1	Sonodynamic therapy as an adjunctive treatment on <i>porphyromonas gingivalis</i> induced					
2	periodontitis in rats with diabetes					
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23 Abstract

Purpose: The aim of this study was to evaluate the efficacy of sonodynamic therapy (SDT) *porphyromonas gingivalis* (Pg) induced periodontitis in rats with diabetes.

26 Methods: Colony forming unit and the intracellular reactive oxygen species (ROS) of Pg (ATCC

27 33277) was detected. Periodontal disease was induced by orally administering Pg and placing ligatures

- around the cervix of the first maxillary molar. After 4 weeks, the rats were received three treatments:
- 29 irrigation with sterile saline solution (control group); scaling and root planing (SRP) (SRP group);
- 30 hypodermic injection of 40 µg/mL HMME with 3 W/cm² low-intensity ultrasound irradiation every
- 31 other day (1 MHz, 600 s) (SRP+SDT group). All rats were euthanized at 10 days postoperatively. The
- 32 maxilla was taken for histological examination. The distance between the cementoenamel junction
- 33 (CEJ) and the alveolar bone crest (ABC) was measured to access the level of alveolar bone.
- **Results:** When Pg was treated with ultrasound (3 W/cm² for 10 min) at 40 μ g/mL HMME concentration, 4.7 lg reduction in CFU in SDT (P<0.01). The intracellular ROS in SDT group had a significant difference in comparison with the control group (P<0.01). In the D group, the intragroup analysis revealed less bone loss in the SRP+SDT treatment than in the control and SRP treatment (P<0.05). Intergroup analysis (ND and D groups) showed a greater bone loss in the ND group treated with SRP compared to the D group treated with SRP+SDT.
- 40 Conclusions: SDT was an effective adjuvant therapy to SRP on induced periodontitis in rats with
 41 diabetes.
- 42 Keywords: *porphyromonas gingivalis;* periodontitis; diabetes; sonodynamic therapy; alveolar bone
 43 loss.

44 Introduction

About one-half of the adult population suffers from moderate to severe periodontal diseases that are also potentially associated with diabetes mellitus [1]. The hyperglycemic environment promotes the expression of toll-like receptors in periodontal tissues, making diabetic patients more susceptible to periodontitis [2]. Diabetes mellitus also causes differentiation dysfunction of osteoblasts, and decreased the density of alveolar bone [3]. Additionally, periodontitis can affect glycemic control in diabetic patients and induce various diabetic complications [4].

51 Porphyromonas gingivalis (Pg), a Gram-negative and obligate anaerobe bacterium, is associated 52 with many chronic systemic diseases, such as rheumatoid arthritis, cardiovascular diseases, diabetes 53 mellitus and even cancers [5, 6]. Researchers have found that Pg infection induced the expressions of 54 TNF- α and IL-6 and might be an important risk factor for diabetes mellitus [7]. Pg, as a periodontal 55 pathogen, utilizes multiple virulence factors, such as LPS, gingipains, and cytotoxic. These virulence 56 factors are helpful to breakdown the host-defense mechanisms, damage the connective tissue and cause 57 the alveolar bone loss around the teeth [8]. According to the systematic and retrospective reviews, 58 scaling and root planing (SRP) is the main treatment for periodontal disease. It can effectively 59 eliminate the dental plaque and calculus in 85% of instrumented root surfaces when the periodontal 60 depth of pockets was 4-6 mm. However, SRP fails to remove the bacterial toxins which hide in the 61 connective tissue and furcation area [9, 10]. The most common adjunctive therapy of periodontitis is 62 applying the local or systemic antibiotics. However, considering the large usage of antibiotics during 63 the therapeutic process, many bacteria may gain resistance, particularly to the tetracyclines by limiting 64 the drug access to the cell or generating antibiotic enzymes. Moreover, antibiotics may cause some side 65 effects, such as nausea, xerostomia and stomachache [11].

66

Sonodynamic therapy (SDT) is a promising treatment for killing cancer cells using low-intensity

67	ultrasound with sonosensitizers. When the low-intensity ultrasound reaches the target, it can activate
68	sonosensitizers and generate reactive oxygen species (ROS) [12]. Hematoporphyrin monomethyl ether
69	(HMME) is an effective sonosensitizer in SDT with a stable structure, lower dark toxicity, higher
70	singlet oxygen yield to induce cell apoptosis via the mitochondrial apoptotic pathway [13]. The major
71	advantages of SDT are its strong penetrating power, noninvasive, no drug resistance and initiation of
72	activity only when irradiated to ultrasound [14]. Recently, many studies have indicated satisfactory
73	results with SDT in inhibiting bacteria such as methicillin-resistant staphylococcus aureus (MRSA)
74	[15], bacillus cereus and escherichia coli [16]. Our previous study showed that HMME-mediated SDT
75	could effectively inhibit the growth of staphylococcus aureus [14]. In addition, HMME combined with
76	low-intensity ultrasound could effectively alleviate the alveolar bone loss in experimental periodontal
77	disease in rats [17, 18].
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- 87 vitamin K (Sigma-Aldrich, USA). The bacteria were cultured in a 37 °C anaerobic chamber (5% H₂,
- 88 10%CO₂, and 85% N₂). After 5 days, the Pg suspensions were diluted with a sterile saline solution and

set to an optical density (OD_{630nm}) of approx 1×10^7 cells/mL.

90 Animals

91	Fifty-four adult female Wistar rats, weighing 200-250 g, from the Animal Facility of the Second
92	Affiliated Hospital of Harbin Medical University, were used. Before the study, all rats were allowed to
93	acclimatize to the laboratory environment for 7 days. This study was approved by the Use Committee
94	of Harbin Medical University (Harbin, People's Republic of China), in accordance with the National
95	Institutes of Health guide for the care and use of Laboratory animals (NIH Publications No. 8023,
96	revised 1978).

97 Induction of diabetic rats

After 12 h fasting period, diabetes was induced in half of the animals by an intraperitoneal injection of 60 mg/kg of streptozotocin (STZ) (Sigma, St. Louis, MO, USA). Other rats were injected with 0.1 mol/L citrate buffer as control. Diabetes mellitus was confirmed via the caudal vein 48 h after the STZ administration by the measurement of a blood glucose levels above 300 mg/dL. Blood glucose levels were recorded before the diabetic induction and at the end of the periodontal treatment.

103 Experimental periodontal disease induction

104 Intraperitoneal anaesthesia was induced by administering ketamine (80 mg/kg) and 105 dexedetomidine (0.6 mg/kg). The maxillary first molars of each rat received a 3-0 silk ligature around 106 the cervix to induce experimental periodontal disease. Animals were given sulfamethoxazole (1 mg/mL) 107 and trimethoprim (200 μ g/mL) in their drinking water for 3 days to inhibit the commensal bacteria. 108 After a 3-day resting period without antibiotics, a 500 μ L mixture of 5% carboxymethyl cellulose 109 sodium and Pg (1×10⁷ cells/mL) was orally administered for 3 times, each interval 48 h. After 4 weeks, 110 ligatures were removed from all animals. The process above was operated by the same experienced

111	operator (Deshu Zhuang). Then the rats in each group (ND and D groups) were randomly received one
112	of three treatments (6 rats/treatment): irrigation with sterile saline solution (control group); scaling and
113	root planing (SRP) with a manual curette (13-14 mini-five Gracey curette, Hu-Friedy, USA) through
114	distal-mesial traction movements in buccal and lingual areas and cervical-occlusal traction movements
115	in furcation and interproximal areas, then irrigated with 100 μL sterile saline solution. (SRP group);
116	SRP and hypodermic injection of 40 $\mu\text{g/mL}$ HMME with 3 W/cm² low-intensity ultrasound irradiation
117	every other day (1 MHz, 600 s) (SRP+SDT group).

118 SDT treatment *in vivo and in vitro*

119 The HMME sterile solution was purchased from Xianhui Pharmaceutical co., Shanghai, People's 120 Republic of China. The HMME solution was injected into the periodontal tissue between the first and 121 second maxillary molars using an insulin syringe (1 mL) in dark. The low-intensity ultrasound used in 122 this study was provided by Harbin Institute of Technology (Harbin, People's Republic of China), with a 123 frequency of 1.0 MHz, a pulse repetition frequency of 100 Hz and a duty factor of 10%. After 90 min 124 HMME application, ultrasound was applied to the alveolar bone area between the first and second 125 maxillary molars with a connection of medical ultrasonic coupling agent. The ultrasound was released with a diameter of 6 mm and a low intensity of 3 W/cm² for 600s every other day, which calibrated 126 127 with a hydrophone (Onda Corp., Sunnyvale, CA, USA) in degassed distilled water. All animals were 128 euthanized after 10 days of periodontal treatment.

In vitro, the bacteria suspension was cultured in the 96-well plate, and positioned in a water bath and 5 cm directly away from the ultrasound transducer (diameter 3 cm, resonance frequency 1 MHz, duty factor 30%, repetition rate 100 Hz). The ultrasound intensity was 3 W/cm² as measured by a needle hydrophone (HNC-1000, Onda Corp., Sunnyvale, CA) inside the well. The Pg suspension was

133	divided into five groups: SDT in different HMME concentration (10-40 μ g/mL) under 10 min						
134	ultrasonic time, SDT in different ultrasonic time (2-10 min) with 40 µg/mL HMME, different HMME						
135	concentration (10-40 $\mu\text{g/mL})$ treatment alone with no ultrasound, ultrasound treatment alone in						
136	different ultrasonic time (2-10 min) with no HMME, and the control (no treatment).						
137	Colony forming units (CFU) assay						
138	After the SDT treatment <i>in vitro</i> , all the suspensions were diluted serially (10 ⁻¹ -10 ⁻⁵) using the						
139	sterile saline solution. Then cultured in Columbia blood ager (Beijing Land Bridge Technology co.,						
140	LTD, China) in a 37 $^\circ\!\!\mathbb{C}$ anaerobic chamber (5% H_2, 10%CO2, and 85% N_2). After 5 days of incubation,						
141	the Pg viability was counted by CFU.						
142	Intracellular ROS detection						
143	The intracellular ROS was detected by using ROS assay kit (Beyotime Reagent Co., China). 10						
144	μM DCFH-DA was added to the bacteria suspensions for 20 min incubation. The suspensions were						
145	washed three times with sterile saline solution, and the fluorescence intensity of DCFH-DA was						
146	observed under a laser scanning confocal microscope (LSCM) at 488 nm excitation and 525 nm						
147	emission wavelengths. The level of ROS was analyzed using an Image-Pro Plus 6.0 software (Media						
148	cybernetics, USA).						
149	Histologic and histometric analysis						

150 The maxillas were collected and fixed in paraformaldehyde (10%) for 48h, and then placed in 151 ethylenediaminetetraacetic acid (EDTA) demineralizing solution for 4 weeks. Paraffin serial sections of 152 6μm in mesio-distal direction were obtained and stained with hematoxylin and eosin (H&E), and 153 photographed using a digital camera attached to a light microscope (Carl Zeiss, Germany). The linear 154 distance from the CEJ to ABC in the alveolar bone area between the first and second maxillary molars 155 was measured by an image analysis software (ImageJ, Version 1.51r, National Institute of Health,

156 USA).

157 Statistical analysis

The histometric data were statistically analyzed using SPSS 22.0 software, and expressed as the mean \pm standard deviation. In vitro, the differences of interblock were performed with a one-way analysis of variance (ANOVA). After the in vivo experiment, the intragroup and interblock were analyzed with a two-way ANOVA followed by Tukey's test. The statistical significance was set at P<0.05.

163 **Results**

164 CFU counting

165 The antibacterial efficiency of HMME-mediated SDT on Pg was showed by the CFU/mL counts. 166 As shown in Fig. 1, after the SDT treatment, the growth of Pg decreased when the HMME 167 concentration increased from 10 to 40 μ g/mL with 3 W/cm² ultrasonic intensity for 10 min. And 4.7 lg 168 reduction in CFU When HMME concentration reached 40 µg/mL (P<0.01). But there was no 169 significant effect when treated HMME alone in different concentration (P>0.05). As the ultrasound 170 irradiation time increased after SDT treatment, the growth of Pg decreased rapidly (Fig. 2). There was 171 also no significant effect when treated ultrasound alone in 2 and 4 min ultrasonic time. However, when 172 the ultrasound irradiation time increased at 6 min, the growth of Pg reduced to 6.1 lg (P<0.01). And 1.5 173 lg reduction in CFU when the ultrasonic time was 10 min (P<0.01). In Fig. 3, when Pg was treated with ultrasound (3 W/cm² for 10 min) at 40 µg/mL HMME concentration, the number of CFU decreased 4.7 174 175 lg compared to the control group (P < 0.01). 176 Production of intracellular ROS

177	The intracellular ROS was observed using a ROS fluorescence probe DCFH-DA by LSCM. As
178	shown in Fig. 4, the fluorescence was present a large number of cells in the SDT group, and a part of
179	cells in the ultrasound alone group, but a few cells in the control and HMME alone group. Furthermore,
180	the intensity of fluorescence in SDT and ultrasound alone group had a significant difference in
181	comparison with the control group in Fig. 5 (P <0.01).
182	Blood glucose
183	As shown in Table 1, there was no significant difference in blood glucose level among the animal
184	in ND group at all experimental periods. After the STZ administration, the blood glucose level in D
185	group presented a significant difference compared to the initial of diabetic induction.
186	Histological analysis
187	Most specimens in ND and D control groups showed disordered connective tissue with intense
188	inflammatory infiltrate and areas of bone resorption. In ND SRP group, there was organized bone with
189	thin bone trabeculae in Fig 6. And specimens in D SRP group demonstrated connective tissue with
190	scattered mild inflammatory infiltrate and bone resorption. At 10 days post-treatment, there was no
191	inflammatory infiltrate in the well-developed connective tissue in the ND and D SRP+SDT groups. The
192	bone trabeculae were thick and the cementum areas presented normally without resorption.
193	Alveolar bone loss
194	In the D group, Intragroup analysis revealed less bone loss in the SRP treatment compared to
195	control treatment in Table 1 (P<0.05). However, there was no significant bone loss between SRP

197 demonstrated less bone loss in the SRP+SDT treatment than in the control and SRP treatment (P<0.05).

196

198 Intergroup analysis (ND and D groups) showed greater bone loss in the ND group treated with SRP

treatment and control treatment in the ND group (P>0.05). Both ND and D groups assessment

199 compared to the D group treated with SRP+SDT.

200 Discussion

201 Pg, as the major pathogenic microorganism, plays an important role in promoting the 202 development and progression of periodontal disease [8]. Previous studies have reported that HMME 203 combined with laser or ultrasound has an antimicrobial effect on whether Gram-positive or 204 Gram-negative bacteria, such as MRSA, *Escherichia coli* and even supragingival plaque [15, 16, 11]. 205 In our previous study, HMME-mediated SDT could effectively kill more than 95% of Staphylococcus 206 aureus [14]. In the present study in vitro, the number of Pg CFU decreased 4.7 lg compared to the 207 control group, when treated by HMME (40 µg/mL)-mediated SDT in Fig. 3. Sun et al. [11] 208 demonstrated that higher HMME concentration led to a stronger antimicrobial effect. Our results 209 showed that the CFU of Pg decreased when increased the HMME concentration from 10 to 40 µg/mL 210 in SDT. Furthermore, for same HMME concentration, the antimicrobial effect of SDT depends on the 211 ultrasonic time. When the ultrasonic time increased from 2 to 10 min, the growth of Pg reduced 4.3 lg 212 (P<0.01). The Pg suspension that received ultrasound alone treatment showed CFU reduction when the 213 ultrasonic time rises to 6 min. Such observation is probably due to the effective antimicrobial action of 214 ultrasound, as the previous study mentioned [14].

ROS, a general term of oxygen-containing free radicals and peroxides, is related to oxygen metabolism in bacteria [19]. In normal conditions, the production and elimination of ROS in cells are balanced. ROS can activate cellular transcription factors and promote the cell proliferation and differentiation, when it is at a low concentration. However, overproduction of ROS is more reactive than non-free radicals and easily reacts with other molecules, resulting in cell membrane lipid peroxidation, protein and DNA damage [20]. Previous studies have reported that the mechanism of

221	SDT in cancer cells apoptosis was thought to be induced by acoustic cavitation [12, 21].
222	Sonosensitizers are highly sensitive and selective to metabolically active cells. When ultrasound
223	irradiates the cells, microbubbles in the liquid are formed and catastrophically implode, then excite the
224	sonosensitizer to produced the excessive ROS, which irreversibly damages the cell cytoplasmic
225	membrane, mitochondrial proteins, and eventually eradicate cells [22]. In the present study, the changes
226	of intracellular ROS fluorescence level in four groups were observed by LSCM. Compare to the
227	control group, the ROS fluorescence is significantly enhanced in the SDT group. These findings
228	demonstrated that ROS was excessively generated during the HMME-mediated SDT treatment, and
229	might be necessary to induce the antibacterial effect.
230	Our present in vivo study evaluated the efficacy of HMME-mediated SDT on Pg induced
231	experimental periodontal disease in rats with diabetes. STZ was used to damage the pancreas β cells to
232	change the pancreatic function in secretion. After the STZ administration 48 h, the blood glucose level
233	in D group presented a significant difference compare to the initial of diabetic induction. The diabetes
234	may increased the severity of periodontitis, and the bone loss in D group was higher than the ND group
235	(P<0.05), which is in agreement with Aral et al. who evaluated bone loss both in induced-periodontitis
236	and periodontitis with diabetes [23]. Furthermore, diabetes could increase oxidative stress, change the
237	accumulation of advanced glycation end products, and activate inflammatory cytokine release [24]. It
238	also enhanced alveolar bone resorption and decreased the number of osteoblasts and periodontal
239	ligament fibroblasts [25].
2.40	

Our previous studies demonstrated that HMME mediated SDT could effectively suppress the alveolar bone resorption [17]. This study compared the influence of SDT as an adjunctive treatment on Pg induced periodontitis in rats with diabetes. The histometric analysis showed that whether in ND or

243	in D group, less bone loss was presented in the SRP+SDT treatment than in the control and SRP						
244	treatment (P<0.05). In addition, intergroup analysis (ND and D groups) showed less bone loss in the D						
245	group treated with SRP+SDT compared to the ND group treated with SRP. This could be explained by						
246	the ability of ultrasound to promote angiogenesis and collagen synthesis in damaged areas [26, 27]. Gu						
247	XQ et al. found that low-intensity pulsed ultrasound could facilitate the calcium salt deposition as well						
248	as new bone maturation [28]. Another explanation might be the antibacterial activity of SDT. Studies						
249	have indicated that SDT could generate ROS, which effectively damages the bacterial membrane,						
250	protein and DNA [29]. Thus, it might restrain the bacterial colonization, alleviate the periodontium						
251	inflammation and enhance the tissue healing.						
252	Conclusions						
253	In summary, our present study demonstrates HMME-mediated SDT has a remarkable antibacterial						
254	effect on Pg in vivo and in vitro. The excessive generation of ROS might be a crucial part of the						
255	antimicrobial mechanism of SDT. In addition, the results indicated that HMME-mediated SDT might						
256	be a promising complementary method for treating periodontal diseases.						
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261	Conflict of Interest						
262	The authors declare that they have no conflict of interest.						
263	Ethical approval						

All applicable international, national, and/or institutional guidelines for the care and use of

animals were followed.

266 Informed consent

- 267 Informed consent was obtained from all individual participants included in the study.
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- 358 Figures and illustrations

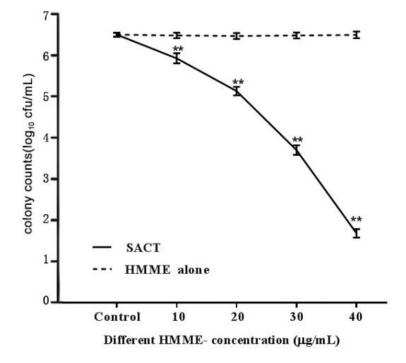
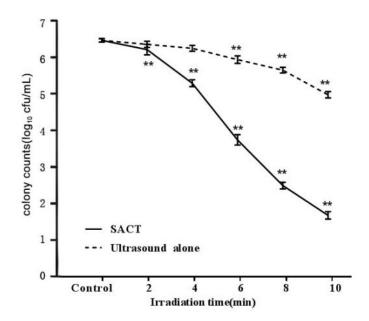


Fig.1 Reduction in CFU after SDT with variation of HMME concentration on Pg. HMME concentration ranged from 10 to 40 μ g/ml were used in combination with a ultrasound intensity of 3 W/cm². Data represent mean values (n=10), and error bars represent standard deviations. **P </ style="text-align: red;"><0.01 compared with the control group.



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Fig.2 Reduction in CFU after SDT with variation of ultrasonic irradiation time on Pg. Ultrasonic irradiation time ranged from 2 to 10 min were used in combination with 40 μ g/ml HMME concentration and 3 W/cm² ultrasound intensity. Data represent mean values (n=10), and error bars represent standard deviations. **P <0.01 compared with the control group.

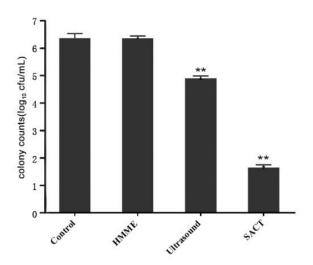
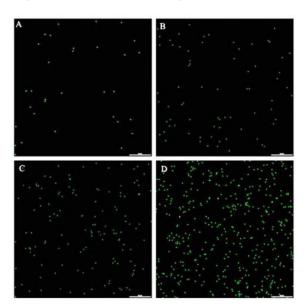


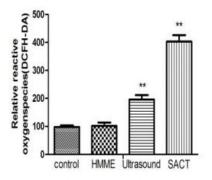
Fig.3 Influence of HMME-mediated SDT on Pg growth. Groups consisted of samples incubated with 40 μ g/ml HMME in the absence of ultrasound (HMME), irradiated with 3 W/cm² ultrasound intensity in the absence of HMME (Ultrasound), and without ultrasound and HMME treatment (Control), respectively. Data represent mean values (n=10), and error bars represent standard

deviations. **P <0.01 compared with the control group.



375

Fig.4 Intracellular ROS production induced by SDT under a LSCM by DCFH-DA.



- 378 Fig.5 Fluorescence intensity of ROS induced by SDT. Data represent mean values (n=3), and error
- bars represent standard deviations. **P < 0.01 compared with the control group.

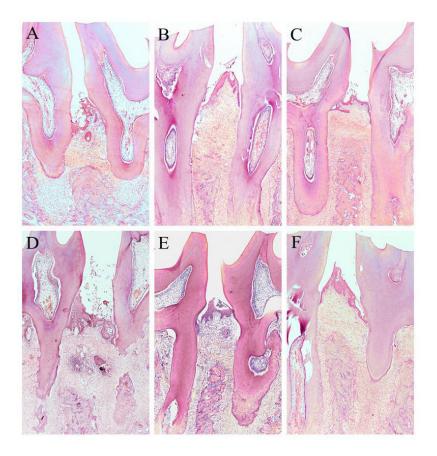


Fig.6 Photomicrographs of bone tissue in the alveolar bone region between the first and second
 maxillary molars with induced periodontitis.

- 383 Notes: (A) ND group: no treatment as control at 10 days. (B) ND group: SRP treatment at 10 days.
- 384 (C) ND group: SRP+SDT treatment at 10 days. (D) D group: no treatment as control at 10 days.
- 385 (E) D group: SRP treatment at 10 days. (F) D group: SRP+SDT treatment at 10 days. (H&E;
- 386 original magnification \times 4.)
- 387 Table
- **Table 1** Glycemic level (mg/dL; mean ± standard deviation) in different treatment and the distance
- between the CEJ and ABC (mm; mean \pm standard deviation) in the alveolar bone region between
- 390 the first and second maxillary molars.

ND group D group

Treatment	control	SRP	SRP+SDT	control	SRP	SRP+SDT
n	6	6	6	6	6	6
Initial blood glucose (mg/dL)	84.6±15.2	79.3±8.3	82.5±2.6	76.4±7.9	81.2±9.2	87.4±14.3
48 h blood glucose (mg/dL)	88.3±10.1	78.5±13.7	85.2±11.4	378.2±11.5*	388.3±7.7*	391.4±10.4*
Final blood glucose (mg/dL)	84.8±7.4	79.2±6.3	83.3±8.4	372.7±13.1*	390.8±10.5*	397.6±11.0*
CEJ-ABC (mm)	1.19±0.02*&	0.94±0.05 ^{&}	0.56±0.01* [†]	1.31±0.03*& [†]	1.01±0.07 ^{&}	0.67±0.02* [†]

391 Notes: &Significant difference compared to SRP+SDT treatment (P<0.05; ANOVA and Tukey's

392 tests).*Significant difference between groups in the same treatment (P<0.05; ANOVA and Tukey's

393 tests).[†]Significant difference compared to SRP treatment (P<0.05; ANOVA and Tukey's tests). The

394 data is shown as means \pm standard deviation, unless otherwise specified.