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Title:

Efficient assembly and long-term stability of defensive microbiomes via private resources and community bistability

Running title:

Microbiomes via private resources and bistability

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25 **Abstract**

26 Understanding the mechanisms promoting the assembly and maintenance of host-beneficial
27 microbiomes is an open problem. An increasing amount of evidence supports the idea that
28 animal and plant hosts can use ‘private resources’ and the ecological phenomenon known as
29 ‘community bistability’ to favour some microbial strains over others. We briefly review empirical
30 evidence showing that hosts can: (i) protect the growth of beneficial strains in an isolated
31 habitat, (ii) use antibiotic compounds to suppress non-beneficial, competitor strains, and (iii)
32 provide resources (for a limited time) that only beneficial strains are able to translate into an
33 increased rate of growth, reproduction, or antibiotic production. We then demonstrate in a
34 spatially explicit, individual-based model that these three mechanisms act similarly by
35 selectively promoting the initial proliferation of preferred strains, that is, by acting as a private
36 resource. By explicitly modelling localized microbial interactions and diffusion dynamics, we
37 further show that an intermediate level of antibiotic diffusion is the most efficient mechanism in
38 promoting preferred strains and that there is a wide range of conditions under which hosts
39 can promote the assembly of a self-sustaining defensive microbiome. This, in turn, supports the
40 idea that hosts readily evolve to promote host-beneficial defensive microbiomes.

41 Introduction

42 A growing number of studies show that microbiome composition is structured by competition [1,
43 2, 3, 4, 5, 6, 7], and it is hypothesized that a host could evolve to bias these processes to
44 promote the establishment of host-beneficial microbes [6, 8, 9, 10, 11, 12, 13]. Indeed, such
45 microbes need support because, first, it is inherently difficult to establish a colony of host-
46 beneficial microbes in the face of competition against the huge pool of available host-neutral or
47 host-harmful species [1, 14, 15, 16, 17], and second, these microbes often produce costly
48 compounds that, although equipping them to be beneficial for the host, render them
49 competitively inferior to non-beneficial, or even parasitic, microbes [18].

50 We distinguish three mechanisms by which a host can selectively favour beneficial strain(s),
51 namely (1) *providing a habitable space* that the desired bacterial partner has preferential access
52 to, (2) *production of specific compounds* by the host that selectively poison undesired bacteria,
53 and (3) *providing a food resource* that the desired partner is better able to metabolise. We now
54 briefly review examples of each:

55 1. *Providing a habitable space that the desired bacterial partner has preferential access to.* —
56 Vertical and pseudo-vertical transmissions fall into this category [1, 19, 20, 21, 22, 23]. In
57 strict vertical transmission, host germline cells are infected with symbionts [22, 24]. Less
58 strict transmission ('pseudo-vertical') is achieved by keeping non-colonised host offspring in
59 isolation after birth until the parental microbiome can colonise it, which then shapes the
60 composition of subsequent colonists from the environment [9, 11, 22]. In either case, the
61 host ensures a 'competitor-free space' for inherited microbes, which are allowed time and
62 resources to grow on a new-born host before being exposed to competition with other
63 colonists. For example, newly emerging *Acromyrmex* leafcutter ants are inoculated with
64 antibiotic-producing *Pseudonocardia* bacteria within a 24-hour window after hatching [8, 25].
65 Mature worker ants serve as the source, carrying *Pseudonocardia* on their propleural plates,

66 which grow to high density around specialised exocrine glands that likely provide nutrients
67 for bacterial growth [26, 27] (thus also serving as an example of a resource that can be
68 metabolized by the preferred bacteria, discussed in 3. below). Similarly, female beewolf
69 digger wasps (*Philanthus*, *Philanthinus*, *Trachypus*) inoculate their brood cell walls with
70 species of *Streptomyces* that they maintain in their antennal glands [28, 29, 30]. These
71 bacteria become directly incorporated into the larval cocoon, where they dominate and
72 produce an array of antibiotics that protect the developing larva against infection [29, 30,
73 31]. Analogous to the above examples, the agricultural process of applying bacteria, such as
74 antibiotic-producing *Pseudomonas* and nitrogen-fixing *Rhizobia*, to crop seeds before
75 sowing mimics pseudo-vertical-transmission, by ensuring that high densities of beneficial
76 bacteria have better access to root exudates and are favoured during establishment on the
77 plant [32, 33]. Priority effects have also been demonstrated for mycorrhizae [34], bees [35,
78 36, 37, 38], wasps [28], leafcutter ants [25, 39], birds [40], plants [41], and humans [42].

79 2. *Hosts producing compounds poisoning non-desired bacteria, whilst allowing desired strains*
80 *to grow.* — A wide range of plants secrete compounds, known as allelochemicals, that have
81 toxic effects on a broad range of bacteria, fungi, and invertebrates in the rhizosphere, as
82 well as other plants growing nearby [43, 44, 45, 46]. For example, the compound DIMBOA is
83 an antimicrobial produced by maize seedlings [45], which the plant-beneficial species
84 *Pseudomonas putida* is able to degrade, thus avoiding its effects. *P. putida* also uses this
85 compound as a chemoattractant and a signal for upregulating the production of the broad-
86 spectrum antibiotic phenazine [45]. Together, these mechanisms allow *P. putida* to colonise
87 maize roots in the presence of mostly DIMBOA-intolerant, competitor bacteria [45]. Similarly,
88 the rhizobial species, *Mesorhizobium tianshanense*, which forms root nodules on liquorice
89 plants is able to outcompete other bacteria in the rhizosphere due to an efflux mechanism
90 that confers resistance to the antimicrobial compound canavanine. Canavanine is abundant

91 in liquorice root exudates and thus allows the host to filter out non-beneficial rhizobial
92 species [47].

93 As another example, nitric oxide (NO), a potent oxidising agent and antimicrobial, can
94 play an important role in dictating symbiont specificity [48, 49]. A classic example arises
95 during the symbiosis between the bobtail squid, *Euprymna scolopes*, and bioluminescent
96 bacteria in the species *Vibrio fischeri*. *V. fischeri* are the exclusive colonisers of the squid's
97 light organ, where they emit light to deceive predators, and are acquired horizontally from
98 the environment within 48 hours after squid hatching [50]. High nitric-oxide synthase (NOS)
99 activity and its product NO can be detected in the epithelial mucus of the light organ during
100 the early stages of bacterial colonisation [51], which *V. fischeri* are able to tolerate via the
101 activity of two proteins, flavohemoglobin (*Hmp*) and a heme NO/oxygen-binding protein (H-
102 NOX) [52, 53, 54, 55]. Eliminating the genes for these proteins in *V. fischeri* leads to
103 colonisation deficiency [53, 55], and diminishing the concentration of host NO results in a
104 greater diversity of non-mutualistic bacterial species in the light organ epithelium [51].
105 Similar mechanisms of host selection are reported for animals as well. For example,
106 members of the *Hydra* family produce antibacterial arminins that help them to shape the
107 establishment of the bacterial microbiota during their embryogenesis [56]. *Hydra* not only
108 suppress the undesired strains [56] but also modify the quorum-sensing signals through
109 which bacteria communicate, hence manipulating the social behaviour of bacteria [57].

110 3. *A food resource that the desired partner is better able to metabolise.* — Enhanced
111 metabolic activity from consuming a private resource can confer competitive superiority to a
112 preferred microbial strain. Besides acquiring higher reproduction and growth rates, the
113 beneficial bacteria can also achieve a higher rate of antibiotic production, resulting in the
114 suppression of competitors [58], or a higher production of other factors that promote
115 colonization and symbiotic interaction with the host, such as adhesive molecules facilitating
116 biofilm formation on the host surface [59, 60]. The provision of specific metabolites is

117 thought to play a key role in structuring the species-specific microbial communities
118 associated with marine corals [61, 62]. Coral juveniles, as well as their dinoflagellate
119 symbionts, produce large quantities of the compound dimethylsulfoniopropionate (DMSP)
120 [63]. *In vitro* and metagenomic studies have shown that several coral-associated bacterial
121 groups can specifically metabolise the DMSP and use it as a sole carbon and sulphur
122 source [61, 62, 64]. Such species are also amongst the first bacteria to colonise coral
123 larvae, suggesting a nutritional advantage for them over bacteria that cannot degrade DMSP
124 [61, 65]. This includes a species of *Pseudovibrio* which can additionally use DMSP as a
125 precursor for the production of antibiotics which inhibit coral pathogens [62]. Another
126 example of a specific host-derived resource is human breast milk, which is known to contain
127 a large number of complex oligosaccharides that are preferentially consumed by a single
128 species of co-adapted gut bacterium *Bifidobacterium longum* subsp. *infantis* [66].

129 In plants, experiments have shown that root exudates can be directly metabolised by the
130 microorganisms that live endophytically within the plant roots [67, 68, 69]. Different species
131 exude different groups of metabolites, and studies suggest that plant hosts may be able to
132 tailor root exudate composition in order to recruit bacteria with particular metabolic traits [43,
133 67, 70]. For example, the concentration of the plant phytohormone salicylic acid (SA) has
134 been shown to correlate with the abundance of several bacterial taxa, including the
135 antibiotic-producing genus *Streptomyces* [70, 71], which can use SA as a sole carbon
136 source [71, 72]. As discussed earlier, leaf-cutter ants exocrine glands which provide a
137 nutrient source for *Pseudonocardia* bacterial growth also fall into this category [26].

138 These mechanisms achieve one of two effects: (i) they either ensure the protected growth of
139 the preferred strains and/or (ii) they enhance the competitive abilities of preferred strains against
140 non-preferred strains for certain duration of time. Essentially, a *host can use multiple means to*
141 *provide a 'private resource', in the form of space and/or food, to a subset of bacterial strains,*

142 and if those strains are beneficial to the hosts, the host is selected to evolve and apply one or
143 more of these mechanisms to assemble host-beneficial microbiomes.

144 We now abstract these mechanisms into an individual-based, spatially-explicit model of host-
145 associated defensive microbiomes (reviews in 9, 29, 30), which typically contain antibiotic-
146 producing, and at the same time resistant, bacteria [73, 74]. In our model, dispersal and *direct*
147 *competition for empty sites* is limited to small numbers of neighbouring individuals, in
148 accordance with experimental results [75]. At the same time, due to diffusion, we allow *indirect,*
149 *antibiotic-mediated competition* to occur amongst distant bacteria. We aim to understand the
150 conditions under which host species can promote the growth and dominance of antibiotic-
151 producing, defensive microbiomes.

152 **Materials and methods**

153 We are interested in how the host influences the population dynamics of two different
154 bacterial strains: an antibiotic-producing, resistant beneficial strain (**B**), and a non-producing,
155 sensitive parasitic strain (**P**) (Fig. 1a). We model the host implicitly by assuming it is able to
156 manipulate the composition of its microbiome through resource supply on its surface, upon
157 which colonising individual bacteria compete for space with their neighbours according to their
158 reproduction rates. The host surface further serves as a medium for spatially limited diffusion of
159 the antibiotic. For this, we employ an individual-based model in which we model the host
160 surface as a rectangular grid with toroidal boundary conditions ($N = M * M$) serving as the
161 habitat for coloniser bacteria (Fig. 1b). Each grid point can be empty or inhabited by a single
162 individual, and interactions take place within the immediate neighbourhood of the focal grid
163 point. Time is measured in units of update steps. We assume that the dynamics of cell
164 reproduction and death processes are much slower than the small-molecule dynamics, so the
165 cell populations are updated after u ($u \gg 1$) update steps in antibiotic dynamics, during which
166 the whole grid is updated in all relevant intracellular and extracellular processes related to the

167 small-molecule (antibiotic) dynamics (N number of sites). In the cellular update steps, εN
168 number of randomly chosen grid cells are updated in the birth and death processes, and ε is
169 selected to be a small positive number (see Supplementary information 1).

170 The private resource(s) provided by the host can confer two kinds of benefits to the beneficial
171 strain. We call the first kind **Protected Growth** (recall mechanisms 1 and 2 from *Introduction*),
172 because *the parasitic strain is prevented from invading (i.e. colonising) the host until time τ* .
173 Accordingly, **B** is given preferred access to host-provided food or space or is solely resistant to
174 host-produced allelochemicals until time τ , after which time the host resource is made ‘public’ by
175 giving the parasitic strain access to host-provided food or space or by withdrawing the host-
176 produced compounds facilitating **B** or poisoning **P**. We call the second kind **Enhanced**
177 **Metabolism**, because *although **P** is allowed to invade starting from time 0, **B**’s metabolism is*
178 *enhanced until time τ , after which this enhancement lapses* (recall mechanism 3 from
179 *Introduction*). The simplest outcome of enhanced metabolism is that **B**’s advantage in
180 metabolising host-provided food causes its population growth rate to be increased by an amount
181 of $r_{B,pr}(t)$ until time τ , after which $r_{B,pr}(t) = 0$ (e.g. $r_{B,pr}(t) \geq 0 | t < \tau$ and $r_{B,pr}(t) = 0 | t \geq \tau$),
182 where index pr denotes the private resource. An alternative outcome is that **B** is able to use the
183 host-provided food to *increase its own antibiotic production rate* ($\rho_B(t) = \rho_{B,pr}(t) + \rho_{B,0}$), without
184 incurring higher unit costs. Thus, similarly as above, we distinguish a higher production rate
185 fuelled by host-provided resource ($\rho_{B,pr}(t) \geq 0 | t < \tau$), and a lower, baseline production rate
186 when the resource is not supplied after time τ ($\rho_{B,pr}(t) = 0 | t \geq \tau$). Naturally, $\rho_{B,0} > 0$, while the
187 production rate of the non-producing strain is always zero ($\rho_P(t) = 0$). (Alternatively, but not
188 modelled here, the resource could allow the antibiotic to be effective at a lower threshold
189 concentration before τ and at higher level after τ , which would give similar results to the
190 previous).

191 **Dynamics of the antibiotic molecules**

192 The beneficial strain produces and exports antibiotic at rate ρ_B , into the extracellular
193 environment, resulting in a distribution of concentrations $A^{\text{Ext}}(i, t)$ at position i at time t .

194 The molecules are taken up by the cells at rates α_B and α_P ($\alpha_B \leq \alpha_P$) by the **B** and the **P**
195 strains, respectively, resulting in an $A^{\text{Int}}(i, t)$ interior concentration within the cell at position i at
196 time t . The cells decompose the intracellular antibiotics at rates γ_B and γ_P ($\gamma_B \geq \gamma_P$), and they
197 can also perform active outbound transport, i.e. controlled efflux, to release intracellular
198 antibiotics at rates β_B and β_P ($\beta_B \geq \beta_P$). The antibiotics decays at rate φ in the environment.

199 The model implements the three major antibiotic-resistance mechanisms: (a) reduced influx
200 through the membrane (α_B), (b) a higher rate of intracellular decomposition and neutralisation
201 (γ_B), and (c) increased efflux of the molecules (β_B), and combinations of these mechanisms [73,
202 76, 77, 78, 79].

203 Based on the continuous reaction-diffusion model of the detailed dynamics (see
204 Supplementary information 2 for details) [80], the time-and-space-discretised dynamics of
205 antibiotic concentration at site i on the grid and at time $t + \Delta t$ in the extracellular environment
206 can be written as

$$207 \quad (1) \quad A^{\text{Ext}}(i, t + \Delta t) = A^{\text{Ext}}(i, t) \\ + \left[\frac{D}{\Delta x^2} \left(\sum_{j=1}^v A^{\text{Ext}}(j, t) - v A^{\text{Ext}}(i, t) \right) + \left(\rho_*(t) + \beta_* A^{\text{Int}}(i, t) - \alpha_* A^{\text{Ext}}(i, t) - \varphi A^{\text{Ext}}(i, t) \right) \theta(i) \right] \Delta t$$

208 where the first term corresponds to the diffusion of antibiotics according to the discretised
209 diffusion algorithm between the four nearest neighbouring points ($v = 4$) (Neumann-
210 neighbourhood: north, south, east, west); Δx is the spatial resolution, and Δt is the time
211 resolution. The diffusion rate of the antibiotics, D , is measured in the unit of x^2/t , where x
212 denotes the spatial resolution, here one cell of the grid, and t stands for time measured as an

213 update step. $\theta(i)$ takes the value one if there is a cell at the site i , else being zero. The
214 dynamics of intracellular concentration of the antibiotic at the site i can be written as

$$215 \quad (2) \quad A^{\text{Int}}(i, t + \Delta t) = A^{\text{Int}}(i, t) + \left(\alpha_* A^{\text{Ext}}(i, t) - \beta_* A^{\text{Int}}(i, t) - \gamma_* A^{\text{Int}}(i, t) \right) \Delta t.$$

216 Naturally $A^{\text{Int}}(i, t + \Delta t) = A^{\text{Int}}(i, t) = A^{\text{Ext}}(i, t) = 0$ if there is no cell at site i .

217 **Growth dynamics of the cells**

218 For the birth and death processes, we define the growth rate of the antibiotic-producing (**B**) and
219 non-producing (**P**) strains respectively as

$$220 \quad (3) \quad r_{\text{B}}(i, t) = r_{\text{B},0} + r_{\text{B},\text{pr}}(t) - c,$$

$$221 \quad r_{\text{P}}(i, t) = r_{\text{P},0} - \lambda(a, T, k, A^{\text{Int}}(i, t))$$

222 where c is the decrease in reproduction rate because of the costly processes of antibiotic
223 production and resistance. The reproduction rates $r_{\text{B},0}$, $r_{\text{P},0}$, and $r_{\text{B},\text{pr}}(t)$ correspond to normal
224 (baseline) and temporarily increased resource conditions, respectively. The effect of the
225 antibiotic $\lambda(a, T, k, A^{\text{Int}}(i, t))$ on the **P** strain's reproduction rate depends on the critical threshold
226 (T), the maximum effect (a), the steepness of the dosage effect (k), and the actual intracellular
227 concentration of the antibiotic in the sensitive cell at the site i ($A^{\text{Int}}(i, t)$). Following empirical
228 observations [58], we define a general sigmoid function for the effect of the antibiotic:

$$229 \quad (4) \quad \lambda(a, T, k, A^{\text{Int}}(i, t)) = a / [1 + \exp(-k(A^{\text{Int}}(i, t) - T))]$$

230 **Dynamics of the population**

231 Population dynamics are represented by a death-birth process in which a randomly chosen
232 focal individual at site i dies, and individuals from its Moore neighbourhood (8 nearest
233 neighbours, $w = 8$) can reproduce and place a progeny into this focal empty site, with
234 probability proportional to their growth rates

$$235 \quad (5) \quad p(i) = r_{\xi}(i, t) / \sum_{j=1}^w r_{\xi}(j, t), \text{ where } (\xi \in \{\text{P}, \text{B}\})$$

236 At the beginning of the simulation, the beneficial strain is represented in low numbers ($n_{B,0}$),
237 and the parasitic strain is missing ($n_{P,0} = 0$).

238 **Invasion tests**

239 We carried out two sets of invasion tests to demonstrate how host-provided private resources
240 can result in self-sustaining, beneficial microbiomes, even if the advantage provided by the
241 resource eventually diminishes. In the first test, we used time, while in the second, we used
242 colony size as the signal to switch from private to public resources, or in other words, to stop the
243 host's selective support for the beneficial strain.

244 *Invasion test 1. Time-limited supply of private resources.* — We model the two kinds of
245 benefits conveyed by the private resources, as discussed earlier, the (1) Protected Growth of
246 the beneficial strain for τ time and (2) the Enhanced Metabolism of the beneficial strain for τ
247 time, either leading to (2a) a higher population growth rate by the beneficial strain or to (2b) an
248 increased antibiotic production by the beneficial strain.

249 In the Protected Growth scenario, before τ , an s_+ proportion of cohesive space on the host
250 surface ($s_+ = ss/N * 100$, where ss is the number of protected sites) provides a safe growth
251 opportunity for the beneficial strain, as individuals from the parasitic strain are prevented from
252 invading (strict and pseudo-vertical transmission), or parasitic individuals invading this region
253 get killed off (via host-provided allelochemicals). Only after τ time has passed is the parasitic
254 strain allowed to gain a foothold anywhere on the grid (empty or occupied). In other words, the
255 private space resource becomes public at time τ . During an invasion attempt, we place $n_{P,t} = 0$
256 number of individuals around a randomly selected focal grid point in a connected cluster with
257 probability f in each time step (if there are empty places, subsequent individuals will be placed
258 next to the focal site, but non-empty grid points can also be occupied if no empty places are
259 available). In the Enhanced Metabolism scenario, the beneficial strain experiences increasing
260 advantages of $r_+ = (r_{B,pr}(t) + r_{B,0})/r_{B,0} * 100$ or $\rho_+ = (\rho_{B,pr}(t) + \rho_{B,0})/\rho_{B,0} * 100$ for τ time,

261 respectively, and $n_{p,t} = 0$ number of parasitic-strain individuals are allowed to invade with
262 probability f in each time step, starting from the beginning.

263 *Invasion test 2. Protected Growth of the beneficial strain to a minimum colony size.* — Here,
264 we let the host resource, the habitat, be private until the beneficial strain reaches a minimum
265 colony size, which we call the Colony Size at Invasion (CSI). We only allow the parasitic strain
266 to start invading empty places after the resident **B** strain's colony size has grown to the CSI/
267 ($CSI = q/N * 100$, where q is the number of sites inhabited by **B**). The invasion proceeds with
268 probability f and with $n_{p,t}$ number of invaders until the grid is fully occupied by individuals. As
269 a motivating example, one can think of a small host 'crypt' in which beneficial strain is initially be
270 housed, but the strain eventually outgrows the crypt and colonises the host surface, at which
271 point, the host can only provide resources in a way that makes them publicly available.

272 Results

273 *Invasion test 1. Time-limited supply of private resources.* – As discussed in the *Introduction*,
274 the host has multiple mechanisms by which it can provide private resources. We find that
275 protecting initial growth (Fig. 2a, b), increasing the population growth rate (Fig. 2c, d), and/or
276 enhancing the antibiotic effectiveness (Fig. 2e, f) of the beneficial strain can all result in a self-
277 sustaining, beneficial-strain-dominated microbiome that is resistant to invasion even after the
278 host resource is made public (at time τ) and the beneficial strain starts to experience a
279 competitive disadvantage due to the costs of antibiotic production and of expressing its
280 antibiotic-resistance traits. In all three scenarios, the longer the time τ that the resource is
281 private (Fig. 2, x-axis), the less of an advantage, in the form of protected growth (here τ
282 correlates with the size of the colony, see Supplementary information 1 Fig. 1), increased
283 population growth (r_+), or increased antibiotic production (ρ_+) (y-axis), is required for the
284 beneficial strain to be able to resist invasion after the resource becomes public. This is because

285 invasion resistance is dependent on the beneficial colony reaching a sufficiently large size and
286 on the concentration of antibiotic the colony produces and transports into the environment.

287 We also observe that if the physiological mechanism of resistance by the beneficial strain to
288 its own antibiotic is efflux, this can additionally enhance invasion resistance, even if the supply
289 time is short and the advantage conferred by the private resource is small (Fig. 2a, b, c vs. e, d,
290 f). The reason is that re-exporting any ingested antibiotic increases the environmental
291 concentration of antibiotic, which aids suppression of invading parasitic strains.

292 *Invasion test 2. Protected Growth of the beneficial strain until a minimum colony size. –*
293 Consistent with the results from Invasion test 1, if the beneficial colony reaches a critical size,
294 (the Minimum Sustainable Colony size: *MSC*) it becomes resistant to invasion over a wide
295 range of parameters after the private resource is made public (Fig. 3). Again, having antibiotic
296 efflux as the resistance mechanism promotes invasion resistance (Fig. 3 and 4), whereas (and
297 intuitively) a higher rate of extracellular decay of antibiotic counteracts this effect (Fig. 3 and 4).
298 When a large amount of antibiotic is in the environment, because efflux is high and decay is low
299 (Fig. 3a and Fig. 4a, c), the beneficial strain is able to dominate over a wide range of diffusion
300 rates. However, when efflux is weak and the extracellular decay rate is high, only high diffusion
301 rates allow the beneficial strain to dominate (Fig. 4d). This is because at low diffusion rates, the
302 antibiotic produced in the centre of the colony is lost due to decomposition before reaching the
303 colony edge by diffusion, where it would have attacked invaders. In contrast, at high diffusion
304 rates, more of the resident colony's antibiotic production is recruited to fight invasion (Fig. 3, 4,
305 and 5).

306 The complement to this result is that if the diffusion rate is low, then even a large colony size
307 does not necessarily guarantee success unless the efflux rate is also high enough (Fig. 4a, b).
308 Essentially, if antibiotic efflux is used as the resistance mechanism by the beneficial cells, this
309 can substitute for outright diffusion of the antibiotic, allowing the antibiotic to reach the colony
310 edge, where it can suppress invaders (Fig. 4).

311 *Non-monotonous effect of diffusion.* — Interestingly, under some conditions there is a non-
312 monotonous effect of diffusion rate on invasion resistance, such that the Minimum Colony Size
313 (*MSC*) can be much smaller for medium-level diffusion rates. For example, looking at Fig. 3b,
314 for low antibiotic diffusion rates (values 0 – 1 on the x-axis), the *MSC* is close to 100%; that is,
315 the colony can resist invasion only if more than 95% of the available habitat is already occupied
316 by the producers; otherwise, parasites displace the whole population of antibiotic producers.
317 Similarly, for high diffusion rates (values $D = 80 - 100$ on the x-axis), although smaller, but a
318 considerable colony size still has to be reached. However, the *MSC* curve reaches a minimum
319 between low and high diffusion rates, such that only a 1 – 10% *MSC* is enough to resist
320 invasion (Fig. 3b).

321 The important result is that for any intermediate efflux and decay parameters and with
322 intermediate diffusion rates, *colonies with practically any non-zero initial size can withstand*
323 *parasite invasion* (Fig. 3a, b, d). This nonlinearity occurs because, in general, diffusion carries
324 antibiotic to the edge of the antibiotic-producing colony, where it can act against invading **P**
325 strains, but diffusion also carries antibiotic *away from* the edge of the colony. An intermediate
326 diffusion rate turns out to maximise the amount of antibiotic at the fighting front.

327 **Discussion**

328 The composition of host-associated microbiomes has been shown to correlate with host
329 health status and fitness [4, 81, 82, 83, 84, 85, 86, 87], and thus, there is likely strong selection
330 on host species to evolve mechanisms that favour the assembly of certain kinds of microbiomes
331 over others [11, 12, 27]. Here we have explored how a host can favour the assembly of a
332 defensive microbiome dominated by antibiotic-producing bacteria, hence promoting colonization
333 resistance, in a competitive set-up [7, 23, 74, 88].

334 We argue that a host can take advantage of an ecological phenomenon known as *bistability*.
335 When two species compete via interference, such as when a bacterial species uses antibiotics

336 to hinder a competitor, the winner of the competition depends partially on the initial population
337 sizes of the two species [9]. If the antibiotic-producer establishes itself with a larger population in
338 the new habitat, it can collectively produce sufficient amount of antibiotic to suppress its
339 competitor and grow until the space of opportunity vanishes for the parasite. In contrast, if the
340 non-producer species starts with the larger or competitively superior population, then the small
341 amount of antibiotic produced by the small colony of the producer is insufficient to suppress the
342 non-producer, hence it wins.

343 It follows that by using an antibiotic-producer as the initial (or 'priming') strain of the
344 microbiome, a host can narrow down the variety of strains able to invade this already
345 established environment [4, 5, 9, 11]. The host is thus efficiently able to canalise the
346 composition of the emerging microbiome. Such priming effects have been demonstrated in
347 various experimental systems [25, 37, 39, 89].

348 We integrated local interactions and explicit spatial dynamics of cellular and chemical
349 components in our model with the original phenomenological model that laid the foundations of
350 the theory [9]. In this more realistic model, even for large populations, the number of directly
351 interacting cells is relatively modest, and spatial correlations of active agents determine
352 dynamics meaningfully [5, 75]. Furthermore, such an integrated, spatially-explicit model allows
353 us to understand the effect of different antibiotic-resistance mechanisms [73, 76, 77, 78, 79, 80,
354 90] on the microbiome assembly, and to investigate how attributes of the host surface, which
355 govern the diffusion dynamic of the antibiotic, can modify the outcome. We have also widened
356 the applicability of Scheuring and Yu's model [9] by reviewing multiple mechanisms allowing a
357 host to prime a defensive microbiome, even if the beneficial can only be recruited from the
358 environment (horizontal transmission), compared to the original model, which made the
359 restrictive assumption that the beneficial strain is strictly vertically transmitted.

360 We have corroborated the earlier results [9, 13] that antibiotic producers and non-producers
361 can form a bistable system and that the outcome of competition depends on their reproduction

362 rates, how effectively the host is able to selectively promote the beneficial strain, and the initial
363 ratio of the two strains [9]. Once the antibiotic producer is able to gain dominance, in such a
364 system, it can remain dominant for a lifetime, even if the host-provided private resource
365 vanishes or becomes public. The current model also shows that localized interactions do not
366 impede this dominance because the antibiotic itself can diffuse, eventually reaching the colony
367 edge to inhibit invaders. This effect is strengthened when the mode of resistance by the
368 producers is antibiotic efflux.

369 We also show with the current model that the host resource only needs to remain private for
370 a finite critical time, basically until the beneficial colony reaches a Minimal Sustainable Colony
371 Size (*MSC*), at which point it becomes resistant to a given rate of invasion. The critical time
372 and/or the *MSC* depends on the physiochemical properties of the system, most importantly the
373 decomposition, decay, diffusion, and efflux rates of the antibiotic, and the advantage provided to
374 the beneficial colony by the private resource, all deriving from the fact that colony size
375 determines the amount of antibiotic produced.

376 Our brief review of the literature suggests that multiple forms of 'private resources' exist,
377 including food, space, or host-provided compounds harming undesired strains. Nonetheless,
378 privacy of resources is inherently difficult and costly to achieve, and it is therefore realistic to
379 assume that any host-provided resources will eventually become public. This inevitable
380 transition from private to public, which intuitively might be expected to allow the successful
381 invasion and establishment of parasitic strains, *does not in fact do so*, because of bistability.
382 After a beneficial colony establishes itself, a public resource is in practice only enjoyed by the
383 winner, the beneficial colony.

384 Finally, we show that an intermediate diffusion rate can maximise the amount of antibiotic
385 accumulating at the colony edge. Our findings suggest that the attributes of the host surface, for
386 example the diffusion rate, can either increase or reduce the effect range of the antibiotic [91].
387 As there is no conflict of interest between antibiotic-producer and host, their coevolution is

388 expected to optimize the diffusion speed, and hence the effectiveness, of the antibiotic. Overall,
389 evolutionary optimisation can act by minimising the host investment required to attain a
390 beneficial microbiome, by reducing the duration of a private resource supply, and by evolving
391 the optimal physiochemical properties of the habitat, the host surface. If so, then we might also
392 expect that the co-evolution of host and preferred strains results in an efficient and well-
393 conducted build-up of a beneficial microbiome, an orchestrated symbiosis that efficiently
394 narrows down the enormous number of possible scenarios to canalise the emergence of the
395 microbiome towards the most favourable one.

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406 **Conflicts of interest**

407 The authors declare no conflict of interest.

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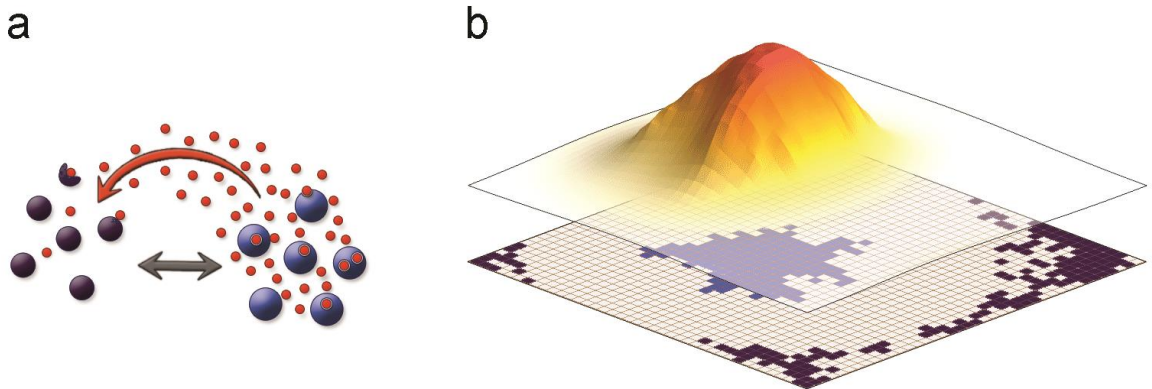
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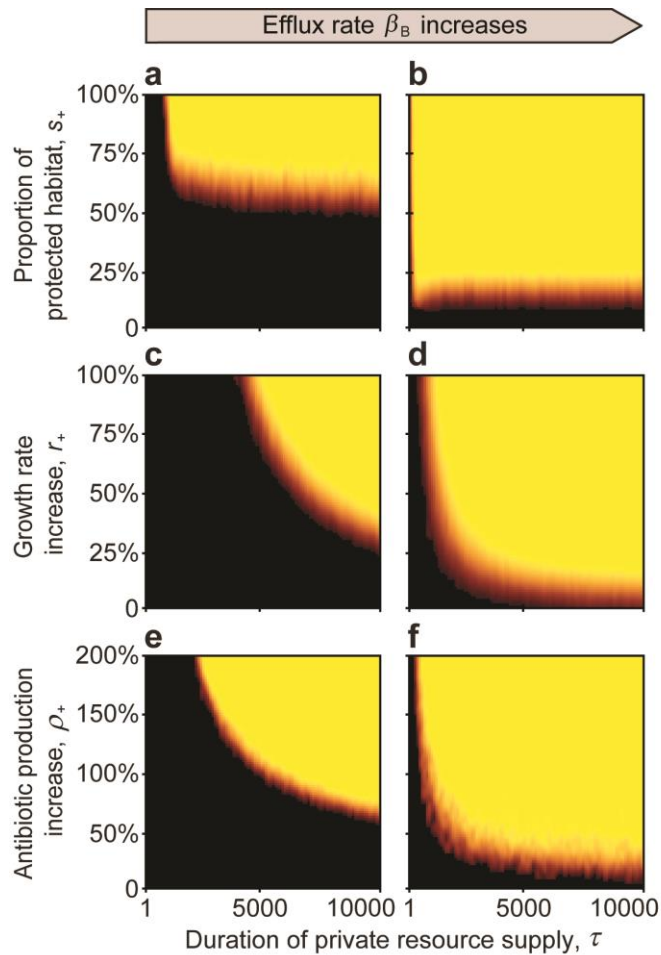
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616 **Figures**



617

618 **Figure 1.** **a** We model two types of strains, parasitic (violet shading) and antibiotic-producer
619 (blue shading), which compete with each other directly (grey arrow), and indirectly via the
620 diffusing antibiotic (red dots and coloured arrows). **b** The modelled $N = M * M$ grid (bottom
621 layer) represents the colonisable surface of the host, and each point in the grid can be inhabited
622 by a single individual (coloured quadrant). The produced antibiotic (upper layer) diffuses freely
623 on the grid, and its concentration decreases farther from the producing source (the shading and
624 height depicting the concentration) and also decays with time. Relevant model parameters are:
625 $D = 5$, $\Delta t = 1/10$, $u = 100$, for **a** $n_{B,0} = 100$, $N = 10\,000$, and for **b** $n_{B,0} = 1$, $M = 40$, $\rho = 1$,
626 $\alpha_B = 0.5$, $\beta_B = 0.6$, $\gamma_B = 0.3$, $\varphi = 0.5$.



627

628 **Figure 2.** The effect of a private resource supplied by the host for a limited time τ (*Invasion Test*

629 1). Black areas indicate parameter space where the non-producing parasitic strain can invade,

630 and yellow indicates that the beneficial strain is able to resist invasion. Orange to red colours

631 indicate mixed outcomes. In general, the beneficial strain (yellow) dominates over a larger

632 proportion of the parameter space as the duration of the private resource supply lengthens,

633 regardless of whether the beneficial strain enjoys outright protected growth (**a, b**), an increased

634 rate of population growth (**c, d**), or an increased rate of antibiotic production (**e, f**). The efflux of

635 accumulated intracellular antibiotic in the antibiotic-producing beneficial strain also aids

636 beneficial-strain dominance ($\beta_B = 0$ for **a, c, e**, and $\beta_B = 0.25$ for **b, d, f**). Simulations were run

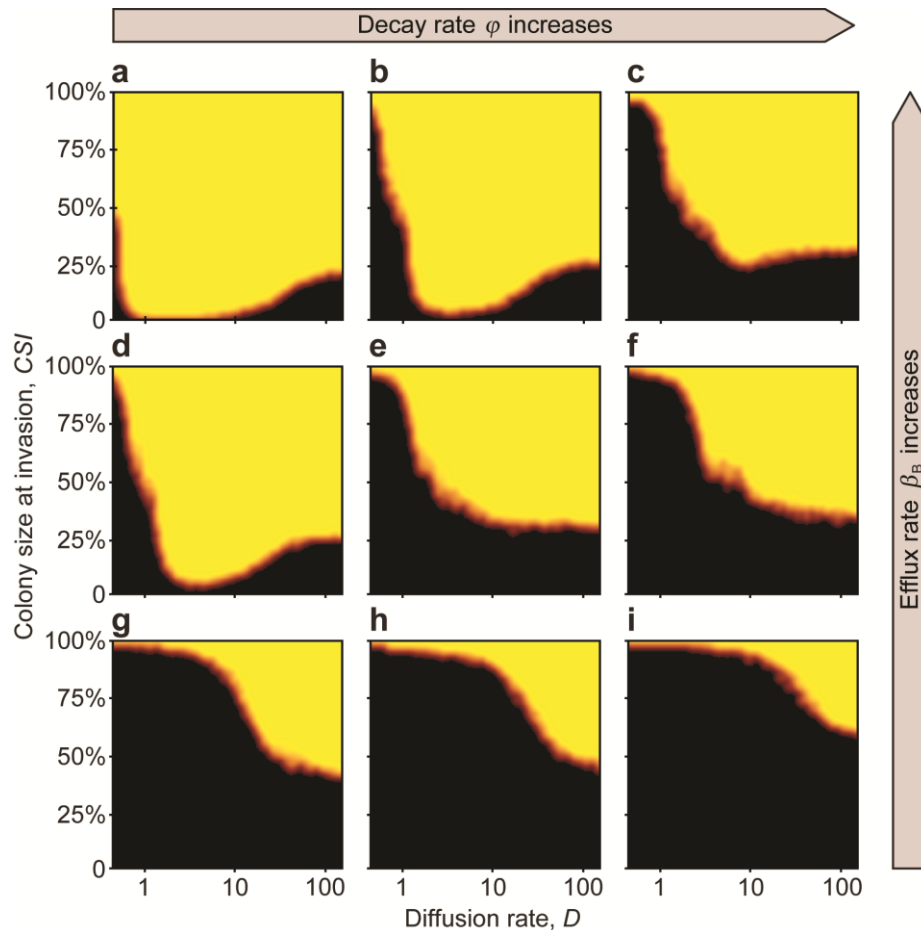
637 with 5 replicates for 100 000 generations or until the population was homogenous. Model

638 parameters are: $r_{B,0} = 0.8$, $r_{P,0} = 0.8$, $c = 0.1$, $\rho_{B,0} = 1$, $\alpha_B = 0.5$, $\alpha_P = 0.5$, $\beta_P = 0$, $\gamma_B = 0.4$,

639 $\gamma_P = 0.4$, $D = 5$, $a = 1$, $T = 1$, $k = 25$, $N = 10\,000$, $n_{B,0} = 100$, $n_{P,t} = 10$, $f = 0.01$, $D = 5$,

640 $\Delta t = 1/10$, $u = 100$, $\varphi = 0.3$ for **a, b, c, d**, $\varphi = 0.4$ for **e, f**, and $r_{B,pr}(t) = 0$, and $\rho_{B,pr}(t) = 0$

641 when applicable.

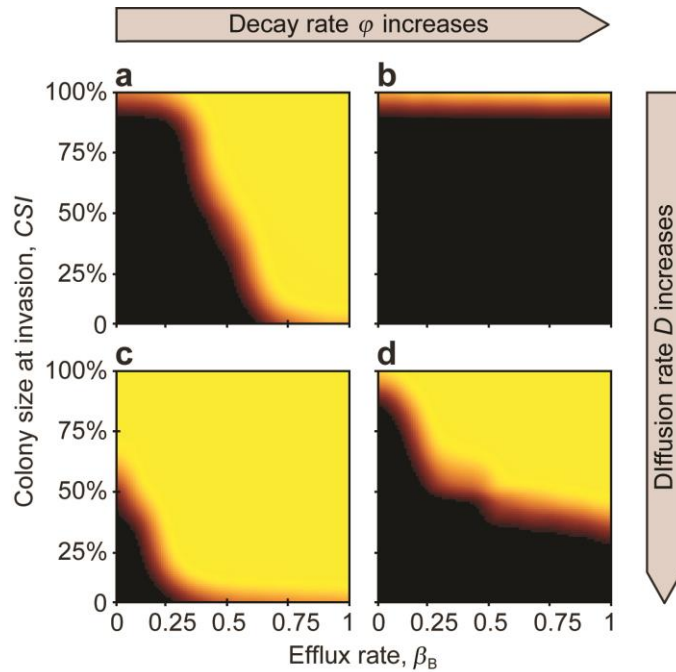


642

643 **Figure 3.** The Minimal Sustainable Colony size (*MSC*) (*Invasion Test 2*). Invasion is initiated
 644 when the beneficial-strain colony reaches a defined size (*CSI*) and continues until the habitat is
 645 fully colonized by either the beneficial or the parasitic strains. The *MSC* is represented by the
 646 orange-red border separating the yellow (**B** wins) and black (**P** wins) regions. From left to right
 647 (**a**→**c**, **d**→**f**, and **g**→**i**), the ϕ extracellular decay rate of the antibiotic increases ($\phi =$
 648 $0.2, 0.25, 0.3$). From top to bottom (**a**→**g**, **b**→**h**, and **c**→**i**), the efflux rate β_B decreases ($\beta_B =$
 649 $1, 0.5, 0$). Simulations were run with 3 replicates for 100 000 generations, or until the population
 650 was homogenous. Black areas indicate parameter space where the parasitic strain can invade,
 651 yellow indicates parameter space where the antibiotic-producing beneficial strain successfully
 652 resists invasion, and orange areas correspond to mixed outcomes. Model parameters are :
 653 $r_{B,0} = 0.8$, $r_{P,0} = 0.8$, $r_+ = 0$, $c = 0.4$, $\rho_{B,0} = 1$, $\rho_+ = 0$, $\alpha_B = 0.5$, $\alpha_P = 0.5$, $\beta_P = 0$, $\gamma_B = 0.4$,
 654 $\gamma_P = 0.4$, $D = 5$, $a = 1$, $T = 1$, $k = 25$, $N = 10\ 000$, $n_{B,0} = 100$, $n_{P,t} = 10$, $f = 0.01$, $\Delta t = 1/10$,
 655 $u = 100$.

656

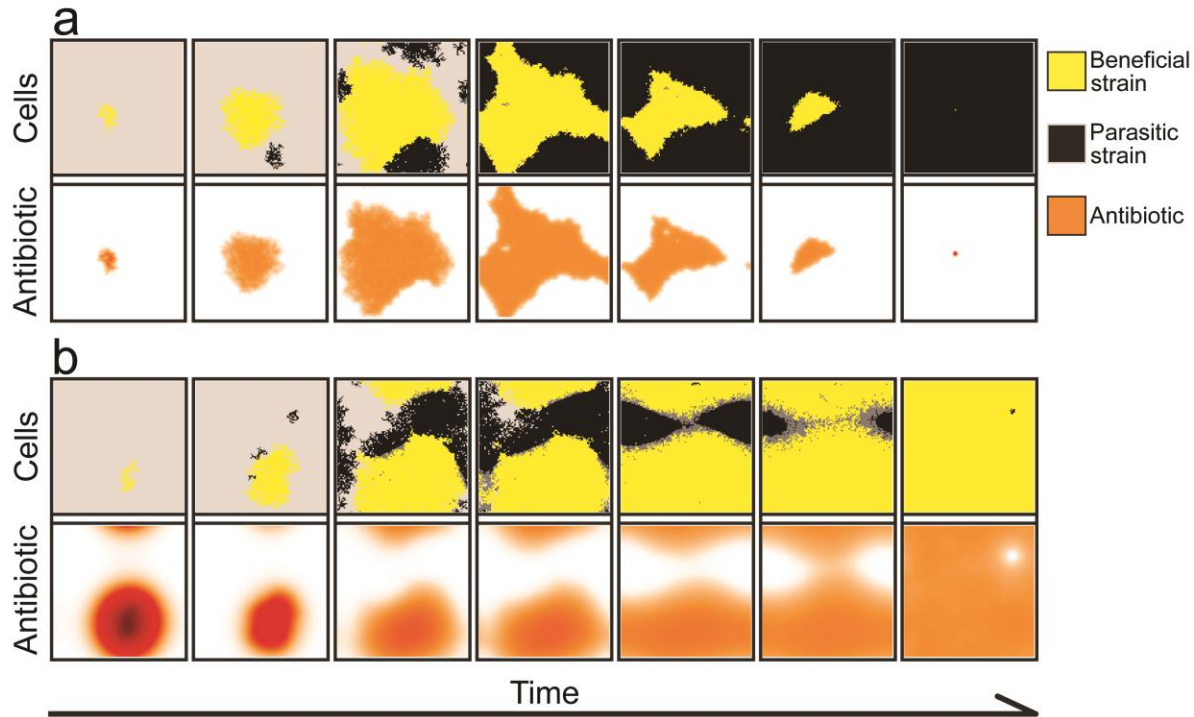
657



658

659 **Figure 4.** The effect of efflux rate, decay rate, and diffusion rate on the *MSC*. At low diffusion
660 rates (upper row), efflux rate limits the success, while at large diffusion rate (bottom row), colony
661 size is the more limiting factor. From left to right (**a**→**b**, **c**→**d**) extracellular decay rate increases
662 ($\phi = 0.7$ and 0.9). From the top to the bottom (**a**→**c**, and **b**→**d**), diffusion rate increases ($D = 0.5$
663 and 12), respectively. Model parameters are: $r_{B,0} = 0.8$, $r_{P,0} = 0.8$, $r_+ = 0$, $c = 0.1$, $\rho_{B,0} = 1$,
664 $\rho_+ = 0$, $\alpha_B = 0.6$, $\alpha_P = 0.6$, $\beta_P = 0$, $\gamma_B = 0.3$, $\gamma_P = 0.3$, $a = 1$, $T = 1$, $k = 25$, $\tau = 300$, $N =$
665 $10\,000$, $n_{B,0} = 100$, $n_{P,t} = 10$, $f = 0.01$, $\Delta t = 1/10$, $u = 100$.

666



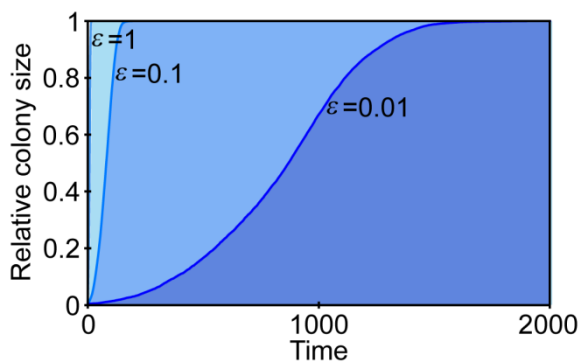
667

668 **Figure 5.** The spatial dynamics for **(a)** low ($D = 0.5$) and **(b)** high diffusion ($D = 50$) rates. **a** A
 669 low diffusion rate reduces the protective effect of the antibiotic (orange shading, lower panels),
 670 and the parasitic strain (black shading, upper panels) can invade the beneficial strain (yellow
 671 shading, upper panels). **b** A high diffusion rate allows the beneficial strain to resist invasion, as
 672 considerable amount of antibiotic (lower panels) diffuses beyond the colony boundaries.
 673 Antibiotic concentration ranges between zero (white), to intermediate (red-orange), to maximal
 674 concentrations (brown-black). Poisoned (cells with $r_p < 0.05$) but not yet removed parasitic cells
 675 are coloured grey. In these simulations, the beneficial colony was allowed $\tau = 300$ time steps to
 676 grow before invasion. The snapshots of the simulations are taken every 30 update steps. Model
 677 parameters are: $r_{B,0} = 0.8$, $r_{P,0} = 0.8$, $r_+ = 0$, $c = 0.4$, $\rho_{B,0} = 1$, $\rho_+ = 0$, $\alpha_B = 0.5$, $\alpha_P = 0.5$,
 678 $\beta_B = 0.4$, $\beta_P = 0$, $\gamma_B = 0.4$, $\gamma_P = 0.4$, $\varphi = 0.25$, $a = 1$, $T = 1$, $k = 25$, $\tau = 300$, $N = 10\,000$,
 679 $n_{B,0} = 100$, $n_{P,t} = 10$, $f = 0.01$, $\Delta t = 1/10$, $u = 100$.

680 **Supplementary information**

681

682 **Supplementary information 1. The growth dynamic of a colony in the individual-based**
683 **model.** The colony growth follows a logistic growth dynamic in the model (Supplementary Fig.
684 1). Depending on the choice of ε , we observe full colonisation of the surface within a given
685 timeframe. To better investigate the competition dynamics between the two types on a fine
686 timescale, we choose $\varepsilon = 0.01$ for our investigations.



687

688 **Supplementary Figure 1.**

689 The growth dynamic of a colony follows a logistic trend in the model. We show the relative
690 colony size (y-axis) with respect to time (x-axis) with $\varepsilon = 1$ (light blue), $\varepsilon = 0.1$ (medium blue),
691 and $\varepsilon = 0.01$ (dark blue), where ε is the fraction of randomly chosen grid cells that is updated in
692 the cellular reproduction and death processes. The smaller the ε , the slower the growth in our
693 model. Relevant model parameters are: $D = 5$, $\Delta t = 1/10$, $u = 100$, for **a** $n_{B,0} = 100$, $N =$
694 10 000.

695

696 **Supplementary information 2. Mathematical formulation of the reaction-diffusion**
697 **dynamics for the mean-field model.**

698 We assume that the antibiotic molecules are point-like particles moving on a host-surface
699 plane. Consequently, we can use reaction-diffusion dynamics to describe change in the
700 extracellular antibiotic concentration $A^{Ext}(\mathbf{x}, t)$ at points $\mathbf{x} = (x, y)$ (representing the coordinates
701 on a surface) and times t

702 (6)

$$\frac{\partial A^{Ext}(\mathbf{x}, t)}{\partial t} = D \left(\frac{\partial^2 A^{Ext}(\mathbf{x}, t)}{\partial x^2} + \frac{\partial^2 A^{Ext}(\mathbf{x}, t)}{\partial y^2} \right) + F(A^{Ext}(\mathbf{x}, t))$$

703 where the first term on the right hand side is the diffusion term, and $F(A^{Ext}(\mathbf{x}, t))$ is the
704 reaction term, which depends on the extracellular antibiotic concentration (A^{Ext}) and the
705 positions and types of the cells. Using the above defined parameters and dynamical processes,
706 we can write

707 (7)
$$F(A^{Ext}(\mathbf{x}, t)) = \sum_{i=1}^N (\rho_*(t) + \beta_* A^{Int}(i, t) - \alpha_* A^{Ext}(i, t)) \delta(\mathbf{x} - i) - \varphi A^{Ext}(i, t),$$

708 where the antibiotic sources and sinks are summed in the parentheses, i is the position of a
709 cell among the N cells, which can either be **B** or **P** denoted by $*$ in the bottom index where
710 applicable, $A^{Int}(i, t)$ is the intracellular concentration of the antibiotic at position i , and δ is the
711 Dirac delta [80].