Changes in the Active, Dead, and Dormant Microbial Community Structure Across a Pleistocene Permafrost Chronosequence

- 2 a Pleistocene Permafrost Chronosequence3
- 4 Running Title:
- 5
- 6 Alex Burkert¹, Thomas A. Douglas², Mark P. Waldrop³, and Rachel Mackelprang¹
- 7 8

- ¹Department of Biology, California State University, Northridge, CA 91330, USA
- ² U.S. Army Cold Regions Research and Engineering Laboratory, Fort Wainwright,
 Alaska 99703, US
- 11 Al 12
- ³ Geology, Minerals, Energy, and Geophysics Science Center, U.S. Geological Survey,
- 14 Menlo Park, CA, 94025, USA
- 15
- 16 *Corresponding author information:
- 17 Address: 18111 Nordhoff St. Northridge, CA 91330
- 18 Email: rachel.mackelprang@csun.edu
- 19 Phone: 818-677-4589
- 20 Fax: 818-677-2034
- 21
- 22 Support:
- 23 RM: NASA #NNX15AM12G
- 24 MPW: NASA #NNH15AB58I
- 25 TAD: U.S. Army Basic Research (6.1) Direct Program to the Engineer Research and
- 26 Development Center
- 27
- 28 The authors declare no conflict of interest

29 ABSTRACT

30	Permafrost hosts a community of microorganisms that survive and reproduce for
31	millennia despite extreme environmental conditions such as water stress, subzero
32	temperatures, high salinity, and low nutrient availability. Many studies focused on
33	permafrost microbial community composition use DNA-based methods such as
34	metagenomic and 16S rRNA gene sequencing. However, these methods do not
35	distinguish between active, dead, and dormant cells. This is of particular concern in
36	ancient permafrost where constant subzero temperatures preserve DNA from dead
37	organisms and dormancy may be a common survival strategy. To circumvent this
38	we applied: (i) live/dead differential staining coupled with microscopy, (ii)
39	endospore enrichment, and (iii) selective depletion of DNA from dead cells to
40	permafrost microbial communities across a Pleistocene permafrost chronosequence
41	(19K, 27K, and 33K). Cell counts and analysis of 16S rRNA gene amplicons from
42	live, dead, and dormant cells revealed how communities differ between these pools
43	and how they change over geologic time. We found clear evidence that cells capable
44	of forming endospores are not necessarily dormant and that the propensity to form
45	endospores differed among taxa. Specifically, Bacilli are more likely to form
46	endospores in response to long-term stressors associated with permafrost
47	environmental conditions than members of Clostridia, which are more likely to
48	persist as vegetative cells over geologic timescales. We also found that exogenous
49	DNA preserved within permafrost does not bias DNA sequencing results since its
50	removal did not significantly alter the microbial community composition. These
51	results extend the findings of a previous study that showed permafrost age and ice

52 content largely control microbial community diversity and cell abundances.

53

54 **IMPORTANCE**

55 The study of permafrost transcends the study of climate change and 56 exobiology. Permafrost soils store more than half earth's soil carbon despite 57 covering ~15% of the land area (Tarnocai et al 2009). This permafrost carbon is 58 rapidly degraded following thaw (Tarnocai C et al 2009, Schuur et al 2015). 59 Understanding microbial communities in permafrost will contribute to the 60 knowledge base necessary to understand the rates and forms of permafrost C and N 61 cycling post thaw. Permafrost is also an analog for frozen extraterrestrial 62 environments and evidence of viable organisms in ancient permafrost is of interest 63 to those searching for potential life on distant worlds. If we can identify strategies 64 microbial communities utilize to survive permafrost we can focus efforts searching 65 for evidence of life on cryogenic cosmic bodies. Our work is significant because it contributes to an understanding of how microbial life adapts and survives in the 66 67 extreme environmental conditions in permafrost terrains across geologic timescales. 68

69 INTRODUCTION

Permafrost contains active microbial communities that are moderately diverse (1) (2). DNA-based methods, such as metagenomics and 16S rRNA gene sequencing, are commonly used to interrogate these communities with the underlying assumptions that the data represent intact viable cells or that nonviable cells do not strongly affect conclusions drawn from whole community DNA. However, DNA from dead cells may

75	drastically alter estimates of diversity and abundance. Furthermore, because many
76	communities host dormant cells, DNA-based approaches may not represent active
77	members. In temperate soils, up to 40% of DNA is from dead or compromised cells (3).
78	In permafrost, the amount of 'relic' DNA may be even higher because frozen conditions
79	preserve DNA from dead cells. In non-permafrost environments, multi-omic approaches
80	provide functional information from RNA and protein, largely overcoming the problems
81	of dormancy and relic DNA. In permafrost, this strategy has only been successfully
82	applied in young near-surface permafrost due to low biomass and activity in older
83	samples (4) (5). Therefore, in older deeper permafrost, other methods must be used to
84	differentiate between live, dead, and dormant cells.
85	Alternative methods to multi-omics investigations, include microscopy, stable
86	isotope probing, and physiological measurements with microbial isolates, have been used
87	on permafrost samples to demonstrate that an active community exists. Electron-
88	microscope examinations have shown evidence of apparently intact (no visual damage to
89	cell envelopes), compromised (cell envelope ruptures), and dormant (endospores and
90	cells with thick capsules) cells in permafrost (6) (7) (8). Using live/dead differential
91	staining coupled with fluorescence microscopy, Hansen et al (2007) estimated that 26%
92	of cells from a permafrost microbial community on Svalbard were viable (2). Stable
93	isotope probing revealed that permafrost microorganisms can build biomass and replicate
94	their genomes at subzero temperatures as demonstrated through the incorporation of 14 C-
95	labeled acetate into lipids (9) and DNA (10). Similarly, studies involving permafrost
96	microbial isolates have discovered microorganisms capable of reproduction at -15 $^{\circ}$ C and
97	metabolism down to -25°C (11) (12).

98	Though these studies show permafrost microbes exist in active states, dormancy is
99	still a viable strategy for many taxa. Microorganisms enter dormancy in variety of ways,
100	though the hardiest and most persistent is the endospore formed by some Gram-positive
101	taxa in response to nutrient limitation, temperature extremes, or other stressors (13).
102	However, endospore formation does not appear to be a universal survival strategy in
103	permafrost because the relative abundance of endospore-forming taxa varies substantially
104	across the Arctic and sub-Arctic, ranging from vanishingly rare to almost 80% (14) (15)
105	(16). The abundance may be related to soil physicochemical properties including depth
106	(17) (18), ice content, and permafrost age (16) (19). Furthermore, endospore-forming
107	taxa in permafrost are not necessarily dormant. Hultman et al (2015) used RNA to DNA
108	ratios to show that Firmicutes in young Holocene permafrost are more active than
109	expected based on DNA abundance alone, demonstrating that dormancy cannot be
110	inferred based solely on 16S rRNA gene amplicon sequencing (4).
111	While endospore formation may contribute to long-term survivorship in
112	permafrost it is unclear whether this strategy is optimal across geologic timescales.
113	Despite resistance to extreme conditions, DNA within an endospore can still accumulate
114	damage (20). Typically, DNA damage is repaired upon germination by DNA repair
115	machinery (13). However, damage accumulated over geologic timescales may be beyond
116	the ability of repair enzymes to remedy. Willerslev et al (2004) amplified 16S rRNA
117	genes from globally distributed permafrost soils ranging from $0 - 600$ kyr old and found
118	non-endospore-forming Actinobacteria were more highly represented than the endospore-
119	forming Firmicutes in samples of increasing age (>100 kyr) (19). Johnson et al (2007)
120	used a uracil-N-glycosylase treatment to break down damaged DNA extracted from

121	ancient permafrost and found Actinobacteria rather than endospore-forming Firmicutes
122	were more highly represented in the oldest samples (>600 kyr) (21). This suggests
123	metabolic activity and active DNA repair may be a better survival strategy than
124	dormancy in increasingly ancient permafrost. Therefore, endospore formation may not
125	be an optimal survival strategy in permafrost for timescales beyond the Late Pleistocene.
126	Here we address the unresolved question of whether indicators of life (DNA,
127	spores) in increasingly ancient permafrost are from live viable microbial communities or,
128	instead, originate from only dead and dormant cells. We hypothesized that dormancy
129	would increase with age but endospore formation wouldn't be the sole mechanism for
130	survival. Viable non-endospore forming taxa should also be present and some endospore-
131	forming cells should exist in a non-dormant state. We also hypothesized that cell
132	abundance would decrease with age, but cell abundance could also be affected by the
133	biophysical conditions of the site when it was frozen into permafrost. Further, we asked
134	whether preserved DNA from dead cells is so compositionally dissimilar from DNA from
135	live cells that it alters inferences about microbial community structure in permafrost
136	made with total soil DNA.
107	

137

138 MATERIALS & METHODS

139 **Permafrost Sample Collection.** We collected frozen permafrost samples from the

140 United States Cold Regions Research and Engineering Laboratory (CRREL) Permafrost

141 Tunnel research facility located 16 km north of Fairbanks, Alaska (64.951°N, -

142 147.621°W) (Figure S1A). The facility includes 300 m of tunnels excavated into the

143 permafrost. The tunnel where samples were collected extends 110 m horizontally at a

144	depth of ~15 m into a hillside exposing a chronosequence of late Pleistocene permafrost
145	(Figure S1B) (22) (23). The temperature of the tunnel is maintained by refrigeration at -3
146	°C. It contains massive ice wedges (24) (25) surrounded by high organic content ice
147	cemented windblown silt (26). In April 2016, we collected ice cemented silt from three
148	locations inside the tunnel representing three age categories: 19K (approximately 10 m
149	from the portal), 27K (54 m), and 33K (88 m) as determined previously by radiocarbon
150	dating (16). After removing the sublimated surface layer (~5 cm) from the walls of the
151	tunnel, we collected five replicate cores per age category using a 7.5 x 5 cm key hole saw
152	attached to a power drill as described previously (16). Cores were shipped back to
153	California State University, Northridge (CSUN) on dry ice and stored at -20 °C.
154	Permafrost Subsampling. For subsampling, we placed cores on autoclaved foil at room
155	temperature for 10 minutes to allow the outer ~1 cm to thaw and soften. Surface
156	contamination was removed by scraping the outer layer with an autoclaved knife to
157	expose the uncontaminated frozen interior. We sub-sectioned the remaining
158	uncontaminated material using a fresh knife into sterile 50 ml falcon tubes and high-
159	density polyethylene bags in preparation for downstream treatment.
160	Soil Chemistry. Ice content was measured via gravimetric moisture analysis. To
161	determine pH, soil was diluted 1:1 in a CaCl ₂ solution and measured using a Hannah
162	benchtop meter with attached probe (Hanna Instruments, Woonsocket, RI). Percent total
163	carbon, organic carbon, total nitrogen, and carbon:nitrogen were measured via dry
164	combustion and direct measurement of total nutrients using an Elementar analyzer
165	(Elementar, Langenselbold, Germany). Dissolved organic carbon (DOC) was measured
166	using diluted meltwater on a Shimadzu total organic carbon (TOC) analyzer (Shimadzu

167 Corporation, Kyoto, Japan). Electrical conductivity (EC) was measured using a digital 168 benchtop meter with a potentiometric probe submerged in a diluted soil solution (Hanna 169 Instruments, Woonsocket, RI). 170 **Cell Separation for Enumeration via Microscopy.** For cell enumeration, cells were 171 separated from the permafrost soil matrix using Nycodenz density cushion centrifugation 172 as described previously (27) (28) (29) (30) (31). To separate cells from soil debris we 173 disrupted 1.5 g of soil in a mild detergent consisting of 2 ml of 0.05% Tween 80 and 50 174 mM tetrasodium pyrophosphate buffer (TTSP) (28) (32) and sonicated for 1 minute at 175 20V using a QSonica ultrasonicator (QSonica, Newtown, CT) with a 0.3 cm probe. 176 Sonicated samples were centrifuged at 750 x g for 7 min at 4 °C to remove large particles 177 and debris. We extracted 600 μ l of the supernatant and layered it over 600 μ l of 1.3 g/L 178 Nycodenz (Accurate Chemical, Westbury, NY) solution in a 2 ml tube. The tubes were 179 centrifuged at 14,000 x g for 30 min at 4 °C. We transferred 600 µl of the upper and 180 middle phase containing bacterial cells into a sterile 2 ml tube and centrifuged at 10,000 x 181 g for 15 min at 4 °C. The supernatant was discarded, and the pellet was resuspended in 1 182 ml of 0.85% NaCl solution. 183 Live/Dead Staining. The Live/Dead BacLight Bacterial Viability Kit (Invitrogen 184 Detection Technologies, Carlsbad, CA) was used to differentially stain live and dead 185 cells. We added 3 µl of a 1:1 mixture of 3.34 mM SYTO 9 and 20 mM propidium iodide

- 186 solution to 1 ml cell suspensions as per the manufacturer's protocols. Stained
- 187 suspensions were incubated at room temperature for 15 minutes in the dark to allow the
- 188 dyes to permeate cells and bind to DNA.

189 **DAPI Staining.** DAPI staining was performed to obtain total cell counts. After removal

190 of soil debris (as described above in Cell Separation for Enumeration via Microscopy),

- 191 we added 3 µl of 14.3 mM DAPI stock solution to each 1 ml cell suspension. Stained
- 192 suspensions were incubated in the dark at room temperature for 15 minutes.
- 193 **Cell Enumeration.** We diluted and vacuum filtered the stained suspensions onto a 25
- 194 mm diameter 0.2 µm pore size black polycarbonate membrane which we placed on a
- 195 slide with sterile forceps. Samples were observed at 100X magnification on a single
- 196 focal plane using a Zeiss Axio Imager M2 fluorescence microscope coupled to an
- 197 Apotome 2.0 System with appropriate filters for each stain (Zeiss, Oberkochen,
- 198 Germany). We counted fifteen fields of view for live/dead and DAPI stained cells for

199 each sample (33) (34). The average number of cells per field of view was multiplied by

- 200 the area of the filter and the dilution factor then corrected for dry weight to calculate the
- 201 average number of cells per gram of dry weight.
- 202 Cell Separation for DNA Extraction (SHMP Method). While Nycodenz density
- 203 centrifugation is effective at removing soil debris, making it ideal for microscopic
- visualization, it is biased against endospores and heavily attached cells (35). To address
- this we used a second less-biased method to extract cells for DNA-based analyses (36).
- We disrupted 5 g of sample in 25 ml of 1% sodium hexametaphosphate buffer (SHMP)
- and sonicated for 1 minute at 20V using a QSonica ultrasonicator with a 0.6 cm probe.
- 208 The samples were left for 15 minutes to allow large particles and debris to settle before
- transferring the supernatant to a clean 50 ml tube. We added 15 ml of 1% SHMP to the
- 210 pellet and sonicated again with a 0.6 cm probe for 1 minute at 20V. The mixture was
- 211 incubated for another 15 minutes to allow debris to settle and then we combined the new

supernatant with the supernatant from the previous step. To further remove large

213 particles and debris we centrifuged the combined supernatant at 20 x g for 2 minutes. We

- then divided the supernatant equally into two 50 ml tubes as an experimental group
- 215 (which received either the propidium monoazide treatment or the lysozyme enzyme
- treatment) and a control group (which received no treatment). These tubes were
- 217 centrifuged at 10,000 x g for 15 minutes to pellet biomass. The biomass pellet was either
- stored at -20 °C to await DNA extraction (in the case of the control pellets) or
- 219 immediately used for downstream treatments.

220 Depletion of DNA from Dead Cells via Propidium Monoazide Treatment. To deplete

221 DNA from dead cells we treated cells extracted using the SHMP method with propidium

222 monoazide (PMAxx, Biotium Inc., Hayward, CA), which is a DNA-intercalating dye

similar to the nucleic acid dye propidium iodide (37). It is selectively permeable, passing

through the impaired membranes of dead cells, but it is unable to penetrate the

225 membranes of living cells. In the presence of intense bright light, the azide group enables

propidium monoazide to covalently cross link double stranded DNA, preventing its

amplification via PCR (38).

For the propidium monoazide treatment we resuspended the extracted cell pellets
in 500 μl of 0.85% NaCl solution and placed them in clear 1.5 ml microcentrifuge tubes.

230 We added 2.5 µl of 20 mM propidium monoazide solution to each microcentrifuge tube

resulting in a final concentration of 100 µM. We increased the concentration from the

commonly used 50 µM due to the presence of leftover soil debris following cell

extraction as recommended for environmental samples by Heise et al (2016) (39) (40).

Tubes were incubated in the dark at room temperature for 10 minutes. After incubation

we placed the tubes on a sheet of foil in an ice bucket to prevent warming. A 500 W
halogen work lamp was placed 20 cm above the samples for 15 minutes. Every five
minutes, we mixed the samples gently to ensure even light distribution. Following light
exposure, we centrifuged samples at 10,000 x g for 15 minutes and discarded the
supernatant. We stored these propidium monoazide treated pellets at -20 °C until use in
downstream DNA extractions.

241 Cell extraction from soil fails to remove all soil particles, which can subsequently 242 block light penetration and prevent propidium monoazide from cross-linking to DNA. 243 To verify that our propidium monoazide treatment is effective in the presence of the 244 small number of remaining particles we extracted cells from temperate control soils 245 collected from the California State University, Northridge (CSUN) campus, spiked the sample with 3.6 x 10^8 isopropanol-killed *Escherichia coli* cells, and treated the mixture 246 247 with propidium monoazide. We also performed the treatment without E. coli spike-ins 248 on the same temperate control samples. The amount of DNA removed was determined 249 by comparing the number of copies of the 16S rRNA gene in the spiked and non-spiked 250 samples before and after treatment (as determined by qPCR—see below for detailed 251 protocols). The number of 16S rRNA gene copies decreased by ~66% after treatment 252 (Student's t-test, t (8.64) = 3.6, p < 0.01) showing that even in the presence of soil 253 particles, treatment removed 66% of added exogenous DNA. 254 Endospore Enrichment via Lysozyme Enzyme Treatment. To separate endospores 255 from vegetative cells we used a lysozyme enzyme treatment involving three steps: 256 physical, enzymatic, and chemical cell lysis (hereafter referred to as lysozyme enzyme 257 treatment following the convention of Wunderlin et al. (2016)) (36). The first physical

258 treatment uses heat to lyse vegetative cells. Second, lysozyme dissolves the cell 259 membrane followed by a solution of sodium hydroxide (NaOH) and sodium dodecyl 260 sulfate (SDS) to further disrupt cellular membranes. Finally, a DNAse treatment is used 261 to degrade the DNA from ruptured cells. 262 We resuspended cell pellets extracted using the SHMP method with 900 μ l of 1X 263 Tris – EDTA buffer (10 mM Tris and 1 mM EDTA; pH 8) and placed them into 2 ml 264 tubes. Tubes were placed in a heat block at 60 °C for 10 min with shaking at 80 rpm. After incubation, we let the tubes cool for 15 min to 37 °C before adding 100 µl of 265 266 lysozyme solution (20 mg/ml in 1X TE Buffer) and incubating in a heat block at 37 °C 267 for 60 min with shaking at 80 rpm. After lysis 250 µl of 3N NaOH and 100 µl of 10 % 268 SDS was added to the sample, reaching a final volume of 1.35 ml, which we incubated at room temperature for 60 min at 80 rpm. After the final incubation, we centrifuged the 269 270 solution at 10,000 x g for 15 minutes to pellet cell debris and discarded the supernatant. 271 We resuspended the pellet with 450 µl of water, 50 µl of 1X DNAse reaction buffer and 1 272 µl DNAse enzyme (New England Biolabs, Ipswich, MA) and left it for 15 min to remove 273 DNA from lysed and dead cells. Following the DNase treatment we centrifuged the tubes 274 for 15 min at 10,000 x g to pellet endospores and discarded the supernatant. The pellet 275 was then resuspended in 1 ml 0.85% NaCl solution to wash away residual DNAse 276 enzyme. We centrifuged the suspension at 10,000 x g for 15 min, discarded the 277 supernatant, and stored the lysozyme enzyme treated pellet at -20°C in a sterile 278 Eppendorf tube until it was used for downstream DNA extraction. 279 To confirm that lysozyme treatment depletes vegetative cells we extracted cells 280 from temperate control soils collected on the CSUN campus, spiked the samples with 1.4

281	x 10^7 live <i>E. coli</i> cells, and performed the lysozyme enzyme treatment. We used the
282	same treatment on the temperate control soils without E. coli spike-ins. By comparing
283	the number of copies of 16S rRNA genes before and after treatment in the spiked and
284	non-spiked samples we were able to measure the amount of DNA removed (as
285	determined by qPCR—see below for detailed protocols). Endospore enrichment
286	treatment resulted in significant (82%) removal of DNA from vegetative E.coli cells
287	(Student's t-test, t (8.02) = -9.68, $p < 0.01$), demonstrating the effectiveness of lysozyme
288	enzyme treatment in removing vegetative cells.
289	DNA Extraction. We performed DNA extractions using a modified bead-beating
290	protocol capable of lysing endospores, cysts, and cells with thickened walls, all of which
291	are known to exist in permafrost (8) (42) (43) . We resuspended propidium monoazide
292	treated, lysozyme enzyme treated, and control pellets in 775 μ l of lysis buffer (0.75M
293	sucrose, 20 mM EDTA, 40 mM NaCl, 50 mM Tris) and transferred them to a MP Bio
294	Lysis Matrix E Tube. We added 100 μ l of 20 mg/ml lysozyme and incubated the samples
295	at 37°C for 30 minutes. Following incubation, 100 μ l 10% SDS was added and the
296	samples were homogenized in an MP Biomedicals FastPrep 24 homogenizer for 20
297	seconds at 4.0 m/s. We placed the samples in a heat block at 99 °C for 2 minutes and
298	allowed them to cool at room temperature for 5 minutes. We added 25 μl of 20 mg/ml
299	Proteinase K and incubated samples at 55 °C overnight. The next day we centrifuged the
300	tubes at 10,000 x g for 15 minutes and transferred the supernatant to a clean 2 ml
301	Eppendorf tube. We used a FastDNA Spin Kit for Soil (MP Biomedicals, Santa Ana, CA)
302	for DNA extractions from the lysed cells following the manufacturer's protocols but
303	omitting the initial lysis step. The DNA was cleaned using a PowerClean DNA Clean-

304 Up (Mo Bio, Carlsbad, CA) and quantified using a Qubit 2.0 fluorometer (Thermofisher
305 Scientific, Canoga Park, CA).

306 PCR Amplification and Sequencing of the 16S rRNA gene. The variable region four 307 (V4) of bacterial and archaeal 16S rRNA genes from the propidium monoazide treated, 308 lysozyme enzyme treated, and control groups was amplified as described by Caporaso et 309 al (2012) but with the addition of 2 μ l of 20 mg/ml bovine serum albumin in each PCR 310 reaction. We used the golay barcoded primer set 515F/806R with an added degeneracy 311 to enhance amplification of archaeal sequences on the 515F primer and thermal cycling 312 steps recommended by the Earth Microbiome Project protocol version 4.13 (44). The 313 amplified PCR products from triplicate reactions for each sample were pooled at 314 approximately equal concentrations, as measured using a PicoGreen dsDNA 315 Quantification Assay Kit (Thermofisher Scientific, Canoga Park, CA). We quantified the 316 pooled 16S rRNA gene amplicons by qPCR using an Illumina Library Quantification Kit 317 (Kapa Biosystems, Wilmington, MA) on a CFX96 Real Time PCR Detection System 318 (Bio-Rad, Hercules, CA). 16S rRNA gene amplicons were sequenced with a 2 x 150 bp 319 v2 Reagent Kit on an Illumina MiSeq instrument. 320 We demultiplexed and quality filtered raw fastq data using the Quantitative 321 Insights Into Microbial Ecology (QIIME) software package version 1.9.1 (45). 322 Sequences were truncated at the first position with a quality score less than 3. Then 323 forward and reverse sequences were merged with a minimum merged sequence length of 324 200 bp, a minimum overlapping length of 20 bp, and a maximum of one difference in the 325 aligned sequence. All sequences that passed quality filtering were *de novo* clustered into 326 operational taxonomic units (OTUs) at 97% sequence identity using USEARCH (46).

327	We assigned taxonomy using the RDP classifier (47) with a confidence score of 0.5 (48)
328	(49). For phylogenetic metrics of diversity, a phylogenetic tree was constructed using
329	FastTree (50) as implemented in QIIME. We rarefied samples to an equal depth ($N =$
330	5000 sequences/sample) for all subsequent analyses. One OTU was only abundant (>3%
331	relative abundance) in the blank samples and samples which had the lowest DNA yields.
332	This OTU, from the genus Burkholderia, was removed from all other samples because it
333	was likely a result of laboratory contamination (51). 16S rRNA gene sequence data were
334	uploaded to the NCBI's sequence read archive under accession number SRP158034.
335	Quantitative PCR of the 16S rRNA gene. qPCR of the V4 region of the 16S rRNA
336	gene was conducted on propidium monoazide treated and lysozyme treated temperate soil
337	DNA after receiving a spike in of <i>E. coli</i> to evaluate these methods. Amplification was
338	accomplished using the 515F/806R primer set. Triplicate qPCR reactions were done in
339	25 μl volumes (12.5 μl of GoTaq qPCR Master Mix (Promega, Madison, WI), 1.25 μl of
340	each primer (17) (44), 5 μ l nuclease free water, and 5 μ l of template DNA) in 96 well
341	plates on a CFX96 Real Time PCR Detection System (Bio-Rad, Hercules, CA). The
342	thermal cycler program was as follows: 95 °C for 2 min, 40 cycles of (95 °C 15 sec, 60
343	°C 60 sec), and a melt curve analysis (60-95 °C). Quantified full-length <i>E. coli</i> 16S rRNA
344	gene amplicons were used to make a standard curve. A negative control lacking template
345	DNA was performed along with each qPCR.

Statistical Analysis. We tested for differences in soil chemical characteristics between
age categories using a Kruskal Wallis and a Dunn's post hoc test for nonparametric data
using the 'PMCMR' package in R (52). P-values were corrected using the False
Discovery Rate (FDR). Significant differences in the ratio of live to dead cells, the
proportion of live cells, and the direct cell counts for each stain between age categories
was also tested using the same methods.
We used the Shannon Diversity Index and phylogenetic diversity metrics to calculate

353 alpha diversity using the 'alpha diversity.py' script in QIIME (53). Differences in alpha

diversity between age categories and treatments were tested the same methods as for soil

355 chemistry. We computed beta diversity using the weighted UniFrac metric (54).

356 Differences between samples were visualized using principal coordinate analysis (PCoA)

using the 'phyloseq' package in R (55). We also used non-metric multidimensional

358 scaling coordinates of microbial community composition between samples for

359 PerMANOVA analysis as a function of soil chemistry data using the function 'adonis'

360 from the 'vegan' package in R (54) (56).

361 Differences in the relative abundance of specific taxa between treatments and age

362 categories were tested using a linear mixed effect model on rank transformed taxa

abundances and nested treatment as a factor within age using the 'nlme' package in R

364 (57). P-values were corrected using the FDR. The specific taxa indicated were tested for

365 various pairwise comparisons between treatments and untreated controls for each age

366 category using a Mann-Whitney-Wilcoxon test using the 'wilcoxon.test' function in R.

367 Differences in 16S rRNA gene copy number, as quantified using qPCR, between

368 propidium monoazide treated samples and untreated controls (including samples given a

200		1 17 11 11	\ 1.1 1	• • • •	/ • • • • •
369	snike in with deg	ad <i>E. coli</i> cells) and the endospore	enriched samples	(given a snike in
507	spine in with det) und the chaosport	contened sumples	(griven a spine m

- 370 with live *E. coli* cells) were tested with a t-test using the 't.test' function in R.
- 371

372 **RESULTS**

- 373 Soil Chemistry. Soil physicochemical properties including ice content, carbon, nitrogen,
- pH, and conductivity varied significantly among age categories (Kruskal Wallis, p < 0.01
- 375 for all measurements, Table 1). These values were consistently higher in the intermediate
- age category compared to the older and younger samples.
- 377 Cell Enumeration. We performed cell counts across the chronosequence using live/dead
- and DAPI staining coupled with fluorescent microscopy. Average cell counts ranged
- from 3.6 x 10^{6} to 9.2 x 10^{6} cells [gram dry weight (gdw)⁻¹] (live cells), 1.7 x 10^{7} to 4.5 x
- 10^7 cells gdw⁻¹ (dead cells), and 2.3 x 10^7 to 4.7 x 10^7 cells gdw⁻¹ (total cell count). Live
- 381 (Kruskal Wallis, $X^2(2) = 46.25$, p < 0.001), dead (Kruskal Wallis, $X^2(2) = 53.16$, p <
- 0.001), and total (Kruskal Wallis, X² (2) = 53.58, p < 0.001) counts were significantly
- 383 different among categories and higher in the intermediate age samples compared with the
- oldest (Dunn's test, p < 0.01) and youngest (Dunn's test, p < 0.01) samples (Figure 2A).
- 385 The proportion of live cells was significantly higher in intermediate aged samples (26%)
- compared to the youngest samples (14%) (Kruskal Wallis, X^2 (2) = 9.84, p < 0.01,
- 387 Dunn's test, p < 0.01, Figure 2B). In the oldest samples, 25% of the cells were live
- though this was not significantly different than the values observed for the youngest or
- intermediate aged samples. The ratio of live cells to dead cells did not change
- 390 significantly across the chronosequence (Kruskal Wallis, $X^2(2) = 1.38$, p > 0.05).

391	Endospore Enrichment via Lysozyme Enzyme Treatment. The community of cells
392	remaining after lysozyme enzyme treatment had reduced alpha-diversity compared with
393	non-treated controls as measured via phylogenetic richness (Kruskal Wallis, $p < 0.05$,
394	Figure 2D) and the Shannon Index (Kruskal Wallis, $p < 0.05$, Figure S2). The enzyme
395	treatment increased the relative abundance of three phyla—Firmicutes, Actinobacteria,
396	and Chlamydiae (Figure 3A). Endospore enrichment tended to increase the relative
397	abundance of Firmicutes in all age categories but was only significant for the youngest
398	(Mann-Whitney-Wilcoxon test, $U = 0$, $p < 0.01$) and intermediate (Mann-Whitney-
399	Wilcoxon test, $U = 2$, $p < 0.05$) age categories. At the class level, endospore enrichment
400	changed the abundance of Bacilli and Clostridia, but in opposing directions. It increased
401	the relative abundance of Bacilli across all age categories, growing more pronounced in
402	the older samples (youngest: 5.8%, intermediate: 9.3%, oldest: 18.6%). These data were
403	significant for each age category (Mann-Whitney-Wilcoxon test, $U = 2$, $p < 0.05$, Figure
404	3B). In contrast, endospore enrichment significantly decreased the relative abundance of
405	Clostridia in the oldest age category (10%) (Mann-Whitney-Wilcoxon test, U = 2, p <
406	0.05, Figure 3B). Treatment did not significantly change the relative abundance of
407	Clostridia in the youngest and intermediate samples. These trends were driven by the
408	families Planococcaceae, Thermoactinomycetaceae, Bacillaceae, and Paenibacillaceae
409	for Bacilli and the family Clostridiaceae for Clostridia (Table 2).
410	Endospore enrichment increased the relative abundance of Actinobacteria in the
411	youngest age category from 22.4% to 32.8% (Mann-Whitney-Wilcoxon test, U = 0, p $<$
412	0.01, Figure 3A). This increase was driven by the families <i>Micrococcaceae</i> within the
413	Actinomycetales, as well as the Gaiellaceae and Solirubrobacteraceae (Table 2). There

- 414 were no significant differences in Actinobacteria relative abundance due to endospore
- 415 enrichment in the intermediate and oldest samples.
- 416 Chlamydiae relative abundance increased in the youngest age category from 0.7%
- 417 to 2.0% as a result of endospore enrichment (Mann-Whitney-Wilcoxon test, U = 0, p <
- 418 0.01, Figure 3A). This trend was driven by an increase in the relative abundance of the
- 419 family Chlamydiaceae. All other major taxa including Proteobacteria,
- 420 Alphaproteobacteria, Deltaproteobacteria, Bacteroidetes, Acidobacteria, Chloroflexi, and
- 421 Planctomycetes decreased in relative abundance due to the endospore enrichment (Table
- 422 S1).

423 Depletion of DNA from Dead Cells via Propidium Monoazide Treatment. To

- 424 determine if DNA from dead cells biases estimates of taxonomic relative abundance from
- 425 the whole community we used a propidium monoazide treatment to deplete dead cell
- 426 DNA. Depletion did not significantly change the relative abundance of taxa at the
- 427 phylum, class, order, or family levels for any of the age categories (Mann-Whitney-
- 428 Wilcoxon test, p > 0.05). Actinobacteria were consistently less abundant in depleted
- 429 samples compared with non-depleted controls. Unlike the results from endospore-
- 430 enrichment experiments, propidium monoazide treatment did not change alpha diversity
- 431 measurements—there were no significant differences in depleted samples compared with
- 432 non-depleted controls (Kruskal Wallis, p > 0.05, Figure 2D & S2).
- To confirm propidium monoazide treatment successfully depleted DNA from dead and membrane-compromised cells we determined 16S rRNA gene copy number in treated and untreated samples using qPCR. Depletion decreased copy number for the
- 436 youngest (~24%, Student's t-test, p > 0.05), intermediate (~62%, Student's t-test, t (16) =

437 4.11, p < 0.01), and the oldest samples (~58%, Student's t-test, t (26) = 5.45, p < 0.01,

438 Figure 2C). 16S rRNA gene copy number varied significant across ages for the depleted

439 samples (Kruskal Wallis, $X^2(2) = 25.05$, p < 0.001) and control samples (Kruskal Wallis,

440 $X^2(2) = 31.25$, p < 0.001). The oldest samples had significantly fewer 16S rRNA gene

441 copies compared to the youngest (Dunn's test, p < 0.01) and intermediate (Dunn's test, p

442 < 0.01) for both the depleted and control samples (Figure 2C).

443 **16S rRNA gene-based community analysis.** To explore how experimental treatments

444 influenced overall community structure we compared 16S rRNA gene sequences from

445 19K, 27K, and 33K old permafrost from treated and control samples (n = 5 replicate

samples x 3 age categories x 4 treatments = 60 samples total). Comparisons of diversity

447 between samples revealed that samples clustered by age regardless of treatment (Figure

448 S3A). When comparing the samples within each age category, endospore enriched

samples consistently clustered separately from controls and DNA-depleted samples

450 (Figure S3B-D). Phyla that differed significantly based on age and treatment (endospore

451 enrichment and depletion of DNA from dead cells) using a linear mixed effect model

452 included Proteobacteria, Actinobacteria, Firmicutes, Bacteroidetes, Chloroflexi,

453 Acidobacteria, Planctomycetes, and Chlamydiae (FDR corrected p < 0.01).

454 Overall, alpha diversity estimates were highest in the youngest category and

455 lowest in the intermediate age (Table S2). All soil chemistry measurements correlated

456 significantly with non-metric multidimensional scaling vectors (PerMANOVA, p < 0.05,

457 Figure S4). Age and ice content were the strongest drivers of community structure

458 (PerMANOVA, $R^2 = 0.49$, F = 181.35, p < 0.001; PerMANOVA, $R^2 = 0.26$, F = 91.98, p

459 < 0.001). Other measurements had a small but significant effect on community structure:

460 DOC (PerMANOVA,
$$R^2 = 0.04$$
, $F = 13.13$, $p < 0.001$), C:N (PerMANOVA, $R^2 = 0.03$, F

461 = 12.27, p < 0.001), Total C (PerMANOVA,
$$R^2 = 0.02$$
, F = 6.63, p < 0.01), and Total N

462 (PerMANOVA,
$$R^2 = 0.01$$
, $F = 3.43$, $p < 0.05$).

463

464 **DISCUSSION**

465 In this study we used three complimentary methods—two of which have never 466 been applied to permafrost—to distinguish between live, dead, and dormant cell types: 467 live/dead staining, endospore enrichment, and depletion of DNA from dead cells. We 468 present evidence that endospore-forming organisms observed in DNA-based studies are 469 not always dormant. Instead, among potential endospore-forming organisms the tendency 470 to exist as an endospore was taxa dependent. Under the stressful conditions associated 471 with long-term interment in permafrost, members of class Bacilli were more likely to 472 exist as endospores in increasingly ancient permafrost while members of Clostridia were 473 more likely to remain in a non-dormant state. This trend grew more pronounced as 474 sample age increased. For Bacilli, this trend was driven by the families *Planococcaceae*, 475 Thermoactinomycetaceae, Bacillaceae, and Paenibacillaceae which are predominantly 476 aerobes (58). For Clostridia, this trend was driven by the family *Clostridiaceae* which 477 decreased by over 9% in the endospore-enriched samples compared to non-enriched 478 controls from the oldest aged category. Most members of the family *Clostridiaceae* are 479 obligate anaerobes, with metabolic strategies ranging from fermentation to anaerobic 480 respiration to chemolithoautotrophy (58). The limited oxygen availability in permafrost 481 could be a stressor that contributes to endospore formation for groups of Bacilli while 482 anaerobic and metabolically diverse Clostridia are able to persist in a non-dormant state.

483 These data suggest endospore formation is a viable survival strategy for Bacilli against 484 the conditions of ancient permafrost, at least for the timescales observed in this study. 485 However, over increasing timescales endospores can accumulate DNA damage. In the 486 absence of active repair machinery, this damage may become lethal (13) (19) (21). 487 Further investigations into even older permafrost may show decreases in endospore 488 forming taxa in favor of organisms that can actively repair DNA damage as has been 489 suggested previously (19). 490 The presence of endospore-forming Firmicutes is highly variable across Arctic

491 permafrost though they have been shown to increase in relative abundance over geologic

492 timescales (16). Tuorto et al (2014) used stable isotope probing to identify active

493 community members and found that Firmicutes were not among those replicating their

494 genomes at subzero temperatures with the caveat that stable isotope probing only

495 identifies taxa that actively replicate their genomes. The study would not have identified

496 cells that were metabolically active but not dividing during the experimental timeframe.

497 (10). Other studies demonstrate that endospore-forming taxa are active. For example,

498 Hultman et al (2015) found the abundance of transcripts from Firmicutes exceeded

499 expected levels based on the abundance of DNA sequence reads (4).

Though our endospore treatment was designed to enrich for true endospores it also enriched for two other phyla—Actinobacteria and Chlamydiae—but only in the youngest age category. Actinobacteria were previously found to resist this treatment perhaps due to their ability to form dormant and spore-like structures (59). In our samples, members of families *Micrococcaceae*, *Solirubrobacteraceae*, and *Gaiellaceae*

505 were overrepresented in our endospore-enriched group compared to non-enriched

506	controls. Members of these families can survive radiation, starvation, and extreme
507	desiccation (21) (58), which may also confer the ability to resist lysozyme treatment.
508	Unexpectedly, Chlamydiae were also more abundant in the endospore-enriched
509	samples compared to non-enriched controls from the youngest age category. This was
510	driven exclusively by the family Chlamydiaceae, which are not known to have resting
511	states (58). All genera within this class are obligate intracellular symbionts of
512	Acanthamoeba. Acanthamoeba are a genus of single-celled eukaryotes commonly found
513	in freshwater and soil. They exist in free-living forms and as stress-resistant dormant
514	cysts (60) which could account for the increase in the relative abundance of Chlamydiae
515	in the endospore-enriched samples. Several studies have found intact and viable
516	Acanthamoeba cysts in Holocene and Pleistocene permafrost (61) (62). Though we did
517	not sequence eukaryotic marker genes, it is possible that there are Acanthamoeba in our
518	samples.
519	Depleting DNA from dead cells did not significantly alter microbial community
520	composition suggesting that sequencing 16S rRNA marker genes from total DNA
521	provides a reasonable representation of community structure in permafrost. This result
522	would be expected when death rate and the rate of degradation of dead cells is
523	proportional among taxa (63). Though depletion experiments did not bias estimates of
524	relative abundance, it reduced 16S rRNA gene copy number by ~48%, suggesting that
525	~1/2 of DNA from permafrost is 'relic DNA.' Our estimate of relic DNA is higher than
526	values taken from temperate soil where $\sim 40\%$ of DNA is relic (3).
527	One potential limitation of using the propidium monoazide treatment to deplete

528 DNA from dead cells is that soil particles can prevent light penetration and limit efficacy.

529 We attempted to mitigate this concern by extracting biomass from soil particles,

530 performing intermittent mixing during treatment, and increasing PMA concentration for

531 particle-rich samples (39). We also confirmed the efficacy of the experiment by spiking

samples with dead *E. coli* cells and showed that even in the presence of soil particles,

533 treatment removed 66% of added exogenous DNA.

534 Direct cell counts and analysis of 16S rRNA amplicons from permafrost soils 535 showed that age and ice content were the most important drivers of cell abundance and 536 microbial diversity, similar to a prior study conducted in the Permafrost Tunnel with 537 samples collected directly adjacent ours (16). While endospore enrichment significantly 538 altered microbial community structure within each age group compared to non-enriched 539 samples, the effects of age and ice content were still much stronger predictors of beta 540 diversity among samples from all ages.

Microbial cell counts ranged from 2.3 x 10^7 to 4.7 x 10^7 cells gdw⁻¹ and were 541 542 consistent with previous studies from Arctic and sub-Arctic permafrost (1) (14) (15) (64)543 (65), but were one to two orders of magnitude lower than is commonly observed in 544 temperate soils (66) (67) (68). Among the three age categories the intermediate had the 545 highest number of live, dead, and total cells and the highest proportion of live cells. 16S 546 rRNA gene copy number from total community DNA and from samples depleted of dead 547 DNA using propidium monoazide showed the highest counts in the intermediate age 548 category and the lowest counts in the oldest category, similar to cell count data derived 549 from live/dead staining. The oldest samples also had a markedly higher proportion of live 550 cells than the youngest samples, though the data narrowly missed the significance cutoff. 551 The high proportion of live cells in the intermediate and oldest samples may be

attributable to the higher levels of DOC measured in those samples. It also may be due to
increased reliance on necromass as labile carbon becomes less available in older samples.
This is supported by metagenomic evidence from Permafrost Tunnel microbes, showing
an increase in the abundance of genes related to scavenging detrital biomass in older
permafrost (16).

557 Though cell counts were highest in the intermediate age category, alpha diversity 558 was lower compared with the youngest and oldest samples. The decrease in alpha 559 diversity may reflect the high ice content in those cores, which has been shown 560 previously to decrease diversity in permafrost (42) (69). The observation that cell counts 561 are greatest in the intermediate samples is consistent with a previous publication from the 562 Permafrost Tunnel chronosequence, though counts here are greater by an order of 563 magnitude. This is likely due to increased cell recovery as a result of our biomass 564 extraction protocol, which used sonication (rather than vortexing) to separate cells from 565 soil particles (16).

566 The Live/Dead staining approach uses membrane permeability as a proxy for 567 viability (70) and has been used extensively in environmental samples (71)(72)(73)568 including permafrost (2) (16). One potential drawback to using this approach is that live 569 cells can incorrectly stain as dead, particularly under dark anoxic conditions (74). We 570 suggest this is unlikely to impact our samples because permafrost is a generally stable 571 environment in which membrane potentials are well maintained (75) and we stained 572 under aerobic conditions in the light. However, if our treatment affected membrane 573 potentials, this would result in an underestimate of the number of live cells.

574 This study builds on previous work aimed at understanding how microbial 575 communities adapt to the extreme conditions of permafrost over geologic timescales (16). 576 We used two strategies never before applied to permafrost (endospore-enrichment and 577 depletion of DNA from dead organisms) to show that Firmicutes represented in DNA 578 based studies are not always dormant. We found that preserved DNA from dead 579 organisms does not bias DNA sequencing results, since its removal using propidium 580 monoazide did not significantly alter microbial community composition. We confirmed 581 that both microbial cell counts and diversity decreased between our youngest and oldest 582 samples and were primarily controlled by sample age and ice content. We also found that 583 despite the multiple treatments used, differences in community composition among age 584 categories were robust. There are changes within age categories caused by treatments but 585 it suggests future studies interrogating microbial communities among diverse permafrost 586 types may similarly show strong patterns driven by soil physiochemical properties, 587 including age. Age-driven survival strategies and community structure identified in this 588 and a companion study from the Permafrost Tunnel (16) may be common across other 589 types of permafrost.

Expanding investigations to older permafrost samples and permafrost representing different biogeochemical properties will be essential to building a model for how microorganisms in permafrost survive for geologic timescales. The question of dormancy is a key building block of this model. While dormancy appears to be a survival strategy for microbes not well adapted to life in permafrost (e.g. Bacilli, which are commonly aerobes or facultative anaerobes), those that appear to be better adapted (e.g. Clostridia, which are typically anaerobes and have a diverse suite of metabolic strategies to draw

597	upon) are less likely to resort to dormancy. This suggests an increase in endospore
598	formation among maladapted taxa upon entrance to the late Pleistocene, but that
599	metabolic activity may be increasingly necessary in older permafrost. Expanding the age
600	range of permafrost and conducting further in-depth studies of current samples (such as
601	quantitative measurements of endospore markers compared with vegetative cells
602	markers), will be crucial. If life exists on cryogenic bodies in the solar system, it must
603	have persisted for longer time scales than exist in Earth's permafrost. Thus, a
604	chronosequence-based approach to understanding survival may allow us to extrapolate to
605	more ancient cryoenvironments.
606	Finally, permafrost communities may have an important role in the biogeochemical
607	cycling of elements and greenhouse gas production following thaw. Our data suggest
608	DNA-based studies provide a reasonable representation of the taxonomic reservoir
609	present and poised to decompose soil carbon upon thaw. It also demonstrates that the
610	taxonomic diversity and the number of cells is governed by soil physicochemical
611	characteristics of permafrost soil. Communities with less diversity and fewer cells may be
612	slower to respond to thaw, which has implications for the speed at which carbon is
613	processed and released into the atmosphere. Our data suggests that the reservoir (both the
614	number of cells and the diversity) of microbes is controlled by age, carbon, and moisture
615	content and highlights the need for detailed understanding of how physicochemical
616	properties shape microbial communities across permafrost environments.
617	

618 **REFERENCES**

619 1. Steven B, Léveillé R, Pollard WH, Whyte LG. 2006. Microbial ecology and
620 biodiversity in permafrost. Extremophiles 10:259–267.

621 2. Hansen AA, Herbert RA, Mikkelsen K, Jensen LL, Kristoffersen T, Tiedje JM,
622 Lomstein BA, Finster KW. 2007. Viability, diversity and composition of the bacterial
623 community in a high Arctic permafrost soil from Spitsbergen, Northern Norway. Environ
624 Microbiol 9:2870–2884.

625 3. Carini P, Marsden PJ, Leff JW, Morgan EE, Strickland MS, Fierer N. 2016. Relic
626 DNA is abundant in soil and obscures estimates of soil microbial diversity. bioRxiv
627 043372.

4. Hultman J, Waldrop MP, Mackelprang R, David MM, McFarland J, Blazewicz

629 SJ, Harden J, Turetsky MR, McGuire AD, Shah MB, VerBerkmoes NC, Lee LH,

630 Mavrommatis K, Jansson JK. 2015. Multi-omics of permafrost, active layer and

- 631 thermokarst bog soil microbiomes. Nature 521:208–212.
- 632 5. Coolen MJL, Orsi WD. 2015. The transcriptional response of microbial
 633 communities in thawing Alaskan permafrost soils. Front Microbiol 6.
- 6. Soina VS, Vorobiova EA, Zvyagintsev DG, Gilichinsky DA. 1995. Preservation 635 of cell structures in permafrost: A model for exobiology. Adv Space Res 15:237–242.
- 636 7. V Dmitriev V, E Suzina N, G Rusakova T, A Gilichinskii D, I Duda V. 2001.

637 Ultrastructural Characteristics of Natural Forms of Microorganisms Isolated from

638 Permafrost Grounds of Eastern Siberia by the Method of Low-Temperature

639 Fractionation. Dokl Biol Sci Proc Acad Sci USSR Biol Sci Sect Transl Russ 378:304–6.

640 8. Soina VS, Mulyukin AL, Demkina EV, Vorobyova EA, El-Registan GI. 2004.

- 641 The Structure of Resting Bacterial Populations in Soil and Subsoil Permafrost.642 Astrobiology 4:345–358.
- 643 9. Rivkina EM, Friedmann EI, McKay CP, Gilichinsky DA. 2000. Metabolic
 644 Activity of Permafrost Bacteria below the Freezing Point. Appl Environ Microbiol
 66:3230–3233.
- Tuorto SJ, Darias P, McGuinness LR, Panikov N, Zhang T, Häggblom MM,
 Kerkhof LJ. 2014. Bacterial genome replication at subzero temperatures in permafrost.
 ISME J 8:139–149.

Bakermans C, Tsapin AI, Souza-Egipsy V, Gilichinsky DA, Nealson KH. 2003.
Reproduction and metabolism at – 10°C of bacteria isolated from Siberian permafrost.
Environ Microbiol 5:321–326.

Mykytczuk NCS, Foote SJ, Omelon CR, Southam G, Greer CW, Whyte LG.
Bacterial growth at -15 °C; molecular insights from the permafrost bacterium

654 *Planococcus halocryophilus* Or1. ISME J 7:1211.

13. Nicholson WL, Munakata N, Horneck G, Melosh HJ, Setlow P. 2000. Resistance

- of Bacillus Endospores to Extreme Terrestrial and Extraterrestrial Environments.
- 657 Microbiol Mol Biol Rev 64:548–572.
- I4. Jansson JK, Taş N. 2014. The microbial ecology of permafrost. Nat Rev
 Microbiol 12:414–425.
- 5. Steven B, Briggs G, McKay CP, Pollard WH, Greer CW, Whyte LG. 2007.
 Characterization of the microbial diversity in a permafrost sample from the Canadian
 high Arctic using culture-dependent and culture-independent methods. FEMS Microbiol
 Ecol 59:513–523.

Mackelprang R, Burkert A, Haw M, Mahendrarajah T, Conaway CH, Douglas
TA, Waldrop MP. 2017. Microbial survival strategies in ancient permafrost: insights
from metagenomics. ISME J.

17. Deng J, Gu Y, Zhang J, Xue K, Qin Y, Yuan M, Yin H, He Z, Wu L, Schuur

EAG, Tiedje JM, Zhou J. 2015. Shifts of tundra bacterial and archaeal communities along
a permafrost thaw gradient in Alaska. Mol Ecol 24:222–234.

- 670 18. Gittel A, Bárta J, Kohoutová I, Mikutta R, Owens S, Gilbert J, Schnecker J, Wild
- B, Hannisdal B, Maerz J, Lashchinskiy N, Čapek P, Šantrůčková H, Gentsch N,
- 672 Shibistova O, Guggenberger G, Richter A, Torsvik VL, Schleper C, Urich T. 2014.
- Distinct microbial communities associated with buried soils in the Siberian tundra. ISMEJ 8:841–853.
- Willerslev E, Hansen AJ, Rønn R, Brand TB, Barnes I, Wiuf C, Gilichinsky D,
 Mitchell D, Cooper A. 2004. Long-term persistence of bacterial DNA. Curr Biol 14:R9–
 R10.
- 678 20. Setlow P. 1995. Mechanisms for the prevention of damage to DNA in spores of
 679 Bacillus species. Annu Rev Microbiol 49:29–54.
- 680 21. Johnson SS, Hebsgaard MB, Christensen TR, Mastepanov M, Nielsen R, Munch
 681 K, Brand T, Gilbert MTP, Zuber MT, Bunce M, Rønn R, Gilichinsky D, Froese D,
- Willerslev E. 2007. Ancient bacteria show evidence of DNA repair. Proc Natl Acad Sci 104:14401–14405.
- 684 22. Hamilton TD, Craig JL, Sellmann PV. 1988. The Fox permafrost tunnel: A late
 685 Quaternary geologic record in central Alaska. GSA Bull 100:948–969.
- 23. Douglas TA, Fortier D, Shur YL, Kanevskiy MZ, Guo L, Cai Y, Bray MT. 2011.
 Biogeochemical and geocryological characteristics of wedge and thermokarst-cave ice in
 the CRREL permafrost tunnel, Alaska. Permafr Periglac Process 22:120–128.
- 689 24. Katayama T, Kato T, Tanaka M, Douglas TA, Brouchkov A, Fukuda M, Tomita

690 F, Asano K. 2009. Glaciibacter superstes gen. nov., sp. nov., a novel member of the 691 family Microbacteriaceae isolated from a permafrost ice wedge. Int J Syst Evol Microbiol 692 59:482-486. 693 25. Katayama T, Tanaka M, Moriizumi J, Nakamura T, Brouchkov A, Douglas TA, 694 Fukuda M, Tomita F, Asano K. 2007. Phylogenetic Analysis of Bacteria Preserved in a 695 Permafrost Ice Wedge for 25,000 Years. Appl Environ Microbiol 73:2360–2363. 696 26. Douglas TA, Mellon Mt. Sublimation of terrestrial permafrost and the 697 implications for ice-loss landforms on Mars. Nat Commun In review. 698 27. Amalfitano S, Fazi S. 2008. Recovery and quantification of bacterial cells 699 associated with streambed sediments. J Microbiol Methods 75:237-243. 700 28. Portillo MC, Leff JW, Lauber CL, Fierer N. 2013. Cell Size Distributions of Soil 701 Bacterial and Archaeal Taxa. Appl Environ Microbiol 79:7610–7617. 702 29. Eichorst SA, Strasser F, Woyke T, Schintlmeister A, Wagner M, Woebken D. 703 2015. Advancements in the application of NanoSIMS and Raman microspectroscopy to 704 investigate the activity of microbial cells in soils. FEMS Microbiol Ecol 91. 705 30. Lindahl V, Bakken LR. 1995. Evaluation of methods for extraction of bacteria 706 from soil. FEMS Microbiol Ecol 16:135–142. 707 31. Poté J, Bravo AG, Mavingui P, Ariztegui D, Wildi W. 2010. Evaluation of 708 quantitative recovery of bacterial cells and DNA from different lake sediments by 709 Nycodenz density gradient centrifugation. Ecol Indic 10:234–240. 710 32. Kallmeyer J, Smith DC, Spivack AJ, D'Hondt S. 2008. New cell extraction 711 procedure applied to deep subsurface sediments. Limnol Oceanogr Methods 6:236–245. Kepner RL, Pratt JR. 1994. Use of fluorochromes for direct enumeration of total 712 33. 713 bacteria in environmental samples: past and present. Microbiol Rev 58:603–615. 714 34. Epstein SS, Rossel J. 1995. Enumeration of sandy sediment bacteria: search for 715 optimal protocol. Oceanogr Lit Rev 9:759. 716 Holmsgaard PN, Norman A, Hede SC, Poulsen PHB, Al-Soud WA, Hansen LH, 35. 717 Sørensen SJ. 2011. Bias in bacterial diversity as a result of Nycodenz extraction from 718 bulk soil. Soil Biol Biochem. 719 36. Wunderlin T, Junier T, Paul C, Jeanneret N, Junier P. 2016. Physical Isolation of 720 Endospores from Environmental Samples by Targeted Lysis of Vegetative Cells. J Vis 721 Exp. 722 37. Nocker A, Cheung C-Y, Camper AK. 2006. Comparison of propidium monoazide 723 with ethidium monoazide for differentiation of live vs. dead bacteria by selective removal 724 of DNA from dead cells. J Microbiol Methods 67:310-320.

38. Nocker A, Sossa-Fernandez P, Burr MD, Camper AK. 2007. Use of Propidium
Monoazide for Live/Dead Distinction in Microbial Ecology. Appl Environ Microbiol
73:5111–5117.

Heise J, Nega M, Alawi M, Wagner D. 2016. Propidium monoazide treatment to
distinguish between live and dead methanogens in pure cultures and environmental
samples. J Microbiol Methods 121:11–23.

40. Bae S, Wuertz S. 2009. Discrimination of Viable and Dead Fecal Bacteroidales
Bacteria by Quantitative PCR with Propidium Monoazide. Appl Environ Microbiol
75:2940–2944.

Gilichinsky D a., Wilson G s., Friedmann E i., Mckay C p., Sletten R s., Rivkina
E m., Vishnivetskaya T a., Erokhina L g., Ivanushkina N e., Kochkina G a.,
Shcherbakova V a., Soina V s., Spirina E v., Vorobyova E a., Fyodorov-Davydov D g.,
Hallet B, Ozerskaya S m., Sorokovikov V a., Laurinavichyus K s., Shatilovich A v.,
Chanton J p., Ostroumov V e., Tiedje J m. 2007. Microbial Populations in Antarctic
Permafrost: Biodiversity, State, Age, and Implication for Astrobiology. Astrobiology
7275–311.

42. Steven B, Pollard WH, Greer CW, Whyte LG. 2008. Microbial diversity and
activity through a permafrost/ground ice core profile from the Canadian high Arctic.
Environ Microbiol 10:3388–3403.

Niederberger TD, Steven B, Charvet S, Barbier B, Whyte LG. 2009. Virgibacillus
arcticus sp. nov., a moderately halophilic, endospore-forming bacterium from permafrost
in the Canadian high Arctic. Int J Syst Evol Microbiol 59:2219–2225.

Caporaso JG, Lauber CL, Walters WA, Berg-Lyons D, Huntley J, Fierer N,
Owens SM, Betley J, Fraser L, Bauer M, Gormley N, Gilbert JA, Smith G, Knight R.
2012. Ultra-high-throughput microbial community analysis on the Illumina HiSeq and
MiSeq platforms. ISME J 6:1621–1624.

45. Caporaso JG, Kuczynski J, Stombaugh J, Bittinger K, Bushman FD, Costello EK,
Fierer N, Peña AG, Goodrich JK, Gordon JI, Huttley GA, Kelley ST, Knights D, Koenig
JE, Ley RE, Lozupone CA, McDonald D, Muegge BD, Pirrung M, Reeder J, Sevinsky
JR, Turnbaugh PJ, Walters WA, Widmann J, Yatsunenko T, Zaneveld J, Knight R. 2010.
QIIME allows analysis of high-throughput community sequencing data. Nat Methods
7:335–336.

46. Edgar RC. 2010. Search and clustering orders of magnitude faster than BLAST.
Bioinformatics 26:2460–2461.

47. Wang Q, Garrity GM, Tiedje JM, Cole JR. 2007. Naïve Bayesian Classifier for
Rapid Assignment of rRNA Sequences into the New Bacterial Taxonomy. Appl Environ
Microbiol 73:5261–5267.

762 48. Claesson MJ, O'Sullivan O, Wang Q, Nikkilä J, Marchesi JR, Smidt H, Vos WM

763 de, Ross RP, O'Toole PW. 2009. Comparative Analysis of Pyrosequencing and a 764 Phylogenetic Microarray for Exploring Microbial Community Structures in the Human 765 Distal Intestine. PLOS ONE 4:e6669. 49. 766 Liu Z, DeSantis TZ, Andersen GL, Knight R. 2008. Accurate taxonomy 767 assignments from 16S rRNA sequences produced by highly parallel pyrosequencers. 768 Nucleic Acids Res 36:e120. 769 50. Price MN, Dehal PS, Arkin AP. 2009. FastTree: Computing Large Minimum 770 Evolution Trees with Profiles instead of a Distance Matrix. Mol Biol Evol 26:1641–1650. 771 51. Salter SJ, Cox MJ, Turek EM, Calus ST, Cookson WO, Moffatt MF, Turner P, 772 Parkhill J, Loman NJ, Walker AW. 2014. Reagent and laboratory contamination can 773 critically impact sequence-based microbiome analyses. BMC Biol 12:87. 774 52. Thorsten Pohlert. 2014. The Pairwise Multiple Comparison of Mean Ranks 775 Package (PMCMR). R Package. 776 53. Faith DP. 1992. Conservation evaluation and phylogenetic diversity. Biol Conserv 777 61:1-10. 778 Lozupone C, Knight R. 2005. UniFrac: a New Phylogenetic Method for 54. 779 Comparing Microbial Communities. Appl Environ Microbiol 71:8228-8235. 780 55. McMurdie PJ, Holmes S. 2013. phyloseq: An R Package for Reproducible 781 Interactive Analysis and Graphics of Microbiome Census Data. PLOS ONE 8:e61217. 782 56. Dixon P, Palmer MW. 2003. VEGAN, a package of R functions for community 783 ecology. J Veg Sci 14:927-930. 784 José Pinheiro and Douglas Bates. 2017. Package "nlme" : Linear and Nonlinear 57. 785 Mixed Effects Models. R Package. 786 58. 1964. BERGEY'S MANUAL OF DETERMINATIVE BACTERIOLOGY (7th 787 ed.). Am J Public Health Nations Health. 788 59. Wunderlin T, Junier T, Roussel-Delif L, Jeanneret N, Junier P. 2014. Endospore-789 enriched sequencing approach reveals unprecedented diversity of Firmicutes in 790 sediments. Environ Microbiol Rep 6:631-639. Marciano-Cabral F, Cabral G. 2003. Acanthamoeba spp. as Agents of Disease in 791 60. 792 Humans. Clin Microbiol Rev 16:273-307. 793 61. Podlipaeva I, Shmakov LA, Gilichinskii DA, Gudkov AV. 2006. [Heat shock 794 protein of HSP70 family revealed in some contemporary freshwater Amoebae and in 795 Acanthamoeba sp. from cysts isolated from permafrost samples]. Tsitologiia 48:691–694. 796 62. Shmakova LA, Rivkina EM. 2015. Viable eukaryotes of the phylum Amoebozoa

797 from the Arctic permafrost. Paleontol J 49:572–577. 798 63. Lennon JT, Placella SA, Muscarella ME. 2017. Relic DNA contributes minimally 799 to estimates of microbial diversity. bioRxiv 131284. 800 64. Singh P, Singh SM, Singh RN, Naik S, Roy U, Srivastava A, Bölter M. Bacterial 801 communities in ancient permafrost profiles of Svalbard, Arctic. J Basic Microbiol n/a-802 n/a. 803 65. Rivkina E, Gilichinsky D, Wagener S, Tiedje J, McGrath J. 1998. 804 Biogeochemical activity of anaerobic microorganisms from buried permafrost sediments. 805 Geomicrobiol J 15:187-193. 806 66. Christensen H, Hansen M, Sørensen J. 1999. Counting and Size Classification of 807 Active Soil Bacteria by Fluorescence In Situ Hybridization with an rRNA 808 Oligonucleotide Probe. Appl Environ Microbiol 65:1753–1761. 809 67. Pershina E, Valkonen J, Kurki P, Ivanova E, Chirak E, Korvigo I, Provorov N, 810 Andronov E. 2015. Comparative Analysis of Prokaryotic Communities Associated with 811 Organic and Conventional Farming Systems. PLOS ONE 10:e0145072. 812 Torsvik V, Øvreås L. 2002. Microbial diversity and function in soil: from genes to 68. 813 ecosystems. Curr Opin Microbiol 5:240-245. 814 69. Gilichinsky DA. 2002. Permafrost Model of Extraterrestrial Habitat, p. 125–142. 815 In Astrobiology. Springer, Berlin, Heidelberg. 816 70. Bernardini J. N., La Duc M. T., Diamond R., Verceles J. 2012. Fluorescence-817 Activated Cell Sorting of Live Versus Dead Bacterial Cells and Spores. NASA Tech 818 Briefs 22. Leuko S, Legat A, Fendrihan S, Stan-Lotter H. 2004. Evaluation of the 819 71. 820 LIVE/DEAD BacLight Kit for Detection of Extremophilic Archaea and Visualization of 821 Microorganisms in Environmental Hypersaline Samples. Appl Environ Microbiol 822 70:6884-6886. 823 72. Bianciotto V, Minerdi D, Perotto S, Bonfante P. 1996. Cellular interactions 824 between arbuscular mycorrhizal fungi and rhizosphere bacteria. Protoplasma 193:123-825 131. 826 73. Biggerstaff JP, Le Puil M, Weidow BL, Prater J, Glass K, Radosevich M, White 827 DC. 2006. New methodology for viability testing in environmental samples. Mol Cell 828 Probes 20:141–146. 829 Kirchhoff C, Cypionka H. 2017. Propidium ion enters viable cells with high 74. 830 membrane potential during live-dead staining. J Microbiol Methods. 75. 831 Ponder MA, Thomashow MF, Tiedje JM. 2008. Metabolic activity of Siberian

- 832 permafrost isolates, Psychrobacter arcticus and Exiguobacterium sibiricum, at low water
- 833 activities. Extremophiles 12:481–490.

834

835 ACKNOWLEDGEMENTS

- 836 This work was supported by the National Aeronautics and Space Administration (NASA)
- 837 (grant numbers NNX15AM12G and NNH15AB58I). AB acknowledges support from the
- 838 California State University, Northridge Biology Department Graduate Student Tuition
- 839 Waiver. We thank Steven Escalante, Tara Mahendrarajah David Romero, and Archana
- 840 Srinivas for assistance with permafrost subsampling.
- 841

842 FIGURE CAPTIONS

843

Figure 1. Experimental strategy overview. Live, dead, and dormant cell counts were

staining cells from soil using a Nycodenz density centrifugation, staining

846 with a Live/Dead differential stain or DAPI, and counting via fluorescence microscopy.

For the endospore enrichment and dead cell depletion experiments, we separated biomass

848 from soil using a gravity separation technique. To deplete DNA from dead organisms,

849 cell mixtures were treated with propidium monoazide and then exposed to light, causing

850 cross-links with DNA not enclosed by an intact cell envelope or spore coat. The cross-

851 links inhibit downstream PCR amplification. To enrich for endospores, cell mixtures

852 were exposed to lysozyme, heat, and DNAse, which lyses vegetative cells and degrades

853 DNA. In both the endospore enrichment and dead cell depletion experiments, the 16S

rRNA gene was amplified and used for downstream analysis.

855

Figure 2. (A) Direct cell counts as determined by cell staining and fluorescent

857 microscopy. Live/dead and total (DAPI) counts. (B) Proportion of live cells as

858	determined by direct counts of live cells (stained with SYTO 9) and total cells (stained
859	with DAPI). (C) 16S rRNA gene copy number in samples depleted of dead DNA using
860	propidium monoazide and non-depleted controls. qPCR of the V4 region of the 16S
861	rRNA gene in samples showed that average copy number decreased for all age groups in
862	the propidium monoazide treated group (DNA depleted samples) compared to the
863	untreated group (non-depleted controls) and that there was a decrease in viable DNA
864	across the three age categories. (D) Phylogenetic diversity index compared across age
865	categories and treatment types. Values show the average of five replicate cores and error
866	bars show the standard error of the mean. Significant p-values tested by Dunn's post-hoc
867	test are indicated (* p < 0.05, ** p < 0.01).
868	
869	
870	Figure 3. (A) Phylum-level changes in relative abundance due to endospore enrichments.
871	(B) Class-level changes in relative abundance due to endospore enrichments. Values

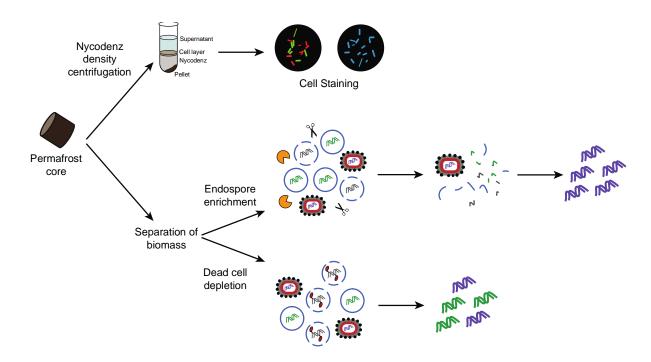
show averages of five replicate coress and error bars show standard error of the mean.

873 Significant p-values tested by the Mann-Whitney-Wilcoxon test are indicated (* p < 0.05,

874 ** p < 0.01).

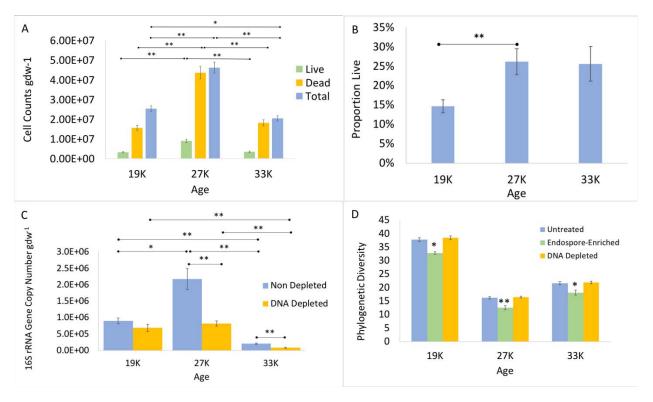
876	Table 1. Permafrost physicochemical characteristics across the three time periods. Values
877	are averages of five replicates plus/minus one standard error of the mean. Statistical
878	differences were tested using a Kruskal Wallis test and a Dunn's post hoc test with p-
879	values corrected using the False Discovery Rate. Significant p-values ($p < 0.05$) are
880	show in bold.
881	
882	Table 2. Average percent difference in relative abundance between the endospore-
883	enriched samples and non-enriched controls across the three age categories. A negative

- value shows underrepresentation in the endospore-enriched samples compared to the non-
- 885 enriched controls while a positive value shows overrepresentation. Values show averages
- 886 of five replicate cores.



2 Figure 1. Experimental strategy overview. Live, dead, and dormant cell counts were conducted 3 by separating cells from soil using a Nycodenz density centrifugation, staining with a Live/Dead 4 differential stain or DAPI, and counting via fluorescence microscopy. For the endospore 5 enrichment and dead cell depletion experiments, we separated biomass from soil using a gravity 6 separation technique. To deplete DNA from dead organisms, cell mixtures were treated with 7 propidium monoazide and then exposed to light, causing cross-links with DNA not enclosed by 8 an intact cell envelope or spore coat. The cross-links inhibit downstream PCR amplification. To 9 enrich for endospores, cell mixtures were exposed to lysozyme, heat, and DNAse, which lyses 10 vegetative cells and degrades DNA. In both the endospore enrichment and dead cell depletion 11 experiments, the 16S rRNA gene was amplified and used for downstream analysis.

12





14 Figure 2. (A) Direct cell counts as determined by cell staining and fluorescent microscopy. 15 Live/dead and total (DAPI) counts. (B) Proportion of live cells as determined by direct counts of 16 live cells (stained with SYTO 9) and total cells (stained with DAPI). (C) 16S rRNA gene copy 17 number in samples depleted of dead DNA using propidium monoazide and non-depleted 18 controls. qPCR of the V4 region of the 16S rRNA gene in samples showed that average copy 19 number decreased for all age groups in the propidium monoazide treated group (DNA depleted 20 samples) compared to the untreated group (non-depleted controls). (D) Phylogenetic diversity 21 index compared across age categories and treatment types. Values show the average of five 22 replicate cores and error bars show the standard error of the mean. Significant p-values tested by Dunn's post-hoc test are indicated (* p < 0.05, ** p < 0.01). 23

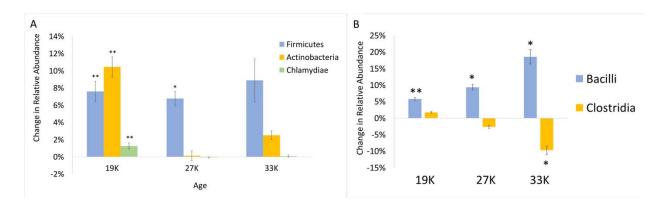




Figure 3. (A) Phylum-level changes in relative abundance due to endospore enrichments. (B)
Class-level changes in relative abundance due to endospore enrichments. Values show averages
of five replicate cores and error bars show standard error of the mean. Significant p-values tested

by the Mann-Whitney-Wilcoxon test are indicated (* p < 0.05, ** p < 0.01).

Table 1. Permafrost physicochemical characteristics across the three time periods. Values are averages of five replicates plus/minus one standard error of the mean. Statistical differences were tested using a Kruskal Wallis test and a Dunn's post hoc test with p-values corrected using the False Discovery Rate. Significant p-values (p < 0.05) are show in bold.

	19K	p-value	27K	p-value	value 33K p-value		Kruskal Wallis	
		(19K vs 27K)		(27K vs 33K)		(33K vs 19K)	X ² (2)	p-value
Ice Content (%)	27.70 ± 1.73	0.001	50.01 ± 4.16	0.077	35.30 ± 0.50	0.077	12.5	0.002
Total C (%)	1.64 ± 0.18	0.003	3.47 ± 0.19	0.229	3.06 ± 0.08	0.061	10.82	0.004
Organic C (%)	1.62 ± 0.16	0.014	2.99 ± 0.30	0.724	2.76 ± 0.06	0.020	9.5	0.009
Total N (%)	0.16 ± 0.02	0.013	0.30 ± 0.01	0.943	0.29 ± 0.01	0.013	9.45	0.009
C/N Ratio	10.45 ± 0.34	0.013	11.70 ± 0.11	0.944	10.62 ± 0.17	0.013	9.38	0.009
рН	7.32 ± 0.04	0.203	7.46 ± 0.05	0.004	6.88 ± 0.10	0.084	10.26	0.006
EC (dS/m)	0.39 ± 0.02	0.003	0.87 ± 0.04	0.072	0.46 ± 0.03	0.179	11.18	0.004
DOC (ppm)	3141 ± 162	0.009	9338 ± 1649	0.524	5776 ± 212	0.029	9.78	0.008

Table 2. Average percent difference in relative abundance between the endospore-enriched samples and non-enriched controls across the three age categories. A negative value shows underrepresentation in the endospore-enriched samples compared to the non-enriched controls while a positive value shows overrepresentation. Values show averages of five replicate cores.

Taxa	Family	19K (%)	U-value	27K (%)	U-value	33K (%)	U-value
Actinobacteria	Micrococcaceae	2.4 ± 0.5 **	0	0.0 ± 0.0	9.5	0.0 ± 0.0	11
	Solirubrobacteraceae	1.5 ± 0.4	3	0.6 ± 0.3	4	0.1 ± 0.1	5
	Gaiellaceae	1.0 ± 0.3 *	1	-0.2 ± 0.1	8	0.1 ± 0.1	8.5
Bacilli	Planococcaceae	2.4 ± 0.9	4	-8.5 ± 1.0 *	1	4.0 ± 2.6	8
	Thermoactinomycetaceae	$0.4 \pm 0.1*$	0	20 ± 2.5 *	2	7.8 ± 2.2	6
	Bacillaceae	0.7 ± 0.1 *	0	-2.5 ± 1.2	8	1.0 ± 0.4	9
	Paenibacillaceae	2.5 ± 0.4 *	0	0.4 ± 1.6	12	5.6 ± 2.7	4
Clostridia	Clostridiaceae	3.1 ± 0.4 **	0	-2.5 ± 1.5	5	-9.2 ± 1.2 **	0

(Mann-Whitney-Wilcoxon test, ** p < 0.01, * p < 0.05).