Network-targeted, multi-site direct cortical stimulation enhances working memory by modulating phase lag of low frequency oscillations

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Authorship Statement: SA, HS, and FF designed the experiments; SA, JR, WH, EH, and HS performed the electrophysiological recordings; SA, JR analyzed the data; and SA, JR, WH, EH, HS, and FF prepared the manuscript.

Conflict of interest: FF is the lead inventor of IP filed on the topics of noninvasive brain stimulation by UNC. FF is the founder, CSO and majority owner of Pulvinar Neuro LLC, which played no role in this research The other authors declare no competing interests

1 Abstract:

2 Working memory, an important component of cognitive control, is supported by the coordinated activation 3 of a network of cortical regions in the frontal and parietal cortices. Oscillations in theta and alpha frequency 4 bands are thought to coordinate these network interactions. Thus, targeting multiple nodes of the network 5 with brain stimulation at the frequency of interaction may be an effective means of modulating working 6 memory. We tested this hypothesis by identifying regions that are functionally connected in theta and alpha 7 frequency bands and intracranially stimulating both regions simultaneously in participants undergoing 8 invasive monitoring. We found that in-phase stimulation resulted in improvement in performance compared 9 to sham stimulation. In contrast, anti-phase stimulation did not affect performance. In-phase stimulation resulted in decreased phase lag between regions within working memory network while anti-phase 10 stimulation resulted in increased phase lag suggesting that shorter phase lag in oscillatory connectivity may 11 12 lead to better performance. The results support the idea that phase lag may play a key role in information 13 transmission across brain regions. More broadly, brain stimulation strategies that aim to improve cognition 14 may be better served targeting multiple nodes of brain networks.

15 Introduction:

16 Working memory (WM) is an important component of cognition and supports higher cognitive functions in 17 humans like fluid intelligence, decision making and learning. Impairment of WM is observed in many psychiatric and neurological disorders [1-3] and is often not addressed by current treatment strategies. Thus, 18 19 approaches that can improve WM are required. The neural substrates of WM are spread across frontal, 20 cingulate and parietal cortices [4-7] and are thought to be coordinated by cortical oscillations. Theta (4 - 8)21 Hz) and alpha (8 - 12 Hz) oscillations are known to play a critical role in WM [8-11]. Given the spatially 22 distributed nature of processing that takes place during WM tasks, the interaction between different regions 23 that underlies WM can be captured in functional and effective connectivity analyses. Neuroimaging studies 24 have revealed that fronto-parietal connectivity is a key functional component of WM in the brain [12-14] and 25 some studies have found connectivity between frontal and temporal regions to be correlated with WM task 26 performance [15, 16]. Electroencephalography (EEG) and magnetoencephalography (MEG) studies have 27 shown that fronto-parietal connectivity may be characterized by interactions in different oscillatory 28 frequency bands. Alpha band phase synchronization in fronto-parietal regions has been shown to be 29 modulated by WM load [17, 18]. Theta band connectivity has been shown to increase with increased central 30 executive demands [19, 20]. Deficits in WM are common in many neurological and psychiatric disorders in 31 which connectivity is also altered [21-24]. Taken together, the neural substrate for WM is a network of brain 32 regions and thus, any strategy that targets WM may be better-served by engaging multiple nodes of the 33 network.

34 Noninvasive brain stimulation methods like transcranial magnetic stimulation (TMS) [25-28], transcranial direct current stimulation (tDCS) [29-31] and transcranial alternating current stimulation (tACS) [32-36] have 35 36 allowed causal perturbations of specific regions or activity signatures involved in WM. More specifically, 37 rhythmic TMS (rTMS), in which a periodic pulse train is applied, and tACS, in which a continuous sinusoidal 38 alternating current is applied, allow for targeting neural oscillations by matching the stimulation frequency 39 to the frequency of oscillations [37]. RTMS has been shown to improve WM performance when applied at 40 theta frequency [38-40]. TACS in theta frequency band also leads to improvements in WM performance [33, 41 36]. Most of these studies have focused on stimulating a single region. In contrast, studies in which multiple 42 regions of WM network are targeted have yielded important insights into functional network properties. TACS studies have shown that stimulating fronto-parietal network using waveforms that have 0° phase offset 43 (in-phase stimulation) result in improvement of WM performance while stimulating networks using 44 45 waveforms that have 180° phase offset (anti-phase stimulation) result in deterioration of performance [34, 46 35]. In-phase stimulation was hypothesized to cause synchronization of the fronto-parietal networks while 47 anti-phase stimulation was is hypothesized to cause de-synchronization. Neuroimaging during stimulation 48 indicated increased blood oxygenation level dependent (BOLD) signal in WM regions during in-phase 49 stimulation while functional connectivity increased with both in-phase stimulation and anti-phase 50 stimulation [35]. The BOLD signal does not have milli-second temporal resolution and thus precluded any 51 analysis of the changes in oscillatory network activity.

52 Compared to transcranial electric stimulation, direct cortical stimulation (DCS), in which electrical stimulation 53 is applied directly on the cortical surface, offers higher spatial specificity. Additionally, intracranial EEG (iEEG) 54 provides higher spatial resolution relative to EEG or MEG as well as higher temporal resolution relative to 55 functional neuroimaging. Thus, by combining DCS and iEEG, it is possible to dissect functional networks with 56 high spatio-temporal precision. This approach has been used for causally perturbing the electrophysiological 57 and anatomical substrates of episodic memory [41-43], memory consolidation [44], and face processing [45, 58 46]. DCS has also been used to target networks engaged in spatial memory, albeit stimulation resulted in 59 impairment of performance [47]. In another study, direct stimulation of bilateral hippocampal regions with 60 in-phase and anti-phase stimulation resulted in trend-level changes in performance [48]. Using this approach, we have shown that frequency-matched DCS of a region (left superior frontal gyrus) that exhibited low 61 62 frequency oscillatory activity results in working memory improvement [49]. Here, we extended our 63 stimulation protocol to target networks underlying working memory by stimulating two functionally 64 connected regions simultaneously. We used a measure of phase synchronization, the weighted phase lag 65 index, to identify regions that are functionally connected in alpha and theta frequency bands during a 66 Sternberg WM task. We applied periodic pulse stimulation in-phase and anti-phase, matched to the 67 frequency of functional interactions, to the two functionally connected regions, and compared the 68 performance against sham stimulation. We hypothesized that in-phase stimulation would result in an 69 increase in oscillatory functional connectivity relative to sham and thereby improve WM performance while 70 anti-phase stimulation would result in a decrease in oscillatory functional connectivity relative to sham and 71 thereby impair WM performance. While in-phase stimulation improved performance, anti-phase stimulation 72 did not impair performance relative to sham. Analysis of functional connectivity properties in atlas-based 73 WM (aWM) network revealed that functional connectivity was increased by both in-phase and anti-phase 74 stimulation. However, in-phase stimulation decreased phase lag relative to sham between regions within the 75 aWM network while anti-phase stimulation increased phase lag relative to sham suggesting a non-linear 76 relationship between the phase lag of connections within a network and performance.

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78 Results

79 We performed network-targeted stimulation (Figure 1A) in 3 participants implanted with subdural strips and 80 stereo EEG electrodes for epilepsy surgery planning. The electrodes covered bilateral frontal, parietal and 81 temporal cortices (Figure S1). Participants performed a Sternberg working memory task (Figure 1B) in a 82 baseline session and a stimulation session. In the stimulation session, trains of biphasic pulses were applied 83 to two pairs of electrodes. Stimulation was applied in-phase, in which stimulation was applied simultaneously between the two electrode pairs, and anti-phase, in which stimulation applied between one pair of 84 85 electrodes was temporally offset from the other electrode pair by half the inter-pulse-interval of the pulse 86 train (Figure 1C). Stimulation was applied during the encoding epoch of the Sternberg task. Sham stimulation, 87 in which no DCS was applied, was used as a control as iEEG participants are unable to tell when stimulation 88 is applied. The three stimulation conditions (in-phase, anti-phase, and sham) were randomly interleaved for 89 each task block.

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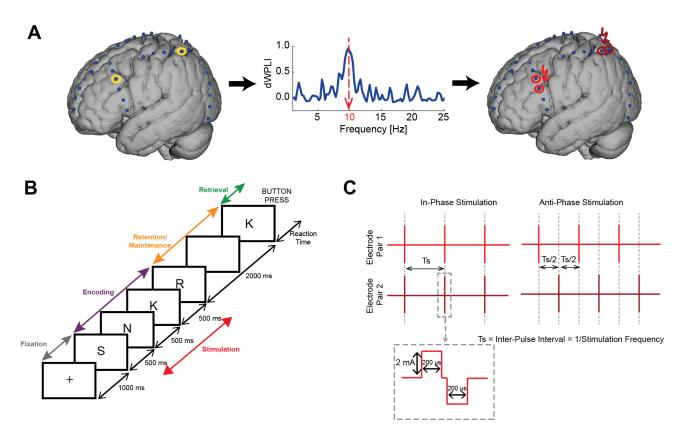


Figure 1: Schematic of Network-Targeted Stimulation.

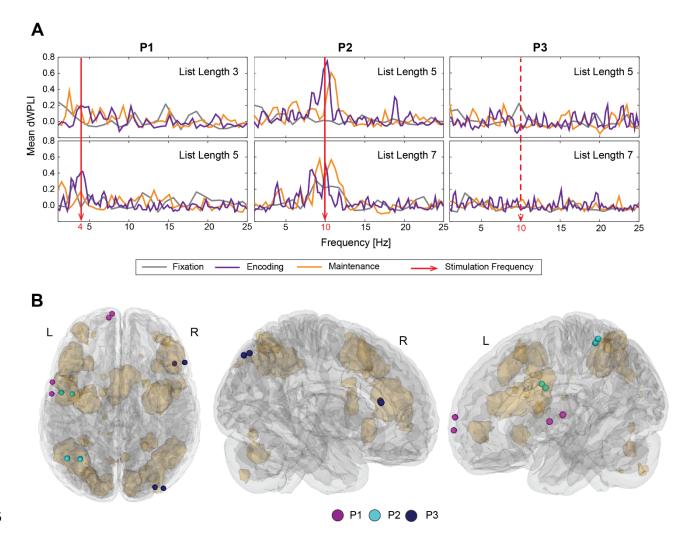
- A. Intracranial EEG data from implanted electrodes, collected when participants performed WM task, are processed to identify functionally connected regions that are then targeted with direct cortical stimulation.
- B. Sternberg working memory task depicting the different epochs and timing of components of each epoch
- C. The stimulation paradigms used in the study. Each vertical red line denotes a biphasic pulse. In-phase stimulation consists of pulses applied simultaneously to functionally connected regions without any phase offset (time delay). Anti-phase stimulation consists of pulses applied with a phase offset of 180° (time delay of half the inter-stimulus interval Ts). Dotted lines are provided for visual guidance

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In the baseline session, the WM load, defined as the number of items to be held in WM, was varied pseudo randomly for each trial. The WM load for each participant was titrated according to performance in a short practice session (3, 5 for P1; 5, 7 for P2 and P3). Chi-squared test did not reveal any significant influence of list length on accuracy ($\chi^2 = 0.434$, df = 2, p = 0.805). Analysis of reaction time did not reveal any significant influence of list length (Linear mixed effects model with list length as fixed factor and participant as random factor; F_{2, 175.51} = 0.630, p = 0.534). The reaction time and accuracy for individual participants are shown in Figure S2.

100 Analysis of functional connectivity using debiased weighted phase lag index (dWPLI) revealed oscillatory 101 interactions in theta and alpha frequency bands. DWPLI measures the degree of consistency of phase lag 102 between two signals and is not affected by volume conduction [50] making it an effective tool for identifying 103 functional interactions in iEEG. In P1, electrodes that exhibited connectivity within the left frontal regions 104 (superior frontal gyrus and inferior precentral gyrus) in theta band (4 Hz) were chosen. In P2, electrodes in 105 the left frontal and parietal regions (inferior frontal junction and superior parietal lobule) that exhibited 106 interactions in alpha band interactions were chosen. In P3, no strong functional interactions were observed 107 (apart from the interactions between neighboring electrodes). Therefore, we chose electrodes that were in 108 the putative WM network in the right hemisphere (middle frontal gyrus and superior intraparietal sulcus). 109 We chose 10 Hz as stimulation frequency for P3 as alpha band synchronization between frontal and parietal 110 regions has been shown to impact WM [17, 18]. The mean dWPLI for the electrodes chosen are shown in 111 Figure 2A. Post-hoc analysis of spatial proximity of the chosen stimulation electrodes to canonical WM 112 network identified from a meta-analytic atlas [51] revealed that both the electrode pairs in P2 and P3 were 113 in or near regions active during WM (Figure 2B). In P1, one electrode pair was near the inferior frontal junction, another prominent WM region [7] and one pair was on the superior frontal gyrus, a region that we





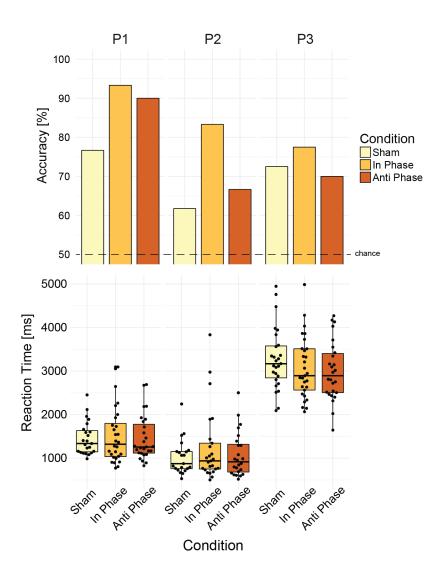
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Figure 2: Functional connectivity of stimulation electrodes

- A. Mean dWPLI for the stimulation electrodes for the different cognitive loads and the different epochs.
- B. The anatomical locations of the identified stimulation electrodes for the three participants. The beige shaded regions denote WM regions identified from meta-analyses of functional neuroimaging studies.
- 117 In stimulation session, participants performed the Sternberg task again but with only one level of WM load. 118 Stimulation was applied between pairs of electrodes identified in the baseline session during the encoding 119 epoch. In-phase stimulation resulted in increased accuracy relative to sham in all 3 participants (Figure 3, 120 Top). Chi-squared test with all three conditions revealed a statistically significant association between 121 condition and trial accuracy ($\chi^2 = 7.315$, df = 2, p = 0.026). Further pairwise comparisons revealed that in-122 phase stimulation increased accuracy relative to sham ($\chi^2 = 6.429$ df = 1, p = 0.011), but there was no

difference in accuracy for anti-phase relative to sham ($\chi^2 = 1.0913$ df = 1, p = 0.296) or in-phase relative to anti-phase ($\chi^2 = 1.847$ df = 1, p = 0.174). Thus, network-targeted stimulation improved WM accuracy but only when both electrode pairs were stimulated simultaneously without phase-lag. Analysis of reaction time did not reveal any statistically significant effect of stimulation condition (Figure 3, Bottom, Linear mixed model with fixed factor stimulation condition and random factor participant; F_{2,223.42} = 0.545, p = 0.581).

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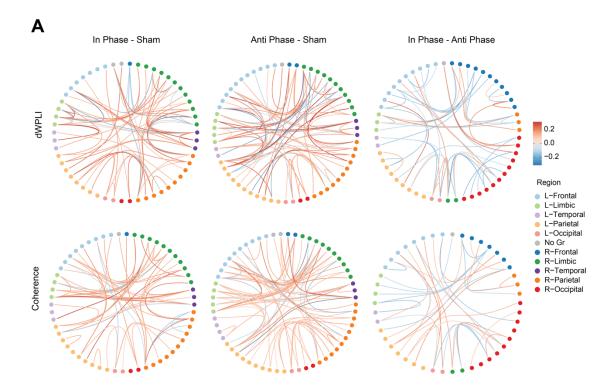
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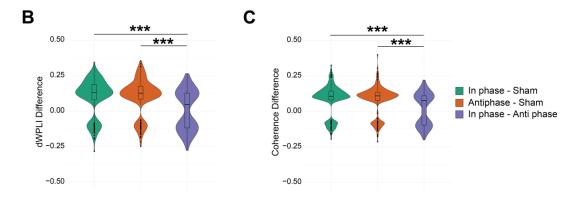
Figure 3: Effect of network-targeted stimulation on WM performance

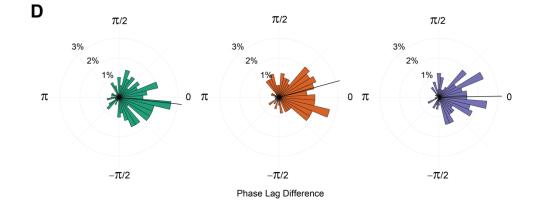
In-phase stimulation increased accuracy relative to sham (Top). Stimulation did not affect reaction time (Bottom)

DCS introduces electrical stimulation artifacts in iEEG that need to be addressed before analyses can be 130 131 performed. We used an ICA-based method, developed in our previous work [49], to remove the stimulation 132 artifacts. Following artifact removal, we computed dWPLI between electrodes that were in the aWM 133 network. As an exploratory measure, we computed magnitude-squared coherence, which is used widely in 134 connectivity analysis of oscillatory networks. Coherence provides a complementary measure of functional 135 connectivity as it accounts for the correlations in spectral power which is not captured by dWPLI. We 136 restricted our analysis to the bands around the stimulation frequency for each individual participant. 137 Additionally, we used a permutation-based approach to identify those network connections that exhibited 138 statistically significant pairwise-differences between the conditions (in-phase stimulation vs sham 139 stimulation, anti-phase stimulation vs sham stimulation, and in-phase stimulation vs anti-phase stimulation). 140 This resulted in a network with sparse connections between regions within the WM network. The network 141 connections obtained from coherence and dWPLI at the stimulation frequency for the 3 participants were 142 pooled together for visualization in a chord diagram (Figure 4A). The nodes of the diagram represent an 143 individual electrode while the edge between the nodes indicate the pair-wise difference in connectivity 144 measure metric (coherence or dWPLI). Both in-phase stimulation and anti-phase stimulation resulted in more 145 connections showing increased dWPLI than decreased, relative to sham. In contrast, the number of 146 connections showing an increase were approximately equal to those showing a decrease when in-phase 147 stimulation was contrasted against anti-phase stimulation. A similar trend was observed in connectivity 148 obtained from coherence. To quantify the observed trend, we fit separate linear mixed models for pairwise 149 difference in dWPLI and coherence with comparison as fixed factor and participant as random factor. In both 150 cases, there was a significant effect of comparison on pairwise difference (dWPLI: $F_{2,486.18} = 26.921$, p < 0.001; coherence: $F_{2, 533.38}$ = 16.392, p < 0.001). Post hoc analysis using Tukey method revealed that the pairwise 151 152 difference for in-phase vs sham and anti-phase vs sham were higher than in-phase vs anti-phase (Figure 4B, 153 p < 0.001). The results from dWPLI and coherence suggest that contrary to our initial hypothesis, both in-154 phase stimulation and anti-phase stimulation increased functional connectivity relative to sham while there was no clear difference between the two stimulation conditions. 155

While these results may appear counter-intuitive, it should be noted that dWPLI is a measure of phase consistency and does not include any information regarding the actual phase difference. It is conceivable that both in-phase stimulation and anti-phase stimulation successfully engage the network due to the repeated periodic perturbation of the network and increased overall phase consistency. However, since the stimulation differed in phase lag between the targeted electrode pairs, in-phase and anti-phase may have impacted the specific phase lag between nodes in the network. To verify this, we computed phase lag at stimulation 162 frequency between electrode pairs that exhibited significant pairwise dWPLI difference between any of the 163 three stimulation conditions i.e., phase lag corresponding to the edges depicted in the dWPLI chord diagram 164 in Figure 4A. Phase lag was computed from the cross-spectrum of the iEEG signal during the stimulation epoch. We pooled the data of the three participants together, as the distribution of phase lag for individual 165 166 participants did not satisfy the assumptions required for the circular statistics. There was a significant effect 167 of comparison on the phase lag differences (Figure 4C; Watson-william test F 2,488 = 3.6523, p = 0.0266). We 168 found that in-phase stimulation resulted in an overall decrease in phase lag relative to sham (-0.114 \pm 1.310 169 radians; mean \pm sd) while anti-phase stimulation resulted in an overall increase in phase lag relative to sham 170 $(0.269 \pm 1.240 \text{ radians})$. There was a negligible change in phase lag when in-phase stimulation was compared 171 to anti-phase stimulation (0.018 \pm 1.165 radians). These results indicate that while in-phase stimulation and 172 anti-phase stimulation both increased phase consistency, they modulated phase lag in opposite directions.







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Figure 4: Effect of network-targeted stimulation on WM Network

- A. Chord diagrams representing the pairwise differences in dWPLI and coherence in the WM network across all three participants. The nodes represent electrodes; edges represent connectivity between regions; red edges denote a relative increase in the connectivity metric and blue edges denote a relative decrease in connectivity metric between the nodes. The edges depicted here have passed a permutation based statistical significance test (p < 0.05)</p>
- B. Pairwise difference of dWPLI between stimulation conditions. In-phase vs sham and anti-phase vs sham were higher than in-phase vs anti-phase differences. *** denotes statistical significance at p < 0.001 in a Tukey post-hoc test
- C. Pairwise difference of coherence between stimulation conditions. In-phase vs sham and anti-phase vs sham were higher than in-phase vs anti-phase differences. *** denotes statistical significance at p < 0.001 in a Tukey post-hoc test</p>
- D. Circular histogram denoting the pairwise differences in phase lag across the three comparisons for the three participants. Black line denotes the mean phase lag difference for each comparison.

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175 Discussion

176 In this study, we employed a network-targeted stimulation approach to engage the WM network and test if 177 this approach can improve WM performance. We identified oscillatory networks underlying WM from iEEG 178 using a phase synchronization measure and stimulated functionally connected electrodes. We found in-phase 179 stimulation improved WM performance relative to sham stimulation in all 3 participants. Interestingly, we 180 found that both in-phase and anti-phase stimulation increased functional connectivity relative to sham. 181 However, the effect of the two stimulation conditions on phase lag was opposite such that in-phase 182 stimulation decreased phase lag and anti-phase stimulation increased phase lag relative to sham. The 183 increased functional connectivity from in-phase stimulation and anti-phase stimulation may have been due 184 to the periodic input of DCS into the WM network that aligned the phase of electrical activity between 185 multiple regions albeit at different lags. Our results suggest that the differential effect on phase lag may have 186 contributed to the behavioral modulation. Phase synchronization has been hypothesized to enable interareal 187 communication by aligning periods of excitability across regions or by enabling spike timing dependent 188 plasticity [52]. Our electrical stimulation occurred at a time scale faster than the typical timeframe for 189 observing plasticity, suggesting that our in-phase stimulation may have aligned periods of excitability across 190 regions that enabled enhanced communication. While in-phase stimulation improved performance, we did 191 not observe any impairment in performance with anti-phase stimulation. In-phase stimulation may have reduced communication delay within an optimal window in which information may be effectively transmitted between regions resulting in improvement in performance. In contrast, anti-phase stimulation may have resulted in increased communication delay outside this optimal window which is inconsequential for information transmission and integration. Further studies are required to confirm this specific hypothesis.

196 Our results follow tACS studies that have shown behavioral effects of stimulation in WM tasks, albeit we 197 observe improvements in accuracy while improvements in reaction times are more commonly reported. 198 Polania et al. [34] observed a decrease in reaction time with in-phase stimulation and an increase in reaction 199 time with anti-phase stimulation relative to sham. Violante et al. [35] observed a decrease in reaction time 200 for in-phase stimulation relative to sham and anti-phase stimulation while there was no difference between 201 sham and anti-phase stimulation, similar to what we observe. In addition, Violante et al. report increased 202 BOLD signal functional connectivity increases in WM network for both in-phase and anti-phase stimulation. 203 Although the functional connectivity from BOLD signal quantifies interactions at a slower timescale relative 204 to what is observed in iEEG, these results support our observation that both in-phase and anti-phase 205 stimulation resulted in increased functional connectivity.

206 We used a hybrid data-driven approach to restrict our analyses to putative WM networks in the three 207 participants. The use of atlas-based priors allowed us to control the dimensionality of our variable of interest, 208 which is the functional interactions between brain regions involved in WM; and permutation-based statistics 209 allowed us to account for false positives. The WM network atlas we used was derived from a meta-analysis 210 of 1091 studies that localized regions that show consistent activation across a variety of WM studies [51]. 211 However, it must be noted that BOLD activity of regions often corresponds to iEEG activity in the high frequency broadband activity (30 - 130 Hz) [53] with lower correlations between lower frequency band 212 213 activity. Therefore, it is conceivable that we may have excluded regions that exhibited task-related 214 connectivity. Given the heterogeneity and the small sample size, we motivated this decision as a necessary 215 trade-off for generalizability at the cost of an exhaustive naïve data-driven approach. Even so, we found task-216 related functional connectivity between regions in or near the aWM network in participants P1 and P2. In P1, 217 we found theta band connectivity between superior frontal gyrus and precentral gyrus. Although superior 218 frontal gyrus is not a part of the aWM network, our previous work has shown it may indeed play a role in 219 WM. In P2, we found alpha band connectivity within the aWM network and stimulation resulted in the 220 highest improvement among the three participants. In P3, even with electrodes close to the aWM network, 221 we did not observe any significant functional interaction. This may be due to variability in the functional 222 recruitment of brain regions for this participant. While stimulation resulted in an improvement in WM 223 accuracy, the effect in P3 was weaker than the other two participants presumably due to the decreased recruitment of these regions by the task. However, the region of cortex activated by intracranial direct cortical stimulation extends farther than the immediate vicinity of the stimulation electrodes on the order of ~50 mm³ [54, 55] while the spatial extent of LFP recordings is a few millimeters [56]. Thus, stimulation may have spread into neighboring regions that are known to be canonically activated by WM task demands.

228 Oscillations in the theta and alpha frequency bands have been shown to support WM in many studies [57, 229 58] with increased oscillatory power as a marker for synchronization. Phase synchronization between brain 230 regions in alpha and theta frequency bands have been shown to underlie many memory processes (see 231 reviews [52, 59]) with theta band activity implicated in top-down control [34, 60, 61] and alpha band activity 232 implicated in suppression of irrelevant information [62, 63]. Theta band synchronization has been observed 233 between fronto-temporal and fronto-parietal regions in working memory tasks [64-67]. While fronto-parietal 234 synchronization in alpha band has been associated with cognitive control and visuospatial attention [68], 235 interareal synchrony has been observed to be modulated by WM load in the retention period [17, 69]. 236 Supporting these observations, we found alpha and theta band connectivity in our participants. The 237 variability in the frequency at which interaction was found may be due to differences in strategy [70], with 238 theta being dominant in strategies where sequential information is encoded while alpha being dominant in 239 strategies where competing information is suppressed. Alternately, the differences could be driven by the 240 difference in regions between which functional connectivity is observed. We observed theta between 241 electrodes within frontal regions while alpha was observed between electrodes in frontal and parietal 242 regions. The studies mentioned above are constrained by the limitations of EEG, which has poor spatial 243 resolution and is highly susceptible to volume conduction. The use of iEEG and dWPLI enabled us to address 244 these limitations and provide a more fine-grained picture of the functional interactions.

245 While these results provide important insight into the role phase lag may play in coordinating working 246 memory, the heterogeneity and the small sample size limits the interpretation to a general population. Additionally, the phase lag between stimulation sites was not taken into consideration as the initial 247 248 hypothesis was based on the consistency of phase synchronization. In contrast to our approach, Kim et al. 249 [47] stimulated hubs of a memory retrieval network at the phase lag observed between the two nodes but 250 found that stimulation impaired performance. Our results imply that choosing a phase lag that is shorter than 251 the observed phase lag may be beneficial. The choice of stimulation parameters was limited to in-phase 252 stimulation and anti-phase stimulation to ensure enough trials in each condition for statistical analysis. 253 However, this meant that we were not able to directly confirm if the effect is frequency-specific. Further 254 studies incorporating arrhythmic stimulation as used in some TMS studies can be used to establish the 255 frequency specificity of stimulation effects [40, 71].

256 While many studies quantify phase synchronization as consistency in phase differences, very few studies have 257 focused on the phase lag between regions [34]. Given recent findings on phase-dependent information 258 processing [72, 73] our result highlights the importance of considering phase information when studying 259 functional interactions between brain regions. Overall, these findings advance our understanding of network-260 targeted stimulation for improving cognition in humans. Our results provide causal evidence that networks 261 of brain regions are critical to cognition [74, 75] and optimal stimulation may require multi-site stimulation. 262 This work may ultimately lead to therapeutic benefits for cognitive deficits that accompany many 263 neurological and psychiatric disorders.

264 Methods:

265 Participants:

All experimental procedures were approved by the Institutional Review Board of University of North Carolina at Chapel Hill and informed consent was obtained from participants. Participants were recruited by invitation from patients who underwent invasive monitoring for epilepsy surgery planning. The participant clinical information is provided in Table 1. The location of electrodes in all participants were completely dictated by the clinical needs of the individual participant. See Figure S1 for the electrode coverage.

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Participant ID Seizure Onset Zones Sex Age F Ρ1 20 Bilateral hippocampus and temporal lobes P2 24 Bilateral hippocampus, amygdala, postcentral gyrus Μ P3 F 46 Bilateral posterior frontal cortex

Table 1: Clinical Information of Participants

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273 Working Memory Task:

274	Participants performed a Sternberg working memory task that has been previously used in ECoG studies [11,
275	49, 76]. The Sternberg task allows a separation of different cognitive processes involved in working memory
276	into different epochs: encoding, maintenance, and retrieval (Figure 1 B). Each trial began with a fixation cross
277	presented for 1000 ms. In the encoding epoch, participants were presented with a sequence of letters from
278	the English alphabet one letter at a time. Each letter was presented for 500 ms. Following the encoding
279	epoch, a blank screen was presented for 2000 ms which served as the maintenance epoch. Next, a single
280	letter (probe) was presented on the screen for 3000 ms. The participants were instructed to indicate if the

probe was present in the encoding epoch or not using custom joysticks that interfaced with the task administration laptop through a USB response box (Black Box Toolkit, Sheffield, UK). P3 was not able to use the joysticks due to history of stroke affecting motor function in their right hand and responded using the keyboard of the laptop with their left hand only. The task was programmed in Matlab using Psychtoolbox [77].

Participants completed the task in two sessions – a baseline session and a stimulation session. In the baseline
session, the task consisted of memory arrays of two different lengths (WM load). In the stimulation session,
the WM load was fixed to maximize the number of trials in each stimulation condition. The experimental
parameters used for the participants are listed in Table 2.

Participant	Baseline		Stimulation		Stimulation Electrode Pairs	Stimulation
ID	WM	No.	WM	No.	Location	Frequency
	Load	Trials/Load	Load	Trials/Condition		
P1	3,5	30	5	30	Left anterior superior frontal	4 Hz
					gyrus, Left inferior precentral	
					sulcus	
P2	5,7	40	7	30 in-phase, 36	Left inferior frontal junction,	10 Hz
				anti-phase, 34	left superior parietal lobule	
				Sham		
P3	5,7	40	5	40	Right anterior middle frontal	10 Hz
					gyrus, right superior	
					intraparietal sulcus	

Table 2: Experimental Parameters for the Participants

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291 ECoG Data Acquisition and Direct Cortical Stimulation:

ECoG data were recorded using a 128-channel EEG system (NetAmps 410, Electrical Geodesics Inc, Eugene, Oregon, United States) at 1000 Hz sampling rate. Stimulation was delivered using Cerestim M96 cortical stimulator (Blackrock Microsystems, Salt Lake City, Utah, United States). Stimulation consisted of a train of biphasic pulses 2 mA in amplitude, 200 μs in duration per phase of the biphasic pulse with a 55 μs interval between the positive going and negative going phase. The inter-pulse-interval was adjusted according to the stimulation frequency. Stimulation was applied between two pairs of electrodes identified from functional

connectivity analysis as described in the next subsection. The timing of pulses between the two pairs was in-298 299 phase, i.e., stimulation was applied simultaneously between the two electrode pairs (Figure 1C). We 300 hypothesized that in-phase stimulation would improve WM performance. The active control was anti-phase 301 stimulation, i.e., stimulation between the first pair and second pair was offset by half the inter-pulse-interval 302 (Figure 1C). Both in-phase and anti-phase stimulation was time-locked to the start of the encoding epoch. 303 Stimulation was triggered using Matlab wrapper functions provided by the manufacturer of the cortical 304 stimulator. In addition, a control condition where no stimulation was applied (sham) was also included to 305 account for any non-specific effects of stimulation.

306 Data Analysis

All data analysis was performed using custom written Matlab scripts utilizing functions from the EEGLAB [78]

308 and Fieldtrip toolboxes [79]. Electrodes over seizure focus were excluded from analysis.

309 Selection of Stimulation Electrodes:

310 ECoG data collected during the baseline session was used to determine functionally connected electrodes. 311 The continuous data was band-pass filtered between 1 and 50 Hz using an FIR filter and re-referenced to the 312 average of all intracranial electrodes using functions from EEGLAB toolbox. The data was then segmented 313 into trials containing the different epochs. Functional connectivity was determined using debiased weighted 314 phase lag index square (dWPLI) implemented in Fieldtrip toolbox. The measure is a composite of phase lag 315 index, which captures consistency in phase lag between two time oscillatory signals [80], and the imaginary 316 part of coherence which ignores zero phase lag interactions [81]. DWPLI has been shown to provide a better 317 estimate of phase-synchronization in the presence of volume conduction and the debiased estimate has 318 higher statistical power [50]. DWPLI was computed for the fixation, encoding and retention epochs 319 separately. The strength of functional connectivity was strongest between neighboring electrodes followed 320 by electrodes within the same anatomical region, i.e., frontal cortex or parietal cortex. Since we were 321 interested in modulating long-range functional connectivity, we ignored electrode pairs that were neighbors. 322 In addition, connections that were present in the fixation epoch and between electrodes over seizure foci 323 were ignored as the former may reflect preparatory attentional components of network activity and the 324 latter may reflect pathological connectivity.

325 *Removal of electrical stimulation artifacts*

Electrical stimulation artifacts were removed using an independent component analysis (ICA) based approach
 as demonstrated in our previous work [49]. Artifacts appear as stereotypical waveforms in iEEG signals. Blind

328 signal separation using ICA separates the iEEG signal into components that contain only artifact waveforms 329 and other components that contain the rest of the signal. The components containing artifacts were then 330 rejected and the remaining components were used to reconstruct the artifact free signal. We used the 331 infomax algorithm [82] available as a part of EEGLab toolbox for computing independent components. 332 Following artifact suppression, the signals were re-referenced to the average of all signals.

333 Estimation of functional connectivity

334 DWPLI was computed for the stimulation session (epoched by stimulation condition) in the same manner as 335 the baseline session (epoched by WM load) using functions from EEGlab and Fieldtrip toolboxes. In addition, 336 coherence was also computed for the stimulation session. Adjacency matrices were derived from a 3 Hz 337 band centered on the frequency of interest. Phase lag was derived from the mean cross-spectrum across 338 trials in a 2 Hz band centered on the frequency of stimulation. For pairwise comparisons between stimulation 339 conditions, the difference in adjacency matrices were computed. Statistical significance was computed using a permutation-based approach. Trial labels were shuffled 1000 times, and adjacency matrices were 340 341 computed for each condition. Pairwise differences were computed as above to generate a null distribution. 342 Any pairwise difference in the non-shuffled adjacency matrices that were greater (or lesser) than 95% of the 343 null distribution differences were deemed statistically significant. Chord diagrams were plotted using ggraph 344 and igraph packages written in R.

345 Identification of electrode locations

3D Slicer [83] was used to analyze and extract electrode locations from CT images obtained after implantation of subdural electrodes (post-OP CT). Electrode locations were determined manually using the post-OP CT image by placing fiducials in areas of high activation in the CT. The post-OP CT was co-registered to pre-OP MRI in Slicer. The anatomical locations of the electrodes were determined by co-registering the pre-OP MRI Image to the MNI Atlas [84], recomputing electrode locations in the MNI space, transforming these locations to Talairach space, and using the Talairach Client [85] to obtain the label of the region nearest to the coordinate representing electrode location.

353 Determining atlas-based WM network

We used a meta-analysis-based approach to identify regions activated by a variety of WM tasks. Using the Neurosynth database, we acquired the association test map for 'Working Memory' that was derived from 1091 studies. The map consisted of z-scores, corrected with false discovery rate (FDR) at an alpha value of 0.01, from a two-way ANOVA testing for the presence of a non-zero association between the term 'Working

- 358 Memory' and voxel activation [51]. We defined 8 mm regions of interest (ROIs) around each electrode in the
- 359 Montreal Neurological Institute (MNI) space using custom written scripts and the MarsBaR toolbox in SPM12
- 360 [86, 87]. Next, we determined the z-scores from the association map within these ROIs (electrodes) and
- 361 computed the mean z-score for each electrode. Any electrode that had a mean z-score greater than 0 was
- 362 defined to be part within the aWM network and was included in analysis.

363 Statistical Analysis

- 364 All statistical analyses were performed using custom-written scripts in R. Linear mixed models were fitted
- using *'Imertest'* package [88]. The package uses a Sattherwaite approximation for degrees of freedom for
- 366 ANOVA. Post-hoc analyses consisted of pairwise comparisons with Tukey adjustments and were computed
- 367 using *'emmeans'* package. Circular statistics were computed using *'circular'* package [89].

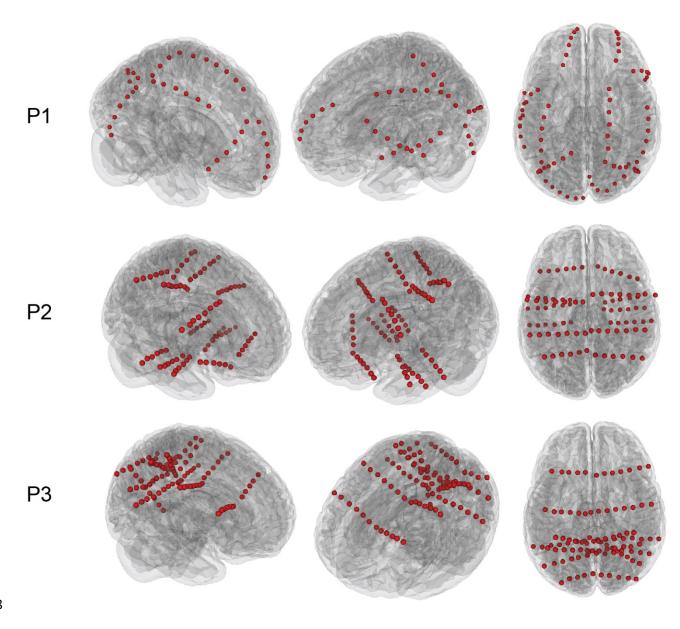
368 Acknowledgments

- 369 The authors thank the members of the Frohlich Lab for their valuable input. The authors also thank the EEG
- technicians at the UNC Epilepsy monitoring unit for their generous help with the iEEG recordings.

371 Funding

- 372 Research reported in this publication was supported in part by the National Institute of Mental Health of
- the National Institutes of Health under Award Numbers R01MH101547 and R21MH105557, National
- 374 Institute of Neurological Disorders and Stroke of the National Institutes of Health under award number
- 375 R21NS094988-01A1. The content is solely the responsibility of the authors and does not necessarily
- 376 represent the official views of the National Institutes of Health.

377 Supplementary Information

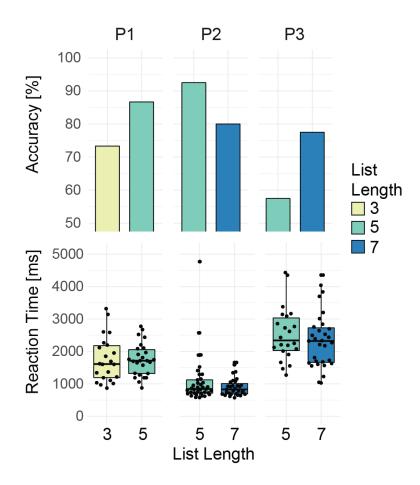


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Figure S1: Locations of implanted electrodes in the three participants

Red circles denote the electrodes implanted in the three participants. Subdural strip electrodes were used in P1 while stereotactic EEG electrodes were used in P2 and P3



380

Figure S2: WM performance in baseline session

Accuracy and reaction time (in correct trials) were not statistically significant with increased list length.

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