

1 A candidate causal variant underlying both higher intelligence and
2 increased risk of bipolar disorder

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4 **Authors:** Susan Q. Shen, MD, PhD^{1,4}, Jeong Sook Kim-Han, PhD^{1,5}, Lin Cheng², Duo Xu,
5 PhD^{3,6}, Omer Gokcumen, PhD³, Andrew E.O. Hughes¹, Connie A. Myers, PhD¹, Joseph C.
6 Corbo, MD, PhD^{1*}

7
8 **Affiliations:**

9
10 ¹Department of Pathology and Immunology, Washington University School of Medicine, St.
11 Louis, MO

12
13 ²School of Life Science, Peking University, Beijing, China

14
15 ³Department of Biological Sciences, State University of New York at Buffalo, Buffalo, NY

16
17 ⁴Current affiliation: Department of Psychiatry, University of California San Francisco, San
18 Francisco, CA

19
20 ⁵Current affiliation: Department of Pharmacology, Kirksville College of Osteopathic Medicine,
21 A. T. Still University of Health Sciences, Kirksville, MO

22
23 ⁶Current affiliation: Department of Physiology and Biophysics, Weill Cornell Medical College,
24 New York, NY

25
26 *Correspondence should be addressed to JCC (email: jcorbo@wustl.edu)

27 **ABSTRACT**

28

29 Bipolar disorder is a highly heritable mental illness, but the relevant genetic variants and
30 molecular mechanisms are largely unknown. Recent GWAS's have identified an intergenic
31 region associated with both intelligence and bipolar disorder. This region contains dozens of
32 putative fetal brain-specific enhancers and is located ~0.7 Mb upstream of the neuronal
33 transcription factor *POU3F2*. We identified a candidate causal variant, rs77910749, that falls
34 within a highly conserved putative enhancer, LC1. This human-specific variant is a single-base
35 deletion in a PAX6 binding site and is predicted to be functional. We hypothesized that
36 rs77910749 alters LC1 activity and hence *POU3F2* expression during neurodevelopment. Indeed,
37 transgenic reporter mice demonstrated LC1 activity in the developing cerebral cortex and
38 amygdala. Furthermore, *ex vivo* reporter assays in embryonic mouse brain and human iPSC-
39 derived cerebral organoids revealed increased enhancer activity conferred by the variant. To
40 probe the *in vivo* function of LC1, we deleted the orthologous mouse region, which resulted in
41 amygdala-specific changes in *Pou3f2* expression. Lastly, 'humanized' rs77910749 knock-in
42 mice displayed behavioral defects in sensory gating, an amygdala-dependent endophenotype
43 seen in patients with bipolar disorder. Our study elucidates a molecular mechanism underlying
44 the long-speculated link between higher cognition and neuropsychiatric disease.

45

46 INTRODUCTION

47
48 Genome-wide association studies (GWAS's) have identified thousands of disease-
49 associated non-coding regions, but pinpointing the underlying 'causal variants' is challenging¹.
50 For neuropsychiatric diseases, this is particularly challenging due to the multiple layers of
51 biological organization between the variant and behavioral phenotype, and the lack of
52 appropriate model systems. Bipolar disorder (BD) is a neuropsychiatric illness characterized by
53 altered mood, classically with episodes of mania and depression². It affects ~1% of the world
54 population and has high morbidity and mortality³. While BD is highly (~80%) heritable, the
55 relevant genes and pathways are largely unknown, although the amygdala and prefrontal cortex
56 are strongly implicated^{2,4,5}. Fascinatingly, BD is associated with heightened creativity,
57 highlighting the long-speculated link between 'madness' and 'genius'^{6,7}.

58 Recently, three GWAS's of BD implicated an intergenic region at the *MIR2113/POU3F2*
59 locus in 6q16.1⁸⁻¹⁰. In parallel, multiple GWAS's of educational attainment and intelligence
60 pinpointed the same locus¹¹⁻¹³. The 'lead SNPs' (i.e., with the lowest p-values) in the studies of
61 BD and in the studies of intelligence are in strong linkage disequilibrium (LD), suggesting a
62 common underlying causal variant. Since the nearest protein-coding gene, *POU3F2*, is located
63 ~0.7 Mb away, we hypothesized that the underlying causal variant affects the activity of a non-
64 coding *cis*-regulatory element (CRE, i.e., enhancer or silencer) that regulates *POU3F2*.

65 *POU3F2* (*BRN-2*) is a transcription factor (TF) that is widely expressed in the developing
66 brain. *POU3F2* and *POU3F3* (*BRN-1*) jointly regulate the neurogenesis, maturation, and
67 migration of upper-layer cortical neurons¹⁴⁻¹⁶. The transcriptional targets of *POU3F2* likely
68 include *FOXP2*, a TF involved in speech and vocalization¹⁷. Furthermore, overexpression of
69 *POU3F2* facilitates direct reprogramming of fibroblasts and astrocytes into neurons^{18,19}. In mice,
70 both increased and decreased levels of *Pou3f2* are associated with altered neuronal fate, and a
71 specific mutation in *Pou3f2* affects cognitive function^{15,20,21}. In humans, deletions encompassing
72 *POU3F2*, and a missense mutation in *POU3F2*, are associated with intellectual disability^{22,23}.
73 Thus, *cis*-regulatory changes that alter *POU3F2* expression levels could similarly perturb brain
74 development, affecting cognition and other neuropsychiatric traits.

75 Here, we identify and investigate a candidate causal variant, rs77910749, which falls
76 within LC1, a putative brain enhancer located upstream of *POU3F2* in the intergenic region
77 implicated by GWAS's of BD and intelligence. We create transgenic reporter mice to interrogate
78 enhancer activity in neurodevelopment. We also implement a multiplex reporter assay, CRE-seq,
79 in developing mouse brain and human induced pluripotent stem cell (iPSC)-derived cerebral
80 organoids to quantify the effect of rs77910749 on enhancer activity. Finally, we use CRISPR-
81 Cas9 to generate LC1 knockout mice and 'humanized' rs77910749 knock-in mice, thereby
82 establishing models for behavioral assays. We demonstrate evidence for a BD-related behavioral
83 phenotype in rs77910749 knock-in mice. Together, our studies provide molecular evidence for a
84 mechanistic link between intelligence and BD.

85 86 RESULTS

87
88 **The *MIR2113/POU3F2* locus harbors non-coding variants associated with both higher**
89 **intelligence and elevated risk of BD**

90

91 To assess whether genetic markers associated with intelligence and BD might have a
92 shared biological origin, we first compared lead SNPs across studies. Two GWAS's of
93 educational attainment in Caucasians identified a genome-wide significant signal at the
94 *MIR2113/POU3F2* intergenic region (lead SNP rs9320913)^{11,24}. Educational attainment was later
95 shown to be a proxy phenotype for cognitive performance^{25,26}. In two GWAS meta-analyses for
96 cognitive ability, rs9320913 was not directly genotyped, but the proxy variant rs1906252 ($r^2 =$
97 0.96 with rs9320913) was associated with increased general cognitive ability^{12,27}. Similarly,
98 another GWAS meta-analysis found that the proxy variant rs10457441 ($r^2 = 0.91$ with rs9320913)
99 is associated with greater general cognitive ability¹³. Lastly, a study of 1.1 million individuals
100 identified this locus as one of the top hits for educational attainment, cognitive performance, and
101 highest math class completed²⁸. Thus, multiple studies demonstrated an association between
102 cognition and variants at this locus, pointing to a single causal haplotype (Table S1).

103 Around the same time, a GWAS of 9,747 Caucasian BD patients and 14,278 controls
104 identified a novel risk locus at the same intergenic region⁸. The lead SNP, rs12202969, was
105 associated with ~10-20% increased risk for BD. Another GWAS study of BD confirmed this
106 signal¹⁰. A third GWAS of 9,784 Caucasian BD patients and 30,471 controls pinpointed the
107 proxy variant rs1487441 ($r^2 = 0.98$ with rs12202969)⁹. We observed that the two BD GWAS
108 lead SNPs (rs12202969 and rs1487441) were in high LD with the lead SNPs in the GWAS's of
109 educational attainment and cognition (rs9320913, rs1906252, and rs10457441) (pairwise $r^2 =$
110 $0.92-0.99$), suggesting a shared genetic basis for intelligence and BD (Table S1). Intriguingly,
111 the variants associated with *higher* intelligence were associated with *increased* BD risk,
112 consistent with the finding that children with higher IQs are at higher risk for developing manic
113 features^{29,30}.

114

115 **The candidate causal variant rs77910749 is a human-specific non-coding variant**

116

117 To identify candidate causal variants, we surveyed the epigenomic landscape of the 0.5
118 Mb region (Chr6:98,300,000-98,800,000 Mb in hg19) identified by the GWAS's (Fig. 1A,
119 yellow box). This LD block contains dozens of human fetal brain-specific DNase-seq peaks,
120 which are open chromatin regions that demarcate putative CREs³¹. We then focused on the ~60
121 kb region of highest LD, which contains all five lead SNPs, SNPs: rs9320913, rs1906252,
122 rs10457441, rs12202969, and rs1487441 (Fig. 1A, purple box). Within this region, we identified
123 six fetal brain-specific DNase I hypersensitive sites (DHSs), termed LC0 through LC5 (the 'local
124 cluster') (Fig. 1B). While none of the lead SNPs fell within fetal brain DHSs, four variants in LD
125 with rs9320913 ($r^2 > 0.2$ based on HaploReg v4.1 using 1000 Genomes Phase 1 for
126 Europeans^{32,33}) fell within fetal brain DHSs in the local cluster (Fig. 1C top panel, blue font):
127 rs77910749 in LC1, rs13208578 in LC2, rs12204181 in LC4, and rs17814604 in LC5.

128 Since phylogenetic conservation often reflects functionality, we hypothesized that the
129 causal variant fell within a phylogenetically conserved region. As LC4 exhibits low conservation,
130 rs12204181 was deemed a less likely candidate. LC2 is highly conserved, but the derived allele
131 corresponding to rs13208578 is present in multiple vertebrate species, including primates,
132 suggesting that it is well-tolerated (Fig. S1A). Furthermore, LC2 did not exhibit enhancer
133 activity at E11.5 in a transgenic reporter mouse³⁴. Thus, rs77910749 and rs17814604 were the
134 top candidates, a finding corroborated by CADD, a bioinformatic tool that predicts variant
135 deleteriousness. CADD ranked rs77910749 and rs17814604 respectively in the top 0.2% and
136 0.04% of all possible human variants for predicted deleteriousness (Fig. 1C, bottom)³⁵.

137 Next, we examined rs77910749 and rs17814604. Phylogenetic analyses demonstrated
138 that rs17814604 is a newer and rarer allele that emerged from a haplotype already containing
139 rs77910749 (Fig. S3A and SI). In particular, the allele frequency of rs17814604 is only 0.2% in
140 East Asians (1000 Genomes Phase 3)³⁶. A study of 342 Han Chinese found a significant
141 association between rs12202969 ($r^2 = 0.96$ with rs9320913 in Han Chinese) and math ability³⁷.
142 Since rs17814604 is nearly absent in Han Chinese, it is unlikely that rs17814604 is the
143 underlying causal variant. By contrast, rs77910749 is relatively common worldwide (Fig. S3B
144 and SI), with an allele frequency of 51% in Europeans (1000 Genomes Phase 3)³⁶.

145 Inspection of rs77910749 revealed that it is a single base pair deletion of a ‘T’ in a stretch
146 of ~100 bases that are nearly perfectly conserved among vertebrates down to coelacanth (Fig.
147 S1B). Furthermore, although we did not find evidence of a traditional selective sweep,
148 rs77910749 appears to be a human-specific variant (Fig. S4, Fig. S5, and SI). Thus, rs77910749
149 is a common, human-specific variant at an evolutionarily conserved nucleotide, which is
150 hypothesized to be associated with both enhanced intelligence and increased risk of BD.

151

152 **rs77910749 falls within a putative developmental brain enhancer**

153

154 Since LC1 is highly conserved, we examined the orthologous region in other vertebrate
155 genomes. We found that LC1 is located between *Mir2113* and *Pou3f2* in multiple vertebrate
156 genomes, suggesting that LC1 is part of a genomic regulatory block whose conserved synteny
157 reflects functionality³⁸. This was corroborated by analysis of available Hi-C data (Fig. S6 and SI).

158 Next, we examined the epigenomic landscape of LC1. Published DNase-seq data across
159 multiple mouse tissues³⁹ demonstrated that LC1 is a region of open chromatin in the developing
160 mouse brain, with a strong signal at E14.5 and greatly diminished signal by E18.5 and adulthood
161 (Fig. 2A). ChIP-seq for two enhancer marks, p300 and H3K27ac^{40,41}, suggested that LC1 is an
162 active brain enhancer at E14.5 (Fig. 2A). Moreover, DNase-seq of mouse retina showed that LC1
163 is open in the early postnatal period but subsequently closes, suggesting that LC1 has a role in
164 neurogenesis in both brain and retina (Fig. 2A)⁴². Human methylation data support the notion
165 that LC1 is active in neural progenitors (Fig. S7). Interestingly, rs77910749 creates a novel CpG
166 site in LC1 with potential for methylation (Fig. S8).

167

168 **rs77910749 increases the affinity of a PAX6 binding site within LC1**

169

170 Because *cis*-regulatory variants can alter enhancer activity by disrupting TF binding, we
171 searched for predicted TF motifs within LC1 using FIMO (see SI)⁴³. We found that rs77910749
172 falls within a predicted binding site for PAX6 (Fig. 2A). PAX6 is a TF with multiple critical
173 roles in brain development and likely directly regulates *Pou3f2*^{15,44-46}. Published PAX6 ChIP-seq
174 data from E12.5 mouse forebrain revealed that LC1 is strongly bound by PAX6 *in vivo* (80th
175 ranked peak out of 3,536 peaks) and the only prominent peak in the region (Fig. 2A and 2B)⁴⁷.

176 Based on *in vitro* binding preferences from SELEX⁴⁸, rs77910749 is predicted to slightly
177 (~3%) decrease PAX6 binding affinity (Fig. 2B). To directly measure the effect of rs77910749
178 on binding affinity, we expressed and purified the paired domain (PD) of PAX6 and conducted
179 quantitative electrophoretic mobility shift assays (EMSAs) with fluorescently labeled DNA
180 probes⁴⁹ (Fig. 2C). Since PAX6 has a homeodomain (HD) that can interact with PD, we also
181 expressed PD with HD (‘PD-HD’ protein). We found that both PD alone and PD-HD can bind
182 both the wild-type sequence (‘Ref’) and the rs77910749-containing sequence (‘Var’), as

183 demonstrated by specific gel shifts. However, PD5a (an isoform of canonical PAX6) cannot bind
184 to either the reference or variant sequence (Fig. S9 and SI).

185 Upon quantification of probe binding, we found that rs77910749 confers ~40% increased
186 binding affinity for PD (95% confidence interval [CI]: 1.32-1.52 fold higher affinity) and ~60%
187 increased binding affinity for PD-HD (95% CI: 1.28-2.05 fold higher affinity) (Fig. 2D). Thus,
188 contrary to *in silico* predictions, rs77910749 confers a significant increase in PAX6 binding
189 affinity.

190

191 **Transgenic reporter mice show evidence of LC1 enhancer activity in the developing central** 192 **nervous system (CNS)**

193

194 To test whether LC1 is a *bona fide* enhancer and to investigate its spatiotemporal activity
195 pattern, we created transgenic reporter mice carrying human LC1 (~1 kb fragment) cloned
196 upstream of the minimal *Hsp68* promoter and LacZ (Fig. 3A and SI)⁵⁰. Since the mouse DNase-
197 seq signal for LC1 is strongest at E14.5, we screened ‘transient’ transgenic embryos at E14.5 (i.e.,
198 embryos were F0’s and represented independent transgenesis events). Among the seven embryos
199 that were genotypically positive for LacZ, five showed LacZ expression (Fig. 3B): in cerebral
200 cortex (lines #1, 4, 5), amygdala (lines #1, 2, and 3), and skin (line #5).

201 We also created three independent stable lines (in which F0 transgenics were outcrossed
202 to generate F1’s). Two stable lines showed essentially no enhancer activity in multiple
203 genotypically positive E14.5 embryos. The third stable line showed consistent LacZ expression
204 in the developing amygdala (Fig. 3C). Thus, overall, 6/10 transgenic lines showed LacZ
205 expression in the developing brain, with 4/6 in the developing amygdala and 3/6 in the
206 developing cortex. Additionally, 5/6 expressed LacZ in the developing retina (Fig. 3), consistent
207 with retinal DNase-seq data (Fig. 2A). Together, these data indicate that LC1 is transcriptionally
208 active in the developing amygdala, cerebral cortex, and retina. PAX6 has known roles in the
209 development of all three regions⁵¹⁻⁵³, whereas POU3F2 has known roles in the cerebral cortex
210 and retina, and a suggested role in the amygdala^{14,16,54}. Some variability in expression was seen
211 among the reporter lines, possibly due to insertion site effects⁵⁵.

212

213 **rs77910749 increases LC1 enhancer activity in mouse cerebral cortex and human cerebral** 214 **organoids**

215

216 To quantitatively assess whether rs77910749 alters the enhancer activity of LC1, we
217 utilized a multiplexed plasmid reporter assay, CRE-seq⁵⁶. In CRE-seq, a library of barcoded
218 reporter constructs is introduced into cells, and the resulting expressed transcripts are quantified
219 by RNA-seq. We previously used CRE-seq to assay thousands of CREs in postnatal mouse retina
220 and adult cerebral cortex^{56,57}. Here, we assayed a smaller pool of constructs with greater
221 coverage and depth. We created three types of constructs: wild-type LC1 (‘Ref’), LC1 with
222 rs77910749 (‘Var’), and a promoter-only control. To increase the sensitivity of our assay, the
223 enhancers were synthesized as multimers (Fig. 4A and SI). For each of the three construct types,
224 twenty barcoded constructs were created, for a total of sixty barcoded constructs in the GFP
225 reporter library.

226 We introduced this library into developing mouse cerebral cortex by *ex vivo*
227 electroporation at E12.5, followed by two days of explant culture⁵⁸. Histologic examination
228 revealed GFP expression in the deeper cortical layers (Fig. 4B). By contrast, *pDcx-DsRed* (a co-

229 electroporated control construct) was expressed in the upper cortical layers, as expected⁵⁹. *Dcx*
230 encodes doublecortin, which is expressed in post-mitotic, migrating cortical neurons⁶⁰. There
231 was little colocalization of DsRed and GFP, suggesting that the CRE-seq library was not active
232 in migrating neurons, but rather in progenitors and/or a subset of developing neurons in the
233 cerebral cortex.

234 In parallel, we introduced the CRE-seq library into human iPSC-derived cerebral
235 organoids (Fig. S10)^{61,62}. Seven days after electroporation, live imaging showed electroporated
236 cells expressing the pCAG-DsRed (a co-electroporated control construct with ubiquitous activity)
237 (Fig. 4B). A subset of DsRed-expressing cells also expressed GFP, indicating CRE-seq library
238 activity.

239 We then quantified the *cis*-regulatory activity of the constructs by barcode sequencing
240 (Fig. 4C and SI). For both mouse cerebral cortex and human cerebral organoids, we observed
241 enhancer activity of LC1 multimers (both ‘Ref’ and ‘Var’) relative to the promoter-only control.
242 In the mouse cerebral cortex, the ‘Var’ multimer had ~11% higher activity than ‘Ref’, while in
243 the human cerebral organoids, the ‘Var’ multimer had ~32% higher activity than ‘Ref’. Thus,
244 rs77910749 confers significantly higher LC1 enhancer activity in two orthogonal assay systems.

245

246 ***In vivo* deletion of LC1 confers region-specific changes in *Pou3f2* expression**

247

248 To directly address whether LC1 regulates *Pou3f2* expression and whether rs77910749
249 affects *Pou3f2* expression, we used CRISPR-Cas9 to delete the mouse LC1 region (~1 kb) (‘LC1
250 KO’ mice). We also used CRISPR-Cas9 to knock-in rs77910749 into the orthologous position of
251 the mouse genome (‘humanized’ KI mice) (Fig. 4D). A global survey of gene expression with
252 E14.5 whole-brain RNA-seq of homozygous LC1 KO mice and homozygous rs77910749 KI
253 mice (and corresponding controls) revealed minimal changes (Table S2). This suggested that
254 LC1 may act in a cell type- and/or region-specific manner not detectable in whole-brain assays⁶³.

255 As a more focused approach, we developed an allele-specific expression assay. First, we
256 used CRISPR-Cas9 to generate mice with a small deletion (4 bp) in the 3’ UTR of *Pou3f2*, which
257 serves as a barcode. Mice heterozygous for the LC1 deletion (‘LC1 het’) were crossed to mice
258 with the 3’ UTR variant (Fig. 4E). By measuring allele-specific *Pou3f2* transcripts, we quantified
259 changes in expression due to the LC1 KO allele relative to the LC1 wild-type allele.

260 Examination of the whole brain revealed no difference in allele-specific *Pou3f2*
261 expression (Fig. 4F). Since the LacZ transgenic reporter assays suggested that LC1 is active in
262 the amygdala and cerebral cortex (Fig. 3), we then analyzed the amygdala and cerebral cortex
263 separately. No difference in *Pou3f2* expression was observed in the microdissected cortex.
264 However, in the microdissected amygdala, the LC1 KO allele was associated with ~8% higher
265 *Pou3f2* expression (Fig. 4F). This suggests that LC1 acts as a silencer in a subset of cells in the
266 amygdala at E14.5.

267 To test the effect of rs77910749 on *Pou3f2* expression, we crossed rs77910749 KI mice
268 to *Pou3f2* 3’ UTR variant mice and conducted an analogous series of experiments. We did not
269 observe any allele-specific changes in *Pou3f2* expression associated with rs77910749 in the
270 whole brain, amygdala, or cerebral cortex at E14.5 (Fig. 4F). Altogether, these data suggest that
271 LC1 has a role in regulating *Pou3f2*, but rs77910749 alone does not significantly alter *Pou3f2*
272 expression at this level of tissue resolution in the developing mouse brain.

273

274 **LC1 knockout mice have normal behavior, but humanized rs77910749 knock-in mice have**
275 **defective sensory gating**

276
277 Next, we asked whether deletion of LC1 alters behavior. We subjected adult homozygous
278 LC1 KO mice and wild-type siblings to a locomotion assay and sensorimotor battery, which did
279 not detect any gross abnormalities. We then assayed the animals for the following: spatial
280 learning and memory (Morris water maze), conditioned fear, sensorimotor reactivity and sensory
281 gating (acoustic startle and prepulse inhibition), and anxiety (elevated plus maze and open field
282 test) (File S1 and SI). The LC1 KO animals appeared normal as measured by these standard
283 behavioral assays.

284 We then asked whether rs77910749 modifies mouse behavior. In homozygous
285 rs77910749 KI mice compared to wild-type siblings, no abnormalities in locomotion,
286 sensorimotor battery, Morris water maze, conditioned fear, or elevated plus maze were seen.
287 However, when subjected to acoustic startle/prepulse inhibition (PPI) testing, the homozygous
288 KI mice had a significant ($p = 0.039$, ANOVA) defect in PPI, with 22% less PPI compared to
289 WT (Fig. 5; File S2 and SI). PPI is a measure of sensory gating and correlates strongly with
290 altered cognition (thought disturbances and psychosis) in humans, and defective PPI is
291 associated with BD, especially acute mania⁶⁴. Furthermore, intact amygdala function is required
292 for normal PPI⁶⁵. Thus, rs77910749 KI mice have a specific defect in sensory gating, an
293 amygdala-dependent BD endophenotype. No deficits in a social approach test or tail suspension
294 test (measuring depressive behavior) were seen, further demonstrating the specificity of this
295 behavioral deficit (File S2 and SI)^{66,67}.

296
297 **DISCUSSION**

298
299 Here, we sought to identify the ‘causal variant’ underlying GWAS signals at the
300 *MIR2113/POU3F2* locus, which is associated with both increased intelligence and higher risk of
301 BD. Our experiments reveal a causal chain that directly links the human-specific non-coding
302 variant rs77910749 to a BD-associated phenotype. We thereby provide the first molecular
303 evidence of a mechanistic link between increased intelligence and higher risk of BD.

304 We found that rs77910749 falls within a PAX6 binding site and increases the binding
305 affinity of PAX6. We then showed that rs77910749 falls within an active enhancer, LC1, and
306 increases enhancer activity as assayed in developing mouse cerebral cortex and human cerebral
307 organoids. We found that LC1 is active in the developing cerebral cortex, amygdala, and retina.
308 CRISPR-Cas9 deletion of mouse LC1 altered *Pou3f2* expression in the amygdala. Remarkably,
309 CRISPR-Cas9 knock-in of rs77910749 (‘humanized’ mice) resulted in defective sensory gating,
310 an amygdala-dependent endophenotype seen in humans with BD.

311 While the amygdala had been strongly implicated in BD previously⁵, here we provide
312 molecular evidence of a transcriptional program affecting the amygdala, with downstream effects
313 on neuropsychiatric phenotypes. Future studies with greater spatiotemporal resolution may
314 reveal the relevant neuronal subpopulations, while environmental or pharmacological
315 perturbations of the humanized mice may elicit additional relevant phenotypes.

316 Here, we established rs77910749 as a candidate causal variant. However, we cannot rule
317 out the possibility that multiple tightly linked variants act together in a local ‘haplotype block’
318 for full phenotypic effect. The *MIR2113/POU3F2* intergenic region contains dozens of fetal
319 brain-specific DHSs, which may act together or in a functionally redundant manner^{68,69}.

320 Additionally, *Pou3f2* and *Pou3f3* have largely overlapping expression patterns in the CNS and
321 considerable functional redundancy in the mouse cerebral cortex^{14,16}. These layers of redundancy
322 reduce the likelihood that any single variant will profoundly alter neurodevelopment.
323 Nonetheless, we demonstrated that a common variant can give rise to subtle molecular and
324 behavioral changes relevant to neuropsychiatric disease. Our studies underscore the notion that
325 ostensibly positive traits, such as enhanced intelligence, may also confer susceptibility to
326 neuropsychiatric disease.

327 **METHODS**

328

329 **Reference genomes**

330

331 Unless otherwise indicated, genomic coordinates are in hg19 (human) and mm9 (mouse).

332

333 **Custom materials and antibodies**

334

335 Oligos, primers, and adapters are listed in Table S3. Buffers and media are listed in Table
336 S4. Antibody information is provided in SI.

337

338 **Animals**

339

340 Mice were kept on a 12 hour light/dark cycle at ~20-22 °C with free access to food and
341 water. Pregnant dams were euthanized with CO₂ anesthesia and cervical dislocation. For timed
342 pregnancies, mating occurred overnight and the next day was considered E0.5. All experiments
343 were conducted in accordance with the Guide for the Care and Use of Laboratory Animals of the
344 National Institutes of Health and approved by the Washington University Institutional Animal
345 Care and Use Committee. Behavioral assays are described in SI.

346

347 **DNase-seq data**

348

349 Human fetal DNase-seq data from Roadmap Epigenomics and mouse (C57BL/6) DNase-
350 seq data from ENCODE were visualized in the UCSC Genome Browser (see SI)^{31,39,70}.

351

352 **Calculation of linkage disequilibrium (LD)**

353

354 Unless otherwise indicated, LD measures (r^2 and D') are based on EUR 1000G Phase 1,
355 as calculated by HaploReg v4.1³².

356

357 **Electrophoretic mobility shift assays (EMSAs)**

358

359 Quantitative EMSAs were conducted essentially as described (see also SI)⁴⁹. Binding
360 reactions were conducted light-protected at 4 °C for 1 hr. Protein-DNA complexes were
361 separated on 10% TBE gels (Invitrogen), followed by imaging and quantification of band
362 intensities.

363

364 **Generation of transgenic reporter mice**

365

366 The LC1-*Hsp68*-LacZ construct was synthesized by cloning a 951 bp fragment of LC1
367 (chr6:98,566,099-98,567,049 in hg19, initially obtained by PCR of human gDNA) into the
368 HindIII and PstI sites of *Hsp68*-LacZ Gateway vector⁵⁰. The Sanger sequencing-confirmed
369 construct was linearized with HindIII, gel-purified, and diluted with Microinjection Buffer. DNA
370 was microinjected by the Washington University Mouse Genetics Core into fertilized eggs of
371 C57BL/6 x CBA hybrid mice and implanted into pseudopregnant dams⁷¹.

372

373 **Mouse cerebral cortex electroporations**

374 *Ex vivo* cerebral cortex electroporation of E12.5 CD-1 mouse embryos was conducted
375 essentially as described (see also SI)⁵⁸. After two days, electroporated regions were
376 microdissected under a fluorescent microscope (Leica MZ16 F) in cold HBSS with calcium and
377 magnesium and stored in TRIzol (Invitrogen) at -80 °C. Each biological replicate consisted of
378 tissue from five to eight cortices.

379

380 **Human cerebral organoid electroporations**

381

382 Cerebral organoids were cultured from human iPSCs (see SI). The CRE-seq library (1
383 µg/uL) was co-electroporated with pCAG-DsRed (1 µg/uL)⁷² into Day 88-109 organoids. After 7
384 days, organoids were rinsed with HBSS with calcium and magnesium and stored in TRIzol
385 (Invitrogen) at -80 °C. Each biological replicate consisted of eight electroporated organoids.

386

387 **CRISPR-Cas9 mice generation**

388

389 For CRISPR-Cas9 design, oligos, and genotyping, see Table S3 and SI. Microinjections
390 were conducted in a C57BL/6J background by the Washington University Mouse Genetics Core
391 and the Micro-injection Core (see SI).

392

393 **Allele-specific expression (ASE) analysis**

394

395 E14.5 embryo brains were microdissected and processed with TRIzol (Invitrogen) for
396 RNA and DNA extraction. The cDNA (from reverse transcription) and DNA underwent PCR to
397 amplify the 3'UTR of *Pou3f2* for subsequent amplicon-seq (see SI). The allelic counts of variant
398 and reference 3' UTR sequences were tabulated to calculate normalized allele-specific
399 expression.

400

401 **Data availability**

402

403 RNA-seq data are available at Gene Expression Omnibus (GEO), accession GSE117877.

404

405 **FIGURE LEGENDS**

406

407 **Figure 1. Prioritization of candidate variants at 6q16.1 associated with higher educational**
408 **attainment, enhanced cognitive performance, and elevated risk for bipolar disorder.** (A)
409 Genomic context (hg19, 1 Mb window) of the intergenic locus implicated in GWAS's of
410 educational attainment, cognition, and BD. The 0.5 Mb region identified by these studies (yellow
411 box) contains a ~60 kb 'local cluster' region (purple box) with the highest LD. All variants in LD
412 with rs9320913 ($r^2 > 0.2$) are shown. The nearest protein-coding gene, *POU3F2*, is ~0.7 Mb
413 away. DNase-seq data from three human fetal brains and four other human fetal tissues are
414 shown³¹. PhastCons depict 100-way vertebrate conservation⁷³. The UCSC Genome Browser was
415 used for visualization⁷⁰. (B) Enlarged view the 60 kb 'local cluster'. Note the fetal brain (fBrain)
416 DHSs (LC0 to LC5, pink box). Lead SNPs (red font): rs9320913 for educational attainment^{11,24},
417 rs1906252 for cognitive performance¹², rs10457441 for cognitive performance¹³, rs12202969 for
418 BD⁸, and rs1487441 for BD⁹. (C) Variants within the local cluster that are in LD with rs9320913
419 (as defined by $r^2 > 0.2$). Note the five lead SNPs (red font) and four variants that fall within LC1-
420 5 (blue font). The r^2 values (green dots) and Phred-scaled CADD scores are shown (red dots)³⁵.

421

422 **Figure 2. The candidate causal variant, rs77910749, affects PAX6 binding.** (A) The 30 kb
423 'local cluster' in the mouse genome (mm9). Mouse LC1 overlaps with E14.5 brain and P1 retina
424 DNase-seq³⁹, E14.5 forebrain p300 ChIP-seq (orange)⁴⁰, E14.5 forebrain H3K27ac ChIP-seq
425 (pink)⁴¹, and E12.5 forebrain PAX6 ChIP-seq (dark red; two replicates are shown)⁴⁷. The
426 orthologous position of human-specific rs77910749 (black vertical line in LC1) falls within the
427 PAX6 ChIP-seq peak. (B) Comparison of the reference sequence ('Ref'), sequence with
428 rs77910749 ('Var'), and PAX6 consensus motifs. The position of rs77910749 is indicated (red
429 highlighted 'T'). The reference sequence is conserved between mouse and human, and the minus
430 strand is shown. Motifs were scored using SELEX-derived position weight matrices (PWMs) for
431 PAX6 protein with PD-HD domains⁴⁸. The logo was generated in enoLOGOS⁷⁴. The E12.5
432 PAX6 ChIP-seq motif was derived from⁴⁷. (C) Quantitative EMSA assay. Reference and variant
433 probes of equal lengths were fluorescently labeled. A second probe set (not shown) in which
434 fluorescent labels were reversed yielded similar results. PAI and RED domains form the PAX6
435 paired domain (PD), which is separated by the homeodomain (HD) with a linker. (D) Left,
436 representative EMSA gel. Lanes 1-3, PD binding reaction. Lane 4, cold competition reaction
437 with PD. Lane 5, probes and marker dye only (no protein). Lanes 6-8, PD-HD binding reaction.
438 Lane 9, cold competition reaction with PD-HD. For cold competition reactions, 500-fold molar
439 excess of unlabeled vs. labeled probe was used. Right, quantification of EMSA results. Bound
440 and unbound fractions were quantified, and relative binding affinity was calculated⁴⁹. Error bars
441 indicate SEM across six binding reactions (three each from the two probe sets with reversed
442 fluorophores). Black dotted horizontal line: null hypothesis that rs77910749 has no effect on
443 affinity. $P < 0.05$ for PD and PD-HD (95% confidence interval).

444

445 **Figure 3. Transgenic reporter mice show evidence of LC1 activity in the developing CNS.**
446 Mice were generated that carried a reporter construct for wild-type human LC1 (951 bp fragment)
447 on the *Hsp68* promoter, driving the expression of LacZ, which stains blue with X-gal⁵⁰. (A)
448 Schematic of the reporter construct (drawn to scale). (B) Transient transgenic embryos. Of seven
449 genotypically positive embryos, five (#1-5 shown here) exhibited LacZ staining. Each mouse
450 represents an independent integration event. Whole mount images of lateral and frontal views;

451 light blue asterisks in the frontal views denote the approximate location of annotated regions in
452 the brain coronal sections. The entire head was embedded and cryosectioned. For the brain
453 coronal image of embryo #3, the white oval encircles sparse LacZ-expressing cells. Magnified
454 images of the eye are also shown. (C) Representative embryo from a stable transgenic line. Of
455 three genotypically positive stable transgenic lines, only this line exhibited LacZ staining.
456 Multiple embryos from this stable line had essentially identical LacZ staining patterns, as
457 expected. Lateral and frontal views are shown. Coronal section of head and corresponding
458 enlarged images of the amygdala and eye are shown. Sections were counterstained with Nuclear
459 Fast Red.

460

461 **Figure 4. The variant rs77910749 increases enhancer activity in *ex vivo* mouse brain and**
462 **human iPSC-derived cerebral organoids, and knockout of LC1 alters *Pou3f2* expression in**
463 **the developing amygdala *in vivo*.** (A) Schematic of the CRE-seq experiment. Multimers (4X) of
464 the central 200 bp of human LC1 were cloned upstream of a 3.6 kb *POU3F2* (human) promoter
465 fragment and GFP with unique 15 bp barcodes (BCs) in the 3' UTR. 'REF' indicates wild-type
466 sequence and 'VAR' indicates the presence of rs77910749 (red asterisk), whose position is
467 shown by the black vertical line. Twenty barcoded constructs were generated for each of REF,
468 VAR, and promoter-only. (B) Library delivery. Left: E12.5 mouse cerebral cortex was
469 electroporated and harvested after 2 days in culture. A vibratome section (100 μ m thickness)
470 shows library GFP expression in the deeper layers of the cerebral cortex. The co-electroporated
471 control construct, p*Dcx*-DsRed, is expressed in post-mitotic migrating neurons⁵⁹. DAPI is a
472 nuclear counterstain. Right: Human iPSC-derived cerebral organoids were electroporated and
473 harvested after 7 days in culture. A representative live image of an electroporated organoid
474 shows library GFP expression. The co-electroporated control construct, pCAG-DsRed, marks
475 electroporated cells. (C) Quantification of *cis*-regulatory activity by CRE-seq. P-values were
476 calculated with two-tailed Student's t-test. (D) CRISPR-Cas9 mutants. Sizes of deletions are
477 indicated. Note that rs77910749 'knock-in' introduces a 1 bp deletion. (E) Schematic of the ASE
478 experiment (not to scale). Mice heterozygous for an LC1 mutation were mated to mice with a
479 variant in the 3' UTR of *Pou3f2*, which served as a molecular transcript barcode (light blue
480 rectangle). Resulting 'trans-het' mice (heterozygous for both the LC1 mutation and the 3' UTR
481 variant) were analyzed for allele-specific *Pou3f2* expression at E14.5. The LC1 mutation is in *cis*
482 to the wild-type 3' UTR. To account for any effects of the 3' UTR variant alone, control animals
483 (wild-type for LC1 and heterozygous for the 3' UTR variant) were included. (F) E14.5 whole
484 brain, microdissected amygdala region, and microdissected anterior cortex were analyzed for
485 allele-specific *Pou3f2* expression in control and trans-het LC1 KO animals (left panel), and in
486 control and trans-het rs77910749 knock-in animals (right panel). Expression is normalized to
487 controls. For trans-het LC1 KO whole brain, data were pooled across two lines with nearly
488 identical deletions (see SI). P-values were calculated with two-tailed Student's t-test. Error bars
489 indicate SEM between biological replicates. Each biological replicate consisted of tissue from
490 one embryo. Sample size per condition is indicated (amygdala and anterior cortex samples were
491 collected from the same embryos). Non-significant, n.s.

492

493 **Figure 5. Prepulse inhibition (PPI) is defective in 'humanized' rs77910749 knock-in mice.**
494 Adult mice homozygous for the rs77910749 knock-in allele and wild-type (WT) siblings (age-
495 and sex-matched) underwent acoustic startle testing with prepulse inhibition (PPI) assays. The
496 knock-in (KI) animals showed defective prepulse inhibition that was statistically significant for

497 the highest decibel (db) tested ($p = 0.039$, ANOVA). Mean %PPI \pm SEM are shown (WT: $64.6 \pm$
498 3.8 , KI: 50.4 ± 5.7). Single block %PPI analysis yielded similar results (File S2). One WT
499 animal did not have a startle response at baseline and was excluded from the analysis. PPI
500 measurements were normalized to baseline startle responses. Of note, baseline startle response
501 magnitudes were lower in KI than WT animals ($p = 0.018$). Non-significant (n.s.) comparisons
502 are indicated.
503

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518

519 **CONFLICT OF INTEREST**

520

521 The authors do not have any conflicts of interest to disclose.

522

523 **AUTHOR CONTRIBUTIONS**

524

525 SQS and JCC designed the experiments. SQS, JSK, LC, and CAM conducted
526 experiments. SQS, AEH, DX, and OG conducted bioinformatic analyses. SQS and JCC wrote
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528

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- 710

Figure 1

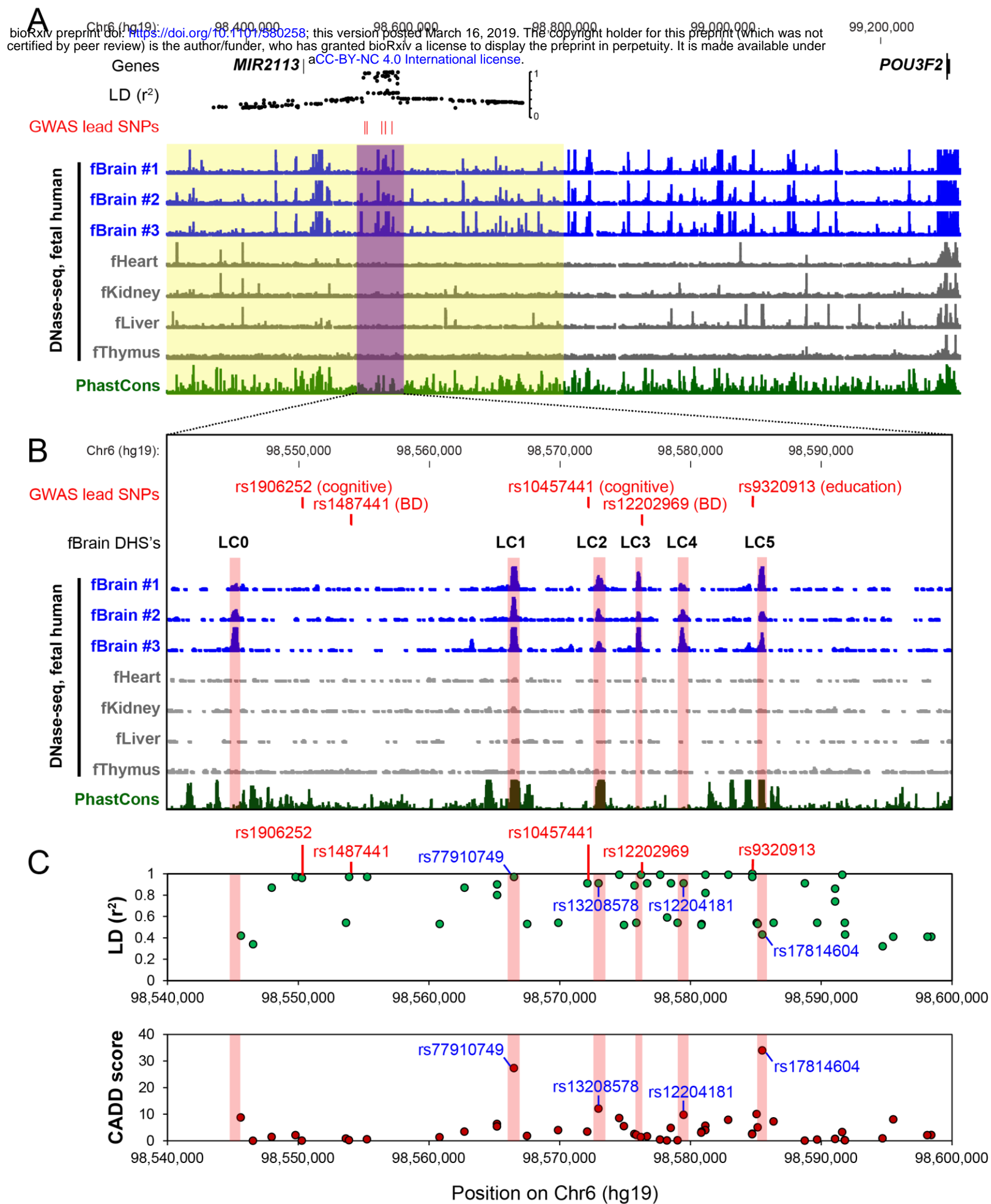


Figure 2

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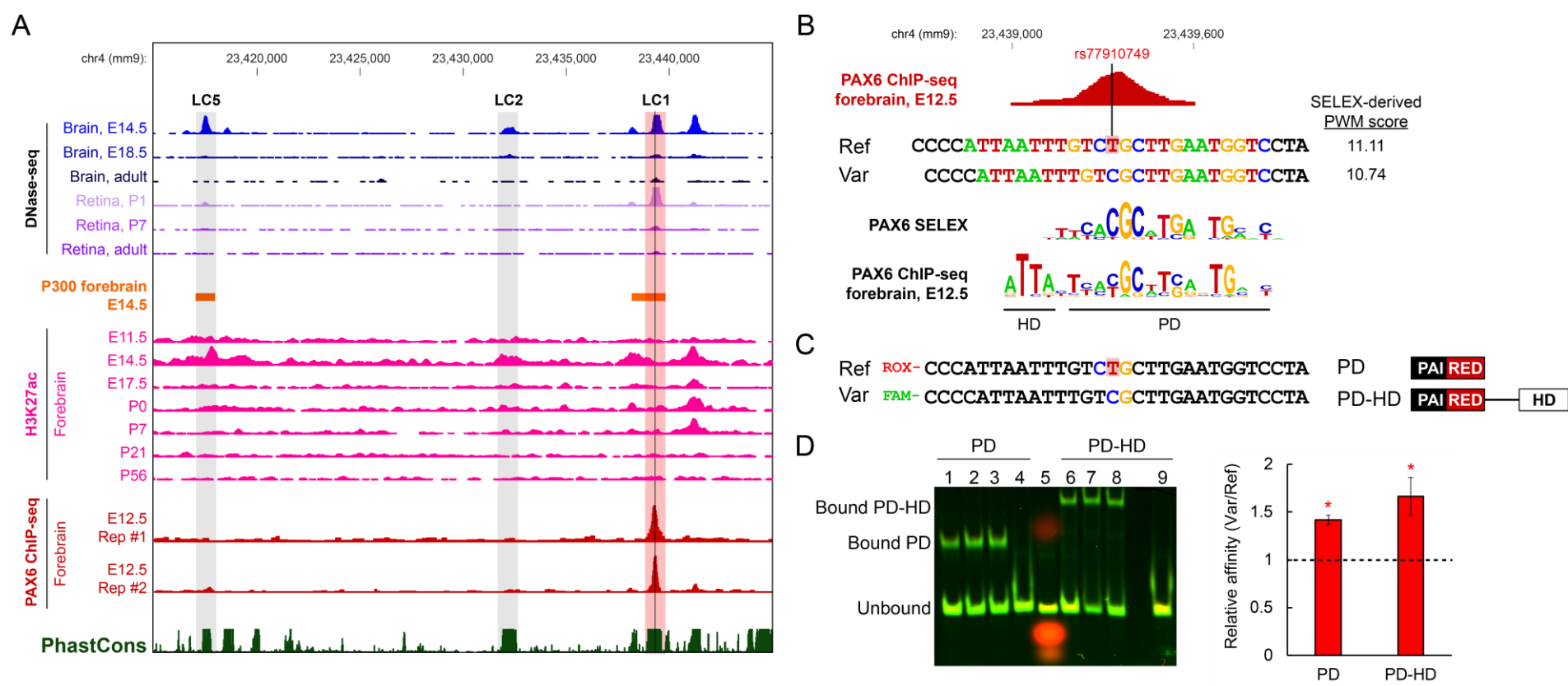
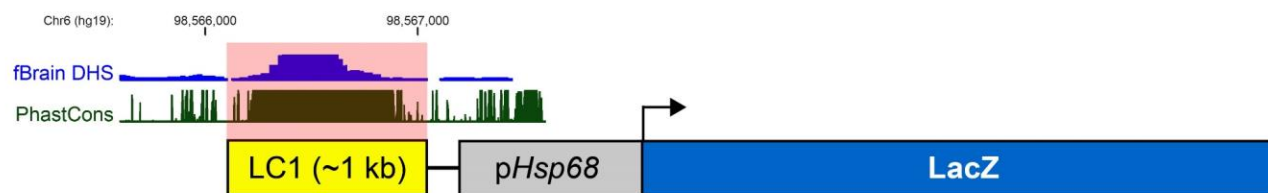


Figure 3

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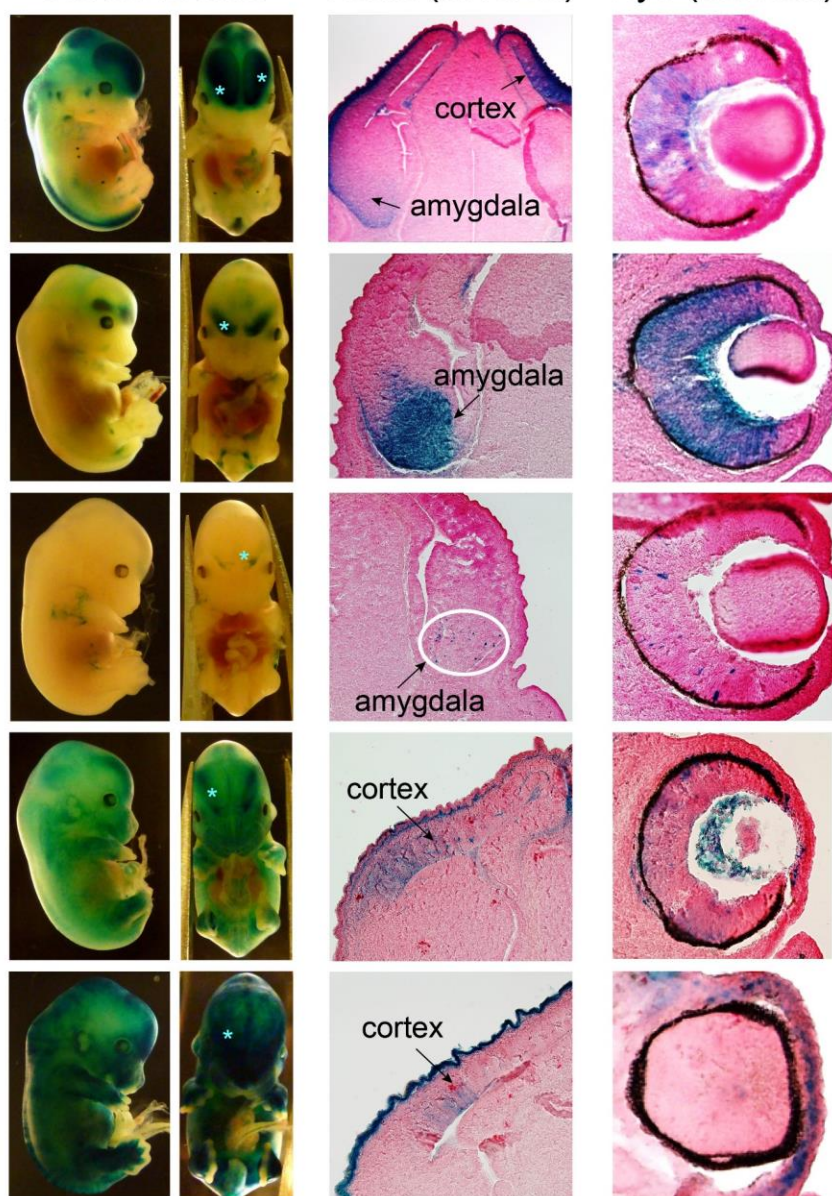
A



B

Transient transgenics

Whole mount Brain (coronal) Eye (coronal)



C

Stable transgenic line

Representative animal Coronal

Whole mount

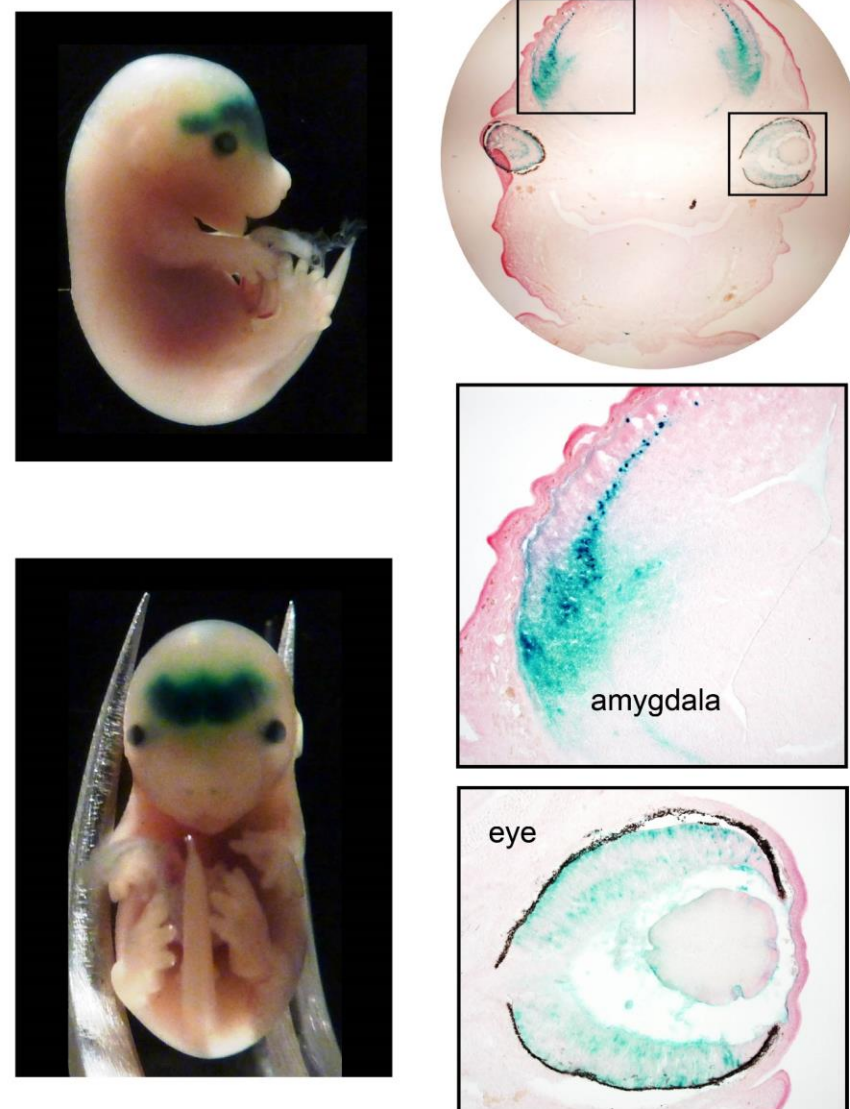


Figure 4

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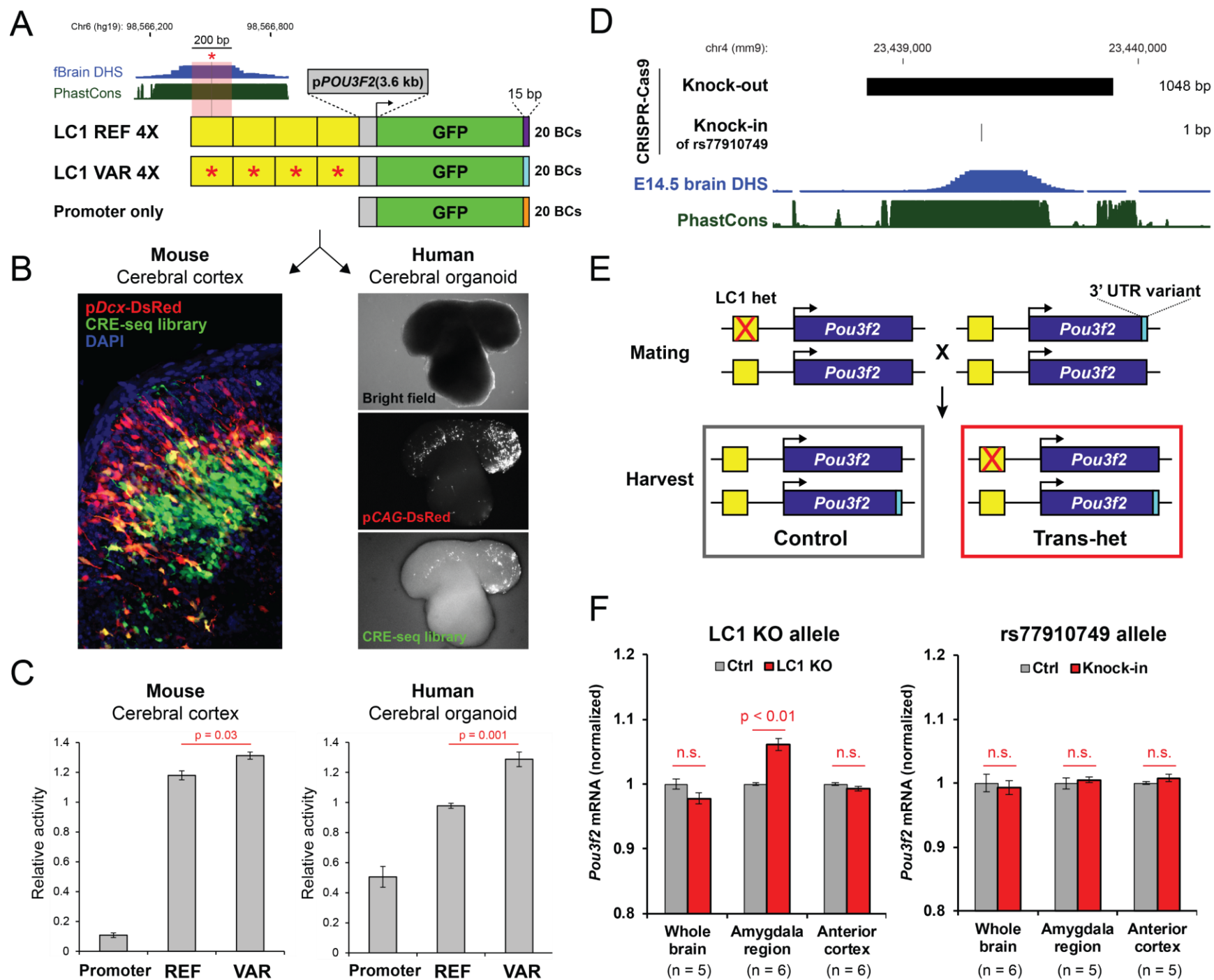


Figure 5

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