

1 **Cognitive functions and jugular venous reflux in severe mitral regurgitation**

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12

13 **Abstract**

14 Cardiac diseases with elevated central venous pressure have higher frequency of jugular venous
15 reflux (JVR), which is associated with decreased cerebral blood flow and white matter
16 hyperintensities. Whether patients with severe mitral-regurgitation (SMR) have poorer cognitive
17 functions and whether JVR is involved were determined. Patients with SMR and age/sex-matched
18 controls were prospectively recruited. Neuropsychological tests such as global cognitive
19 (Mini-Mental State Examination, MMSE), verbal memory, executive, and visuospatial domains were
20 performed. Cardiac parameters by cardiac catheterisation and echocardiography, and the frequency
21 of JVR by colour-coded duplex ultrasonography were obtained. Forty patients with SMR and 40
22 controls (71.1±12.2, 38–89 years; 75% men) were included. Compared with the controls, patients
23 with SMR had lower scores in all neuropsychological tests but only MMSE and visuospatial test
24 scores were statistically significant after adjusting for age, sex, and educational level. We further
25 adjusted for cardiovascular risk factors; the significance remained in the visuospatial test but
26 diminished in MMSE. Multivariate linear regression analyses adjusted for age, sex, and educational
27 level showed that JVR combined with high right-atrial-pressure (RAP > 50th-percentile, 12 mmHg)
28 was significantly associated with poorer performances in both MMSE [right JVR: B coefficient(95%
29 confidence interval, p)=-2.83(-5.46–0.20, 0.036); left JVR: -2.77(-5.52–0.02, 0.048)] and
30 visuospatial test [right JVR: -4.52(-8.89–0.16, 0.043); left JVR: -4.56(-8.81–0.30, 0.037)], with
31 significances that remained after further adjusting for cardiovascular risk factors. Our results suggest

32 that retrogradely-transmitted venous pressure might be involved in the mechanisms mediating the

33 relationship between cardiac diseases and brain functions.

34

35

36 **Introduction**

37 Cerebral venous drainage impairment with elevated venous pressure would decrease cerebral
38 blood flow (CBF), damage the blood–brain barrier (BBB), and lead to brain dysfunctions [1,2].
39 Internal jugular vein (IJV) is the largest extracranial vein for cerebral venous drainage [1]. Jugular
40 venous reflux (JVR) indicates a retrograde flow in IJV, which usually occurs when the reversed
41 pressure gradient was elevated beyond the capacity of the IJV valves [3]. We previously showed that
42 during Valsalva’s manoeuvre (VM), people with JVR would decrease CBF and dilate retinal
43 venules more than ones without JVR [4,5]. In addition, JVR has been found to be associated with
44 white matter hyperintensities (WMH) in the elderly people [6]. These results indicate that JVR might
45 influence CBF, cerebral microvessels, and brain tissues via elevated venous pressure retrogradely
46 transmitted into the cerebral venous system.

47 Continuous or repeated elevated venous pressure proximal to IJV might result in wear and tear
48 of the IJV valves and lead to valvular incompetence [3,7,8]. Indeed, certain cardiac diseases with
49 increased central venous pressure such as heart failure or valvular heart disease have a higher
50 frequency of JVR [7,8]. Recently, the number of studies that have reported cognitive impairment in
51 patients with cardiac diseases has been increasing [9]. However, whether cerebral venous return
52 status is a factor involved in the relationship between cardiac diseases and cognitive function remains
53 to be elucidated. The present study compared neuropsychological performances between patients
54 with severe mitral valve regurgitation (SMR) and age- and sex-matched normal controls. Patients

55 with SMR will encounter pulmonary venous hypertension at the beginning, followed by combined
56 pre and post-capillary pulmonary hypertension during disease progression [10,11]. The right
57 ventricular pressure, as well as the right atrial pressure (RAP), increased thereafter, which may lead
58 to IJV valvular incompetence and compromise the cerebral venous return [10,11]. We hypothesised
59 that patients with SMR have poorer cognitive functions and the presence of JVR and/or elevated
60 RAP might be associated with cognitive impairment in these patients.

61

62 **Materials and Methods**

63 Study population

64 Patients with SMR, referred for surgical intervention in a tertiary medical centre, were eligible
65 for this study. Every patient underwent transthoracic and transoesophageal echocardiography and
66 cardiac catheterisation to confirm the diagnosis and to evaluate the feasibility for surgery. Patients
67 who had disease durations of 1 year or longer from the initial diagnosis to the time of catheterisation
68 and echocardiography were included. Among the eligible patients, those who met the following
69 criteria were excluded from this analysis: (1) had concomitant severe aortic valve disease, mitral
70 stenosis, acute coronary syndrome, or pericardial disease; (2) had unstable haemodynamics, or New
71 York Heart Association functional class IV symptoms; (3) had existing neurological diseases, such
72 as stroke, brain tumour, dementia, or other neurodegenerative diseases; and (4) had significant
73 stenosis (>50%) over the cervical internal carotid and vertebral arteries using neck duplex

74 sonography. Cardiac diseases other than mitral valvular disease were excluded because they might
75 have different mechanisms and effects on the cognitive functions. A total of 40 individuals were
76 included based on these criteria. We also recruited 40 age- and sex-matched normal controls from
77 outpatients who visited our neurological clinics. These normal controls had no cardiac, neurological,
78 or malignant medical histories.

79 Cardiovascular risk factors were either measured or assessed through self-report. The presence
80 of hypertension was determined by a self-report of current antihypertensive medication prescription
81 or by a measurement of either systolic BP of ≥ 140 mmHg or diastolic BP ≥ 90 mmHg [12]. Diabetes
82 mellitus (DM) was defined by either a self-report of current DM medication or a measurement of
83 haemoglobin A1c (HgbA1c) of $\geq 6.5\%$ [13]. Chronic kidney disease (CKD) was defined according to
84 an estimated glomerular filtration rate (eGFR) of ≤ 60 mL/min/1.73 m² [14]. The design of this study
85 was reviewed and approved by the institutional review board of Taipei Veterans General Hospital.

86

87 Cardiac Catheterisation

88 Cardiac catheterisation was performed in all patients with SMR using a percutaneous approach
89 via the radial artery for coronary angiogram and right IJV for right heart catheterisation. Data of
90 mean pulmonary artery wedge pressure (PAWP), pulmonary artery pressure (PAP), right ventricular
91 pressure (RVP), RAP, mixed venous oxygen saturation (SvO₂), and cardiac output were obtained.
92 Cardiac output was then divided by body surface area (BSA) to obtain the cardiac index.

93

94 Echocardiography

95 A comprehensive two-dimensional, M-mode, and Doppler echocardiogram was performed by a
96 skilled echocardiographer using commercially available echocardiographic devices (Philip IE33,
97 Andover, MA, USA) following a standardised protocol. The severity of mitral regurgitation was
98 evaluated according to the AHA/ACC guideline, and an effective regurgitant orifice of $\geq 0.4 \text{ cm}^2$ was
99 referred as SMR [15]. Both left and right heart structures and functions were obtained, including left
100 ventricular end-diastolic and end-systolic dimension, left atrial dimension, left atrial volume, and
101 estimated right ventricular systolic pressure. Left ventricular ejection fraction (LVEF) was obtained
102 using biplane Simpson's method, and left ventricular mass was measured using the area-length
103 method. The peak trans-mitral filling velocity at early diastole (E), septal mitral annulus moving
104 velocity at early diastole (e'), and E/ e' ratio were also obtained. All parameters were measured in
105 triplicate and averaged according to the guideline of the American Society of Echocardiography.
106 Decompensated heart failure was defined as reduced LVEF ($< 35\%$) with chronic clinical symptoms
107 (≥ 6 months) of New York Heart Association functional class III–IV.

108

109 Colour-coded duplex ultrasonography: JVR determination

110 Neck colour-coded duplex sonography was performed in all patients with SMR using a 7-MHz
111 linear transducer (iU22; Philips, New York, NY, USA) by the same technician who was blinded to

112 subjects' characteristics. On examination, subjects were in a head-straight, flat supine position after a
113 quiet 10-min rest. The IJV was initially insonated longitudinally and thoroughly from the proximal
114 part of the neck base rostrally to the distal part of the submandibular level to detect any possible
115 spontaneous JVR at baseline. Then, the VM was performed by forcible expiration by the subject via
116 the mouth into a flexible rubber tube connected to a manometer. Subjects were asked to reach the 40
117 mmHg Valsalva pressure and maintain it for at least 10 s. During the VM, the distal margin window
118 of the colour signal was placed at the tip of the flow divider of the internal carotid artery. The
119 coloured box was adjusted to include the entire lumen of the IJV; if retrograde colour appeared in the
120 centre of the lumen, the retrograde flow would then be confirmed by Doppler spectrum. JVR was
121 determined when the retrograde-flow colour in the centre of the lumen and the Doppler-flow
122 waveform demonstrated reversed flow for >0.5 s spontaneously or/and during VM [3-6].

123 Routine cervical arterial examination including examination of internal carotid and vertebral
124 arteries was also performed in all patients with SMR.

125

126 Cognitive Function Assessment

127 All patients with SMR and normal controls underwent a face-to-face neuropsychological
128 examination carried out by trained interviewers. In addition to the global cognitive performance,
129 which was examined using the Mini-Mental State Examination (MMSE), three different cognitive
130 domains (verbal memory, visuospatial function, and executive function) were assessed using

131 extensive neuropsychological tests as follows:

- 132 - Verbal memory: delayed (10 min) free recall in the Chinese Version of the Verbal Learning Test
133 (CVVLT) [16].
- 134 - Visuospatial function: the copy of the Taylor complex figure test [17].
- 135 - Executive function: digit backward test [18].

136

137 Statistical analysis

138 Analyses were performed using SPSS software (v22.0, IBM, Armonk, NY, USA). All
139 continuous variables are described as mean \pm standard deviation (SD) and discrete variables as
140 percentages. Comparisons of case and control were made using non-parametric Mann–Whitney tests.
141 When appropriate, chi-square (χ^2) or Fisher’s exact tests were performed for categorical variables.
142 Univariate and multivariate linear regression analyses of neuropsychological test scores as the
143 dependent variable were performed. Adjusted confounding factors were age, sex, educational level,
144 and cardiovascular risk factors (hypertension, DM, hyperlipidaemia, cigarette smoking, alcohol
145 consumption, and CKD).

146 To test our postulation that cerebral venous return status might be involved in the relationship
147 between cognitive impairment and SMR, we analysed the hemodynamic parameters that may affect
148 cerebral venous return, e.g., the RAP and presence of JVR, as independent variables individually.
149 We also used the 50th percentile of the mean RAP, with 12 mmHg as a cut-off point. Three kinds of

150 binary category variables, (1) $RAP \geq$ and <12 mmHg, (2) the presence or absence of JVR, and (3)
151 the presence or absence of combined JVR and high RAP (≥ 12 mmHg), were individually analysed as
152 independent variables. Furthermore, since decreased cardiac output is commonly postulated as a
153 contributor to cognitive impairment in cardiac diseases, we also put cardiac index and LVEF into
154 analyses.

155

156 **Results**

157 Table 1 shows the demographics and neuropsychological test scores of 40 patients with SMR
158 and 40 age-/sex-matched control. The patient group had higher frequency of cardiovascular risk
159 factors, except cigarette smoking; however, the difference was statistically significant only in the
160 frequency of CKD. Among the patients with SMR, 10 (25%) had decompensated heart failure.

161 The patient group had lower scores in all neuropsychological tests compared with control group,
162 but only statistically significant in MMSE and Taylor complex figure test and borderline significant
163 in digit backward test after adjusting for age, sex, and educational level. We further adjusted for
164 cardiovascular risk factors, with significance remaining in the Taylor complex figure test ($p = 0.046$),
165 but lower in MMSE ($p = 0.058$).

166 Table 2 shows the hemodynamic parameters measured by cardiac catheterization and the
167 frequency of JVR detected by color-coded duplex ultrasonography in SMR patients. An elevated
168 mean RAP and high frequency of JVR were observed in patients with SMR.

169 We then performed multivariate analyses to test which haemodynamic parameter was
170 associated with poorer cognitive domains, e.g., MMSE and the Taylor complex figure test, in
171 patients with SMR (Table 3). Multivariate analyses adjusted for age, sex, and educational level
172 showed that cardiac index, LVEF, mean RAP, high mean RAP (≥ 12 mmHg), or presence of right or
173 left JVR were not associated with the MMSE and Taylor complex figure test scores. However, JVR
174 combined with high mean RAP was significantly associated with poorer performances both in
175 MMSE and Taylor complex figure test. The significances remained after further adjusting for
176 cardiovascular risk factors. We also divided patients into four groups according to the presence of
177 absence of JVR and high mean RAP. Fig 1 shows the mean scores of MMSE and Taylor complex
178 figure test of the four groups. Cognitive functions in patients with isolated JVR or high mean RAP
179 were not poorer than those with the absence of JVR and high mean RAP; however, JVR combined
180 with high mean RAP had the lowest scores in both MMSE and Taylor complex figure test among the
181 four groups. Multivariate analyses showed that patients in the group of JVR combined with high
182 mean RAP had significantly poorer performances in both MMSE and Taylor complex figure test
183 compared with those in the other three groups.

184

185 **Discussion**

186 The main findings were that patients with SMR had (1) poorer global cognitive (MMSE) and
187 visuospatial (the Taylor figure test) functions compared with those in normal controls and (2) JVR

188 combined with high RAP was associated with these cognitive impairments.

189 We previously reported that the prevalence of JVR in the general population (16–89 years old)
190 is approximately 18–36% on the right side and 6–29% on the left side [19]. The present study
191 showed a high frequency of JVR (50–55%) in patients with SMR. Chronic SMR with a continuous
192 or repeated elevated central venous pressure might wear and tear the IJV valves and lead to valvular
193 incompetence. This postulation is supported by a high RAP found in our SMR patients and the other
194 studies showing a higher frequency of JVR in heart failure or tricuspid valve disease which have
195 elevated central venous via elevated RAP.

196 Although retrogradely transmitted venous pressure by JVR has been shown to reach the
197 cerebral venous system and influence CBF [3-6], the extent of induced cerebral venous hypertension
198 is milder than that of the other conditions, such as dural arteriovenous fistula (DAVF) [20-22].
199 Therefore, compared with diffuse cerebral white matter hyperintensities (WMH) caused by DAVF
200 [20-22], JVR is only associated with WMH over caudal brain (occipital, thalamus, and infratentorial
201 brain regions) in which venous drainage pathway is closer to IJV [6]. In addition, age is needed to
202 enhance JVR-related brain insults; JVR is associated with the severity of WMH only in people aged
203 ≥ 75 years [6]. The present study had similar observations. Merely the presence of JVR was not
204 associated with SMR-related cognitive impairment; nevertheless, with the additional high RAP, JVR
205 was associated with poorer cognitive performances, global cognitive (MMSE), and visuospatial (the
206 Taylor figure test) functions in patients with SMR (Fig 1). Our results lead to the postulation that

207 high RAP related to heart failure has limited influence on the brain if IJV valves are competent; JVR
208 with high RAP can cause brain dysfunction via retrogradely transmitted venous pressure only when
209 IJV valves are incompetent (Fig 2).

210 Several studies on brain–heart axis have emerged, and they have shown a relationship between
211 cognitive impairment and cardiac diseases [9]. Most studies were focusing on heart failure and little
212 on the effect of mitral valve disease on cognitive functions [9,23]. Our results showed that compared
213 with age- and sex-matched normal controls, patients with SMR had poorer global cognitive
214 performance (MMSE) and visuospatial function (Taylor figure test) after adjusting with educational
215 level. The diminished significance of association in MMSE after adjusting for cardiovascular risk
216 factors suggests that more prevalent cardiovascular risk factors such as hypertension, DM, and CKD
217 might be contributors to poorer global cognitive function in SMR. Notably, the anatomic correlations
218 of visuospatial function impairment, significantly and independently associated with SMR, include
219 the occipital lobe, which is one of the JVR-susceptible regions [6]. This result also supports our
220 postulated mechanism mediating the cognitive impairment in SMR (Fig 2).

221 Cerebral circulation includes artery supply and venous drainage. Both of them are responsible
222 for adequate CBF and brain metabolic homeostasis [2,24]. Recently, several studies have indicated
223 that, in addition to maintaining adequate CBF and BBB function, waste and lymphatic clearance are
224 dependent on cerebral venous drainage [25-27]. However, a greater proportion of studies are
225 focusing on the arterial side, e.g., cardiac output, when evaluating the relationship between the

226 circulation (heart) and the brain [9]. Results of the present study indicate a role of the venous side in
227 the impact of cardiac disease on brain dysfunction. We did not find associations between parameters
228 reflecting the arterial side, such as cardiac index and LVEF and cognitive functions in patients with
229 SMR. Our results are consistent with those of a recent study [28]. They investigated the association
230 between various cardiac haemodynamic parameters and the volume of WMH in chronic valvular
231 heart disease such as mitral valve regurgitation (43.1% of the study population) and found that RAP
232 is associated with WMH. In their results, instead of cardiac index, LVEF, and other cardiac
233 hemodynamic parameters, only the mean RAP is significantly, independently, and linearly associated
234 with the WMH volume. However, they did not investigate the neurological functions and
235 competence of IJV valves in those patients. The role of JVR on these valvular heart disease-related
236 WMHs and whether WMH is associated with cognitive impairment as shown in our study were
237 unclear.

238 The present study has limitations. The study sample size was relatively small. In addition, the
239 cross-sectional study setting could not establish a causal relationship. Therefore, a larger and
240 longitudinal study is necessary to validate our postulation. In addition, more investigated tools such
241 as brain imaging are needed to further evaluate the underlying mechanisms between the cerebral
242 venous drainage impairment and cognitive abnormalities in SMR.

243

244 **Conclusions**

245 Patients with SMR had poorer cognitive function, particularly in the visuospatial domain, and
246 JVR combined with high RAP was associated with poorer visuospatial function in these patients.
247 The results suggest that retrogradely transmitted venous pressure but not low cardiac output might be
248 involved in the mechanisms mediating the relationship between valvular heart disease and brain
249 functions. In addition to management for decreasing RAP, IJV valve repair might be a potential
250 treatment option for cardiac disease-related brain dysfunctions.

251

252 **Acknowledgements**

253 The authors received grants from Ministry of Science and Technology and Taipei Veterans
254 General Hospital, Taiwan (Chung: VGH V105C-055; MOST 104-2314-B-075-MY3; Wang: NSC
255 101-2314-B-010; NSC 102-2314-B -010-051-MY2; Taipei VGH V104C-059).

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334 **Figure Legends**

335 **Figure 1. Cognitive functions in four groups of patients with severe mitral valve regurgitation**
336 **classified according to the presence or absence of jugular venous reflux and high right atrial**
337 **pressure.**

338 **Figure 2. Postulated role of jugular venous valve incompetence (jugular venous reflux) in the**
339 **mechanisms mediating the relationship between cardiac diseases with elevated right atrial**
340 **pressure and cognitive impairment.**

341

342

343 **Table 1. Comparisons of demographics and cognitive functions between patients with severe**
 344 **mitral regurgitation and normal controls**

	SMR (n = 40)	Control (n = 40)	P
Age, years, mean (SD, range)	71.1 (12.2, 38-89)	71.1 (12.2, 38-89)	-
Sex, man, n (%)	30 (75.0)	30 (75.0)	-
Education, years, mean (SD)	10.6 (4.8)	10.3 (4.9)	0.903
Hypertension, n (%)	22 (55.0)	15 (37.5)	0.178
Diabetes mellitus, n (%)	8 (20.0)	5 (12.5)	0.546
Hyperlipidemia, n (%)	10 (25.0)	3 (7.5)	0.066
Cigarette smoking, n (%)	12 (30.0)	13 (32.5)	1.000
Chronic kidney disease, n (%)	20 (50.0)	5 (5.0)	<0.001
Age, sex, education adjusted			
MMSE, mean (SD)	26.1 (5.1)	27.8 (2.4)	0.020
Verbal memory: CVVLT 10 min, mean (SD)	6.4 (3.0)	6.9 (1.8)	0.387
Executive function: digit backward test, mean (SD)	5.4 (2.7)	6.4 (3.0)	0.081
Visuospatial function: the Taylor complex figure test, mean (SD)	29.8 (6.9)	31.9 (4.0)	0.040

345 SMR = severe mitral regurgitation; CVVLT = Chinese Version of the Verbal Learning Test.

346 **Table 2. Hemodynamic parameters in patients with severe mitral regurgitation**

LV ejection fraction, %, (SD, range)	47.3 (12.6, 25-70)
Cardiac index, l/min/m ² , (SD, range)	3.5 (1.0, 2.1-6.1)
PAWP, mmHg, (SD, range)	25.2 (10.4, 9-47)
PAP, mmHg, (SD, range)	34.7 (10.7, 17-59)
RVP, mmHg, (SD, range)	12.3 (7.3, 1-34)
RAP, mmHg, (SD, range)	11.9 (5.9, 4-24)
Right JVR, n (%)	20 (50.0)
Left JVR, n (%)	22 (55.0)

347 LV = left ventricle; PAWP = pulmonary artery wedge pressure; PAP = pulmonary artery pressure;

348 RVP = right ventricular pressure; RAP = right atrial pressure; JVR = jugular venous reflux.

349

350 **Table 3. Associations of cardiac parameters with cognitive functions in patients with severe**
 351 **mitral regurgitation**

	Mini-Mental Status Examination			
	B (95% CI)	<i>P</i> ^a	B (95% CI)	<i>P</i> ^b
LVEF	0.04 (-0.08-0.16)	0.532		
Cardiac index	-1.03 (-2.77-0.72)	0.232		
RAP	-0.05 (-0.27-0.17)	0.640		
RAP > 12 mmHg	-1.33 (-3.79-1.13)	0.278		
Right JVR	-1.14 (-4.49-2.21)	0.494		
Left JVR	-0.72 (-4.04-2.61)	0.664		
Right JVR & RAP > 12 mmHg	-2.83 (-5.46-0.20)	0.036	-3.05 (-5.92-0.19)	0.038
Left JVR & RAP > 12 mmHg	-2.77 (-5.52-0.02)	0.048	-2.96 (-5.89-0.02)	0.048
	Visuospatial function: the Taylor complex figure test			
	B (95% CI)	<i>P</i> ^a	B (95% CI)	<i>P</i> ^b
LVEF	0.10 (-0.05-0.28)	0.237		
Cardiac index	0.10 (-2.58-2.79)	0.936		
RAP	-0.08 (-0.44-0.28)	0.648		
RAP > 12 mmHg	-0.92 (-4.93-3.10)	0.642		

Right JVR	-1.51 (-6.11-3.09)	0.507		
Left JVR	-3.05 (-7.47-1.37)	0.169		
Right JVR & RAP > 12 mmHg	-4.52 (-8.89-0.16)	0.043	-4.93 (-9.56-0.30)	0.038
Left JVR & RAP > 12 mmHg	-4.56 (-8.81-0.30)	0.037	-4.96 (-9.40-0.52)	0.030

352 LVEF = left ventricle ejection fraction; RAP = right atrial pressure; JVR = jugular venous reflux; B
353 = B coefficient; CI = confidence interval.

354 ^aadjusted for age, sex and education years. ^badjusted for age, sex, education years and cardiovascular
355 risk factors (hypertension, diabetes mellitus, hyperlipidemia, cigarette smoking, alcohol consumption,
356 and chronic kidney disease).

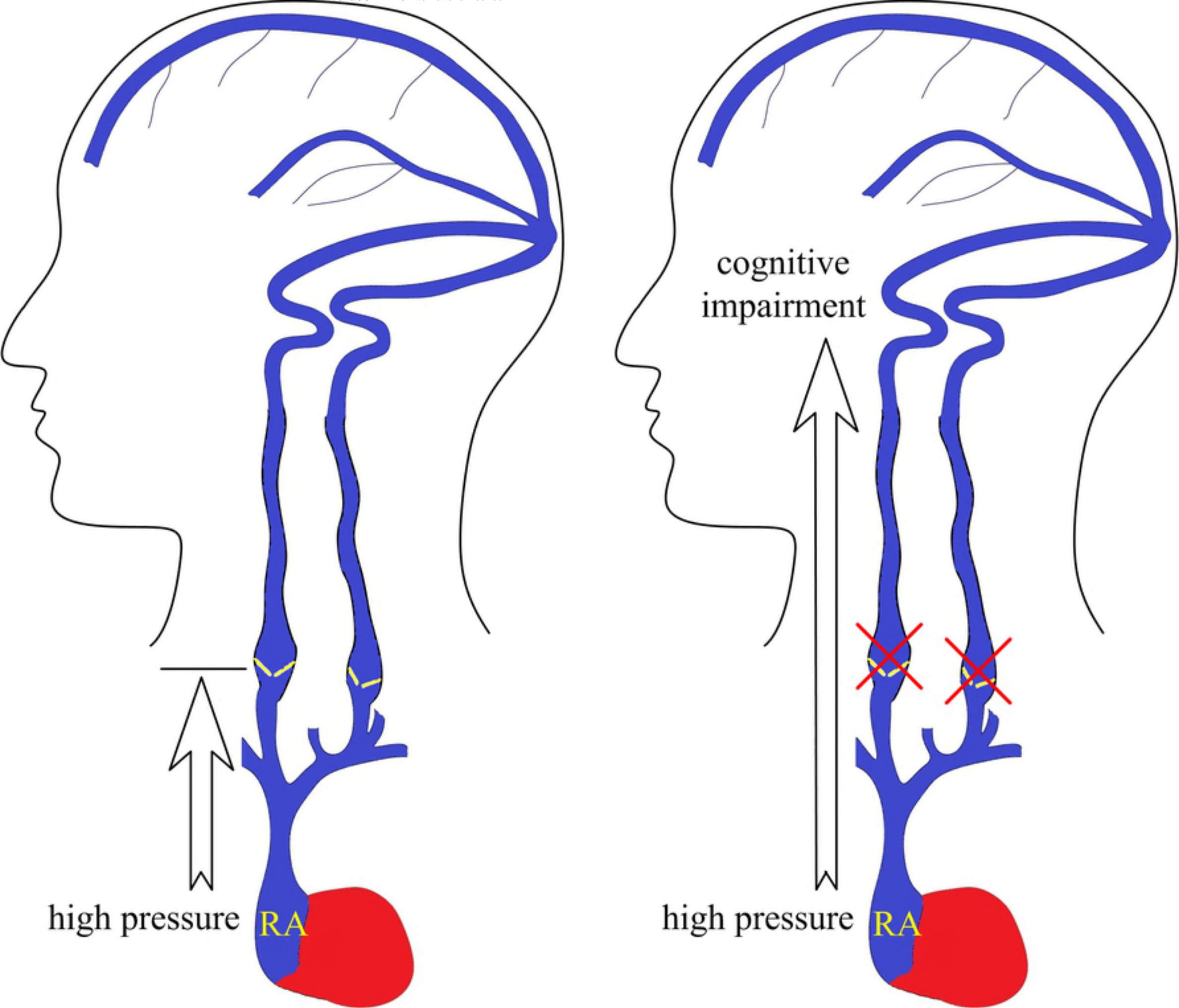


Figure 2

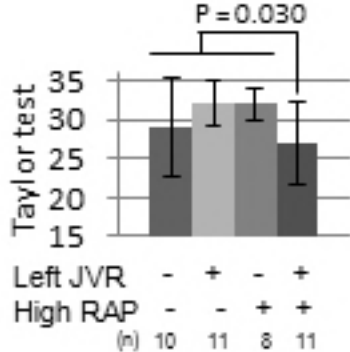
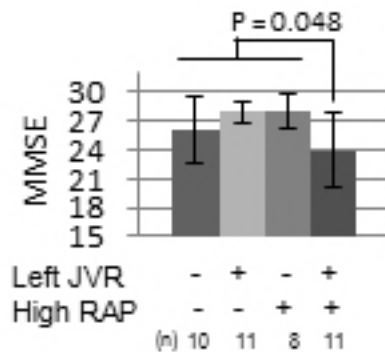
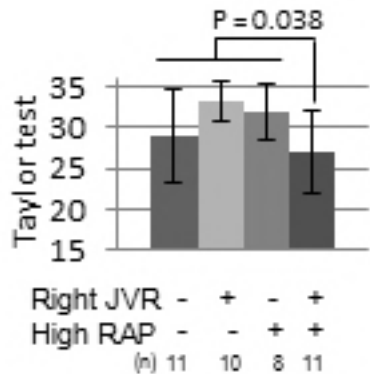
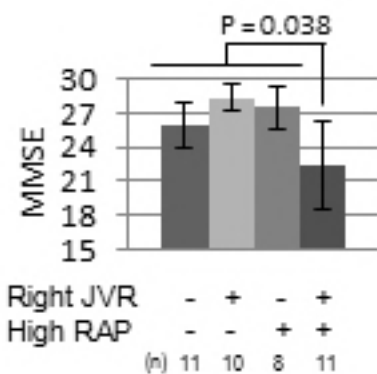


Figure 1