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2 Proposed Title 1: Molecular epidemiology and whole genome sequencing analysis of
3 clinical *Mycobacterium bovis* from Ghana.

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30 Abstract

Background: Bovine tuberculosis (bTB) caused by *Mycobacterium bovis* is a reemerging problem in both livestock and humans. The association of some *M. bovis* strains with hyper-virulence, MDR-TB and disseminated disease makes it imperative to understand the biology of the pathogen.

Methods: *Mycobacterium bovis* (15) among 1755 *M. tuberculosis* complex (MTBC) isolated between 2012 and 2014 were characterized and analyzed for associated patient demography and other risk factors. Five of the *M. bovis* were whole-genome sequenced and comparatively analyzed against a global collection of published *M. bovis* genomes.

39 **Results:** Mycobacterium bovis was isolated from 3/560(0.5%) females and 40 12/1195(1.0%) males with pulmonary TB. The average age of *M. bovis* infected cases was 46.8 years (7-72 years). TB patients from the Northern region of Ghana (1.9%;4/212) 41 42 had a higher rate of infection with *M. bovis* (OR=2.7,p=0.0968) compared to those from 43 the Greater Accra region (0.7%;11/1543). Among TB patients with available HIV status, the odds of isolating M. bovis from HIV patients (2/119) was 3.3 higher relative to non-44 HIV patients (4/774). Direct contact with livestock or their unpasteurized products was 45 significantly associated with bTB (p<0.0001,OR=124.4,95% CI=30.1-508.3). Two 46 (13.3%) of the *M. bovis* isolates were INH resistant due to the S315T mutation in katG 47 48 whereas one (6.7%) was RIF resistant with Q432P and I1491S mutations in rpoB. M. 49 bovis from Ghana resolved as mono-phyletic branch among mostly M. bovis from Africa 50 irrespective of the host and were closest to the root of the global *M. bovis* phylogeny. *M.* bovis-specific amino acid mutations were detected among MTBC core genes such as 51 52 mce1A, mmpL1, pks6, phoT, pstB, glgP and Rv2955c. Additional mutations P6T in chaA, 53 G187E in mgtC, T35A in Rv1979c, S387A in narK1, L400F in fas and A563T in eccA1 54 were restricted to the 5 clinical M. bovis from Ghana.

55 **Conclusion:** Our data indicate potential zoonotic transmission of bTB in Ghana and 56 hence calls for intensified public education on bTB, especially among risk groups.

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59 Introduction:

60 Among the *Mycobacterium tuberculosis* complex (MTBC), *Mycobacterium bovis* is the 61 main causative agent of TB in cattle and sheep, albeit with the widest host range among 62 other mammals including wildlife and humans [1]. M. bovis associated TB is a re-63 emerging global problem affecting both livestock and humans alike. The World Health Organization reported 147,000 new Bovine TB (bTB)) cases and 12,500 deaths among 64 65 humans in 2016 [2]. Despite the low incidence of M. bovis associated TB ($\sim 2\%$ globally), the mortality rate is high, especially among children and HIV co-infected 66 67 patients [1,3,4]. Human-to-human transmission of M. bovis is mostly rare [5], thus 68 human bTB is considered a zoonotic chronic disease characterized by lung infections and 69 their draining lymph nodes as granulomatous necrotizing inflammatory disease [6,7]. 70 Nevertheless, bTB among immunocompromised people and children are mostly 71 extrapulmonary or disseminated affecting other organs other than the lungs and their 72 draining lymph nodes. bTB in humans is mostly transmitted via the alimentary canal by 73 the [4] consumption of unpasteurized dairy products from infected cattle [3,8,9] and or 74 inhalation of aerosolised bacilli via direct contact with infected cattle and/or their 75 carcasses [5]. However, a lack of knowledge or simply negligence of the dangers associated with being in close contact with livestock or wildlife and their unpasteurized 76 77 products is apparent among some individuals who are constantly in direct contact with 78 animals [10]. In addition, there is a growing association of M. bovis related TB cases 79 with treatment failure due to intrinsic resistance to some commonly used anti-80 tuberculosis drugs [11].

Even though *M. bovis*, being a member of the MTBC, is genetically homogenous compared to other bacteria [12], molecular epidemiology of *M. bovis* infections in Great Britain has shown that they exhibit polymorphic metabolic profiles, such as differential rates of incorporation of propionate into membrane lipid components among different genotypes [13] as well as differential expression of some essential genes and accumulation of single nucleotide polymorphisms (SNPs) which could have functional implications [14].

About 85% of herds and 82% of humans live in close proximity in sub-Saharan Africa (SSA) in both rural and urban settings, driving the wide distribution of bTB compared to other global settings [15,16]. This is compounded by the inadequate sanitation practices such as the habit of sharing drinking water with beasts and consumption of nonpasteurized milk and dairy products [17–19] (. Despite the economic and public health

- 93 importance of bTB, little knowledge exists on the epidemiology and biology of *M. bovis*
- 94 in relation to the human adapted MTBC (hMTBC) lineages spanning *M. tuberculosis*
- 95 sensu stricto (Mtbss) and M. africanum (Maf) [20,21]. However, such information is
- 96 critical for development of effective control tools for bTB.
- 97 We determined the prevalence of bTB among pulmonary TB patients passively reporting
- 98 to selected TB diagnostic/treatment facilities in Ghana, determined potential risk factors
- 99 associated with bTB in Ghana and explored genomic similarities and differences among
- 100 M. bovis strains from around the globe, irrespective of the host, using whole genome
- 101 sequencing.

102 Materials and Methods

103 Ethical Statement and Participant Enrolment

The Institutional Review Board (IRB) of the Noguchi Memorial Institute for Medical
Research (NMIMR) with Federal Wide Assurance number FWA00001824 reviewed this

- 106 study and its protocols and accordingly gave ethical clearance in support of the work.
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108 Mycobacterial Isolation, Drug Resistance Profiling and Genotyping.

109 Smear-positive sputum samples from the selected health centers in the Northern and 110 Greater Accra regions of Ghana were decontaminated and inoculated on 2 pairs of 111 Lowenstein Jensen (LJ) slants; one pair supplemented with 0.4% sodium pyruvate (to 112 enhance growth of *M. bovis* and *M. africanum* (Maf)) the other with glycerol (for enhanced growth of *M. tuberculosis sensu stricto* (*Mtbss*) and incubated as previously 113 114 described [22]. MTBC cells growing in confluence were harvested and heat inactivated at 115 95 °C for 60 min in nuclease-free water. After heat inactivation, chromosomal DNA was 116 extracted using previously described protocol [23]. The isolates were confirmed as 117 MTBC by PCR amplification of IS6110 and spoligotyping was carried out for lineage 118 classification [24]. Isolates classified as *M. bovis* were confirmed with a large sequence 119 polymorphism (LSP) assay using PCR detection of deleted regions of difference RD9, 120 RD4 and RD12 [25]. Drug susceptibility testing against isoniazid (INH) and rifampicin 121 (RIF) was carried out using the micro-plate alamar blue assay [23,26].

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123 Whole Genome Sequencing and Phylogenetic Analysis

124 Whole genome sequencing of 5 candidate *M. bovis* isolates was carried out as previously 125 described [27]. The 5 genomes (ERR502499; ERR502526; ERR502529; ERR502538; ERR1203064) were added to a collection of 767 previously published clinical and 126 127 veterinary *M. bovis* genomes (supplementary data S1) from around the world for analysis. Sequence reads were mapped to the Mycobacterium bovis AF2122/97 reference genome 128 129 (NC0002945) using BWA (minimum and maximum insert sizes of 50 and 1000 130 respectively) [28]. Single nucleotide polymorphisms (SNPs) were called using SAMtools 131 mpileup and BCFtools (minimum base call quality of 50 and minimum root squared mapping quality of 30) as previously described [28,29]. Variant sites in the alignment 132 133 were extracted using snp-sites [30] and a maximum likelihood phylogenetic tree was 134 constructed using FastTree2 [31] (nucleotide general time-reversible tree). The resulting tree was annotated and rooted using iTOL [32] 135

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137 Comparative Mutational Analysis of Selected MTBC Core-Genes.

138 Coordinates of 147 MTBC core genes (Supplementary Table S2) previously reported to harbour amino acid mutations with phenotypic consequence on virulence and fitness of 139 some laboratory strains of the MTBC [33-40] were compiled from the Tuberculist 140 141 database [41]. Using the fasta file of H37Rv as reference, the paired end reads of the 5 142 Ghanaian M. bovis genomes, 257 M. africanum [27] and global collection of 20 MTBC 143 genomes [42] were screened for mutations within the compiled 147 core genes using 144 ARIBA with default settings [43]. Amino acid mutations found to be present only among 145 the 5 Ghanaian *M. bovis* genomes were suspected to be *M. bovis* specific. To confirm whether these mutations were widespread in M. bovis, the global collection of 767 146 147 clinical and veterinary *M. bovis* genomes (Supplementary data S1) was screened for these specific mutations using ARIBA as described above. We further classified these amino 148 149 acid mutations as *M. bovis*-specific if they were found in 100% of genomes in the global 150 collection or core *M. bovis* mutations if found in at least 99% of genomes.

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152 Statistical Analysis

Where applicable, chi-square and Fisher's exact tests were used to establish statistical significance. *P-values* less than 0.05 were considered statistically significant with 95% confidence.

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160 **Results**

161 Demography and Biological Associations of TB Patients infected with *M. bovis*

A total of 1755 MTBC isolates were obtained from 2074 smear positive TB patients 162 (84.6% isolation rate). Among the patients from whom a MTBC was isolated, 212 163 164 (12.1%) were from the Northern region and 1543 (87.9%) from the Greater Accra region as previously described [27]. Fifteen (0.9%) of the isolates were genotyped as *M. bovis* 165 166 whereas the remaining 1740 (99.1%) were members of the hMTBC (*Mtbss* and *Maf*). The average age of patients infected with M. bovis was 46.8 years (7 to 72 years) of which 167 168 12/1195 (1.0%) were from males compared to 3/560 (0.5%) from females (p = 0.412, OR = 1.9). Four (1.9%) of the isolates from the Northern region (n = 212) were *M. bovis* 169 170 compared to 11/1543 (0.7%) from the Greater Accra region (p = 0.0968, OR = 2.7). Among the patients with known HIV status (893; 50.3%), 119 (13.3%) were HIV-171 172 positive compared to 774 (86.7%) HIV-negative. The incidence of bTB among HIV and 173 non-HIV TB patients was 1.7% (2/119) and 0.5% (4/774) respectively with higher odds 174 of isolating *M. bovis* from HIV patients relative to non-HIV TB patients (OR = 3.3). Six TB patients including 1 herdsman, 1 herds owner and 4 butchers representing 40% of 15 175 patients with history of direct contact with livestock were infected with M. bovis. This is 176 significantly higher compared to 0.5% (9/1740) of *M. bovis* infected TB patients without 177 such history (p < 0.0001, OR = 124.4, 95% CI = 30.1-508.3) 178

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180 Drug Resistance Profile of *M. bovis* Isolates

181 Most of the *M. bovis* isolates (13) were susceptible to all the drugs tested except two 182 isolates resistant to INH and one isolate resistant to RIF (Table 1). The two INH resistant 183 isolates both had the S315T mutation in *katG* while the RIF resistant isolate had Q432P 184 and I1491S mutations in *rpoB*.

185 Table 1: Sensitivity of the MTBC Isolates to INH and RIF

Drug	Total (1755)	hMTBC (1740)	<i>M. bovis</i> (15)	P-value	OR	95%CI
INH ^r	133; 7.6%	131;7.5%	2;13.3%	0.3163	1.9	0.2-8.5
RIF ^r	16; 0.9%	15;0.9%	1;6.7%	0.1288	8.2	0.2-61.0
MDR	40 (2.3%)	40;2.3%	0;0.0%	-	-	-
ANY	189 (10.8%)	186;10.9%	3;20.0%	0.2139	2.1	0.4-7.8

NB: ANY: Total number of isolates resistant to at least one drug.

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188 Phylogenetic Distribution of Global Collection of *M. bovis*

189 The maximum likelihood phylogenetic tree of global collection of *M. bovis* spanning 190 both clinical and veterinary isolates rooted on Maf L6 as an outgroup shows random 191 distribution of both the clinical and veterinary M. bovis (Fig 1). The majority of the 192 global collection of *M. bovis* analyzed were isolated from animals (predominately cattle). 193 The *M. bovis* genomes of African origin (Ghana, Eritrea and South Africa) generally clustered together closest to the root of the phylogeny irrespective of the host. 194 195 Nevertheless, there were few *M. bovis* from South Africa which were sporadically 196 distributed far from the root of the tree. There were 2 major clusters of M. bovis from 197 New Zealand and one major cluster each from the United Kingdom, Mexico and the 198 United States of America. Interestingly, the 5 Ghanaian clinical M. bovis clustered 199 together as a monophyletic branch among the African *M. bovis* group (Fig 1).

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Fig 1: Phylogenetic tree of the Ghanaian clinical *M. bovis* amidst global collection of 767 published *M. bovis* genomes. The whole genome phylogeny of 767 publicly available *M. bovis* genomes together with 5 clinical *M. bovis* from Ghana rooted on *M.* africanum as an outgroup, shows the 5 Ghanaian clinical *M. bovis* genomes as a monophyletic group siting in a clade consisting mostly of other African M. bovis isolates basal to the rest of the dataset.

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209 In silico predicted M. bovis-Specific Amino Acid Mutations.

210 We identified 41 *M. bovis* restricted amino acid mutations among 32 core-genes of the 5 211 clinical M. bovis from Ghana when compared to 257 Maf [27] and 20 global MTBC 212 genomes [42] (Supplementary data S3). However, when we screened our global 213 collection of 772 M. bovis genomes (including the 5 from Ghana), only 8 of the 214 mutations were found in all genomes, 20 mutations in 99.22% to 99.87% of the genomes 215 and 7 mutations in 95.85% to 98.97% of genomes. A further 6 mutations (P6T in chaA, 216 G187E in mgtC, T35A in Rv1979c, S387A in narK1, L400F in fas and A563T in eccA1) were restricted to the 5 clinical *M. bovis* from Ghana (Fig 2; Supplementary Table S4; 217 218 Supplementary Figure S5).

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220 Figure 2: Distribution of selected core-gene amino acid mutations among *M. bovis*.

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0.65%

95.85-98.97%%

99.22-99.87%

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223 Among the 41 mutations identified uniquely among 32 core-genes M. bovis, 17 were among 15 essential genes associated with important physiological processes such as lipid 224 225 metabolism, cell wall and cell processes, intermediate metabolism, and cellular 226 respiration, virulence, detoxification and virulence as well as regulatory proteins (Table 227 2). These include *mcelA*, *phoT* and *eccA1* previously shown to be essential for the 228 growth of Mtbss L4 strain H37Rv in primary murine macrophages [35]. In addition, 229 mutations in other genes such as *pks6*, *pknD*, *pks4* and *glgP* have been shown to be 230 associated with no production of phthiocerol dimycocerosates (PDIM) among mutant strains [36], attenuation in the central nervous system of BALB/c mice [40], no 231 232 production of mycolic acid derivatives (mycolipanoic, mycolipenic and mycolipodienoic 233 acids) among mutant strains [38] and in vitro slow growth [34].

Gene	Common Name	Mutation	Proportion of M. bovis	Function	Essentiality	Reference
Rv0169	mcelA	P359S	100%	virulence, detoxification, adaptation	required for survival in primary murine macrophages required for growth in C57BL/6J mouse spleen	[35] [34]
Rv0405	pks6	A456fs	100%	lipid metabolism	transposon mutant does not produce phthiocerol dimycocerosate (PDIM) essential gene for in <i>Mtbss</i> CDC1551 strain	[36] [37]
Rv0820	phoT	F35L	100%	cell wall and cell processes	required for survival in primary murine macrophages in H37Rv	[35]
<i>Rv0931c</i>	pknD	L376fs	99.9%	Regulatory	mutant <i>Mtbss</i> CDC1551 is attenuated in the central nervous system of BALB/c mice	[40]
Rv1181	pks4	D505A	99.5%	lipid metabolism	essential gene in <i>Mtbss</i> CDC1551 strain mutant aggregates in liquid culture and does	[37]
KVI101	prs4	DJUJA	99.370	npiù metabolism	not produce mycolipanoic, mycolipenic, or mycolipodienoic acids	[38]
Rv1328	glgP	D532G	100%	intermediary metabolism and respiration	slow growth of <i>Mtbss</i> H37Rv mutant strain	[34]
Rv1522c	mmpL12	S947N	97.4%	cell wall and cell processes	essential gene for in vitro growth of <i>Mtbss</i> H37Rv	[44]
Rv1661	pks7	S1176P	95.9%	lipid metabolism	essential gene for in vitro growth of <i>Mtbss</i> H37Rv	[44] [34]
Rv1662	pks8	A808V	97.9%	lipid metabolism	essential gene for in vitro growth of <i>Mtbss</i> H37Rv	[44] [37]
Rv1662	pks8	D78Y	97.8%	lipid metabolism	essential gene for in vitro growth of <i>Mtbss</i> H37Rv	[44] [34]
Rv1662	pks8	Y1469C	99.6%	lipid metabolism	essential gene for in vitro growth of <i>Mtbss</i> H37Rv	[44] [34]
Rv2339	mmpL9	A44V	99.9%	cell wall and cell processes	essential gene for <i>in vitro</i> growth of <i>Mtbss</i> H37Rv	[44]
Rv2524c	fas	L400F	0.7%	lipid metabolism	essential gene in <i>Mtbss</i> H37Rv and CDC1551; essential gene for in vitro growth of <i>Mtbss</i> H37Rv	[34] [37] [44]
Rv2956	N.A	I237T	99.6%	information	essential gene for in vitro growth of <i>Mtbss</i>	[44]

234 Table 2: Description of *M. bovis*-Restricted Amino Acid Mutations among Essential Genes

				pathways	H37Rv	
Rv3282	N.A	A133S	99.7%	conserved hypothetical	Mtbss H37Rv mutants are slow growing	[34]
Rv3666c	dppA	E451G	97.8%	cell wall and cell processes	essential gene in Mtbss H37Rv	[34]
Rv3868	eccA1	A243V	99.5%	cell wall and cell processes	required for survival of <i>Mtbss</i> H37Rv in primary murine macrophages	[35]

236 Discussion

The global aim of reducing the impact of tuberculosis by the year 2030 cannot be 237 achieved without considering the impact of zoonotic transmission and biology of M. 238 bovis, the main causative agent of TB among cattle. The prevalence and incidence of bTB 239 among humans is significantly lower across the globe compared to TB caused by the 240 241 hMTBC [2]. Nevertheless, the association of bTB with compromised immunity and the 242 innate resistance of *M. bovis* to pyrazinamide (PZA) (one of the four first line anti-TB 243 drugs) underscore the need to adapt and implement TB control programs that encompass 244 both bTB and TB caused by the hMTBC. Compared to other geographical regions, Africa 245 has the highest burden of zoonotic transmission of bTB due to close contact of humans 246 and animals (domestic and wild-life) as well as relatively poor hygienic practices 247 [2,17,45–47]. We identified 15 M. bovis isolates among a total of 1755 MTBC isolated from pulmonary TB patients. Further molecular epidemiological analysis of these 248 249 together with global collections of *M. bovis* and hMTBC showed (1) an association 250 between close contact with livestock/animal carcasses and bTB infection in Ghana, (2) 251 clustering of *M. bovis* of African origin close to the root of the global phylogeny and (3) 252 the presence of M. bovis-specific amino acid mutations among both essential and non-253 essential core MTBC genes.

254 The finding of a significant association between bTB and close contact with animals (p < p255 0.0001) suggests zoonotic transmission and this calls for the implementation of 256 preventive policies and strategies to reduce zoonotic transmission of TB among these 257 high-risk groups [45]. This observation also calls for intensive education to create 258 awareness of the disease about the risks of infection, the detection of infected 259 animals/carcasses and prevention among farmers, butchers and the general population. Further emphasis should be placed on training butchers and animal handlers on the 260 261 importance of adequate infection control measures, including the use of personal protective equipment (PPE) and the disposal of infected organs to avoid transmission of 262 263 bTB among such personnel. An experienced butcher suffering from bTB in Australia gave an account of slaughtering many animals suspected of bTB and further cutting out 264 265 the lungs for over 35 years without any proper precaution [48]. Also, some butchers in 266 Nigeria, suffering from bTB, admitted eating visibly infected parts of the lung of cattle 267 out of ignorance in order to convince customers to buy meat [49]. These instances 268 highlight the importance of public education in the fight against bTB. This education 269 should include veterinarians because there are instances of these professionals getting

infected with bTB due to a lack of precautionary measures during execution of their work
as was the case of a veterinary surgeon who suffered cutaneous bTB after performing
several examinations without proper PPE [50].

Our observation also confirms the importance of the test and slaughter (TS) control strategy for bTB. In addition to pasteurisation of dairy products, bTB has been controlled in developed countries due to the successful implementation of the TS policy of all infected cattle and compensation of affected farmers by governments [51]. However, this has not been implemented in SSA due to the costs involved. Nevertheless, our findings call for a reconsideration of the TS strategy for bTB control in SSA and Governments must respond to this call.

280 We found the proportion of *M* bovis infected patients among participants from the 281 Northern region (1.9%) of Ghana to be relatively higher (OR =2.7) compared to those 282 from the Greater Accra region of Ghana (0.6%). The Northern region is home to over 283 70% of the national cattle population [52], confirming the observation that there is a relationship between close animal contact and bTB. Even though we found no clear 284 285 association between the *M. bovis* isolates and drug resistance and HIV infection, the 286 proportions were relatively higher than among the hMTBC. However, the lack of 287 association may be because of the relatively limited number of M. bovis isolates thus 288 further investigation using a larger number of isolates is required.

289 The global phylogeny of *M. bovis* clusters most of the *M. bovis* of African origin at the 290 root of the tree (Fig 1) which might be an indication that they are closest to the progenitor 291 of this successful member of the MTBC with the widest host range. However, the limited 292 number of genomes from Africa does not allow inference of ancestry. With the exception of the five clinical *M. bovis* from Ghana which clustered as a monophyletic branch at the 293 294 base of the tree, the random distribution of *M. bovis* irrespective of the speciation of the 295 host underscores the wide host range of *M. bovis* and indicates that there is no specific 296 host adaptation. However, the geographical distribution may suggest transmission of 297 specific clones within certain geographical locations which agrees with earlier reports 298 [53–55].

The identification and implications of *M. bovis*-specific amino acid mutations among genes such as *mce1A*, *phoT* and *eccA1* [35], *pks6* [36,38] as well as *glgP* [34] highlights the potential attenuated virulence of *M. bovis* relative to the hMTBC [56]. It would be interesting to test the effects of these mutations on fitness of mutants using *ex vivo* human cell lines or *in vivo* bovine models. In addition to the potential phenotypic implications of the identified mutations among essential genes, the 8 *M. bovis*-specific mutations could

be utilized in developing either a rapid lateral flow diagnostic tool or a PCR-based tool specific for differential diagnosis of bTB among TB patients to advice an appropriate treatment regimen since *M. bovis* is innately resistant to pyrazinamide, a component of the DOTS regimen.

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The scarcity of *M. bovis* genomes from African limited our ability to infer ancestry of the Ghanaian clinical isolates. Nevertheless, our data indicates a potential zoonotic transmission of bTB hence highlights the need for public education among people at risk. Moreover, the identified *M. bovis*-specific mutations could be utilized in the development of rapid diagnostic assays for differential diagnosis of bTB.

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- 538 List of Figure Legends
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- 540
- 541 Acknowledgements
- 542
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- 544
- 545 **Competing financial interests**
- 546 None declared
- 547
- 548 **Data availability.**
- 549 All the analyzed and/or generated data in this study are included in this article and its
- 550 supplementary information files.

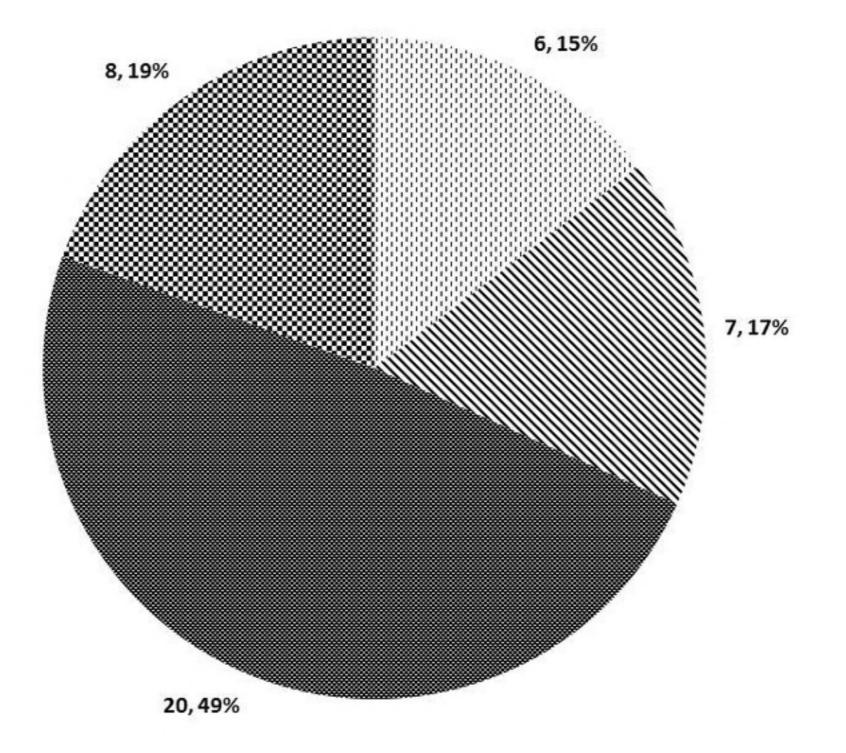


Figure 2

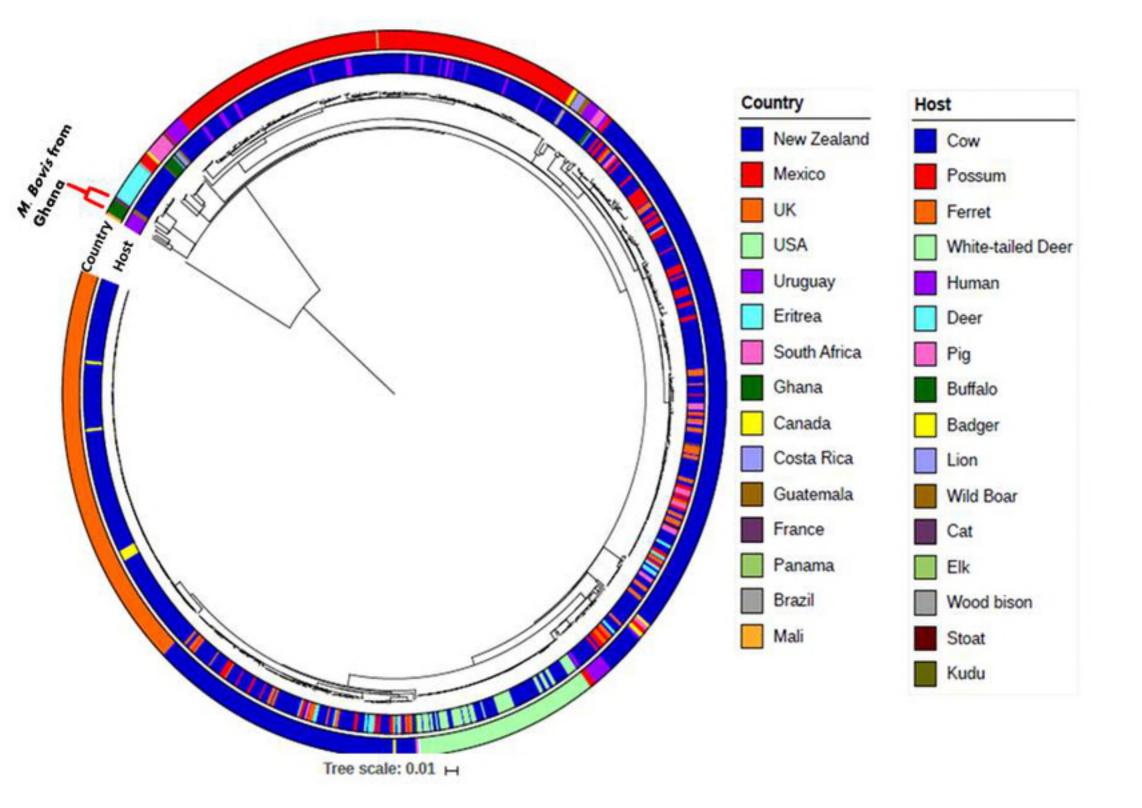


Figure 1