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Cortico-basal white matter alterations occurring in Parkinson's disease

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31 **Abstract**

32 Magnetic resonance imaging studies typically use standard anatomical atlases for identification and
33 analyses of (patho-)physiological effects on specific brain areas; these atlases often fail to incorporate
34 neuroanatomical alterations that may occur with both age and disease. The present study utilizes Parkinson's
35 disease and age-specific anatomical atlases of the subthalamic nucleus for diffusion tractography, assessing tracts
36 that run between the subthalamic nucleus and *a-priori* defined cortical areas known to be affected by Parkinson's
37 disease. The results show that the strength of white matter fiber tracts appear to remain structurally unaffected by
38 disease. Contrary to that, Fractional Anisotropy values were shown to decrease in Parkinson's disease patients for
39 connections between the subthalamic nucleus and the pars opercularis of the inferior frontal gyrus, anterior
40 cingulate cortex, the dorsolateral prefrontal cortex and the pre-supplementary motor, collectively involved in
41 preparatory motor control, decision making and task monitoring. While the biological underpinnings of fractional
42 anisotropy alterations remain elusive, they may nonetheless be used as an index of Parkinson's disease. Moreover,
43 we find that failing to account for structural changes occurring in the subthalamic nucleus with age and disease
44 reduce the accuracy and influence the results of tractography, highlighting the importance of using appropriate
45 atlases for tractography.

47 **Introduction**

48 The subthalamic nucleus (STN) is a small region located in the basal ganglia (BG) that is integral to a
49 range of motor behaviors and cognitive functions [1]. Abnormal activity of the STN is implicated in a number of
50 neurodegenerative and neurological disorders including Parkinson's disease (PD). Here, increased indirect
51 pathway activity is thought to increase the inhibition of motor plans rather than reducing inhibitory control [2].
52 Accordingly, the STN is a common neurosurgical target for deep brain stimulation (DBS) for PD patients who no
53 longer appropriately respond to pharmacological interventions, where standard targeting is facilitated by the use
54 of MRI and stereotaxic atlases [3].

55
56 However, these atlases are often based on a normal population and fail to account for neuroanatomical
57 variability occurring for a variety of reasons, including age and disease [4–9] It is widely acknowledged that the
58 anatomy of the STN varies substantially across healthy individuals, with *in-vivo* size estimates ranging from

59 50mm³ to 270mm³ (See [10] and references therein). Additionally, age-related changes associated with the STN
60 show the location in standard MNI space shifts in lateral direction in the elderly population [11–14] with additional
61 alterations of STN volume and location occurring PD [15].

62

63 Moreover, the STN demonstrates a complex connectivity profile both within the BG and with the rest of
64 the cortex [16–24]. With regards to PD, both the structural and functional connectivity of the STN has been shown
65 to predict the future outcome and relative success of DBS treatment [25]. This is supported by electrophysiological
66 and functional (f)MRI results which show that specific cortico-basal connections are functionally altered in PD
67 [26–28]. Furthermore, the existing variability in the success of DBS suggests the presence of individual
68 differences in the integrity of specific connections between the STN and different cortical regions.

69

70 DBS of the STN is however associated with a number of psychiatric side-effects, cognitive, and
71 emotional disturbances [29,30]. One explanation for these side-effects relates to the somatotopic arrangement of
72 functionally dissimilar cortical projections within the STN [31–34]. In DBS, the implanted electrode may directly
73 stimulate, due to suboptimal placement, or spread current to functionally disparate sub-regions of the nucleus
74 which in-turn interfere with the typical connectivity between the STN and limbic or cognitive cortical areas
75 [35,36].

76

77 Given the neuroanatomical alterations that occur in the STN due to orthologic aging or PD, it is crucial
78 to investigate whether additional group specific changes extend to their structural connectivity. The current paper
79 first aims to investigate whether there are disease specific alterations in the connectivity of cortical areas to the
80 subthalamic nucleus in PD patients by using group specific atlases of the STN, and second, to assess whether any
81 connectivity measures may be correlated with disease progression. We chose six cortical areas based on their
82 functional involvements in limbic, cognitive, and motor processes, known to be affected in PD [37–41]. Cortical
83 areas consisted of the pars opercularis of the inferior frontal gyrus (Pop), the anterior cingulate cortex (ACC), the
84 dorsolateral prefrontal cortex (DLPFC), primary motor cortex (M1), supplementary motor area (SMA), and pre-
85 supplementary motor area (pre-SMA). Notably, the we use these results to highlight the importance of using
86 group specific atlases for STN identification when ultra-high field (UHF) MRI is not available, given the scarcity
87 of UHF MRI sites relative to the number of DBS centers [42–47].

88

89 **Materials and methods**

90 **Subjects**

91 Seventy PD patients and thirty-one age-matched healthy controls participated in the study (Table 1) (see
92 [48] for more details on subject population). Patients were not required to discontinue their medication for the
93 purposes of this study. The gender imbalance in the PD group was due to the fact that PD is 1.5 times more likely
94 to occur in men than in women [49–51]. Disease related variables were obtained from PD patients, which include
95 UPDRS III scores taken both on and off medication, duration of disease in years, and side of symptom onset (left
96 or right), all obtained from an expert neurologist [52]. Disease progression, as a measure of severity, is calculated
97 by dividing each patients UPDRS off III score by the duration of the disease in years [53]. Medication response
98 is calculated by dividing the UPDRS off III score by the respective UPDRS on [54]. All healthy controls self-
99 reported no history of psychiatric or neurological disease, and PD patients reported no other neurological
100 complaints than PD. The study was approved by the ethical committee of the University Hospital of Cologne,
101 Germany.

102

Table 1: Descriptive statistics.

	Parkinson's Disease	Healthy Control
Age (years)	62.01(8.62)	61.94(10.21)
Gender	54m/16f	25m/6f
Disease Duration (years)	6.51(4.64)	-
UPDRS III on	14.46(7.03)	-
UPDRS III off	29.84(12.18)	-
Symptom onset (side)	33l/37r	-

103

104 The mean (SD) demographic statistics for both the Parkinson's Disease and healthy control group. UPDRS:
105 Unified Parkinson's Disease Rating Scale.

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110 **MRI acquisition**

111 Whole-brain anatomical T1-weighted and diffusion-weighted images were acquired for each subject
112 with a Siemens 3T Trio scanner (Erlangen, Germany). T1-weighted images were obtained using a 12-channel
113 array head coil with the following parameters: field of view (MDEFT3D: TR = 1930 ms, TI = 650 ms, TE = 5.8 ms,
114 128 sagittal slices, voxel size = 1 x 1 x 1.25 mm³, flip angle = 18°). dMRI images were obtained via a spin-echo EPI
115 sequence with a 32-channel array head coil (spin echo EPI: TR = 11200 ms, TE = 87ms, 90 axial slices, voxel size
116 = 1.7 mm isotropic, 60 directions isotropically distributed (b-value = 1000 s/mm²). Distortions due to eddy
117 currents and head motion were corrected using FSL (Version 5.0; www.fmrib.ox.ac.uk/fsl) [55]. Additionally, to
118 provide an anatomical reference for motion correction, seven images without diffusion weighting (b0 images)
119 were acquired at the beginning and after each block per ten diffusion-weighted images. The diffusion-weighted
120 images were then registered to these b0 images (see [48] for more details regarding the data acquisition).

121

122 **Registration**

123 **MRI**

124 All registration steps were conducted using both linear and nonlinear functions with FLIRT and FNIRT
125 (as implemented in FSL version 5.0). All registrations were performed on skull stripped and brain extracted
126 images. T1 weighted images were first linearly registered to the MNI152 T1 1mm brain template with a correlation
127 ratio and 12 DOF. An additional nonlinear transform was applied using the FNIRT function with standard settings,
128 including the previously obtained affine transformation matrix. Individual T1-weighted scans in native space were
129 registered to the respective no-diffusion (b0) images with a mutual information cost function and 6 DOF. A
130 standardized midline exclusion mask in MNI152 space was registered to each subjects b0 images through multiple
131 transforms, by combining the transformation matrices outputted via previous registrations. The midline exclusion
132 mask was visually checked and realigned with an additional registration if necessary. Each step during the
133 registration process was visually assessed for misalignments by comparing several landmarks (ventricles, pons,
134 corpus callosum, cortical surface).

135

136 **Cortical Atlases**

137 The six cortical areas were obtained from <http://www.rbmars.dds.nl/CBPatlases.htm>, created with
138 tractography methods, based on both human and non-human primate neuroanatomy [56–58]. The separate cortical
139 masks were extracted from MNI152 1mm space. The cortical atlases were thresholded at 25% to minimize the
140 occurrence of over estimating the region during registration procedures, which were achieved with a nonlinear
141 transform from MNI152 1mm to individual b0 space using the previously generated transformation matrices from
142 the anatomical registrations, with a nearest neighbor interpolation and 12 DOF (see Figure 1).

143

144 **Figure 1: Cortical Atlases**

145

<< figure here >>

146 Figure 1. Cortical atlases used for probabilistic tractography and the diffusion tensor models in MNI152 1mm
147 space, which consist of the pars opercularis (POp), anterior cingulate cortex (ACC), dorsolateral prefrontal cortex
148 (DLPFC), primary motor cortex (M1), presupplementary motor area (pre-SMA) and supplementary motor area
149 (SMA).

150

151 **STN Atlases**

152 Group specific PD and elderly probabilistic atlases of the STN were obtained for the respective groups
153 from [59] (Figure 2) (see https://www.nitrc.org/projects/atag_pd/ for probabilistic atlases and ATAG data) and
154 were transformed from MNI152 1mm space to individual b0 space using a nonlinear transform and thresholded
155 by 25%. The non-zero voxel volume in mm³ for each atlas was as follows: PD left = 77; PD right = 70.13; HC
156 left = 164.75; HC right = 138.38 and for the center of gravity (CoG) in MNI152 1mm space: PD left x = -10.44,
157 y = -13.04, z = -8.16; PD right x = 11.84, y = -13.18, z = -89; HC left x = -10.56, y = -13.87, z = -7.10; HC right
158 x = 12.10, y = -12.97, z = -6.20.

159

160 **Figure 2: STN Atlases**

161

<< figure here >>

162 Figure 2. STN atlas in MNI152 1mm space where the PD STN is in dark blue, and the HC in light blue.

163

164 **Probabilistic Tractography**

165 Probabilistic tractography was run between *a priori* defined cortical areas and group specific STN's.
166 Diffusion image preprocessing and analyses were achieved using FSL 5.0. The two most likely diffusion
167 directions per voxel were estimated using the bedpostX function as implemented in the FDT toolbox with standard
168 settings [60]. Subsequently, probabilistic tractography (probtrackX) was conducted to calculate continuous
169 structural connections between the respective seed and target region(s). ProbrackX was run with standard settings
170 (curvature threshold 0.2, 5000 samples, 0.5mm step length, 2000 steps) in each subjects' native diffusion space,
171 separately for left and right hemispheres and aided by the inclusion of a midline exclusion mask.

172
173 The term tract strength here is used to index a probability density function, quantifying the ratio of how
174 many streamlines directly and continuously commence from a seed region and terminate at a target area. This
175 density function is a commonly used measure for inferring the strength of structural white matter tracts [60–62].
176 For more robust measurements, we created an average of each pair of seed-to-target and target-to-seed streamlines
177 [63,64] To control for spurious tracking, the tracts were thresholded by 10, whereby any voxel containing less
178 than 10 direct samples were excluded from further analyses [64].

179
180 We calculated the axial diffusivity (AD), fractional anisotropy (FA), and mean diffusivity (MD) of the
181 seed-to-target and target-to-seed paths derived from the tract strength probability density function approach
182 mentioned in the above section. This was achieved by fitting a voxel wise diffusion tensor model with a weighted
183 least squares regression to each subjects' diffusion image using the DTIFIT function from FDT. Each FDT path
184 was thresholded so that only paths with at least 75 samples were included for further analysis to yield a
185 conservative anatomical representation. Then each pair of corresponding paths were combined (seed-to-target and
186 target-to-seed), binarized and averaged per hemisphere. From these normalized FDT paths we extracted the AD,
187 FA, and MD values per tract, per subject.

188

189 **Statistical Methods**

190 All statistical analyses were conducted within a Bayesian framework (Table 2) using the BayesFactor
191 toolbox [65] in R [66], interpreted in light of the assumptions proposed by [67] and adapted by [68]. To test
192 whether there were any group differences in either tract strength or DTI derived metrics, we used Bayesian
193 ANOVAs. For Bayesian ANOVAs, each BF reported in the output is a ratio of the model's predictive success
194 relative to a null hypothesis, following a mixed effects JZS Bayesian framework [69,70]. Additionally, where

195 appropriate, we include a comparison between the most likely and the second most likely model, which indicates
196 how much more likely the winning model is given the data compared to the second most likely model. Both
197 subject and hemisphere were added as random factors, accounting for unequal sample sizes. All analysis included
198 default prior scales, and where adjustments of multiplicity are required, prior probabilities of the model are
199 automatically adapted.
200

Table 2. Bayes Factor Interpretation

Bayes Factor	Interpretation
> 100	Decisive evidence for H1
30 – 100	Very strong evidence for H1
10 – 30	Strong evidence for H1
3 – 10	Substantial evidence for H1
1 – 3	Anecdotal evidence for H1
1	No evidence
1 / 3 – 1	Anecdotal evidence for H0
1 / 10 - 1 / 3	Substantial evidence for H0
1 / 30 - 1 / 10	Strong evidence for H0
1 / 100 - 1 / 30	Very strong evidence for H0
< 1 / 100	Decisive evidence for H0

201

202 To test whether disease progression correlated with either tract strength or the DTI derived metrics, we
203 conducted Bayesian correlation analyses in JASP [71]. Disease progression and medication response were used
204 as separate indices of disease severity [72]. All Bayesian tests used a non-informative prior and a medium sized
205 distribution (conjugate distributions on either side).
206

206

207 **Open science**

208 All scripts used to analyse the data can be found at <https://osf.io/4uxxs/>
209

209

210 **Results**

211 **Group differences between HC and PD**

212 Demographics

213 Two samples Bayesian t-tests were conducted to assess for differences in age and gender across groups.
214 For age, the BF_{10} of 0.23 indicates moderate evidence in favour of the null hypothesis as does a BF_{10} of 0.24 for
215 gender. Therefore, we can assume that there is no difference in gender or age between groups and these variables
216 are not included as covariates for further analyses.

217

218 Motion Parameters

219 Additional Bayesian t-tests were conducted to test for differences across groups in each of the directional
220 (x, y, z) translation and rotation parameters, which index how much the subject moves during the MRI. All results
221 were in favour of the null hypothesis (rotation x: $BF_{10} = 0.51$, rotation y: $BF_{10} = 0.33$, $BF_{10} = 0.25$, translation x:
222 $BF_{10} = 0.40$, translation y: $BF_{10} = 0.34$, translation z: $BF_{10} = 0.49$). Accordingly, motion parameters are not
223 included as a covariate in further analyses.

224

225 Tract Strengths

226 We first set out to test whether there were differences in tract strength between healthy control subjects
227 and PD patients with a mixed effects ANOVA, incorporating subject and hemisphere as random factors (see
228 Figure 3 and table 3). When using group specific atlases of the STN, the largest model incorporated a main effect
229 of structure and group, without interaction ($BF_{10} = 2.61e+175$), which is 191 times more likely than the model
230 incorporating an interaction term ($BF_{10} = 1.37e+173$). This provides decisive evidence for tract strengths varying
231 with both group and structure, but without an interaction.

232

233 **Table 3. Tract strength descriptive statistics per tract, per group.**

	Mean (S.D)	
	HC	PD
ACC	0.28 (0.19)	0.21 (0.17)
DLPFC	0.25 (0.18)	0.20 (0.17)
MI	0.50 (0.14)	0.43 (0.16)
Pre-SMA	0.66 (0.08)	0.56 (0.16)

234	SMA	0.65 (0.09)	0.58 (0.15)
235	POp	0.44 (0.22)	0.34 (0.21)

236

237 **Figure 3: Tract Strengths**

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<< figure here >>

239 Figure 3. Tract strengths collapsed across hemisphere per structure, with healthy control subjects in purple and
240 PD patients in blue. Tracts are measured from 0 to 1, which is representative of the ratio of the total number of
241 tracts reported between the STN and the given cortical structure. Each point within each element represents a
242 single subject. The width of each element represents the smoothed density. The columns overlapping each bar
243 (each beginning at zero) represent the central tendency, and the bands overlapping each element reflect the 95%
244 highest density intervals.

245

246 **DTI metrics: group differences**

247 To test whether there were group differences in the white matter composition, we extracted the AD, FA,
248 and MD values of the six different tracts. Separate ANOVAs were run to assess AD, FA, and MD across groups
249 (table 4). The model with the most evidence for difference in AD included differences across structure and group,
250 but with no interaction ($BF_{10} = 7.92e+148$). For MD, the strongest model incorporated only differences across
251 structure ($BF_{10} = 5.21e+127$).

252

253 When assessing FA, decisive evidence was found for the model incorporating an interaction between
254 group and structure with a BF_{10} of $4.57e+184$, which is 4.2 times more likely than the second largest model which
255 includes only main effects of structure and group ($BF_{10} = 1.06e+184$). Post-hoc Bayesian t-tests revealed strong
256 evidence for differences between groups for FA values between STN and POp with a BF_{10} of 18.53, with higher
257 FA values for healthy controls than PD patients. Substantial evidence was found for FA values differing across
258 groups between the STN and the ACC ($BF_{10} = 3.05$), which are also higher in healthy controls than PD patients.
259 Decisive evidence was found for the DLPFC ($BF_{10} = 5.31e+10$) and pre-SMA ($BF_{10} = 68.31$) connectivity
260 profiles, again both with higher FA values for healthy controls than PD patients (Figure 4).

261

262

263 **Table 4: Diffusion Tensor Imaging (DTI) descriptive statistics of axial diffusivity, fractional anisotropy and**
 264 **mean diffusivity per tract, per group**

	Mean (S.D)					
	AD		FA		MD	
	HC	PD	HC	PD	HC	PD
ACC	1.21e-03 (5.21e-05)	1.18e-03 (4.46e-05)	4.03e-01 (2.43e-02)	3.94e-01 (2.85e-02)	8.30e-04 (5.12e-05)	8.22e-04 (5.14e-05)
DLPFC	1.188e-03 (4.81e-05)	1.18e-03 (5.47e-05)	3.67e-01 (2.20e-02)	3.38e-01 (2.25e-02)	8.55e-04 (4.45e-05)	8.74e-04 (5.55e-05)
M1	1.28e-03 (7.82e-05)	1.25e-03 (7.54e-05)	0.41 (0.4e-01)	4.09e-01 (3.99e-02)	8.91e-04 (8.62e-05)	8.91e-04 (8.65e-05)
Pre-SMA	1.17e-03 (5.01e-05)	1.16e-03 (5.02e-05)	4.01e-01 (2.30e-02)	3.86e-01 (2.58e-02)	8.23e-04 (5.34e-05)	8.27e-04 (5.34e-05)
SMA	1.26e-03 (6.74e-05)	1.27e-03 (8.35e-05)	0.39 (0.26e-01)	3.77e-01 (3.23e-02)	9.16e-04 (6.95e-05)	9.27e-04 (9.03e-05)
POp	1.25e-03 (6.74e-05)	1.31e-03 (6.06e-05)	0.35 (0.2e-01)	3.50e-01 (2.27e-02)	9.41e-04 (6.26e-05)	9.37e-04 (5.58e-05)

283 **Figure 4: Fractional anisotropy pathways**

284 << figure here >>

285 Figure 4. Averaged FA tracts per group running between the STN to the POp, ACC DLPFC, and pre-SMA, with
 286 PD tracts in dark blue and healthy control tracts in light blue. In all tracts, the FA was lower for PD compared to
 287 healthy controls.

289 Correlations

290 Bayesian paired correlations with a Pearson's Rho correlation coefficient was conducted to assess
 291 whether for each PD patient, disease progression or medication response correlated with either their tract strength
 292 or respective FA measures [71]. Additionally, because the motor related symptoms of PD often begin and
 293 continue to exhibit asymmetrically, the side in which symptom onset was first identified (i.e., left or right side of
 294 the body) was counterbalanced across hemisphere [73–75]. Symptom onset initiating on the left side of the body
 295 was paired with tract strength or FA values arising from the right hemisphere and vice versa for the left hemisphere
 296 (contralateral), and a separate correlation test was conducted for those tracts that occur in the hemisphere on the

297 same side as symptom onset (ipsilateral). This was done in order to control for the lateralization effects of both
298 symptom presentation and brain connectivity and to test whether tract strengths can act as an index of symptom
299 severity.

300

301 **Disease progression with tract strength**

302 All results reported substantial evidence for no correlation between tract strengths and disease
303 progression (table 5).

304

305 **Table 5: Correlation between disease progression and tract strength**

306

Tract	Contralateral Hemisphere		Ipsilateral Hemisphere	
	Correlation	BF ₁₀	Correlation	BF ₁₀
ACC	- 0.071	0.18	- 0.040	0.16
DLPFC	- 0.206	0.15	- 0.050	0.17
MI	0.197	0.20	- 0.072	0.18
Pre-SMA	0.002	0.15	- 0.011	0.15
SMA	0.010	0.14	- 0.040	0.16
Pop	0.074	0.18	0.145	0.30

307

308 **Medication response with tract strength**

309 All results reported substantial evidence for no correlation between tract strengths and medication
310 response (table 6).

311

312 **Table 6: Correlation between medication response and tract strength**

313

Tract	Contralateral Hemisphere		Ipsilateral Hemisphere	
	Correlation	BF ₁₀	Correlation	BF ₁₀
ACC	- 0.206	0.63	- 0.050	0.15

DLPFC	- 0.102	0.20	- 0.090	0.20
M1	- 0.144	0.30	0.105	0.22
Pre-SMA	0.109	0.21	0.152	0.31
SMA	0.037	0.16	0.062	0.17
POp	0.003	0.15	- 0.026	0.14

314

315 **Disease progression with FA**

316 The only FA path to show strong evidence of a correlation with disease progression was the DLPFC
 317 ipsilateral score ($r = 0.364$, $BF_{10} = 16.50$), where side of symptom onset and hemisphere were the same. All other
 318 results reported either anecdotal or substantial evidence for no correlation between FA and disease progression
 319 (table 7).

320

321 **Table 7: Correlation between disease progression and fractional anisotropy**

322

Tract	Contralateral Hemisphere		Ipsilateral Hemisphere	
	Correlation	BF	Correlation	BF
ACC	0.141	0.28	0.214	0.71
DLPFC	0.204	0.61	0.364	16.50
M1	- 0.027	0.14	- 0.009	0.15
Pre-SMA	0.111	0.23	0.09	0.18
SMA	- 0.026	0.14	-0.003	0.15
POp	0.161	0.36	0.106	0.22

323

324 **Medication response with FA**

325 All results reported substantial evidence for no correlation between FA and medication response (table
 326 8).

327

328 **Table 8: Correlation between medication response and fractional anisotropy**

329

Tract	Contralateral Hemisphere		Ipsilateral Hemisphere	
	Correlation	BF	Correlation	BF
ACC	0.125	0.25	0.030	0.14
DLPFC	0.100	0.21	0.018	0.14
MI	0.037	0.16	0.084	0.19
Pre-SMA	0.017	0.24	0.105	0.22
SMA	0.014	0.15	0.041	0.16
POp	0.055	0.17	- 0.042	0.16

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331 Discussion

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336 PD Disease specific alterations

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The current study assessed the strength and microstructural changes occurring in predefined connectivity profiles between the STN and motor, limbic, and cognitive related cortical areas between PD patients and healthy elderly age-matched controls using group specific atlases of the STN.

For all six cortical areas, the tract strength was lower for the PD group. Moreover, none of the tract strengths between the STN and the cortical areas correlated with measures of disease progression or medication response. It therefore appears unlikely that the strength of any of the measured tracts may be used as a biomarker for PD. However, the STN for both groups showed strongest structural connections to the motor cortices, which likely reflects the role of the STN in motor control.

Diffusion tensor models were applied to draw quantitative measurements of each white matter tract. For the original analysis, we found evidence for a reduction in FA for the STN to POp, ACC, DLPFC, and pre-SMA tracts in PD patients compared to healthy controls. The POp is situated anterior to the premotor cortex and has been implicated in motor inhibition [76] which is referred to as the ability to suspend a premeditated motor response to a stimulus or an ongoing response [77]. It has also been proposed that the POp is the origin of “stop signal” behaviors, whereby the inhibition of a motor response results from direct stimulation of the subthalamic

349 nucleus [78]. Moreover, the primary STN-ACC circuit functions to monitor behaviors that involve conflict and
350 therefore task switching and changing decisions [79–81]. Given the symptomatic profile of PD patients, it seems
351 plausible that the STN-POp and ACC connectivity profiles would be structurally and or functionally effected by
352 the disease [82,83].

353

354 Relatedly, associated functions of STN-pre-SMA circuit also include response inhibition [84], action
355 choices [85–87], task switching and internally generated movements [88,89], which are shown to be disrupted in
356 PD. Assuming structure both shapes and constrains function [90–92], compromised white matter tracts indexed
357 by increased diffusivity and reduced FA could result in abnormal functioning and lead to clinically overt behaviors
358 [93]. A dysfunctional STN-pre-SMA circuit could result in parkinsonian symptoms including micrographia,
359 dysarthria, bradykinesia and hypokinesia, all of which involve a lack appropriate action selection, timing, and
360 irregular task switching [94–96]. A dysfunctional STN-DLPFC circuit could reflect impaired motor control, PD
361 related cognitive decline, and affective complaints [97–99] as well as being linked to dopaminergic abnormalities
362 [100]. However, while reduced FA in specific STN-cortical circuits could be utilized as a biomarker for PD, it is
363 difficult to infer the exact biological mechanisms underlying alterations in diffusion metrics relative to disease.
364 FA has been considered as a summary measure of white matter integrity, that is highly sensitive to microstructural
365 changes, but less sensitive to the type of change [101–104], though theoretically, a reduction in FA could be
366 driven by a singular or combination of altered AD, MD, or radial diffusivity.

367

368 Moreover, white matter consists not only of axons, but oligodendrocytes, astrocytes and microglia and
369 therefore structural changes can affect any of these properties, each of which is associated with a different function
370 [105,106]. Studies have shown that FA correlates with myelination which is associated with speed conduction,
371 though this is dependent on the formation and remodeling of oligodendrocytes and differentiation of
372 oligodendrocyte precursor cells (OCPs) whose function is to determine the production, length and thickness of
373 internodes and therefore also likely to contribute to the FA signal [107–110]. Fewer studies have assessed
374 diffusion parameters in relation to astrocytes, though their contribution to FA signals is likely to be significant
375 given their large occupying volume within both grey and white matter [109,111].

376

377 Physiologically, a disruption or structural abnormality occurring anywhere along the axon, for example
378 due to changes in myelination, impaired astrocyte propagation or suboptimal OCP proliferation and

379 differentiation, would impede the rate of conduction and transmission between structures and consequently result
380 in functional impairments [112]. Additionally, more widespread changes in myelin and internode plasticity can
381 be driven by region-specific mechanisms [113,114]. In the case of PD, local signals arising from dopaminergic
382 cell loss with the substantia nigra, or the pathological hyperactivity of the STN could drive the observed structural
383 changes in cortico-basal white matter connections. However, due to the complex timeline and microscopic spatial
384 resolution of these neurochemical and anatomical changes, it is currently not possible to identify which process
385 corresponds with in-vivo human dMRI based FA measures.

386

387 Further, diffusivity has been correlated with partial voluming effects arising from free-water [115]. Free-
388 water reflects the presence of water molecules that are not restrained by cellular barriers and therefore do not
389 show a preference for direction, which may be increased in the presence of cellular damage [116]. Thus, the
390 presence of free-water may influence biases on diffusion metrics which can result in a reduction in FA and or an
391 increase in MD [117,118]. For instance, free-water present in diffusion has been shown to reflect FA changes
392 occurring in other PD affected areas such as the substantia nigra [119,120]. Additionally, the measure of tract
393 strength was taken via a probability density function (PDF), which despite being shown as a robust assessment,
394 remains controversial. Measurements indexing for instance, relative strength via dynamic causal models offer a
395 viable alternative [121].

396

397 **Correlates of PD disease severity**

398 Overall, we found no evidence for any correlation between either tract strengths or FA values with
399 disease progression or medication response. With one exception, we found a positive correlation for FA values
400 within the STN-DLPFC connectivity profile increasing with disease progression when the side of symptom onset
401 was matched with hemisphere. An increased FA indicating restricted diffusion along a single direction is not
402 necessarily compatible with explanations of neurodegenerative processes when assuming a higher FA implies
403 increased myelination and axonal density which usually decrease with disease progression. It may be possible that
404 the increased FA is explained by an attempted compensatory, neuroplasticity mechanism and or functional
405 reorganization rather than a direct neurodegenerative process [122,123], or a response to atypical dopaminergic
406 modulation and levodopa intake [124–126]. Such an adaptive reorganization of structural and functional pathways
407 would, however, occur long before the onset of clinical symptoms, which is not in line with the rather progressed

408 stage of the PD population within this study [73,75]. We therefore remain speculative as to the explanation of this
409 result.

410

411 **Considerations**

412 The use of MRI poses several challenges when imaging small subcortical nuclei such as the STN [127].
413 In the current study, the resolution of the anatomical and diffusion sequences was rather large when considering
414 the size of the STN [128]. Imaging the STN is subject to partial voluming effects and blurring of the voxels near
415 the borders of the nucleus, which may contain different tissue types and or fiber bundles of neighboring structures
416 ^{24,129}. This is further complicated by probabilistic atlases being inherently larger than is often anatomically exact
417 and require registration between template and native space. Such registration procedures employ simple scaling
418 factors that can fail to optimally incorporate morphometric and densitometric variability between individuals
419 [130] which can in turn affect the accuracy of subsequent analysis. We account for this by using group specific
420 atlases, thresholding atlases, and incorporating both rigid and affine transformations during registration
421 procedures. In the supplementary section we included a number of additional analysis to investigate the effects of
422 atlas accuracy.

423

424 Manual segmentation of the both the STN and cortical areas for all individuals would be the golden
425 standard, however, the data in the current study did not allow for manual parcellation of the STN or of structurally
426 distinct cortical areas [131,132]. Relatedly, the visualization of the STN would benefit from the use of sub-
427 millimeter resolution imaging with UHF MRI and/or susceptibility-based contrasts [13,133].

428

429 Lastly, we do not assess for gender differences. While sexual dimorphisms in PD have been reported
430 [50,96,134,135], it remains controversial as to how sensitive standardized scores such as the UPDRS are at
431 identifying gender differences [134,136]. In addition, we include a relatively small sample size with an unbalanced
432 male to female ratio.

433

434 **Conclusions and future directions**

435 To conclude, the strength of white matter tracts within the hyper-direct pathway appear unaffected by
436 the pathophysiology of PD. However, decreased FA values of the STN-POp, STN-DLPFC and STN-pre-SMA

437 tracts may be used as a biomarker for disease, though the exact biological mechanisms driving these disease
438 specific alterations in FA remain elusive. Regardless, the differences we find are in the connections to cortical
439 areas involved in preparatory motor control, task monitoring and decision making, rather than cortical areas
440 governing motor output. Further, the results indicate that it is recommended to use an atlas that accounts for
441 anatomical changes associated with PD rather than only age matched controls. See the supporting information for
442 a control analysis to support the use of group specific atlases. Future work should focus on the use of higher field
443 strengths, alternative tractography methods and harmonization of techniques used to investigate PD [137,138].
444 Until then, we show that using atlases that are specific to your population can aid analysis where UHF MRI and
445 or manual segmentations are not possible.

446

447 Tractography methods hold great promise for their contribution to identification of disease, differential
448 diagnoses between subtypes of parkinsonian syndromes and the application of DBS [139,140]. Such applications
449 require assessment of the biological foundations of diffusion metrics and neuroanatomical factors with specific
450 subsets of disease scales used to evaluate presence and severity.

451

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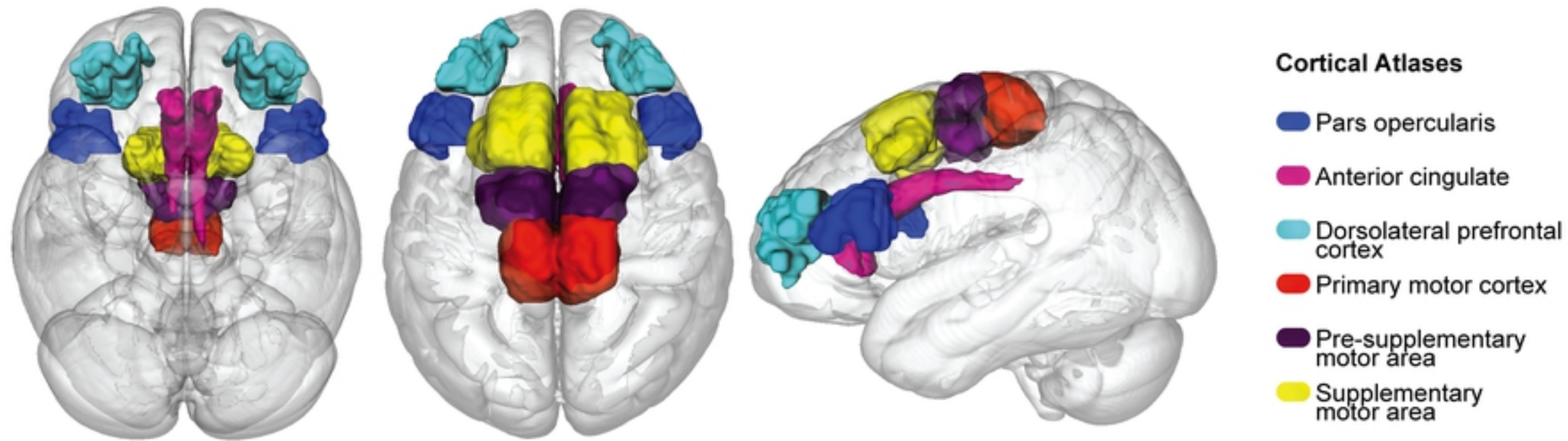
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776

777 **Supporting information**

778 **S1 File: Supporting information** : supplementary materials



Figure

STN Atlases



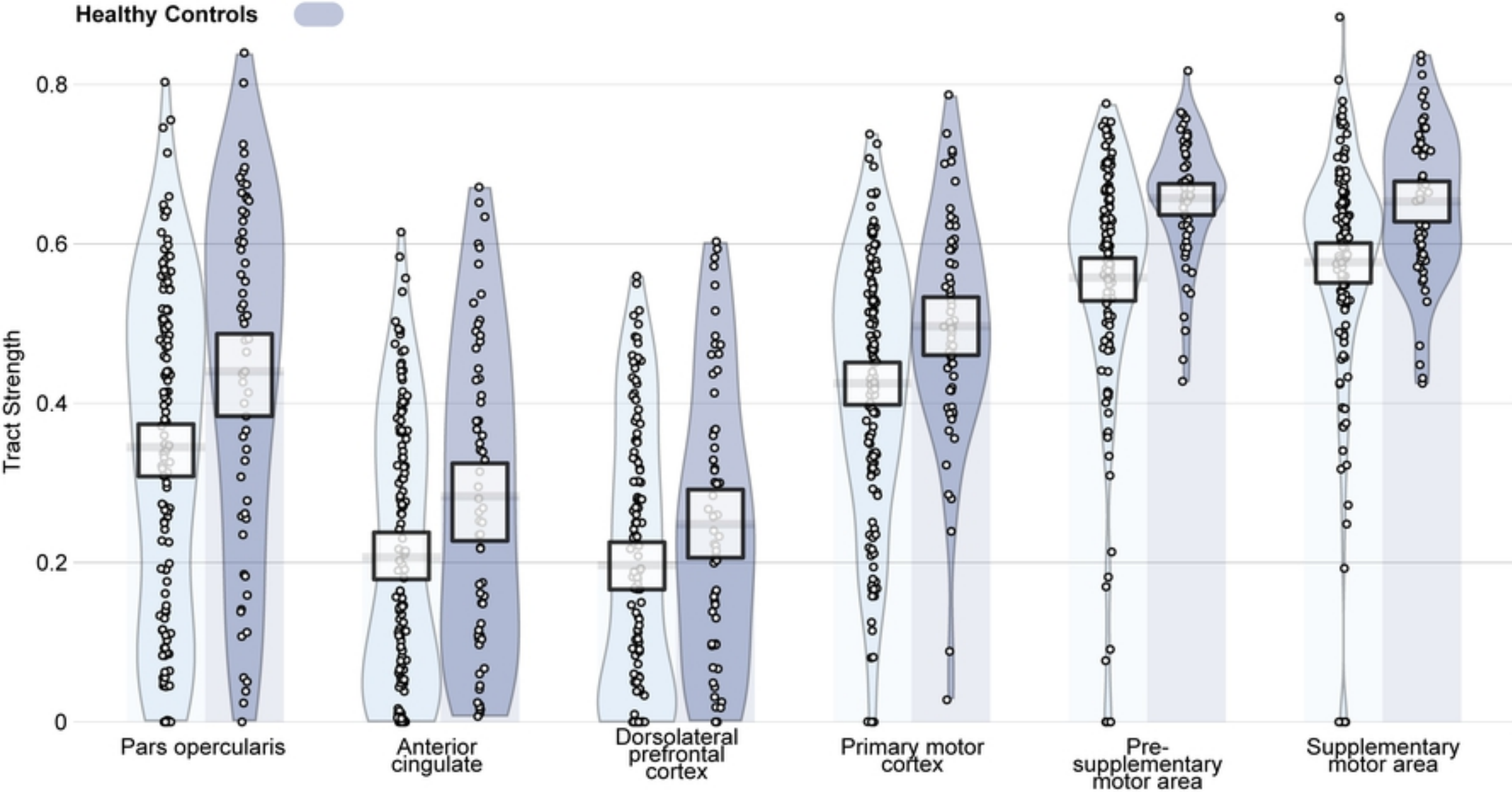
 Parkinson's Disease

 Healthy Controls

Group specific STN atlases

Parkinsons Disease

Healthy Controls



Figure

● Parkinson's Disease
● Healthy Controls

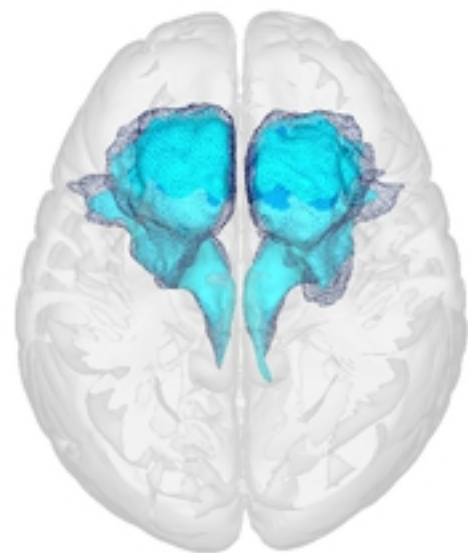
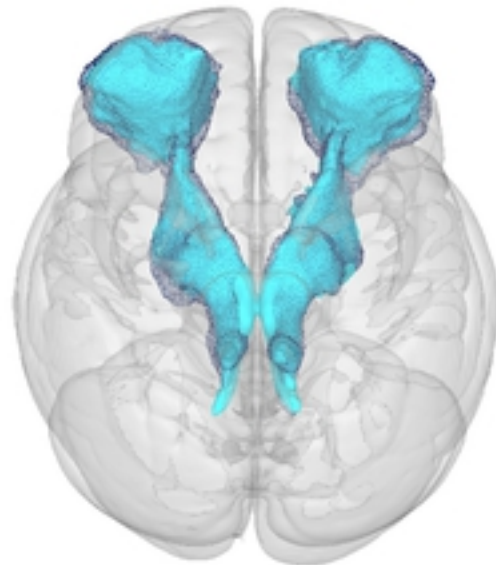
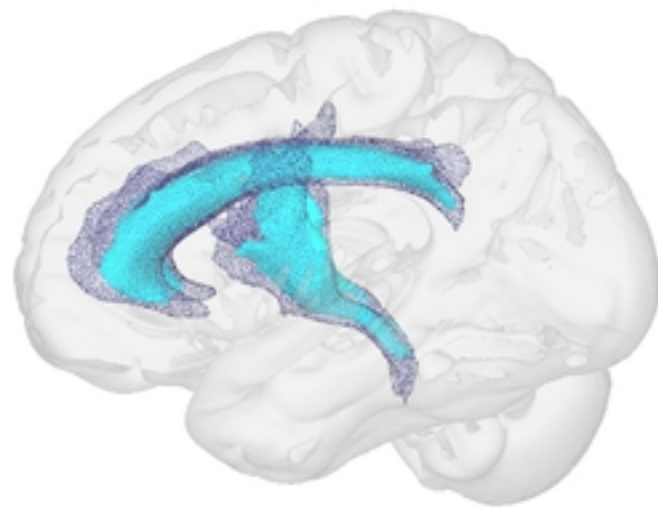
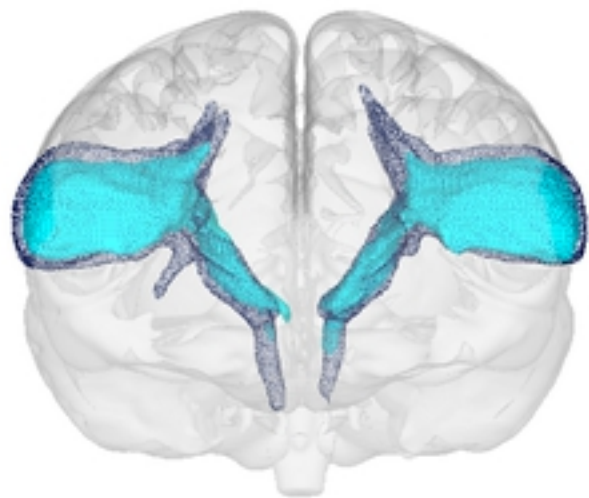
Fractional Anisotropy Paths

Pars opercularis

Anterior cingulate

Dorsolateral prefrontal cortex

Pre-supplementary motor area



Figure

STN Atlases

Control Analysis 1

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Control Analysis 2



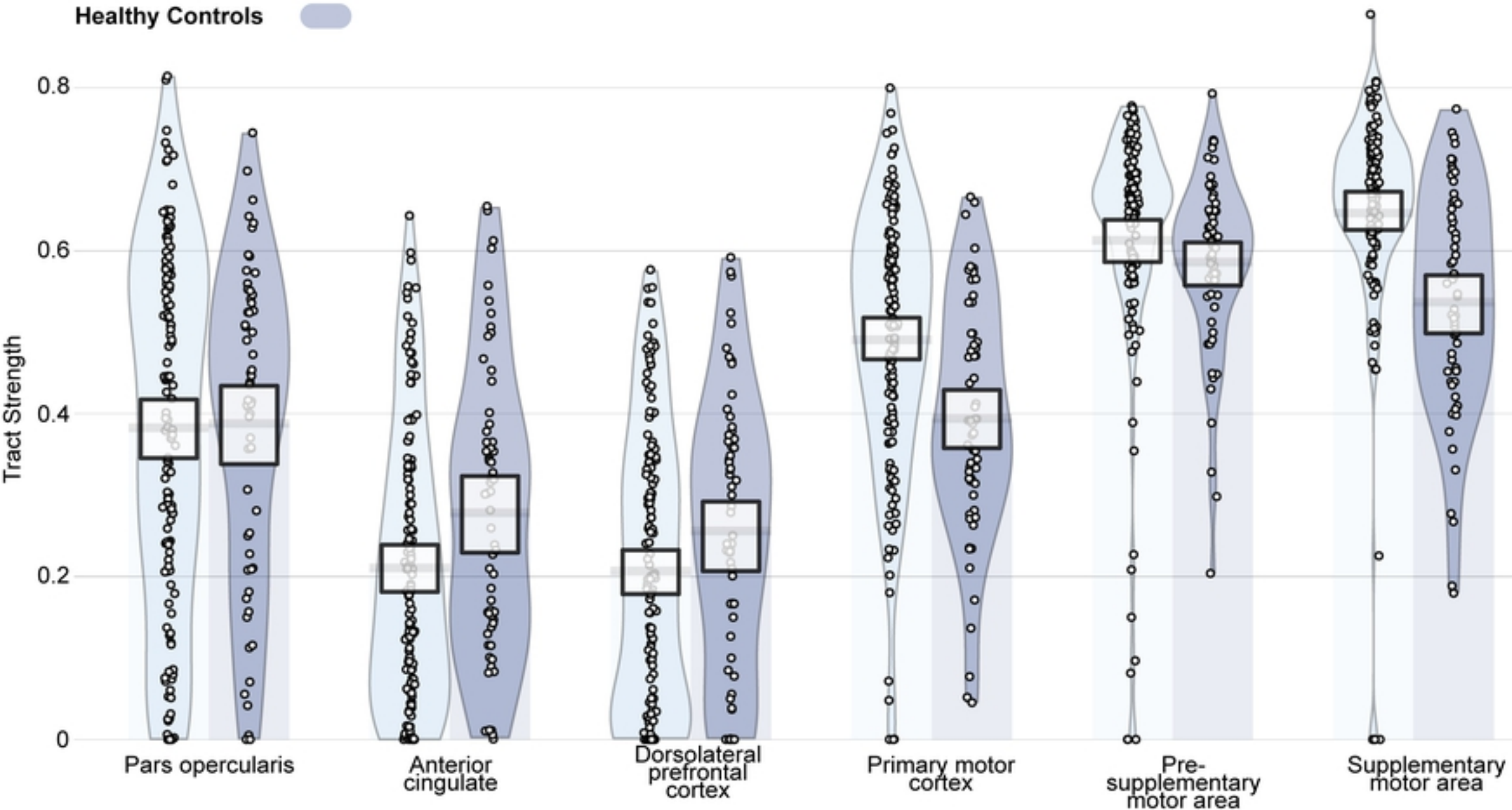
■ Parkinson's Disease

■ Healthy Controls

Group specific STN atlases

Parkinsons Disease

Healthy Controls

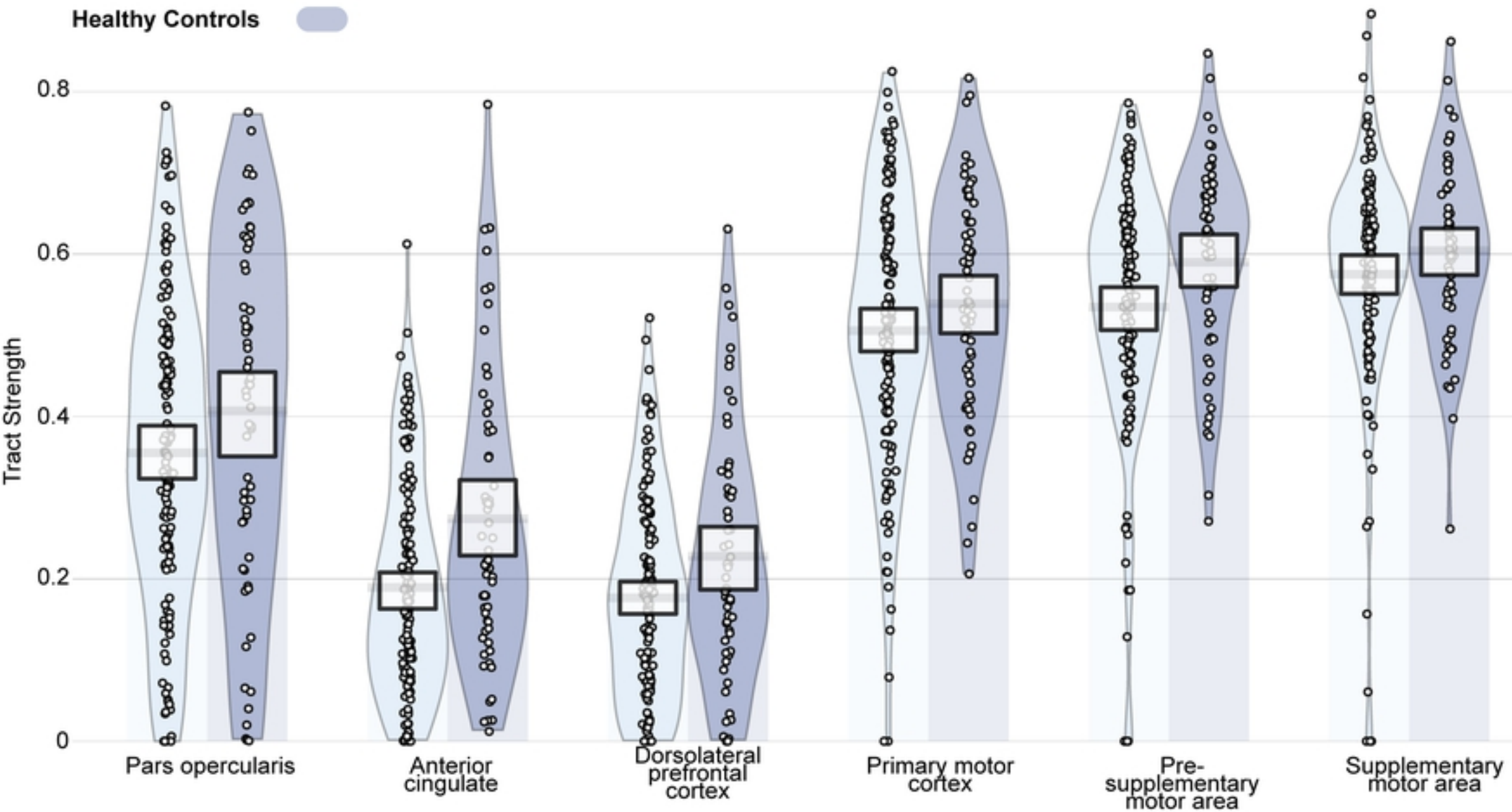


Figure

Group specific STN atlases

Parkinsons Disease

Healthy Controls



Figure