1	Nucleosome positioning stability is a significant modulator of germline
2	mutation rate variation across the human genome
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## 12 Summary

13 Nucleosome organization is suggested to affect local mutation rates in a genome. 14 However, the lack of *de novo* mutation and high-resolution nucleosome data have 15 limited investigation. Further, analyses using indirect mutation rate measurements 16 have yielded contradictory and potentially confounded results. Combining >300,000 17 human de novo mutations with high-resolution nucleosome maps, we reveal 18 substantially elevated mutation rates around translationally stable ('strong') 19 nucleosomes. Translational stability is an under-appreciated nucleosomal property, 20 with greater impact than better-known factors like occupancy and histone 21 modifications. We show that the mutational mechanisms affected by strong 22 nucleosomes are low-fidelity replication, insufficient mismatch repair and increased 23 double-strand breaks. Strong nucleosomes preferentially locate within young 24 SINE/LINE transposons; subject to increased mutation rates, transposons are then 25 more rapidly inactivated. Depletion of strong nucleosomes in older transposons 26 suggests frequent re-positioning during evolution, thus resolving a debate about 27 selective pressure on nucleosome-positioning. The findings have important 28 implications for human genetics and genome evolution.

29

## 30 1 Introduction

31 Germline *de novo* mutations, which can be passed to offspring, are the primary 32 source of genetic variation in multicellular organisms, contributing substantially to 33 biological diversity and evolution. De novo mutations are also thought to play 34 significant roles in early-onset genetic disorders such as intellectual disability, autism 35 spectrum disorder, and developmental diseases (Veltman and Brunner 2012; Acuna-36 Hidalgo et al. 2016). Thus, investigating the patterns and genesis of de novo 37 mutations in the germline is important for understanding genome evolution and 38 human diseases.

39 Germline and somatic mutation rates vary across the human genome at diverse 40 scales ranging from nucleotide to chromosomal resolution (Hodgkinson and Eyre-41 Walker 2011; Segurel et al. 2014). Studies revealed factors linked to local mutation 42 rate variation, including sequence context (Michaelson et al. 2012), replication timing 43 (Stamatovannopoulos et al. 2009), recombination rate (Francioli et al. 2015), DNA 44 accessibility (Sabarinathan et al. 2016) and histone modifications (Michaelson et al. 45 2012; Schuster-Bockler and Lehner 2012). However, genomic features identified so 46 far explain less than 40% of the observed germline mutation rate variation (at 100Kb 47 to 1Mb resolution) (Terekhanova et al. 2017; Smith et al. 2018). Therefore, important 48 factors remain to be found. Moreover, due to the limited availability of de novo 49 mutation datasets, studies focused on coarse-grained mutation rate variation 50 (typically  $\geq$ 1kb windows for germline data), or used within-species polymorphisms 51 and inter-species divergence whose observations are potentially confounded by 52 natural selection and other evolutionary processes.

53 Moreover, the underlying mutational processes causing the observed mutation rate 54 variation are poorly understood, though recent studies have highlighted the 55 contributions of error-prone replicative processes (Harris and Nielsen 2014; Lujan et al. 2014; Reijns et al. 2015; Seplyarskiy et al. 2017; Seplyarskiy et al. 2018) and 56 57 differential DNA repair efficiencies (Supek and Lehner 2015; Perera et al. 2016; 58 Sabarinathan et al. 2016; Frigola et al. 2017). Despite these advances, it remains a 59 challenge to understand the molecular mechanisms associated with mutation rate 60 variation, particularly in the germline.

Here, we focus on the role of nucleosomes in modulating germline mutation rates.
Chromatin is considered important because structural constraints could affect the
mutability of genomic sequences (Makova and Hardison 2015). Nucleosome
organization (including positioning and occupancy) has been reported as a significant

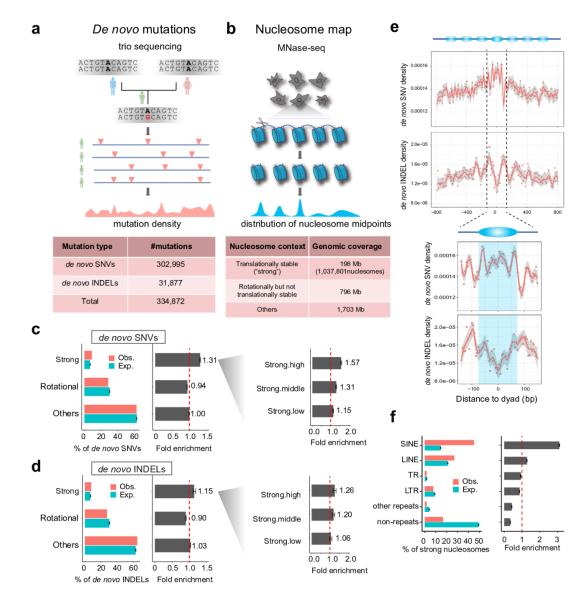
65 factor in humans and other eukaryotes (Sasaki et al. 2009; Tolstorukov et al. 2011; 66 Chen et al. 2012; Michaelson et al. 2012; Lujan et al. 2014; Pich et al. 2018). Studies 67 in different lineages (Sasaki et al. 2009; Tolstorukov et al. 2011; Lujan et al. 2014) 68 reported increased substitution rates around the centers of nucleosomal sequences 69 and increased insertion/deletion rates in linker DNA. However, there are also 70 disagreements between published studies. For example, Michaelson et al. (2012) 71 suggested that high nucleosome occupancy tends to suppress de novo mutations, 72 but Smith et al. (2018) found that a comparative analysis using datasets from 73 different studies resulted in opposing conclusions. Due to few available de novo 74 mutations for humans, analysis of many studies was based on variant data from 75 within-species polymorphisms or inter-species divergence, which can be affected by 76 natural selection and non-adaptive processes such as GC-biased gene conversion. 77 Furthermore, because of the limitation of available nucleosome maps, some previous 78 studies treated all annotated nucleosomes equally, ignoring the diverse contexts in 79 which they form. Therefore, combined with the scarcity of *de novo* mutation datasets, 80 the effects of nucleosome organization on germline mutation rate variation, 81 particularly at high resolution remain to be elucidated. Here we take advantage of the 82 rapid increase in the number of *de novo* mutation datasets and better understanding 83 of nucleosome organization in the human genome to perform a systematic analysis 84 of this topic.

#### 86 2 Results

#### 87 **2.1 Datasets used for analysis**

88 We used >300,000 human de novo single-nucleotide variants (SNVs) and >30,000 short insertions/deletions (INDELs), having removed genomic regions that could 89 90 confound downstream analysis (Fig. 1a, Supplementary Fig. 1a; see Methods). 91 Most data come from three large-scale trio sequencing projects which contribute 92 about 100,000 mutations each (Jonsson et al. 2017; Turner et al. 2017a; Yuen et al. 93 2017). We also examined extremely rare variants (allele frequency  $\leq 0.0001$ ) from 94 the gnomAD database (Lek et al. 2016) which are approximated to de novo 95 mutations because they are thought to undergo limited selection and non-adaptive 96 evolutionary processes (Carlson et al. 2018).

97 Nucleosome positioning on the genome is described by the translational setting, 98 which defines the location of the nucleosomal midpoint (also called 'dyad') and the 99 rotational setting, which defines the orientation of the DNA helix on the histone 100 surface (Gaffney et al. 2012). Using MNase-seq measurements, Gaffney et al. (2012) 101 identified ~1 million 'strong' nucleosomes that adopt highly stable translational 102 positioning across seven lymphoblastoid cell lines. Rotationally stable nucleosomes 103 were previously identified from DNase-seg measurements across 43 cell types 104 (Winter et al. 2013), covering 892Mb of the genome. There is a ~50Mb overlap 105 between regions bound by strong nucleosomes and rotationally stable nucleosomes. 106 Using these data, we classified the genome into three groups of regions (Fig. 1b; sex 107 chromosomes excluded): i) those containing translationally stable, 'strong', 108 nucleosomes (198Mb); ii) those with rotationally but not translationally stable 109 nucleosomes (796Mb); and iii) all other non-N base genomic regions (1,703Mb). 110 West et al. (2014) reported that with the exception of a few specific loci such as 111 transcription start sites, overall nucleosome positioning varies little between cell types. 112 None of the nucleosomal datasets were produced using germ cells, therefore as a 113 precaution we excluded nucleosomes that differ in positioning between cell types 114 (~23Mb; see Methods).



115

116 Fig. 1 De novo mutations are enriched in strong nucleosomes. (a) Summary of 117 germline de novo mutation data included in study. (b) Summary of nucleosome 118 positioning data analysed in study. (c, d) Observed versus expected occurrence and fold 119 enrichments of de novo (c) SNVs and (d) INDELs in the three different nucleosome 120 contexts. Right-hand panel subdivides strong nucleosomes according to high, medium and low translational stabilities. Error bars depict 95% confidence intervals. (e) Top 121 122 panels, meta-profiles of de novo SNV and INDEL densities relative to position of strong 123 nucleosome dyads. Bottom panel, same meta-profiles zoomed into the middle 124 nucleosome. (f) Fold enrichment of strong nucleosomes in different repeat elements: 125 SINE (Short Interspersed Nuclear Element), LINE (Long Interspersed Nuclear Element), 126 TR (Tandem Repeat) and LTR (Long Terminal Repeat).

#### 127 2.2 De novo SNVs and INDELS are enriched in strong nucleosomes

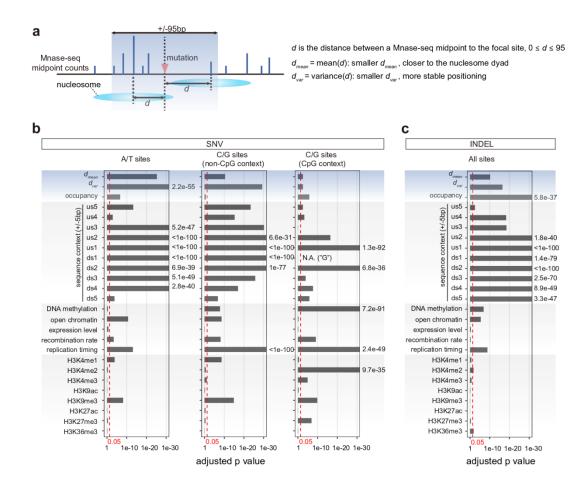
128 Genomic regions containing strong nucleosomes have ~30% more de novo SNVs 129 (Fig. 1c) and ~15% more *de novo* INDELs (Fig. 1d) than expected. Similar increases 130 are also apparent for extremely rare variants (Supplementary Fig. 1b,c), though 131 effect sizes are smaller than for *de novo* mutations, probably due to the fact that 132 highly mutable sites are under-represented among extremely rare variants (Harpak et 133 al. 2016). Restricting the analysis to strong nucleosomes, we found that those with 134 higher translational stability scores also exhibit higher mutation rates (Fig. 1c,d; 135 scores from Gaffney et al., 2012). These results suggest that translational stability is 136 associated with local variation in mutation rates across the genome, a previously 137 unappreciated aspect. Regions containing rotationally stable nucleosomes, in 138 contrast, are slightly depleted of both mutation types; we didn't perform further 139 analysis on this, as effect of rotational positioning has been comprehensively 140 discussed by Pich et al. (2018). A more detailed view with meta-profiles clearly 141 depicts increased SNV and reduced INDEL densities around dyad regions of strong 142 nucleosomes compared with flanking linker regions (Fig. 1e), in line with 143 observations made using polymorphism data (Tolstorukov et al. 2011).

144 Interestingly, ~80% of strong nucleosomes overlap with repeats (Fig. 1f, 145 Supplementary Fig. 1d), especially SINE/Alu (~44%) and LINE/L1 elements (~26%). 146 Genetic variations in repeats are traditionally hard to detect because of poor 147 mappability and so analyses have tended to be cautious in calling variants, resulting 148 in many false negatives (though, few false positives; Lee and Schatz (2012)). 149 Therefore, the above observations probably underestimate the true enrichment of de 150 novo mutations in strong nucleosomes. We subdivided strong nucleosomes into 151 three groups: i) Alu-associated, ii) L1-associated and iii) others. Alu-associated 152 nucleosomes display increased SNV rates around the dyads, as seen in the 153 metaprofiles for all strong nucleosomes (Supplementary Fig. 1e), whereas non-Alu 154 nucleosomes show increased SNV rates ~60bp away from the dyads, close to the 155 nucleosome edges. Such differences may be due to the different local sequence 156 composition (discussed in next section). In contrast, the patterns of INDEL densities 157 are relatively similar among different groups (Supplementary Fig. 1e).

#### 158 **2.3 Controlling for potential confounding factors**

159 Many factors are associated with mutation rate variation. One of the most important 160 is local sequence context - for example, CpG sites are known to be highly mutable 161 and CpG density profiles correlate well with mutation rate profiles in strong 162 nucleosomes (**Supplementary Fig. 1e**). Functional factors like DNA methylation,

histone modification, chromatin accessibility, replication timing and recombination rate are also relevant. Therefore, to systematically assess the contribution of nucleosomes to mutation rate variation, we used a logistic regression framework to control for potential confounding factors (**Fig. 2**).



167

168 Fig. 2 Controlling for potential confounding factors in evaluating contribution of 169 nucleosome organization to mutation rate variation. (a) Schematic diagram describing two nucleosome positioning-related variables ( $d_{mean}$  and  $d_{var}$ ) relative to a 170 171 given genomic position. Lower  $d_{var}$  corresponds to higher translational stability. (**b**, **c**) 172 Independent statistical significance of potential contributing factors to mutation rate 173 variation, having controlled for other factors; (b) for SNVs and (c) INDELs. Tests for 174 SNVs were performed separately at A/T and C/G sites (non-CpG and CpG contexts 175 respectively). Vertical red lines indicate the threshold for statistical significance (0.05). 176 'us', upstream; 'ds', downstream.

177 We defined three variables to quantify nucleosomal properties relative to a specific 178 nucleotide position in the genome. Two relate to translational positioning:  $d_{mean}$ , the 179 mean distance between the focal position and the midpoints of mapped MNase-seq 180 fragments (maximum distance of 95 bp) and  $d_{var}$ , the variance of these distances (**Fig.** 181 **2a**). A smaller  $d_{mean}$  means that a nucleotide position is closer to nucleosome dyads

182 and a smaller  $d_{var}$  indicates that the nucleosomes around it are more translationally 183 stable. As the relationship between between  $d_{mean}$  and SNV rates is non-linear, we 184 defined  $d_{mean}$  a categorical variable binned into five intervals (Methods; Fig. 1e, 185 Supplementary Fig. 1e). The third variable is nucleosome occupancy calculated as 186 a normalised per-base MNase-seg fragment coverage (see Methods). Other factors 187 considered are local nucleotide sequences (±5bp of the focal site) and functional 188 genomic measurements in human germ cells or other cell types if no available germ-189 cell data (see Methods).  $d_{var}$  has a relatively weak but statistically significant 190 correlation with many of these factors, suggesting non-independence 191 (Supplementary Fig. 2).

To assess the contribution of each factor to local mutation rates, we compared a full logistic regression model encompassing all variables against reduced models missing individual variables; the reported p values indicate how significant a factor is associated with mutation rate variation, having controlled for other factors (**Fig. 2b,c**; Methods). For SNVs, we tested A/T (comprising A>C, A>G and A>T mutations), CpG and non-CpG C/G sites separately (both C>A, C>G and C>T; **Fig. 2b**), whereas they were pooled for INDELs.

199 Our statistical framework recapitulates reported observations (Fig. 2b,c, 200 **Supplementary Fig. 3).** In agreement with previous studies (Carlson et al. 2018), 201 local sequence context is the biggest contributor to local mutation rate variation (Fig. 202 **2b,c**), with effect sizes generally declining with increasing distance from the surveyed 203 site. DNA methylation and H3K9me3 are two common epigenetic marks associated 204 with mutation rate variation in general (Schuster-Bockler and Lehner 2012), whereas 205 H3K4me1, H3K4me2, H3K4me3 H3K27me3 and H3K36me3 are linked with specific 206 mutation types. Replication timing has highly statistically significant associations with 207 both SNVs and INDEL mutation types. Recombination rate and open chromatin 208 (measured by ATAC-seq) are also associated with many mutation types. 209 Transcription levels, however, lack any links with local mutation rates here.

Turning to nucleosomal properties, translational stability ( $d_{var}$ ) is associated with elevated mutation rates at A/T, non-CpG C/G and CpG sites, with the first two showing the greatest effect sizes. INDELs also show similar effects, though the higher p values compared with SNVs could partly be due to the smaller sample size. Examining specific SNV mutation types,  $d_{var}$  is significantly associated with all A/T and C/G mutations (**Supplementary Fig. 3**), except for CpG>TpG (adjusted p = 0.10).. The regression coefficients for  $d_{var}$  are always negative (i.e., nucleosome

217 variability is anti-correlated with mutation rate, see coefficients in Supplementary 218 **Table 1**), indicating that translational stability is positively associated with mutation 219 rates thus corroborating the patterns observed in Fig. 1. As expected from Fig. 1, the mean distance to dyads,  $d_{mean}$ , also displays statistically significant associations with 220 221 mutations rates at A/T and C/G sites (Fig. 2b,c). Finally, nucleosome occupancy is 222 also statistically significant; in contrast to the positioning variables however, here the 223 effect is much larger for INDELs than SNVs (Fig. 2b,c; INDELs, adjusted p = 5.8e-37; SNVs, adjusted p = 0.21, 1.6e-6 and 2.2e-7). The regression coefficients of 224 225 occupancy are negative for SNVs at A/T sites, but positive for SNVs at CpG sites 226 (Supplementary Table 1), suggesting that occupancy can have opposing effects on 227 mutability depending on sequence context.

228 Nucleosome positioning stability is at least partly determined by the occupied DNA 229 sequence and thus its effects on mutation rates to some degree can be attributed to 230 the associated sequence (this also applies to other reported factors such as 231 replication timing). However, higher-order interactions among the long stretches of 232 nucleotides which guide nucleosome positioning are difficult to model properly. 233 Nonetheless, we achieved similar statistical significance for translational stability after 234 including non-additive two-way interaction effects for ±5 nucleotides and the 7-mer 235 mutability estimates from Carlson et al. in regression models (Methods; 236 Supplementary Fig. 4a,b).

Since many strong nucleosomes are associated with repeat elements, we added repeat status as a predictor in the regression models (Methods). We still achieved strong statistical significance for translational stability after considering repeat status (**Supplementary Fig. 4c**), suggesting that translational stability is independently associated with mutation rate variation. We also tested repeat and non-repeat regions separately, and in most tests (including those for non-repeat regions) translational stability is a significant factor (**Supplementary Fig. 4d**).

Taken together, the logistic regression modeling analysis recapitulated known factors
and confirmed the independent contribution of nucleosome translational stability as a
new significant factor to local mutation rate variation.

247 2.4 Mutational processes associated with elevated mutability around strong
 248 nucleosomes

249 **2.4.1 Mutational signature analysis** 

250 Having established an association between mutation rate and nucleosome 251 translational stability, we next sought to identify mutational mechanisms that might 252 explain it. As an initial screen, we compared the COSMIC mutational signatures for 253 de novo mutations within strong nucleosomes and those in genomic background. 254 Mutational signatures were originally developed to infer the mutational processes 255 underlying cancer progression by combining the relative frequencies of 96 possible 256 mutation types (six types of single nucleotide substitutions C>A, C>G, C>T, T>A, 257 T>C and T>G, each considered in the context of the bases immediately 5' and 3' to 258 each mutated base; Alexandrov et al. (2013)).

259 We first consider the relative frequencies of the 96 mutation types in the whole 260 genome and strong nucleosomes in different repeat contexts (Fig. 3a). The results 261 account for background differences in trinucleotide frequencies between these 262 regions (Methods). Several mutation types display distinct frequencies in strong 263 nucleosomes, suggesting differences in the underlying mutational processes. For 264 instance, 6 out of 16 T>C mutation types are more prevalent in strong nucleosomes 265 and different repeat-based subgroups display distinct C>T mutation frequencies. L1-266 associated strong nucleosomes tend to show the most similar mutation frequencies to genomic background, whereas the 'Others' group show the most changes, 267 268 perhaps reflecting the heterogeneity of constituent genomic regions.

269 Next, we applied the MutationalPatterns software (Blokzijl et al. 2018) to calculate the 270 contribution of COSMIC mutational signatures to different sets of *de novo* SNVs. 271 Three major signatures (Signatures 1, 5 and 16) are present in all tested groups 272 (contributing 87.7% for the whole-genome group, 77.0%~84.5% for strong-273 nucleosome groups; Fig. 3b). Four signatures (Signatures 5, 12, 20 and 26) show 274 increased contribution (>1%) to the 'all strong-nucleosome' group relative to the 275 genomic background. The aetiologies of Signatures 5 (~7% increase in strong-276 nucleosome regions) and 12 (2.2% increase) are currently unknown according to the 277 COSMIC website, but a recent study (Roy et al. 2018) suggested that Signature 5 is 278 likely associated with POL  $\theta$ -mediated mutagenesis and double-strand break repair. 279 Signatures 20 (1.3% increase) and 26 (1.2% increase) are associated with DNA 280 mismatch repair. There are further differences in associated signatures among strong 281 nucleosome-associated SNVs in different repeat contexts ('Alu', 'L1' and 'Others'; Fig. 282 3b), such as signatures 1, 3, 5, 6, 11, 12, 20 and 26. Such differences between 283 different groups could be due to the heterogeneity of contributing mutational 284 processes and redundancy among some COSMIC signatures.

285 It is worth highlighting that COSMIC mutational signatures were designed for use 286 with cancer genomes and so some germline mutational processes may not be well 287 represented. Nevertheless, our analysis identified several candidate mutational 288 processes associated with strong nucleosomes, such as the mutagenesis linked to 289 DNA mismatch repair (Signatures 6, 20 and 26) and DNA double-strand repair 290 (Signatures 3 and 5). Therefore, to gain deeper insights and to obtain independent 291 evidence for these mutational processes, we examined multiple published genomic 292 and functional genomic datasets below.

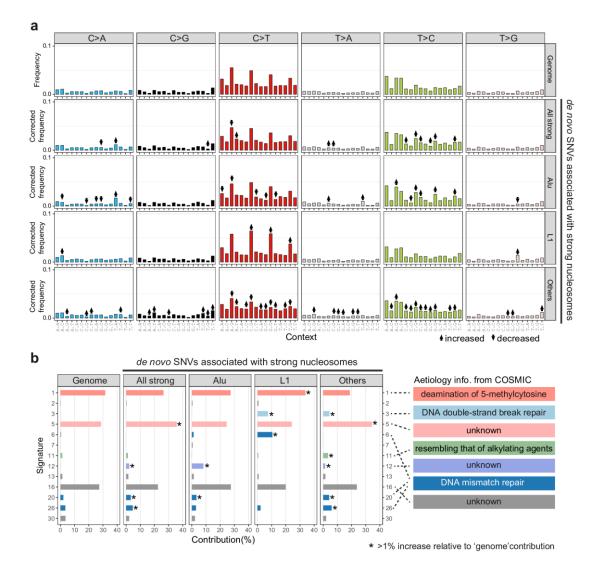




Fig. 3 *De novo* SNVs in strong nucleosomes display distinct mutation type frequencies and COSMIC mutational signatures. (a) Frequencies of 96 mutation types among *de novo* SNVs; 6 nucleotide substitutions in the context of the bases immediately 5' and 3' of the mutated site. SNVs are grouped into those overlapping strong nucleosomes and those elsewhere, and among the former into those overlapping with different classes of repeat elements.  $\uparrow$  and  $\downarrow$  indicate mutation types showing statistically significant differences relative to the genomic background SNV set (adjusted

p < 0.05, Fisher's exact test). (b) Percentage contribution of COSMIC mutational</li>
 signatures among different groups of SNVs; only signatures with non-zero values are
 shown. \* indicate mutational signatures displaying >1% increase relative to the genomic
 background SNV set. Brief summaries of the aetiologies of affected signatures are
 shown on the right (descriptions taken from the COSMIC website).

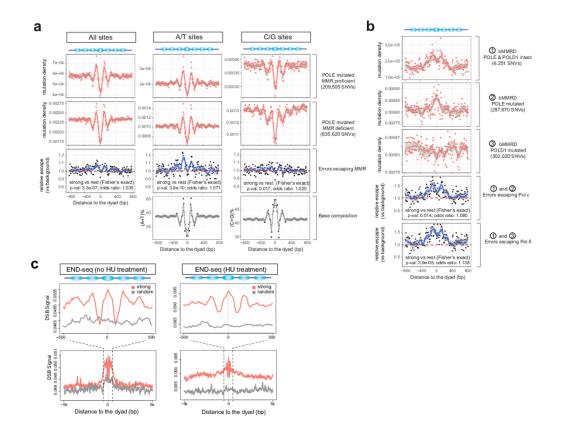
#### 306 **2.4.2 Mismatch repair (Signatures 6, 20 and 26)**

307 DNA Mismatch repair (MMR) is a major pathway that is active during DNA replication: 308 it mainly repairs mismatches and short INDELs introduced by DNA synthesis that 309 have escaped polymerase proofreading. Mutations arising from inefficiencies in MMR 310 are represented by Signatures 6, 20 and 26, which show increased contribution to *de* 311 *novo* SNVs in the 'All strong nucleosomes' group (2% increase collectively) and three 312 repeat-based subgroups of mutations (1.6%, 6.7% and 4.3% increase for 'Alu', 'L1' 313 and 'Others', respectively).

314 We analyzed somatic mutations from two sets of ultra-hypermutated cancer 315 genomes (Campbell et al. 2017). The first comprised genomes with driver mutations 316 in the POLE gene encoding the catalytic subunit of DNA polymerase  $\varepsilon$  (Pol  $\varepsilon$ , the 317 major replicase for the leading strand) and in one or more of the core MMR genes (MLH1, MSH2, MSH6, PMS1 and PMS2). The second contained cancers with 318 319 mutated POLE but intact MMR. As it is even more challenging to detect somatic 320 mutations in tumor-derived data than re-sequencing of normal individuals, we 321 focused this analysis on strong nucleosomes found in high-mappability regions of the 322 genome (Methods).

323 We reasoned that differences in mutation distributions between the two sets of 324 genomes could be attributed to the MMR pathway. The overall mutation patterns are 325 similar in both cases, with much higher mutation rates at strong nucleosome 326 boundaries and adjacent linker DNA than the surrounding regions (Fig. 4a). This 327 implies that errors introduced during error-prone replication by a deficient Pol  $\varepsilon$ 328 escape repair by the MMR pathway when they coincide with strong nucleosomes. 329 Next, we calculated an 'MMR escape ratio' to guantify the relative amount of 330 replication errors that escapes MMR repair in the POLE only mutant cancers 331 compared with the POLE and MMR double mutants. Strong nucleosomal regions 332 (especially boundaries and adjacent linkers) display ~10% higher escape ratios than 333 the genome-wide background (Fig. 4a). Although A/T sites have higher escape ratios 334 than C/G sites around strong nucleosomes, both C/G and A/T sites exhibit similarly 335 elevated escape ratio profiles, suggesting independence of sequence context.

Moreover, the apparent ~200-bp periodicity in escape ratio and mutation density profiles are suggestive of associations with nucleosome positioning rather than sequence alone. Together, these observations strongly indicate a relationship between replication errors, MMR and strong nucleosomes in elevating mutation rates.



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341 Fig. 4 Mismatch repair (MMR), DNA polymerase fidelity and double strand breaks (DSB) 342 explain increased mutation rates in strong nucleosomes. (a) Mutation density profiles 343 relative to strong nucleosome dyads in cancer genomes harboring driver mutations in the 344 POLE and MMR pathway genes. Numbers of mutations used are indicated in the brackets. 345 The MMR escape ratio compares the mutation densities in the MMR proficient and MMR 346 deficient genomes. (b) Mutation density profiles relative to strong nucleosome dyads for 347 bMMRD cancer genomes with different driver mutation statuses in the POLE and POLD1 348 genes. The escape ratios compare the mutation densities for Pol  $\epsilon$ -deficient and Pol  $\delta$ -349 deficient cancers with the proficient ones. (c) END-seq signal indicating the density of DSBs 350 relative to strong nucleosome dyads. HU, hydroxyurea. Fisher's exact test was used for 351 testing the association of strong nucleosomal regions (dyad±95bp) with differential 352 MMR/polymerase performance.

#### 353 **2.4.3 DNA polymerase fidelity (Signatures 10 and possibly 12)**

We also studied the effect of strong nucleosomes on replication fidelity by examining data from children with inherited biallelic mismatch repair deficiency (bMMRD; 356 (Shlien et al. 2015); these include ultra-hypermutated genomes arising from Pol  $\varepsilon$ 357 and polymerase  $\delta$  defects (Pol  $\delta$ , the major replicase for the lagging strand). We 358 estimated Pol  $\delta$  and Pol  $\epsilon$  escape ratios (escaping the proofreading correction of 359 polymerases) using the same reasoning as above (Fig. 4b). We found that strong 360 nucleosomes have higher escape ratios for both polymerases relative to the genomic 361 background (Fig. 4b), implying that they have lower replication fidelity in these 362 regions. The proofreading escape ratios for both polymerases are even higher than 363 that for MMR (Fig. 4a,b) and A/T sites display higher proofreading escape ratios than 364 C/G sites (Supplementary Fig. 5a). Again, the periodic pattern in the relative escape 365 profiles (Fig. 4b, Supplementary Fig. 5a) suggests that nucleosome positioning 366 contributes to the heterogeneity in replicase fidelity across the genome.

367 The aetiology of Signature 12 is currently unknown. Here, we found that it contributes 368 21.15%~21.99% to mutations in POLD1-mutant bMMRD genomes (inferred by 369 MutationalPatterns, Supplementary Fig. 5b,c), but much less for other bMMRD 370 samples (0~2.88% for POLE-mutant, and 3.32%~10.43% for POLE/POLD1-intact). 371 This suggests that Signature 12 is probably associated with Pol  $\delta$  and that many de 372 novo mutations around strong nucleosomes arise from errors escaping Pol  $\delta$ 373 proofreading. Surprisingly, Signature 10, known to be associated with Pol  $\varepsilon$ 374 deficiency, is absent from strong nucleosomal de novo SNVs (Fig. 3b). This 375 suggests that although both Pol  $\varepsilon$  and Pol  $\delta$  have high proofreading escape ratios (i.e. 376 low fidelities) around strong nucleosomes (Fig. 4b), the majority of the replication 377 errors that are eventually converted to *de novo* mutations are derived from lagging 378 strand replicase Pol  $\delta$ .

379 Reijns et al (2015) showed that in budding yeast, Okazaki junctions formed during 380 lagging strand replication tend to be near nucleosome dyads and display elevated 381 mutation rates (Reijns et al. 2015). We tested this by re-analyzing OK-seg data from 382 human lymphoblastoid cells (Petryk et al. 2016). Unlike yeast, Okazaki junctions in 383 humans are more frequently located in the linker regions (Supplementary Fig. 6) 384 rather than the dyads, suggesting that the mutagenic effects of Okazaki junctions are 385 different in the two organisms. This may partly be because yeast lacks the typical H1 386 histone found in human and other eukaryotes. However, the very short reads (single-387 ended 50bp) of OK-seq data restricted our analysis to nucleosomes with high 388 mappability (~10% of strong nucleosomes), limiting the strength of the conclusions 389 here.

#### 390 **2.4.4 Double-strand breaks (Signatures 3 and 5)**

391 Double-strand break (DSB) repair represented by Signatures 3 and 5 is another 392 potential mechanism involved in strong nucleosome-associated mutations (Fig. 3b). 393 Tubbs et al. (2018) studied the genome-wide distribution of DSBs using END-seg 394 and suggested that poly(dA:dT) tracts are recurrent sites of replication-associated 395 DSBs. Our analysis of this data revealed a higher frequency of DSBs around strong 396 nucleosomes compared with genomic background (Fig. 4c). The trend holds for 397 experiments with and without hydroxyurea treatment (HU, a replicative stress-398 inducing agent), suggesting that strong nucleosomes are endogenous hotspots (i.e. 399 without HU treatment) of DSBs during replication. It is notable that young Alu and L1 400 elements harbor prominent poly(dA:dT) tracts, which are enriched at the boundary 401 and linker regions of strong nucleosomes (Supplementary Fig. 7a). The patterns of 402 high DSB frequency still hold true when looking at strong nucleosomes associated 403 with different repeats (Supplementary Fig. 7b,c). However, because the END-seq 404 data were sequenced with single-ended 75bp reads and majority of young Alu and 405 L1 elements cannot be assessed with such short reads, we could not pursue further 406 detailed analysis. Since DSB repair can be error-prone (Rodgers and McVey 2016), 407 even using high-fidelity homologous recombination, frequent DSB formation and 408 subsequent error-prone repair likely contribute to the elevated mutation rates around 409 strong nucleosomes.

## 410 2.5 Strong nucleosome positioning is mostly associated with young repeat 411 elements and undergoes frequent turnover

Above, we highlighted that ~70% of strong nucleosomes are located in Alu and L1 retrotransposons (Supplementary Fig. 1d). Upon examination of the subfamilies (Fig. 5a,b), we uncovered a strong enrichment for evolutionarily young L1s (e.g. L1PA2 to L1PA11) and Alus (e.g. AluY to AluSx). Since younger repeats have poorer mappability, these observations probably underestimate the true enrichment. This may also explain why several of the youngest L1 subfamilies (L1PA2 to L1PA5) have lower enrichments than the slightly older subfamilies (Fig. 5a).

The preference for nucleosomes to occupy specific sections of Alu elements is supported by both *in vitro* and *in vivo* evidence (Englander et al. 1993; Englander and Howard 1995; Salih et al. 2008; Tanaka et al. 2010). We recapitulated these observations for strong nucleosomes using the Gaffney et al. MNase-seq data (**Fig. 5c**): there are two hotspots of strong nucleosomes in young Alus, which fade away in older elements. We also observed that younger Alus exhibit elevated *de novo* mutation rates compared with old ones (**Fig. 5c**), and the weaker translational stability in older Alus is accompanied by reduced *de novo* mutation rates for both
SNVs and INDELs (**Fig. 5c**). Thus, there is an intriguing interplay between Alus,
strong nucleosomes and mutation rates.

429 The histone octamer is thought to preferentially bind DNA sequences presenting 430 lower deformation energy costs (Tolstorukov et al. 2008). We estimated deformation 431 energies using the nuScore software (Tolstorukov et al. 2008) based on the DNA 432 sequence and nucleosome core particle structure and we found that Alus do indeed 433 exhibit lower deformation energies than surrounding regions (Fig. 5c). Furthermore, 434 the energies of Alu elements tend to increase with age, suggesting that the 435 accumulated mutations in Alu sequences reduced their nucleosome-binding stability. 436 This is also supported by comparing deformation energies of Alu consensus 437 sequences (ancestral states) and those of current genomic sequences 438 (Supplementary Fig. 8a). We further analyzed the 3' end sequences of L1 elements 439 harboring strong nucleosomes and observed similar patterns (Supplementary Fig. 440 8b,c).

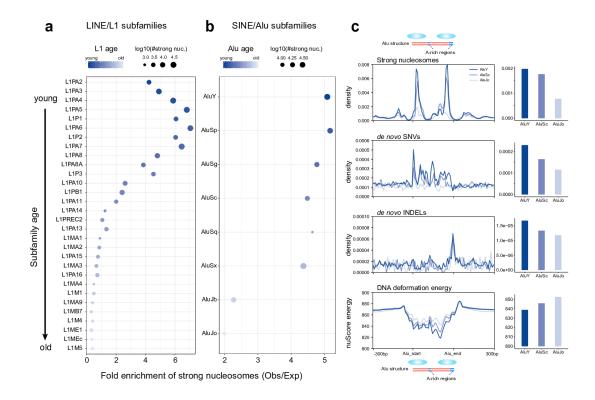




Fig. 5 Strong nucleosomes are frequently found inside evolutionarily young LINE
and SINE elements. (a) Fold enrichment of strong nucleosome occurrence in L1
subfamilies. The top 30 abundant subfamilies are shown ordered by evolutionary age.
Dot sizes depict the numbers of strong nucleosomes and color-scale indicates the
subfamily age. (b) Same as (a) but for Alu elements. (c) Densities of strong nucleosome
dyads, *de novo* SNVs and *de novo* INDELs along the Alu sequences and flanking

regions, grouped by Alu subfamilies of different ages. Bar plots show the average
densities for all Alus of different subfamilies on the right. The bottom panel shows the
average DNA deformation energies along Alu sequences estimated using nuScore.
Profiles were plotted using Alu elements >=250bp and all elements were scaled up to a
300bp region in the plots.

453 Studies have suggested that natural selection appears to preserve nucleosome 454 positioning during evolution (Prendergast and Semple 2011; Tolstorukov et al. 2011; 455 Drillon et al. 2016), but they had differing views about the effects of selection on the 456 underlying sequence. In contrast, Warnecke et al. (2013) suggested that the 457 observed sequence divergence patterns around nucleosomes can be explained by 458 frequent nucleosome re-positioning after mutation, rather than by natural selection. 459 Since these results were mainly based on human polymorphisms or inter-species 460 divergence, indirect mutation rate measurements were potentially confounded by 461 selection and non-adaptive processes. The use of *de novo* mutations helps resolve 462 this debate to some extent.

463 As we showed above, there is considerable *de novo* mutation rate variation around 464 strong nucleosomes (Fig. 1e, Supplementary Fig. 1), which cannot be ignored in 465 any selection analysis. Furthermore, strong nucleosomes are clearly preferentially 466 present in young SINE/LINE elements and the strength of translational stability 467 decays substantially over time (Fig. 5). These observations support the re-positioning 468 model over a long evolutionary scale. Since a large majority of strong nucleosomes 469 associated with SINE/LINE elements are expected to become non-strong ones in 470 future, selection for preserving positioning might not be as widespread as previously 471 suggested, though it may happen at some particular regions or within a short 472 evolutionary scale.

#### 473 3 Discussion

Though the involvement of nucleosome organization in DNA damage/repair processes was recognised nearly 30 years ago (Smerdon 1991), its genome-wide effects on germline mutation rates (particularly in higher eukaryotes) have remained poorly understood. Our analysis combining large-scale *de novo* mutation and nucleosome datasets in human provides several important insights into this topic.

A major finding is that strong translational positioning of nucleosomes is associated
with elevated *de novo* mutation rates, which is also supported by observations using
extremely rare variants in polymorphism data. The ability to use *de novo* mutations

482 here allowed us to bypass confounding evolutionary factors such as selection, thus 483 allowing direct assessment of the impact on background mutation rates. Importantly, 484 our statistical tests controlling for nucleosome occupancy and other related factors 485 confirmed the significant contribution of translational stability to mutation rate 486 variation. Therefore, we have discovered a novel factor that significantly modulate 487 germline mutation rate variation.

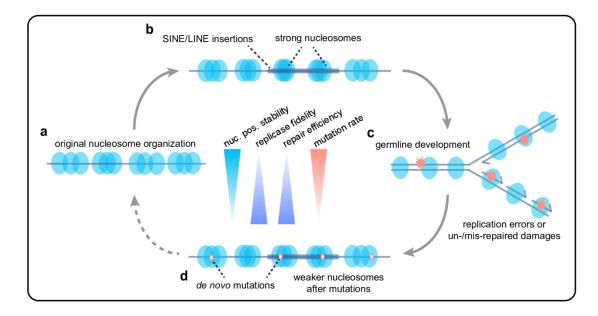
488 Investigating the underlying mutational processes responsible for this association 489 remains challenging. Nevertheless, we obtained several informative results regarding 490 potential mechanisms by leveraging published omics data related to DNA damage 491 and repair. In doing so, we revealed that MMR, replicase fidelity and DSB contribute 492 significantly to elevated mutation rates around strong nucleosomes. In particular, 493 multiple sets of ultra-hypermutated cancer data allowed us to quantify the 494 performance of MMR and replicases by calculating the repair escape ratios. The 495 results probably apply to germ cells because i) they agree nicely with the 496 observations from our mutational signature analysis with *de novo* mutations and ii) 497 recent studies suggested that replicative errors account for majority of mutations 498 arising in both somatic and germ cells (Tomasetti and Vogelstein 2015; Tomasetti et 499 al. 2017). The precise molecular interactions determining the relationships between 500 strong nucleosome positioning, replicase fidelity and DNA repair are still not clear. 501 However, based on the evidence from our analysis with the omics data and previous 502 studies (Li et al. 2009; Reijns et al. 2015; Tubbs et al. 2018), we speculate that 503 strong nucleosomes may act as particularly strong barriers which impair the 504 performance of the replication and repair machineries. There may be additional, 505 unexamined effects on DNA damage/repair processes related to germline 506 development, but many published genomic datasets about DNA damage/repair were 507 generated in non-germ cells and with very short sequencing reads (e.g. <100bp), 508 which hinder accurate analysis. Improved sequencing strategies such as long-read 509 sequencing and direct measurement in germ cells would benefit future related 510 studies.

Interestingly, we found that strong nucleosomes are preferentially located within young LINE and SINE elements, two of the most common retrotransposons in the human and other mammalian genomes. Owing to their potentially deleterious effects, newly inserted retrotransposons are tightly repressed by multiple regulatory mechanisms, such as DNA methylation and H3K9me3 (Slotkin and Martienssen 2007). Strong nucleosome positioning, which may mask access to the transcription machinery, could be another layer of the repressive system. Furthermore, the

hypermutation in young SINEs/LINEs, partly contributed by associated strong
nucleosomes, could lead to the rapid reduction of retrotransposition capacity.
Therefore, the combination of strong nucleosome positioning and hypermutation in
SINEs/LINEs might have facilitated their expansion across the genome.

522 The decreasing numbers of strong nucleosomes in older LINE/SINE elements imply 523 widespread nucleosome re-positioning during evolution. Since nucleosome 524 positioning is strongly affected by the underlying DNA sequence, their re-positioning 525 probably arises from the accumulation of mutations. Our data largely disagree with 526 the previous hypothesis of widespread selection for maintaining nucleosome 527 positioning in the human genome (Prendergast and Semple 2011). Another reason 528 for favoring the re-positioning model is that most genomic regions do not employ 529 strong positioning, possibly due to its relatively high mutagenic potential.

530 Finally, we summarized our major findings in a proposed model in **Fig. 6**, which 531 demonstrates the relationship among nucleosome positioning, mutation rate variation, 532 retrotransposons and evolution. Given the importance of germline *de novo* mutations 533 in evolution and human diseases and the universal roles of nucleosomes in 534 eukaryotic genome organization and regulation, our work should have profound 535 implications in related research areas.



536

Fig. 6 Proposed model of the interplay between nucleosome translational stability,
 mutation rate and transposable elements. (a) Most genomic regions are occupied by
 nucleosomes lacking strong translational stability. (b) Strong nucleosomes are
 preferentially associated with newly inserted SINE/LINE elements. (c) Strong
 nucleosomal regions are subject to high mutation rates during germline development,

- 542 caused by mutational processes such as low replicase fidelity, inefficient MMR and DSB
- 543 repair. (d) Accumulation of mutations reduces translational stability of strong
- 544 nucleosomes and reduces transposition capacity of transposable elements.
- 545
- 546
- 547

#### 548 Methods

#### 549 Mutation datasets

*De novo* mutations identified in multiple large-scale trio sequencing project were downloaded from denovo-db v1.6.1 (Turner et al. 2017b). Seven studies with >1000 *de novo* mutations (Genome of the Netherlands 2014; Turner et al. 2016; Yuen et al. 2016; Jonsson et al. 2017; Turner et al. 2017a; Yuen et al. 2017; Werling et al. 2018) were considered in our analysis (**Supplementary Fig. 1a**). Extremely rare variants (derived allele frequency  $\leq$  0.0001) were obtained from Genome Aggregation Database (gnomAD, release 2.0.2) (Lek et al. 2016).

#### 557 Nucleosome datasets

558 We used the 1,037,801 strong nucleosomes (i.e. translationally stable nucleosomes) 559 identified based on MNase-seq data of sequenced seven lymphoblastoid cell lines 560 from Gaffney et al. (Gaffney et al. 2012). The original hg18-based coordinates of 561 annotated nucleosomes were converted to hg19 using the 'liftOver' tool from UCSC 562 genome browser. The rotationally stable nucleosomes identified based on 49 DNase-563 seq samples (43 distinct cell types) were from Winter et al. (Winter et al. 2013). We 564 classified the human genome into three groups based on the nucleosome contexts 565 (Fig. 1b): i) regions covered by translationally stable ('strong') nucleosomes; ii) 566 regions covered by rotationally but not stable translationally nucleosomes; and iii) the 567 remaining genomic regions. Chromosomes X and Y were excluded from analysis as 568 some other datasets used in our work lacked data for these chromosomes. As the 569 nucleosome maps we used were not derived from germ cells, for downstream 570 analysis we excluded the genomic regions in which nucleosome positioning were 571 found to differ between human embryonic stem cells and differentiated fibroblasts 572 (West et al. 2014). Based on the positioning stability scores defined in Gaffney et al., 573 we divided the one million strong nucleosomes into three categories of equal sizes 574 with different levels of stability - 'high', 'middle' and 'low', which were used for 575 analysis shown in Fig. 1 and Supplementary Fig. 1.

#### 576 Accounting for mappability

577 Sequencing read mappability can significantly affect variant calling results and other 578 aligned read-depth based measurements (e.g. nucleosome occupancy). The 579 sequencing reads for detecting *de novo* mutations used in our analysis were mainly 580 150bp paired-end reads, with fragment sizes ranging from 300-700bp 581 (**Supplementary Fig. 1**). We used the Genome Mappability Analyzer (GMA) (Lee 582 and Schatz 2012) to generate the mappability scores for simulated paired-end 150 583 reads with fragment sizes set to be 400bp. Only the regions with GMA mappability 584 scores of >=90 (~2.59Gb) were considered for most analyses, unless specified 585 otherwise. We did not use the mappability tracks from ENCODE for the de novo 586 mutation data, because those tracks were only for single-ended reads. For some 587 analyses, additional filtering were applied if other associated datasets suffered from 588 more severe mappability issues. For measuring nucleosome occupancy, we used the 589 method described in the Gaffney et al. to simulate paired-end 25bp reads matching 590 the base compositions of MNase-seq data in the human genome, and then 591 calculated per-base coverage depth by the simulated fragments. The 10bp-bin ratios 592 between the MNase-seq read coverage and the simulated read coverage were used 593 for measuring the occupancy.

#### 594 Enrichment analysis for *de novo* mutations in different nucleosome contexts

595 Genomic association tester (GAT) (Heger et al. 2013), a tool for computing the 596 significance of overlap between multiple sets of genomic intervals, was used to 597 estimate the expected numbers of mutations in different contexts (sampling >=1000 598 times), which were then compared with the observed numbers. Low-mappability 599 regions were excluded from analysis. A similar analysis was also done for the 600 extremely rare variants of gnomAD. Analysis of meta-profiles along strong 601 nucleosomes was done using deepTools (Ramirez et al. 2014).

# 602 Statistical modelling of the contribution of different factors to mutation rate603 variation

As described in the main text, for a given genomic position, we defined two variables
 regarding the translational positioning of nearby nucleosomes (Fig. 2a):

$$d_{mean} = \frac{\sum_{i=1}^{n} d_i}{n}, 0 \le d \le 95,$$
$$d_{var} = \frac{\sum_{i=1}^{n} (d_i - d_{mean})^2}{n}$$

where *d* is the distance between a MNase-seq midpoint to the focal site. We considered MNase-seq midpoints within ±95bp of the focal site, because genome-wide nucleosome repeat length was estimated to be 191.4bp for the Gaffney et al. data (Gaffney et al. 2012). Genomic sites without any MNase-seq midpoint within ±95bp were excluded from analysis (123Mb out of 2.59Gb excluded). The measurements for nucleosome occupancy were 10bp-bin ratios between the MNase-

612 seq read coverage and the simulated read coverage. We did not use the positioning 613 score S(i) defined in Gaffney et al. to measure positioning stability in our modelling 614 analysis, because S(i) was designed for identifying the stable dyads and so for non-615 dyad positions it does not represent the positioning stability properly.

616 RNA expression, DNA methylation and chromatin accessibility (ATAC-seq) data from 617 human spermatogonial stem cells were from Guo et al. (Guo et al. 2017). For the 618 RNA-seq and ATAC-seq data from Guo et al., because the genome-wide read signal 619 tracks were not available, we downloaded, processed and mapped the raw reads to 620 generate the genome-wide tracks. Since suitable data for histone modifications in 621 human germ cells were not available, we used the ChIP-seg data of human 622 embryonic stem cells from ENCODE (ENCODE Consortium 2012). Replication timing 623 data (Repli-seq of GM12878) were also from ENCODE. The data of recombination 624 rates were from the HapMap project (International HapMap Consortium et al. 2007).

A binary logistic regression framework was used to assess the contribution of
 different factors to mutation rate variation across the genome systematically. The
 logistic regression model is described as below:

$$\mu = \Pr(y = 1) = \frac{\exp(\beta_0 + \beta_1 X_1 + \dots + \beta_p X_p)}{1 + \exp(\beta_0 + \beta_1 X_1 + \dots + \beta_p X_p)}$$
$$= \frac{\exp(\mathbf{X}\beta)}{1 + \exp(\mathbf{X}\beta)}$$

$$logit(\mu) = log\left(\frac{\mu}{1-\mu}\right) = X\beta$$

628 where  $\mu = \Pr(y = 1)$  denotes the probability that a genomic position is mutated (for 629 testing individual SNV mutation types, e.g. A>T,  $\mu$  is the probability that a site is 630 mutated to a specific nucleotide), *X* represents the observations for the considered 631 variables (categorical or continuous, e.g.  $d_{mean}$ ,  $d_{var}$ , adjacent nucleotides, etc.), and  $\beta$ 632 is the vector of parameters to be estimated.

We used the Bayesian logistic regression model implemented in the 'bayesglm' (Gelman et al. 2008) of the R package 'arm', which was reported to perform well in handling the complete separation issue in logistic regression models (Gelman et al. 2008). The complete separation issue is common when one class is rare relative to the other and (or) there are many regressors in a model. As we had only ~300,000 *de novo* mutations, the probability for a given site to be mutated in our data is ~1/10,000, which is a rare event. Within the logistic regression framework, we compared the full model with all considered variables to a reduced model without one specific variable by performing likelihood-ratio tests in R ('anova' function) to evaluate the significance for each variable. The resulting p values of a set of likelihood-ratio tests were adjusted for multiple testing with Benjamini–Hochberg correction.

645 To perform the regression analysis, we generated the data of all variables for the de 646 novo mutation sites and subsampled a fraction of the non-mutated sites as the 647 control sites. We did not use all the non-mutated sites in the genome as it would lead 648 to a large imbalance in the sizes of two classes ('mutated' and 'non-mutated') and 649 much larger computational burden. For de novo SNVs, we randomly generated 650 2,561,953 non-mutated sites (about 1/1000 of the accessible genome, about 10 651 times as many as de novo SNVs) and 256,337 non-mutated sites (about 1/10,000 of 652 the accessible genome, about 10 times as many as *de novo* INDELs) for INDELs. 653 For *de novo* INDELs, we used the INDELs of  $\leq$ 5bp for regression analysis, because 654 long INDELs were rare and may have high false positive/negative rates. For RNA 655 expression. DNA methylation, chromatin accessibility, replication timing. 656 recombination rate and histone modifications data, we used the average value of the 657  $\pm$ 10bp of a focal site for each specific feature based on the genome-wide signal 658 tracks. We also assessed different window sizes ( $\pm 5$ bp and  $\pm 20$ bp), which led to 659 similar results.

For SNVs, we performed logistic regression tests for mutation types at A/T sites and C/G sites separately and distinguished C/G sites in CpG and non-CpG contexts. We also tested for nine individual SNV mutation types (three for A/T sites, three for C/G sites at CpG contexts, and three for non-CpG contexts, **Supplementary Fig. 3**). The regression coefficients for the full model of each test are given in **Supplementary Table 1**.

Since the variable  $d_{mean}$  has a non-monotonic relationship with mutation rates, we binned the values into five categories: [0,18], [19, 36], [37, 54], [55, 73] and [74, 95] (first four bins implying nucleosome-bound regions, and the last bin implying close to the linker).

670 In the regression models mentioned above, we did not consider the non-additive 671 effects of adjacent nucleotides ( $\pm 5$  bp). When we tried adding non-additive effects for 672  $\pm 5$  nucleotides (considering only two-way interactions; taking a much longer running 673 time), we got similar results regarding the association of translational stability ( $d_{var}$ )

and mutation rates (Supplementary Fig. 4). We also tried using the 7-mer mutability
estimates from Carlson et al. (Carlson et al. 2018), which incorporated non-additive
effects among ±3 nucleotides, as predictors in the regression models.

To evaluate how the sequence repeat status affects the effects of translational stability on mutation rates, We added the repeat status ( 'Alu', 'L1', 'other repeat' or 'non-repeat') as a predictor in the regression models, and also ran the regression tests for different repeat/non-repeat regions separately.

#### 681 Analysis of mutational processes

682 COSMIC mutational signatures are based on frequencies of mutations in tri-683 nucleotide contexts. Since the regions associated with strong nucleosomes have 684 different tri-nucleotide composition relative to genome background, we first 685 normalized the mutation type frequencies in regions associated with strong 686 nucleosomes as this: set *F<sub>i.strona</sub>* for the occurrence of a specific mutation type (e,g. 687 T[T>C]T ),  $N_{i,strong}$  for the occurrence of the considered tri-nucleotide context (e.g. 688 TTT) in strong-nucleosome regions and  $N_{i,genome}$  for the occurrence of the 689 considered tri-nucleotide context in the whole-genome background, then the 690 corrected occurrence of a the mutation type for strong nucleosomes is  $N'_{i,strong} =$ 691  $F_{i,strong} \div N_{i,strong} \times N_{i,aenome}$ . Fisher's exact tests were performed to identify 692 mutation types that show significant increase or decrease in strong-nucleosome 693 regions relative to genome background. The contingency table used for running 694 'fisher.test' in R for а specific mutation type is  $matrix \left( c \left( F_{i, strong}, N_{i, strong} - F_{i, strong}, F_{i, genome} - F_{i, strong}, \left( N_{i, genome} - N_{i, strong} \right) - \right) \right)$ 695  $(F_{i,genome} - F_{i,strong})$ , ncol = 2), where  $F_{i,strong}$ . And  $F_{i,genome}$ 696 are the

697 occurrences of the considered mutation type and  $N_{i,strong}$  and  $N_{i,genome}$  for the 698 occurrences of the considered tri-nucleotide context. Benjamini-Hochberg method 699 was used for multiple testing correction.

The contribution of COSMIC mutational signatures (Alexandrov et al. 2013) to different sets of mutations (*de novo* SNVs and somatic mutations from bMMRD samples) was predicted using the 'fit\_to\_signatures' function in the R package 'MutationalPatterns' (Blokzijl et al. 2018). For the sets of *de novo* SNVs associated with strong nucleosomes, the corrected frequencies described above were used for running 'fit\_to\_signatures'.

706 Mutations in POLE in cancers can lead to reduced base selectivity and/or deficient 707 proofreading during replication, producing unusually large numbers of mutations (so 708 called 'ultra-hypermutation') which facilitated our analysis. POLE mutated genomes 709 from PCAWG project (Campbell et al. 2017) were used to evaluate the differential 710 MMR efficiency between strong and non-strong nucleosome regions. We compared 711 the mutation densities in cancer genomes with POLE mutated and a deficient MMR 712 (4 individual samples) to those with POLE mutated and a proficient MMR (6 samples). 713 The MMR pathway was considered deficient if a driver mutation (annotated by the 714 PCAWG consortium) was found in one of five MMR core genes - MLH1, MSH2, 715 MSH6, PMS1 and PMS2.

For a given bin (10bp-size) in the meta-profile, we calculated the relative MMR
escape ratio relative to genomic background around strong nucleosomes as
described in the following formula,

$$R_{i}^{escape} = \frac{\frac{m_{i}^{POLE^{*}, MMR^{WT}}}{m_{i}^{POLE^{*}, MMR^{*}}}}{\frac{\overline{m}^{POLE^{*}, MMR^{WT}}}{\overline{m}^{POLE^{*}, MMR^{*}}}}$$

where  $m_i$  is the mutation density for the *i*th bin (observed number of mutations in the *i*th bin divided by the bin size), and  $\overline{m}$  is the genome-wide average mutation density of a specific sample group (observed number of mutations in the simulated windows divided by the total window size), estimated by simulating random windows in the genome. A similar logic was used when evaluating relative proofreading escape ratios of Pol ε (mutated *POLE*) and Pol δ (mutated *POLD1*) using the somatic mutation data from the bMMRD project (Shlien et al. 2015).

726 When analyzing PCAWG and bMMRD data, to account for potential mappability 727 issues, we focused on the highly mappable regions based on the CrgMapability 728 scores from ENCODE. We used CrgMapability scores here, which are more stringent 729 than GMA ones, because detecting somatic mutations in tumors is more difficult than 730 for ordinary individual re-sequencing data. We considered the strong nucleosomes 731 which have a 100mer CrqMapability score of 1 (meaning any 100-bp read from these 732 regions can be mapped uniquely in the genome) within ±800bp of the dyads. We 733 then simulated a same number of 1600bp-sized regions from the genome that satisfy 734 the mappability requirement to calculate the background mutation density. Note that 735 in theory the mappability issue in the relative escape ratios should be very small

because the two sets of samples have the same mappability for a given bin and theratio calculation normalizes the effects of different mappability among regions.

The raw reads of OK-seq data (Petryk et al. 2016) were downloaded from NCBI and
mapped to the human genome. We kept only the uniquely mapped reads for inferring
Okazaki junctions. The very 5' end sites of aligned reads (separating reads mapped
to Watson and Crick strands) were considered putative Okazaki junction signals.

To investigate DSBs around strong nucleosmes, we downloaded the genome-wide tracks of human END-seq data (GSM3227951 and GSM3227952) (Tubbs et al. 2018). Because the reads of END-seq data were single-ended 75bp, we considered the strong nucleosomes which have a 75mer CrgMapability score of 1 within ±500bp of the strong nucleosome dyads for analysis.

## 747 Enrichment analysis for strong nucleosomes in different repeat contexts

748 GAT (Heger et al. 2013) was used to estimate the expected numbers of strong 749 nucleosomes in different contexts (sampling >=1000 times), which were compared to 750 the observed numbers. The annotations of repeat elements (Feb 2009, Repeat 751 Library 20140131) were downloaded from RepeatMasker (Tempel 2012). We also 752 did GAT analysis for LINE-1(L1) and Alu subfamilies of different ages. The age 753 information of repeat families was from Giordano et al. (Giordano et al. 2007). For 754 generating the MNase-seg midpoints along the repeat consensus sequences, we 755 made use of the alignment information in the RepeatMasker result files 756 ('hg19.fa.align.gz') and mapped the hg19-based coordinates to the coordinates in the 757 consensus sequences. Strong nucleosomes appear to be under-detected in very 758 young L1 elements, which we think is due to difficulties in mapping short MNase-seq 759 reads (Alus are easier to map because they are much smaller).

Nucleosome deformation energies of all sites in the human genome were estimated using nuScore (Tolstorukov et al. 2008). We also used nuScore to estimate the deformation energies of Alu/L1 subfamily consensus sequences. For the L1 analysis shown in **Supplementary Fig. 8**, we only considered the 3' end regions of L1 subfamilies, because 5' end regions of L1 elements are usually truncated in the genome and their subfamily identities are difficult to be determined.

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## 970 Data availability

All the analyses in this study were based on published datasets.

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## 983 Author contributions

984 C.L. conceived the project, performed the analyses and drafted the manuscript;985 N.M.L. supervised the project and co-wrote the manuscript.

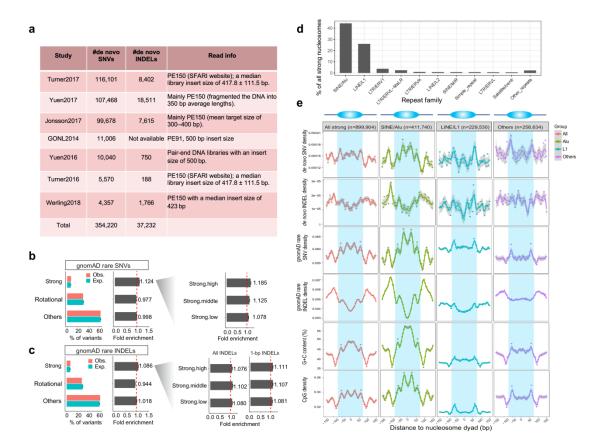
## 986 **Competing financial interests**

987 The authors declare no competing financial interests.

## 989 Supplementary Tables and Figures

#### 990

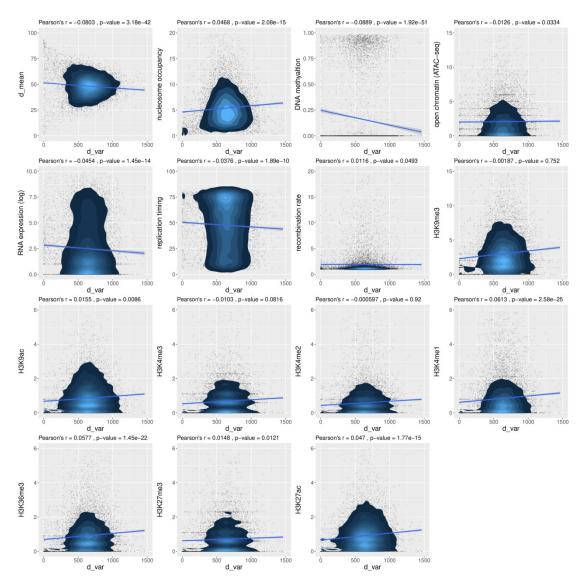
## 991 Supplementary Table 1 Coefficients of variables and other information from the 992 full regression models for different mutation types (in a separate Excel file). 993 Note that for each of the categorical variables, the first category was used by the 994 regression model as reference category (other categories were compared with the 995 reference category) and thus there is no coefficient for that category. The statistics 996 (4<sup>th</sup> column) and p-values (5<sup>th</sup> column) in the table were from Wald tests defaultly 997 produced by 'bayesglm' (shown for reference), which are different from the likelihood 998 ratio test-based p-values and were not used in our discussion.



## 1000

1001 Supplementary Figure 1 Mutations in different nucleosome contexts. (a) 1002 Information of the *de novo* mutation datasets from seven studies used in analysis. (b) 1003 Fold enrichment/depletion of gnomAD extremely rare SNVs in different nucleosome 1004 contexts. 'Strong', translationally stable positioning; 'Rotational', rotationally but not 1005 translationally stable positioning; 'Others', the remaining genomic regions. On the left 1006 is the fold enrichment for three subgroups of strong nucleosomes with different 1007 stabilities. Error bars depict 95% confidence intervals. (c) Fold enrichment/depletion 1008 of gnomAD INDELs in different nucleosome contexts. When using all INDELs the 1009 'strong.high' group does not have a higher mutation rate than other two groups, but if 1010 using the 1-bp INDELs 'strong high' does have the highest mutation rate among the three groups. We speculated that there may be more false negatives of longer 1011 1012 INDELs in the 'strong.high' group. (d) Top 10 repeat families that are associated with 1013 strong nucleosomes. (e) Meta-profiles of SNV/INDEL densities (de novo or extremely 1014 rare variants) around all strong nucleosomes, or in different repeat-associated 1015 subgroups. At the bottom are the G+C content and CpG content profiles.

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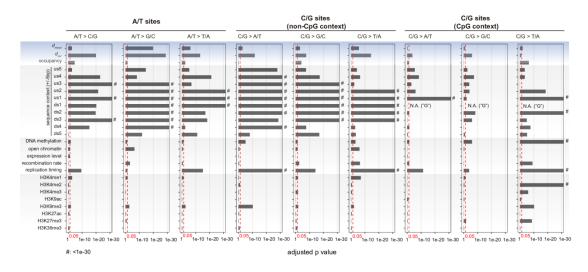


1019 Supplementary Figure 2 Correlation analysis between nucleosome positioning 1020 stability ( $d_{var}$ ) and other factors. On the top of each panel are the Pearson's 1021 correlation coefficients and the corresponding p-values.

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## 1026



1028 Supplementary Figure 3 Results of statistical tests for nine individual SNV

mutation types. C/G sites in non-CpG contexts and C/G sites in CpG contexts were
tested separately. The red vertical lines represent the significance cut-off (0.05) for
the adjusted p values (Benjamini–Hochberg correction). 'us', upstream; 'ds',
downstream. '#' means adjusted p < 1e-30.</li>

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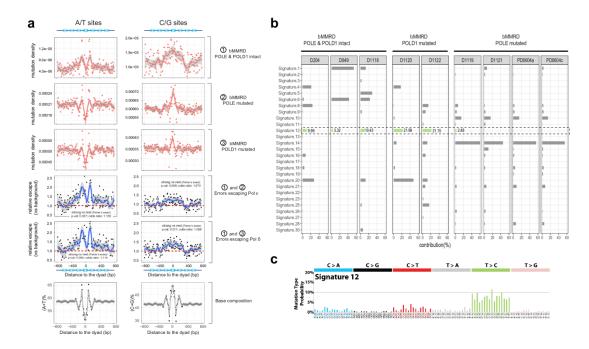
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1039 Supplementary Figure 4 Results of statistical tests when considering two-way 1040 interactions of adjacent nucleotides, 7-mer mutability estimates from Carlson 1041 et al. and repeat status. (a) Adding the two-way interactions for ±5 nucleotides in 1042 the regression models. (b) Adding the 7-mer mutability estimates from Carlson et al. as predictors in the regression models. (c) Adding repeat status as a predictor in the 1043 1044 regression models. (d) Running regression models for regions associated with different repeat contexts separately. We tested SNVs at A/T sites, C/G sites in non-1045 1046 CpG context and C/G sites in CpG context separately. The red vertical lines 1047 represent the significance cut-off (0.05) for the adjusted p values (Benjamini-1048 Hochberg correction). 'us', upstream; 'ds', downstream. '#' means adjusted p < 1e-30.

#### 1050

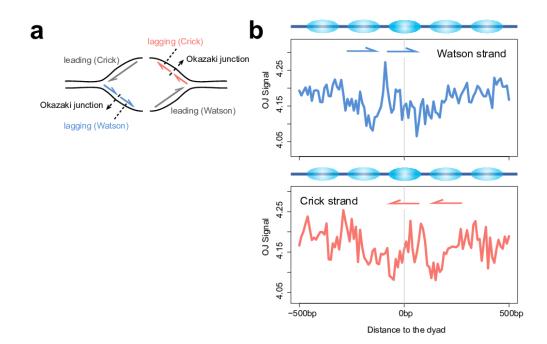


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1052 Supplementary Figure 5 Analysis of related mutational processes using 1053 **bMMRD** data. (a) Mutation profiles around strong nucleosomes for bMMRD cancer 1054 genomes and the estimated relative escape ratios of Pol  $\varepsilon$  or Pol  $\delta$ , for mutations at 1055 A/T sites and C/G sites respectively. Fisher's exact test was used for testing the association of strong-nuclesome regions (dyad±95bp) with differential polymerase 1056 1057 performance. (b) Comparison of the contribution of COSMIC mutational signatures 1058 predicted by MutationalPatterns in different bMMRD genomes. Highlighted is 1059 Signature 12, which shows a particularly high contribution in POLD1-muated bMMRD 1060 samples. (c) the tri-nucleotide mutational profile of Signature 12, obtained from 1061 COSMIC website.

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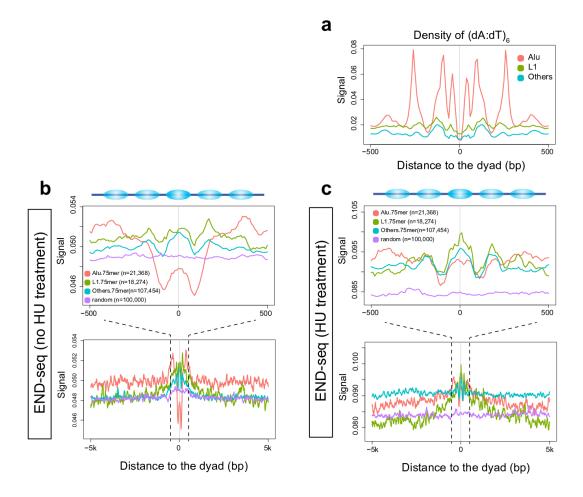
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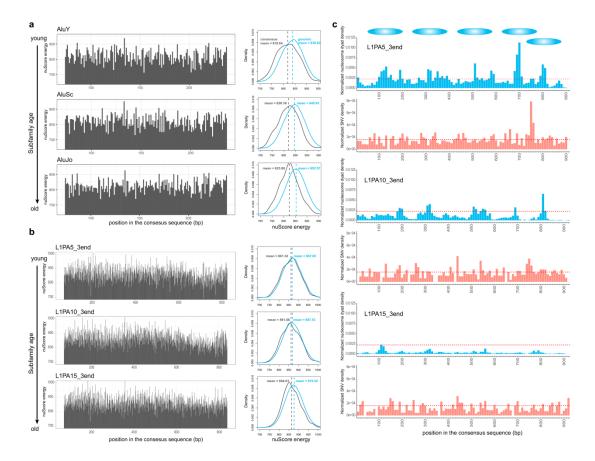
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Supplementary Figure 6 Analysis with OK-seq data. (a) Schematic illustrating
 replication strands and Okazaki junctions (OJs). (b) Meta-profile of the density of
 Okazaki junctions inferred from alignments of OK-seq reads around strong
 nucleosomes (high-mappability). OJ signals for Watson strand and Crick strand were
 plotted separately. Replication directions of Okazaki fragments are shown by arrows.

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1074 **Supplementary Figure 7 Analysis related to the DSBs around strong** 1075 **nucleosomes.** (a) Density of poly(dA:dT) tracts (based on occurrence of  $(dA:dT)_6$ 1076 motifs) around strong nucleosomes. (b-c) Signal of DSBs based on the END-seq 1077 data around strong nucleosomes associated with different repeat elements. Only the 1078 strong nucleosomes of high 75-mer mappability within ±500bp were considered. 1079 Numbers of usable strong nucleosomes for each group are given in the brackets. HU 1080 (hydroxyurea) is a replicative stress-inducing agent.



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1082 Supplementary Figure 8 Additional analysis about repeat subfamily ages and 1083 strong nucleosomes. (a) nuScore-estimated per-base nucleosome deformation 1084 energies along three Alu subfamily consensus sequences. On the right are the 1085 comparisons of deformation energy distributions of the consensus sequences 1086 (ancestral states) and those of current genomic regions for the three subfamilies respectively. The deformation energy profiles of the consensus sequences are 1087 1088 similar, but the average deformation energies increase over time, with older Alu 1089 subfamilies displaying larger differences relative to the consensus. (b) Similar to (a). 1090 but for three example L1 subfamilies. (c) Barplots for normalized densities of strong 1091 nucleosome dyads and de novo SNVs along the consensus sequences of three L1 1092 subfamilies, using 10-bp bins. Several loci that are enriched for dyads of strong 1093 nucleosomes are shown on the top with ellipses. The red dash lines represent the 1094 average densities for the L1PA5 subfamily. The densities of strong nucleosome 1095 dyads and *de novo* SNVs appear to decrease over evolutionary time.