Low-cost, sub-micron resolution, wide-field computational microscopy using opensource hardware

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11 **ABSTRACT**

12 The revolution in low-cost consumer photography and computation provides fertile opportunity for a disruptive reduction in the cost of biomedical imaging. Conventional 13 14 approaches to low-cost microscopy are fundamentally restricted, however, to modest field of 15 view (FOV) and/or resolution. We report a low-cost microscopy technique, implemented with 16 a Raspberry Pi single-board computer and color camera combined with Fourier ptychography 17 (FP), to computationally construct 25-megapixel images with sub-micron resolution. New 18 image-construction techniques were developed to enable the use of the low-cost Bayer color 19 sensor, to compensate for the highly aberrated re-used camera lens and to compensate for 20 misalignments associated with the 3D-printed microscope structure. This high ratio of 21 performance to cost is of particular interest to high-throughput microscopy applications, 22 ranging from drug discovery and digital pathology to health screening in low-income countries. 23 3D models and assembly instructions of our microscope are made available for open source 24 use.

25 Introduction

26 Low-cost, high-performance portable microscopes are essential tools for disease diagnosis in 27 remote and resource-limited communities [1]. A fundamental requirement is to combine wide 28 field of view (FOV) with the high resolution necessary for imaging of sub-cellular features of 29 biological samples. This underpins efficient inspection of extended, statistically-significant 30 areas for screening of, for example, cancer, malaria, or sickle cell anemia [2]. In conventional 31 imaging, the number of pixels in the detector array constitutes a hard limit on the space-32 bandwidth product (SBP – the number of pixels in a Nyquist-sampled image) [3,4] so that 33 increased FOV can be achieved only at the expense of reduced spatial resolution. SBP can be 34 increased using larger detector arrays coupled with higher-performance, wide-field aberration-35 corrected optics, or by mechanical scanning, but these approaches add complexity, cost and 36 bulk [5,6].

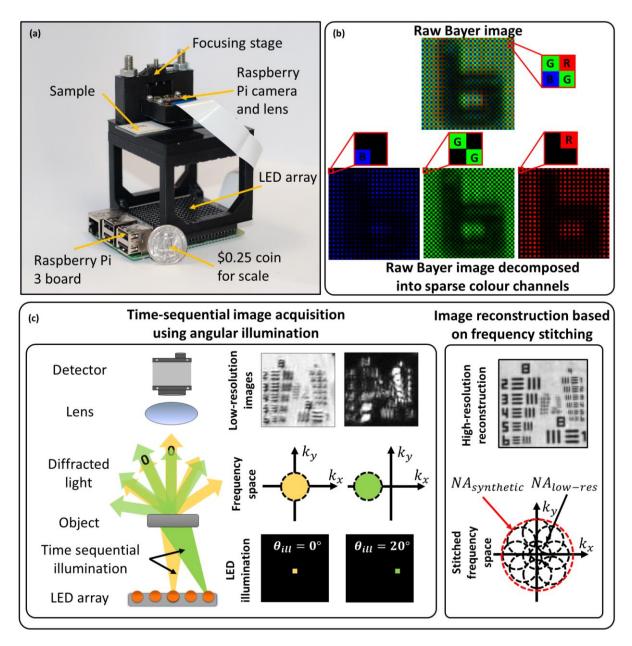
Several low-cost portable microscopes have been proposed [7–12], but they all suffer from the problem of small SBP. Early progress towards low-cost microscopy has involved the use of a high-cost microscope objective lens coupled to a mobile-phone camera [7] and such instruments tend to suffer from a higher system cost, vignetting, short working distance, small depth of field (DOF) and narrow FOV. Lower-cost implementations have been reported in which the microscope objective is replaced by a camera lens from a mobile phone [8], or a ball lens [9], but their resolving power is limited by the small numerical aperture (NA) and high

aberrations. Of these implementations, the use of mobile-phone camera lenses as objectives places an upper limit on the SBP: for example a 4- μ m spatial resolution across 9mm² FOV corresponding a SBP of 2.25Mpixel [8]. The 4- μ m resolution is insufficient for observing subcellular features and while a higher NA can be obtained using ball lenses, providing a resolution around 1.5 μ m, they suffer from small SBP [8,13].

49 We report a low-cost, wide-field, high-resolution Fourier-ptychographic microscope 50 (FPM) [14], implemented with 3D-printed opto-mechanics and a Raspberry Pi single-board 51 computer for data acquisition as shown in Fig. 1(a). High-SBP images are constructed from 52 multiple low-resolution, detector-SBP limited images, captured in time-sequence using oblique 53 illumination angles yielding a SBP that is much greater than that of the detector. We 54 demonstrate 25-Megapixel microscopy using a 4-Megapixel detector array. The tilted 55 illuminations provide translations of higher spatial-frequency bands into the passband of the 56 objective lens [15]. Stitching of images in the frequency domain is implemented using an 57 iterative phase-retrieval algorithm to recover high-resolution amplitude and phase of the 58 sample image [16,17], as well as aberrations due to the objective [14]. Recovery of phase 59 information enables imaging of unstained transparent samples [18] and computational 60 calibration of illumination angles during image reconstruction is able to correct errors arising 61 from misalignment of various components [19,20], which is of particular importance for 62 microscopy using low-cost 3D-printed devices.

In previous demonstrations of a low-cost 3D-printed FPM, the SBP was limited by the 63 64 severe off-axis aberrations of the mobile-phone camera lens (1.5 µm resolution across 0.88mm² 65 FOV giving a SBP of 1.56Mpixels), and employed a science-grade, high-cost monochrome sensor [21]. Exploiting the mass market for consumer color sensors in mobile phone cameras, 66 we demonstrate the first use of a low-cost consumer color camera in FPM, to gain more than 67 68 an order-of-magnitude cost reduction for an equivalent SBP. The main difference between the 69 two sensor types is the spatial-spectral filtering provided by the Bayer filter array, which 70 encodes recorded images into sparse red, green, and blue channels. While the decoding 71 processes follows a standard demosaicing procedure (individual RGB channels are interpolated 72 and stacked into a 3D matrix), the loss in image information due to sparse sampling requires 73 special treatment within the FPM reconstruction algorithm. We address the sparse sampling 74 problem and present new robust algorithms for calibrating the 3D printed system for high-75 quality image reconstruction. In addition, the Raspberry Pi single-board computer used for 76 controlling the camera and illumination LEDs performs autonomous data acquisition, 77 providing portability and compactness, such as is required for use inside incubation systems.

In the next section, simulations to study the impact of the Bayer filter array and the experimental results from our system are presented. Implications of the results and future directions are discussed in the later sections. The methods section includes descriptions of the experimental setup, data-acquisition, data processing and calibration procedures. We also include the necessary CAD files and an instruction set to build the FPM presented in this article (supplementary material S1).



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Figure 1. (a) Experimental setup next to a quarter US dollar for scale. *Raspberry Pi 3* single-board computer board (placed at the bottom) enables wireless image acquisition and data transfer without the need for a PC. (b) Bayer color filter array indicating RGGB pixel arrangement. (c) In FPM several lowresolution images are obtained in time sequence, each illuminated with a corresponding to the object illuminated from a different angle. Angular diversity enables to obtain multiple frequency regions, which can be stitched together into a single high-resolution, wide-field image.

92 Results

93 The Raspberry Pi camera (a low-cost device that complements the Raspberry Pi computer)

94 employs a low-cost CMOS sensor, such as is typically found in mobile phones. It employs a

Bayer filter (red, green and blue filters arranged on a 2D matrix in a 2x2 RGGB pattern [22]

96 (Fig. 1(b))). This divides pixels on the sensor between the three color-filters resulting in

97 sparsely sampled images: red channel – 75% empty pixels, green channel – 50% empty pixels

98 and blue channel – 75% empty pixels. The empty pixels are demosaiced (using bilinear

99 interpolation) to produce a perceptually acceptable photographic image.

100 In FPM, the reconstruction algorithm [18] (see Methods) involves a step to iteratively 101 recover amplitude and phase of the low-resolution images, where the estimated amplitude is replaced by the experimentally obtained images. In color cameras, the experimental image has 102 103 empty pixels (due to the Bayer filter) whose values are unknown. We have considered two 104 approaches for mitigation of the sparse sampling due to the Bayer filter. The first, a sparsely-105 sampled reconstruction (SSR) algorithm [23], updates only the non-empty image pixels, 106 relying on the FPM reconstruction to estimate the empty image pixels. This approach increases 107 the number of unknowns in the system and can have slower convergence or failure to converge. 108 In a second approach, the empty pixels are estimated instead from demosaicing enabling the 109 use of a conventional FPM recovery; we refer to this approach as *demosaiced reconstruction* (DR). With DR the interpolation errors introduced in demosaicing can introduce artefacts in 110 111 the reconstruction. We report below a comparison of image-recovery accuracy using SSR and 112 DR recovery applied to simulated data.

113 Convergence of the FPM reconstruction algorithms requires the experimental design 114 conditions to satisfy Nyquist sampling of the image by the detector array and to have 115 approximately 50% overlap between the frequency bands selected by adjacent illumination 116 angles (Fig. 2(c2)) [24]. We assess here using simulations, how these requirements are 117 modified by the reduced sampling rate associated with the sparse sampling of the Bayer matrix. 118 Image quality is compared to recovery from non-Bayer-encoded images.

119 Using the far-field approximation [15], the image intensity for a color channel can be120 written as

$$I(x,y) = \left| F^{-1} \left\{ P(k_x, k_y) \cdot F\{A(x, y)e^{i\phi(x, y)}\} \right\} \right|^2 \cdot B(x, y) + N(x, y)$$
(1)

where (k_x, k_y) are coordinates in frequency space, (x, y) are coordinates in real space, P is the 121 pupil function, A and ϕ are the amplitude and phase distributions of the input object 122 respectively, *B* is a binary mask corresponding to the color channel's filter arrangement on the 123 RGGB Baver matrix. N is the added Gaussian image noise and F is the Fourier transform 124 125 operator. Since robustness of the reconstruction is strongly dependent on the aberrations present in the pupil plane, they were simulated by including defocus and spherical optical 126 127 aberrations generated using Zernike polynomials. We employed the Root-Mean-Squared 128 (RMS) error between high-resolution reconstructed image and the expected ideal simulated 129 image as a metric of image quality. We employed 150 iterations, which was more than 130 sufficient for the FPM algorithms to converge.

131 In an imaging system, the image-sampling frequency is defined as $f_{sampling} = M/PS$, 132 where *M* is the magnification and *PS* is the pixel size. This sampling frequency must satisfy 133 the Nyquist sampling criterion, defined as twice the optical cut-off frequency, to avoid aliasing:

$$f_{\text{sampling}} \ge f_{\text{Nyquist}} = 2f_{\text{cut-off}} = 2 \text{ NA}_{\text{obj}}/\lambda$$
 (2)

The image-sampling frequency can be controlled in the experimental design by modifying the 134 135 magnification since the pixel size is fixed by the camera sensor characteristics. To achieve the widest FOV possible without aliasing, the sampling factor $(f_{sampling}/f_{Nyquist})$ must be unity. 136 For Bayer sensors, intuitively the effective pixel width is $2 \times$ larger due to the empty pixels in 137 each color channel of the Bayer filter array, hence, the magnification needs to be increased by 138 139 a factor of two compared to a monochrome detector array to compensate, i.e., the required 140 sampling factor will be two. Since increasing the magnification reduces the FOV, simulations 141 were performed (Fig. 2 (b)) to assess whether the FPM reconstruction methods could converge 142 with under-sampling to achieve the highest SBP.

143 Comparison of FPM reconstruction techniques for Bayer images

144 Sparsely-sampled reconstruction has been shown to be effective for aliased images with 75% 145 sparsity [23], offering an advantage in terms of maximum achievable SBP compared to 146 demosaiced reconstruction. However, as can be seen in Fig. 2(a), the image reconstruction from 147 Nyquist-sampled Bayer images exhibited large RMS errors of 20-30% compared to 10% for 148 non-Bayer images. Reduced image quality for reconstruction from Bayer-sampled images is 149 expected due to aliasing artefacts; however, these findings differ from the conclusions in [23]: probably due to practical differences in implementation, which did not involve compensation 150 of optical aberrations and benefitted from low-noise data recorded by science-grade cameras. 151 152 This enabled reconstruction of high-resolution images from data with 75% sparsity. However, 153 in our implementation recovering the system aberrations and dealing with the detector read 154 noise is crucial, hence, both SSR and DR reconstruction methods require an additional $2\times$ 155 magnification to satisfy the Nyquist sampling criterion.

156 In Fig. 2(c1), the requirement for overlap between the spatial frequencies recorded by 157 two adjacent LEDs is assessed. It suggests that RMS errors for SSR start to converge at ~40% 158 overlap compared to 50% for DR; this is in agreement with the requirements for non-Bayer 159 sensors [24]. Since the additional $2 \times$ magnification is used in these simulations, the frequency 160 overlap requirement achieved is similar to the requirement for non-Bayer systems. Using these 161 two optimal system parameters (2× additional magnification and a 70% frequency overlap), 162 the overall convergence for DR and SSR and non-Bayer systems is compared in Fig. 2 (d). It can be observed that DR has better convergence and pupil recovery than SSR. The RMS errors 163 in the final reconstructions are close for DR and SSR, hence it can be concluded that DR has 164 165 better convergence properties despite both reconstruction techniques resulting in similar 166 reconstruction quality. All reconstructed images are shown in the supplementary material S2.

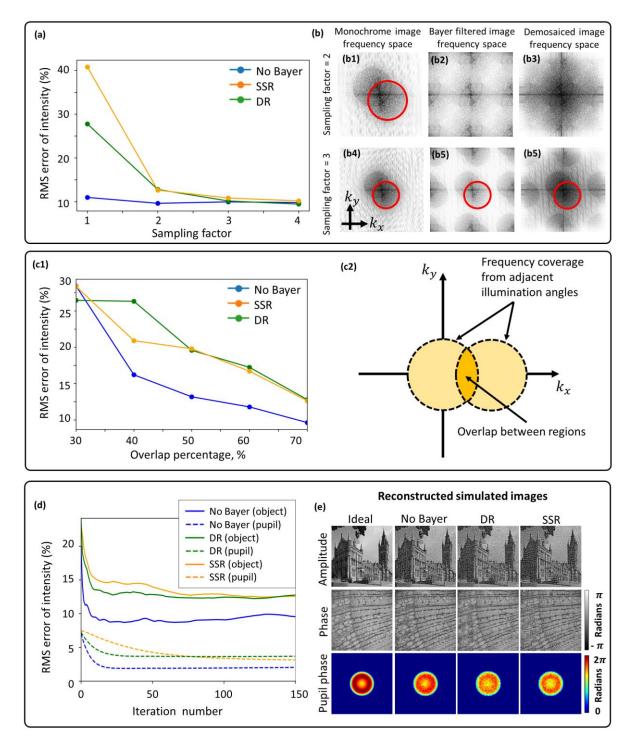


Figure 2. (a) Demosaiced and sparsely-sampled reconstruction accuracy for different sampling factors showing that a factor of two is required when using DR and SSR methods; 70% overlap area in the frequency domain. (b) Frequency spectra of monochrome and color sensor images showing frequency replicates introduced by the Bayer filter and how it distorts the circular boundary. The boundary becomes undistorted only for a sampling factor of 3. (c) Demosaiced and sparse reconstruction accuracy for different frequency overlap percentages together with a diagram explaining what is meant by the overlap percentage between adjacent frequency regions. As expected, accuracy improves as overlap increases. (d) Reconstruction convergence plots for object amplitude and pupil phase (70% overlap and sampling factor of 2), indicating better performance of demosaiced reconstruction. (e) Reconstructed simulated images.

168 Algorithmic self-calibration of LED misalignment

169 Our system is implemented using 3D printed components and intended to be portable; hence, 170 it may become easily misaligned, affecting primarily the illumination angles (LED positions). 171 In addition, image distortion and field curvature change the relative LED positions distinctly 172 across the FOV [25]. We have implemented a recently-developed self-calibration algorithm for 173 LED position misalignment correction [20], solving the issues of image distortion and 174 misaligned components with relatively good computational efficiency (see Methods). In this 175 algorithm the intensity image of an off-axis illuminated brightfield image is Fourier 176 transformed to produce two overlapping circles, centered around the illumination direction. 177 Using image processing techniques, we can find centers of these circles providing a better 178 calibration for the LED positions; hence, the calibration accuracy depends on how well these 179 circles are delineated.

180 While a sampling factor of two is sufficient (for a monochrome sensor), our simulations 181 suggest (Fig. 2(b)) that artefacts introduced by the Bayer matrix require the sampling factor to 182 be around three to produce an undistorted circular boundary, regardless of demosaicing. The 183 Bayer pattern can be treated as a periodic grating; hence, it produces frequency replicas (similar 184 to diffraction orders), a type of aliasing artefact, which distort circle boundaries indicated by 185 Fig. 2(b). Hence, by increasing the sampling frequency, the separation between these frequency 186 replicas is increased to preserve the boundaries. In practice, the change in illumination 187 wavelength varies the sampling factor for a fixed magnification since the sampling frequency 188 is fixed but the Nyquist frequency changes; hence, 3× sampling factor requirement for red 189 (630nm) (enough for calibrating LED positions) results in 2× sampling factor in blue, the 190 minimum required for overcoming Bayer sampling. This suggests that the red channel can be 191 used for LED position calibration without losing additional SBP due to the increased sampling 192 requirement. The FOV is divided into several segments and processed independently in FPM, 193 hence the distortion is tackled by calculating the relative LED positions for each of these 194 segments independently (see methods for the recovered distortion of the system).

195

196 Experimental results

197 Our FPM device (Fig. 1(a)) achieves high performance at low cost by use of mass-produced 198 consumer electronics: a conventional mobile-phone-type color camera (with the lens displaced 199 from the normal infinite-conjugate imaging position to enable short-range imaging), a 200 Raspberry Pi single-board computer for data acquisition and an off-the-shelf LED array 201 (Pimoroni Unicorn Hat HD) for synthesis of a programmable illumination that enables 202 synthesis of a higher NA. The total component cost is about \$150, but mass production of such 203 a device would further reduce the component cost. The lens from the Raspberry Pi Camera 204 v2.0 provides 0.15NA and 1.5× magnification when placed 7mm from the object. A 16×16 205 array of LEDs with 3.3-mm pitch was located 60 mm below the object providing 0.4-NA 206 illumination to enable synthesis of 0.55-NA FPM images. The FPM yields a 25-megapixel SBP: that is 870-nm resolution (NA = 0.55) - sufficient for sub-cellular imaging across a 4mm² 207 208 FOV. FPM also enables multiple imaging modalities, including phase-contrast and darkfield 209 imaging, combined with extended DOF and computational aberration correction [26,27].

Computational correction of errors due to imperfect calibration (such as component misalignment and aberrations) is highly dependent on image quality, which is compromised by the Bayer matrix due to optical attenuation and spectral overlap and spectral leakage between the RGB channels. While signal-to-noise ratio was maximized by independent optimization of integration times for each illumination angle, the spectral overlap of the Bayer spectral filters was mitigated by each red, green and blue LED in a time sequence rather than simultaneously.

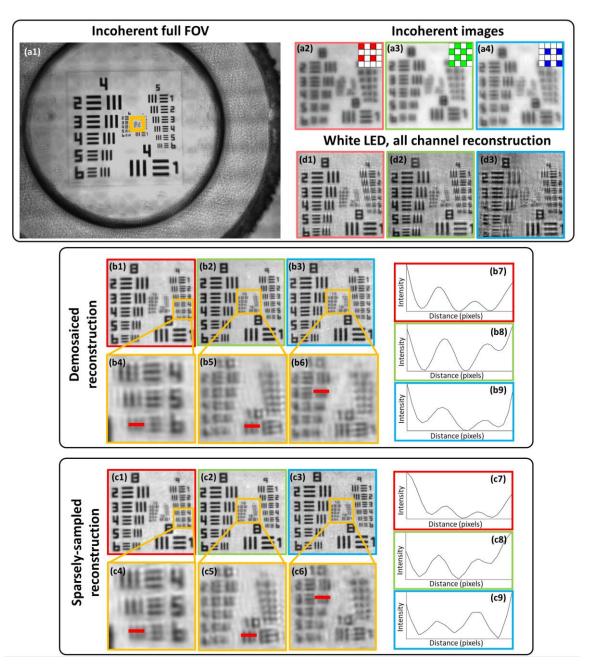


Figure 3. Reconstructions of a USAF resolution chart. (a1-a4) Incoherent raw images. (b1-b9) Demosaiced reconstructions and (c1-c9) sparsely-sampled reconstructions together with line profiles of the smallest resolved USAF target bars. The maximum achieved resolution using the blue LED was 780nm based on group 10 element 3. (d1-d3) Reconstructed images with RGB LEDs used in parallel for illumination demonstrating the reduced reconstruction quality due to the spectral overlap between the color channels. The respective color channels are indicated by the red, green and blue borders of the left, middle and right images

We used a standard USAF resolution test chart (Fig. 3(a)) to quantitively assess the performance and resolution improvement. Analysis of the reconstructed images shows a

resolution improvement from group 8 element 4 (Fig. 3(a3)) to group 10 element 3 (Fig. 3(b6))

219 (using 470nm (blue LED) illumination), which corresponds to a three-fold resolution

220 improvement from 2.8µm (incoherent-sum) to 780nm. This resolution improvement is the 221 result of the large synthetic NA offered by FPM, which is defined as $NA_{FPM} = NA_{ill} + NA_{il$ 222 NA_{objective}. Experimental results agree with the theoretical predictions, which give an increase in NA from $NA_{coherent} = 0.15$ ($NA_{ill} = 0$, $NA_{objective} = 0.15$) to $NA_{FPM} = 0.55$ ($NA_{ill} = 0.55$) (NA_{il 223 0.4, $NA_{objective} = 0.15$). While reconstruction quality shown in Fig. 3(c1-c9, b1-b9) is nearly 224 225 identical for both the DR and SSR, the DR offers faster convergence, since the SSR needs to 226 iteratively recover the missing pixels that are readily available through demosaicing in DR. 227 The impact of spectral overlap was demonstrated by illuminating the sample using RGB LEDs 228 simultaneously (white light) and reconstructing each color channel. Artefactual reconstructions 229 (Fig. 3(d1-d3)) are a result of the broken assumption of monochromatic light that is implicit in 230 FPM and could be mitigated by a spectral multiplexing algorithm [28].

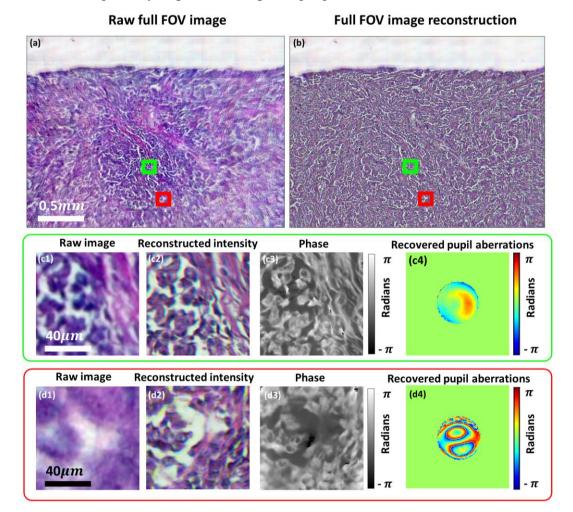


Figure4. (a) Reconstructed and (b) raw lung carcinoma images. (c1, d1) are the captured raw, low-resolution images and (c2-c3, d2-d3) intensity and phase reconstructions for two different segments of the FOV. (c4, d4) Recovered pupils with aberrations.

Lastly, we have demonstrated experimentally that our reconstruction algorithms can 231 232 compensate for high-levels of optical aberrations associated with the simple low-cost objective 233 lens. Reconstructed images of a lung carcinoma (Fig. 4(a,b)) show high-quality reconstruction 234 across the full FOV despite the presence of off-axis aberrations, which are recovered and 235 corrected within the reconstruction procedure without requiring additional data. It can be 236 observed clearly in Fig. 4(d1), that the raw image is severely aberrated compared to (c1), but 237 the reconstruction (d2) is of similar quality to the central FOV section (c2). The phase images 238 shown in Fig. 4(c3, d3) demonstrate the capability of imaging unstained samples. It can be

239 seen from Fig. 4(a) that without aberration correction the FOV is limited by aberrations to a 240 central area of ~ 1 mm² while the FPM correction of imaging aberrations increases the usable 241 area of the FOV by a factor of four.

242 **Discussion and conclusion**

We have described the first demonstration of low-cost FPM, enabled by implementation using 243 consumer-grade color cameras. We achieved a 4mm² FOV and 780nm resolution (NA = 0.55), 244 245 giving 25-megapixels SBP recovered from 256, 8-megapixel images. Compared to previous 246 reports of low-cost, mobile microscopes [8] the resolution of our system is a factor of 5 times 247 better with the added advantage of a 4-times longer working distance (due to the low-NA 248 lenses). Compared to systems where mobile-phone cameras are equipped with expensive 249 microscope objectives [12] our microscope offers 100-fold larger FOV without sacrificing 250 resolution. Compared to a previously demonstrated 3D-printed FPM [21], we report an 251 increase in the FOV area by a factor 5 and resolution by almost a factor of 2, while the use of 252 a color sensor instead of a more specialist monochrome sensor reduced the cost by 1-2 orders 253 of magnitude. The improved performance of our system is made possible by improved 254 aberration correction and calibration strategies capable of coping with simple, low-cost 255 components [23]. It should be noted that (1) due to the additional magnification required by 256 the Bayer filtering, the effective SBP achieved from each 8-megapixel image is only 2 257 megapixels and (2) the 25 megapixels SBP corresponds to the number of pixels in the image, 258 but each pixel in the reconstructed image contains both amplitude and phase information. 259 Although the recording of 256 images may seem a high number, this degree of redundancy is typical and necessary with FPM [14], but can be reduced by a factor of up to 10 by using 260 261 illumination multiplexing [29].

262 Our stand-alone microscope weighs only 200 grams and has external dimensions of 6cm 263 x 9cm x 11cm. Data acquisition is autonomous offering major cost-savings and is ideal for 264 applications such as cell-culture studies or point-of-care-testing applications that require field-265 portable devices. The Raspberry Pi 3 computer-board enables wireless image acquisition, data 266 transfer, and has potential for on-board FPM-based image reconstruction. Since image 267 reconstruction is currently a computationally-intensive process we transferred the data to an 268 external PC for processing, but in practice it would be possible to transfer the data onto a server 269 network to perform the computations. Also, the use of a trained neural network for image 270 recovery has been shown to improve image reconstruction speed by up to 50 times [30], which 271 is particularly attractive for systems with lower computational power. However, neural network 272 use for medical applications requires an investigation into the availability of training datasets, 273 or data overfitting [31].

274 One major shortcoming of FPM is the time-sequential data acquisition, but image 275 acquisition time of <1s has been demonstrated in FPM using LED multiplexing [18,29], which 276 offers potential for an order-of-magnitude improvement in imaging speed. Techniques such as 277 multi-aperture Fourier ptychography [32,33] can further increase throughput, as is essential for 278 fast biological processes. Reduced image-acquisition times are also facilitated by replacement 279 of the planar LED array with a dome-shaped array [34], where all LEDs are oriented towards 280 the sample offering improved illumination efficiency. Lastly a further factor of 6 increase in 281 data acquisition speeds could be achieved by removing the high latency introduced during 282 sequential read-out of our CMOS cameras.

We have demonstrated that Fourier ptychography can be performed by using low-cost commercial-grade Bayer color sensors, off-the-shelf consumer components and 3D-printed parts. This is enabled by robust pre-processing and reconstruction strategies. Moreover, we

used a *Raspberry Pi 3* single-board computer for image acquisition and image transfer. The result is a highly compact, stand-alone microscope, with a component cost of \$150, that is capable of wide-FOV, high-resolution imaging. The proposed microscope is suitable for cellculture studies (its compactness enables it to fit inside an incubating chamber) and point-ofcare diagnostics. Due to the simplicity of our setup, it is suitable for use as a teaching tool for computational optics in undergraduate labs and in research labs for conducting further research in FPM.

293 Methods

294 Experimental setup

295 Instructions for construction of our microscope shown in Fig. 1(a) can be found in 296 supplementary material S1. To minimize the cost of our microscope we used easily accessible 297 off-the-shelf, low-cost components. We chose a finite-conjugate microscope design because it 298 requires only a single lens. Sample and focusing stages were custom designed and 3D-printed 299 using a Ultimaker 2+ 3D printer. A Raspberry Pi V2 NOIR camera module was used (8 300 megapixels, 1.12um pixel size) which contains a 3-mm focal-length camera lens, which was 301 remounted and displaced from the sensor to achieve $\sim 1.5 \times$ magnification. Frequency overlap 302 of ~70% was obtained by placing the Unicorn HAT HD 16x16 LED array (3.3mm pitch) 60mm 303 below the sample stage. The RGB LED array has peak illumination wavelengths of 623nm, 304 530nm, and 470nm. The low-resolution microscope has 0.15 NA (providing 5-um resolution at 470nm), 2.42×1.64mm² FOV, and a 7-mm working distance. The synthetic NA achieved 305 306 after FPM reconstruction was 0.55. Since the lens is used away from the intended infinite-307 conjugate position, the aberrations become progressively more severe toward the edges of the 308 FOV. This could be mitigated be use of two back-to-back, co-aligned lenses [8] with the 309 penalty of reduced working distance and added experimental complexity.

310 Data acquisition

Experimental low-resolution images were obtained using all 256 LEDs in the LED array. The *Python 3.6* programming language was used for the image acquisition via *picamera* package [35], which enables the capture of raw 10-bit Bayer images [36]. Adaptive integration times for individual LEDs (longer for the off-axis LEDs towards the edges of the array) enabled enhancement of the dynamic range and image signal-to-noise ratio. We chose to transfer all 256 images obtained by the microscope from the *Raspberry Pi 3* computer onto a desktop *Windows* computer to speed up the reconstruction. Reconstruction could also be performed on

318 the *Raspberry Pi* with necessary optimization of recovery algorithms.

319 Image reconstruction

320 Recorded images were demosaiced using bilinear interpolation from the *OpenCV* processing 321 package [37] within the Python 3.6 programming language. Before the reconstruction, the 322 images were pre-processed by subtracting dark-frames to remove fixed pattern noise and all 323 images were normalized according to their exposure times. The pre-processed images were 324 divided into 128x128 pixel sub-images with an overlap of 28 pixels between adjacent image 325 segments to aid in seamless stitching of the high-resolution reconstructions. Finally, LED-326 position calibration is performed independently on each image segment as described in the next 327 section.

The FPM reconstruction algorithm is performed on each section of the FOV referred to as $I^{(i)}(\mathbf{r})$, where \mathbf{r} is the coordinate vector in object space and i is the index corresponding to the LED used to illuminate and obtain the image. Before the reconstruction a high-resolution, wide-field object $o(\mathbf{r})$ and its Fourier spectrum $O(\mathbf{k}) = \mathcal{F}\{o(\mathbf{r})\}$ are initialized by interpolating one of the low-resolution images to the required dimensions, where \mathbf{k} is the

coordinate vector in k-space and \mathcal{F} is the Fourier transform operator. The reconstruction steps described below are repeated for multiple iterations and within an n^{th} iteration, images obtained from illumination angles *i* are stitched together using the following steps:

336 1. Create a low-resolution target image Fourier spectrum estimate $\Psi(\mathbf{k})$ by low-337 pass filtering the high-resolution, wide-field spectrum estimate with the pupil 338 function $P(\mathbf{k})$

$$\Psi_n^{(i)}(\mathbf{k}) = O_n(\mathbf{k} - \mathbf{k}_i)P_n(\mathbf{k})$$
⁽²⁾

339 where k_i is the k-space vector corresponding to angular LED illumination with 340 an index *i*.

341 2. Create a low-resolution target estimate $\psi_n^{(i)}(\mathbf{r}) = \mathcal{F}^{-1} \{ \Psi_n^{(i)}(\mathbf{k}) \}$ and use it to 342 create the updated low-resolution estimate $\phi_n^{(i)}(\mathbf{r})$ by replacing its amplitude 343 with the experimentally obtained one

$$\phi_{n,SSR}^{(i)}(\mathbf{r}) = \left(\sqrt{I^{(i)}(\mathbf{r}) \cdot B(\mathbf{r})} + \left|\psi_{n}^{(i)}(\mathbf{r}) \cdot (1 - B(\mathbf{r}))\right|\right) \frac{\psi_{n}^{(i)}(\mathbf{r})}{\left|\psi_{n}^{(i)}(\mathbf{r})\right|'}$$
(3)

344where $B(\mathbf{r})$ is the binary Bayer matrix for the color channel being reconstructed.345This is required if SSR is used [23], otherwise, if DR is being used then setting346 $B(\mathbf{r}) = 1$ results in the standard amplitude update step

$$\phi_{n,DR}^{(i)}(\mathbf{r}) = \sqrt{I^{(i)}(\mathbf{r})} \frac{\psi_n^{(i)}(\mathbf{r})}{\left|\psi_n^{(i)}(\mathbf{r})\right|'}$$
(4)

347

348 3. Create an updated low-resolution Fourier spectrum

$$\boldsymbol{\Phi}_{n}^{(i)}(\boldsymbol{k}) = \mathcal{F}\left\{\boldsymbol{\varphi}_{n}^{(i)}(\mathbf{r})\right\}.$$
(5)

3494. Update the high-resolution object Fourier spectrum $O(\mathbf{k})$ using a second-order350quasi Newton algorithm [38] together with embedded pupil recovery351(EPRY) [16] and adaptive steps-size [39] schemes to improve convergence

$$0_{n+1}(\mathbf{k}) = 0_n(\mathbf{k}) + \alpha^n \frac{|P_n(\mathbf{k} + \mathbf{k}_i)|P_n^*(\mathbf{k} + \mathbf{k}_i)}{|P_n(\mathbf{k})|_{\max} (|P_n(\mathbf{k} + \mathbf{k}_i)|^2 + \delta_1)} \Delta,$$
(6)

$$P_{n+1}(\mathbf{k}) = P_n(\mathbf{k}) + \beta^n \frac{|O_n(\mathbf{k} - \mathbf{k}_i)|O_n^*(\mathbf{k} - \mathbf{k}_i)}{|O_n(\mathbf{k})|_{\max} (|O_n(\mathbf{k} - \mathbf{k}_i)|^2 + \delta_2)} \Delta,$$
(7)

$$\Delta = \Phi_n^{(i)}(\mathbf{k}) - \Psi_n^{(i)}(\mathbf{k}), \tag{8}$$

352 where δ_1, δ_2 are regularization parameters and α, β are adaptive-step size 353 constants which are selected to improve convergence. More details on the pupil-354 aberration recovery framework are given in the following sections.

All reconstructed sections were stitched together to produce a full-FOV reconstructed image. Alignment and contrast variations were corrected prior to stitching. Histogram equalization with the central section is performed to remove contrast variations across the FOV for both amplitude and phase. Finally, all sections are blended together using *ImageJ* (using the *Fiji* plugin package) [40] to produce full-FOV images with seamless stitching.

All steps described above were performed for each of the red, green and blue channels independently and the final color image was assembled using linear image alignment with the scale-invariant feature transform (SIFT, part of the *Fiji* plugin package within *ImageJ*) [40] for each channel and mapping them into RGB color panes.

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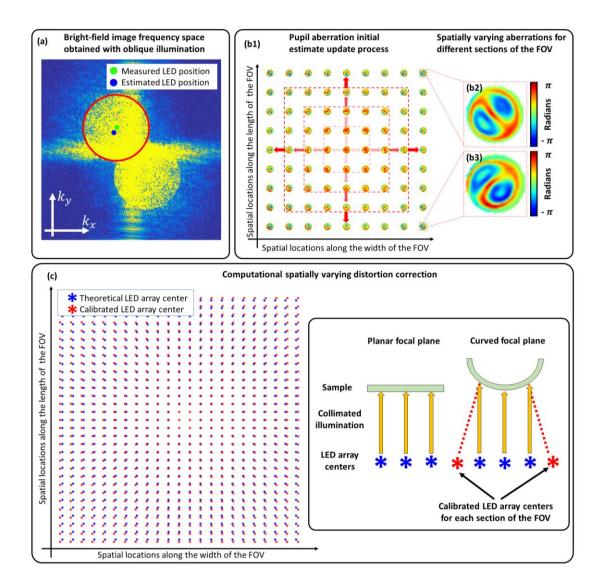


Figure 5. (a) Frequency space of a brightfield image obtained using an oblique illumination angle. Blue and green dots indicate initial and corrected LED positions respectively. (b1) Aberrations recovered from each section are used as initial estimates for neighboring sections, starting from the center of the FOV towards the edges. (b2, b3) Examples of recovered aberrations throughout the full-FOV indicating spatially-varying aberrations. (c) Implementing LED calibration on each segment across the FOV enabled us to find the spatially varying distortion by measuring the global LED position shift.

365 Computational calibration of LED positions

366 An LED self-calibration method based on frequency-spectrum analysis of bright-field

images [20] was used to locate pupil positions in spatial-frequency space for every 128x128

pixel section of the image, in order to accurately estimate the angle of illumination at the sample

- associated with each LED. A microscope objective acts as a low-pass filter and off-axis
- 370 illumination shifts the frequencies in the object plane corresponding to the frequencies
- transmitted by the objective, enabling recording of higher spatial frequencies. These higher
- 372 frequencies within the brightfield region appear as two overlapping circles in the Fourier

- transform of the intensity image, centered at the spatial frequency of the illumination angle.
- 374 Finding the center of these circles yields the LED positions with sub-pixel accuracy, for every
- brightfield illumination angle [20] (Fig. 5(a)). After finding position displacements for each
- bright-field LED, a homographic transformation matrix that best represents the misalignment
- of the LED array is derived. This transformation matrix is applied to dark-field LEDs as well.
 However, non-linear distortions, such as field curvature [25], make LED positions appear to
- be distorted differently across the FOV. To mitigate this problem, we split the full FOV image
- into 128x128 pixel sections and apply LED calibration for each section individually. If non-
- 381 linear distortions are present, then each section will have a different LED array translation
- 382 shown in Fig. 5(c). These distortions were corrected using an affine transformation that best
- 383 represents corrections for each section of the FOV.

384 Computational aberration correction

Spatially-varying aberrations for each segment of the FOV are recovered using the EPRY 385 386 algorithm [16] to enable FPM reconstruction of the images. However, our microscope suffers 387 from aberrations that increase progressively towards the edges of the FOV, and the EPRY 388 algorithm fails for the more highly aberrated sections. A good initial estimate of the aberrations 389 is required for the EPRY algorithm to converge. Therefore, starting with the central 128x128 390 section of the FOV, we run the EPRY recovery step for 40 iterations, reset the recovered image 391 intensity and phase while retaining the aberrations, and iterate the algorithm for 3 more times. 392 The reset step forces the algorithm to escape from local minima and enables convergence 393 towards a global solution. We use the recovered central aberrations as an initial estimate for 394 the surrounding sections (Fig. 5(b)). This update process continues until aberrations for every 395 section of the FOV are recovered.

396 Low-cost lenses, such as the ones we have used, tend to suffer from severe chromatic 397 aberrations. We found that when the microscope is focused using one color of LED, the 398 chromatic aberration (primarily defocus) for images recorded using other colors was significant 399 to cause the reconstruction algorithms to fail. The aberrations recovered from the central 400 section of the color where the microscope is focused are used as an initial estimate for the 401 defocused color that is being processed. This involved decomposition of recovered pupil 402 aberrations into 30 Zernike coefficients using the singular value decomposition function in 403 MATLAB from which the chromatically-aberrated pupil functions were estimated.

Supplementary material 1: 405 Instructions to build a Raspberry Pi 406 Fourier ptychographic computational 407 microscope 408

409 This document provides instructions to build a low-cost computational microscope reported in the manuscript: "Low-cost, sub-micron resolution, wide-field computational microscopy with 410

411 Raspberry Pi hardware". The CAD files and data acquisition codes can be downloaded from

http://dx.doi.org/10.5525/gla.researchdata.594. 412

Introduction 413

414 One of the aims when building this microscope was to use only off-the-shelf components that

415 can be easily bought anywhere and to design the microscope in such a way that it could be

assembled with minimal external components. Avoiding complexity allowed us to build a very 416 low-cost and robust microscope, which can be assembled and used with opensource software.

417 418 Designs for the parts were made using OpenSCAD open source CAD software and printed with

419 *Ultimaker* 2+ 3D printer.

420 The microscope was designed around the <u>Raspberry Pi 3</u> computer board due to a wide 421 opensource community and the support available. The computer itself has a CSI port to which 422 a Raspberry Pi camera can be connected. For the illumination we used a Unicorn HAT HD 423 16x16 LED array, which is an add-on designed for the Raspberry Pi boards. It mounts directly 424 onto the GPIO pins on top of the board. Camera and the LED board can be connected and 425 controlled easily via opensource libraries available for *Python* or C++ programming languages.

426 Furthermore, Raspberry Pi camera comes mounted with a mobile-phone-camera type 427 lens. It was unscrewed from the camera and used as our microscope objective. The component 428 list required to build the setup is provided below, along with a step-by-step instruction set for 429 assembly and operation of the microscope.

431 Component list

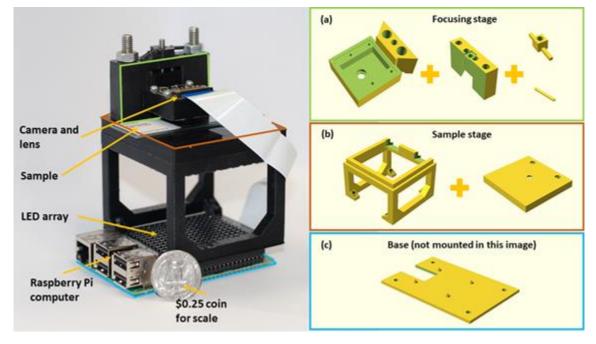
Off-the-shelf components	Quantity	Purpose
Raspberry Pi 3 computer board	1	Controlling the camera and LED array; image capture and storage
20mm long M3 screws	4	Fix Raspberry Pi computer board to a stable base
Unicorn HAT HD 16x16 LED array	1	Illumination source
Raspberry Pi V2.1 NoIR camera	1	Image sensor
Unscrewed lens from the Raspberry Pi camera (Part 4)	1	Microscope objective
3.5mm diameter, >10mm long, 0.25mm pitch screw and bushing (Thorlabs F3ES25, F3ESN1P)	1	Used for high-accuracy focusing
>40mm long M6 screws + nuts	2	Attaching the focusing stage to the sample stage
5.6mm diameter, ~10mm long springs (<u>RS Stock No. 821-431</u>)	2	Counter balance force for the focusing stage
5mm long M1.5 screws	4	Screwing <i>Raspberry Pi</i> (part 4) camera to the focusing stage

432

Supplementary Table S1 1. List of the off-the-shelf components required to build the microscope.

433

435 **Design**



436 437 438

Supplementary Figure S1 1. The experimental setup with all the necessary annotations for reference to 3D printed designs.

439 Supplementary Figure S1 1 shows the assembled setup together with 3D printed parts required.

440 It was built using components described in Supplementary Table S1 1. Once each component

441 is 3D printed, the assembly is very simple and requires only a few screwdrivers.

442 Base

443 The plastic base shown in Supplementary Figure S1 1(c) was printed such that the Raspberry

444 pi and the sample stage could be screwed onto it. The base itself has 4 holes which can be used

for screwing the microscopes to the optical bench if needed. This was designed to provide

446 higher stability when longitudinal imaging might be required.

447 Sample stage

The sample stage shown in Supplementary Figure S1 1(b) was designed to be mounted on the Raspberry Pi board with an LED array on top. The four screw holes on the 3D printed sample stage match those found on the Raspberry Pi and the plastic base. All components can be screwed tightly together to form a single microscope unit.

There is another 3D printed part that goes on top of the sample stage legs. It has 3 holes on it where the central one acts as an aperture for the sample, reducing any stray light and reflections from the LED array. The other 2 holes were made for screws that attach the focusing stage to the sample stage.

456 Camera holder and focusing stage

The focusing stage shown in Supplementary Figure S1 1(a) is composed of four 3D printed elements.

The camera holder module (the first 3D printed part seen in Supplementary Figure S1 1(a)) was designed to mount the microscope objective (the unscrewed camera lens) in place and screw the camera above it. This was designed for finite-conjugate microscope configuration to be established. The unscrewed lens from the camera has a 1.5mm aperture only on one side; lens must be mounted such that the aperture is facing downwards (towards the sample). This compact design was set to achieve $1.5 \times$ magnification, but it can be easily modified by changing the distance between the lens and the detector.

466 The camera holder mount, (the second 3D printed part seen in Supplementary Figure S1 1(a)) serves several purposes including focusing the sample. Firstly, it has rails onto which 467 468 camera holder module is mounted and can be moved up or down for focusing. The central hole 469 in the camera holder mount is for the 0.25mm pitch screw. Springs are fed through the inner 470 pair of holes in the camera holder mount and the corresponding holes in the camera holder 471 module. They are held in place by sliding the pins shown in Supplementary Figure S1 1(a) 472 through each end of both springs. The screw is used to push down on the camera holder module 473 while the springs and bottom pin provide a counter force to push it upwards. This way the 474 module can slide along the rails with high-precision, by turning the screw. Springs provide 475 stability and push the module upwards when the screw does not provide a downward force 476 anymore, which should minimize the backslash error.

477 Secondly, the outer holes in the camera holder mount enables addition of screws or bolts to 478 attach the whole focusing module to the top of the sample stage. While the focusing is done 479 via a translation stage, the sample must be translated by hand. In our setup, the FOV is large 480 so precise translation is not required; hence, we chose to use this design. However, there are 481 3D printed sample translation stages available in the opensource community that can be 482 integrated into our design.

483 Assembly instructions

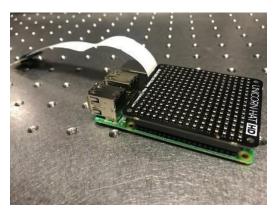
Access to a 3D printer is required to print several parts required for the assembly. We used *Ultimaker* 2+ with a nozzle size of 0.25mm for the camera holder module and 0.4mm for the
other components. Also, the lens from the *Raspberry Pi V2.0* camera must be unscrewed before
the assembly. Step-by-step instructions to assemble the microscope:

1. 3D print all the parts using a printer of your choice. We used *openSCAD* to design, render and save the designs in .STL format. *CURA* software was used to create the files that can be read by the *Ultimaker* 2+ 3D printer. Black PLA filament and a 0.4mm diameter nozzle was used for printing the sample stage parts, while a 0.25mm nozzle was used to print the focusing stage. Our files were designed to match the tolerance of the nozzles on our printer. The 3D models need to be tweaked when a different nozzle size or a different 3D printer is used due to change in the tolerances.

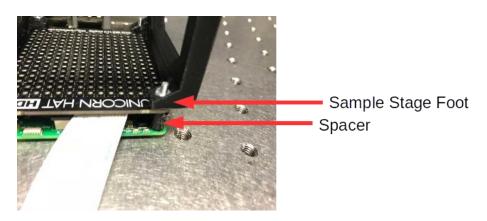
495 2. Connect the *Raspberry Pi* camera to the *Raspberry Pi* board.



497 3. Mount the LED array on top of the Raspberry Pi board by plugging it into the GPIO pins498 on the board.

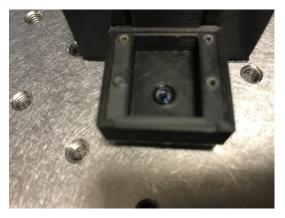


- 499
- 4. Place the sample stage such that the screw holes match the base; making sure that the
- sample-stage feet are not on top of any of the LEDs. Then place a nut in each foot of thesample stage.
- 503 5. Place the spacers in between the *Raspberry Pi* board *UnicornhatHD* board so that they are
- aligned with the screw holes and then screw the *Raspberry Pi* board and the sample stage
- 505 to the base such that they form a single rigid module.

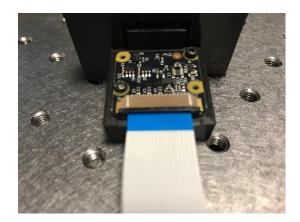


506

- 507 6. Take the camera holder; place the lens in the circular slot with the aperture facing
- 508 downwards, towards the sample stage.



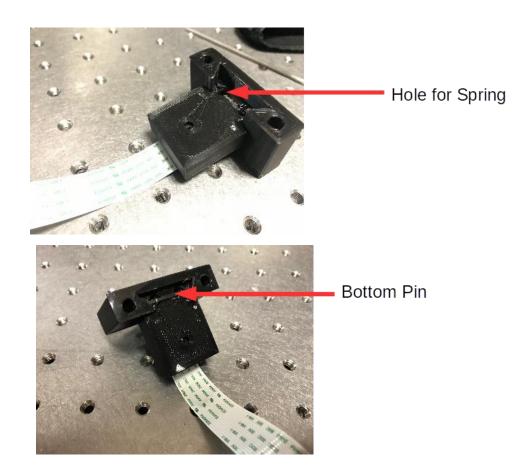
- 510 7. Mount the camera, align with the screw holes of the 3D printed camera holder; screw it in
- 511 place tightly.



512

513 8. Slide the camera holder module onto the focusing stage rails. Thread the springs through

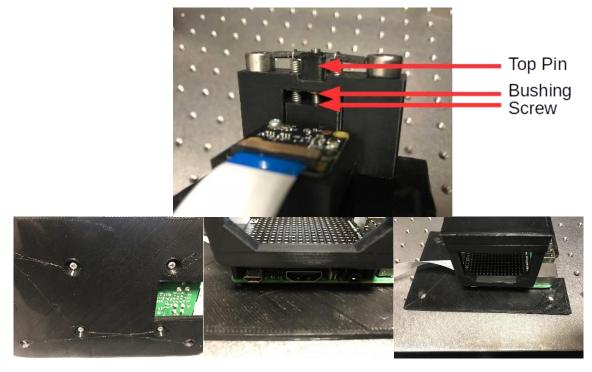
- the holes on the focusing stage and the camera module as shown by a red arrow in the
- 515 figure below. Use two 3D printed horizontal pins seen in Supplementary Figure S1 1(a)) to
- 516 hold the ends of the springs at the top and bottom of the focusing stage; the spring should
- be long enough such that it is stretched out and apply a strong counter-balance force to the
- 518 screw.
- 519



520

9. Pull down the camera holder module and, from underneath, place the bushing up into the
central hole of the focusing stage. Then, place the screw in the top of the camera holder
mount. Screw it in such that the screw pushes onto the camera holder module.

- 525 10.Use screws with nuts to fix the focusing stage tightly to the top plate of the sample stage
 526 from Supplementary Figure S1 1(b)).
- 527 11.Place the focusing stage module onto the sample stage module with the *Raspberry Pi*528 computer. The part is designed to have a tight fit, if it is not tight, please adjust the
 529 tolerances.
- 530 12.Optional: connect a screen using the HDMI port.
- 531 13.Optional: connect a keyboard and a mouse.
- 532 14.Optional: Place the *Raspberry Pi* board on top of the 3D printed base; align the base and
- the Raspberry Pi such that the screw holes are on top of each other.



534

535 **Operating the Microscope**

- 536 Installing Software on the Raspberry Pi
- 537 Raspbian is a free *Raspberry Pi* operating system available for download from the 538 <u>manufacturer's website</u>. It can be installed by following the guide listed in the *Links* section.
- The various interfaces of the Raspberry Pi can be enabled by going to Applications Menu ->
 Preferences -> Raspberry Pi Configuration -> Interfaces, and then enabling all options.
- 541 Image acquisition codes can also be downloaded from the *Links* section. Various python 542 packages will need to be installed before these can be used. The packages needed are:
- 543 -Unicornhathd
- 544 -Numpy
- 545 -Picamera
- 546 -Matplotlib
- 547 -Io
- 548 -Random

549 • -Fractions

These can be installed using the pip package management system or by installing anaconda on the raspberry pi. However, the <u>picamera</u> and <u>unicornhathd</u> packages are not included in anaconda and will need to be installed separately. Links to the installation guides of these packages and a more <u>general guide</u> to installing python packages on the Raspberry Pi are provided in the *Links* section. Python2 is used for image acquisition so follow instructions for Python2.7 as opposed to Python3.

556 Data Acquisition

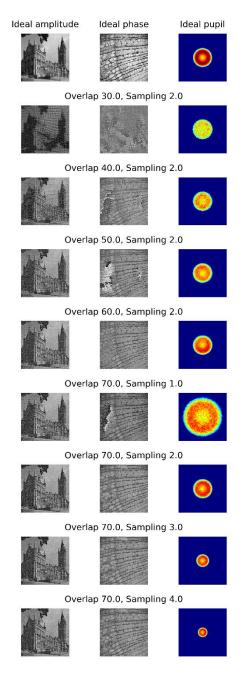
- 557 1. Connect the Raspberry Pi to a keyboard, mouse and monitor, and turn it on.
- 5585582. Place the sample on the top plate of the sample stage, underneath the camera mount.
- 5603. Use the "Focusing" script to make sure the sample is positioned correctly and in561focus. This script has an option to zoom that can be used if needed. To focus the562microscope, use an Allen key to turn the screw in the focusing stage.
- 563 4. Close the preview and open the "main data acquisition" file.
- 5. Adjust the necessary parameters in the data acquisition file and save the file.
- 5656. Place the microscope in a dark room or cover it, being careful to ensure the sample is not moved and the focus is not shifted.
- 567 7. Run the data acquisition script
- 568
 8. The captured data can be copied by a USB drive or the SD card on the *Pi* can be inserted into a PC and *disk internals Linux reader* can be used to copy the data.
- 570 9. Switch off the Raspberry Pi after data acquisition is complete.

571 Links

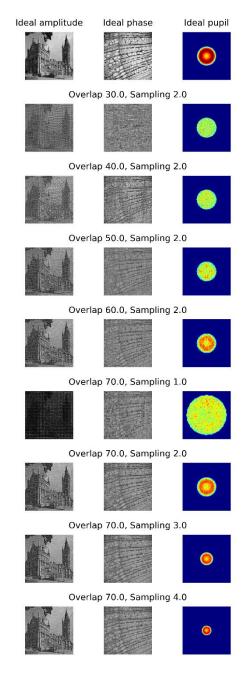
572	٠	Data acquisition codes and CAD files: <u>http://dx.doi.org/10.5525/gla.researchdata.594</u>
573	•	Raspbian installation guide:
574		https://www.raspberrypi.org/documentation/installation/installing-
575		images/README.md_
576		 Needs Etcher software to install Raspbian on the SD Card
577		• But first, download the Raspbian image from the above link.
578	٠	Guide to Installing Python Packages on Raspberry Pi:
579		https://www.raspberrypi.org/documentation/linux/software/python.md
580	٠	Picamera installation guide: http://www.picamera.readthedocs.io/en/release-
581		1.0/install2.html
582	٠	<i>UnicornHatHD</i> installation guide: <u>https://www.github.com/pimoroni/unicorn-hat-hd</u>
583	٠	Pimoroni Unicorn HD LED array: https://shop.pimoroni.com/products/unicorn-hat-hd
584	٠	<i>Raspberry Pi V2.1</i> camera: <u>https://www.raspberrypi.org/products/camera-module-v2/</u>
585	٠	DiskInternals Linux Reader can be used to read the files on a Linux SD card from a
586		computer: https://www.diskinternals.com/linux-reader/
587		

Supplementary material 2:Reconstructions from the simulations

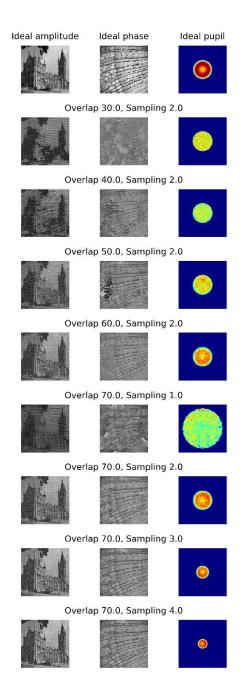
Simulations were carried out to compare the reconstruction quality from the sparsely 591 592 sampled Bayer filtered images using (1) standard FPM algorithms on demosaiced images and 593 (2) sparsely sampled FPM reconstruction on raw Bayer data. These reconstruction methods were applied to investigate their performance for various sampling and frequency overlap 594 criteria. Simulations for a non-Bayer image sensor (monochrome) are also presented to provide 595 a reference for the results obtained from a Bayer filtered image sensor (colour). Results are 596 shown in Supplementary Figure S2 1, Supplementary Figure S2 2, Supplementary Figure S2 597 3. These are the reconstructed images for data points displayed in the graphs presented in Figure 598 599 2 of the main manuscript.



Supplementary Figure S2 1 Reconstructions from images obtained with a monochrome sensor (no Bayer filter) using the standard FPM algorithm. First row shows the expected ideal reconstruction and the remaining rows shows the reconstructions from datasets captured with (1) various image sampling criteria and (2) overlap between the spatial frequencies captured by any two adjacent illumination angles. Noise and aberrations are added in the simulated images to mimic the experimental conditions.



Supplementary Figure S2 2 Reconstructions from images obtained with a color sensor (with Bayer filter array) using the sparsely-sampled FPM algorithm. These images are sparse due to the intermittent sampling from the Bayer filter array. First row shows the expected ideal reconstruction and the remaining rows shows the reconstructions from datasets captured with (1) various image sampling criteria and (2) overlap between the spatial frequencies captured by any two adjacent illumination angles. Noise and aberrations are added in the simulated images to mimic the experimental conditions.



Supplementary Figure S2 3 Reconstructions from images obtained with a color sensor (with Bayer filter array) using the standard FPM algorithm after demosaicing. The sparse images captured from the Bayer filter array are demosaiced (bilinear interpolation) such that the standard FPM algorithm can be implemented. First row shows the expected ideal reconstruction and the remaining rows shows the reconstructions from datasets captured with (1) various image sampling criteria and (2) overlap between the spatial frequencies captured by any two adjacent illumination angles. Noise and aberrations are added in the simulated images to mimic the experimental conditions.

601

603

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