Dynamic changes in cerebral and peripheral markers of glutamatergic signaling across the human sleep-wake cycle

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Abstract

Both sleep and glutamatergic signaling in the brain are tightly controlled and homeostatically regulated. Sleep homeostasis is reliably reflected by predictable changes in brain electrical activity in waking and sleep, yet the underlying molecular mechanisms remain elusive. Current hypotheses posit that recovery sleep following prolonged waking restores efficient functioning of the brain, for example by keeping glutamatergic signaling in a homeostatic range. We recently provided evidence in humans and mice that metabotropic glutamate receptors of subtype-5 (mGluR5) contribute to the brain's coping mechanisms with sleep deprivation. Here we combined in 31 healthy men, proton magnetic resonance spectroscopy to measure the levels of glutamate (Glu), GLX (glutamate-to-glutamine ratio) and GABA (γamino-butyric-acid) in basal ganglia (BG) and dorsolateral prefrontal cortex, simultaneous positron emission tomography to quantify mGluR5 availability with the novel radioligand, [18F]PSS232, and quantification in blood plasma of the mGluR5-regulated proteins, fragile-X mental retardation protein (FMRP) and brain-derived neurotrophic factor (BDNF). All measurements were conducted at the same circadian time in baseline, following sleep deprivation and after recovery sleep. We found that Glu and GLX in BG (p_{all} < 0.01), but not in prefrontal cortex, and the plasma concentration of FMRP (p < 0.02), were increased after sleep loss and tended to normalize following recovery sleep (p_{all} < 0.1). Furthermore, a night without sleep enhanced whole-brain and striatal mGluR5 availability and was normalized by recovery sleep (p_{all} < 0.05). By contrast, other brain metabolites and plasma BDNF levels were not altered. The findings demonstrate convergent changes in distinct markers of glutamatergic signaling across prolonged wakefulness and recovery sleep in humans. They warrant further studies to elucidate the underlying mechanisms that link the homeostatic regulation of sleep and glutamatergic system activity in health and disease.

Introduction

Sleep has been conserved throughout evolution and is generally assumed to fulfill vital

biological functions. This notion is corroborated by the general principle referred to as sleep

homeostasis, which assumes that the lack of sleep is predictably compensated by increased

sleep need and intensity as reflected by electroencephalographic (EEG) slow-wave activity

(SWA; activity in the ~0.75-4.5 Hz range) in non-rapid-eye-movement NREM sleep

(Achermann and Borbély, 2017). Prevailing current hypotheses posit that sleep homeostasis

serves the normalization of synaptic long-term potentiation (LTP) occurring during

wakefulness, by synaptic long-term depression (LTD) occurring during NREM sleep

(Tadavarty et al., 2009; Pigeat et al., 2015; Tononi & Cirelli, 2014).

Glutamate (Glu) plays an essential role in the fine-tuned molecular processes underpinning

LTP and LTD (Huber et al., 2002; Marshall, 2006, Kauer and Malenka, 2007).

Overstimulation of metabotropic and ionotropic Glu receptors by excess extracellular Glu is a

major culprit of neuronal excitotoxicity and contributes to neuropsychiatric and

neurodevelopmental disorders that can be exacerbated by inadequate sleep (Sanacora at al.,

2008; Ahmed et al., 2011; Averill et al., 2017). Suggesting an important contribution of

glutamatergic signaling to sleep homeostasis and a role for sleep in keeping extracellular Glu

in a homeostatic range, Glu levels in the frontal cortex of freely moving rats rose during

prolonged wakefulness and REM sleep and decreased during NREM sleep (Dash et al.,

2009). No comparable data are currently available in humans.

Nevertheless, two key players were recently identified that may orchestrate synaptic plasticity

and glutamatergic signaling across the sleep-wake cycle: Homer1a and metabotropic Glu

receptors of subtype-5 (mGluR5). Homer1a uncouples mGluR5 from their downstream

signaling partners, which leads to synaptic LTD (Kammermeier and Worley, 2007; Berridge,

2016; Ronesi and Huber, 2008). Biochemical, proteomic and imaging studies in mice

demonstrated that Homer1a and signaling from group-I mGluRs (mGluR1/5) drive the homeostatic downscaling of excitatory synapses during sleep (Diering et al., 2017). In humans, mGluR5 show high expression in brain regions regulating sleep (Hefti et al., 2013) and their functional availability was increased after prolonged wakefulness (Hefti et al., 2013). Furthermore, increased mGluR5 availability correlated with behavioral and neurophysiological markers of elevated sleep need, including self-rated sleepiness, unintended sleep during prolonged wakefulness, as well as SWA and slow (< 1 Hz) oscillatory activity in the NREM sleep EEG (Hefti et al., 2013; Holst et al., 2017).

Apart from interacting with Homerla, activation of mGluR5 regulates the expression of fragile X mental retardation protein (FMRP) and brain-derived neurotrophic factor (BDNF), which both play important roles in neuronal plasticity (Comery et al., 1997; Huber et al., 2002; Li et al., 2002; Restivo et al., 2005; Bramham and Messaoudi, 2005; Desai et al., 2006; Lu et al., 2014). Work in Drosophila suggested that the *dFmr1* gene is a molecular regulator of sleep need (Bushey et al., 2009) and that the expression of FMRP controls sleep time and the sleep loss-induced sleep rebound (Bushey et al., 2011). Similarly, the expression of BDNF protein in mice has been associated with the rebound in SWA following sleep deprivation (Huber et al., 2007). Whereas the effects of prolonged waking on the concentration of FMRP in humans are unknown, for BDNF either an increase (Schmitt et al., 2016) or a decrease (Kuhn et al., 2016) have been reported.

Based upon the evidence outlined above, we simultaneously quantified in healthy human volunteers dynamic changes in brain metabolites, including GLX, Glu and GABA (γ-amino-butyric-acid) in dorso-lateral prefrontal cortex (dlPFC) and basal ganglia (BG), cerebral mGluR5 availability, as well as FMRP and BDNF levels in blood serum after prolonged wakefulness and following recovery sleep. We hypothesized that sleep loss increases these potential markers of elevated sleep need and expected that recovery sleep normalizes the

waking induced changes. With the exception of BDNF, all markers quantified revealed the

expected changes, suggesting that glutamatergic signaling involving mGluR5 importantly

contributes to the regulation of sleep-wake dependent synaptic plasticity in humans.

Results

Thirty-one healthy men completed this strictly controlled study (Table 1 for demographics;

the numbers of study participants contributing to each analysis are specified below).

Following 8-hour adaptation and baseline sleep opportunities in the sleep laboratory, all

volunteers stayed awake under constant supervision for 40 hours, followed by a 10-hour

recovery sleep opportunity. All measurements in baseline (BL), after sleep deprivation (SD)

and after recovery (RE) sleep were conducted at the same circadian time in all three

conditions, starting at 4:23 pm \pm 23 min (Fig. 1).

Sleep deprivation increases Glu and GLX levels in the basal ganglia

Methodological advances in proton magnetic resonance spectroscopy (H1-MRS) have

recently permitted the non-invasive detection of naturally occurring changes in tightly

regulated metabolite concentrations in circumscribed areas of the human brain. Whereas one

recent study suggested that GLX levels in the left parietal lobe decrease over night (Volk et

al., 2018), our own research revealed no significant changes after sleep deprivation in

GLX/Glu and GABA in the medial prefrontal cortex (Holst et al., 2017). Thus, the exact roles

in humans of the main excitatory and inhibitory neurotransmitters in circadian and

homeostatic sleep-wake regulation remain unclear. Here, we quantified at the same circadian

time in 20 study participants the effects of prolonged wakefulness and recovery sleep on the

extracellular concentrations of Glu, GLX and GABA in two predefined voxels located in the

cortex (dlPFC) and the BG. Both these regions show pronounced waking-induced changes in

mGluR5 availability (Holst et al., 2017) and are thought to contribute importantly to sleep

homeostasis (Dahan et al., 2006; Léna et al., 2005; Guillaumin et al., 2018). Consistent with

our previous study (Holst et al., 2017), sleep deprivation caused no reliable changes in these

metabolites in the cortex (Fig. 2, left-hand panel). By contrast, Glu and GLX levels in the BG

were increased after prolonged waking in 17 of 20 study participants when compared to

baseline (Fig. 2, right-hand panel). The mean increase in Glu equaled 6.3 ± 2.06 % (BL: 1.41

 ± 0.02 [arbitrary units]; SD: 1.50 ± 0.03 ; SD vs. BL: p < 0.02, Tukey's test, n = 20).

Similarly, sleep loss increased the GLX concentration in the BG in 16 of 20 subjects, and the

mean increase equaled 9.0 \pm 2.53 % (BL: 1.66 \pm 0.04; SD: 1.81 \pm 0.05; SD vs. BL:

p < 0.004). Although both, Glu (SD: 1.50 \pm 0.03; RE: 1.45 \pm 0.03; RE vs. SD: 2.8 %

reduction) and GLX (SD: 1.81 \pm 0.05; RE: 1.73 \pm 0.04; RE vs. SD: 4.2 % reduction) were

slightly reduced after recovery sleep when compared to sleep deprivation, these changes did

not reach statistical significance.

The levels of GABA remained stable in the BG following sleep deprivation and recovery

sleep (BL: 0.45 ± 0.01 ; SD: 0.45 ± 0.007 ; SD vs. BL: p >0.8; SD: 0.45 ± 0.007 ; RE: 0.41 ± 0.007 ;

0.01; p > 0.05, Tukey's test, n = 20) (Fig. 2C). Similarly, no significant changes in other

metabolites (N-acetylaspartate, glutathione, choline) were detected.

Whole-brain mGluR5 availability is elevated after sleep deprivation and normalized after

recovery sleep

To quantify sleep-wake associated changes in the availability of mGluR5 that may occur

simultaneously with the above described local changes in Glu, GLX and GABA, the newly

developed, highly selective, non-competitive mGluR5 antagonist for PET brain imaging,

[18F]PSS232, was employed (Sephton et al., 2014; Warnock et al., 2018).

When compared to baseline, sleep deprivation induced a consistent increase in whole-brain

[¹⁸F]PSS232 binding potential reflecting elevated cerebral mGluR5 availability (BL: 1.16 ±

0.04; SD:1.20 \pm 0.04; SD vs. BL: p < 0.05, Tukey's test) (Fig. 3). The [18 F]PSS232 binding

increased from BL to SD in 15 of 20 subjects in whom PET scans in both conditions were

available. On average, the sleep deprivation-induced increase in whole-brain mGluR5

availability equaled 5.53 ± 2.22 %.

To examine whether recovery sleep reverses the wakefulness-induced changes, PET scans

were also performed after the recovery night. In 13 of 16 study participants in whom SD and

RE data were available, whole-brain [18F]PSS232 binding was reduced in RE when compared

to SD (SD: 1.21 \pm 0.05; RE: 1.14 \pm 0.04; RE vs. SD: p < 0.01, Tukey's test). The reduction in

mGluR5 availability from SD to RE equaled 5.77± 1.50 %. No difference in [18F]PSS232

binding potential between BL and RE was detected, suggesting that recovery sleep

normalized the waking-induced enhancement in mGluR5 availability.

Wake-sleep dependent changes in mGluR5 availability in the basal ganglia

Given the waking-induced increase in Glu and GLX in the BG and the fact that the striatum

and the amygdala show high mGluR5 expression (Gasparini et al., 2008; Hefti et al., 2013),

the wake-sleep associated changes in [18F]PSS232 binding were quantified specifically in

caudate nucleus, putamen and amygdala. A pronounced increase in mGluR5 availability after

prolonged waking was confirmed in all three regions (caudate nucleus: BL: 1.15 ± 0.06 ; SD:

 1.25 ± 0.06 ; increase: 8.7 ± 4.8 %; SD vs. BL: p < 0.03; putamen: BL: 1.18 ± 0.05 ; SD: 1.20

 \pm 0.05; increase: 5.41 \pm 2.42 %; SD vs. BL: p < 0.2; amygdala: BL: 1.27 \pm 0.07; SD: 1.38 \pm

0.07; increase: 8.6 ± 4.72 %; SD vs. BL: p < 0.03, Tukey's tests, n = 20) (Fig. 4). Similar to the whole-brain data, recovery sleep normalized mGluR5 availability in caudate nucleus (SD: 1.25 ± 0.06 ; RE: 1.14 ± 0.06 ; reduction: 8.59 ± 3.46 %; RE vs. SD: p < 0.02), putamen (SD: 1.23 ± 0.05 ; RE: 1.16 ± 0.05 ; reduction: 6.09 ± 2.65 %; RE vs. SD: p < 0.02) and amygdala (SD: 1.38 ± 0.07 ; RE: 1.23 ± 0.07 ; reduction: 11.31 ± 4.71 %; RE vs. SD: p < 0.01, Tukey's tests, n = 16) to the level of baseline (RE vs. BL: $p_{all} > 0.5$, Tukey's test, n = 16) (Fig. 4).

Sleep deprivation increases FMRP concentration in blood serum

To tackle the question whether the wake-sleep-related changes in Glu/GLX concentrations and mGluR5 availability in the brain are mimicked by changes in mGluR5-regulated proteins in peripheral blood, circulating FMRP and BDNF in serum were quantified with enzymelinked immunosorbent assays (ELISA) in BL, SD and RE conditions. Intriguingly, prolonged waking increased blood FMRP concentration by $25.86 \pm 16.39 \%$ (BL: 268.52 ± 33.76 pg/ml; SD: 370.86 ± 31.93 pg/ml; SD vs. BL: p < 0.02, p = 23 (Fig. 5). Although the FMRP concentration tended to revert to baseline, the values in SD and RE conditions were not significantly different (SD: 370.86 ± 31.93 pg/ml; SD: 333.89 ± 33.51 pg/ml; RE vs. SD, p > 0.6). In contrast to FMRP, the levels of BDNF were not affected by prolonged waking nor recovery sleep (supplementary Figure S2).

Discussion

Glutamate is the primary excitatory neurotransmitter of the human brain. Although basic research *in vitro* and in animal models highlights a prominent role for glutamatergic mechanisms in regulating sleep-wake homeostasis (Maret et al., 2007; Dash et al., 2009; Ahnaou et al., 2015; Diering et al., 2017; Holst et al., 2017; for review, see Halassa &

Haydon, 2010), knowledge about glutamatergic signaling as a function of waking and sleep

in humans is scarce. Here we investigated the effects of prolonged wakefulness and recovery

sleep on simultaneous changes in upstream and downstream molecular markers of

metabotropic glutamatergic neurotransmission in healthy adults. We found that one night

without sleep elicited reliable increases in cerebral Glu/GLX levels and mGluR5 availability,

particularly in the basal ganglia, as well as in the concentration of the mGluR5-regulated

protein, FMRP, in the blood stream. Given that these wakefulness-induced molecular changes

tended to normalize after recovery sleep, the findings suggest that sleep is essential to keep

glutamatergic signaling in a homeostatic range. Furthermore, the study indicates that human

sleep may counteract neuronal dysfunction and degeneration, which can be caused by

excessive glutamate (Sanacora at al., 2008; Ahmed et al., 2011; Averill et al., 2017), on

multiple levels of the metabotropic glutamatergic signaling cascade.

Sleep deprivation and recovery sleep induce dynamic changes in basal ganglia glutamate

levels

The levels of glutamate in the rat cortical extra-synaptic space rise during waking and

decrease during NREM sleep (Dash et al., 2009), yet it is currently unknown whether similar

changes also occur in the human brain. To examine a glutamatergic contribution to the relief

of depressive symptoms after wake therapy, brain levels of Glu, GLX and GABA were

previously measured with ¹H-MRS in depressed patients undergoing acute and repeated

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therapeutic sleep deprivation (Murck et al., 2002; Murck et al., 2009; Benedetti et al., 2009).

No significant alterations in GLX or its elements were found in different cortical regions

(dlPFC, anterior cingulate cortex and parieto-occipital cortex), yet preliminary data indicated

that sleep loss increased GLX in subcortical brain regions (Murck et al., 2002). Because the

baseline levels of GLX and Glu in cerebral cortex differ between depressed patients and

healthy controls (Järnum et al., 2011; Njau et al., 2017), it is unclear whether these older studies are directly comparable with the present investigation. Nevertheless, our previous (Holst et al., 2017) and current work in healthy controls is consistent with the data in depressed patients (Benedetti et al., 2009; Murck et al., 2009). It indicates that prolonged wakefulness does not reliably alter the MRS signal compatible with GLX and its constituents in anterior cingulate cortex and dIPFC. It cannot be excluded, however, the lack of a significant change in GLX in the dlPFC voxel could be related to the voxel composition, which, compared to the basal ganglia voxel was composed of a higher fraction of grey matter. The data collected in the BG strongly suggest that sleep loss indeed affects glutamatergic signaling on different levels. More specifically, prolonged wakefulness increased Glu, GLX and mGluR5 availability in sub-regions of the basal ganglia, and these changes were renormalized after recovery sleep. The findings corroborate and expand previously published observations from our group, showing that mGluR5 availability was increased after sleep deprivation (Hefti et al., 2013). Importantly, the new data demonstrate that recovery sleep is associated with reduced mGluR5 availability, supporting a restorative role for sleep and providing complementary evidence for the mGluR5 signaling cascade to contribute to sleepwake regulation. The investigation of different brain regions indicated that the basal ganglia are a brain structure that reliably shows sleep-wake related changes in the glutamatergic balance in humans. The dorsal (caudate nucleus and putamen) and ventral (nucleus accumbens and olfactory tubercle) parts of the striatum and the amygdala showed increased mGluR5 availability after sleep loss (Hefti et al., 2013; supplementary material). The data strengthen the emerging hypothesis that the basal ganglia are a key player in sleep-wake regulation (Lazarus et al., 2013; Holst & Landolt, 2015; Holst & Landolt, 2018). Whereas each, the increase in Glu levels and mGluR5 availability after extended wakefulness equaled roughly 5-10 % and may be considered as small or moderate, the simultaneous changes could mutually amplify each other and cause a substantial increase in glutamatergic signaling after

sleep deprivation.

Sleep deprivation impacts on the expression of FMRP

Currently the most specific molecular marker of sleep need is the immediate early gene

Homer1a (Maret et al., 2007; Mackiewicz et al., 2008) which uncouples mGluR5 from its

downstream signaling partners, leading to synaptic long-term depression (Kammermeier and

Worley, 2007; Ménard and Quirion, 2012; Berridge, 2016; Diering et al., 2017; Ronesi and

Huber, 2008). This form of synaptic plasticity may ultimately support sleep dependent

recovery processes (Diering et al., 2017; Krueger et al., 2013; de Vivo et al., 2017). The

mGluR5 has been specifically associated with two proteins that may be important for sleep-

wake regulation: FMRP and BDNF. Consistent with experiments in *Drosophila* (Bushey et

al., 2009), we found elevated FMRP levels after prolonged wakefulness when compared to

baseline. A prolonged effect of sleep deprivation might explain the delayed normalization

after recovery sleep. In contrast to the findings in vivo, the FMRP concentration in cultured

neural cells of sleep deprived rats appeared to decrease with sleep deprivation (Kwon et al.,

2015). Thus, further research is needed to clarify the potential role for FMRP in sleep-wake

regulation. Similarly, the evidence for a suggested role of BDNF in regulating sleep

homeostasis and LTP-like plasticity after sleep deprivation (Faraguna et al., 2008; Kuhn et

al., 2016) has been equivocal. Here, neither sleep deprivation nor recovery sleep revealed

consistent effects on BDNF levels in the human serum as quantified with ELISA. The

establishment of a reliable method to assess blood serum BDNF still remains a clinical

challenge. The discrepancies among the available studies may reflect the methodological

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difficulties in the reliable quantification of BDNF serum concentration.

Taken together, our study provides convergent evidence that sleep deprivation and recovery

sleep affects glutamatergic signaling in distinct regions of the human brain that play an

important role in sleep-wake regulation. Nevertheless, the questions remain whether the

observed molecular changes regulate the need for sleep or whether they reflect secondary

changes associated with the expression of wakefulness and sleep, or both. The present

findings warrant further studies to elucidate the mechanisms that link the homeostatic

regulation of sleep and glutamatergic system activity in health and disease.

Materials and Methods

To visualize the interplay of mGluR5 with its potential molecular signaling partners in sleep-

wake regulation, a controlled in-lab study was designed, in which 3-Tesla PET/MR-

Spectroscopy scanning and blood sampling were conducted three times, at the same circadian

time in baseline, after 40 hours prolonged waking, and again following recovery sleep.

mGluR5 availability was quantified with the novel PET radioligand [18F]PSS232 which is a

non-competitive selective antagonist of mGluR5 (Sephton et al., 2014; Warnock et al., 2018).

Concentrations of glutamate, the glutamate/glutamine (GLX) ratio and y-amino-butyric acid

(GABA) in basal ganglia (BG) and dorsolateral prefrontal cortex (dlPFC) were measured

with dedicated PRESS/MEGAPRESS MRS sequences. Circulating levels of BDNF and

FMRP in human blood were quantified with ELISA.

Study Participants

The study protocol and all experimental procedures were approved by the ethics committee

of the Canton of Zürich for research on human subjects. All subjects provided written

informed consent prior to the experiments and received financial compensation for their

participation, in accordance with the principles in the Declaration of Helsinki.

Thirty-one healthy men completed a within subject design 1-week sleep deprivation protocol

after being screened for medical history and psychological state. All subjects were non-

smokers, in good health, had no history of neurologic or psychiatric disease and were

instructed not to take any medications or consumed any illicit drugs 2 months prior to the

study. Subjects were excluded if they traveled across multiple time zones or performing shift

work 3 months prior to study participation. Subjects with unknown sleep disturbances, such

as sleep apnea, sleep efficiency < 75% or periodic leg movements during sleep (PLMS) with

an index of 5 or more per hour of sleep were excluded from participation based on

polysomnographic screening in the sleep laboratory before enrolment. Table 1 summarizes

lifestyle and demographic characteristics of the healthy study sample assessed by validated

questionnaires.

Thirty-one healthy male participants, ten of which were between 60 to 70 years of age,

completed the study protocol. Validated German translations and versions of questionnaires

were used to assess lifestyle and personality traits. Caffeine consumption was calculated

based on the following amounts per serving: coffee: 100mg; ceylon or green tea: 30 mg; cola

drink: 40 mg (2 dL); energy drink: 80 mg (2 dL); chocolate: 50 mg (100 g). Diurnal

preference: Horne-Östberg Morningsness-Eveningness Questionnaire (Horne et al., 1976);

daytime sleepiness: Epworth Sleepiness Scale (Bloch et al., 1999); depression score: Beck

Depression Inventory (Beck et al., 1961); personality traits: Eysenck Personality

Questionnaire (Francis et al., 2006); cognitive assessment: Montreal Cognitive Assessment

(Nasreddine, 2005); trait anxiety: State-Trait Anxiety Inventory (Spielberger et al., 1970);

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sleep quality: Pittsburgh Sleep Quality Index (Buysse et al., 1989).

Pre-experimental Procedure and Experimental Protocol

Two weeks prior to the study, participants were required to refrain from all sources of

caffeine and wear a wrist activity monitor on the non-dominant arm. During the 5 days prior

to the study they were asked to abstain from alcohol intake and to maintain a regular 8-hour

night-time sleep schedule, corresponding approximately to the participants' habitual sleep

times. Daily log-books and wrist actigraphy verified compliance with the pre-study

instructions. Additionally, caffeine and ethanol concentrations in saliva and breath were

tested upon entering the laboratory, to confirm participants' abstinence.

Under constant supervision, all subjects completed a within-subject sleep deprivation

protocol (Figure 1), consisting of an 8 hours adaptation and baseline night (time in bed:

11:00_{PM}-07:00_{AM}), followed by 40 hours of continuous wakefulness, and terminated by a 10

hour recovery night. In baseline, sleep deprivation and recovery condition, twenty-two

subjects underwent a combined positron emission tomography (PET) and magnetic resonance

spectroscopy (MRS) examination at the same circadian timepoint (4:23_{PM} \pm 23 min) with

[18F]PSS232 to quantify mGluR5 availability in the brain (Division of Nuclear Medicine,

University Hospital Zürich). Due to time and logistic constraints, only two subjects could be

PET scanned per experimental week. To optimize data collection, one additional subject was

included in each study block (9 in total) as a back-up candidate, participating in the entire

experimental protocol, MR imaging and blood sampling, but without the [18F]PSS232

injection and PET scan.

Magnetic Resonance Spectroscopy data acquisition and analysis

Magnetic resonance spectroscopy data were acquired simultaneously with the PET data using

a GE 3T combined PET/MR scanner (SIGNA PET/MR; GE Healthcare). Single-voxel edited

¹H-MR spectra were acquired from two voxels of interest in the left dorsolateral prefrontal

cortex (dlPFC; 30 x 25 x 40 mm³) and in the basal ganglia (BG; 25 x 25 x 25 mm³) using the

Point RESolved Spectroscopy (PRESS) and MEGAPRESS methods. In addition, a third VOI

in the BG (25 x 30 x 35 mm³) was measured with the MEGAPRESS method (Mescher et al.,

1998) to specifically quantify GABA. To ensure a consistent MRS voxel position between

subjects, the voxel was carefully positioned based on anatomical landmarks on the T1 image.

The T1 weighted MR images were also used to correct for partial volume effects related to

the CSF content in the MRS voxel, as well as for gray/white matter correction.

MEGAPRESS: A total of 320 spectra were averaged to obtain the final spectrum. Individual

spectra were acquired with a TR of 1800 ms, an echo time of 68 ms, and an eight-step phase

cycle, resulting in a total acquisition time of ~10 minutes. For each metabolite spectrum, 16

water reference lines were also acquired as part of the standard PROBE acquisition.

PRESS: The PRESS spectra were acquired with an echo time (TE) of 35 ms and a repetition

time (TR) of 3 ms. 160 spectral averages were acquired to obtain the final spectrum resulting

in an acquisition time of 9 min.

Data analysis

MR spectra were analyzed with LCModel v. 6.3-1 (Provencher 1993), which is a fully

automated spectral fitting method. For the MEGAPRESS data, edited spectra were analyzed

with a simulated basis set providing metabolite concentrations for glutamine (Gln), glutamate

(Glu), glutamate to glutamine (GLX), GABA, N-acetylaspartate, and glutathione. The control

parameter sptype = 'megapress-2' was used to avoid mis-assignment of the baseline to

GABA. For the PRESS spectra, a standard experimental basis set was used, from which data

for creatine, glutamate to glutamine, myo-inositol, N-acetylaspartate, and total choline were

extracted (Supplementary Figure S1). For all spectra, peaks that were poorly fitted, resulting

in Cramer-Rao minimum variance bounds of more than 20 % as reported by LCModel, were

excluded from further analyses.

PET Image Acquisition

A T1-weighted, whole-brain, three-dimensional magnetic resonance (MR) image (resolution:

1 x 1 x 1 mm) was obtained for each subject in parallel to the PET imaging (SIGNA PET/MR

3T whole-body PET/MR unit equipped with an 8-channel head coil; GE Healthcare), to

exclude morphological abnormalities and as anatomical standard for the quantification of the

PET images. After an automated standard single bolus injection of [18F]PSS232, dynamic

PET brain imaging was performed for 60 min. Images were acquired in 3D Mode with Time

of flight fully iterative reconstruction (VPFX) using standard MRAC based attenuation

correction with a resolution of 1.17 x 1.17 x 2.78 mm³ and Matrix size of 256 x 256 x 89

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voxels binned into 43 timeframes (11 x 1 min, 22 x 2 min, 10 x 1 min). Subjects were

instructed to not fall asleep during image acquisition. To verify wakefulness, subjects were

instructed to gently press the button of a response box, generating as little movements as

possible. As soon as subjects stopped pressing the response box, subjects were alerted via an

intercom. Direct contact was avoided, to minimize movement artifacts.

Injected activity (baseline: 164.7 ± 24.5 MBq; sleep deprivation: 159.1 ± 15.9 MBq;

recovery: 154.7 ± 12.9 MBq) did not differ between the conditions (p_{all} > 0.45; two-tailed,

paired t tests).

Image processing and quantification

All processing and quantification analyses were conducted with a dedicated brain PET/MR

analysis tool (PNEURO, version 3.7) provided by PMOD Technologies LLC. PET image

processing consisted of within-subject rigid-body motion correction followed by time-series

alignment to the MR-T1 image for between scan comparisons. For PET quantification, the T1

image was automatically segmented, separating the MR image into gray matter (GM), white

matter (WM) and cerebrospinal fluid (CSF) probability maps. After matching the T1 MR

image to the functional PET images, the specific neocortical and subcortical (core brain

segments) brain regions were determined using the Hammers-N30R83 brain atlas. Partial

volume correction (PVC) was performed automatically in the PNEURO toolbox. A time

activity curve (TAC) was calculated for each VOI. Because a single bolus injection was used,

the binding potential (BPnd) was quantified with standard SRTM2 [Simplified Reference

Tissue Model with fixed k2; (Wu & Carson, 2002)] modelling. For modelling, TACs of

receptor-rich regions (gray matter VOIs) were compared to the TAC of a receptor-less region

(cerebellum) believed mainly to entail non-specific binding (Warnock et al., 2018).

Assessment of proteins from human serum

Fresh blood was collected immediately before the PET/MRS scans in two 10ml cloth

activator tubes (BD Vacutainer® CAT). The samples were allowed to cloth for about 30

minutes at room temperature (RT) before centrifugation (2.000 relative centrifugal force

(RCF) for 10 min). 1.9 mL serum was extracted and purified by a second centrifugation step

(12.000 RCF for 5 minutes). The purified serum was aliquoted into multiple 255µ1 samples

and stored in Eppendorf tubes (SafeSeal micro tube 1.5ml, PP, Sarstedt, Nümbrecht) The

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probes were then snap-frozen in liquid nitrogen and stored at -80°C for future analysis.

Fragile X mental retardation protein (FMRP)

FMRP was studied by a quantitative sandwich enzyme-linked immunosorbent assay (ELISA)

purchased prefabricated and ready to use (Human Fragile X mental retardation 1 ELISA kit,

MyBioSource, San Diego, California USA). The detection rate of this assay is 15.6–1000

pg/ml. A 96-well microplate was pre-coated with a FMRP-specific antibody. Each sample

was quantified at least twice for independent confirmation. The assay was performed

according to the manufacturer's instructions and guidelines.

Brain-derived neurotrophic factor (BDNF)

Quantification of serum BDNF levels was conducted at the Department of Clinical

Psychology and Psychotherapy at the University of Zurich using a 96-Well MULTI-

ARRAY® BDNF Assay purchased from Meso-Scale Discovery (MSD®, Rockville,

Maryland USA). The analysis was performed according to the manufacturer's instruction.

Statistical Analyses

All statistical analyses were performed with SAS 9.4 software (SAS Institute, Cary, North

Carolina). If not stated otherwise, numbers represent mean ± standard error of the mean

(SEM). Only significant results are reported. Following standards, the error bars shown in the

figures represent the SEM of between-subjects variability. Mixed-effect repeated measure

analysis of variance included the factors 'condition' (baseline, sleep deprivation, recovery).

Analyses of PET data were limited to the predefined VOIs and strictly statistically corrected

as follows: 1. Comparisons of the mixed effect factor 'condition' for each VOI were post-hoc

corrected (Tukey-Kramer correction: α < .05). 2. Corrected Tukey-Kramer p-values across all

investigated VOIs were additionally corrected using false discovery rate correction [(FDR): α

< .05]. Following significant main effects or interactions, Mann-Whitney U testing was

employed to illustrate individual differences.

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Writing-original draft, Writing-review and editing; Sebastian C Holst, Data curation, Formal

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Writing-review and editing; Ruth L. O'Gorman Tuura, Software, Methodology, Data

curation, Writing-review and editing; Josefine Meier, Data curation, Formal analysis,

Investigation; Simon M. Ametamey, Alfred Buck, Resources, Methodology, Project

administration; Hans-Peter Landolt, Conceptualization, Resources, Data curation,

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review and editing.

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Table 1. Demographic Data of Study Participants.

	Mean ± SD		
Age (Years)	41.44 ± 20.86		
Body Mass Index (kg/m²)	m^2) 23.85 ± 2.37		
Caffeine Consumption (mg/day)	tion (mg/day) 176.32 ± 144.64		
Alcohol Consumption (Drinks/Week)	2.98 ± 2.67		
Daytime Sleepiness	7.14 ± 3.27		
Habitual Sleep Duration (min)	446.59 ± 32.71		
Sleep Quality	3.05 ± 1.46		
Diurnal Preference	56.00 ± 10.31		
Trait Anxiety	29.68 ± 7.55		
Eysenck Personality Traits			
Psychoticism	1.95 ± 1.68		
Extraversion	7.32 ± 3.40		
Neuroticism	2.18 ± 2.68		
Lie scale	3.68 ± 2.51		
Depression Score	3.45 ± 4.64		
Cognitive Assessment	29.14 ± 1.04		

Values represent means ± SEM (n = 31). Caffeine consumption was calculated based on the following amounts per serving: coffee: 100mg; ceylon or green tea: 30 mg; cola drink: 40 mg (2 dL); energy drink: 80 mg (2 dL); chocolate: 50 mg (100 g). Diurnal preference: Horne-Östberg Morningsness-Eveningness Questionnaire (Horne et al., 1976); daytime sleepiness: Epworth Sleepiness Scale (Bloch et al., 1999); depression score: Beck Depression Inventory (Beck et al., 1961); personality traits: Eysenck Personality Questionnaire (Francis et al., 2006); cognitive assessment: Montreal Cognitive Assessment (Nasreddine, 2005); trait anxiety: State-Trait Anxiety Inventory (Spielberger et al., 1970); sleep quality: Pittsburgh Sleep Quality Index (Buysse et al., 1989).

Figure legends

Figure 1. Experimental protocol. After an adaptation and baseline night, subjects

underwent 40hrs of prolonged wakefulness followed by a recovery night. At baseline (BL),

after sleep deprivation (SD), and again after recovery sleep (REC), levels of mGluR5 were

measured using positron emission tomography with [18F]PSS232 at the same circadian

timepoint (blue dotted lines). Furthermore, distinct brain metabolites were measured with

magnetic resonance spectroscopy and blood samples for the quantification of blood BDNF

and FMRP levels were drawn at these timepoints. Blue box summarizes type of data

collection and number of subjects at the imaging sessions in BL, SD and REC conditions

(blue dotted lines). A cognitive test session was performed every three hours of wakefulness

consisting of vigilance (Psychomotor Vigilance Task (PVT); Dinges et al., 1985), sleepiness

(Karolinska Sleepiness Scale (KSS); Akerstedt et al., 1990), tiredness symptoms (Tiredness

Symptoms Scale (TSS); Schulz et al., 1991) and affective state (Visual Analogue Scales

(VAS); Hoddes et al., 1973) testing.

Figure 2: Effects of sleep deprivation and recovery sleep on endogenous brain

metabolites in dorsolateral prefrontal cortex (left) and basal ganglia (right). Magnetic

resonance spectroscopy yielded levels of glutamate (Glu; A), glutamate/glutamine ratio (Glx;

B) and γ-aminobutyric acid (GABA; C) relative to creatine in baseline (BL, dark grey), sleep

deprivation (SD, blue) and recovery (RE, light grey) conditions. Data represent means of

arbitrary units (A.U.) ± standard error of the mean (SEM) in N=31. Black dots represent

individual subjects. Data for Glu and Glx were acquired with PRESS and data for GABA

with MEGAPRESS sequences. BG: Mixed-model ANOVA with factor 'condition': Glu -

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 $F_{2,36} = 4.83$, p < 0.05; $GLX - F_{2,36} = 6.32$, p < 0.01; $GABA - F_{2,36} = 4.71$, p < 0.02.

Figure 3: Effects of sleep deprivation and recovery sleep on metabotropic glutamate

receptor subtype 5 availability. (A) Global NonDisplaceable binding potential (BPND)

after [18F]PSS232 uptake in human brain. Columns display global mGluR5 availability in the

human brain in baseline (BL, dark grey), sleep deprivation (SD, red) and recovery (RE, light

grey) conditions. Data represent means + standard error of the mean (SEM) in N=22 in

baseline, N=20 in sleep deprivation and N=18 in recovery condition. (mixed-model ANOVA,

factor 'condition': $F_{2,36}$ =4.52, p<0.05) (B) Box plots illustrate the calculated increase of

global mGluR5 availability in percent after sleep deprivation (SD vs. BL), the calculated

decrease after recovery sleep (RE vs. SD) and in addition the difference between baseline and

recovery conditions (RE vs. BL). Black dots represent individual subjects. Asteriks indicate

significant increase or decrease in change scores (Mann-Whitney U tests: * = p < 0.05;

** = p < 0.01).

Figure 4: Regional differences in the effect of sleep deprivation and recovery sleep on

metabotropic glutamate receptor subtype 5. Top: NonDisplaceable binding potential

(BP_{ND}) after [¹⁸F|PSS232 uptake in Caudate Nucleus (A), Putamen (B) and Amygdala (C).

Columns display global mGluR5 availability in the human brain in baseline (BL, dark grey),

sleep deprivation (SD, red) and recovery (RE, light grey) conditions. Data represent means +

standard error of the mean (SEM) in N=22 in baseline, N=20 in sleep deprivation and N=18

in recovery condition. (mixed-model ANOVA, factor 'condition' Caudate Nucleus:

 $F_{2,36}$ =6.25, p<0.01; Putamen: $F_{2,36}$ =4.61, p<0.05; Amygdala: $F_{2,36}$ =5.54, p<0.01) Bottom: Box

plots illustrate the calculated increase of mGluR5 availability in percent in Caudate Nucleus

(A), Putamen (B) and Amygdala (C) after sleep deprivation (SD vs. BL), the calculated

decrease after recovery sleep (RE vs. SD) and in addition the difference between baseline and

recovery conditions (RE vs. BL). Black dots represent individual subjects. Asteriks indicate

significant increase and decrease in change scores (Mann-Whitney U tests: * = p < 0.05;

** = p < 0.01).

Figure 5: Effects of sleep deprivation and recovery sleep on blood fragile X mental

retardation protein levels. (A) Circulating human blood levels of FMRP in pg/mL. Columns

display amounts of FMRP in baseline (BL, dark grey), sleep deprivation (SD, red) and

recovery (RE, light grey) conditions. Data represent means + standard error of the mean

(SEM) in N=24 in baseline, N=27 in sleep deprivation and N=26 in recovery condition.

Mixed model ANOVA main effect of 'condition': $F_{2, 44} = 3.37$, p < 0.05. (B) Box plots

illustrate the calculated increase of blood FMRP levels in percent after sleep deprivation (SD

vs. BL), the calculated decrease after recovery sleep (RE vs. SD) and in addition the

difference between baseline and recovery conditions (RE vs. BL). Black dots represent

individual subjects.

Figure 1

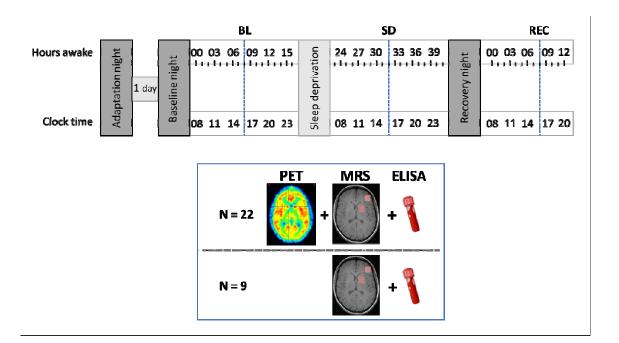


Figure 2

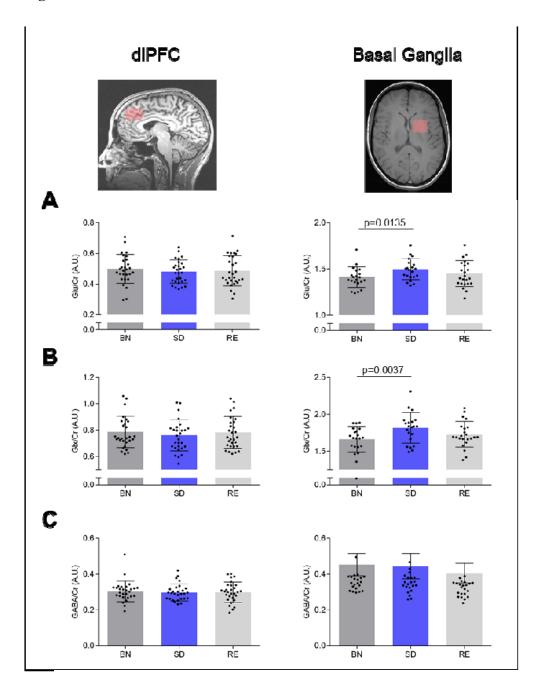


Figure 3

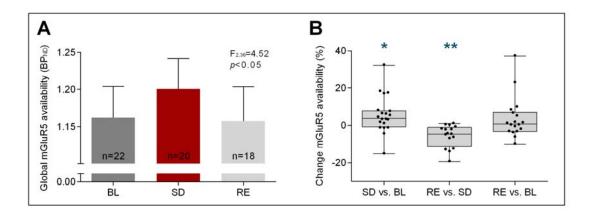


Figure 4

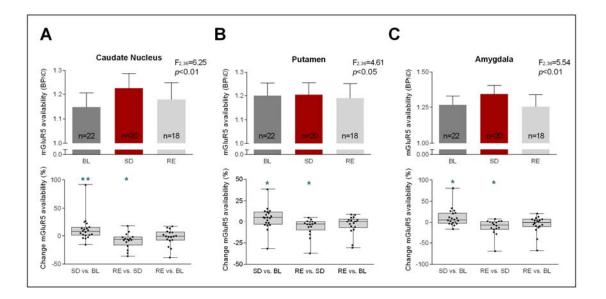
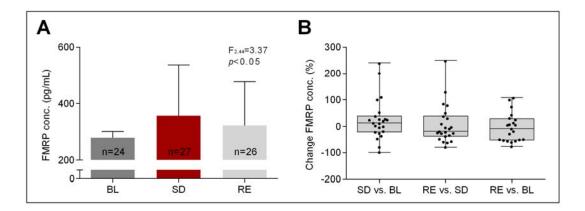


Figure 5



Dynamic changes in cerebral and peripheral markers of glutamatergic signaling across the human sleep-wake cycle

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Supplementary material

Supplementary Table S1: Behavioral Effects of Sleep Deprivation

	Baseline (BL)	Sleep deprivation (SD)	Recovery (RE)	SD vs. BL p <	RE vs. SD p <	RE vs. BL $p <$
Karolinska Sleepiness Scale	3.23 ± 0.19	4.92 ± 0.32	2.81 ± 0.19	<.001	<.001	<.013
Tiredness Symptoms Scale	0.52 ± 0.12	1.59 ± 0.29	0.43 ± 0.15	<.001	<.001	<.609
Visual Analogue Scales of States						
Mood	66.55 ± 1.90	61.69 ± 2.20	70.98 ± 2.05	<.001	<.001	<.001
Energy	63.48 ± 1.64	55.07 ± 2.11	65.59 ± 2.22	<.001	<.001	<.240
Motivation	32.81 ± 2.00	38.14 ± 2.36	27.45 ± 2.13	.066	<.001	<.048
Agitation	41.43 ± 3.38	35.09 ± 2.56	33.88 ± 2.98	.073	.561	.006

Values represent means \pm SEM (n = 31). P values refer to two-tailed, paired t tests. Significant differences between conditions are highlighted in bold.

Supplementary Figure S1

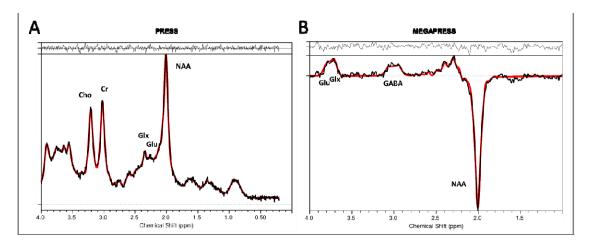


Figure S2: Example data of MRS PRESS and MEGAPRESS spectra. Representative PRESS (left panel) and MEGAPRESS (right panel) spectra. Each spectrum is plotted in black with the LCModel fit overlaid in red. The residuals of the fit are also plotted above each spectrum.

Supplementary Figure S1

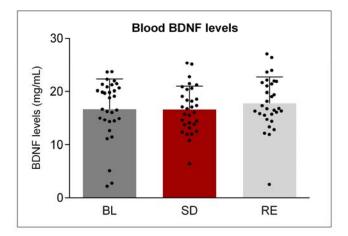


Figure S1: Effects of sleep deprivation and recovery sleep on circulating blood brain derived neurotrophic factor protein levels. Columns display blood BDNF levels in baseline (BL, dark grey), sleep deprivation (SD, red) and recovery (RE, light grey) conditions. Data represent means + standard error of the mean (SEM) in N=22. Black dots represent individual subjects. Mixed model ANOVA main effect of 'condition': $F_{2,90} = 0.23$, p > 0.7.