# 1 Population histories of the United States revealed through fine-scale migration and

# 2 haplotype analysis

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#### 23 Abstract

24

25 The population of the United States is shaped by centuries of migration, isolation, growth, and 26 admixture between ancestors of global origins. Here, we assemble a comprehensive view of 27 recent population history by studying the ancestry and population structure of over 32,000 28 individuals in the US using genetic, ancestral birth origin, and geographic data from the National 29 Geographic Genographic Project. We identify migration routes and barriers that reflect historical 30 demographic events. We also uncover the spatial patterns of relatedness in subpopulations 31 through the combination of haplotype clustering, ancestral birth origin analysis, and local 32 ancestry inference. These patterns include substantial substructure and heterogeneity in 33 Hispanics/Latinos, isolation-by-distance in African Americans, elevated levels of relatedness 34 and homozygosity in Asian immigrants, and fine-scale structure in European descents. Taken 35 together, our results provide detailed insights into the genetic structure and demographic history 36 of the diverse US population. 37

38 Keywords: population genetics, human history, human genomics, USA

39 Main Text: 3,765 words (excluding Methods, References, and Figures), 6 figures, 1 table

#### 40 Introduction

41

The United States population is a diverse collection of global ancestries shaped by migration from distant continents and admixture of migrants and Native Americans. Throughout the past few centuries, continuous migration and gene flow have played major roles in shaping the diversity of the US. Mixing between groups that have historically been genetically and spatially distinct have resulted in individuals with complex ancestries while within-country migration have led to genetic differentiation.<sup>1–6</sup>

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49 Previous genetics studies of the US population have sought to disentangle the relationship 50 between the genetic ancestry and population history of African Americans, European 51 Americans, and Hispanics/Latinos. In African Americans, proportions of African, European, and 52 Native American ancestry vary across the country and reflect migration routes, slavery, and 53 patterns of segregation between states.<sup>2,3,7</sup> European American ancestry is characterized by 54 both mixing between different European populations as well as admixture with non-European populations.<sup>6,8,9</sup> Isolation and expansions in certain European population have also resulted in 55 founder effects.<sup>10,11</sup> The mixing of European settlers with Native Americans have contributed to 56 57 large variations in the admixture proportions of different Hispanic/Latino populations.<sup>1,4,5</sup> Among 58 Hispanics/Latinos, Mexicans and Central Americans carry more Native American ancestry; 59 Puerto Ricans and Dominicans have higher African ancestry; and Cubans have strong European ancestry.<sup>1,4</sup> Although much effort has been made to understand the genetic diversity 60 61 in the US, fine-scale patterns of demography, migration, isolation, and founder effects are still 62 being uncovered with the growing scale of genetic data, particularly for Latin American and African descendants with complex admixture history.<sup>12,13</sup> At the same time, there has been little 63 64 research on the population structure of individuals with East Asian, South Asian, and Middle 65 Eastern ancestry in the US.

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In addition to being of anthropological interest, understanding fine-scale human history and its
role in shaping genetic variation is also important for interpreting the genetic basis of biomedical
traits. Currently, these roles are best understood in European populations due to Eurocentric
biases in studies.<sup>14,15</sup> Consequently, translational interpretability gaps are evident in nonEuropean populations: more variants of unknown significance are identified via genetic testing;<sup>16</sup>
polygenic risk scores for complex disease risks are much less accurate;<sup>15,17</sup> and false positive
genetic misdiagnoses are more common.<sup>18</sup> Thus, studies of diverse, heterogeneous populations

offer substantial value to both our understanding of population history and biomedical

- 75 outcomes.<sup>19</sup>
- 76
- 77 In this study, we comprehensively explore the population structure and migration history of over
- 78 32,000 genotyped individuals in the US who partook in the National Geographic Genographic
- 79 Project, a not-for-profit public participation research initiative to study human migration history.<sup>20</sup>
- 80 Here, we identify patterns of genetic ancestry and haplotype sharing among the project
- 81 participants. We combine these patterns with ancestral birth origin records and geographic
- 82 information to uncover recent demographic and migration trends. Taken together, we provide
- 83 insights into the ancestral origins and complex population histories in the US.
- 84 85

### 86 Results

87

## 88 Genetic ancestry and diversity across the United States

- 89 To assess the diversity of ancestries among individuals in the Genographic Project, we first
- 90 performed PCA and ADMIXTURE analysis (Figure 1A-C; Figure S1-S2).<sup>21,22</sup> Since self-
- 91 reported ancestry does not always reflect genetic ancestry, we objectively assigned continental
- 92 ancestry to each Genographic sample using the 1000 Genomes Project data as reference
- 93 populations (**Methods and Materials**). We first trained a Random Forest classifier on the first
- 10 principal components (PCs) of the 1000 Genome Project samples with super population
- 95 classifications as ancestry labels (EUR = European, AMR = Admixed American, AFR = African,
- 96 EAS = East Asian, SAS = South Asian). We then used the trained model to assigned continent
- 97 ancestry to each individual in the Genographic cohort at 90% confidence. A total of 3,028
- 98 individuals (9.3% of total) did not meet the classification threshold, although many have
- 99 ancestry patterns similar to other European individuals (Figure 1C; Table S1). The inability to
- 100 classify these individuals may be due to the complex and variable admixture profiles of certain
- 101 populations such as Hispanics/Latinos.
- 102
- 103 Regional differences in genetic ancestry proportions correspond to historical demographic
- 104 trends. We evaluated the admixture proportions of classified individuals across the four
- 105 designated US Census regions: South, Northeast, Midwest, and West (Figure 1C; Figure S2).
- 106 Individuals of European descent make up the majority (78.5%) of the Genographic cohort and
- are the most prevalent in the Midwest (82.8% of individuals in the Midwest; P<0.01, Fisher's

108 exact test; **Table S1**). Individuals classified as having African ancestry are most common in the

- 109 South (3.2%), followed by the Northeast (3.0%). Individuals of Native American ancestry are
- 110 most prominent in the West and South (9.7% and 7.8% of total individuals in the West and
- 111 South, respectively; P<0.05, Fisher's exact test). East Asians mostly reside in the West (2.1%),
- 112 while South Asians are most abundant in the Northeast (1.0%).
- 113
- 114 To uncover population substructure, we performed dimensionality reduction with Uniform
- 115 Manifold Approximation and Projection (UMAP) on the first 20 PCs of a combined Genographic
- and 1000 Genomes Project dataset.<sup>23,24</sup> By leveraging multiple PCs at once, UMAP can
- 117 disentangle subcontinental structure (**Figure 1D-E; Figure S3-S4**). Similar to previous
- analysis,<sup>24</sup> populations in the 1000 Genomes Project form distinct clusters corresponding to
- ancestry and geography. The Genographic individuals project into several clusters, overlapping
- 120 with the 1000 Genomes Project clusters. Consistent with the PCA and ADMIXTURE analysis,
- 121 the largest clusters correspond to European ancestry and cluster closely with the 1000
- 122 Genomes CEU and GBR populations (CEU=Utah Residents with Northern and Western
- 123 European Ancestry, GBR=British in England and Scotland).
- 124

125 While UMAP is a visualization tool with no direct interpretation on genetic distance, the 126 continuum of points connecting UMAP clusters reflects the varying degrees of estimated 127 admixture between different continental ancestries. In particular, the complex population 128 structure of Hispanics/Latinos is shown by the points spanning between the clusters of 129 European, Native American, and African ancestry. Coloring of these points based on ancestry 130 proportions affirms the relationship between the degree of admixture and their relative position 131 between reference clusters. Interestingly, African American individuals from both datasets form 132 a single continuum from the European cluster to the Yoruba (YRI) and Esan (ESN) populations 133 of Nigeria in the 1000 Genomes Project, indicative of the West African origins of most African 134 Americans. This observation is consistent with and further expands the previous finding that the 135 African tracts in the admixed 1000 Genomes populations of ACB and ASW were previously 136 found to be similar to the Nigerian YRI and ESN populations.<sup>2,17</sup> 137

138 **Population differentiation and migration rate inference across the United States** 

- 139 To better understand the relationship between genetics and geography, we investigated
- 140 migration rates for genetically inferred Europeans, African Americans, and Hispanic/Latinos
- 141 across the United States. We excluded East Asians and South Asians due to small sample size

and limited our analysis to the contiguous 48 states. We inferred effective migration rates with
the estimating effective migration surfaces (EEMS) method,<sup>25</sup> which statistically characterizes
genetic differentiation via resistance distance across non-homogenous landscapes. By
overlaying a dense regular grid of demes and measuring genetic dissimilarities between
neighboring demes, EEMS quantifies and visualizes areas with high relative rates of effective
migration (colored in blue) and areas with low relative rates of effective migration (also called
migration barriers and colored in dark orange).

149

150 The inferred migration rates for African Americans reveal genetic signatures of historical 151 demographic events (Figure 2A; Figure S5). Along the Atlantic coast from the Florida 152 Panhandle to southern Maine, we find high effective migration rates, indicating the constant 153 migration and similar effective population sizes of African Americans in these states. However, 154 we also observe a strong north-south barrier to migration starting along the Appalachian 155 Mountain Range, continuing north up the Mississippi River, and extending west across the rest 156 of the country. This migration barrier, along with the migration barrier spanning Texas and New 157 Mexico, reveals a pattern of isolation-by-distance that is consistent with the Great Migration 158 from the 1910s to the 1960s in which an estimated 6 million African Americans migrated out of the South to cities across the Northeast, Midwest and West.7,26 159

160 161 A highly

A highly complex pattern of migration exists amongst Hispanics/Latinos with varying migration 162 rates across the country, capturing regional patterns of genetic similarity. Hispanics/Latinos in 163 the southwestern states including two regions bordering Mexico--one in California and another 164 extending from New Mexico to Texas--exhibit high effective migration rates and are separated 165 by a migration barrier in Arizona (Figure 2B; Figure S5). These two distinct regions likely reflect known differences in northward migration from east versus west Mexico.<sup>8,27</sup> Along the Atlantic 166 167 coast from Florida to New York, effective migration has also been fluid. However, barriers to 168 migration are observed west of the Atlantic coast to the Mississippi River, likely resulting from 169 varying admixture proportions.

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The patterns of migration for Europeans capture subcontinental structure. Elevated migration
rates are observed across most of the country, except for many states in the Midwest and along
the Atlantic coast. We find low effective migration rates surrounding Minnesota and North
Dakota, potentially due to the genetic dissimilarity of Finnish and Scandinavian ancestry
abundant in the region (Figure 2C; Figure S5).<sup>8</sup> We also find reduced migration rates across

176 Ohio, West Virginia, and Virginia, suggesting the existence of genetic differentiation along the

- 177 Appalachian Mountains. Many of the major cities, such as Chicago, Philadelphia, and Miami,
- are also barriers to migration, perhaps due to higher admixture proportions within cities. The
- 179 migration barrier encompassing metropolitan New York City may be explained in part by the
- 180 presence of divergent European populations, such as Ashkenazi Jews (Figure 2C).
- 181

# 182 Coupling fine-scale haplotype clusters and multigenerational birth records uncovers

# 183 distinct subcontinental structure

184 To disentangle more recent and subtle population structure, we performed identity-by-descent 185 (IBD) clustering on the Genographic cohort and annotated clusters using multigenerational self-186 reported birth origin data. We first built an IBD network from pairwise IBD sharing among 31,783 187 unrelated individuals. In this network, vertices represent individuals and edges represent the 188 cumulative IBD (in centimorgans, cM) between pairs of individuals. We employed the Louvain 189 method, a greedy heuristic algorithm, to recursively partition vertices in the graph into clusters that maximize modularity at each level of hierarchy.<sup>8,28</sup> The clusters of individuals resulting from 190 191 each iteration can be interpreted as having greater amounts of cumulative IBD shared between 192 individuals within the cluster than with those outside of the cluster. To aid in the interpretation of 193 the clusters, we merged clusters with low genetic differentiation ( $F_{ST} < 0.0001$ ) at the lowest 194 level of hierarchy, resulting in a final set of 25 clusters (**Table 1**). We annotated each cluster 195 based on ancestral birth origin and ethnicity data and constructed a neighbor-joining tree based 196 on the F<sub>ST</sub> values (Figure 3). 98% of the 3,028 individuals that were not classified by our 197 Random Forest model were assigned to a haplotype cluster. No single cluster was 198 overrepresented by unclassified individuals, as unclassified individuals comprised of 8-11% of 199 each cluster.

200

201 Genetic and geographic diversity is greatest amongst Hispanic/Latino haplotype clusters. We 202 identified a total of five Hispanic-related clusters. The largest of these cluster (n=810) is strongly 203 associated with south Florida (OR = 10.4; p = 2.5e-25; Figure 4, Table S4) but is also found in 204 California, and Texas ( $OR \ge 2$ ; p < 0.05). No single ancestral birthplace characterizes this 205 cluster, as the US, Mexico, and Cuba each make up more than 10% of the birth origin labels. Proportions of European ancestry tracts inferred with RFMix<sup>29</sup> are higher in this cluster (mean = 206 207 72.7%, sd=20.4%) than in the other Hispanic/Latino clusters (mean = 48.0% - 67.4%). Puerto 208 Ricans characterize a substantial proportion of another Hispanic/Latino cluster associated with 209 Florida (OR > 4), as well as New York City (OR > 5). Unlike the other Hispanic clusters, the

Puerto Rican cluster shares the same branch on the  $F_{ST}$  tree as the African American clusters, likely due to high proportions of African ancestry (mean = 11.2%, sd = 9.0%) among Puerto Ricans.

213

214 Three distinct clusters of Hispanics were found in the Southwest (Figure 4): one strongly 215 associated with New Mexico (OR > 4; p < 0.05), another primarily in Texas (OR > 3; p < 0.05). 216 and the third associated with Southern California (OR > 2; p < 0.05). Combined with the EEMS 217 analysis, these clusters confirm our observation of parallel migration routes from east and west 218 Mexico into Southwestern United States. While the genetic differentiation of these three clusters 219 are subtle (F<sub>ST</sub>=0.001-0.003), ancestral birth origin patterns and local ancestry proportions for 220 these clusters reveal meaningful dissimilarities. Whereas the majority of Hispanics in New 221 Mexico report US ancestral birth origins through grandparents, the recent ancestors of 222 Hispanics in Texas are predominantly from Mexico. Nonetheless, these two clusters share 223 similar local ancestry proportions with only slight genetic dissimilarity that result in a moderate 224 decrease in migration rate (from darker blue to light blue in **Figure 2B**). The reduced migration 225 rate along the Texas-Mexico border may be caused by more recent immigrants. Unlike the 226 Hispanic clusters associated with New Mexico and Texas, the Hispanics in California cluster 227 contain greater proportions of ancestors from Central and South American (e.g., Colombia and 228 El Salvador). Proportions of Native American ancestry is also highest in this cluster (Figure 4). 229 Taken together, these two differences further explain the presence of the migration barrier in 230 Arizona between the Hispanics in the California and the Hispanics in New Mexico.

231

232 Historical immigration of Europeans into the US occurred in successive waves, with Northern 233 and Western Europeans making up one wave from the 1840s to 1880s and another wave 234 comprising of Southern and Eastern Europeans occurring from the 1880s to 1910s.<sup>30</sup> Consistent 235 with this immigration pattern, haplotype clusters with ancestries from Northwest and Central 236 Europe have higher proportions of US ancestral birth origins than haplotype clusters from 237 Southern and Eastern Europe, suggesting earlier immigration (Figure 5). The two clusters with 238 the highest proportion (>75%) of US ancestral birth origin ("Northwest Europe 1" and "Northwest 239 Europe 2") have ~4.5% of UK ancestral origins. The Central European cluster and the Irish 240 cluster both have 66.1% and 68.5% of US ancestral origins, respectively. In contrast, the US 241 makes up only 62.2% and 34.5% of ancestral birth origin for the clusters of Southern Europeans 242 and Eastern Europeans, respectively.

243

244 Unlike the larger European clusters, the smaller European clusters reflect the structure of recent 245 immigrants and genetically isolated populations, recapitulating earlier findings.<sup>8</sup> The geographic 246 distributions of these subpopulations are more concentrated, and their ancestral birth origin 247 proportions are overrepresented by specific countries and ethnicities (Figure 6). Specifically, 248 Finns and Scandinavians are abundant in the Upper Midwest and Washington; French 249 Canadians are found in the Northeast: Acadians are present in the Northeast and Louisiana: 250 and Italians, Greeks, Ashkenazi Jews, and Admixed Jews are mostly located in the metropolitan 251 area of New York City. Of the European clusters, median cumulative IBD sharing and cROH 252 lengths are highest amongst Ashkenazi Jews (31.8cM and 11.3 Mb, respectively; **Table 1**). The two Jewish-related clusters were identified using self-reported ancestral ethnicity data rather 253 254 than birth origin data, since Jewish ancestry is not specific to any single location. Jewish 255 ancestry, particularly Ashkenazi Jewish ancestry, was more consistently reported on both sides 256 of the family in the larger Jewish cluster ("Ashkenazi Jewish"), suggesting that individuals are 257 more admixed in the smaller cluster ("Admixed Jewish"). 258

259 We inferred two haplotype clusters of African Americans separated along a north-south cline, 260 recapitulating the EEMS migration barrier inference. One cluster is primarily distributed amongst 261 the northern and western states ("African Americans North") while the other is distributed 262 amongst the states southeast of the Appalachian Mountains ("African Americans South") 263 (Figure S7). The proportion of US birth origin is higher in the northern cluster than the southern 264 cluster, further evidence of isolation by distance amongst African Americans in the north.<sup>7</sup> These 265 two clusters share similar cROH lengths but differ in admixture proportions and median IBD 266 sharing, pointing to a cluster with consistent African American ancestors and a cluster with more 267 admixed ancestors. Median IBD sharing is higher amongst African Americans in the south 268 (median IBD = 19.6 cM, median cROH = 3.3 Mb) than in the north (median = 15.9 cM; Table 1) 269 while the average proportion of African ancestry is higher in the northern cluster than the 270 southern cluster.

271

Four of the clusters reflect recent immigrants from Asia (**Figure S8**), which grew rapidly in the mid-20th Century after the elimination of national origin quotas.<sup>31</sup> The recency of immigration among these clusters is indicated by the less than 30% of ancestral birth origins coming from the US. Geographically, individuals in these clusters primarily reside in major cities. East Asians predominantly inhabit the metropolitan areas of the West and Northeast (OR > 2), Southeast Asians are enriched in the West (OR > 2.5), and South Asians are strongly associated with the

278 Northeast (OR > 2.5). Despite its small size, the cluster of Middle East individuals reflects many

of the known demographic patterns of Arab Americans, as individuals in this cluster are

primarily of Lebanese origin and are distributed in the Northeast as well as metropolitan Detroit.

cROH lengths are particularly long for South Asians (median cROH = 10.3 cM), Southeast

Asians (median cROH = 7.8 cM), and Middle Easterners (median cROH = 8.2 cM), potentially

283 reflecting inbreeding patterns found in their ancestral regions.<sup>32</sup>

284

# 285 Discussion

286

287 As the US population is becoming increasingly diverse, genomic studies are simultaneously 288 growing in scale and relevance; to increase scientific and ethical parity, these studies must 289 move beyond the current practice of evaluating genetically homogenous groups in isolation.<sup>15</sup> 290 Here, we provide an integrative framework for analyzing population structure in ancestrally 291 heterogeneous individuals. Our comprehensive approach has allowed us to capture spatial 292 patterns of gene flow within and between subpopulations that are difficult to infer from a single 293 method alone. For example, EEMS is limited in identifying unique subpopulations, while 294 haplotype clustering cannot assign partial membership for admixed individuals to multiple 295 clusters. An integrative approach can thus enable greater insights into populations with complex 296 histories.

297

298 Consistent with prior studies,<sup>4,9</sup> the recent demographic history of Hispanic/Latino populations is 299 complex. Large variations in admixture proportions within and between subpopulations are 300 reflected by US Census data and can likely be explained by numerous inferred migration 301 barriers. For example, regional differences in the Southwest are highlighted by an inferred 302 migration barrier in Arizona and distinct haplotype clusters surrounding this region. These 303 differences are likely due to higher proportions of Native American ancestry as well as more 304 Central and South American origins in the California Hispanics/Latinos compared to other 305 southwestern Hispanic/Latinos. Interestingly, although the New Mexican cluster is distinct from 306 the Texan cluster, high levels of gene flow are inferred from southern New Mexico to central 307 Texas, suggesting that certain individuals in these two clusters are genetically similar and may 308 share an ancestral origin (i.e. Mexico). In contrast, those in northern New Mexico are more 309 genetically differentiated, as indicated by a migration barrier, but share the same cluster; these 310 are likely Nuevomexicanos, descendants of Spanish colonial settlers.

311

312 The fine-scale population structure of African Americans also reflects known historical events 313 following the transatlantic slave trade, during which millions of West Africans were forcibly 314 moved to the Americas. Subsequently, the movement of African Americans during the Great 315 Migration has been shown to correlate with current patterns of relatedness across US census 316 regions.<sup>7</sup> Our results show barriers to migration and gene flow at fine-scale, particularly along 317 the Appalachian Mountains. A north-south migration barrier is also present west of the 318 Mississippi River, and is further supported by the north-south locations of two African American 319 clusters that emphasize this divide. The southern African American cluster contains more recent 320 ancestors outside the US, particularly of Caribbean origin, than the northern African American 321 cluster. These genetic signatures illustrate the impact of recent migration patterns on modern 322 population structure.

323

324 Our ability to identify population structure for certain ancestries is subject to participation among 325 individuals from those groups. In particular, individuals with Asian ancestries account for over 326 5% of US population, but they are underrepresented in US population genetics studies, 327 hindering the investigation of their ancestry in prior studies.<sup>8</sup> Our analyses of East Asian, 328 Southeast Asian, South Asian, and Middle Eastern populations therefore provide initial insights 329 into their genetic structure. The ancestral origins and geographic distributions of these clusters 330 are consistent with US Census reports. Since these populations descend from more recent 331 immigrants, the observed patterns of homozygosity within several of these clusters likely reflect 332 consanguinity patterns in some of their ancestral regions. Specifically, the long cROH in South 333 Asians may reflect endogamy for example related to the caste system in India, while similar 334 patterns among the Middle Eastern and Southeast Asian clusters may be capturing consanguineous marriage practices in those regions.<sup>33–35</sup> Given the small size of these clusters, 335 336 however, further studies of more individuals are needed.

337

Population history in the US is best characterized among the most populous European descent
individuals. Genetic diversity tends to be highest in more densely populated regions, likely due
to the presence of multiple subpopulations in the same place. Many of the European
subpopulations we identified are similar to those previously found—e.g., French Canadians,
Acadians, Scandinavians, and Jews (Supplementary Discussion).<sup>8</sup> The geographic distribution
of these subpopulations, particularly those that are more genetically diverged, overlap in the
metropolitan areas in the Northeast, Midwest, and California.

345

346 The precision of population labels assigned to clusters of individuals is a function of 347 demographic complexity and sample size. For example, Finnish ancestry is clearly European 348 but genetically distinct from several other European populations due to historical bottlenecks. 349 making this ancestry cluster relatively easily separable. By contrast, most Americans of 350 European descent have heterogeneous ancestors from several northwestern European 351 countries who have admixed over time. Additionally, while we identify and describe some 352 substantial structure among Hispanic/Latino populations, considerably more is likely to exist and 353 remains to be learned from larger and more diverse future studies. Similarly, sub-regional 354 resolution into the ancestry of recent Asian immigrants to the US has been relatively limited in 355 population genetics studies, and the structure of this immigration will be learned from larger 356 future studies. Additional considerations relating to population label precision are the accuracy 357 of self-reported birth records and variable granularity of geopolitical boundaries. 358

359 The emergence of biobank-scale genomic data is enabling more complete pedigrees,<sup>36</sup> greater 360 discoveries of fine-scale population structure, and more precise insights into health-related 361 associations. An estimated 26 million people have taken a direct-to-consumer ancestry test,<sup>37</sup> 362 indicating widespread interest in ancestry and heritable factors. As participation in genetic 363 studies increase, especially in the US with the All of Us Research Program, so does the need 364 for inferring more granular demographic histories in study cohorts. Understanding such structure 365 is important to account for stratification, prevent the overgeneralization of results, and avoid exacerbating existing biases.<sup>14,15</sup> This study demonstrates the potential of coupling genetic data 366 367 with geographic and birth origin data to reconstruct such demographic histories, particularly in a 368 large and heterogeneous population.

#### 369 Materials and Methods

370

#### 371 Human Subjects

The Genographic Project and Geno 2.0 Project received full approval from the Social and Behavioral Sciences Institutional Review Board (IRB) at the University of Pennsylvania Office of Regulatory Affairs on April 12, 2005. The IRB operates in compliance with applicable laws, regulations, and ethical standards necessary for research involving human participants. All data in this study came from participants that consented to have their results be used in scientific

- 377 research. All data was deidentified.
- 378

379 Participants provided genotype data, geographic location (postal code), ancestral birth origin,

380 and self-declared ethnicity. We limited our study to those individuals who provided valid

381 geographic location. Ancestral birth origin and self-declared ethnicity data were collected up to

the grandparents of the participants with ~60% of individuals provided complete pedigrees.

383

# 384 Genotyping and Quality Control

385 Participants of the Genographic project were sequenced with the GenoChip array,<sup>20</sup> an Illumina

iSelect HD custom genotyping bead array with approximately 150,000 Ancestry Informative

- 387 Markers. Quality control and phasing of data is described in Supplemental Materials and
- 388 Methods. After QC, 32,589 individuals and 108,003 sites remained.
- 389

## 390 Principal Component Analysis

391 We performed principal component analysis on the quality-controlled samples using FlashPCA

version 2.0.<sup>22</sup> We included the genotypes of all 2,504 individuals from the 1000 Genomes

393 Project as reference samples. We computed PCs across 108,003 shared sites for 1000

- 394 Genome Project individuals and then projected the Genographic individuals on the same
- 395 principal component space.
- 396

## 397 Continental Ancestry Assignment

- 398 We assigned continental ancestry to each Genographic sample by using a random forest
- 399 classifier. Using the PCs and known super population assignment (AFR=African,
- 400 EUR=European, EAS=East Asian, AMR=American, and SAS=South Asian) from the 1000
- 401 Genome Project samples as training data, we applied the classifier to assign ancestry to each

402 Genographic sample at 90% probability. We considered unassigned ancestries as "other"

- 403 (OTH).
- 404

### 405 Genetic Ancestry Proportion Estimation

406 We estimated admixture proportions using ADMIXTURE by first analyzing the 1000 Genomes

- 407 Project in unsupervised mode to learn allele frequencies.<sup>21</sup> Then, we projected the learned allele
- 408 frequencies onto the Genographic samples to obtain the admixture proportions. We ran
- 409 ADMIXTURE with k=2-9 and chose k = 5 as the most stable representation.
- 410

# 411 **UMAP**

412 We applied the Uniform Manifold Approximation and Projection (UMAP) method to visualize

- 413 subcontinental structure.<sup>23,24</sup> We first combined the PCs of the Genographic samples and the
- 414 1000 Genome Project samples into one dataset. We then applied UMAP on the first 20 PCs
- 415 from the joint dataset to produce a two-dimensional plot. We tested various parameter choices
- 416 for UMAP and found that the default nearest neighbor value of 15 and the minimum distance
- 417 values of 0.5 delivered the clearest result. Coloring of UMAP plots are described in the
- 418 Supplemental Materials and Methods.
- 419

# 420 Estimating Effective Migration Surfaces

421 We estimated migration and diversity relative to geographic distance using the estimating 422 effective migration surfaces (EEMS) method for Genographic individuals that were classified under African, European, and Native American ancestries.<sup>25</sup> We excluded East Asian and South 423 424 Asian ancestries due to low sample size and density. We used unrelated individuals with 425 available postal code data. We first computed pairwise genetic dissimilarities with the EEMS 426 bed2diffs tool and then ran EEMS with runeems snps, setting the number of demes to 500. Per 427 the recommendation in the manual, we adjusted the variance for all proposed distributions of 428 diversity, migration, and degree-of-freedom parameters such that all were accepted 10%-40% 429 of the time. We increased the number of Markov chain Monte Carlo (MCMC) iterations until it 430 converged.

431

## 432 Haplotype Calling and Network Construction

433 We used IBDSeq version r1206 to generate shared identity-by-descent (IBD) segments from

434 genotype data for all unrelated individuals.<sup>38</sup> Unlike other IBD detection algorithms, IBDseq does

435 not reply on phased genotype data and is less susceptible to switch errors in phasing that can

436 cause erroneous haplotype breaks. We filter for IBD segments greater than 3cM. We removed 437 segments that overlapped with long chromosomal regions (1 Mb) that had no SNPs across all 438 unrelated individuals. These sites can result in false positives IBD sharing and likely correspond 439 to centromeres and telomeres. We calculate the cumulative IBD sharing between individuals by 440 summing the length of all shared IBD segments. We then constructed a haplotype network of 441 unrelated individuals by defining vertices an individuals and edge weights between vertices as 442 the cumulative IBD sharing between individuals. We filtered to keep edges with cumulative IBD 443 sharing is  $\geq 12$  cM and  $\leq 72$  cM, as previously described.<sup>8</sup>

444

# 445 Detection of IBD Clusters

446 To identify clusters of related individuals in the haplotype network, we used the Louvain Method 447 implemented in the igraph package for R. The Louvain Method is a greedy iterative algorithm 448 that assigns vertices of a graph into clusters to optimize modularity (a measure of the density of 449 edges within a community to edges between communities). The Louvain Method begins by first 450 assigning each node as its own community and then adds node *i* to a neighbor community *j*. It 451 then calculates the change in modularity and places *i* in the community with that maximizes 452 modularity. The algorithm repeats this continuously and terminates when no vertices can be 453 reassigned.

454

We partitioned the haplotype network into clusters by recursively applying the Louvain Method within subcommunities. At the highest level, we take the full, unpartitioned haplotype graph and identify a set of subcommunities. We isolate the vertices within each subcommunity, keeping only the edges between those vertices to create separate new networks. We then apply the Louvain Method to the new subgraphs. We repeat this process up to four levels. We combined subcommunities with low genetic divergence based on  $F_{ST}$  values of < 0.0001.

461

#### 462 Annotation of IBD Clusters

We used a combination of ancestral birth origins and self-reported ethnicities to discern demographic characteristics of each cluster. For each cluster, we quantified the proportion of each birth origin (i.e. country of origin) amongst all four grandparents, treating each grandparent's origin equality. We use these proportions to inform population labels. Clusters in which a single non-US birth origin was in high proportions was labeled with that country. In cases where multiple non-US birth locations exists in approximately equally high proportions,

469 we assigned a label representing the broader region (e.g. Eastern Europeans for Poland,

- 470 Lithuania, Ukraine, and Slovakia; East Asia for Japan, China). For certain clusters, annotations
- 471 could not be easily discerned by birth origin data. In these cases, we relied on self-reported
- 472 ethnicities to label the clusters as these populations were found to be less associated with a
- 473 non-US country (e.g. Ashkenazi Jews) or the population has resided in the US for generations
- 474 (African Americans, Acadians).
- 475

# 476 Mapping IBD Clusters

- We mapped individuals using their present-day geographic location. We aggregated individuals
  from the same county using the postal code to county FIPS code mapping provided by the US
- 479 Census. Longitude and latitude points of each county was found using the same data from the
- 480 US Census. We identified enriched counties for each cluster by performing a Fisher's exact test
- 481 on each county that had ≥30 individuals to obtain an odds ratio and significance value. We
- 482 mapped only counties with statistically significant (p<0.05) enrichment and an odds ratio (OR) of
- greater than 1. The size of the circles is scaled to the number of individuals in each location.

# 485 Runs of Homozygosity

- 486 We used PLINK v1.90b3.39 to infer runs of homozygosity with a window of 25 SNPs.<sup>39</sup> We
- 487 calculated the cumulative runs of homozygosity (cROH) size by summing the lengths of
- 488 homozygous segments.
- 489

# 490 Local Ancestry Inference

- We inferred local ancestry with RFMix v1.5.4 for Genographic samples in clusters that were
   annotated as Hispanics/Latinos and African Americans.<sup>29</sup> We used samples of African (LWK,
- 493 MSL, GWD, YRI, ESN, ACB, and ASW; N = 661), European (CEU, GBR, FIN, IBS, and TSI; N
- 494 = 503), and Native American (MXL, PUR, CLM, and PEL; N = 347) ancestry from the 1000
- 495 Genomes Project as the reference population. We ran RFMix with the default minimum window
- size (0.2 cM) and a node size of 5 with the flags: -w 0.2, -n 5. Global ancestry proportions were
- 497 derived by quantifying the proportions of total local ancestry tracts for each ancestry.
- 498

# 499 Genetic Divergence

500 We computed weighted Weir-Cockerham F<sub>ST</sub> estimates for each pair of haplotype clusters using

- 501 PLINK v1.90b3.39.<sup>39</sup> Using the distance matrix of F<sub>ST</sub> values between clusters, we constructed
- an unrooted phylogenetic tree using the neighbor joining method implemented in *scikit-bio.*<sup>40</sup> We
- 503 visualized the tree using Interactive Tree Of Life.<sup>41</sup>

### 504 Data and Code Availability

- 505 Genotype data and associated metadata are available to researchers through an application
- 506 process and data usage agreement. We encourage qualified researchers to email the
- 507 Genographic team at National Geographic Society (genographic@ngs.org) for information on
- 508 and access to the Genographic database.
- 509
- 510 Custom scripts generated to analyze the data in this paper are available through GitHub
- 511 (https://github.com/chengdai/genographic\_ancestry).
- 512

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- 522

# 523 Author Contributions

- 524 C.L.D. and A.R.M. designed the study, performed research, and wrote the manuscript. R.S.W.
- 525 founded and formerly directed the Genographic Project. M.G.V. coordinated and supervised the
- 526 Genographic Project. M.M.V., C.H.Y., and R.T. contributed to the data aggregation and data
- 527 analysis. A.R.M., C.R. and M.J.D. supervised research. All authors reviewed the manuscript.
- 528

# 529 Conflicts of Interest

- 530 M.G.V. is the Senior Program Officer for the National Geographic Society and lead scientist for
- the Genographic Project. R.S.W. was the former Director of the Genographic Project and is a
- 532 cofounder for Insitome. M.J.D. is a member of the Scientific Advisory Board at Ancestry.com
- 533 LLC.

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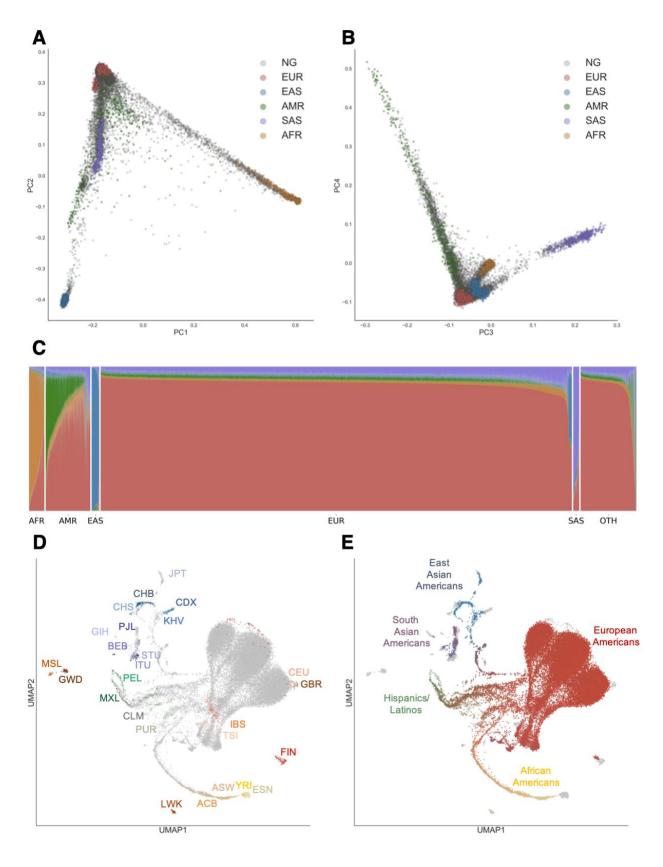
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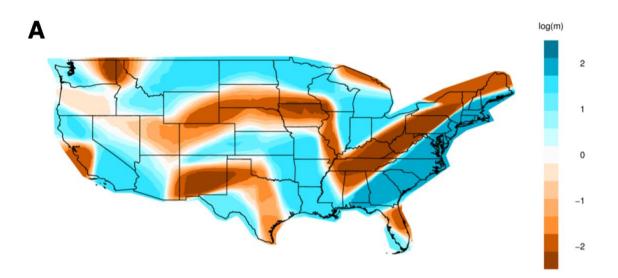
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- 621 annotation of phylogenetic and other trees. *Nucleic Acids Res.* 44, W242-245 (2016).
- 622



623 624

## 625 Figure 1. Genetic Diversity of the US Population

- 626 (A) Principal Components Analysis of individuals in the United States and in the 1000 Genome
- 627 Project. Each individual is represented by a single dot. Individuals in this study are colored in
- 628 grey while 1000 Genome Population individuals are colored by super population (EUR =
- 629 European, AFR = African, AMR = Admixed American, EAS = East Asian, SAS = South Asian).
- 630 Principal components (PC) 1 and PC2 are shown.
- 631 (B) Similar to (A), with PC3 and PC4 shown.
- 632 (C) ADMIXTURE analysis at K=5 of individuals in this study. Each individual was assigned a
- 633 continent-level ancestry label using a Random Forest model trained on the super population
- labels and the first 10 PCs of the 1000 Genome Project dataset. OTH = individuals who did not
- 635 meet the 90% confidence threshold for classification.
- 636 (D) UMAP projection of the first 20 PCs. Each dot represents one individual. In (D), individuals
- 637 in the 1000 Genomes Project are colored by population, while Genographic Project individuals
- 638 from this study are in grey. In (E), 1000 Genome Project individuals are colored in grey while
- 639 Genographic Project individuals are colored based on their admixture proportions from
- 640 ADMIXTURE. The color for each dot was calculated as a linear combination of each individual's
- admixture proportion and the RGB values for the colors assigned to each continental ancestry
- 642 (EUR = red, AFR = yellow, NAT or Native American = green, EAS = blue, SAS = purple).
- 643 Distances in UMAP do not directly correspond to genetic distance. See Materials and Methods
- 644 for specific population labels.



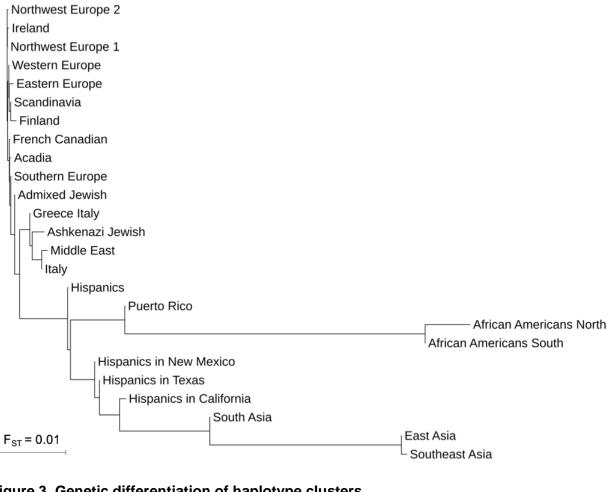
B log(m) 



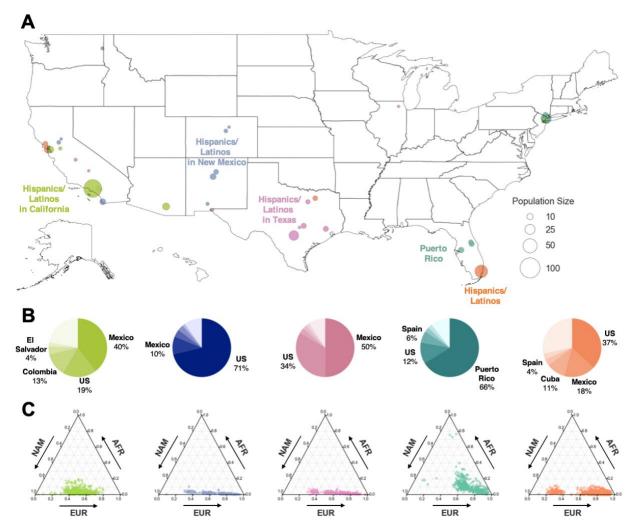
### 646 Figure 2. Migration Rates of African Americans, Hispanics/Latinos, and Europeans within

### 647 the United States.

- 648 (A) (C) Migration rates inferred with EEMS for African Americans (A), Hispanics/Latinos (B),
- and Europeans (C). Colors and values correspond to inferred rates, *m*, relative to the overall
- 650 migration rate across the country. Shades of blue indicate logarithmically higher migration (i.e.
- 651 log(m) = 1 represents effective migration that is ten-fold faster than the average) while shades
- 652 of orange indicate migration barriers.
- 653



- 654
- 655 Figure 3. Genetic differentiation of haplotype clusters
- 656 Unrooted phylogenetic tree of haplotype clusters was constructed using the neighbor joining
- 657 method with F<sub>ST</sub> as genetic distance. Negative branch lengths were converted to zero.



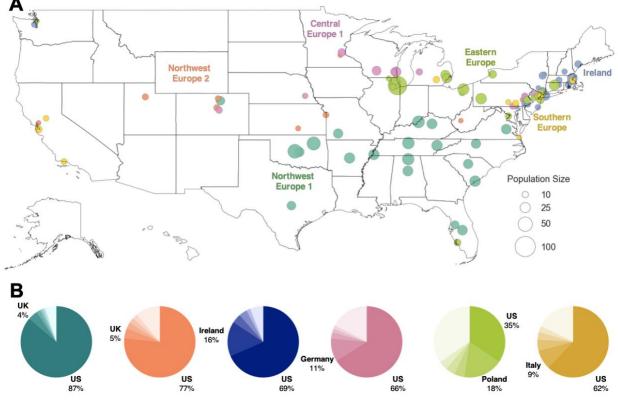
658

#### 659 **Figure 4. Distribution of Hispanic/Latino Haplotype Clusters**

(A) Map of counties in which Hispanic/Latino haplotype clusters are enriched. Each dot
corresponds to a county, and the size of the dot signifies the number of samples of the
particular cluster in that county. Only the Hispanic/Latino cluster with the highest odds ratio is
shown for each county, and only the top ten locations with the highest odds ratios are shown for
each cluster. Maps showing the full distribution for each haplotype cluster can be found in the
supplement (Figure S8).

- 666 (B) Ancestral birth origin proportions of each cluster for individuals with complete pedigree
- 667 annotations, up to grandparent level. Proportions were calculated from aggregating the birth
- 668 locations of all grandparents corresponding to members of each haplotype cluster. For each
- 669 chart, only the top five birth origins are shown as individual slices; the remaining birth origins are
- 670 aggregated into one slice (lightest color).

- 671 (C) Ternary plots of ancestry proportions based on local ancestry inference for each haplotype
- 672 cluster. Each dot represents one individual.



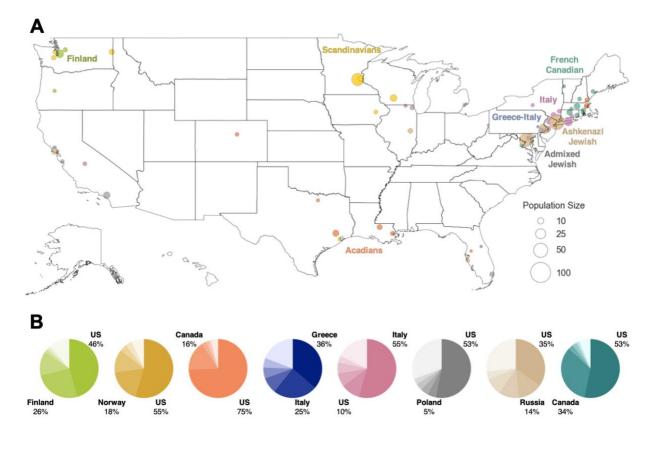
674 Figure 5. Distribution of European American Haplotype Clusters

- 675 (A) Geographic distributions of haplotype clusters corresponding to regional European
- ancestries. Each county containing present-day individuals is represented by a dot. The top 20
- 677 locations with the highest odds ratio are shown for each cluster. Maps showing the full

678 distribution for each cluster can be found in the supplement (**Figure S8**).

- 679 (B) Ancestral birth origin proportions for each cluster in (A). Only individuals with complete
- 680 pedigree annotations, up to grandparent level, are included. For each chart, only the top five
- birth origins are visualized as individual slices; the remaining birth origins are aggregated into
- 682 one slice (lightest color).

673



683 684

# 685 Figure 6. Distribution of European American Haplotype Clusters

686 (A) Present-day location of individuals in clusters of more genetically isolated European

populations, similar to Figure 5A. For clarity, the top ten locations with the highest odds ratio areshown for each cluster.

689 (B) Ancestral birth origin proportions for each cluster in (A). Only individuals with complete

690 pedigree annotations, up to grandparent level, are shown. For each chart, only the top five birth

origins are shown as individual slices; the remaining birth origins are aggregated into one slice

- 692 (lightest color).
- 693

Cluster	Samples	Median Cumulative ROH	Median Cumulative IBD
Northwest Europe 1	11,725	2.88	15.23
Northwest Europe 2	1,571	2.80	15.15
Ireland	2,137	2.85	15.42
Central Europe	3,116	2.83	15.06
Eastern Europe	2,471	3.16	15.37
Southern Europe	1,626	2.73	14.98
Italy	697	6.91	14.64
Greece-Italy	238	7.28	15.02
Scandinavia	717	3.02	15.54
Finland	314	3.67	17.50
Acadia	249	3.89	19.48
French Canadian	314	2.89	16.60
Ashkenazi Jewish	1,475	11.26	31.75
Admixed Jewish	445	2.75	15.50
Hispanics/Latinos	810	3.53	16.38
Hispanics/Latinos in California	573	4.10	17.11
Hispanics/Latinos in New Mexico	163	5.52	21.92
Hispanics/Latinos in Texas	177	6.27	23.65
Puerto Rico	350	8.01	26.23
African Americans South	761	3.34	19.56
African Americans North	420	2.94	15.90
East Asia	561	3.65	19.63
Southeast Asia	325	8.44	17.90
South Asia	389	10.42	14.82
Greater Middle East	93	9.01	17.16

694

### 695 Table 1. Summary of Haplotype Clusters

696 Cumulative runs of homozygosity (cROH) was calculated by summing the regions of continuous 697 homozygous segments. Cumulative IBD was determined by summing IBD segments of  $\ge$  3 cM 698 and filtering for only pairs  $\ge$  12cM and  $\le$  72 cM. Statistics were determined within haplotype

699 clusters, rather than across the ancestrally heterogeneous and imbalanced full network.