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4 **Predicting potential current distribution of *Lycorma delicatula* (Hemiptera: Fulgoridae)**
5 **using MaxEnt model in South Korea**

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24

25 Abstract

26 *Lycorma delicatula* (Hemiptera: Fulgoridae) is invasive insect in Korea which causes
27 plant damages by sucking and sooty molds. *Lycorma delicatula* was first detected in South
28 Korea in 2004, where its introduction and spreading possibly were affected by human activity-
29 related factors. Here, we used MaxEnt to describe current distribution of *L. delicatula* in Korea
30 and tried to find out the impact of human influences for distribution. We used 143 sites of
31 occurrence data, 19 bioclimatic variables, duration of temperature below -11°C, average daily
32 minimum temperature in January, cumulative thermal unit variable, the distribution of grape
33 orchard variable and human footprint to create models. These models were estimated by two
34 sets of 24 candidates with feature combinations and regularization multipliers. In addition,
35 these two sets were created as models with and without footprint for how human influence
36 affect to distribution. Model selection for optimal model was performed by selecting a model
37 with a lowest sum of each rank in small sample-size corrected Akaike's information criterion
38 and difference between training and test AUC. Model of LQ10 parameter combinations was
39 selected as optimal models for both model sets. Consequently, both of distribution maps from
40 these models showed similar patterns of presence probability for *L. delicatula*. Both models
41 expected that low altitude regions were relatively more suitable than mountain areas in Korea.
42 Footprint might be limited for the distribution and *L. delicatula* might already occupy most of
43 available habitats. Human-related factors might contribute to spread of *L. delicatula* to
44 uninfected areas.

45

46 Introduction

47 Spotted lanternfly, *Lycorma delicatula* (Hemiptera: Fulgoridae), is an invasive species
48 of which origin is the southern China and the countries in the subtropical zones of Southeast

49 Asia [1]. In Korea, *L. delicatula* was first detected in Cheonan in 2004 [2], and then expanded
50 across South Korea for more than a decade [3]. Since its first detection, the agricultural area,
51 mostly grapevine yards, damaged by *L. delicatula* increased rapidly from one ha in 2006,
52 seven ha in 2007, 91 ha in 2008, 2,946 ha in 2009, to 8,378 ha in 2010 [4, 5]. According to
53 Park et al. [3], *L. delicatula* might disperse more frequently in the western region and its long-
54 distance dispersal could be possible beyond the mountain range. These rapid spread might
55 be caused by human-related factors such as vehicles which mainly could transfer a host plant
56 or other material with its egg masses [6].

57 A few studies have been conducted for determining potential habitats and habitat
58 suitability of *L. delicatula*. Jung et al. [7] estimated the potential habitats of *L. delicatula* in
59 Korea by using CLIMEX, which is a mechanistic modelling method based on physiological
60 traits and constraints [7]. The CLIMEX requires the biological parameters related with the
61 target insects such as optimal temperature, lower developmental threshold, lethal temperature,
62 optimal humidity and so on [8]. Nevertheless, information on the biological parameters for *L.*
63 *delicatula* was limited, and thus estimated potential habitats were not exactly matched with
64 the current distribution of *L. delicatula* in Korea. A correlative method, which relates
65 occurrence data of a species to environmental data statistically [9], seems to be better than
66 deterministic methods (e.g., CLIMEX) because biological information for *L. delicatula* is limited
67 and human related factors cannot be applied to deterministic methods due to difficulty of
68 parameterization of them. As one of the correlative methods, MaxEnt could be applied to
69 describe current distribution of *L. delicatula* in Korea because it needs only presence data and
70 has high predictive accuracy [10, 11], although a few issues with MaxEnt modeling should be
71 considered to increase prediction accuracy (e.g., sampling bias of occurrence data, types of
72 feature, model complexity, criteria of model selection, model evaluation method and etc.)
73 [12-15].

74 This study aims to select best combinations of parameters for application of presence
75 data of *L. delicatula* and its surrounding environmental and human related variables in MaxEnt,

76 to select variables affecting the current distribution, to find out the effects of anthropogenic
77 factor, and to visualize current presence probability of *L. delicatula* in Korea.

78

79 **Material and methods**

80 **Collection and preparation of presence data of *L. delicatula***

81 Total 143 presence data points of *L. delicatula* were collected from the published
82 papers [6, 16-19] (15 points), the report of National Institute of Ecology (NIE) [20] (83 points),
83 and observation of this study (45 points). For developing distribution maps of *L. delicatula*,
84 data were divided into two data sets; one for training data for model calibration, and the other
85 for test data for model validation or evaluation [9]. For training data, 83 data points of the NIE
86 report were selected because these data were collected for whole Korean territories with a
87 consistent sampling criteria during one year, in 2015. The other 60 points were used for test
88 data. Both training and test data sets were analyzed to determine spatial patterns using
89 ArcGIS 10.1 [21] with the average nearest neighbor test, and all data were used in model
90 development because both data show a random distribution. Data with random distribution
91 are needed to avoid overestimating problem, which is caused by clustered occurrence data,
92 in species distribution models [22]

93

94 **Environmental variables related to *L. delicatula***

95 Monthly temperature and precipitation data from 1981 to 2010 (30 years) were
96 downloaded from the web site of the Korea Meteorological Administration (KMA). These
97 weather data were collected from 73 meteorological stations operated nationwide by KMA,
98 and then were interpolated by Inverse Distance Weighting (IDW) method for estimating
99 temperature and precipitation with a grid size of 1 km. Nineteen bioclimatic variables [23] were

100 created in DIVA-GIS 7.5 [24] using these data. These 19 variables were transformed to ASCII
 101 file format using SDMs tool [25] in ArcGIS 10.1.

102 To consider all variables related with occurrence of *L. delicatula*, published papers on
 103 its ecology were reviewed (Table 1). Among them, two overwintering related variables,
 104 development related variable and main host (i.e., grape) distribution of *L. delicatula*, were used
 105 as these variables expected to be directly related with occurrence of *L. delicatula* in Korea.
 106 There were multiple studies [6, 26-28] that overwintering egg mortality of *L. delicatula* was
 107 affected by number of days with minimum temperature below -11°C and average daily
 108 minimum temperature in January. Thus, these variables (i.e., under_-11_Jan and
 109 min_tmp_jan) were created with the same climatic data and interpolation method, and then
 110 used for 19 bioclimatic variables. Cumulative thermal unit variable (i.e., Degree day) for
 111 development of *L. delicatula* in locations of 73 meteorological stations of KMA was calculated
 112 and mapped by using average daily maximum and minimum temperatures of 30 years (1981-
 113 2010) and 11.13°C lower development threshold from Park's paper [6]. Even although *L.*
 114 *delicatula* has diverse host plants [16], its adults showed high preference and fitness at grapes
 115 [16, 29]. Thus, distribution of grape orchard variable (i.e., Grape) was created from 1,916
 116 dimensions of viticulture by regions (www.agrix.go.kr) with ordinary krigging method in ArcGIS
 117 10.1.

118 **Table 1. Biological information of *L. delicatula* in published papers.**

Biological parameters	stage	Matched information	Reference
Lower development threshold (°C)	Egg	8.14	[30]
	Egg	11.13	[6]
Upper development threshold (°C)	Egg	31-33	[6]
Low lethal temperature (°C)	Egg	- 12.72	[28]
	Egg	- 16.51	[6]
Thermal requirements (DD)	Egg	355.4	[30]
	Egg	293.26	[6]
Hatching rate (factors)	Egg	Mean daily min temperature in Jan	[28] [6]

	Egg	Number of days below -11°C in Jan	[26]
Peak time of occurrence in <i>A. altissima</i> (DD) : base temperature (11.13 °C)	1st	270.71 ± 3.38	[6]
	2nd	491.98 ± 7.15	
	3rd	619.31 ± 6.15	
	4th	907.60 ± 9.72	
	Adult	1820.65 ± 14.21	
Host plants in Korea (ea)	All stages	41 (38 trees and 3 herb plants)	[16]
Host preference (plant species)	Adult	<i>Juglans mandshurica</i> , <i>Cedrela fissilis</i> , <i>Toona sinensis</i> , <i>Evodia danielii</i> , <i>Phellodendron amurense</i> , <i>Picrasma quassioides</i> , <i>Ailanthus altissima</i> , <i>Parthenocissus quinquefolia</i> , <i>Vitis amurensis</i> , <i>Vitis vinifera</i>	[16], [29]
Hatching rate by different light conditions (8; 12; 16h)	Egg	Not significant	[31]
Cyclic behavior	Adult	Sex based dispersal	[6]
	Adult	Host preference cycle	[32]
preference of color (sticky trap)	All stages	Brown	[30]
Inhibition of growth of grape	4th	Significant	[31]
Parasitism rate of egg parasitoid in origin (%)	Egg	30	[33]
Sex ratio (%)	Adult	35-45	[6]
No. eggs in egg mass (ea)	Egg	32.7 ± 6.49	[31]
	Egg	40-50	[16]

119

120 Human foot print variable (i.e., footprint) [34] was also downloaded
 121 (<http://sedac.ciesin.columbia.edu/>) because distribution of *L. delicatula* might be affected by
 122 human activities [6].

123 Total 24 environmental variables (i.e., 19 bioclimatic variables, under_-11_Jan,
 124 min_tmp_jan, Degree day, Grape and footprint) were created to develop distribution model of
 125 *L. delicatula* In Korea.

126

127 **Selection of environmental variables**

128 Multi-collinearity test was conducted to eliminate correlated variables by Pearson's
129 coefficient ' r ' [35]. If multiple variables were correlated ($|r| > 0.8$), only one variable was
130 selected based on biological relevance with *L. delicatula* ecology. From this process, 11
131 variables (bio03, bio05, bio11, bio12, bio13, bio15, bio17, under_-11_Jan, Degree day, grape
132 and footprint) were selected among 24 variables.

133

134 **Modelling procedure**

135 As a default setting, MaxEnt offers six features (i.e., an expanded set of
136 transformations of the original covariates [36] types, L (linear), Q (quadratic), P (product), T
137 (threshold), H (hinge), C (categorical), which are automatically selected by 'Auto features'
138 depending on the sample size of training data [37]. In addition, MaxEnt creates a distribution
139 model, using regularization multiplier (default value = 1), which mitigates model complexity or
140 overfitting, to make general interpretation [36]. Nevertheless, MaxEnt does not always create
141 the best model by a given default parameter setting [38]. Therefore, to select the best model
142 we adjusted the parameters setting and developed 24 candidate models with different feature
143 combinations and regularization multipliers by using four feature combinations (LQ, LQP, LQH,
144 LQPH) and six regularization parameters (1, 2, 5, 10, 15, 20). For comparison, another set of
145 24 models trained by 10 variables except for footprint was also created with previously noted
146 feature combinations and regularization parameters to estimate habitat suitability excluded
147 possibility of propagation.

148 For selecting optimal parameter combination, small sample-size corrected Akaike's
149 information criterion (i.e., AIC_c) [39] and area under the receiver operation characteristic curve
150 (i.e., AUC) were used to compare candidate models. Because high training AUC (AUC
151 calculated by training data) might be result of overfitting model, difference between training

152 and test AUC (AUC calculated by test data) were choose for model selection criteria.
153 Therefore, AIC_c and difference between training and test AUC (AUC_{diff}) were calculated in
154 ENMTools [40] and MaxEnt. To consider both AUC_{diff} and AIC_c [12] for model selection, sum
155 of each rank in AUC_{diff} and AIC_c from the lowest value was used because smaller values of
156 AIC_c and AUC_{diff} represent a better model. If sum of rank of candidate models is equal, a model
157 with a smaller AIC_c score was selected. From these processes, optimal models were re-built
158 and importance of each variables evaluated with jackknife test and 10-fold cross-validation.
159 Each of two models were built with its own selected variables to describe current occurrence
160 probability of *L. delicatula* in Korea. The maps of two models were visualized in ArcGIS 10.1.

161

162 **Results**

163 **Selection of best parameter combinations in both models** 164 **for MaxEnt application**

165 LQ10 (i.e., combination of feature types L and Q and regularization multiplier 10),
166 LQH10 (i.e., combination of feature types L, Q, and H and regularization multiplier 10), and
167 LQPH5 (i.e., combination of feature types L, Q, P, and H and regularization multiplier 5) were
168 selected by having the lowest value of summing both ranks in AUC_{diff} and AIC_c for the model
169 without footprint (Fig 1. (A)). However, both LQ10 and LQH10 had same AIC_c values, and
170 these values of both parameter combinations were lower than one of LQPH5. Thus, LQ10 and
171 LQH10 were considered as the best models without footprint for *L. delicatula*. LQ10 and
172 LQH10 were also determined in the model with footprint (Fig 1. (B)). In both model selections,
173 LQ10 and LQH10 created exactly same model. Therefore, LQ10 parameter combinations of
174 each model was selected to build the distribution model for *L. delicatula*.

175

176 **Fig 1. Sum of AUC_{diff} and AIC_c ranks for 24 candidate models.**

177 Sum of AUC_{diff} and AIC_c ranks of all candidate models (A) without footprint and (B) with
178 footprint. Each AUC_{diff} and AIC_c were ranked in order of ascending power from values
179 calculated in MaxEnt and ENMTools. Black and grey bar represent rank of AUC_{diff} and AIC_c
180 respectively. Asterisk (*) represents final selected models.

181

182 **Evaluation of developed models**

183 Two MaxEnt executions (without footprint and with footprint) with LQ10 parameter
184 combination created models using five variables in each run (Table 2). The average AUC
185 score calculated by 10-fold cross-validation with training data and 10 variables for the model
186 without footprint was 0.733 ± 0.064 (Table 2), indicating reasonable performance (AUC score
187 > 0.7 ; Peterson et al., 2011). When this model was evaluated with test data, the AUC score
188 was 0.747, representing reliable performance. Among five variables used in modelling, Bio 05
189 and Degree day were estimated as important variables in distribution model for *L. delicatula*
190 by occupying more than 90% contributions to determine the distribution model. This result was
191 also obtained in jackknife test (Fig 2. (A) and Table 3).

192 **Fig 2. Results of Jackknife test for relative importance of used variables in each final**
193 **model.**

194 Relative importance of (A) ten variables used in model without footprint and (B) 11 variables
195 used in model with footprint. Jackknife tests were executed with 10-fold cross-validation,
196 results were averaged values of each run.

197

198 **Table 2. Summary of description and performance of each two models.**

Model	Model description	Model performance
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(LQ 10)	No. input variables	Used variables	No. parameters	Training AUC	Average AUC (mean±SD)	Test AUC
Without footprint	11	Bio 05 Bio 13 Bio 15 Degree day Grape	5	0.755	0.733 ± 0.064	0.747
With footprint	10	Bio 05 Bio 13 Degree day Footprint Grape	5	0.789	0.769 ± 0.045	0.773

199 Training AUC and test AUC were calculated by training and test data of occurrence information
 200 respectively. Average AUC was averaged AUC value of 10 test bins in result of 10-folds cross-
 201 validation.

202

203 **Table 3. Averaged percent contribution and permutation importance of environmental**
 204 **variables for each two models.**

Variables	Without footprint		With footprint	
	Percent contribution	Permutation importance	Percent contribution	Permutation importance
Footprint	-	-	51.4	27
Bio 05	91.3	75.2	40.8	53.3
Bio 15	4	1.9	-	-
Degree day	2	16.5	2.4	15.4
Grape	1.7	2.8	1.3	2.9
Bio 13	1	3.3	0.1	1

205

206 The model with footprint performed better than the model without footprint, showing
 207 that the average AUC score was 0.769 ± 0.045 and test AUC score was 0.773 (Table 2). This
 208 model was also created with five parameters from five variables regularized by LQ 10
 209 combination. The footprint and Bio 05 were important variables predicting distribution of *L.*

210 *delicatula* (Fig 2 and Table 3). The response curve of footprint and Degree day showed that
211 probability of presence was increased linearly (Fig 3. (A) and (C)). Probability of presence was
212 exponentially increased according to the increase of Bio 05 (Fig 3. (B)).

213

214 **Fig 3. Probability of presence of *L. delicatula* according to each environmental variable.**

215 (A) Human foot print; (B) Max temperature of warmest month; (C) Cumulative thermal unit
216 (base temperature: 11.13°C); (D) Precipitation seasonality (coefficient of variation); (E)
217 Distribution of grape orchard; (F) Precipitation of wettest month

218

219 **Current presence probability maps of *L. delicatula* in Korea**

220 Both distribution maps including and excluding footprint variable well described
221 current presence possibility of *L. delicatula* in Korea, showing similar patterns of presence
222 probability (Fig 4). Both models expected that mountain areas were potentially unsuitable,
223 whereas low altitude regions were relatively suitable in Korea (Fig 4). However, the model with
224 footprint more specifically estimated regions of higher probability of presence rather than that
225 without footprint (Fig 4).

226

227 **Fig 4. Potential distribution map of *L. delicatula* estimated by two models in Korea.**

228 Probability of presence (MaxEnt's logistic output) predicted by (A) model with footprint and (B)
229 without footprint.

230

231 **Discussion**

232 Both models without and with footprint predicted well current presence possibility of
233 *L. delicatula* in Korea. Moreover, there was a strong correlation ($r = 0.916$) between two
234 models. This indicates that the effects of human-related factors might be limited for the current
235 distribution of *L. delicatula*, which might already occupy most of available habitats in Korea.
236 However, the model with footprint showed higher prediction ability than that without footprint
237 by considering AUC values. From our results, we speculate that human-related factors might
238 contribute to spread of *L. delicatula* to uninfected areas rather than directly affection for habitat
239 suitability. Spear et al. [42] found strong relationship between human population density and
240 richness of alien species, proposing human density as good predictor determining population
241 size of alien species. This human-mediated propagule pressure could assist successful
242 establishment of invasive species by supplying population above Allee threshold into new
243 areas [43]. As for *L. delicatula*, contribution of human factors for its dispersal could be
244 significant because its eggs were frequently found in packing, construction and agricultural
245 materials [6, 31]. Recently, *L. delicatula* was also found in Berks county of Pennsylvania, USA
246 [44, 45]. This introduction and spreading were also suspected by causing human-related
247 factors such as packing materials and vehicles [44, 45]. Moreover, there was no record of *L.*
248 *delicatula* found in the DMZ (Demilitarized Zone) area [46, 47] even though physical distance
249 was not far away from the observed areas. Therefore, human influence could be an important
250 factor in determining distribution of *L. delicatula*, especially in the early stage of invasion.

251 In both models with and without footprint, Bio 05 (i.e., maximum temperature during
252 the warmest month) was the most important environmental factor to contribute the distribution
253 of *L. delicatula*. This might be related to the origin of *L. delicatula* which is South China and
254 Southeast Asia [48]. Because *L. delicatula* is poikilothermic, its development is increased as
255 temperature increases up to its upper developmental threshold [49-51]. The mean maximum
256 temperature during the warmest month in Korea was generally lower than the upper
257 developmental threshold of *L. delicatula* [6, 52].

258 Degree day, another environmental factor made in this study, also contributes in
259 distribution modeling of *L. delicatula*. In response curve of Degree day variable, 50% presence
260 possibility of *L. delicatula* was determined around 1,900 DD similar to the accumulated degree-
261 days (i.e., 1,821) of peak occurrence of *L. delicatula* adults in fields [6]. One-tailed binomial
262 tests [53] were applied to training and test data using 1,821 DD, the Degree day variable well
263 distinguished presence and pseudo-absence of *L. delicatula* significantly ($p < 0.05$) in both
264 training and test data. Therefore, degree-days would be suitable variable to predict potential
265 habitat of *L. delicatula*.

266 The other variables (i.e., Bio 13, Bio 15, and grape) used in modeling contribute a
267 small amount and discrimination ability of these variables for presence or absence of *L.*
268 *delicatula* was very low. The five variables related to winter temperature (Bio 06, Bio 09, Bio
269 10, under_-11_Jan, and min_tmp_jan), which are supposed to determine the hatching rate of
270 *L. delicatula*, were not selected for the distribution model of *L. delicatula*. Although these
271 variables were proposed as important variable determining annual population size in many
272 papers [6, 26-28], they did not explain distribution of *L. delicatula* in this study. This suggests
273 that low lethal temperature (i.e., around -12.7 °C to -16.5 °C) is over than average winter
274 temperature in Korea, like Bio 05. As an example, there was no case that January mean
275 temperature was less than -11 °C in Seoul, one of coldest areas in Korea, during 38 years
276 (1981-2018), and quite high as -2.56 ± 1.951 °C (mean \pm SD) than lower lethal temperature.

277 Both models in this study are closer to realized niche than fundamental one because
278 these models were not built by deterministic method finding physiological traits of *L. delicatula*,
279 but had correlative method with distribution and environmental variables [9]. These two models
280 are strictly realized niche only in Korea, which include environmental variables in Korea and
281 their unknown interaction [54]. Thus, extrapolation to other regions or predict of future
282 distribution needs cautions. However, it could be still applicable to predict risk analysis for *L.*
283 *delicatula* even in non-contaminated areas and countries having high risk of being invaded.

284 In conclusion, major variables related with occurrence of *L. delicatula* in Korea should
285 be helpful for predicting its occurrence. Moreover, footprint variable might be applicable for
286 making surveillance plan and deciding domestic quarantine stations in countries with early
287 stages of invasion of *L. delicatula*, while remaining relevant variables with the occurrence of
288 *L. delicatula* could be used for risk assessment in non-invaded countries

289

290

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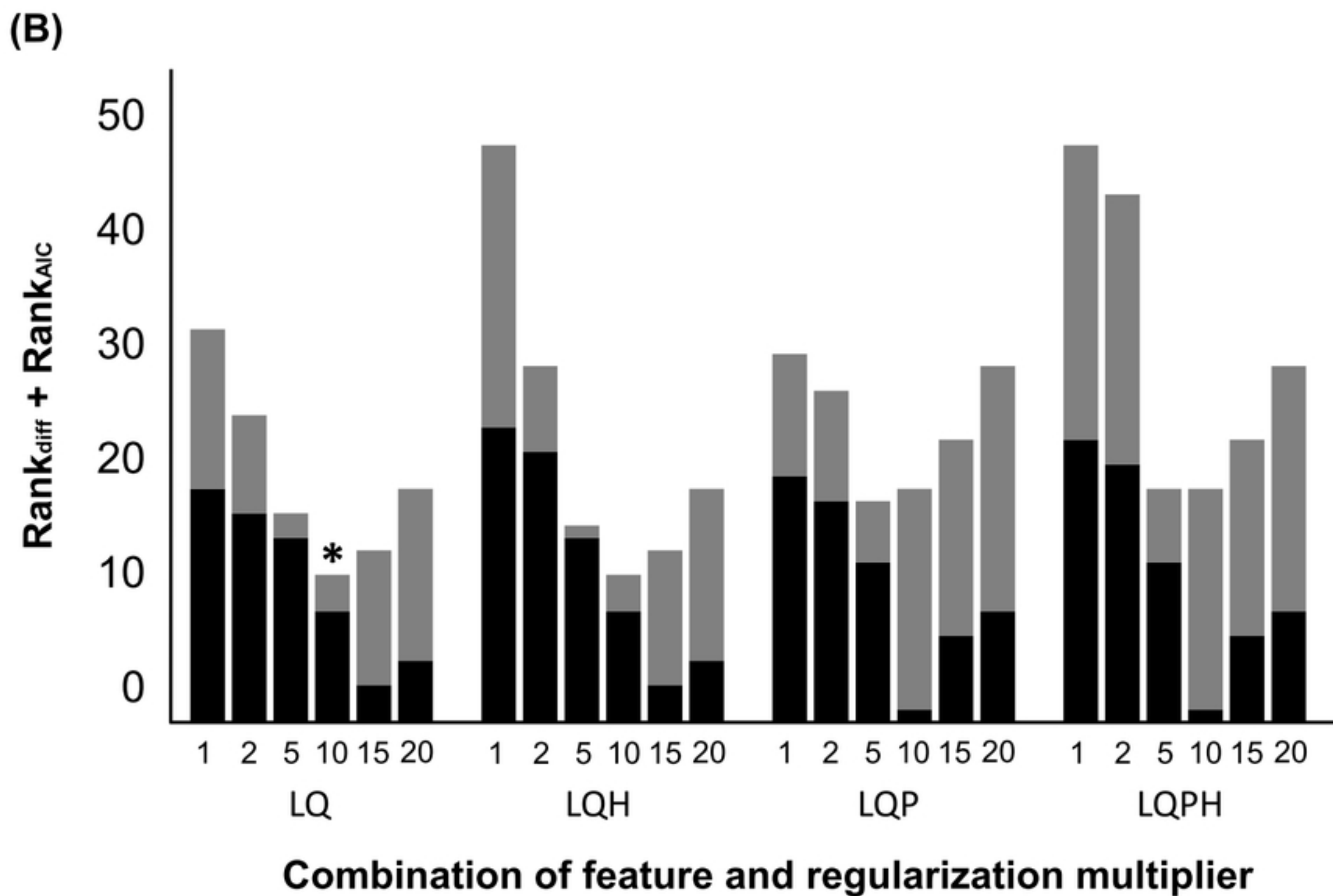
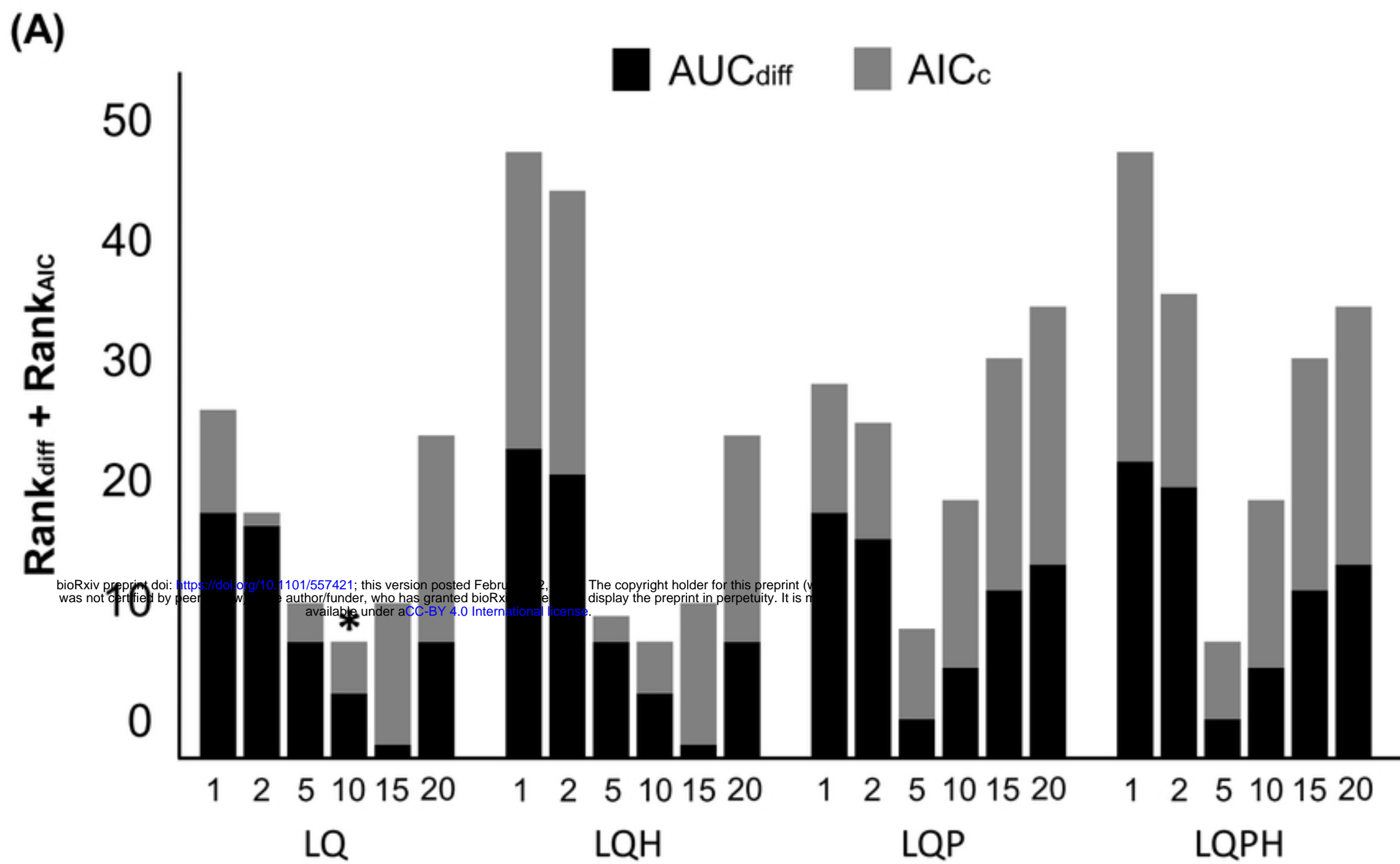


Figure 1

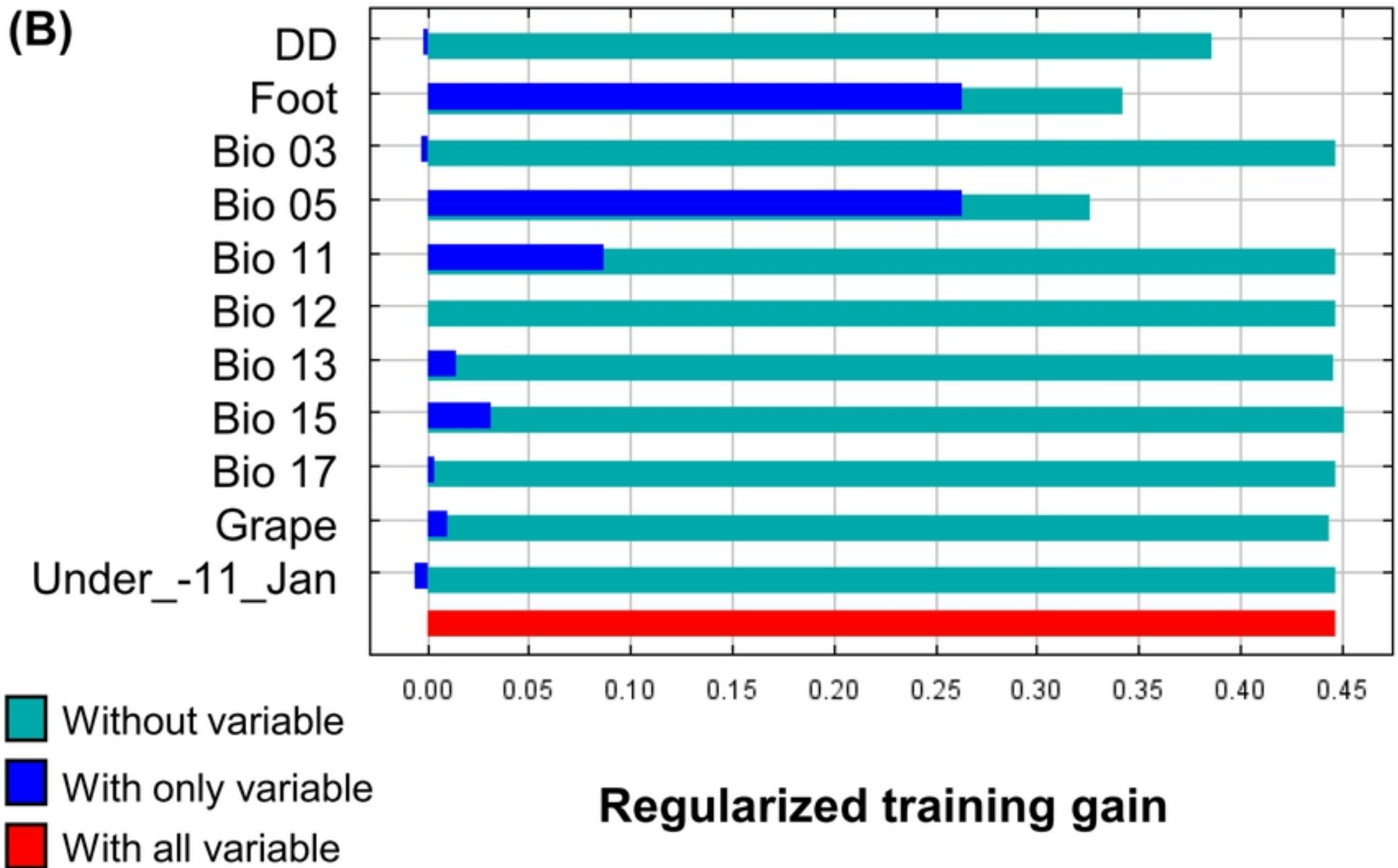
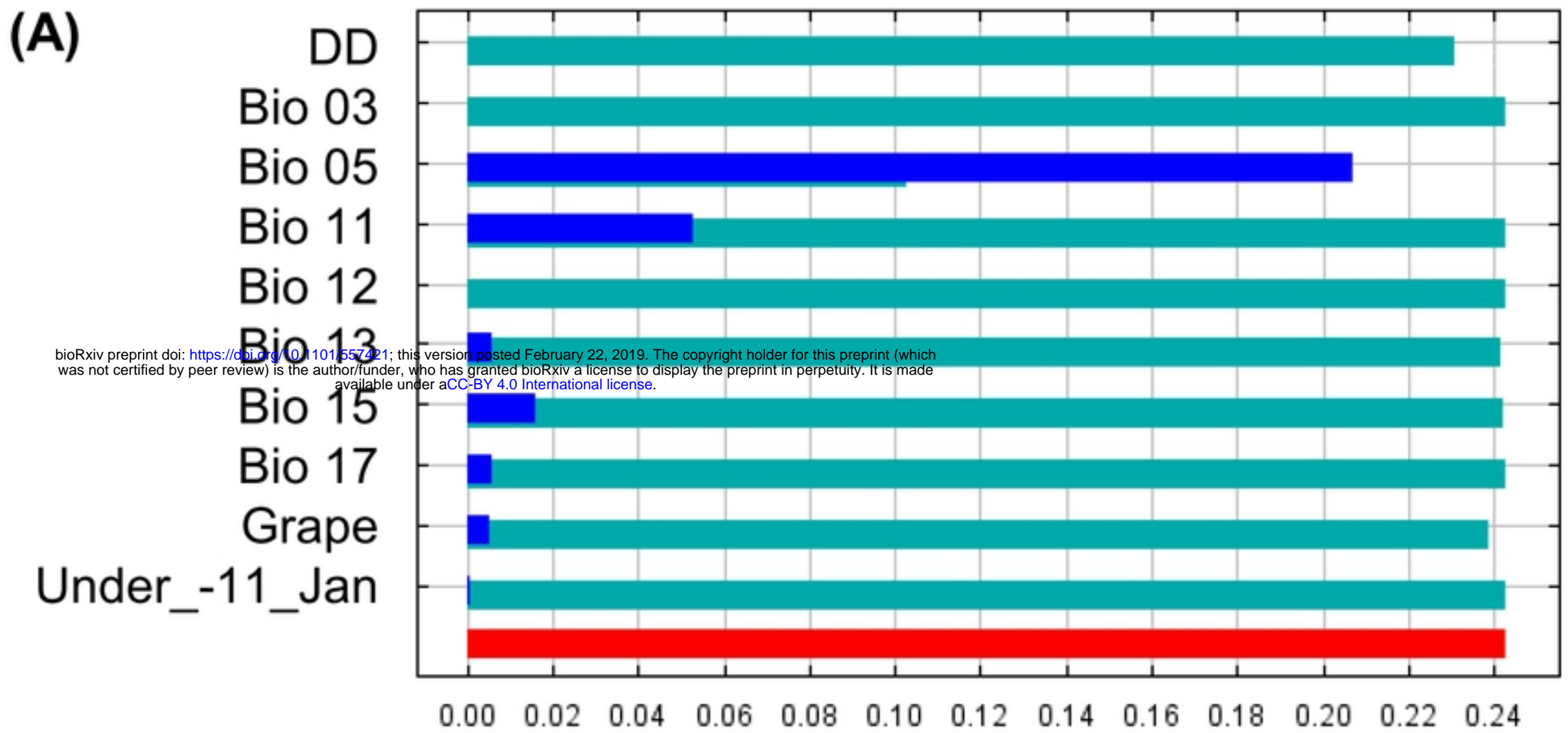
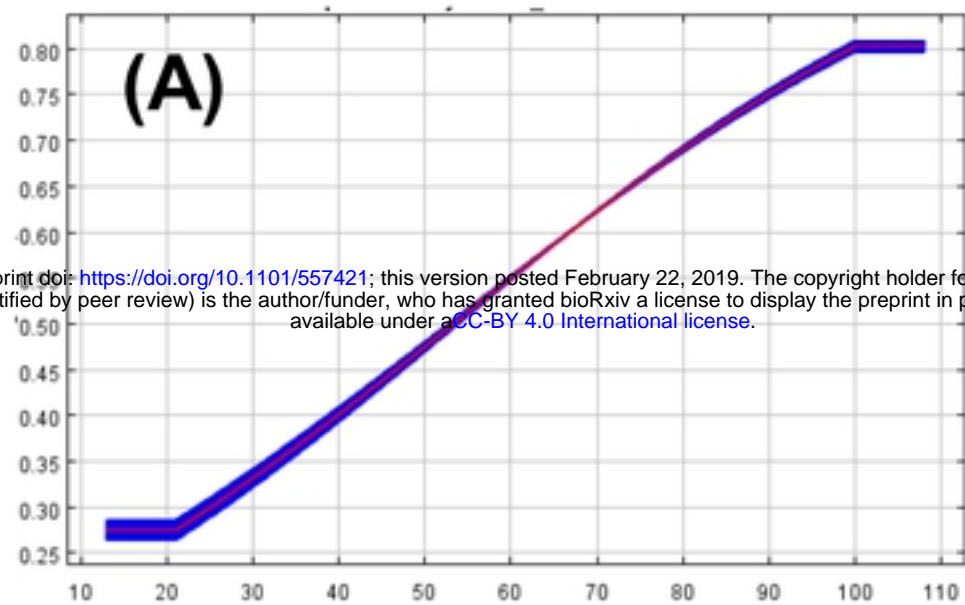


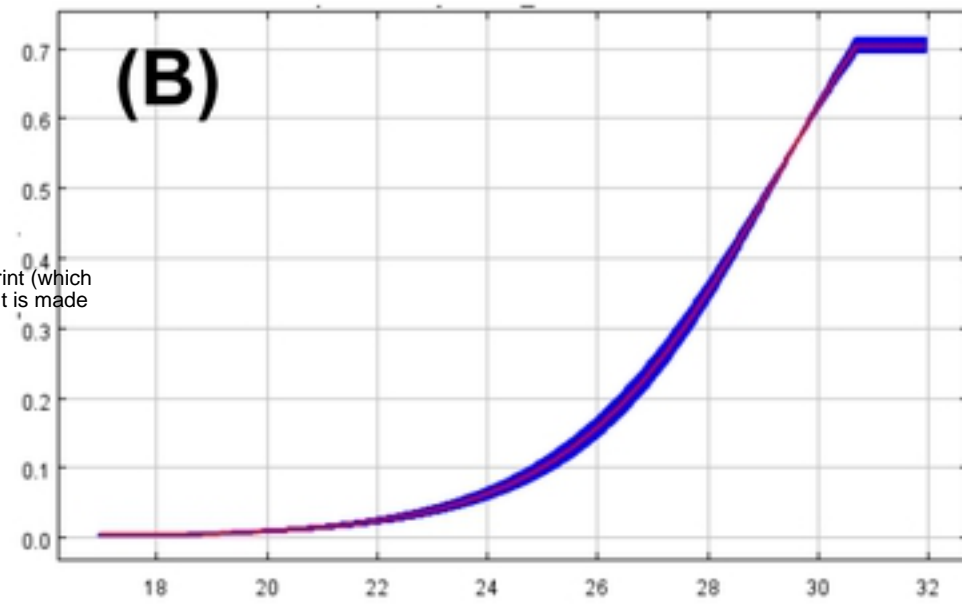
Figure 2

Probability of presence (logistic output)

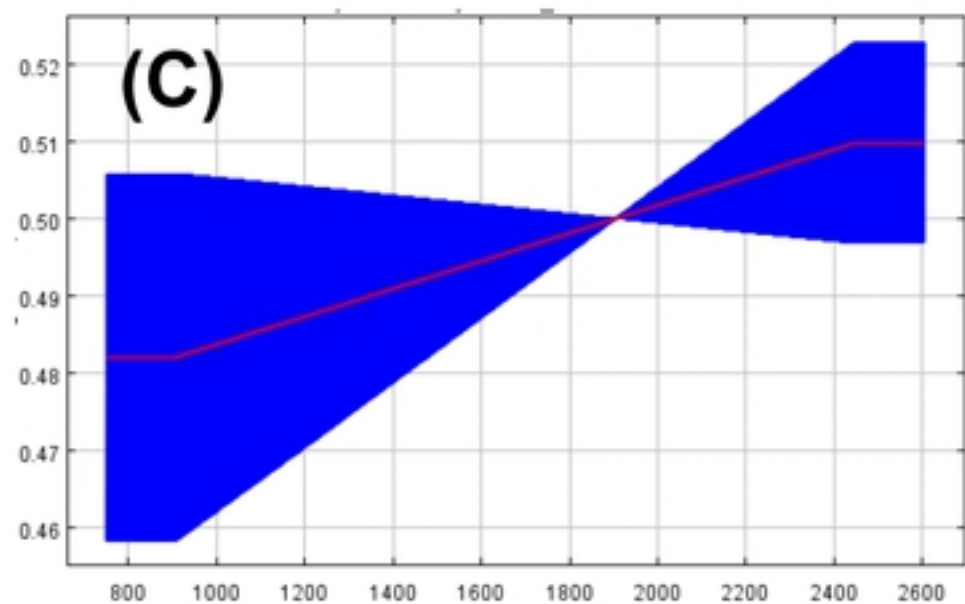
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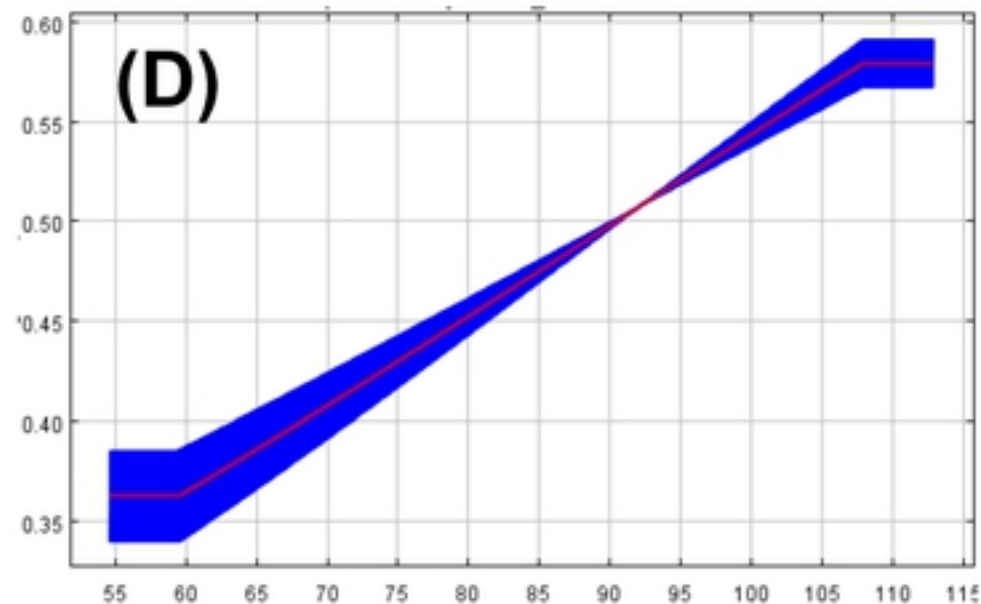
Footprint



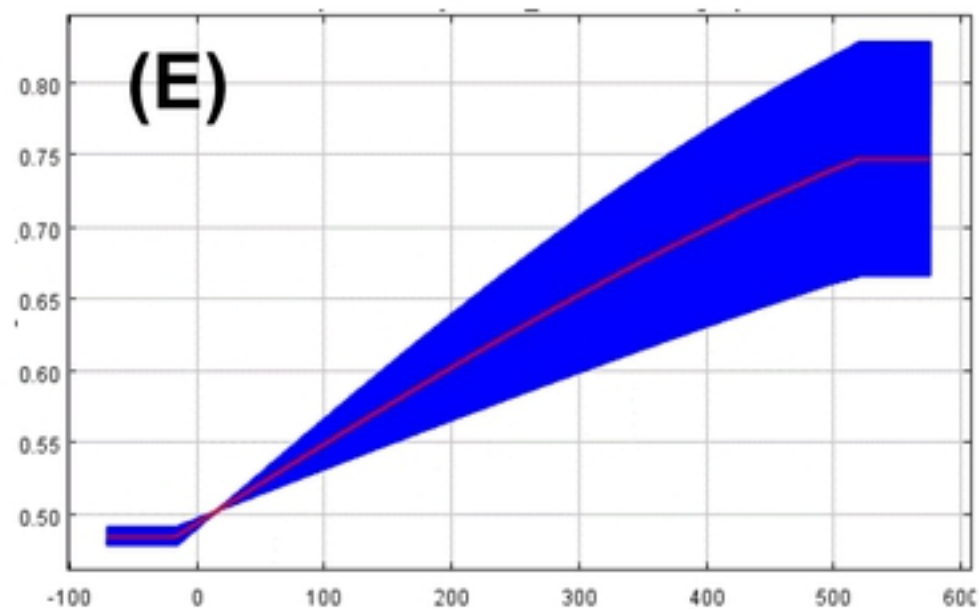
Bio 05



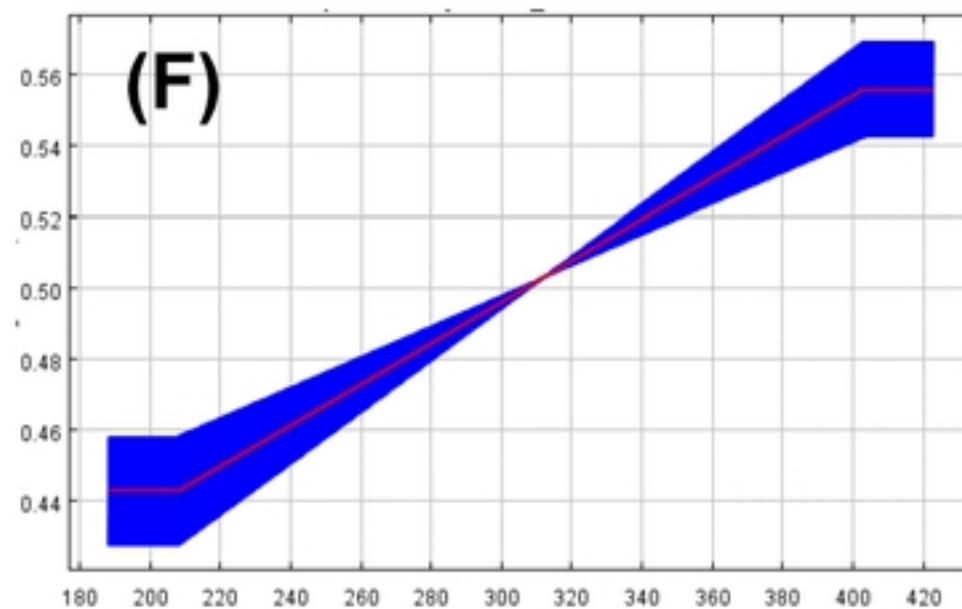
Degree day



Bio 15



Grape



Bio 13

Figure 3

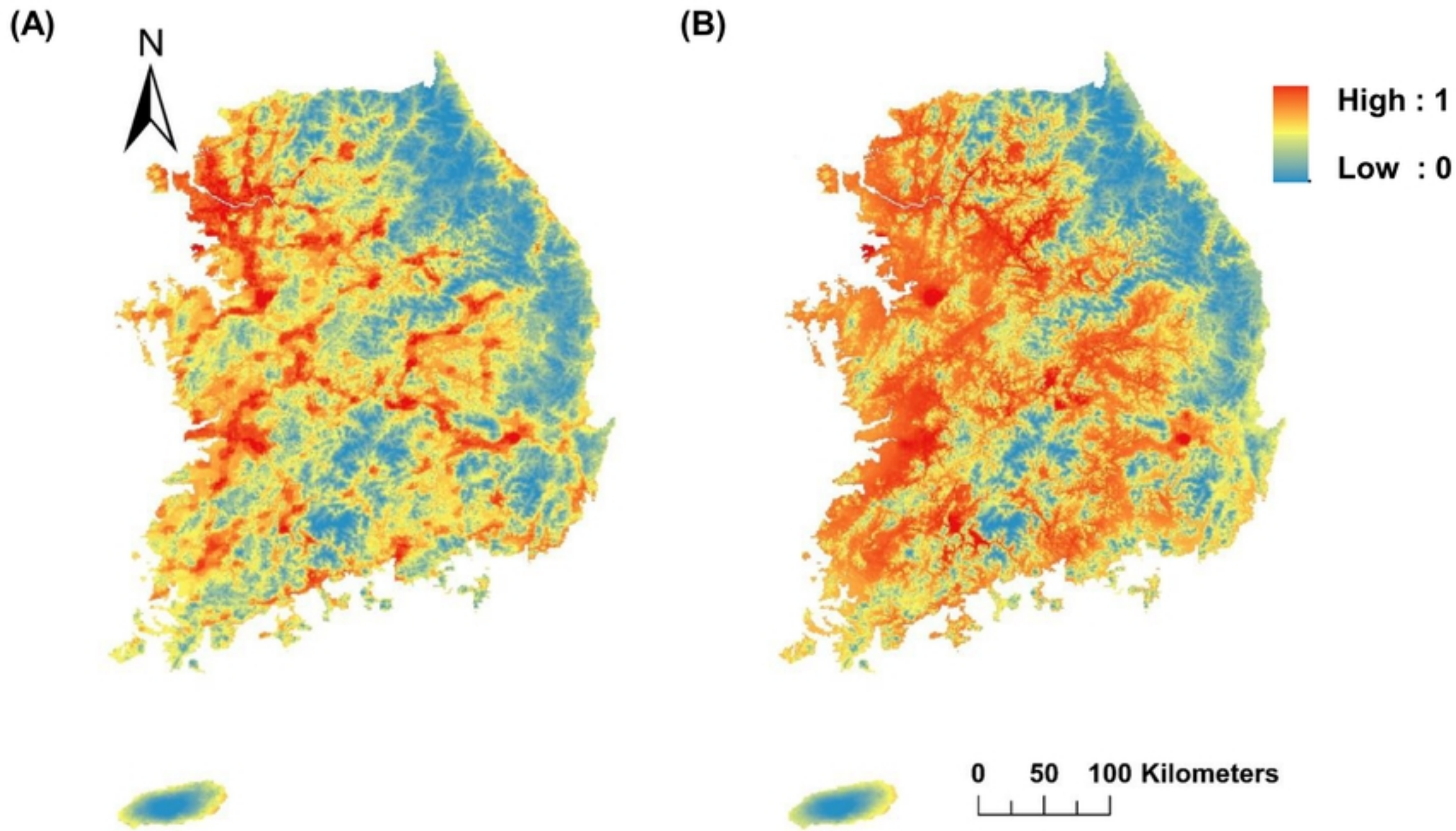


Figure 4