advantage of presenting an interpretable schematic of 420 risk factor effects and directions, individual tree structures may be sensitive to particular data 421 422 points and have no intuitive measures of uncertainty. Therefore, we constructed a random forest, an ensemble collection of a large number of bootstrapped classification trees [51]. 423 Having many predictor variables compared to the relatively limited and fixed number of 424 human-infective RNA virus species, random forests handle such 'large p, small n' data 425 architecture much more easily than traditional regression frameworks [52]. Missing data in all 426 predictors was imputed using the R package 'missForest' v1.4 [53]. Then, using the R 427 package 'randomForest' v4.6-12 [53], a random forest was created containing 5000 individual 428 trees, each built upon a bootstrapped sample of the training data and restricted to test a 429

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randomly selected subset of predictors (k = 5) at each split during construction and
convergence confirmed by inspection. Predictive power of the random forest model was
evaluated using the test set as for the classification tree and receiver operating characteristic
curves were visualised and area under curves calculated to directly compare the two machine
learning methodologies.

435

Due to their high structuring, random forest models cannot give a simple parametric predictor 436 437 effect size and direction (e.g., an odds ratio). Instead, potential virulence risk factors were evaluated using two metrics: variable importance and partial dependence. Variable 438 importance is calculated as the mean decrease in Gini impurity following tree splits on the 439 predictor and can be considered as how informative the risk factor was towards correctly 440 predicting virulence. Partial dependence is calculated as the mean relative change in log-441 odds of predicting severe virulence, which were converted to predicted probabilities of 442 severity associated with each risk factor. Partial dependences describe marginal effects 443 averaging across any influence of other predictors and as such, a single estimate may not 444 reflect any complex risk factor interactions. Therefore, to test hypotheses regarding virulence 445 risk factors, we present both random forest partial dependences and the less robust but more 446 accessible single classification tree for its ease of interpretation in risk factor structure, and 447 directly compare the statistical validity of both methods by plotting receiver operating 448 characteristic curves. All modelling was carried out in R v 3.4.3 [54], with a supporting R script 449 available via Figshare [48]. 450

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30

#### 581 Figure Captions

## 582 Fig 1. Virulence of currently known human RNA viruses with respect to taxonomy.

- 583 Number of known human RNA virus species split by ICTV taxonomic family. Shading denotes
- 584 disease severity rating.
- 585

#### 586 Fig 2. Final pruned classification tree predicting disease severity for 181 human RNA

587 viruses.

588 Final classification tree structure predicting virulence. Viruses begin at the top and are

classified according to split criteria (white boxes) until reaching terminal nodes with the

590 model's prediction of disease severity, and the fraction of viruses following that path correctly

591 classified, based on literature-assigned ratings (shaded boxes). 'Tp: primary' denotes primary

tissue tropism, 'Tr level' denotes level of human-to-human transmissibility, and 'Tp: renal.'

593 denotes having a known renal tissue tropism.

594

## 595 Fig 3. Receiver operating characteristic curve for tree-based machine learning models.

Plotted model predictive performance for the single classification tree (bold black line) and the random forest (bold red line) models when applied to the test set. Y axis denotes sensitivity (or true positive rate; proportion of viruses rated 'severe' by literature protocol that were correctly predicted as 'severe' by the model), and X axis denotes 1 – specificity (or false positive rate; proportion of viruses rated 'nonsevere' by literature protocol that were incorrectly predicted as 'severe' by the model). Dashed black line indicates null expectation (i.e. a model

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with no discriminatory power). Model profiles further toward the top left indicate a betterpredictive performance.

604

#### **Fig 4. Variable importances from the random forest model.**

Importance of each predictor variable across the 5000 bootstrapped trees within the random forest, calculated as the mean decrease in Gini impurity following a tree split based on that predictor and scaled against the most informative predictor (taxonomic family) to give a relative measure. 'Tp' denotes tissue tropism predictor, 'Tr' denotes transmission route predictor, 'Tr level' denotes level of human-to-human transmissibility, and 'H' denotes host range predictor.

612

#### **Fig 5. Partial dependences from the random forest model in predicting severe**

#### 614 virulence.

Predicted probability of classifying virulence as 'severe' for each of the most informative risk factors (primary tissue tropism, any known neural tropism, any known renal tropism, level of human-to-human transmissibility, and primary transmission route). Probabilities given are marginal, i.e. averaging over any effects of other predictors. Dashed line denotes raw prevalence of 'severe' virulence rating among the training dataset.

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#### 32

## 621 Tables

## 622 Table 1. Predictive performance metrics for classification tree and random forest

- 623 **model.**
- 624 Sensitivity, specificity, NPV (negative predictive value; proportion of 'nonsevere' predictions
- 625 that correctly matched literature rating), TSS (true skill statistic; sensitivity + specificity 1)
- and AUROC (area under receiver operating characteristic curve) for predictive model
- 627 methods applied to predict virulence of 31 viruses within the test set.

628

Model	Sensitivity	Specificity	NPV	TSS	AUROC
Classification tree	0.625	0.826	0.864	0.451	0.636
Random forest	0.750	0.957	0.917	0.707	0.957

629

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33

#### 631 Supporting Information Captions

## 632 S1 Table. Virulence literature rating data for human RNA virus training dataset.

- 633 Virulence data for the 181 virus species in the training set, ordered by genome type and
- taxonomy, including disease severity rating and supporting criteria for viruses rated 'severe',
- 635 whether virus is known to have caused fatalities in vulnerable individuals and/or otherwise
- healthy adults, and whether virus is known to have 'severe' strains if species is rated
- 637 'nonsevere'. CFR = Case fatality ratio, HPS = Hantavirus pulmonary syndrome, HFRS =
- 638 Hantavirus haemorrhagic fever with renal syndrome, HTLV = Human T-lymphotropic virus,
- 639 AIDS = Acquired immunodeficiency syndrome.

640

## 641 S2 Table. Virulence literature rating data and predictions for human RNA virus test 642 dataset.

Virulence data for 31 virus species in the test set, ordered by genome type and taxonomy, whether virus is known to have caused fatalities in vulnerable individuals and/or otherwise healthy adults, and whether virus is known to have 'severe' strains if species is rated 'nonsevere'. Both disease severity rating/supporting criteria following the literature protocol given in the main text, and predicted probability of severe disease from the random forest model are given. Bold type denotes where predictions do not match literature-based ratings. CFR = Case fatality ratio, HPS = Hantavirus pulmonary syndrome.

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#### 34

#### 651 S3 Table. Partial dependence from the random forest model for all predictor variables.

652 Partial dependence given as marginal relative change in log-odds and predicted probability of

classifying virulence as 'severe' from the random forest for all predictor variables.

654

#### 655 **S4 Table. Diagnostics of random forest models using stringent data subsets.**

656 Predictive performance metrics of random forest models applied to datasets excluding viruses

with low-certainty data (n denotes number of viruses excluded). In each case, data were

randomly resampled using stratification upon taxonomic family and virulence rating, resulting

in differing training and test sets from the main analysis. Otherwise, random forest

660 methodology follows that of Materials & Methods.

661

#### 662 S5 Table. Six-rank system of classifying virulence for human RNA viruses.

Six-rank system of classifying human RNA virus virulence with available data (specifically,
severity rating from main text, fatalities in vulnerable individuals and healthy adults, and
severe strains), along with example viruses and number of viruses fitting each exclusive
rank's criteria.

667

# S6 Table. Diagnostics of random forest models predicting alternative metrics of virulence.

670 Predictive performance metrics of random forest models predicting alternative virulence

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- 671 measures using different two-category definitions of 'severe' (n denotes number of viruses
- 672 considered 'severe' using that definition). Vulnerable individuals are defined as those age 16
- and below, age 60 and above, immunosuppressed, having co-morbidities, or otherwise cited
- as being 'at-risk'. Ranks follow those given in Table S5. Otherwise, random forest
- 675 methodology follows that of Materials & Methods.

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36

#### 676 S1 Fig. Variable importances from random forest models using stringent data subsets.

Variable importance for virulence risk factors from random forest models applied to datasets
excluding a) viruses only known to infect humans from serological evidence (n = 36), b)

viruses with < 20 recognised human infections (n = 55), and c) viruses with poor data quality

in at least one predictor (n = 71). Variable importance is calculated as the relative mean

decrease in Gini impurity scaled against the most informative predictor within each model,

alongside importances from the main analysis for comparison. 'Tp' denotes tissue tropism

683 predictor, 'Tr' denotes transmission route predictor, 'Tr level' denotes level of human-to-

human transmissibility, and 'H' denotes host range predictor.

685

#### 686 S2 Fig. Partial dependences from random forest models using stringent data subsets.

Predicted probability of classifying virulence as 'severe' for each of the most informative risk 687 factors from random forest models applied to datasets excluding a) viruses only known to 688 infect humans from serological evidence (n = 36), b) viruses with < 20 recognised human 689 infections (n = 55), and c) viruses with poor data guality in at least one predictor (n = 71), 690 alongside predicted probabilities from the main analysis for comparison. Probabilities given 691 are marginal, i.e. averaging over any effects of other predictors. As each data subset required 692 random resampling of the training and test data, note that the raw prevalence of 'severe' 693 virulence differed between each model (see S4 Table). 694

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37

#### 696 S3 Fig. Variable importances from random forest models using stringent data subsets.

697 Variable importance for virulence risk factors from random forest models predicting alternative

698 virulence measures using different two-category definitions of 'severe', calculated as the

relative mean decrease in Gini impurity scaled against the most informative predictor within

each model, alongside importances from the main analysis for comparison. 'Tp' denotes

tissue tropism predictor, 'Tr' denotes transmission route predictor, 'Tr level' denotes level of

<sup>702</sup> human-to-human transmissibility, and 'H' denotes host range predictor.

703

## 704 S4 Fig. Partial dependences from random forest models using stringent data subsets.

Predicted probability of classifying virulence as 'severe' in alternative virulence measures for each of the most informative risk factors from random forest models, alongside predicted probabilities from the main analysis for comparison. Probabilities given are marginal, i.e. averaging over any effects of other predictors. As each measurement used a different twocategory definition of 'severe', note that the raw prevalence of 'severe' virulence differed between each model (see S6 Table).

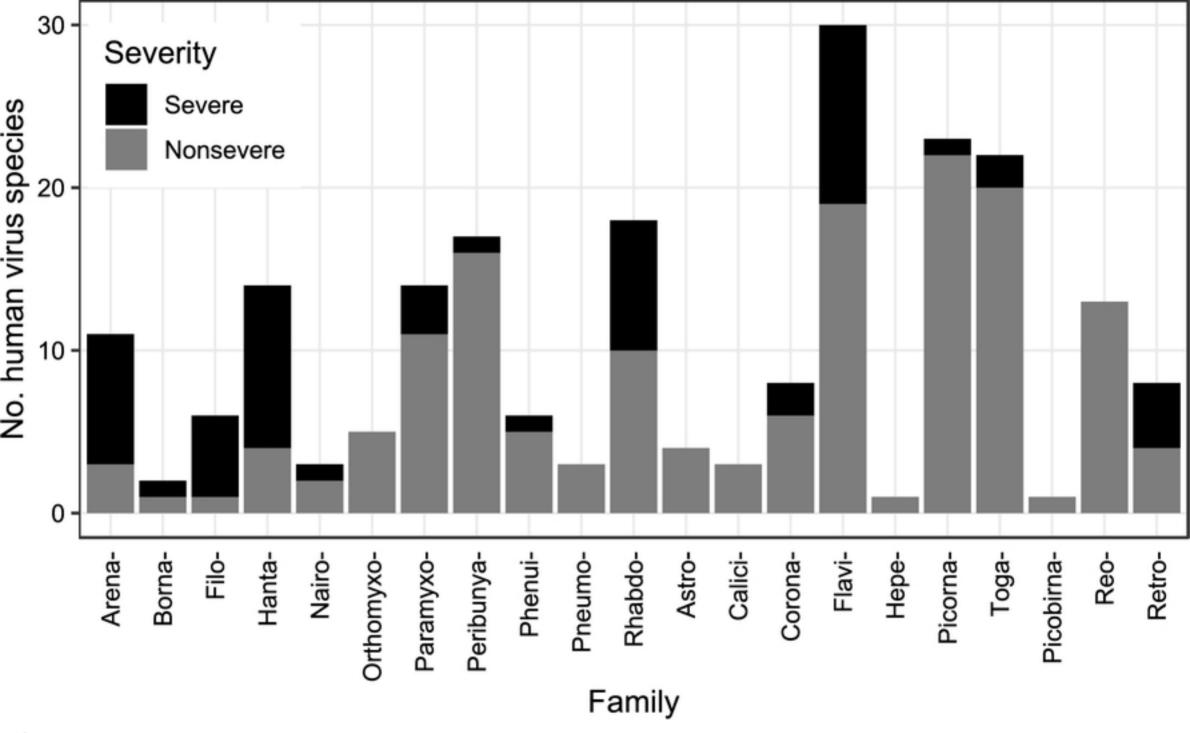
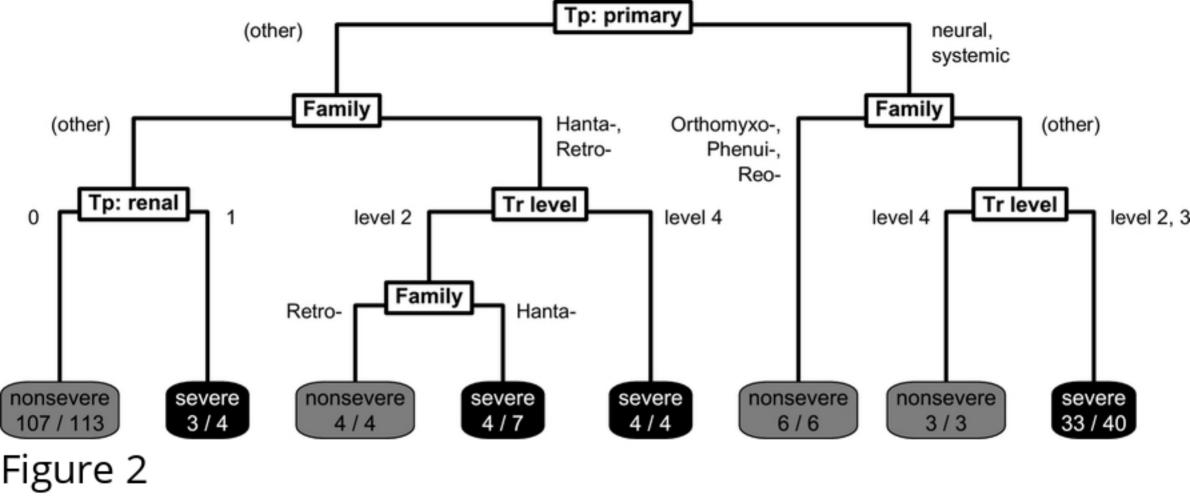


Figure 1



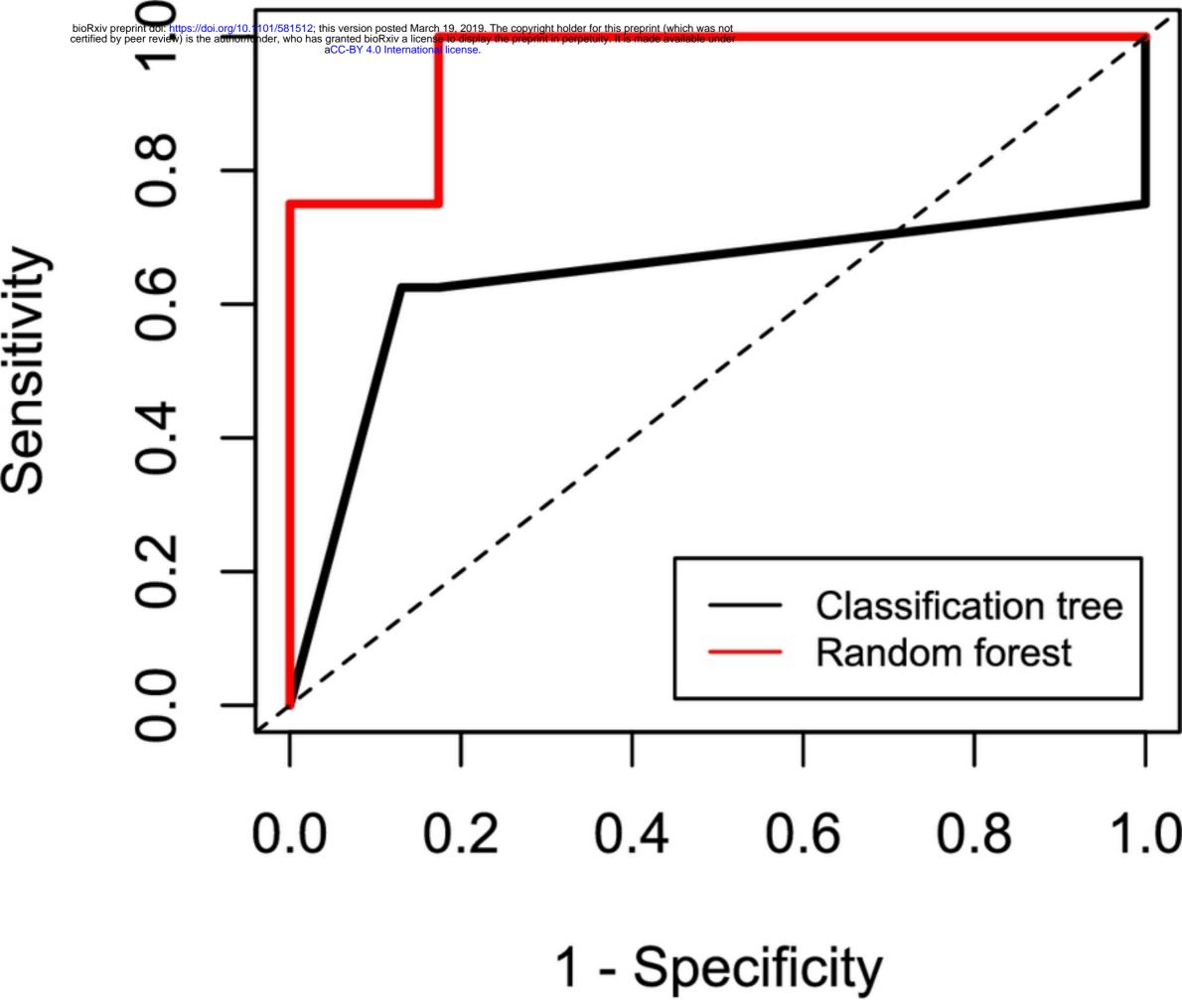


Figure 3

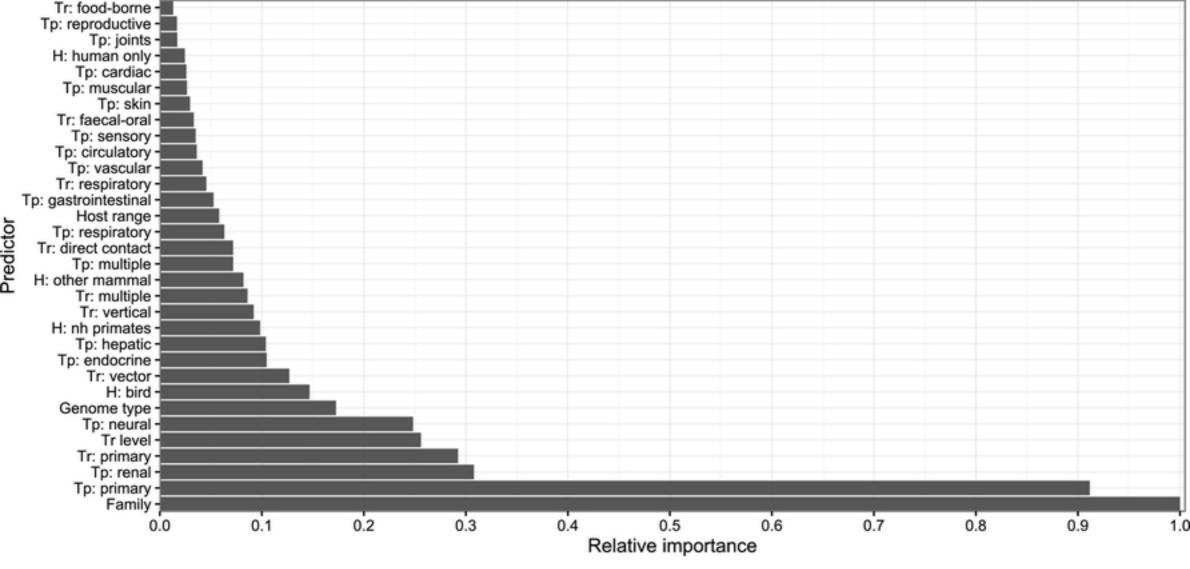


Figure 4

