

1 Clustering co-abundant genes identifies components of the gut
2 microbiome that are reproducibly associated with colorectal
3 cancer and inflammatory bowel disease

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50 **Abstract**

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52 **Background:** Whole-genome “shotgun” (WGS) metagenomic sequencing is an increasingly widely
53 used tool for analyzing the metagenomic content of microbiome samples. While WGS data
54 contains gene-level information, it can be challenging to analyze the millions of microbial genes
55 which are typically found in microbiome experiments. To mitigate the ultrahigh dimensionality
56 challenge of gene-level metagenomics, it has been proposed to cluster genes by co-abundance to
57 form Co-Abundant Gene groups (CAGs). However, exhaustive co-abundance clustering of
58 millions of microbial genes across thousands of biological samples has previously been intractable
59 purely due to the computational challenge of performing trillions of pairwise comparisons.

60 **Results:** Here we present a novel computational approach to the analysis of WGS datasets in
61 which microbial gene groups are the fundamental unit of analysis. We use the Approximate
62 Nearest Neighbor heuristic for near-exhaustive average linkage clustering to group millions of
63 genes by co-abundance. This results in thousands of high-quality CAGs representing complete
64 and partial microbial genomes. We applied this method to publicly available WGS microbiome
65 surveys and found that the resulting microbial CAGs associated with inflammatory bowel disease
66 (IBD) and colorectal cancer (CRC) were highly reproducible and could be validated independently
67 using multiple independent cohorts.

68 **Conclusions:** This powerful approach to gene-level metagenomics provides a powerful path
69 forward for identifying the biological links between the microbiome and human health. By
70 proposing a new computational approach for handling high dimensional metagenomics data, we
71 identified specific microbial gene groups that are associated with disease that can be used to
72 identify strains of interest for further preclinical and mechanistic experimentation.

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75 **Background**

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77 Metagenomic analysis of the microbiome typically falls into the categories of taxonomic
78 classification, metabolic pathway reconstruction, or genome reconstruction. While each has been
79 used to good effect, each also has its own limitations. Taxonomic analysis is constrained by the
80 size and quality of reference databases, which have started to provide decreasing taxonomic
81 precision as the number of sequenced genomes grows [1]. Metabolic analysis is limited by our
82 ability to annotate biochemical function from primary sequence, with only a minority of genes
83 receiving any sort of annotation. Genome reconstruction (or “genome-resolved metagenomics”)
84 has made immense contributions to our understanding of microbial diversity and evolution, but is
85 challenging to exhaustively characterize environments like the human gut, which contain hundreds
86 or thousands of strains. In contrast, we took the approach of quantifying each individual gene *de*
87 *novo* from a given metagenome. While this approach presented considerable computational
88 challenges, it is unconstrained by the limitations of reference databases or annotation systems
89 and therefore presents the possibility of discovering novel biological patterns in the human
90 microbiome.

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92 While the microbiome has been implicated in a number of human diseases, we chose to focus on
93 CRC and IBD because of the availability of metagenomic data from multiple independent
94 cohorts[2–8]. Associative studies characterizing differences in the microbiome as a function of
95 disease status are complicated by the effect of disease and treatment process on the microbiome
96 [9–12] but it is still possible that some of the differences in the microbiome may play some causal
97 role or implicate a causal biological process.

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99 The approach of gene-level metagenomics is not new to this study and has been proposed
100 previously as an alternative to taxonomic or metabolic pathway analysis [13]. Indeed even the
101 popular HUMAnN2 tool [14] includes gene-family abundance estimation using the UniRef
102 database of proteins [15]. We took the previously-described approach of grouping together genes
103 that are consistently found at a similar level of abundance across multiple samples [13]. Such co-
104 abundant genes are likely to be found on the same chromosome or piece of DNA across multiple
105 samples, such as in the core genome for a bacterial species or consortium, on a plasmid that may
106 move between strains, or as part of an operon in the accessory genome of a species that is only
107 found in a subset of strains. Biologically speaking, co-abundant genes are not independent
108 entities, and can be grouped together for purposes of inferring their relationship with human health
109 and disease. In addition, grouping genes by co-abundance finds low-dimensional structure in
110 high-dimensional gene-level data, mitigating challenges with the statistical analysis of high-
111 dimensional metagenomics data.

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114 **Results and Discussion**

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116 The primary analytical challenge that we encountered in this project was that of efficiently
117 clustering microbial genes based on co-abundance. This general approach has been proposed
118 and implemented previously [13, 16], but existing implementations do not perform exhaustive
119 searches for co-abundant genes because performing all pairwise comparisons of millions of genes
120 in large microbiome datasets [17] is computationally intractable. To overcome this obstacle we
121 took advantage of the Approximate Nearest Neighbor (ANN) heuristic, which is able to robustly
122 identify candidate subsets of co-abundant genes without having to perform all pairwise
123 comparisons [18, 19]. We implemented a Python package (“ann_linkage_clustering”) to perform
124 exhaustive average linkage clustering using the cosine distance metric on any dataset containing
125 gene abundance data across a set of samples. While this method is relatively computationally
126 intensive, we were able to execute it in a reasonable amount of time using commodity “cloud”
127 computational resources (e.g., 17 hours for a set of 5 million genes across 199 samples with a
128 256GB RAM node). While this clustering procedure is not expected to be deterministic, our
129 experience has been that clusters are generally reproduced across replicates and we are actively
130 studying the generalizability of gene clustering as a function of input data and clustering
131 thresholds. In the ideal case this approach improves the precision of estimating gene-level
132 abundance by combining data from multiple correlated observations, as well as reducing the
133 number of hypotheses to test in an association study, while maintaining the interpretation
134 advantages of distinct genetic elements (core genome, plasmid, virus, etc.).

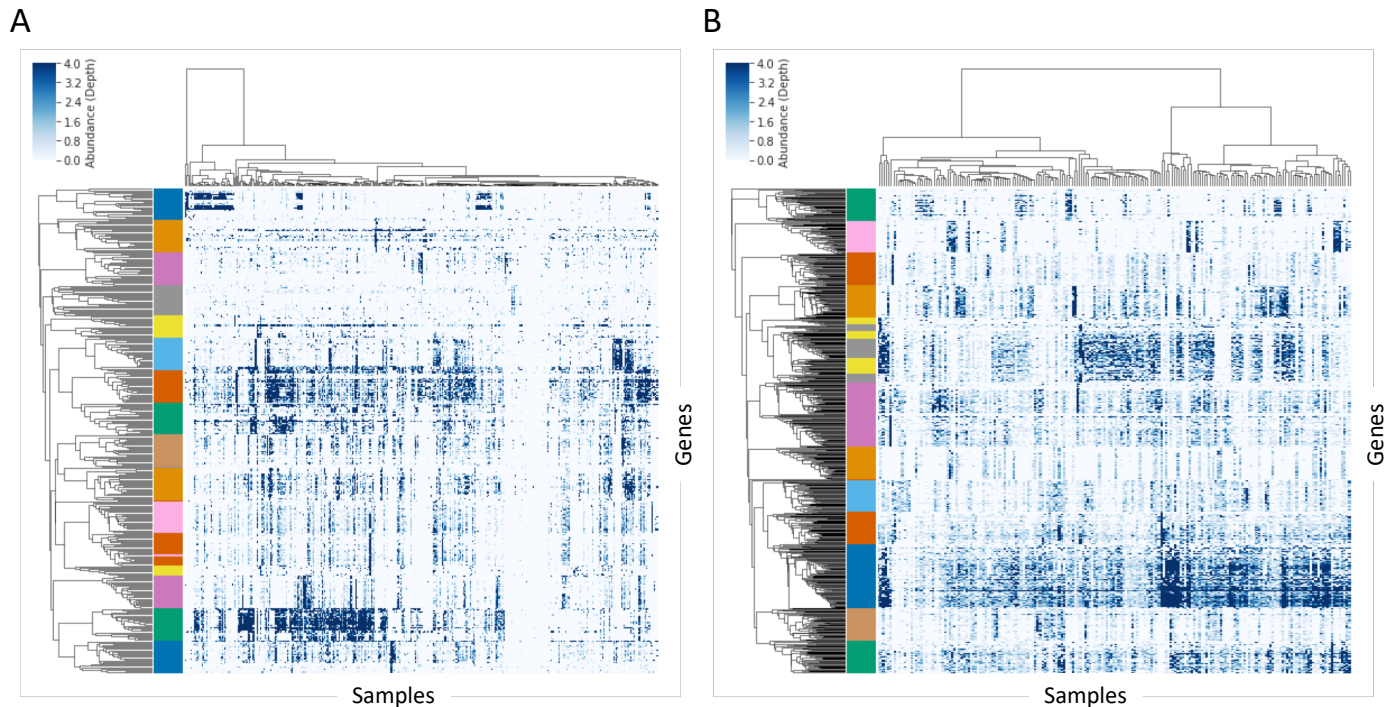
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136 We applied this novel approach to gene-level metagenomics to test for an association of the gut
137 microbiome across two distinct human diseases: IBD and CRC. We selected these diseases
138 because each has been studied by multiple groups who have collected stool samples and
139 performed metagenomic WGS sequencing (Table S1) [2–8]. Because each of these previous
140 studies used slightly different protocols for selecting patients, collecting samples, and performing
141 sequencing, an integrated analysis of these datasets should serve to identify those signals in the
142 microbiome which are most robust to the methodological and experimental confounders.

143

144 The CAGs identified in this project contained 2-23,856 genes, with the majority of genes found in
145 CAGs ranging between 10 and 2,000 genes in size and containing the range of metabolic
146 functions expected from complete and partial microbial genomes (Fig. S1). Visual inspection of
147 the genes making up these CAGs also demonstrated the highly consistent patterns of abundance
148 displayed by the genes which were ultimately grouped into these CAGs (Fig. 1). We also analyzed

149 a published single-cell sequencing dataset from the stool microbiome [20] and found that genes
150 from the same CAG were found in the same physical cell at 3-9X the rate expected by chance
151 (Fig. S2). The size, functional content, and clear pattern of co-abundance displayed by the genes
152 in this analysis suggest that the CAGs used for statistical analysis represent biological units that
153 are meaningful reflections of the composition of the microbiome across multiple independent
154 datasets.
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156
157 **Figure 1.** Patterns of gene-level co-abundance across all microbiome samples from a subset of
158 CAGs. Each row represents a single microbial gene, each column represents a single biological
159 sample, and pixel color reflects the gene's relative abundance (sequencing depth) in the sample.
160 A subset of CAGs and genes was randomly selected for display from the CRC datasets (A) and
161 the IBD datasets (B). Unsupervised hierarchical clustering was used to group the rows and
162 columns, and the left-hand color bar indicates the CAG assignment for each gene.
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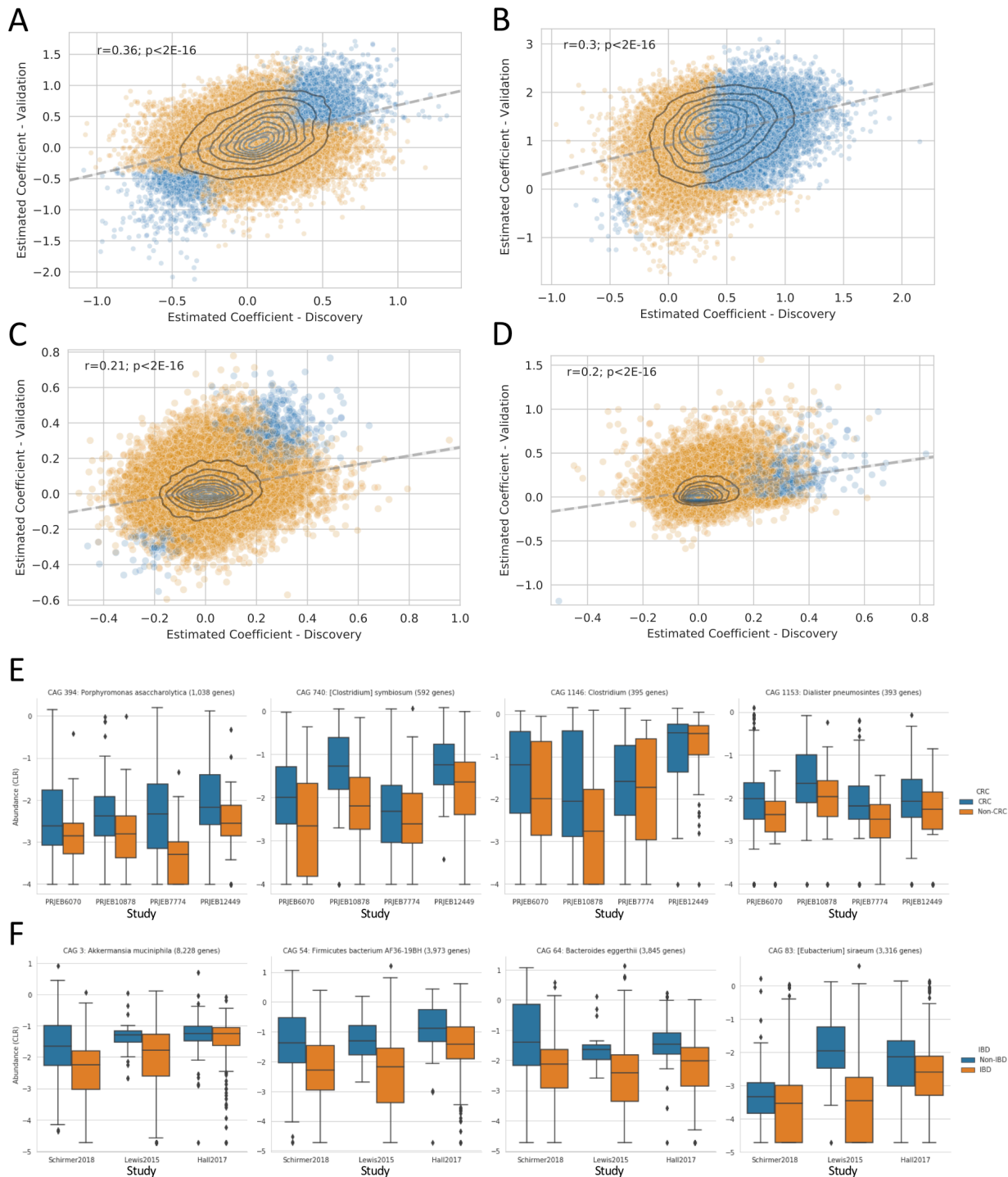
164 Our approach to the bioinformatic and statistical analysis was to select a single study for each
165 disease as the “discovery” cohort, and to use that dataset to build a *de novo* catalog of microbial
166 genes and identify CAGs. That gene catalog and CAG grouping generated from the discovery
167 cohort was subsequently used to analyze the additional validation cohorts. Our statistical model
168 was relatively straightforward and used random effects modeling to estimate the difference in the
169 centered-log ratio of the relative abundance of each CAG in the samples from people with and
170 without the disease state (accounting for multiple sampling of some individuals with random
171 effects models). We chose to group together all participants with any form of the disease state, as
172 the criteria for disease classification was not consistent across studies. In this discovery-validation
173 approach, those CAGs which had a q-value of < 0.2 in the discovery cohort were subsequently
174 tested in an additional “validation” cohort, and those CAGs which also had a q-value < 0.2 in that
175 second step and the same direction of effect were considered to be associated with disease.
176

177 We found with this approach that the estimated coefficient of disease status in the set of CAGs
178 associated with disease in the discovery cohort was significantly associated with the estimated
179 coefficient in the validation cohort (Fig. 2A-B; CRC $r=0.36$ $p<2E-16$; IBD $r=0.30$ $p<2E-16$). Within

180 the set of CAGs that were associated with disease in the discovery dataset, 44.0% and 97.2%
181 were significantly associated in the validation dataset for CRC and IBD, respectively. When
182 performing the same analysis with unclustered gene-level abundances (a single gene randomly
183 selected from each CAG), we found a roughly 20-40% lower correlation between the estimated
184 coefficient of disease status (Fig 2C-D) and a much lower validation rate of 9.8% and 76.0%,
185 respectively. We believe that this evidence supports the proposed utility of CAGs for detecting
186 reproducible biological associations of the microbiome with host disease. Furthermore,
187 24,502/36,871 CRC-associated CAGs had the same sign of the estimated coefficient in the
188 validation cohort as in the discovery cohort ($p < 1E-200$, see Methods), and 28,629/31,895 IBD-
189 associated CAGs had the same signed estimated coefficient ($p < 1E-200$). We further
190 demonstrated the extent of this association by displaying the abundance of the most strongly
191 associated CAGs across a total of 3 (IBD) or 4 (CRC) cohorts (Fig 2E-F), suggesting that this
192 association is not limited to the cohorts selected for discovery and validation. Over and above the
193 claim that the microbiome is associated with disease in both cohorts, we believe that these results
194 indicate that a substantial number of elements of the microbiome that are associated with disease
195 in a given discovery cohort will also be associated with disease in a corresponding validation
196 cohort.

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198 The pattern of association for the IBD datasets was dominated by the 98.5% of CAGs which had a
199 positive coefficient, indicating that they were more abundant in participants without IBD (Fig 2B).
200 We therefore investigated the gene-level richness, finding a lower level of gene richness observed
201 in IBD samples compared to healthy controls (Fig S3) [21], corroborating previous observations of
202 lower alpha diversity in IBD [22, 23]. Without our use of the centered log-ratio to adjust for the
203 compositional nature of these datasets the decreased abundance of a large fraction of the
204 microbiome may have resulted in a spurious finding that the remainder had increased in
205 abundance [24], but in fact we found that very few CAGs were consistently increased in
206 abundance in IBD relative to the geometric mean of each sample. In addition to the decrease of
207 overall gene richness, the lower number of CAGs found to be consistently enriched in IBD may
208 also be due to an overall heterogeneity or 'dispersion' in the organisms which are positively
209 associated with IBD across different people at a given point in time [14, 25]. However, there was a
210 subset of CAGs which were consistently found to be more abundant in IBD, which may represent
211 those bacteria which are able to thrive in the environment of the inflamed gut. Indeed, the
212 taxonomic annotation of the genes in these CAGs is enriched for organisms which have been
213 implicated in some previous studies of IBD and gut pathogens, including Enterobacteriaceae such
214 as *Escherichia/Shigella* and *Salmonella* [3, 22, 23] which may exhibit some growth advantage in
215 the context of either the increased oxygen content of the inflamed intestine or the antibiotics used
216 in IBD treatment [9, 10]. Other organisms, such as *Ruminococcus gnavus*, were only enriched in
217 IBD for a subset of genes ($n=77$), supporting the previous hypothesis of a strain-specific
218 association with IBD [4]. There was also a set of KEGG annotations that were weakly but
219 consistently enriched in this set of IBD-associated genes related to colonization and pathogenesis,
220 such as fimbriae genes *fimA* (K07345) and *fimD* (K07347), iron transport (K02010), and
221 putrescine transport (K02052; K11072; K11076).

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Figure 2. Reproducible association of CAG abundance with disease status for CRC (A, E) and IBD (B, F). The estimated coefficient plotted in A-D represents the log₁₀ change in relative abundance associated with health (positive values) or disease (negative values), for each disease state. The estimated coefficient for the discovery dataset is on the horizontal axis, and the estimated coefficient for the validation dataset is on the vertical axis. The results from CAG-based analysis are shown in A-B, while the results calculated from unclustered gene-level abundances are shown in C-D. The abundance of four representative CAGs are shown in E-F across all available datasets, with colors indicating the health status associated with each sample.

233 The pattern of association for the CRC datasets was generally balanced between CAGs that were
234 more abundant in healthy participants and those that were more abundant in disease (Fig 2A). Of
235 the largest CAGs that were reproducibly associated with disease, those which were more
236 abundant in healthy participants tended to be classified as Clostridia (via alignment to NCBI
237 RefSeq), while those which were more abundant in participants with CRC were more
238 taxonomically diverse (Fig 3A-B). Moreover, we found the functional annotations of the genes in
239 those CAGs to be particularly interesting. There were four KEGG annotations that were
240 significantly enriched in the set of CAGs found to be more abundant in CRC samples (Fisher's
241 exact test, Holm-Sidak $\alpha=0.01$): 1) *grdA* (K10670) is involved in metabolism of
242 glycine/sarcosine/betaine, and higher levels of glycine is a recognized hallmark of cancer cells
243 [26, 27]; 2) *oxyR* (K04761) is a transcriptional regulator which regulates genes protecting from the
244 biochemical damage induced by reactive oxygen species, of which markedly higher levels are
245 associated with progressive tumors [28, 29]; 3) *abgT* (K12942) is a transporter responsible for
246 uptake of p-aminobenzoyl-glutamate, and may also import other dipeptides [30]; and 4) *afuA/fbpA*
247 (K02012) are transporters responsible for importing iron [31], which is likely to be more abundant
248 in the gastrointestinal lumen of individuals with CRC due to bleeding. Three of these four
249 annotated functions have clear links to the altered environment of the gut microbiome expected
250 during CRC, and likely promote the growth of these organisms in that setting. It remains to be
251 seen whether those organisms which are able to thrive in the CRC gut microbiome also contribute
252 to progression of disease.

253

254 One advantage of a gene-based approach to metagenomic analysis is that any CAG of interest
255 can be directly compared with the genomes of bacterial isolates in order to identify strains
256 containing each gene. Of the set of genes that we identified as consistently associated with CRC
257 and IBD, we found a number of strains containing large fractions of these genes (Fig 3C-D). We
258 furthermore propose that this approach of aligning disease-associated genes to whole microbial
259 genomes may be used to identify the members of any culture collection which are likely to have
260 the largest effect in an experimental model of these human diseases.

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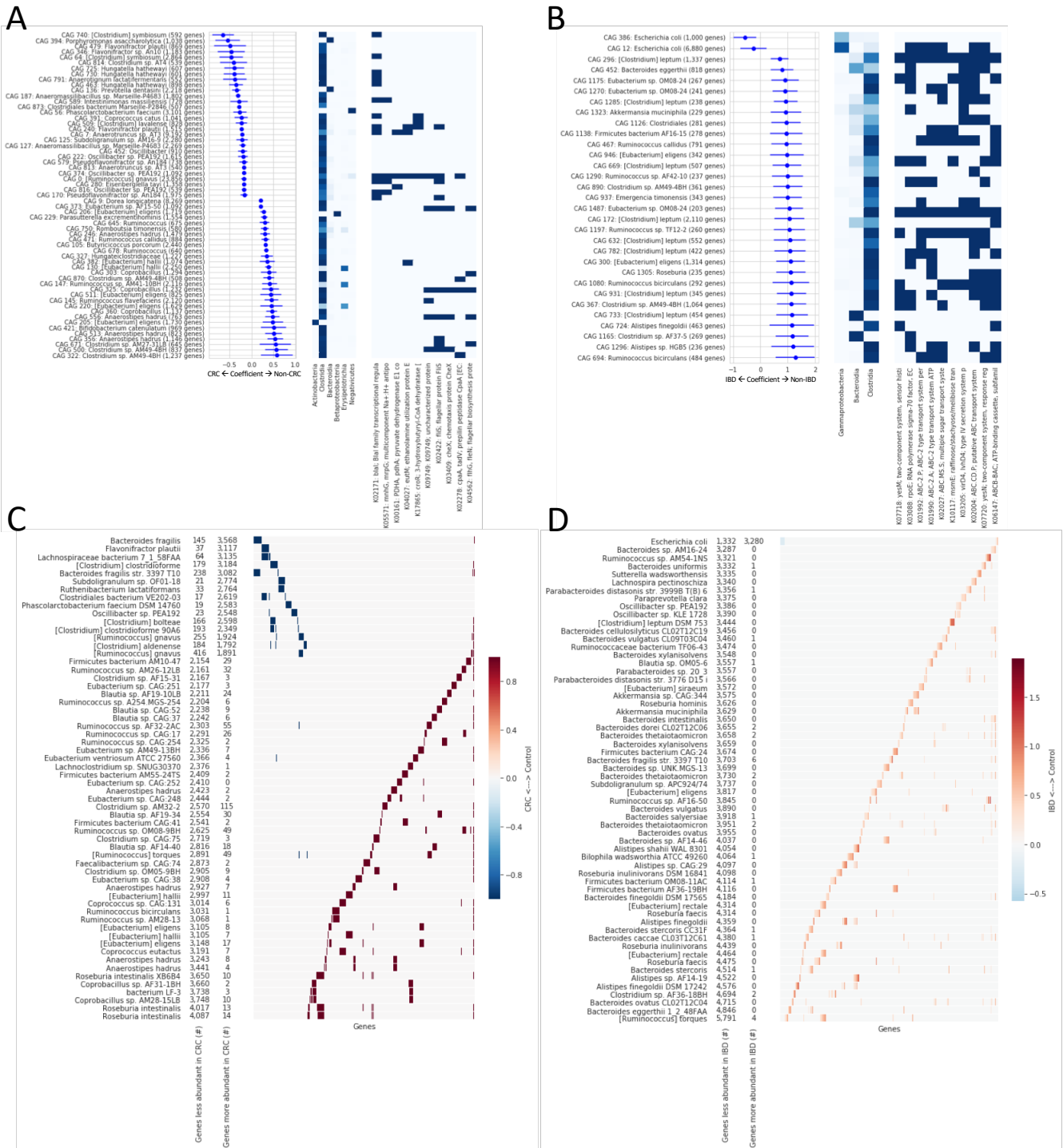


Figure 3. Association of individual microbes with CRC (A & C) and IBD (B & D). A & B show the estimated coefficient of abundance for individual CAGs with disease status (log10 mean and 90% confidence intervals, left panel), the taxonomic assignment (middle panel) and functional assignment (right panel) of genes within each of those CAGs. C & D show the number of genes from disease-associated CAGs that are found within bacterial genomes from NCBI RefSeq, showing both the total number of genes for each genome, as well as a heatmap showing which disease-associated genes are found in which genomes.

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273 Conclusions

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275 Having identified microbial protein-coding genes that are associated with CRC and IBD, we
276 anticipate that other researchers may build on these findings in multiple ways. Researchers may
277 compare this list of disease-associated genes to any genomes of interest in order to identify
278 specific isolates and/or genes which may be perturbed in a controlled experimental setting to test
279 the effect of microbes on host disease. Additionally, researchers may apply this general approach
280 (quantification of CAGs from a *de novo* gene catalog) to their own metagenomic datasets in order
281 to identify additional genes associated with any outcome of interest. While latter use-case may be
282 implemented using the computational tools and associated Docker images described in the
283 Methods, we are hoping to further support this methodological approach by developing
284 reproducible analytical workflows that are more easily executed by the general microbiome
285 research community.

286

287 By proposing an approach to the analysis of metagenomic data that produces consistent results
288 across multiple heterogeneous datasets, we are addressing one of the most important challenges
289 in metagenomics, namely, reproducibility. Our findings suggest that indeed co-abundant gene
290 groups are a reproducible and biologically meaningful unit of analysis. In addition, microbial genes
291 are a meaningful and useful unit of analysis because they can be linked to individual microbial
292 genomes, taxonomic annotations, and predicted metabolic functionality. Using this approach, we
293 identify a list of gene groups that are associated with human diseases in multiple cohorts, and we
294 identify specific microbial isolates that contain these genes. The development of diagnostics or
295 therapeutics based on this list of genes and genomes is left to future work.

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298 Methods

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300 Datasets

301

Group	Used For	Name	NCBI BioProject
IBD	Discovery	Schirmer, et al. [2]	PRJNA389280
IBD	Validation	Lewis, et al. [3]	SRP057027
IBD	Validation	Hall, et al. [4]	PRJNA385949
CRC	Discovery	Zeller, et al. [5]	PRJEB6070
CRC	Validation	Feng, et al. [8]	PRJEB7774
CRC	Validation	Yu, et al. [7]	PRJEB10878
CRC	Validation	Vogtmann, et al. [6]	PRJEB12449

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Table S1. Published datasets analyzed in this study.

303

304 Gene-level metagenomic analysis pipeline

305

306 All microbiome WGS data was analyzed using a Docker-based workflow, with each individual step
307 executed inside a Docker image. The workflow outlined below was executed independently for the
308 set of samples from Schirmer, et al., as well as for the set of samples from Zeller, et al.
309

310 The sequence of analyses is as follows:

311

312 1. Each sample was individually downloaded from NCBI SRA with Entrez Direct

313 • Docker image: quay.io/fhcrc-microbiome/get_sra:v0.4

314 • Code: <https://github.com/FredHutch/docker-sra>

315 • Wrapper script: get_sra.py

316 • Software version(s):

317 • sratoolkit.2.8.2-ubuntu64

318 • CMake3.11

319 • fastq-pair 4ae91b0d9074410753d376e5adfb2ddd090f7d85

320

321 2. Each sample was individually assembled with metaSPAdes

322 • Docker image: quay.io/fhcrc-microbiome/metaspades:v3.11.1--10

323 • Code: <https://github.com/FredHutch/docker-metaspades>

324 • Wrapper script: run_metaspades.py

325 • Software version(s): SPAdes-3.11.1-Linux

326

327 3. Each sample's metagenomic assembly was annotated using Prokka

328 • Docker image: quay.io/fhcrc-microbiome/metaspades:v3.11.1--8

329 • Code: <https://github.com/FredHutch/docker-metaspades>

330 • Software version(s): Prokka v1.12; barrnap v0.9

331 • Wrapper script: run_prokka.py

332

333 4. The protein-coding sequences from all of the metagenomic assemblies for a given dataset
334 were clustered at 90% amino acid identity using mmSeqs2 to create a set of non-redundant
335 protein sequences

336 • Docker image: quay.io/fhcrc-microbiome/integrate-metagenomic-assemblies:v0.4

337 • Code: <https://github.com/FredHutch/integrate-metagenomic-assemblies>

338 • Software version(s): biopython==1.70; MMseqs2 v2-23394

339 • Wrapper script: integrate_assemblies.py

340

341 5. Each sample was aligned against the non-redundant protein sequences using DIAMOND,
342 with post-alignment filtering using FAMLI. The Docker image associated with this step
343 includes both the DIAMOND aligner and the FAMLI filtering code.

344 • Docker image: quay.io/fhcrc-microbiome/famli:v1.1

345 • Code: <https://github.com/FredHutch/famli>

346 • Software version(s): DIAMOND v0.9.10; famli==1.0

347 • Wrapper script: famli

348 • Parameters:

349 • min_qual = 30

350 • min_score = 20

351 • query_gencode = 11

352

353 6. The non-redundant protein sequences were functionally annotated via eggNOG-mapper

- 354 • Docker image: quay.io/fhcr-microbiome/eggnog-mapper:v0.1
- 355 • Code: <https://github.com/FredHutch/docker-eggnog-mapper>
- 356 • Software version(s): eggNOG-mapper = 1.0.3--py27_0
- 357 • Wrapper script: run_eggnog_mapper.py
- 358
- 359 7. The non-redundant protein sequences were analyzed via the taxonomic assignment
- 360 functionality of DIAMOND (using NCBI's RefSeq as the reference database)
- 361 • Docker image: quay.io/fhcr-microbiome/famli:v1.3
- 362 • Code: <https://github.com/FredHutch/famli>
- 363 • Software version(s): DIAMOND v0.9.22
- 364 • Wrapper script: diamond-tax.py
- 365 • Parameters: top_pct = 1
- 366
- 367 8. The non-redundant protein sequences were grouped into CAGs based on their abundance
- 368 profile across the dataset.
- 369 • Docker image: quay.io/fhcr-microbiome/find-cags:v0.11.1
- 370 • Code: <https://github.com/FredHutch/find-cags>
- 371 • Software version(s): nmslib = 1.7.3.5
- 372 • Wrapper script: find-cags.py
- 373 • Parameters:
- 374 • min_samples = 10
- 375 • max_dist = 0.3
- 376 • normalization = sum
- 377
- 378 9. Group the outputs of all previous steps into a single HDF file
- 379 • Docker image: quay.io/fhcr-microbiome/experiment-collection:latest
- 380 • Code: <https://github.com/FredHutch/minot-experiment-collection>
- 381 • Wrapper script: make-experiment-collection.py
- 382

383 The validation datasets were analyzed by aligning the raw WGS reads against the non-redundant

384 protein sequences generated from the relevant discovery dataset as described in Step 5

385 described above. The final HDF file creation step (9) includes the results of that quantification step

386 for the validation datasets as well as the discovery datasets.

387

388 Given the difficulty of providing a workflow execution system that can be used effectively by a

389 broad range of users, we have elected to provide all of the individual tools needed to run a

390 complete analytical workflow, with public Docker images making up each individual step, instead

391 of providing a complete workflow system that each user would need to customize for their own

392 execution engine (Slurm, PBS, Kubernetes, AWS, GCP, Azure, etc.). This approach enables

393 execution of the exact code that we used in this analysis in a platform-independent manner using

394 the highest standard of reproducibility (Docker containers).

395

396 Our implementation of the analytical workflow described above relied upon the Amazon Web

397 Service and its Batch API, which allows users to submit individual jobs for analysis using utilities

398 from the boto3 library in Python. While this implementation does not represent a complete

399 workflow management system, the code used for this execution is available at

400 <https://github.com/FredHutch/aws-batch-helpers/> in the batch_helpers/batch_task_manager.py

401 module.

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404 Grouping genes by co-abundance

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406 We did not find any public tools for grouping genes by co-abundance that were appropriate to the
407 scale of our datasets. To implement our own approach for finding CAGs, we utilized the Non-
408 Metric Space Library (`nmslib`, <https://pypi.org/project/nmslib/>) which implements the Approximate
409 Nearest Neighbor (ANN) algorithm [18, 19] and obviates the need for calculating the all-by-all
410 distance matrix typically used by clustering algorithms. The abundance matrix used for clustering
411 was created by calculating the depth of sequencing for each individual gene within each sample
412 and normalizing for total sequencing depth. The distance metric used to quantify the dissimilarity
413 of individual genes was the cosine distance. Gene clusters were identified iteratively by average
414 linkage clustering and a fixed cophenetic distance threshold. The ANN algorithm was used to
415 identify subsets of genes which were likely to be highly co-abundant, and which could be clustered
416 independently of the whole. The code executed for this analysis, as well as a Docker image
417 containing all required dependencies, can be found in the summary of the complete analysis
418 workflow (Step 8).

419

420

421 Correlating CAGs with health status

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423 **CAG discovery:** For every CAG in the validation dataset, we tested the null hypothesis that the
424 mean difference in CLR abundance between patients with and without disease was zero using the
425 general linear model framework. Datasets with repeated measurements on subjects were
426 modelled using a linear mixed effects model with subject as a random effect. We employed the
427 centered-log ratio to address the compositionality and range constraint of the gene relative
428 abundances, and it is consistent with the choice to group genes based on cosine distance. Using
429 the `qvalue` R package (v2.8.0), we calculate the q-values for each CAG. Our set of “discovered
430 CAGs” for validation is the set of CAGs with calculated q-value of 0.2 or less. These are the CAGs
431 that would be considered statistically significant while controlling the FDR at 20%.

432 **CAG validation:** *For only the discovered CAGs*, we tested the null hypothesis that the mean
433 difference in CLR abundance between patients with and without disease was zero in the validation
434 datasets. Our “validated CAGs” are the CAGs in this set with calculated q-values of 0.2 or less,
435 and that have an estimated difference in abundance between disease status groups of the same
436 sign as the estimated difference in the discovery dataset.

437 **The probability of validating discovered CAGs:** To calculate the probability of validating C_2 or
438 more out of C_1 CAGs under the global null hypothesis of no association between disease status
439 and any CAG’s abundance, we bounded the p-value for validating discovered CAGs in the
440 following way. Let X be the number of CAGs with q-values less than 0.2 for the validation data and
441 with an estimated difference in CLR abundance across disease groups of the same sign in the
442 validation and discovery datasets, and Y be the number of CAGs with an estimated difference in
443 CLR abundance across disease groups of the same sign in the validation and discovery datasets.
444 Since under the null the test statistics are approximately Normal(0,1)-distributed,

$$445 Pr_{H_0}(X \geq C_2) \leq Pr_{H_0}(Y \geq C_2) = Pr(Binomial(C_1, 0.5) \geq C_2) \approx Pr\left(Normal(0, 1) \geq \frac{C_2 - C_1/2}{\sqrt{C_1/2}}\right),$$

446 giving us a conservative p-value for the global null of no association.

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449 Aligning protein-coding genes against RefSeq genomes

450

451 The alignment of individual protein-coding genes against the RefSeq collection of genomes in
452 NCBI was executed using the Docker image hosted at [quay.io/fhcr-microbiome/docker-](https://quay.io/fhcr-microbiome/docker-diamond:v0.9.23-0)
453 [diamond:v0.9.23—0](https://quay.io/fhcr-microbiome/docker-diamond:v0.9.23-0) and built using the Dockerfile hosted at [https://github.com/FredHutch/docker-](https://github.com/FredHutch/docker-diamond)
454 [diamond](https://github.com/FredHutch/docker-diamond), running DIAMOND v0.9.23. The complete list of Prokaryotic RefSeq genomes was
455 downloaded from <https://www.ncbi.nlm.nih.gov/genome/browse#!/prokaryotes/> and the query
456 proteins were aligned via DIAMOND against the annotated protein-coding sequences from each
457 genome individually. We implemented this analysis on the Amazon Web Service using the Batch
458 API for execution and resource management.

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461 Quantification of co-abundant genes in uncultured single cells

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463 Datasets from published single-cell sequencing microbiome experiments [20] were downloaded
464 and split by 10X barcode (each corresponding to a single cell). The WGS data for each single cell
465 was aligned against each reference gene catalog (for the CRC and IBD datasets) and filtered with
466 FAML1 as described in workflow step 5, above. The result of this analysis was a count of the
467 number of genes that were found in the same cell as another gene that is also part of the same
468 CAG. As a comparison, we calculated the number of such genes that would be found with a
469 randomly permuted set of CAG assignments.

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472 **Declarations**

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474 Ethics approval and consent to participate
475 Not applicable

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477 Consent for publication
478 Not applicable

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480 Availability of data and material
481 The data produced in this analysis is available on the Synapse platform at
482 <https://www.synapse.org/#!/Synapse:syn15623121> (doi:10.7303/syn15623121). The Synapse
483 project will be made fully public upon acceptance for publication. The repository includes
484 documentation describing the organization and formatting of relevant data files and includes all of
485 the outputs from the bioinformatic pipeline used for gene-level metagenomic analysis, as well as
486 the Jupyter notebooks used to analyze those datasets and produce the figures and tables
487 presented here.

488
489 Competing interests
490 AW: None to declare.
491 SM holds financial interest in Reference Genomics, Inc. (One Codex) and consults for the
492 American Type Culture Collection (ATCC).

493
494 Funding
495 Not applicable

496
497 Authors' contributions
498 SM developed the novel CAG identification method and performed all primary data analysis; AW
499 developed the statistical analysis and discovery-validation framework; both authors contributed to
500 figure generation and writing the manuscript.

501

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508 **References**

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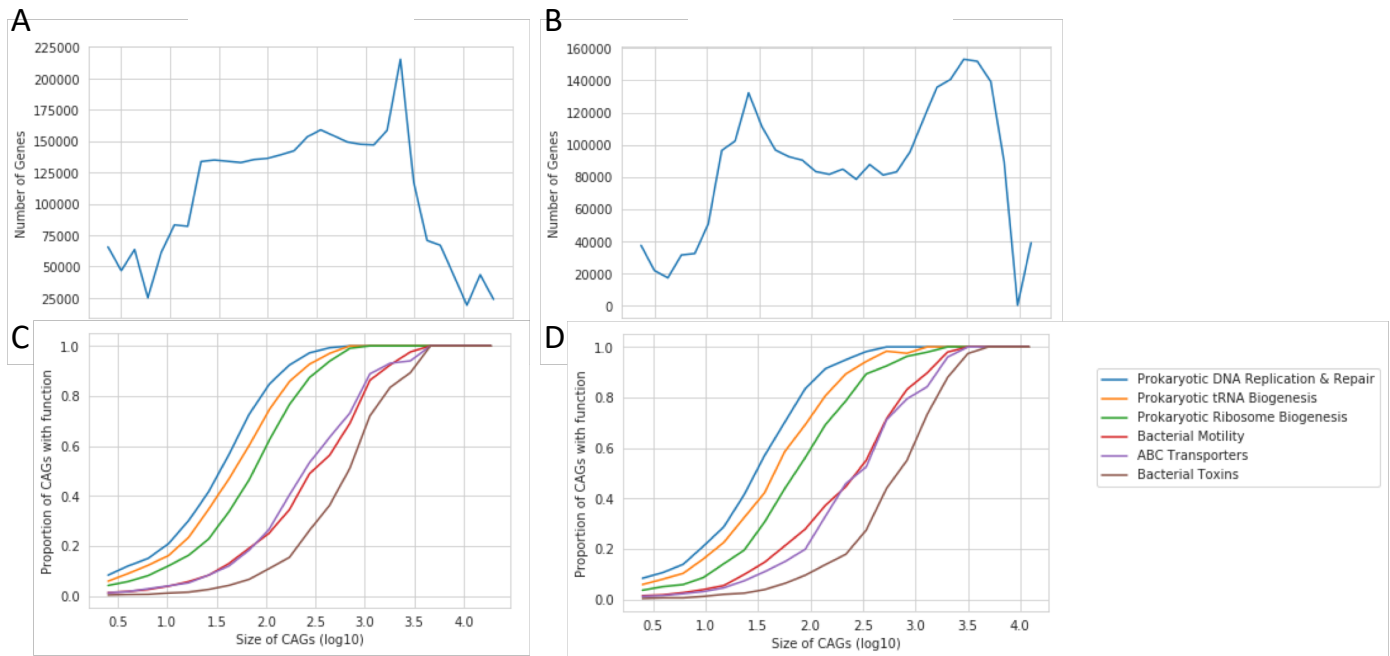
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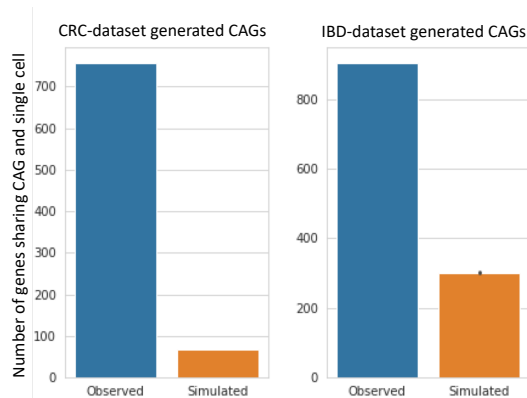
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Supplementary Figures



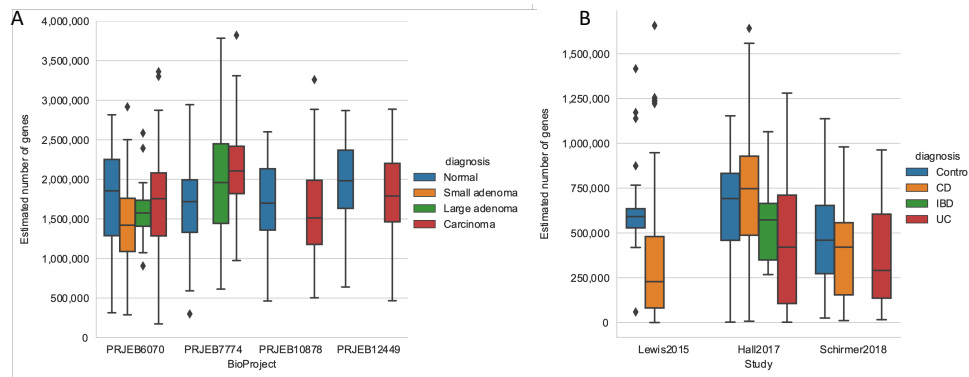
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Supplementary Figure S1. The distribution of CAG size (genes per CAG; A & B) and the functional annotation of genes in CAGs is shown by CAG size (C & D). Each gene can be annotated with a range of biological functions, and the proportion of CAGs of a given size containing at least one functional annotation is shown (C & D). The CAGs generated from the CRC datasets are shown in A & C, while the CAGs generated from the IBD datasets are shown in B & D. The horizontal axis is shared between panels A & C, as well as B & D.



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Supplementary Figure S2. Single-cell microbiome datasets were analyzed using the gene catalogs and CAG groupings from the CRC and IBD datasets. Co-occurrence was measured as the number of genes that were found in the same cell with another gene from the same CAG. Simulations were performed by random permutation, with 1,000 replicates. Orange bars show mean and standard deviation.



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Supplementary Figure S3. Alpha diversity by diagnosis across cohorts. The number of total genes in each sample was estimated with breakaway for both the CRC (A) and IBD (B) cohorts.

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Supplementary Tables

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Supplementary Table S2. Description of genes associated with CRC, including the CAG grouping, correlation coefficient, taxonomic annotation, and functional annotation. Public repository URL: <https://www.synapse.org/#!Synapse:syn17104367>

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Supplementary Table S3. Description of genes associated with IBD, including the CAG grouping, correlation coefficient, taxonomic annotation, and functional annotation. Public repository URL: <https://www.synapse.org/#!Synapse:syn17104250>

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