1	RAI1 I	Regulates Activity-Dependent Nascent Transcription and Synaptic Scaling
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27 Abstract

- 28 Long-lasting forms of synaptic plasticity such as synaptic scaling are critically dependent on
- 29 transcription. Activity-dependent transcriptional dynamics in neurons, however, have not been
- 30 fully characterized, because most previous efforts relied on measurement of steady-state
- 31 mRNAs. Here, we profiled transcriptional dynamics of primary neuronal cultures undergoing
- 32 network activity shifts using nascent RNA sequencing. We found pervasive transcriptional
- 33 changes, in which ~45% of expressed genes respond to network activity shifts. Notably, the
- 34 majority of these genes respond to increases or decreases of network activity uniquely, rather
- 35 than reciprocally. We further linked the chromatin regulator Retinoic acid induced 1 (RAI1), the
- 36 Smith-Magenis Syndrome gene, to the specific transcriptional program driven by reduced
- 37 network activity. Finally, we show that RAI1 is essential for homeostatic synaptic upscaling but
- 38 not downscaling. These results demonstrate the utility of *bona fide* transcription profiling to
- 39 discover mechanisms of activity-dependent chromatin remodeling that underlie normal and
- 40 pathological synaptic plasticity.
- 41

43 Introduction

44 Proper cognitive development and brain function relies on synaptic plasticity – the ability of 45 synapses to strengthen or weaken in response to sensory or neuromodulatory inputs. Synaptic 46 scaling is one mechanism of plasticity, which buffers potentially destabilizing patterns of network 47 activity (Abbott and Nelson, 2000; Miller and MacKay, 1994; Turrigiano, 2008). In response to a 48 sustained increase in neuronal firing rate, neurons decrease, or "scale-down", the receptivity of 49 the neuron to excitatory neurotransmitters. Conversely, global decreases in firing rate causes 50 neurons to "scale-up" and increase synaptic efficacy. Synaptic scaling is thought to 51 accommodate other forms of plasticity, such as long-term potentiation (LTP), that impose long-52 lasting increase of individual synaptic efficacy, which if left uncompensated, would result in 53 circuits that are overly active (Turrigiano, 2017). Synaptic scaling appears to play important 54 roles in neurodevelopment, learning, and memory (Fernandes and Carvalho, 2016; Yee et al., 55 2017). Dysregulated homeostatic plasticity is a common pathological hallmark in several 56 neurodevelopmental disorders, including Fragile X (Soden and Chen, 2010), Rett syndrome 57 (Zhong et al., 2012), tuberous sclerosis (Bateup et al., 2013), Kleefstra syndrome (Benevento et 58 al., 2016), and has been proposed to underlie autism spectrum conditions (Bourgeron, 2015). 59 To understand how homeostatic plasticity contributes to normal and pathological brain 60 development, identifying the molecular mechanisms underlying synaptic scaling is an important 61 first step.

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63 Long-lasting forms of synaptic plasticity, including synaptic scaling, require *de novo* synthesis of 64 RNAs, which in turn produce the proteins that directly modulate synaptic efficacy (Benito and 65 Barco, 2015; Ibata et al., 2008; Igaz et al., 2002). Increased neuronal firing leads to classic 66 signal transduction cascades that eventually phosphorylate key transcription factors (TFs) such 67 as cyclic AMP-response binding protein (West et al., 2002). TFs bind to specific DNA 68 sequences, e.g. cyclic AMP-response element, and play essential roles in expression of 69 immediate early genes (IEG), which encode key players in synaptic scaling, such as ARC 70 (Bramham et al., 2008) and HOMER1 (Brakeman et al., 1997). Reduction of network activity 71 leads to downregulation of these IEGs, while inducing the expression of genes that can scale up 72 net synaptic efficacy (Schaukowitch et al., 2017). The gene expression programs triggered by 73 reductions in network activity involves SRF, another key TF, which cooperates with the 74 transcriptional coactivator ELK1 (Schaukowitch et al., 2017). However, molecular mechanisms 75 underlying the transcriptional response to activity shifts remain incompletely understood. 76

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78 In order to control DNA accessibility of the transcription machinery, i.e. RNA polymerase II and 79 associated factors, TFs need to collaborate with chromatin regulators. Chromatin regulators can 80 deposit or remove a variety of modifications on DNA and histones, thereby influencing higher 81 order chromatin structure. The strong linkage between cognitive disorders and chromatin-82 regulatory genes suggests that activity-dependent chromatin reorganization is essential for 83 proper brain development and mental health (Ebert and Greenberg, 2013; Guzman-Karlsson et 84 al., 2014; Mullins et al., 2016). The roles of many chromatin regulators have been described in 85 activity-dependent gene expression that are linked to LTP and memory (Alarcón et al., 2004; 86 Bourtchouladze et al., 2003; Guan et al., 2009; Gupta-Agarwal et al., 2012; Gupta-Agarwal et 87 al., 2014; Iwase et al., 2016; Kerimoglu et al., 2013; Lim et al., 2017; Neelamegam et al., 2012; 88 Oike et al., 1999; Oliveira, 2016; Rudenko et al., 2013; Vogel-Ciernia et al., 2013; Wang et al., 89 2015). However, only a handful of chromatin regulators, i.e., TET3 DNA demethylase (Yu et al., 90 2015), EHMT1/2 histone H3K9 methyltransferases (Benevento et al., 2016), and L3MBTL1 91 methyl-histone binding factor (Mao et al., 2018) have been well-characterized for activity-92 dependent chromatin remodeling that underlies synaptic scaling. These three chromatin 93 regulators participate distinctly in synaptic scaling. TET3 is essential for both up- and 94 downscaling, whereas L3MBTLI1 is essential only for synaptic downscaling. 95

96 In order to dissect the mechanism by which chromatin regulators impart distinct effects on 97 synaptic scaling, it is crucial to carefully monitor bidirectional transcriptional responses to 98 increases or decreases in network activity. Most studies have addressed this issue using 99 quantification of steady-state mRNA levels, using RT-qPCR, cDNA microarray, and mRNA-seq. 100 The brain is characterized by its notorious complexity of post-transcriptional regulation, including 101 activity-dependent mRNA splicing (Hermey et al., 2017), mRNAs stability (Widagdo and 102 Anggono, 2018), mRNA transport and local translation (Glock et al., 2017). Therefore, changes 103 in steady-state mRNA levels do not necessarily indicate a direct transcriptional impact of a given 104 chromatin regulator. Thus, reliance on steady-state mRNA measurements may obscure the 105 roles of chromatin regulators in transcription.

106

107 In the present work, we developed genome-wide measurement of *bona fide* transcriptional

108 dynamics in response to bidirectional network activity alterations. We then used this approach to

- 109 uncover a novel role for the chromatin regulator Retinoic acid induced 1 (RAI1) in the
- 110 transcriptional program specifically elicited by reduced neural activity. RAI1 is a nucleosome

binding protein (Darvekar et al., 2012; Darvekar et al., 2013) that is consistently expressed in

- the brain during neurogenesis and throughout adulthood, in both mice and humans (Huang et
- al., 2016). RAI1 is associated with two human intellectual disability syndromes. RAI1
- haploinsufficiency leads to Smith-Magenis Syndrome (SMS, MIM: 182290), while duplication of
- 115 the genomic region containing *RAI1* results in Potocki-Lupski syndrome (PTLS, MIM: 610883)
- 116 (Bi et al., 2004; Girirajan et al., 2005; Potocki et al., 2007; Slager et al., 2003), Heterozygous
- and homozygous *Rai1*-knockout (KO) mice exhibit many of the symptoms of SMS patients
- including learning deficits, abnormal circadian and social behavior, as well as obesity (Bi et al.,
- 119 2005; Bi et al., 2007; Lacaria et al., 2013) (Huang et al., 2018; Huang et al., 2016). Furthermore,
- 120 heterozygous *Rai1*-KO mice display altered gene expression profiles and reduced dendritic
- 121 spine density in the prefrontal cortex. These studies implicate a role for RAI1 in gene
- 122 expression, neuronal structure, and behavior, but the precise role for RAI1 in activity-dependent
- 123 transcription and synaptic plasticity remains unclear. Here, using nascent RNA sequencing to
- 124 monitor *bona fide* transcriptional events during network activity shifts, we define a specific role
- 125 for RAI1 in the transcription program elicited by reduced network activity and show further that
- 126 RAI1 is essential for homeostatic upscaling during chronic activity suppression.

127

129 Results

130 Altered neuronal network-activity triggers genome-wide transcriptional changes

- 131 We first sought to develop an experimental paradigm that allows us to monitor bona fide
- 132 transcriptional dynamics in neuronal networks. To overcome the major limitation of conventional
- 133 RNA-seq. i.e. profiling only steady-state RNA quantities, we adopted Bromouridine-sequencing
- 134 (Bru-seq), a genome-wide profiling technique of nascent transcripts (Paulsen et al., 2014;
- 135 Paulsen et al., 2013). We prepared primary forebrain neuronal cultures from E18 mouse
- 136 embryos, and allowed them to mature for 17 days *in vitro* (DIV). To monitor bidirectional
- 137 transcriptional responses to activity shifts, network activity was either elevated by 20 µm
- 138 bicuculline (BIC, a GABA_A-receptor antagonist) or suppressed by 1 µm tetrodotoxin (TTX, a
- sodium channel blocker) for 4 hours. During the last 20 minutes of BIC or TTX treatment,
- 140 bromouridine (BrU) was added to the culture medium to label newly-synthesized transcripts.
- 141 The labeled RNAs were isolated by immunoprecipitation using an anti-BrU antibody and
- subjected to next-generation sequencing (Fig. 1A).
- 143

144 We first validated the results of Bru-seq by examining transcription of known activity-dependent

- genes individually. As shown in Figure 1B, we found the expected changes of *Arc* and *Fos*,
- 146 which were downregulated by TTX and upregulated by BIC. Abundant intronic reads indicate
- 147 that detected transcripts were recently generated and yet to be spliced. Other well-characterized
- 148 activity-dependent genes such as *Npas4*, *Egr1*, *Homer1*, *Tet3*, and *Txnip* showed expected
- transcriptional dynamics (Table S1). Thus, Bru-seq reliably captures known transcriptional
- 150 responses to bidirectional shifts in network activity.
- 151

152 Following individual gene validation, we characterized genome-wide transcriptional responses

- to BIC and TTX. Differential gene expression analysis of the Bru-seq data using DESeq2 (Love
- et al., 2014) revealed widespread transcriptional changes, in which 45% of expressed genes
- 155 (7,592/16,682) were significantly up- or down-regulated by network activity shifts ($p_{adj} < 0.05$,
- 156 Fig.1C). BIC increased transcription of 2,908 genes, whereas TTX did so for 1,820 genes. The
- 157 magnitude of transcriptional induction is higher in BIC treatment compared to TTX (Fig. 1D).
- 158 Meanwhile, a similar number of genes were transcriptionally suppressed upon BIC (2,842) and
- 159 TTX (2,307) treatments. A relatively small fraction of genes, 24% (1,798 of 7,592 activity-
- 160 response genes), displayed reciprocal changes between BIC and TTX treatments, e.g.
- upregulation by BIC and downregulation by TTX (Fig.1E). An even smaller fraction, 6%
- 162 (487/7,592) of activity-response genes altered their transcription levels in the same direction

after BIC and TTX treatments. The remaining 70% of genes (5,307/7,592) responded to BIC or
 TTX uniquely. Supplemental Table 1 lists genes that displayed greater than 2-fold changes in
 transcription upon network-activity shifts.

166

167 We next analyzed two published mRNA-seq datasets, which profiled the steady-state 168 transcriptome of mouse cortical neurons treated with TTX or BIC for 4 or 6 hours (Schaukowitch 169 et al., 2017; Yu et al., 2015) with identical processing and analyses (see methods). We found 170 that Bru-seq identified a greater number of differentially-expressed (DE) genes compared to 171 conventional mRNA-seq. The DE genes found in each dataset only partially overlapped 172 (Fig.S1A). However, the groups of DE genes identified in the mRNA-seg dataset of 4-hour 173 treatments were shifted in the same direction in Bru-seq (Fig. S1B). In contrast, the group of DE 174 genes of the 6-hour RNA-seg dataset did not show any noticeable transcriptional changes in the 175 Bru-seq data (Fig. S1C). These results suggest that transcriptional responses are highly 176 dynamic and correlate with steady-state mRNA levels only in a narrow window of time (< 2 hr).

177 Conventional mRNA-seq may not be the ideal approach to detect downregulation of

transcription, because after transcription ceases, synthesized RNAs persist for certain periods

179 of time. To test whether Bru-seq can detect transcriptional downregulation sensitively, we

180 compared the induction and suppression of known immediate-early genes in our Bru-seq and

181 published mRNA-seq datasets. We found significantly larger suppression of Fos, Arc, Bdnf, and

182 Npas4 (4- to 16-fold) by TTX treatment in Bru-seq compared to conventional mRNA-seq, in

183 which downregulation was less than 2-fold (Fig. 1F). In the Bru-seq data, the four genes showed

smaller magnitudes of upregulation in response to BIC, likely because the early transcriptional

185 induction is largely complete 4 hours after BIC treatment (Fig. S1C). These data highlight an

advantage of the Bru-seq approach to probe mechanisms underlying highly-dynamic activity-

187 dependent transcription.

188 We next examined the cell-type specificity of activity-dependent genes in our datasets. Recent

189 studies have reported that different cell types such as astrocytes and neuronal subtypes induce

distinct sets of genes in an activity-dependent manner (Hasel et al., 2017; Hrvatin et al., 2018).

191 Using immunocytochemistry of a set of well-established markers, NeuN, GAD67, GFAP,

192 CD11b, and Olig2, we estimated that our cultures consist of 41% excitatory neurons, 11%

inhibitory neurons, 33% astrocytes, 15% of cells within the oligodendrocyte lineage, and no

194 microglia (Fig. S2A-B). Indeed, several non-neuronal genes are represented in our dataset,

195 including *Thbs1*, a known synaptic regulator specifically expressed in astrocytes (Risher and

196 Eroglu, 2012). Gene ontology analysis of the Bru-seq data detected enrichment of biological 197 processes specific for both neurons and non-neuronal cell types (Table S2). For example, 198 regulation of axon diameter ($p_{adi} = 2.6 \times 10^{-5}$), axonal transport of mitochondrion ($p_{adi} = 3.5 \times 10^{-5}$) 10^{-4}), and glial cell proliferation (p_{adi} : 7.8 x 10^{-3}) represent genes that are transcriptionally 199 induced upon BIC treatment, while transcription of genes involved in astrocyte activation are 200 201 down-regulated by BIC (p_{adi} : 9.8x10⁻⁵). TTX treatment leads to increased transcription of myelin 202 maintenance genes (p_{adi}: 7.4x10⁻³). Reciprocally enriched biological processes include interneuron migration (BIC Down, p_{adi}: 1.7x10⁻³, TTX Up, p_{adi}: 7.0x10⁻²) and neuropeptide 203 signaling pathway (BIC Up, p_{adi}: 8.5x10⁻⁷, TTX down, p_{adi}: 4.7x10⁻⁵). When we intersected 204 published cell type-enriched genes (Zhang et al., 2014) (see Methods) with the Bru-seq data, 205 206 indeed, some neuronal- and non-neuronal genes transcriptionally respond to activity shifts (Fig. 207 S2C).

208

209 **RAI1** suppresses the TTX-induced transcriptional program in resting network

210 The superior sensitivity of Bru-seq over conventional RNA-seq prompted us to assess the role 211 of RAI1 in activity-dependent gene expression. We first determined RAI1 expression in our 212 culture systems. Publically-available databases indicate ubiquitous Rai1 expression in a broad 213 array of cell types in the brain (Fig. S3). Previous studies have demonstrated that Rai1 mRNA 214 expression rises from E13.5 to peak at P7, and its expression continues throughout adulthood 215 across brain regions (Fragoso et al., 2014; Huang et al., 2016). We developed an anti-RAI1 216 antibody and confirmed that RAI1 protein was expressed in virtually all MAP2-positive neurons 217 and primarily localized to the nucleus with subtle but detectable extra-nuclear signals in the 218 soma (Fig. S4A). We found relatively low RAI1 levels in non-neuronal (MAP2-negative) cell 219 nuclei (Fig. S4A). To examine if RAI1 protein levels or nuclear localization is altered by activity. 220 we probed for RAI1 in cortical neurons treated with BIC, TTX, or Vehicle for 15 min, 1 hr, 2 hr, 4 221 hr, 8 hr, or 24 hr, using Western blots and fluorescent microscopy. Neither RAI1 protein levels 222 nor localization were visibly altered in response to drug treatment at any time-point (Fig. S4 and 223 S5). RAI1 has been shown to occupy a large fraction of active promoters in the mouse adult 224 cortex (Huang et al., 2016). To examine whether RAI1 preferentially occupies activity-response 225 genes, we utilized the published RAI1 chromatin immunoprecipitation sequencing (ChIP-seg) 226 data obtained from the cortices of 8-week old mice (Huang et al., 2016). RAI1 ChIP-seq peaks 227 were found at promoters of ~80% genes that are expressed at detectable levels, regardless of 228 their activity-dependent transcriptional changes (Fig. S6A). We did not find any statistically-

significant enrichment or depletion of RAI1 occupancy of TTX- or BIC-response genes (Fig.

230 S6A). Thus, these data indicate that neuronal activity does not influence RAI1's expression level

231 or subcellular localization and that steady-state chromatin occupancy by RAI1 is not selective

232 between BIC or TTX-response genes.

233 To directly test RAI1's role in activity-dependent transcription, we went on to perform *Rai1*

knockdown (KD) in the primary cultures using lentiviral vectors (LV) carrying *Rai1*- or scramble

shRNAs (sh-Ctrl). To minimize impact of RAI1 loss on network connectivity, we delivered LV

shRNA at DIV14, a time by which functional synapses have formed. Near complete loss of RAI1

237 protein was achieved by 3 days post-LV infection (Fig. 2A). Next, we modulated network activity

238 of LV-treated cultures by applying TTX or BIC for four hours. Genome-wide transcription events

239 were assessed by Bru-seq as described above.

240 We initially sought to establish if *Rai1*-KD alone was sufficient to alter nascent transcription in

resting neuronal cultures. DESeq2 analysis revealed that 104 genes were downregulated and

242 18 genes were upregulated by *Rai1*-KD in the Vehicle-treated condition (p_{adj} <0.05, Fig. 2B,

243 Table S3). The greater number of downregulated genes is consistent with previous studies

244 demonstrating that RAI1 functions predominately as a transcriptional activator (Burns et al.,

245 2010; Carmona-Mora et al., 2012; Carmona-Mora et al., 2010; Elsea and Williams, 2011;

Girirajan et al., 2009; Huang et al., 2016). A majority of genes altered by *Rai1*-KD at baseline

247 were either BIC- or TTX-response genes (Fig. 2C). Of note, however, BIC- or TTX-response

248 genes were not significantly enriched in RAI1-dependent genes when gene groups were

249 corrected for their expression levels (data not shown).

250 To further characterize the relationship between RAI1 deficiency and BIC- or TTX-response

251 genes, we examined how individual genes behave upon Rai1-KD. We found a clear positive

correlation between the normal transcriptional response to TTX and the transcriptional

impairment by *Rai1*-KD at baseline (r=0.53, p=2.2x10⁻¹⁶, Spearman rank correlation coefficient,

t-test, Fig. 2D, left panel). No correlation was found in BIC-response genes and *Rai1*-KD (Fig.

255 2D, right panel). The group of genes that respond reciprocally to TTX and BIC (Fig. 1E) showed

similar correlation with all TTX-responsive genes (Fig. S6B). When we removed all DE genes

upon *Rai1*-KD from the plot, the correlation remained significant (r=0.52, p=2.2x10⁻¹⁶, Fig. S6C),

suggesting that the correlation was not solely driven by the DE genes. We also analyzed the

259 published mRNA-seq of the *Rai1*-KO cortices (Huang et al., 2016) and found a similar trend in

260 expression pattern of the TTX- and BIC-response genes (Fig. S6D). These data indicate that

261 RAI1 deficiency shifts the transcriptional profile towards the TTX-treated state without drug

application and that *Rai1*-KD does not impact transcription of non-reciprocal BIC-responsivegenes.

264

265 RAI1 deficiency promotes synaptic upscaling

266 Chronic perturbation of neuronal activity by BIC or TTX is known to induce decreases and 267 increases in synaptic strength, which respectively, underlie homeostatic synaptic downscaling 268 and upscaling (Abbott and Nelson, 2000; Miller and MacKay, 1994; Turrigiano, 2008). Given 269 that Rai1-KD shifted the nascent transcriptome towards the TTX-like state, we next asked 270 whether *Rai1*-KD would similarly shift excitatory synapse function towards a state similar to 271 synaptic upscaling. We used sparse transfection of DIV12-14 hippocampal cultures with either 272 *Rai1*- or scrambled shRNA, and recorded miniature excitatory postsynaptic currents (mEPSCs) 273 from transfected pyramidal-like neurons 48 hours later. If Rai1-KD induces synaptic 274 strengthening in a cell-autonomous manner, we would expect to see a rightward shift in the 275 distribution of mEPSC amplitudes as is observed during synaptic upscaling following chronic 276 activity suppression with TTX. Consistent with this idea, we found that expression of two distinct 277 shRNAs targeting Rai1 mRNA each induced a significant increase in baseline mEPSC 278 amplitude (sh-Ctrl vs. sh-*Rai1* #1: n = 21-21, p=0.019, sh-Ctrl vs. sh-*Rai1* #2: n = 18-19, p = 279 0.0011), without significantly altering mEPSC frequency or decay time (Fig 3A-D). Moreover, 280 Rai1-KD induced a clear rightward shift in the cumulative probability distribution of mEPSC 281 amplitudes in a manner that bears a striking similarity to changes in mEPSC distributions 282 following chronic TTX treatment (Fig. 3E). An increase in surface expression of AMPA receptors 283 (AMPARs) at synapses is a signature of synaptic upscaling following activity suppression. 284 Consistent with previous observations, surface expression of the GluA1 AMPAR subunit at 285 PSD-95-labeled excitatory synapses is significantly increased following chronic (24 hr) TTX 286 treatment (sh-Ctrl Vehicle vs. TTX: n = 13-12, p = 0.0019, Fig. 3F). Likewise, we found a similar 287 enhancement of surface GluA1 at synapses following 48 hr Rai1-KD (sh-Ctrl vs. sh-Rai1: n = 6-288 6 p = 0.0065, Fig 3F). Together, these results suggest that reduced *Rai1* expression induces 289 functional changes in excitatory synaptic function that mimic synaptic upscaling induced by 290 activity suppression.

291

292 RAI1 promotes the transcriptional response to reduction in network activity

293 Having uncovered that RAI1 is essential to suppress the TTX-associated transcriptional 294 program under baseline activity conditions (Fig. 2), we next tested if *Rai1*-KD has any impact on 295 transcriptional induction and suppression upon TTX and BIC treatments. By calculating fold-296 changes of transcription, we found that Rai1-KD led to a significant impairment of transcriptional 297 response to TTX, while transcriptional response to BIC was slightly weakened only for 298 downregulation (Fig. 4A). However, in contrast to the 130 genes transcriptionally altered at 299 baseline, DESeg2 gave only 8 genes as DE genes by Rai1-KD post TTX or BIC treatment, 300 indicating that the impact of Rai1-KD is larger in resting neurons compared to drug-treated 301 neurons (Fig. 4A, Table S3).

302 We then sought to determine if the strongly-impaired transcriptional response to TTX (Fig. 4A) 303 was due entirely to the TTX-like transcriptional state of *Rai1*-KD culture at baseline or if RAI1 304 also contributes to the transcriptional response to TTX. Differential gene expression analysis by 305 DESeq2 relies on an arbitrary statistical significance cutoff to report differentially expressed 306 genes. However, the individual gene plot in the baseline condition revealed a global 307 transcriptional trend resulting from small changes in many genes including those that failed to 308 achieve statistical significance (Fig. 2E and S6B). To define the impact of RAI1 loss after TTX-309 and BIC-treatment, we therefore employed this individual-gene plot approach. We found that, 310 after TTX treatment, the transcriptional changes of TTX-response genes in *Rai1*-KD cultures 311 inversely correlate with their changes upon TTX treatment in the control condition (Fig. 4C, 312 r=0.32, p=2.2x10⁻¹⁶, Spearman rank correlation coefficient, t-test). No correlation of TTX-313 response genes was observed after BIC treatment, suggesting RAI1 regulates TTX-associated 314 transcription in baseline and TTX-treated conditions, but not under neural hyperactivation (Fig. 315 S7A). Additionally, transcription of the BIC-response genes did not correlate with the 316 transcription of genes in *Rai1*-KD cultures under any condition (Fig. 4A). Thus, *Rai1*-deficiency 317 leads to subtle yet widespread impairment of the transcriptional response to TTX but not to BIC. 318 Taken together, the Bru-seg results led us to conclude that 1) RAI1-deficiency shifts 319 transcriptional profiles towards an activity-suppressed state in the resting network (Fig. 2), and 320 2) RAI1 is selectively required for the transcriptional response driven by network activity 321 suppression (Fig. 3). 322 We sought to explore biological implications for such small but pervasive deficits in

transcriptional response to TTX. We utilized RNA-Enrich, a gene ontology algorithm, in which
 the entire output of DESeq2 is analyzed, such that the program takes into account statistically weaker changes in gene expression (Kim et al., 2012; Lee et al., 2016). Surprisingly, although

326 Rai1-KD resulted in the greatest number of DE-genes in the vehicle-treated condition, RNA-327 Enrich identified many more RAI1-dependent biological processes after TTX treatment than 328 vehicle- or BIC treatments (45 in TTX-, 3 in vehicle-, and 7 in BIC-treated cultures, Fig. 4B). The 329 p_{adi} values were evidently lower in the post-TTX transcriptome data compared to BIC conditions 330 (Fig. 4D). Furthermore, the RAI1-dependent gene ontologies after TTX treatment represent 331 synapse-related processes, whereas those altered in the BIC and Vehicle-treated conditions 332 show fewer ontologies directly relevant to neuronal activity (Fig. 4B and Table S4). The RNA-333 enrich provides the identity of signature genes, called Sig-genes, which significantly contributed 334 to the enrichment of a given ontology (Kim et al., 2012; Lee et al., 2016). As expected, the 335 genes that contributed to the enrichment of the synapse-related ontologies (e.g. Naf. Syn3. 336 Cacna1b, Rab3a) showed mild transcriptional changes upon Rai1-KD, yet the changes are 337 consistent across biological replicates (Fig. 4E and Fig. S7B).

338

339 Loss of RAI1 prevents synaptic upscaling but not downscaling

340 We next examined RAI1's role in homeostatic synaptic scaling induced by chronic activity 341 suppression (TTX, 24 hr) or chronic network hyperactivation (BIC, 24 hr). Consistent with the 342 misregulation of TTX-responsive genes by *Rai1*-KD after TTX treatment (Fig. 4), we found that 343 loss of RAI1 significantly impaired the induction of homeostatic upscaling during activity 344 suppression in a cell-autonomous manner. Following transfection of scrambled or Rai1-targeted 345 shRNAs (24 hr prior to TTX/BIC), we found that control neurons expressing the scrambled 346 shRNA exhibited the normal increase in mEPSC amplitude 24 hr post-TTX (sh-Ctrl Veh vs TTX: 347 n = 14-15, p = 0.0003, Fig. 6B) and the distribution of mEPSCs exhibited a clear and 348 multiplicative rightward shift in cumulative frequency plots. By contrast, mEPSCs from neurons 349 expressing either of two distinct *Rai1* shRNAs did not significantly increase following TTX 350 exposure, and in fact, demonstrated a nominal trend for a decrease in amplitude (Fig. 5A-C). 351 Despite this clear impairment of homeostatic upscaling, *Rai1*-KD had no effect on the induction 352 of homeostatic downscaling following network hyperactivation with BIC (Fig. 5D-F). Both control 353 neurons expressing scrambled shRNA and those neurons expressing *Rai1* shRNA exhibited 354 significantly decreased mEPSC amplitudes (sh-Ctrl Veh vs BIC: n = 14-17, p = 0.0002, sh-Rai1 355 #1 Veh vs. BIC: n = 9-8, p=0.026 and sh-*Rai1* #2 Veh vs. BIC: n = 7-8, p = 0.0002, Fig. 6E), as 356 well as a clear leftward multiplicative shift in mEPSC cumulative probability distributions. These 357 results demonstrate that RAI is essential for homeostatic upscaling during activity suppression,

- 358 but is otherwise dispensable for homeostatic downscaling during periods of network
- 359 hyperactivation.

360

362 Discussion

363

364 Our Bru-seg method and analyses have provided novel insights into activity-dependent 365 transcription. We found widespread transcriptional responses to network activity shifts and its 366 high sensitivity in detecting transcriptional downregulation. Furthermore, our data indicate that 367 most dynamically regulated genes altered by hyperactivity or suppression are unique, not 368 reciprocal. (Fig. 1). This is particularly interesting given that gene expression studies have 369 tended to focus on bidirectional regulation of target genes (e.g. Arc, Fos, Homer1, Bdnf) 370 (Okuno, 2011). Our results agree with a nascent proteome study on rat hippocampal neurons, in 371 which the authors observed unique, common, and reciprocal changes in protein synthesis upon 372 TTX and BIC treatments (Schanzenbächer et al., 2016). These observations suggest that in 373 addition to the reciprocal transcriptional changes of key factors, distinct transcriptional 374 mechanisms to low- and high-activity states may underlie upscaling and downscaling. Our data 375 therefore provide a resource to begin exploration of distinct molecular machineries underlying 376 homeostatic up- and down-scaling and regulation of bidirectional activity-dependent 377 transcription.

378

379 Our data indicate that RAI1 is as a chromatin regulator that is selectively required for the 380 transcription program of activity-suppression. Loss of RAI1 leads to misregulation of TTX-381 response genes, while leaving the uniquely BIC-genes unaffected (Fig. 2). In addition to its 382 exclusive impact on TTX-response genes, an intriguing feature of RAI1 is its state-dependent 383 roles in synaptic scaling. RAI1 deficiency shifts gene expression towards TTX-associated 384 transcriptional states at baseline, and promotes the same transcriptional state once TTX is 385 applied (Figs. 2 and 4). Under a hyperactivity condition, RAI1 is not required for transcription of 386 TTX-associated genes (Fig. S7A, right panel). Since the correlation between *Rai1*-KD and TTX-387 associated transcription is stronger at baseline than TTX condition (baseline: r=0.53; TTX: 388 r=0.32), RAI1's influence on transcription appears greatest in the neurons without sensory 389 inputs. In contrast to the RAI1's roles in TTX-associated transcription, RAI1 is clearly 390 dispensable for BIC-triggered transcription and synaptic downscaling at any activity state (Figs. 391 2 and 4). Thus, despite RAI1's opposite roles in regulating TTX-associated genes between low-392 and baseline activity states, the ultimate role of RAI1 appears consistent — a specialized 393 transcriptional regulator for TTX-associated genes (Fig. 6). This selective requirement of RAI1 is 394 further corroborated with electrophysiological assessments (Figs. 3 and 5) 395

396 The mechanisms by which RAI1 performs this function remain to be resolved. RAI1 is a 397 nucleosome-binding protein, which can act as a transcriptional coactivator (Bi et al., 2005; 398 Burns et al., 2010; Carmona-Mora et al., 2012; Carmona-Mora et al., 2010; Darvekar et al., 399 2013; Elsea and Williams, 2011; Girirajan et al., 2009; Huang et al., 2016). Key genes for 400 cognitive development, including *Bdnf*, are shown to be direct targets of RAI1 (Burns et al., 401 2010; Girirajan et al., 2009; Molina et al., 2008; Williams et al., 2012). Our Bru-seg data indicate 402 that, under baseline levels of activity, RAI1 suppresses TTX-induced genes, while the same set 403 of genes are positively regulated by RAI1 during activity suppression (Fig.6). An integrative 404 analysis of published ChIP-seq data suggest the majority of these genes are directly bound by 405 RAI1 (Fig. S6A). It is plausible that RAI1's role in transcription switches between a coactivator 406 and a corepressor, in response to altered activity states. Alternatively, RAI1 binding to gene 407 promoters might be dynamically regulated during activity shifts, as was the case with L3MBTL1 408 (Mao et al., 2018). TET3 and EHMT1/2 share their roles in homeostatic upscaling with RAI1 409 (Benevento et al., 2016; Yu et al., 2015). While TET3 positively regulates transcription by 410 removing CpG methylation (Ito et al., 2010), EHMT1/2 generally act as transcriptional 411 repressors by placing the repressive histone mark, H3K9 methylation (Tachibana et al., 2005). 412 How RAI1 functionally interacts with other chromatin regulators with roles in activity-dependent 413 gene expression remains to be resolved.

414

415 It is noteworthy that the four previously-characterized chromatin regulators in synaptic scaling, 416 i.e. Tet3, EHMT2, L3MBTL1 were all identified based on their expression changes or their target 417 histone modifications by network activity shifts (Benevento et al., 2016; Mao et al., 2018; Yu et 418 al., 2015). However, we found no indication that expression or sub-cellular localization of RAI1 419 is regulated by neuronal activity (Fig. S4-S5). The stable expression is similar to the case of 420 EHMT1, in which EHMT1 levels remained constant while its binding partner EHMT2 levels 421 varied in response to activity (Benevento et al., 2016). Chromatin regulators tend to show 422 ubiquitous and stable expression. These observations raise a possibility that chromatin 423 regulators that are implicated in human cognitive disorders could be involved in transcriptional 424 response to activity shifts, even when their expression does not change during the process. Bru-425 seg and our analytical strategies employed in this study may prove useful to decipher how 426 chromatin remodeling sculpts neural networks and plasticity. 427

How do RAI1's new roles in synaptic scaling relate to cognitive function? As discussed earlier,
RAI1 is implicated Smith-Magenis Syndrome (SMS) (Bi et al., 2004; Girirajan et al., 2005;

430 Slager et al., 2003) and mouse models of heterozygous and homozygous Rai1-KO displayed 431 learning deficits, abnormal circadian behavior, altered social behavior, and obesity (Bi et al., 432 2005; Bi et al., 2007; Huang et al., 2018; Lacaria et al., 2013). Thorough characterization of cell-433 type specific Rai1-KO mice attributed the learning deficits to GABAergic interneurons rather 434 than glutamatergic excitatory neurons (Huang et al., 2016). Since our mEPSC recording was 435 performed in the pyramidal cells that incorporated Rai1-shRNA plasmid, and the transfection 436 efficiency is low (~0.1%), RAI1's role in synaptic scaling is likely cell-autonomous to excitatory 437 neurons. The lack of learning deficits in forebrain-specific Rai1-KO (Emx1-Cre: Rai1^{flox/flox}) may 438 suggest that RAI1's roles in synaptic scaling is irrelevant to cognitive function. Alternatively, 439 synaptic scaling deficits by acute *Rai1* depletion might be compensated during development by 440 unknown genes. The mice lacking RAI1 only in excitatory neurons may undergo compensatory 441 neurodevelopment processes that involve RAI1-positive cell types, in which case the mouse 442 model may not reflect neurodevelopmental deficits in human that is caused by RAI1 443 heterozygosity in all cells. Additionally, the recent finding of reduced dendritic spine density in 444 the prefrontal cortex of 4 week old Rai1-heterozygous mice, (Huang et al., 2018) may be result 445 in part from impaired upscaling in RAI1-deficient excitatory neurons during development.

446

447 In addition to cognitive function, sleep-wake cycle is another behavior in which RAI1's roles in 448 synaptic scaling might be involved. Sleeping problems of SMS patients have been associated 449 with inverted circadian rhythms (Boone et al., 2011; Elsea and Williams, 2011; Gropman et al., 450 2006; Potocki et al., 2000; Williams et al., 2012). Regulation of CLOCK gene by RAI1 has 451 provided a molecular mechanism, which potentially explains the inverted circadian rhythm 452 (Williams et al., 2012). Meanwhile, accumulating evidence has indicated that the brain 453 undergoes synaptic downscaling during sleep, which normalizes the strengthened synaptic 454 connection by experience during the awake state (Kuhn et al., 2016) (de Vivo et al., 2017; 455 Diering et al., 2017). Our work demonstrates that reduced network activity elicits unique 456 transcriptional responses (Fig. 1). Together, these observations predict that, during sleep, 457 network activity becomes low due to fewer sensory inputs, reminiscent of TTX-treated neurons, 458 which would trigger transcriptional responses that in turn upscale synapses during the awake 459 state. It is tempting to speculate that RAI1-dependent synaptic upscaling might contribute to the 460 higher synaptic efficacy during the awake state. Future studies should address how activity-461 dependent transcription and circadian gene oscillation interact, where RAI1 acts, and how 462 disruption of these processes underlies cognitive and/or sleeping issues of SMS and related 463 cognitive deficits.

464

465 In addition to Bru-seq, several nascent RNA-seq approaches have been employed to examine 466 gene expression in neurons. Schaukowitch et al. adopted Gro-seq, a nuclear run-on assay 467 coupled with deep sequencing, to profile transcriptional activity in TTX-treated neurons 468 (Schaukowitch et al., 2017). Gro-seq involves isolation of nuclei and incorporation of BrUTP 469 during the run-on reaction in vitro (Core et al., 2008). We found that Gro-seg is more 470 advantageous to detect unstable RNAs, such as eRNAs, compared to Bru-seq (Agarwal et al., 471 2017). The highly-sensitive detection of unstable RNAs is likely due the lack of active exosomes 472 in the run-on reaction, which however points to a potential risk to observe in vitro artifacts. In 473 contrast, Bru-seq faithfully monitors transcription within the cells at a given moment (Paulsen et 474 al., 2014; Paulsen et al., 2013). A limitation of the Bru-seq approach is the lack of cell-type 475 specificity. Recently. Zajaczkowski et al. reported nascent RNA-sequencing specifically in 476 neurons that were depolarized by KCI (Zajaczkowski et al., 2018). In this approach, neuron-477 specific RNA labeling was achieved by the Synapsin I promoter-driven expression of a 478 Toxoplasma gondii enzyme, uracil phophoribosyltransferase (UPRT). UPRT enables 479 incorporation 5-ethynyl-uracil (5EU) into RNA. The labeled RNAs were biotinylated, isolated, 480 and subjected for sequencing (Cleary et al., 2005). The UPRT-5EU system identified over 3,000 481 depolarization-regulated genes over the 3 hr KCl treatments, which likely detected both nascent 482 transcripts and steady-state mRNAs. Cell-type specificity of the UPRT-5EU system comes with 483 the cost of introducing the UPRT transgene and additional experimental steps to label RNAs. 484 Recent transcriptome studies of brain cell types and single-cell RNA-seg have allowed us to 485 retrospectively attribute the transcriptional changes in Bru-seg data to certain cell types to some 486 extent (Fig. S2). Thus, one can choose the most suitable experimental approach for nascent 487 RNA profiling depending on their goals of the study. 488

- 400
- 489
- 490

491 Figure legends

492

493 Figure 1. Genome-wide transcriptional response to bi-directional activity alterations. (A) 494 Experimental procedure. (B) UCSC Browser views of Bru-seq signals at Arc and Fos. Intronic 495 reads are characteristic of nascent RNA. (C) Differential gene expression analysis (DESeq2) 496 reveals widespread transcriptional changes in response to TTX and BIC (p_{adi} <0.05). (D) BIC-497 response genes show a greater median fold change (Wilcoxon rank-sum test, upregulated 498 genes: $p=2.2 \times 10^{-16}$, downregulated genes: $p=6.9 \times 10^{-16}$). Whiskers represent 1.5 times the 499 inter-quartile range (IQR) and the notch represents the 95% confidence interval of the median. 500 (E) The majority of TTX and BIC response genes are uniquely regulated (70%). 24% of genes 501 are reciprocally regulated and 6% are commonly regulated. (F) Downregulation of immediate 502 early gene in the TTX condition is captured more sensitively in Bru-seg data compared to 503 mRNA-seq data (Yu et al., 2015).

504

505 Figure 2. *Rai1-KD* alters transcription of TTX-response genes at the baseline. (A)

506 Validation of *Rai1*-KD with Western blot. Mouse forebrain neuron cultures were transduced with

507 lentivirus expressing sh-*Rai1* or sh-Ctrl for three days. **(B)** Number of DESeq2-called

508 differentially expressed genes (sh-Ctrl v sh-Rai1, p_{adj} <0.05) after Vehicle treatment. (C) Many

509 *Rai1*-KD DE-genes are TTX and BIC-response genes. **(D)** The fold changes of TTX- and BIC-

510 response genes by *Rai1*-KD at baseline. Note that *Rai1*-KD cultures displays transcriptional

511 profile similar to TTX-treated normal cultures. r= Spearman's rank correlation coefficient.

512

513 Figure 3. *Rai1*-KD increases the synaptic efficacy at baseline activity condition. (A-D)

514 Example traces and mean ± SEM mEPSC amplitude (B), frequency (C), and decay time (D) for

515 cultured rat hippocampal primary neurons recorded after transient transfection (48 hr) with

516 either non-targeting shRNA (sh-Ctrl) or Rai1 targeting shRNA (sh-*Rai1* #1 or #2) at DIV12-14.

- 517 Scale bar, 20 pA, 125 ms (sh-Ctrl, sh-*Rai1* #1, n = 21-21, and sh-Ctrl, sh-*Rai1* #2, n = 18-20)
- 518 **(E)** Cumulative distribution of mEPSC amplitudes of sh-Ctrl transfected neurons treated by
- 519 either vehicle or 1 μM TTX (left) and sh-Ctrl or sh-*Rai1* transfected neurons (right). (F)
- 520 Representative images of surface GluA1 (sGluA1, fire), PSD-95 (green) and sGluA1 & PSD-95
- 521 (merge) of sh-Ctrl and sh-*Rai1* infected dendrites. Scale bar 10 µm. Bar graph of mean sGluA1
- 522 signal intensity in PSD-95 positive regions for vehicle or TTX (n = 13-12), and sh-Ctrl or sh-*Rai1*

523 (n = 6-6) treated neurons. All bar graphs represent mean \pm SEM, and comparisons between sh-524 Ctrl and sh-*Rai1* were made with unpaired Student's t-tests. *p < 0.05, **p < 0.01, ***p < 0.001.

525

526 Figure 4. RAI1 positively regulates the transcriptional response to TTX. (A) The fold

changes of TTX- and BIC-response genes in cultures treated by sh-Ctrl or sh-*Rai1* (Wilcoxon
 rank-sum test). (B) Number of DESeq2-called differentially expressed genes (sh-Ctrl v sh-*Rai1*,

529 p_{adi}<0.05) after TTX, Vehicle, or BIC treatment. **(D)** RAI1-dependent gene ontologies (Biological

530 Process, p_{adi} <0.005) discovered by RNA-Enrich (Lee et al., 2016) and filtered by ReviGO

531 software (Supek et al., 2011). **(E)** RPKM values of four Sig-genes downregulated by *Rai1*-KD in

- 532 the TTX-treated condition. The Sig-genes represent "neurotransmitter transport" ($p_{adj} = 9.3 \times 10^{-8}$),
- 533 the top-downregulated Biological Processes. The remaining Sig-genes are shown in Figure S7.
- 534 Note slight but consistent inter-replicate changes upon *Rai1*-KD. r= Spearman's rank correlation
- coefficient. In the box plots, whiskers represent 1.5 times IQR and the notch represents the 95%
- 536 confidence interval of the median.

537

538 **Figure 5. Rai1-KD impairs synaptic upscaling but not synaptic downscaling. (A-C)**

539 Representative mEPSC traces recorded from neurons transfected with either sh-Ctrl or sh-Rai1 540 and treated with either vehicle or 1 µM TTX. Scale bar, 20 pA, 125 ms. (B) mEPSC amplitude 541 of sh-Ctrl, sh-Rai1 #1 and sh-Rai1 #2 treated either with vehicle or TTX (sh-Ctrl Veh, TTX n = 542 14-15, sh-*Rai1* #1 Veh, TTX n = 7-7, sh-*Rai1* #2 Veh, TTX n = 6-8). (C) Cumulative distribution 543 of mEPSC amplitude of sh-Ctrl (black), sh-Rai1 (teal; sh-Rai1 #1 + #2) treated with vehicle 544 (solid line) or TTX (dotted line). (D-F) Representative mEPSC traces recorded from neurons 545 transfected with either sh-Ctrl or sh-Rai1 and treated with either vehicle or 10 µM BIC. Scale 546 bar, 20 pA, 125 ms. (E) mEPSC amplitude of sh-Ctrl, sh-Rai1 #1 and sh-Rai1 #2 treated either

547 with vehicle or BIC (sh-Ctrl Veh, BIC n = 14-17, sh-*Rai1* #1 Veh, BIC n = 9-8, sh-*Rai1* #2 Veh,

- 548 BIC n = 7-8). (F) Cumulative distribution of mEPSC amplitude of sh-Ctrl (black), sh-*Rai1* (blue;
- 549 sh-*Rai1* #1 + #2) treated with vehicle (solid line) or BIC (dotted line). All bar graphs are
- 550 represented as mean \pm SEM. One-way ANOVA, followed by post-hoc Fisher's LSD test were
- 551 performed. *p < 0.05, **p < 0.01, ***p < 0.001.

553 Figure 6. RAI1's role as a state-dependent transcriptional regulator of TTX-response

- 554 **genes:** RAI1 alters synaptic efficacy through selective regulation of TTX-response genes under
- 555 baseline and activity-suppressed states.

556 **Supplementary Figure legends**

557

558 **Figure S1. Bru-seq vs. mRNA-seq. (A)** Overlap of DE genes in Bru-seq and mRNA-seq. DE 559 genes were called using identical DESeq2 parameters. mRNA-seq datasets were obtained from

- from (Yu et al., 2015). (B) Expression changes of DE-genes upon BIC and TTX treatments in
- 561 Bru-seq and mRNA-seq (4 hr post-treatment) (Yu et al., 2015). **(C)** Comparison of Bru-seq data
- and mRNA-seq data of 6 hr post-treatment (Schaukowitch et al., 2017).

563 **Figure S2. Cell-type analysis of the primary forebrain neuron cultures. (A)** Representative

564 immunofluorescence images of the primary forebrain neuron culture (DIV17) with antibodies

against NeuN, Gad67, GFAP, or Olig2. Nuclei were visualized by DAPI. Scale bar: 20 μ m. (B)

566 Quantification of cell types. Cell types were determined as follow. Excitatory neurons; NeuN(+),

567 Gad67(-), Inhibitory neurons; NeuN(+), Gad67(+), Astrocytes; NeuN(-), GFAP(+), Olig2(-).

568 33.5%, and Oligodendrocyte lineage cells; NeuN(-), Olig2(+). We did not observe cells with

569 CD11b, a microglia marker. Each cell type was calculated as the % of all DAPI+ cells and

570 shown as an average of two biological replicates. (C) The number of cell type-specific genes

and their response to TTX or BIC in the Bru-seq data. Cell type-specific genes were obtained

572 from mRNA-seq data of separated cells by immunopanning of P7-P17 mouse cortices (Zhang et

al., 2014). Oligo: cells within the oligodendrocyte lineage. NR: Non-responsive genes. Both:

574 Genes that respond to both TTX and BIC.

Figure S3. RAI1 expression in publicly-available datasets. (A) *Rai1* mRNA levels in neurons
and non-neuronal cells of the adult mouse cortices (Zhang et al., 2014). (B) *Rai1* mRNA levels
in single cell mRNA-seq data of mouse visual cortex (Tasic et al., 2016).

578 **Figure S4. RAI1 protein level during neuronal-activity shifts.** Rat cortical neurons (DIV14) 579 were treated with TTX (T), BIC (B) or vehicle (V) for the indicated times. Cells were harvested in

3 biological replicates and analyzed by Western blot with a custom anti-RAI1 antibody. The 270kDa full-length RAI1 protein band intensity was visualized and quantified in the linear range
using LI-COR C-Digit and Image Studio software. Statistical significance was evaluated with
one-way ANOVA. No treatment reached p<0.05.

Figure S5. Sub-cellular localization of RAI1 during neuronal-activity shifts. Sub-cellular
RAI1 localization was assessed by immunofluorescence in the mouse forebrain neuron culture
(DIV17) using an anti-RAI1 antibody. RAI1 displayed nuclear localization in excitatory and
inhibitory neurons. RAI1 did not show any sub-cellular or sub-nuclear localization by TTX and
BIC treatments for 4 hr. We obtained similar results at other timepoints (15 minutes, 1 hr, 2 hr, 8
hr, and 24 hr, data not shown).

590 Figure S6. Integrative analysis of the Bru-seq, RAI1 ChIP-seq, and mRNA-seq data of

591 *Rai1-knockout mice.* (A) RAI1 occupancy at the promoters of TTX- and BIC-response genes.

592 RAI1 ChIP-seq data were obtained from the cortices of 8-week old mice (Huang et al., 2016).

593 RAI1 occupies ~80% of activity-dependent genes with no apparent enrichment in any group.

594 Promoters were defined by ± 1 kb of annotated transcription start sites and overlap with RAI1

595 ChIP-seq peaks were computed using MACS2 (Zhang et al., 2008). (B) Transcription of

596 reciprocal genes in the *Rai1*-KD culture at baseline show a positive correlation with TTX-treated

transcriptome and a negative correlation with BIC-treated transcriptome of the normal culture.

598 (C) Premature TTX-response of *Rai1*-KD culture is still observed after excluding the RAI1-

599 dependent genes at baseline. (D) Expression of TTX- and BIC-response genes in the pan-

600 neuronal *Rai1*-knockout cortex. mRNA-seq data were obtained from 3 week-old *Rai1*^{flox/flox}:

601 *Nestin*-Cre and control mice (Huang et al., 2016). Fold changes in KO vs Control mice were

602 calculated using the RPKM values. The Rai1-KO mRNA expression shows a trend of TTX-

603 treated transcription states.

604 Figure S7. Impact of RAI1 loss on transcription after TTX- or BIC-treatments. (A) The fold

- 605 changes of TTX- and BIC-response genes by *Rai1*-KD after BIC or TTX treatment. (B) RPKM
- 606 values of Bru-seq data are represented for Sig-genes of "neurotransmitter transport", which was
- 607 downregulated by *Rai1*-KD in the post-TTX condition (p_{adj} : 9.3 x 10⁻⁸). Note consistent reduction
- 608 in RPKM across biological replicates in *Rai1*-KD culture in the TTX-treated condition.
- 609
- 610

611 Materials and Methods

612

613 Primary neuron culture and shRNA-mediated Rai1-KD

614 The cortices and hippocampi from E18.5 mouse pups were pooled into biological replicates with 615 identical female-male ratios. Sex of the pups was determined by PCR using primers for the ZFY 616 gene (Table S5). Primary culture of neurons was carried out as previously described (lwase et 617 al., 2016) (Vallianatos et al., 2018). Briefly, dissociated tissues were plated at 4 million cells/6 618 cm poly-D-lysine-coated plate (Sigma) grown in Neurobasal Media supplemented with B27 619 (Gibco, #17504044). No mitotic inhibitors were added, allowing the growth of non-neuronal 620 cells. Half the culture medium was freshened every 3-5 days. On DIV 14, cells were infected 621 with lentiviral shRNA as previously described (Vallianatos et al., 2018). Lentivirus were 622 generated using co-transfection into HEK-293t cells of psPAX2 (Addgene, 12260), pMD2.G 623 (AddGene, 12259) and pLKO plasmids containing shRNA against *Rai1* untranslated region 624 (Rai1-shRNA #1: Sigma, TRCN0000124984) or coding region (Rai1-shRNA #2: Sigma, 625 TRCN0000328334) or scramble shRNA (Sigma, SHC202). For Bru-seq experiments, we used 626 SHC202 and Rai1-shRNA #1. For electrophysiology, we used SHC202, Rai1-shRNA #1 and #2, 627 whose target sequences are identical between mouse and rat. The conditioned media 628 containing lentiviruses was collected, concentrated with Lenti-X concentrator (Takara, 631232), 629 and resuspended in Neurobasal medium, and stored at -80°C. The titer of lentivirus was 630 determined by survival of transduced 293 cells under puromycin and a comparable amount of 631 virus that result in >90% survival of infected neurons was used for all biological replicates. 632 Puromycin was not added to cultured neurons for experiments.

633

634 Network activity alterations and Bru-seq experiments

635 On DIV17, cells were treated with bicuculline-methiodide (Abcam, ab120108, 20 μM), TTX

636 (Tocris, 1069, 1 μM), or vehicle (sterile water), for 4 hours. 3 hours and 40 minutes post

treatment, bromouridine (Bru, Sigma, dissolved in PBS) was added to cultures at 2 mM final

- 638 concentration. Cultures were harvested in Tri-reagent BD (Sigma, T3809) and frozen
- 639 immediately. RNA was purified using phenol-chloroform extraction and isopropaonol
- 640 precipitation, treated with DNAse-I (NEB) then fragmented by high-magnesium, high
- 641 temperature incubation. From 1 μg of total RNA, enrichment of Bru-containing RNA and library
- preparation were performed as previously described (Paulsen et al., 2014; Paulsen et al., 2013)

643 with minor modifications. We designed custom adaptors (Table S5) which were directly ligated 644 to the 3' ends of RNA using RNA ligase 1 (NEB Cat. No. M0437) and truncated RNA ligase KQ 645 (NEB M0373). Bromouridine-labeled RNAs were immunoprecipitated using anti-BrdU antibody 646 (Santa Cruz Biotechnology, sc-32323). Enriched RNAs were reverse transcribed using a primer 647 complementary to the RNA adaptor (Table S5). Adaptor duplexes with 5- or 6-base pair random 648 nucleotide overhangs were ligated to the 3' end of the cDNA (Table S5). The cDNA libraries 649 were amplified using primers that carry Illumina indices, then 180-400 bp DNA fragments were 650 isolated using by an agarose gel. The nucleotide sequences of primers used for library 651 amplification are found in Table S5. The libraries were subjected to single-end 50-bp 652 sequencing using Illumina HiSeq 2000 platform. We performed 2 to 3 biological replicates for all

- drug treatment and knockdown conditions.
- 654

655 Sequencing data analysis

After confirming the quality of sequencing data by FastQC, reads were mapped to mm9

reference genome using Bowtie2 (Langmead and Salzberg, 2012) and annotated with Tophat2

- 658 (Kim et al., 2013). Adaptors were trimmed using BBDUK (http://jgi.doe.gov/data-and-tools/bb-
- tools/), when 2-30 bp on the left of the read matched the predicted adaptor (k=30, mink=2,
- 660 minlength=15, hdist=1). Bru-seq signals were quantified by FeatureCounts (Liao et al., 2014).
- 661 We excluded *Rn45s*, *Lars2*, *Rn4.5s*, *Cdk8*, *Zc3h7a* and the mitochondrial chromosome to avoid
- 662 counts of overamplified genes that may skew RPKM normalization. DE-genes were identified
- using DESeq2 (Love et al., 2014) using the same parameters for the Bru-seq data and three
- published mRNA-seq datasets of neuron culture and *Rai1*-KO mice (Huang et al., 2016;
- 665 Schaukowitch et al., 2017; Yu et al., 2015). We also used DESeq2 to calculate RPKM
- 666 expression values across the entire genic regions, including introns. Gene ontology was
- 667 examined using RNA-Enrich (Lee et al., 2016). Significance cutoff for reporting Sig-genes was
- an unadjusted p value< 0.05. We only presented GO terms that contain 5 to 250 genes.

669

670 Western blot

To validate *Rai1*-KD in mouse forebrain neuron culture, *Rai1*-KD and control cultures were harvested at 3 days after lentiviral transduction and subjected to Western blot analysis as

673 described previously (Iwase et al., 2016). RAI1 antibodies were generated by immunizing

674 rabbits with a synthesized RAI1 peptide (aa 28 to 42, ENYRQPGQAGLSCDR, Thermo Fisher 675 Scientific), followed by affinity purification using the peptide as the affinity ligand (Thermo Fisher 676 Scientific). Anti-PCNA antibody (Santa Cruz sc-56, 1:1000) was used for a loading control. For 677 analysis of RAI1 level during activity shifts, the cortices from E18.5 rat pups were dissected, 678 dissociated, and plated at 700,000 cells/well in a PDL-coated 6-well dish. Neurons were grown 679 in Neurobasal/B27 medium for 14 DIV. Vehicle (1% water), TTX (1 µM) or BIC (20 µM) were 680 added to the culture and cells were harvested with a 1:1 mixture of 2X Laemmeli buffer (BioRad, 681 1610737, 1:20 beta-mercaptoethanol) and radioimmunoprecipitation assay (RIPA) buffer 682 supplemented with 50 mM BGP and 1 mM Na3VO4. Protein samples were boiled for 10 683 minutes at 100°C. 10-15 µg of each sample was loaded per lane, separated by 7.5% SDS-684 PAGE, and transferred onto PVDF membrane (Millipore IPVH00010). Membranes were then 685 blocked with 5% skim milk or 3% blotting-grade blocker (BioRad 1706404) for 1 hr, probed 686 overnight with the following primary antibodies diluted in 3% BSA (Fisher Scientific BP1600): 687 RAI1 (1:1000), beta-actin (Sigma A5441, 1:20,000). Horseradish peroxide (HRP)-conjugated 688 secondary IgG antibodies (EMD Millipore AP132P or AQ160P) were also diluted in 3% BSA, 689 and the HRP signal was developed with various chemiluminescent substrates from Thermo 690 Fisher Scientific (34080 or 34095) and Li-COR Biosciences (926-95000). Protein band intensity 691 was visualized and quantified in the linear range using LI-COR C-Digit and Image Studio

692 software. Results were compared using one-way ANOVA.

693

694 Immunocytochemistry

Two biological replicates of forebrain neuron cultures were obtained from E17.5 mouse

696 embryos. On DIV19, they were fixed with 4% paraformaldehyde in 16% sucrose/PBS,

697 permeabilized with 0.25% Triton-X in 1X PBS, blocked for 30 minutes with 10% bovine albumin

698 serum (Sigma A2153), and overnight incubation of antibodies in 3% BSA at 4°C.Primary

antibodies used in the study are following. anti-NeuN (EMD Millipore, MAB377, 1:1000), anti-

700 GFAP (NeuroMab N206A/8, 1:1000), anti-MAP2 (EMD Millipore, AB5543, 1:1000), anti-OLIG2

701 (EMD Millipore, AB9610, 1:1000), anti-CD11b (Abcam, ab133357, 1:500), anti-GAD67 (Santa

702 Cruz, sc-5602, 1:1000). Secondary antibodies (Invitrogen Alexa Fluor 488, 555, or 647) were

applied for 45 min at room temperature. Fluorescence images were acquired using an Olympus

704 BX61 fluorescence microscope (60X oil-immersion lens) and CellSense software.

705 Immunoreactivity was quantified semi-automatedly using a custom ImageJ script after

confirming specific staining by visual inspection.

707 Electrophysiology

- 708 All animal use followed NIH guidelines and was in compliance with the University of Michigan 709 Committee on Use and Care of Animals. Dissociated postnatal (P0-2) rat hippocampal neuron 710 cultures were prepared as previously described (Henry et al., 2012). Neurons were transfected 711 with 1.0 µg of scrambled or *Rai1*-shRNA-expressing plasmids with the CalPhos Transfection kit 712 (ClonTech) or Lipofectamine 2000 (ThermoFisher Scientific) according to the manufacturer's 713 protocols. All experiments were performed 48 hours after transfection. mEPSCs were recorded 714 from a holding potential of – 70 mV with an Axopatch 200B amplifier from neurons bathed in 715 HEPES buffered saline (HBS) containing: 119 mM NaCl, 5 mM KCl, 2 mM CaCl2, 2 mM MgCl2, 716 30 mM Glucose, 10 mM HEPES (pH 7.4) plus 1 µM TTX and 10 µM bicuculine; mEPSCs were 717 analyzed with Synaptosoft MiniAnalysis software. Whole-cell pipette internal solutions 718 contained: 100 mM cesium gluconate, 0.2 mM EGTA, 5 mM MgCl2, 2 mM ATP, 0.3 mM GTP,
- 40 mM HEPES (pH 7.2). Statistical differences between experimental conditions were
- determined by unpaired Student's t-tests (Fig. 3) or one-way ANOVA followed by post-hoc
- Fisher's LSD test (Fig. 6).

722 Surface GluA1 expression analysis

723 Surface GluA1 staining was conducted as previously described with slight modification (Henry 724 et al., 2012). On DIV12, rat cultured hippocampal cells were infected either with lentivirus 725 carrying sh-Ctrl or sh-Rai1 as described above. After 48 hours of incubation, cultured cells were 726 live-labeled with rabbit anti-GluA1 antibody (EMD Millipore, ABN241, 1:1000) for 20 min at 727 37°C, fixed with 2% paraformaldehyde, and further labeled with mouse anti-PSD-95 (EMD 728 Millipore, MAB1596, 1:1000). Goat anti-mouse Alexa 488 and Goat anti-rabbit Alexa 555 729 secondary antibodies (Abcam, 1:500) were applied for 60 min at room temperature to visualize 730 PSD-95 and GluA1 staining. Images of PSD-95 and GluA1 were aguired using an inverted 731 Olympus FV1000 laser-scanning confocal microscope using a Plan-Apochromat 63 X /1.4 oil 732 objective. Then, synaptic GluA1 was defined as a particle that occupied > 10% of the PSD-95 733 positive area, and the average integrated intensity of synapatic GluA1 was calculated using a 734 custom macro for ImageJ. Statistical differences between experimental conditions were 735 determined by unpaired Student's t-tests.

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738 Data Availability

- 739 Sequencing data generated for this study have been submitted to the NCBI Gene Expression
- 740 Omnibus (GEO; http://www.ncbi.nlm.nih.gov/geo/) under accession number GSE121749.
- 741
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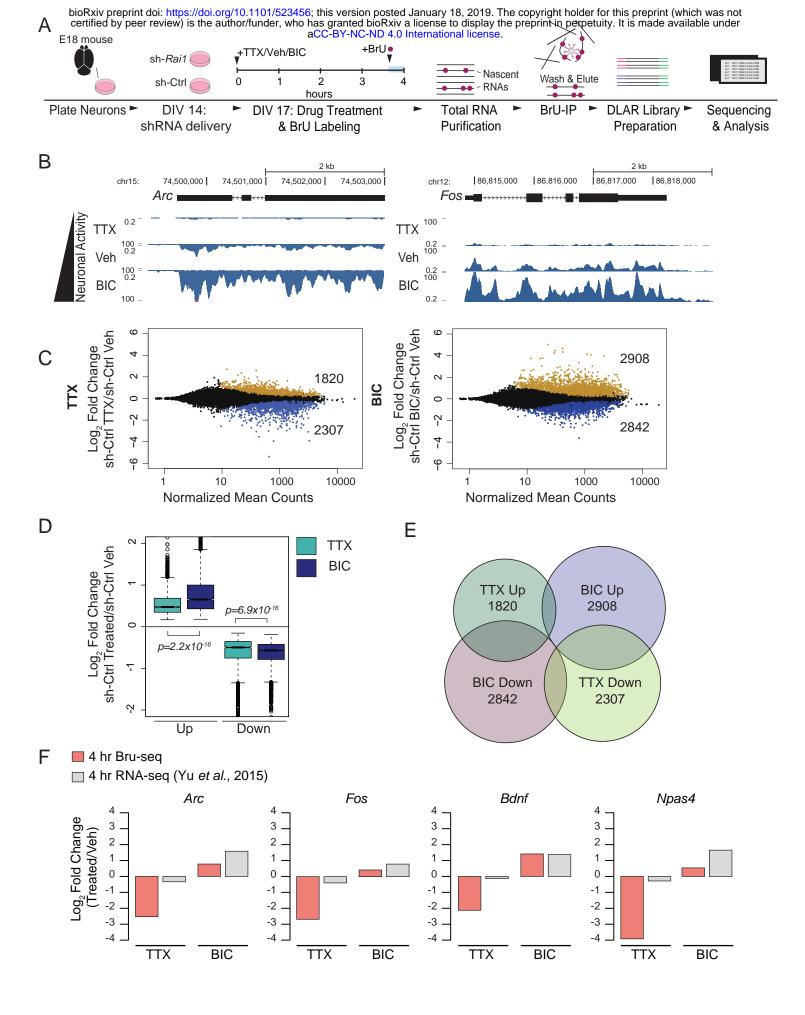
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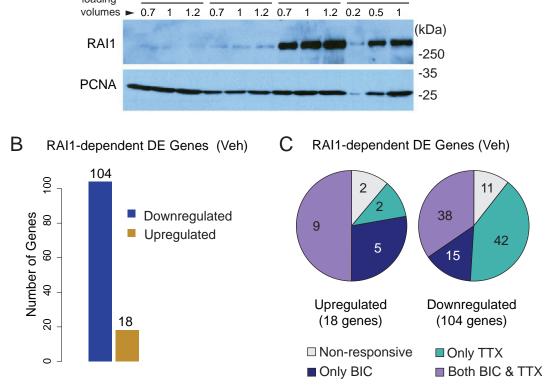
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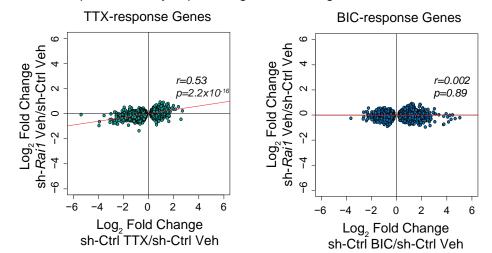
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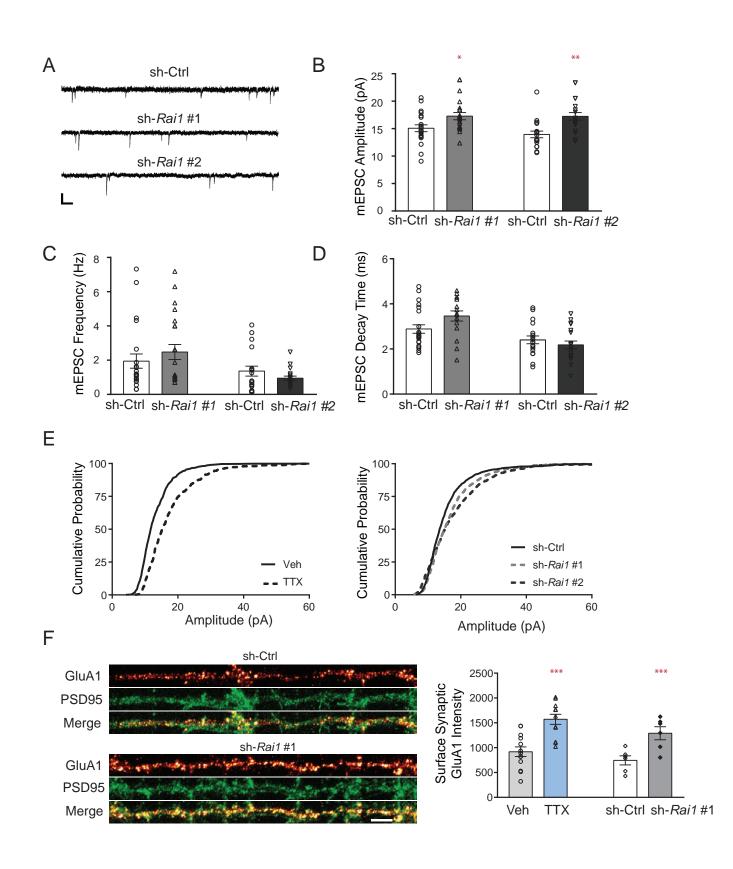
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D Transcription of activity-dependent genes in resting neurons

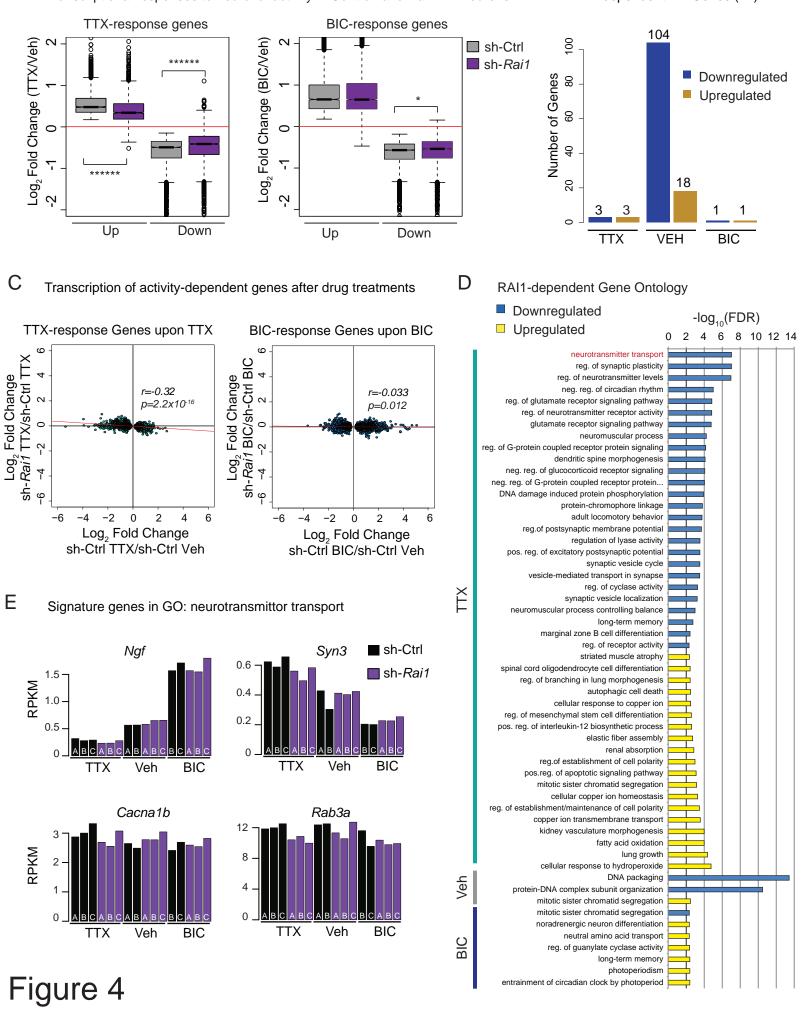


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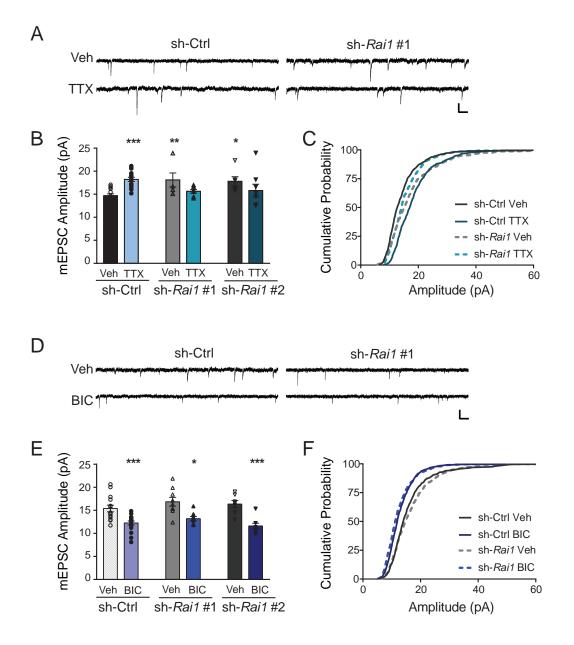


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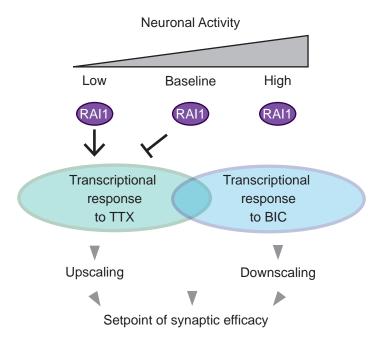
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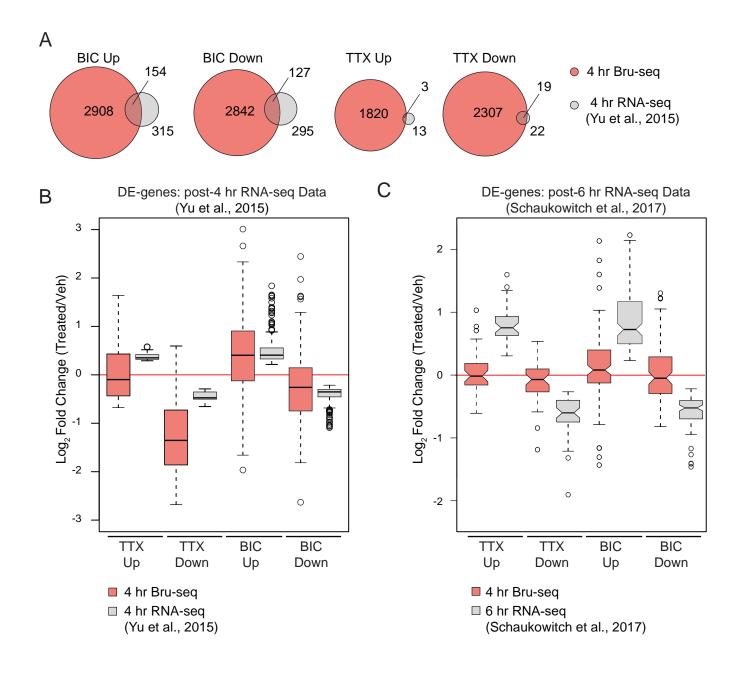
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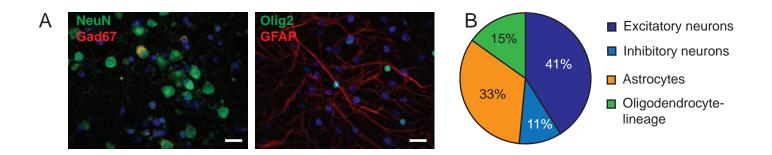


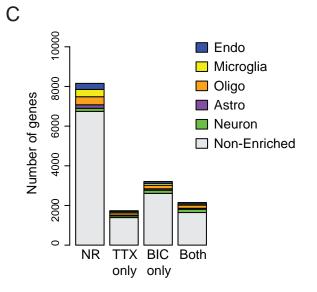
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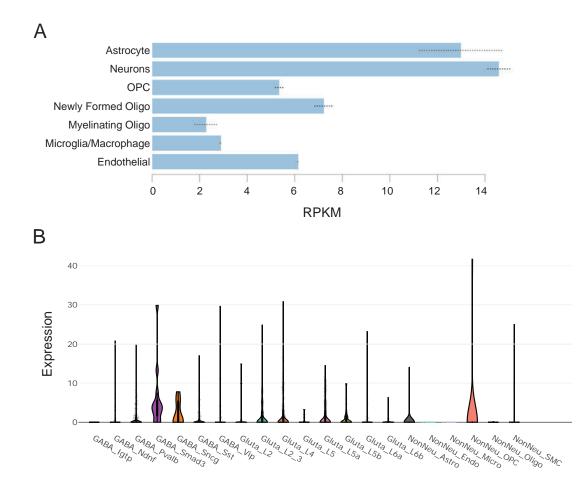
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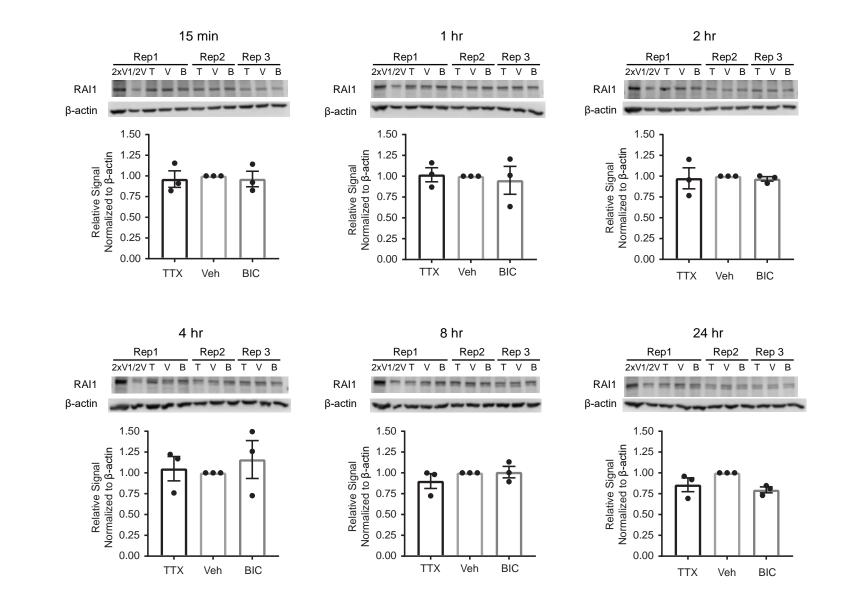


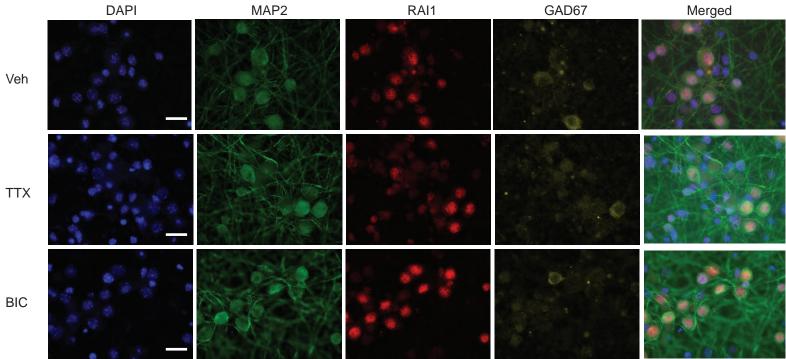




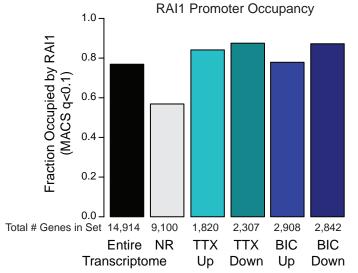
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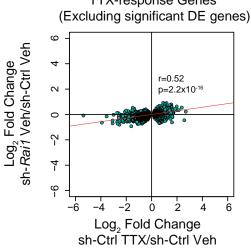


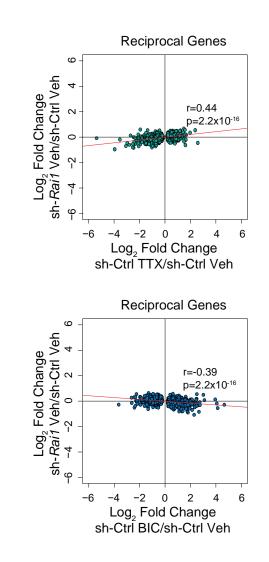


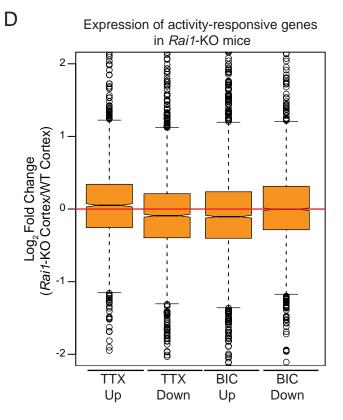


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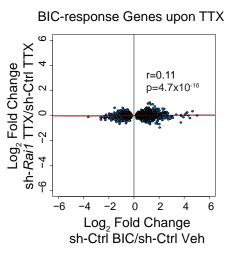


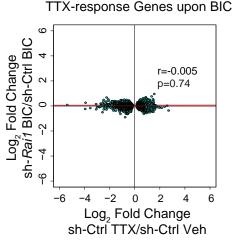
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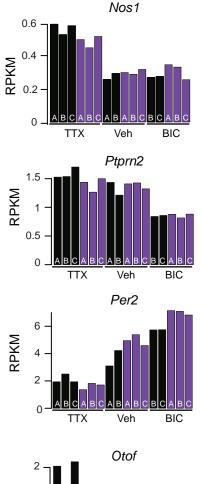
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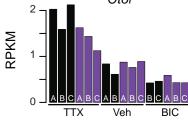
A Transcription of activity-dependent genes after drug treatments

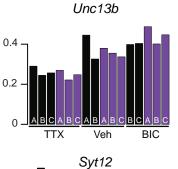


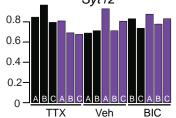


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Ntrk2

