

1 Transcriptome analysis reveals that fertilization with cryopreserved sperm
2 downregulates genes relevant for early embryo development in the horse

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35 **ABSTRACT**

36

37 Artificial insemination with cryopreserved sperm is a major assisted reproductive
38 technology in many species. In horses, as in humans, insemination with cryopreserved
39 sperm is associated with lower pregnancy rates than those for fresh sperm, however,
40 direct effects of sperm cryopreservation on the development of resulting embryos are
41 largely unexplored. The aim of this study was to investigate differences in gene
42 expression between embryos resulting from fertilization with fresh or cryopreserved
43 sperm. Embryos were obtained at 8, 10 or 12 days after ovulation from mares
44 inseminated post-ovulation on successive cycles with either fresh sperm or frozen-
45 thawed sperm from the same stallion, providing matched embryo pairs at each day.
46 RNA was isolated from two matched pairs (4 embryos) for each day, and cDNA
47 libraries were built and sequenced. Significant differences in transcripts per kilobase
48 million (TPM) were determined using (i) genes for which the expression difference
49 between treatments was higher than 99% of that in the random case ($P < 0.01$), and (ii)
50 genes for which the fold change was ≥ 2 , to avoid expression bias in selection of the
51 candidate genes. Molecular pathways were explored using the DAVID webserver,
52 followed by network analyses using STRING, with a threshold of 0.700 for positive
53 interactions. The transcriptional profile of embryos obtained with frozen-thawed sperm
54 differed significantly from that for embryos derived from fresh sperm on all days,
55 showing significant down-regulation of genes involved in biological pathways related to
56 oxidative phosphorylation, DNA binding, DNA replication, and immune response.
57 Many genes with reduced expression were orthologs of genes known to be embryonic
58 lethal in mice. This study, for the first time, provides evidence of altered transcription in
59 embryos resulting from fertilization with cryopreserved spermatozoa in any species. As
60 sperm cryopreservation is commonly used in many species, including human, the effect
61 of this intervention on expression of developmentally important genes in resulting
62 embryos warrants attention.

63

64 **Key words:** equine, sperm, cryopreservation, embryo, RNAseq, transcriptome

65

67 INTRODUCTION

68

69 Cryopreservation is a common procedure in assisted reproductive technology, in both
70 humans and the animal breeding industry [1-3]. Cryopreserved sperm are routinely used
71 for artificial insemination (AI), in vitro fertilization (IVF) and intracytoplasmic sperm
72 injection (ICSI). However, it is clear that sperm cryopreservation methods are currently
73 sub-optimal, as pregnancy rates with cryopreserved sperm are lower than those with
74 fresh sperm in humans and horses [4], among other species. Cryopreservation leads to
75 extensive damage of sperm cell membranes and causes metabolic and functional
76 alteration of sperm [5, 6], particularly of their mitochondria [7-9]. Cryopreservation
77 may alter sperm DNA [10]; recently, specific cryodamage to sperm genes and
78 transcripts have been reported [11, 12], even in samples with good sperm motility post
79 thaw and in the absence of detectable DNA fragmentation. The sperm DNA is
80 epigenetically programmed to regulate embryonic gene expression, and changes to this
81 epigenome cause developmental dysregulation [13]. Cryopreservation has been found to
82 significantly change the sperm DNA methylome, as well as to alter expression of
83 epigenetic-related genes such as methyltransferases (Aurich, Zang). Cryopreservation of
84 sperm imposes oxidative stress and redox deregulation in spermatozoa, leading to the
85 presence of toxic adduct-forming compounds such as 4-hydroxynonenal (4-HNE) in
86 sperm membranes [14]. Moreover, mitochondria of spermatozoa surviving
87 cryopreservation show increased production of reactive oxygen species [7, 8, 15].
88 Signaling pathways crucial to normal embryo development are sensitive to
89 perturbations of endogenous redox state, and are also susceptible to modulation by
90 reactive oxygen species [16]. Thus, fertilization by damaged spermatozoa may impact
91 early embryo development and even have effects that appear later in the life of the
92 offspring [17].

93 Moreover, appreciation of the contribution of sperm to embryo development has
94 evolved from the concept that the only role of sperm at fertilization is to introduce the
95 male genome into the egg. Sperm carry a myriad of small noncoding RNAs with
96 potential roles in early embryo development [18, 19]. Notably, sperm carry the
97 activating factor PLC ζ , which triggers calcium oscillations that induce oocyte activation
98 [20, 21], and alterations in frequency and amplitude of post-fertilization calcium
99 oscillations can affect the phenotype of the resulting embryo into adulthood [22]. Thus,

100 there are extensive pathways by which cryopreservation of sperm could alter the
101 development of the fertilized oocyte and embryo.

102

103 Despite the widespread use of cryopreserved sperm, and the known decrease in
104 pregnancy rates with its use, little direct information is available on the effect of sperm
105 cryopreservation on development of the resulting embryo. Recent advances in
106 transcriptome amplification and next generation sequencing provide the ability to obtain
107 the full transcriptome of individual embryos [23], thus offering a basis for studies on
108 differences in gene expression associated with fertilization with cryopreserved sperm. In
109 the present study, we analyzed the transcriptome of equine embryos produced with fresh
110 or frozen-thawed sperm, to determine the impact of sperm cryopreservation on gene
111 expression during early equine embryo development.

112

113 **MATERIAL AND METHODS**

114

115 **Animals and experimental design**

116

117 Animals were maintained according to European laws and regulations, and all
118 experimental procedures were reviewed and approved by the Ethical committee of the
119 University of Extremadura, Cáceres, Spain. Six mares and two stallions of known
120 fertility were used for this study. Each mare was assigned a day of embryo recovery (8,
121 10 or 12 days post ovulation) and on successive cycles was assigned to be inseminated
122 with fresh or frozen-thawed sperm from the same stallion, to provide matched embryo
123 pairs for that day of embryo development. The mares were treated with a prostaglandin
124 analogue to shorten the luteal phase and were monitored daily by transrectal
125 ultrasonography. When a follicle of at least 35 mm diameter was detected in the absence
126 of luteal tissue, with marked uterine edema and low cervical tone, mares received 2,500
127 IU of hCG i.v.. The follicle was monitored by transrectal ultrasonography every 6 h
128 thereafter to detect the time of ovulation. Mares were inseminated immediately once
129 ovulation was detected, with a minimum of 100 million either fresh sperm or frozen-
130 thawed sperm, from the same stallion. Embryos were obtained by uterine lavage on the
131 designated day after ovulation. For each embryo day, two embryos produced with fresh
132 sperm, designated FRSH embryos, and 2 embryos produced with frozen-thawed sperm,
133 designated CRYO embryos were obtained. Embryos were snap-frozen in liquid N₂ and

134 stored at -80°C until analysis. Previous clinical reports indicated that there is no a
135 significant effect in the rate of embryonic vesicle growth between mares inseminated
136 with fresh or frozen-thawed sperm if both are inseminated post-ovulation [24].

137

138 **Isolation of RNA**

139

140 Total RNA was isolated from the embryos using the PicoPure™ RNA Isolation Kit
141 (Catalog number KIT0204, ThermoFisher) following the manufacturer's instructions.
142 RNA concentration and quality were assessed by automatic electrophoresis using 2100
143 Bioanalyzer (Agilent Technologies, Santa Clara, CA, USA).

144

145 **RNA-seq analysis**

146

147 cDNA libraries were built using an IonTorrent S5/XL sequencer (Thermo Fisher
148 Scientific, Waltham, MA USA). The raw reads were aligned to a horse transcriptome
149 generated using ENSEMBL (Equ Cab 2 version) in the Torrent server with proprietary
150 ThermoFisher algorithms. Then, custom scripts were used to transform reads into
151 transcript counts, and transcripts per kilobase million (TPM) scores for each gene were
152 retrieved. A gene was considered expressed if the reads per kilobase or transcript model
153 per million mapped reads was > 0.4 . In order to evaluate gene expression differences
154 between treatments (FRSH or CRYO embryos), we calculated two thresholds: first, we
155 calculated the random TPM differences between FRSH and CRYO embryos by
156 permutation of the TPM gene scores. Then we chose the genes whose expression
157 difference between the two conditions was higher than in 95% ($P < 0.05$) or in 99%
158 ($P < 0.01$) of the random cases. As a second score, we used a fold change ≥ 2 as a
159 threshold in order to avoid expression biases in the selection of the candidate genes.

160

161 **Gene Ontology and pathway analysis**

162

163 The annotations of the candidate genes selected after the RNA-seq analyses were
164 explored to detect significant differences in molecular pathways between treatments.
165 Specifically, the DAVID webserver [25] was used to retrieve the terms (gene ontology,
166 up-expressed tissues, KEGG and reactome pathways, protein-protein interactions, etc)
167 with significant over-presence of the candidate genes, using a false discovery rate

168 (FDR) < 0.05. We used the human genome as reference for the analysis because of its
169 increased depth in terms of annotation.

170

171 **Network analysis**

172

173 STRING [26] was used to analyze the internal structure of the functional network
174 obtained using the candidate genes. Data included co-expression, genetic fusion, co-
175 occurrence or protein-protein interactions, among others. A high threshold (0.700) was
176 selected for positive interaction between a pair of genes.

177

178 **RESULTS**

179

180 A total of 12 conceptuses were analysed (2 FRSH and 2 CRYO at each day). An
181 average of 29,196 transcripts per embryo were obtained.

182

183 ***Day-8 embryos***

184

185 In Day-8 CRYO embryos, 100 transcripts showed increased abundance and 157
186 transcripts showed decreased abundance in respect to FRSH embryos of the same age
187 from the same stallion and mare (Fig. 1).

188

189 Of the 100 transcripts showing increased abundance, 23 could be aligned to the genome
190 build (Supplementary Table 1). These included the *progesterone receptor membrane*
191 *component (8PGRMC1)*. Enriched biological processes (Fig 2b) included extracellular
192 region genes *defensing beta 119*, *insulin like 3*, *prostaglandin D2 synthase* and
193 *uteroglobin*; genes associated with negative regulation of cysteine type endopeptidase
194 activity involved in apoptotic processes including *nuclear receptor subfamily 4 group A*
195 *member* and *paired box 2*; and genes involved in skeletal muscle cell differentiation
196 including *activating transcription factor 3* and *nuclear receptor subfamily 4 group4 A*
197 *member (Fig 2b)*. STRING analysis revealed no significant enrichments in functional
198 networks for transcripts with increased abundance.

199

200 Transcripts showing decreased abundance provided more information, with 129
201 transcripts annotated in the equine database. The complete list of transcripts is presented

202 in Supplementary Table 2. Due to the large number of genes retrieved, the threshold
203 was reset at $P < 0.001$ and 62 transcripts were then retrieved (Table 1). Related gene
204 ontology terms are shown in Fig 2a. STRING analysis, performed using a threshold of
205 0.700, obtained a protein-protein interaction (PPI) enrichment P value of $< 1.0 \times 10^{-16}$
206 (Fig 4). The complete list of genes in this network with their clustering is presented in
207 Supplementary File 3. Enriched biological processes included *cellular process, iron ion*
208 *transport, cellular iron ion homeostasis, metabolic process, response to inorganic*
209 *substance, biological regulation, single-organism process, cellular macromolecule*
210 *metabolic process, single organism cellular process, cellular metabolic process,*
211 *response to stimulus, cellular response to zinc ion, transport, regulation of biological*
212 *process, oxidation-reduction process, cellular component disassembly, cellular nitrogen*
213 *compound metabolic process, translation, single organism transport, gene expression,*
214 *positive regulation of nitrogen compound metabolic process, biological process, protein*
215 *folding, cellular component organization, regulation of cell proliferation, and primary*
216 *metabolic process. In addition, enriched terms in KEGG (Kyoto encyclopedia of gene*
217 *and genomes) pathways included ribosome, Parkinson disease and oxidative*
218 *phosphorylation (Fig 3a).*

219

220 ***Day-10 embryos***

221

222 In Day-10 embryos 239 transcripts showed increased abundance ($P < 0.01$), and 206
223 showed decreased abundance, in CRYO embryos in comparison with FRSH embryos.

224

225 Of the 239 transcripts showing increased abundance, 53 aligned to the genome build
226 (Supplementary Table 4). Functional annotation revealed these genes to be related to the
227 GOterms and KEEG pathways *nucleosome, systemic lupus erythematosus, DNA*
228 *replication-dependent nucleosome assembly, protein heterodemerization, alcoholism,*
229 *nuclear chromosome, telomeric region, regulation of gene silencing, nucleosomal DNA*
230 *binding, membrane, translation, poly (A) RNA binding, viral carcinogenesis, negative*
231 *regulation of megakaryocyte differentiation, DNA replication independent nucleosome*
232 *assembly, extracellular exosome, DNA-templated transcription, xenophagy, ribosome,*
233 *positive regulation of defense to virus by host, DNA binding, mitochondrion, cytosolic*
234 *large ribosomal subunit, extracellular space, transcriptional misregulation in cancer,*
235 *innate immune response in mucosa, U1 snRNP, antibacterial humoral response,*

236 *telomerase RNA binding* and *mitochondrial small ribosomal subunit* (Fig2 d). STRING
237 analysis revealed a PPI enrichment P value of $< 1.0 \times 10^{-16}$. Functional enrichment
238 included the PFAM protein domain *Core histone H2A/H2B/H3/HA* and the INTERPRO
239 protein domains, including Histone fold, Histone H3/CNEP-A, Histone H2A/H2B/H3,
240 Histone H4, Histone H4 conserved site, TATA box binding protein associated factor
241 (TAF) and ribosomal protein L23/L15e core domain.

242

243 Of the 206 transcripts showing decreased abundance in CRYO embryos at Day
244 10, 115 were aligned. Enriched KEEG pathways that were also detected in 8-Day
245 embryos (Table 3) included *oxidative phosphorylation*, *Parkinson disease*, *Alzheimer*
246 *disease*, *Hungtington disease*, *Metabolic pathways*, *Ribosome*, *cardiac muscle*
247 *contraction*, and *non-alcoholic fatty liver disease*. Three new enriched pathways,
248 *protein processing in endoplasmic reticulum*, *systemic lupus erythematosus* and
249 *phagosome*, were detected (Fig 3b). More significantly represented GOterms were *ATP*
250 *synthesis coupled proton transport*, *translation*, *nucleosome assembly*, *cell redox*
251 *homeostasis*, *extracellular exosome*, *myelin sheath*, *respiratory chain*, *mitochondrion*,
252 *extracellular space*, *NADH dehydrogenase (ubiquinone) activity*, *structural constituent*
253 *of ribosome*, and *proton transporting ATP synthase activity rotational mechanism* (Fig
254 2c). A complete list of enriched GOterms retrieved are given in Table 4. STRING
255 analysis revealed functional networks with a PPI enrichment P value of $< 1.0 \times 10^{-16}$ (Fig
256 5). Functional enrichment included the PAFM domains *core histone H2A/H2B/H3/H4*,
257 *thiorredoxin*, *NADH deshydrogenase*, *NADH-Ubiquinone* and *plastoquinone (Complex*
258 *I)*, *various chains*.

259

260 **Day-12 embryos**

261

262 In Day-12 embryos, 149 transcripts showed increased abundance and 157 showed
263 decreased abundance in CRYO embryos. Of the 149 transcripts with increased
264 abundance, 61 were annotated (Supplementary Table 5). Enriched KKEG pathways
265 included *ribosome* and *Parkinson disease* and the GOterms *extracellular exosome*,
266 *translation*, *structural constituent of ribosome*, *nuclear nucleosome*, *mitochondrial*
267 *respiratory chain complex I*, *cytosolic large ribosomal subunit*, *nucleosome assembly*,
268 *methylosome*, and *catalytic step 2 spliceosome*. On STRING analysis, a PPI enrichment
269 P value of $< 8 \times 10^{-10}$ was obtained.

270

271 Of the 157 transcripts showing decreased abundance in Day-12 CRYO embryos, 60
272 transcripts aligned to the genome build (Supplementary Table 6). Enriched KEEG
273 pathways, also detected in 8- and 10-day embryos, included *oxidative phosphorylation*,
274 *Parkinson disease*, *metabolic pathways*, *Alzheimer disease*, *Huntington disease*, *non-*
275 *alcoholic fatty acid liver disease* and *cardiac muscle contraction*. In addition a new
276 pathway, *folate biosynthesis*, was enriched (see Fig. 3 for comparative enriched KEEG
277 pathways for transcripts with decreased abundance in 8-, 10- and 12-day CRYO
278 embryos. GOterms enriched annotations (Fig 2e) were *NADH dehydrogenase*
279 *(ubiquinone) activity*, *mitochondrial respiratory chain complex I*, *nucleosome*, *DNA*
280 *replication dependent nucleosome assembly*, *protein heterotetramerization*,
281 *mitochondrion*, *respiratory chain*, *negative regulation of megakaryocyte differentiation*,
282 *DNA template transcription initiation*, *ATP synthesis coupled electron transport*,
283 *nuclear chromosome telomeric region*, *DNA binding*, *oxireductase activity*,
284 *mitochondrial inner membrane*, *integral component of membrane*, *mitochondrial*
285 *electron transport NADH to ubiquinone*, and *extracellular exosome*. The complete list
286 is given in Table 4

287

288 STRING analysis revealed functional networks with a PPI enrichment P value of < 1.0
289 $\times 10^{-16}$ (Fig 6). Functional enrichment included the PFAM protein domains *core histone*
290 *H2A/H2B/H3/H4*, *NADH dehydrogenase*, and *NADH-ubiquinone/plastoquinone*
291 *(complex I various chains)*.

292

293 ***Comparison of downregulated genes with the mouse genome database***

294

295 In order to explore mechanisms that may relate to reduced viability in embryos obtained
296 using cryopreserved semen, the Mouse Genome Database [27, 28] was queried to
297 determine whether genes downregulated in CRYO equine embryos were orthologs to
298 mouse genes with known associations with embryo lethality.

299

300 **Day-8 embryos**

301

302 In Day-8 CRYO embryos, transcripts of genes associated with the following terms were
303 found to be of low abundance: *failure of zygotic division*, *decreased embryo size*,

304 *abnormal embryo size, embryonic growth arrest, embryonic growth retardation,*
305 *embryonic lethality before implantation-complete penetrance, embryonic lethality*
306 *between implantation and somite formation-complete penetrance, embryonic lethality*
307 *between somite formation and embryo turning-complete penetrance, embryonic lethality*
308 *prior to tooth bud stage, abnormal embryonic tissue morphology, abnormal*
309 *extraembryonic tissue morphology, delayed allantois development, perinatal lethality*
310 *incomplete penetrance, prenatal lethality-complete penetrance, preweaning lethality-*
311 *complete penetrance, abnormal male germ cell apoptosis, abnormal spermatogenesis,*
312 *azoospermia, male infertility and female infertility.*

313

314 **Day 10 embryos**

315

316 In Day-10 CRYO embryos, the following gene associations cited above for Day-8
317 embryos were found: *decreased embryo size, abnormal embryo size, failure of zygotic*
318 *cell division, embryonic lethality between implantation and somite formation,*
319 *embryonic lethality between implantation and somite formation-complete penetrance,*
320 *embryonic lethality prior to tooth bud stage, prenatal lethality-complete penetrance,*
321 *perinatal lethality-incomplete penetrance, preweaning lethality, preweaning lethality-*
322 *complete penetrance, and abnormal spermatogenesis.*

323

324 In addition, the following associations were found: *abnormal blastocyst morphology,*
325 *absent blastocoele, abnormal inner cell mass morphology, absent inner cell mass*
326 *proliferation, empty decidua capsularis, embryonic growth retardation, failure of*
327 *blastocyst to hatch from the zona pellucida, abnormal preimplantation embryo*
328 *development, failure to gastrulate, embryonic lethality prior to embryogenesis, failure*
329 *of embryo implantation, abnormal decidua basalis morphology, abnormal*
330 *extraembryonic endoderm formation, prenatal lethality prior to heart atrial septation,*
331 *decreased fetal size, preweaning lethality incomplete penetrance, abnormal*
332 *gametogenesis, abnormal spermatid morphology, abnormal vas deferens morphology,*
333 *decreased mature ovarian follicle number, reduced female fertility and small ovary.*

334

335 **Day 12 embryos**

336

337 In 12-day CRYO embryos the following gene associations cited above were found:
338 *abnormal embryo size, decreased embryo size, prenatal lethality prior to heart atrial*
339 *septation, embryonic lethality prior to tooth bud stage, preweaning lethality-complete*
340 *penetrance, male infertility, female infertility, and small ovary.*

341

342 In addition, the following associations were found: *incomplete embryo turning,*
343 *embryonic lethality prior to organogenesis, embryonic lethality during organogenesis-*
344 *complete penetrance, decreased FSH level, small seminal vesicle, small seminiferous*
345 *tubules, small testis, absent mature ovarian follicles, abnormal ovulation, abnormal*
346 *corpus luteum morphology, uterus hypoplasia, and vaginal atresia.*

347

348 **DISCUSSION**

349

350 Here we report, for the first time, evidence that procedures performed during handling
351 of sperm, such as freezing and thawing, have a significant impact on critical aspects of
352 the early embryo transcriptome. The equine model used in our study has a number of
353 advantages, including a long pre-attachment embryonic period in which the embryo
354 remains spherical, which facilitates embryo collection, and the possibility of repeated
355 embryo collections from the same animals over successive estrus cycles. Additionally,
356 the stallion serves as an excellent model for the human male, as stallions are typically
357 not selected for sperm quality nor the ability of semen to be cryopreserved, in contrast
358 to males in production species, such as the bull. Moreover, since many stallions reach
359 advanced age, the horse can be used as a model to study the impact of paternal age on
360 embryo quality.

361

362 Our study, focused on three embryo ages (8, 10 and 12 days post ovulation),
363 revealed a significant impact of sperm cryopreservation on the transcriptome of the
364 resulting embryo. Importantly, transcripts with decreased abundance reflected genes
365 related to DNA replication and assembly and oxidative phosphorylation. Exploration of
366 differentially-expressed genes at the molecular and cellular level revealed alterations in
367 important functions including ATP synthesis, regulation of transcription, nucleosome
368 assembly, chromatin silencing, protein synthesis, and redox regulation. Alterations in
369 these genes help to explain the reduced fertility observed with cryopreserved sperm
370 attributable to increased early embryo mortality [9, 10].

371 The pre-implantation period is a period of rapid embryo growth, requiring a
372 ready supply of ATP. The equine embryo appears to have a significant capacity for
373 glycolysis, but also uses oxidative phosphorylation [29]. The KEEG pathways analysis
374 of downregulated genes revealed enriched annotations for oxidative phosphorylation,
375 pyruvate metabolism, glycolysis, and the TCA cycle, suggesting compromised energy
376 metabolism in CRYO embryos. A similar picture was observed in Day-10 and Day-12
377 embryos, with the pathways for oxidative phosphorylation, metabolic pathways, and
378 non alcoholic fatty liver disease significantly over-represented in transcripts with
379 reduced abundance of all CRYO embryos obtained.

380 When we evaluated low-abundance equine transcripts for their mouse orthologs,
381 we found that many of the genes downregulated in CRYO embryos have knockout
382 database annotation terms related to reduced embryonic viability. This finding indicates
383 that not only genes related to the metabolism and thus growth of embryos, but also
384 genes directly related to embryo organogenesis, embryo survival, and offspring health
385 are affected by the use of cryopreserved sperm.

386 While the mechanisms behind the effects reported here are as yet unclear, a
387 major factor may be the well-documented oxidative damage that the genome and
388 epigenome experiences during cryopreservation and thawing [9-12]. Cryopreservation
389 is a major cause of oxidative stress [30] and lipid peroxidation in stallion spermatozoa
390 [8, 14, 31, 32]. Lipid peroxidation in spermatozoa surviving cryopreservation [30] is
391 associated with increased levels of 4-hydroxynonenal (4-HNE) [14]. This compound is
392 able to interact with DNA to form adducts that have been related directly to increased
393 rates of mutation in important cell-cycle regulators [33, 34]. The production of 4-HNE
394 during cryopreservation of stallion spermatozoa is well documented [8, 14, 32], and it is
395 possible that significant amounts of 4-HNE and other toxic lipid aldehydes are
396 incorporated to the oocyte, potentially causing alterations in embryo development. In
397 addition to DNA damage, 4-HNE can alkylate the sperm centrioles, and in horses, as in
398 humans, paternal centrioles are inherited by the embryos. Damaged centrioles may
399 cause disrupted cytoskeletal protein organization during early cleavage [35].

400 Supporting this line of reasoning, recent reports have linked abnormal early
401 cleavage events and changes in embryo transcript abundance to fertilization with
402 spermatozoa showing oxidative stress. Macaque embryos obtained after fertilization
403 with ROS-treated sperm showed significantly lower rates of development to the four-
404 and eight-cell stages, and changes in transcript abundance for genes related to actin

405 cytoskeleton organization, cell junction assembly and cell adhesion [36]. In our study
406 we also found that genes for cytoskeleton components *tubulin alpha 1 a*, *tubulin beta 2*
407 *class II a* and *actin, cytoplasmic 1, N-terminally processed* were downregulated in 8-
408 day CRYO embryos.

409 Cryopreservation may also directly affect the epigenome of the paternal DNA;
410 recent studies have shown that cryopreservation increases the level of DNA methylation
411 in equine sperm [10] and the expression of genes important to intracellular regulation of
412 epigenetic status [37]. Notably, we also found significant reduction in abundance of
413 transcripts for histones in CRYO embryos.

414 The finding that many differentially regulated genes in CRYO embryos are
415 orthologs of mouse genes that have knockout database annotation terms related to
416 reduced embryonic viability provides further evidence linking cryopreserved sperm to
417 reduced embryonic viability. These annotations consistently appeared on analysis of
418 low-abundance transcripts in all CRYO embryos, and included genes related to
419 embryonic growth retardation and embryo lethality. Interestingly, annotations related to
420 male and female infertility were also present; this warrants further investigation on the
421 effect of sperm origin on the fertility of resulting offspring.

422 In summary, the present study provides for the first time transcriptomic analysis
423 of equine embryos in relation to the handling of semen used for their production. Our
424 data provide strong evidence that cryopreservation of sperm exerts a profound impact
425 on the transcriptome of early embryos. Our findings may stimulate new lines of
426 research to improve this biotechnology in humans and animals

427

428

429 **Declaration of Interest**

430 The authors declare that there are no conflicts of interest that could be perceived to
431 prejudice the reported research.

432

433

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610 Table 1.- Enriched biological processes from DEGs (downregulated) in 8 days embryos
611 obtained after AI with frozen thawed sperm, as identified by DAVID functional
612 annotation analysis

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Functional terms of overrepresented biological processes ^a	P value ^b
Chromosome (21, 35.78)	7.1 x10 ⁻²⁶
Nucleosome core (19, 42.08)	9.2 x10 ⁻²⁵
Extracellular exosome (62, 3.56)	5.7 x10 ⁻²²
Histone fold (19, 30.02)	7.5 x10 ⁻²²
Structural constituent of ribosome (24, 13.82)	5.8 x10 ⁻²⁰
Ribosome (24, 12.89)	1.21 x10 ⁻¹⁹
Histone core (15, 36.91)	1.69 x10 ⁻¹⁸
Translation (21, 14.65)	7.15 x10 ⁻¹⁸
Myelin sheath (18, 16.63)	3.87 x10 ⁻¹⁶
Nucleosome (13, 31.72)	4.20 x10 ⁻¹⁵
Ribonucleoprotein (14, 24.48)	1.15 x10 ⁻¹⁴

Ribosomal protein (13, 29.28)	1.39 x10 ⁻¹⁴
Nucleosome assembly (13, 23.18)	2.14 x10 ⁻¹³
Poly (A) RNA binding (34, 4.29)	4.69 x10 ⁻¹³
Nuclear nucleosome (10, 40.67)	1.37 x10 ⁻¹²
Parkinson's disease (18, 9.52)	2.60 x10 ⁻¹²
Cytosolic small ribosomal subunit (10, 33.98)	8.65 x10 ⁻¹²
Cytosolic large ribosomal subunit (11, 24.85)	1.46 x10 ⁻¹¹
Systemic lupus erythematosus (16, 10.14)	2.51 x10 ⁻¹¹
Hungtinton's disease (19, 7.38)	4.19 x10 ⁻¹¹
H2B (8, 52.06)	7.18 x10 ⁻¹¹
Nucleus (26, 4.79)	8.79 x10 ⁻¹¹
Oxidative phosphorylation (16, 9.01)	1,43 x10 ⁻¹⁰
Histone H2B (8, 48.75)	1.48 x10 ⁻¹⁰
DNA binding (21, 6.06)	1.81 x10 ⁻¹⁰
Membrane (27, 4.21)	4.82 x10 ⁻¹⁰
Alzheimer disease (17, 7.38)	6.32 x10 ⁻¹⁰
Alcoholism (16, 7.17)	3.64 x10 ⁻⁹
ATP synthesis coupled proton transport (7, 41.39)	1.0x10 ⁻⁸
Innate immune response in mucose (6, 51.80)	5.89x10 ⁻⁸
Antibacterial humoral response (6, 48.15)	9.1x10 ⁻⁸
Focal adhesion (15, 6.10)	1.38x10 ⁻⁷
DNA binding (18, 4.18)	1.02x10 ⁻⁶
DNA replication dependent nucleosome assembly (6, 30.64)	1.13x10 ⁻⁶
Hydrogen ion transport (5, 55.38)	1.38x10 ⁻⁶
Protein heterotetramerizacion (6, 29.31)	1.44x10 ⁻⁶
Proton transporting ATP synthase activity, rotational mechanism (5, 51.26)	1.66x10 ⁻⁶
Cytoplasm (11, 5.91)	1.60x10 ⁻⁵
Cytoplasmatic translation (5, 29.56)	2.00x10 ⁻⁵
H4 (4, 60.63)	2.86 x10 ⁻⁵
Viral carcinogenesis (13, 4.39)	3.09x x10 ⁻⁵
Cardiac muscle contraction (8, 8.53)	3.57 x10 ⁻⁵

Histone H4 (4, 56.81)	3.68 x10 ⁻⁵
Histone H4 conserved site (4, 56.81)	3.68 x10 ⁻⁵
TAF (4, 56.88)	5.56 x10 ⁻⁵
Defense response to gram positive bacterium (6, 49.69)	6.14 x10 ⁻⁵
Tata Box binding protein associated factor (TAF) (4, 14.04)	7.14 x10 ⁻⁵
Negative regulation of megakaryocyte differentiation (4, 46.53)	1.04 x10 ⁻⁴
ATP hydrolysis coupled ion transport (5, 40.85)	1.14 x10 ⁻⁴
Acetylation (6, 19.37)	1.32 x10 ⁻⁴
H2A (4, 12.08)	3.67 x10 ⁻⁴
Mitochondrial electron transport, cytochrome c to oxygen (3, 27.33)	4.57 x10 ⁻⁴
Ribosomal large subunit assembly (4 , 84.27)	4.94 x10 ⁻⁴
DNA replication independent nucleosome assembly (4, 24.96)	4.94 x10 ⁻⁴
Histone H2A (4, 24.37)	5.44 x10 ⁻⁴
V-ATPase proteolipid subunit C-like domain (3, 76.78)	5.86 x10 ⁻⁴
DNA templated transcription, initiation (4, 22.47)	6.81 x10 ⁻⁴
Nuclear chromosome, telomeric region (6, 8.22)	7.79 x10 ⁻⁴
Non alcoholic fatty liver disease (NAFLD) (9, 4.40)	8.75 x10 ⁻⁴
Lactate/malate dehydrogenase (3, 63.99)	8.74 x10 ⁻⁴
Lactate malate dehydrogenase, N –terminal (3, 63.99)	8.74 x10 ⁻⁴
Mitochondrial proton transporting ATP synthase complex (3, 61.00)	9.60 x10 ⁻⁴

614 ^a Values in parenthesis represent the number of genes involved in and the fold
615 enrichment of the corresponding functional terms

616 ^b EASE score examine the significance of gene term enrichment with a modified
617 Fisher's exact test

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631 Table 2. Selected enriched Kyoto Encyclopedia of genes and genomes (KEEG)
632 pathways enriched in downregulated transcripts of in 10 days embryos obtained after AI
633 with frozen thawed sperm

KEEG pathway	Pathway description	observed count	gene false discovery rate
190	Oxidative phosphorylation	21	2,45E-23
5012	Parkinson s disease	20	4,64E-21
5010	Alzheimer s disease	15	1,05E-12
5016	Huntington s disease	15	3,42E-12
1100	Metabolic pathways	28	4,29E-09
3010	Ribosome	11	8,96E-09
4260	Cardiac muscle contraction	7	2,56E-06
4932	Non-alcoholic fatty liver disease (NAFLD)	9	3,96E-06
4141	Protein processing in endoplasmic reticulum	9	1,61E-05

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657 Table 3.- Gene ontology annotations enriched in downregulated transcripts of 10 days

658 embryos obtained after AI with frozen thawed sperm

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Term	P Value
GO:0070062~extracellular exosome	1,72E-09
GO:0043209~myelin sheath	3,03E-09
GO:0070469~respiratory chain	6,30E-08
GO:0022625~cytosolic large ribosomal subunit	5,33E-07
GO:0008137~NADH dehydrogenase (ubiquinone) activity	8,07E-07
GO:0005747~mitochondrial respiratory chain complex I	3,12E-06
GO:0015986~ATP synthesis coupled proton transport	4,78E-06
GO:0003735~structural constituent of ribosome	1,66E-05
GO:0000788~nuclear nucleosome	2,25E-05
GO:0046933~proton-transporting ATP synthase activity, rotational mechanism	2,90E-05
GO:0005925~focal adhesion	4,41E-05
GO:0006412~translation	5,75E-05
GO:0046961~proton-transporting ATPase activity, rotational mechanism	1,59E-04
GO:0000786~nucleosome	1,73E-04
GO:0042773~ATP synthesis coupled electron transport	2,22E-04
GO:0006334~nucleosome assembly	5,99E-04
GO:0005743~mitochondrial inner membrane	0,001576512
GO:0004129~cytochrome-c oxidase activity	0,002995144
GO:0005739~mitochondrion	0,00444262
GO:0006336~DNA replication-independent nucleosome assembly	0,005364717
GO:0045261~proton-transporting ATP synthase complex, catalytic core F(1)	0,011191606
GO:0015991~ATP hydrolysis coupled proton transport	0,013628447
GO:0006457~protein folding	0,017943471
GO:0051603~proteolysis involved in cellular protein catabolic process	0,019505148
GO:0003677~DNA binding	0,022056606
GO:0006123~mitochondrial electron transport, cytochrome c to oxygen	0,024396132
GO:0044822~poly(A) RNA binding	0,032707627
GO:0005753~mitochondrial proton-transporting ATP synthase complex	0,0332053
GO:0005615~extracellular space	0,040768468

GO:0005687~U4 snRNP	0,044030068
GO:0045454~cell redox homeostasis	0,047994139
GO:0006122~mitochondrial electron transport, ubiquinol to cytochrome c	0,048204941
GO:1902166~negative regulation of intrinsic apoptotic signaling pathway in response to DNA damage by p53 class mediator	0,054067016
GO:0006120~mitochondrial electron transport, NADH to ubiquinone	0,059893472
GO:0045653~negative regulation of megakaryocyte differentiation	0,065684522
GO:0030330~DNA damage response, signal transduction by p53 class mediator	0,065684522
GO:0016020~membrane	0,071097195
GO:0004185~serine-type carboxypeptidase activity	0,07400431
GO:0034719~SMN-Sm protein complex	0,075791838
GO:0005685~U1 snRNP	0,075791838
GO:0002227~innate immune response in mucosa	0,077161252
GO:0007569~cell aging	0,077161252
GO:0005682~U5 snRNP	0,080983251
GO:0071157~negative regulation of cell cycle arrest	0,082847353
GO:0019731~antibacterial humoral response	0,082847353
GO:0005975~carbohydrate metabolic process	0,083234703
GO:0005686~U2 snRNP	0,086145885
GO:0030970~retrograde protein transport, ER to cytosol	0,088498889
GO:0000784~nuclear chromosome, telomeric region	0,089088094
GO:0045787~positive regulation of cell cycle	0,094116067

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682 Table 4. Functional annotation chart of DEGs (downregulated) in 12 days equine

683 embryos obtained after AI with frozen thawed sperm.

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Category	Term	Count	PValue
UP_KEYWORDS	Membrane	22	0,035165176
GOTERM_CC_DI RECT	GO:0016021~integral component of membrane	19	0,023827798
KEGG_PATHWAY	ecb01100:Metabolic pathways	18	8,33E-05
GOTERM_CC_DI RECT	GO:0005739~mitochondrion	14	1,40E-06
KEGG_PATHWAY	ecb00190:Oxidative phosphorylation	13	1,69E-12
KEGG_PATHWAY	ecb05012:Parkinson's disease	13	3,57E-12
GOTERM_CC_DI RECT	GO:0070062~extracellular exosome	13	0,047249394
UP_KEYWORDS	Chromosome	10	4,30E-12
UP_KEYWORDS	Mitochondrion	10	1,63E-10
UP_KEYWORDS	DNA-binding	10	2,09E-05
UP_KEYWORDS	Transport	10	3,60E-05
UP_KEYWORDS	Nucleus	10	6,21E-04
UP_KEYWORDS	Respiratory chain	9	7,16E-16
UP_KEYWORDS	Electron transport	9	3,97E-14
UP_KEYWORDS	Nucleosome core	9	2,19E-11
INTERPRO	IPR009072:Histone-fold	9	2,07E-10
UP_KEYWORDS	Ubiquinone	8	3,96E-16
GOTERM_MF_D IRECT	GO:0008137~NADH dehydrogenase (ubiquinone) activity	8	3,09E-12
GOTERM_CC_DI RECT	GO:0005747~mitochondrial respiratory chain complex I	8	6,67E-11
GOTERM_MF_D IRECT	GO:0003677~DNA binding	8	5,87E-04
UP_SEQ_FEATU RE	transmembrane region	8	9,18E-04
GOTERM_CC_DI RECT	GO:0000786~nucleosome	7	1,55E-08
UP_KEYWORDS	NAD	7	5,92E-08
KEGG_PATHWAY	ecb05322:Systemic lupus erythematosus	7	3,03E-05

UP_KEYWORDS	Oxidoreductase	7	4,97E-05
KEGG_PATHWAY			
Y	ecb05034:Alcoholism	7	2,10E-04
GOTERM_CC_DI RECT	GO:0016020~membrane	7	0,047593153
UP_KEYWORDS	Mitochondrion inner membrane	6	2,81E-07
KEGG_PATHWAY			
Y	ecb05010:Alzheimer's disease	6	0,001939005
KEGG_PATHWAY			
Y	ecb05016:Huntington's disease	6	0,003145256
GOTERM_BP_DI RECT	GO:0006335~DNA replication- dependent nucleosome assembly	5	4,77E-07
GOTERM_BP_DI RECT	GO:0051290~protein heterotetramerization	5	5,76E-07
INTERPRO	IPR007125:Histone core	5	2,52E-05
GOTERM_CC_DI RECT	GO:0000784~nuclear chromosome, telomeric region	5	2,30E-04
GOTERM_CC_DI RECT	GO:0005743~mitochondrial inner membrane	5	0,001683893
SMART	SM00417:H4	4	6,25E-07
SMART	SM00803:TAF	4	1,22E-06
GOTERM_CC_DI RECT	GO:0070469~respiratory chain	4	2,03E-06
INTERPRO	IPR019809:Histone H4, conserved site	4	2,80E-06
INTERPRO	IPR001951:Histone H4	4	2,80E-06
GOTERM_BP_DI RECT	GO:0045653~negative regulation of megakaryocyte differentiation	4	4,00E-06
INTERPRO	IPR004823:TATA box binding protein associated factor (TAF)	4	5,47E-06
GOTERM_BP_DI RECT	GO:0006336~DNA replication- independent nucleosome assembly	4	1,95E-05
GOTERM_BP_DI RECT	GO:0006352~DNA-templated transcription, initiation	4	2,71E-05
INTERPRO	IPR020904:Short-chain dehydrogenase/reductase, conserved site	4	1,57E-04
INTERPRO	IPR002347:Glucose/ribitol dehydrogenase	4	6,29E-04
GOTERM_BP_DI RECT	GO:0006334~nucleosome assembly	4	8,65E-04
GOTERM_MF_D IRECT	GO:0016491~oxidoreductase activity	4	0,001585835
INTERPRO	IPR016040:NAD(P)-binding domain	4	0,016019866
KEGG_PATHWAY			
Y	ecb04932:Non-alcoholic fatty liver disease (NAFLD)	4	0,045179763
INTERPRO	IPR001750:NADH:ubiquinone/plastoqui none oxidoreductase	3	3,19E-05
GOTERM_BP_DI RECT	GO:0042773~ATP synthesis coupled electron transport	3	5,21E-05
GOTERM_CC_DI	GO:0000788~nuclear nucleosome	3	0,004620686

RECT			
KEGG_PATHWA			
Y	ecb04978:Mineral absorption	3	0,022822957
GOTERM_CC_DI			
RECT	GO:0000790~nuclear chromatin	3	0,073903618

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724 **Figure 1.** Vulcano plot showing TPM comparison between fresh and frozen tissue at 12
725 days. Each point represents a gene, characterized in the X-axis as its TPM value in the
726 fresh tissue and in the Y-axis as its TPM value in the frozen tissue. Circled genes
727 represent differentially expressed genes in the two conditions.

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729 **Figure 2.** Selected enriched GO terms differentially regulated in equine embryos
730 obtained with fresh and frozen thawed sperm

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734 **Figure 3.** Enriched Kyoto Encyclopedia of genes and genomes (KEEG) pathways in
735 transcripts downregulated in 8 (A) 10 (B) and 12 (C) days embryos obtained with
736 frozen thawed spermatozoa

737

738 **Figure 4.** Functional networks (STRING) of transcripts downregulated in 8 days equine
739 embryos obtained with frozen thawed sperm. Functional networks apply to Histones
740 and mitochondrial proteins. Controls are same age embryos from the same mare and
741 stallion obtained with fresh semen

742

743 **Figure 5.** Functional networks (STRING) of transcripts downregulated in 10 days
744 equine embryos obtained with frozen thawed sperm. Functional networks apply to
745 Histones and mitochondrial proteins. Controls are same age embryos from the same
746 mare and stallion obtained with fresh semen

747

748

749 **Figure 6.** Functional networks (STRING) of transcripts downregulated in 12 days
750 equine embryos obtained with frozen thawed sperm. Functional networks apply to

751 Histones and mitochondrial proteins Controls are same age embryos from the same
752 mare and stallion obtained with fresh semen
753
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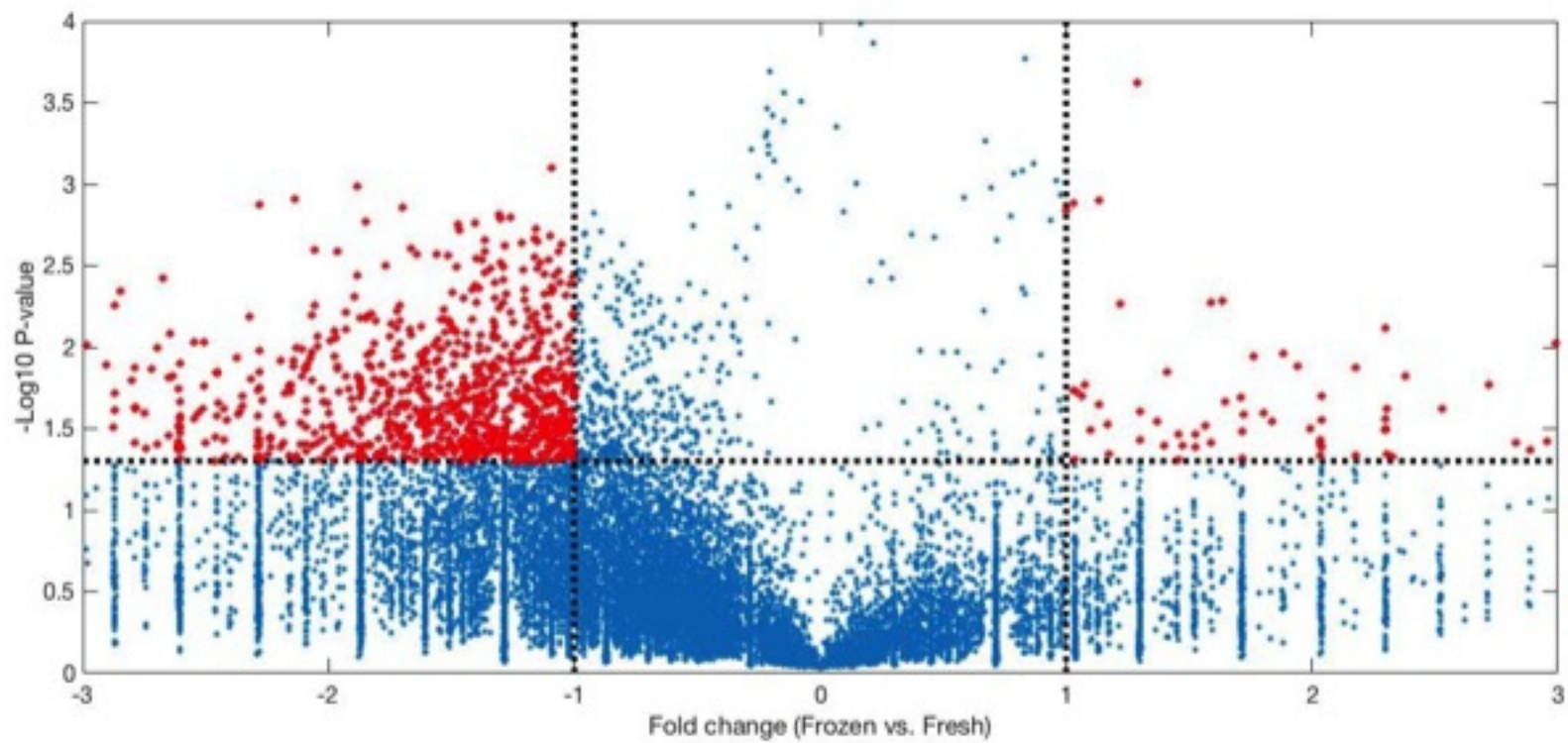


Figure 1

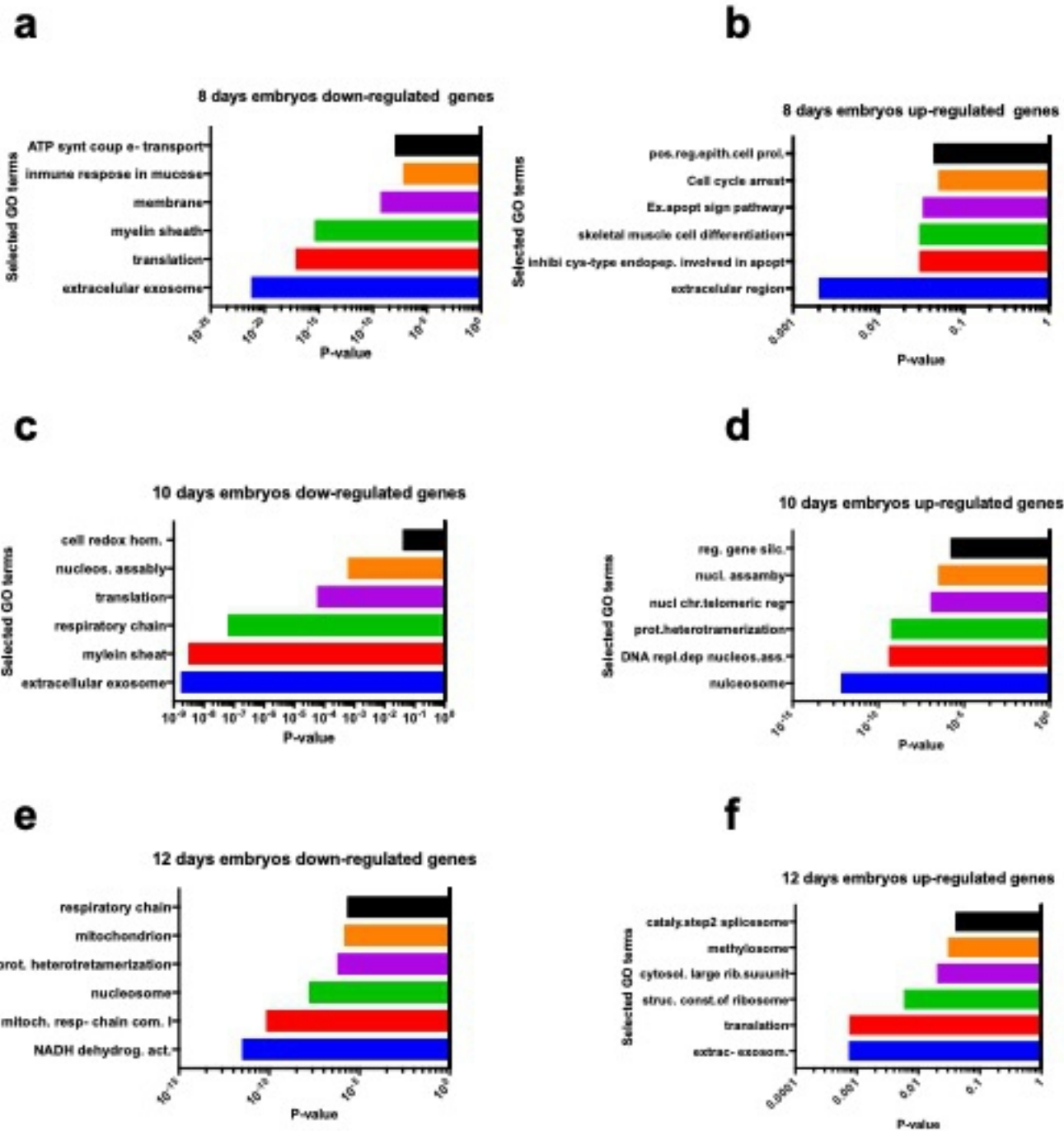


Figure 2



Figure 3

Fig 3 Downregulated
10 días

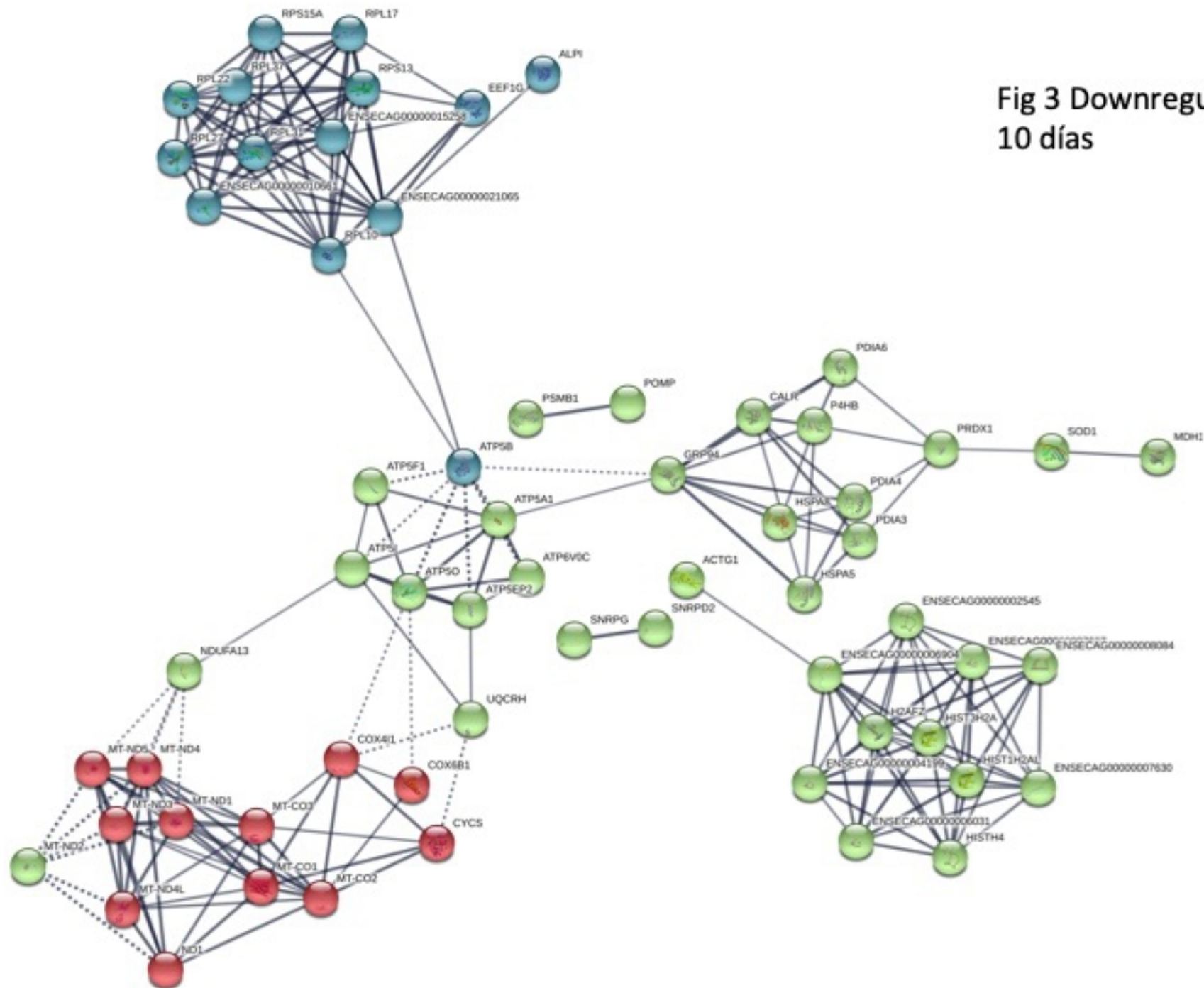


Figure 5

