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**Metatranscriptome profiling of the dynamic transcription of mRNA and sRNA
of a probiotic *Lactobacillus* strain in human gut**

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20 **Abstract**

21 Metatranscriptomic sequencing has recently been applied to study how pathogens and probiotics
22 affect human gastrointestinal (GI) tract microbiota, which provides new insights into their
23 mechanisms of action. In this study, metatranscriptomic sequencing was applied to deduce the *in*
24 *vivo* expression patterns of an ingested *Lactobacillus casei* strain, which was compared with its *in*
25 *vitro* growth transcriptomes. Extraction of the strain-specific reads revealed that transcripts from
26 the ingested *L. casei* were increased, while those from the resident *L. paracasei* strains remained
27 unchanged. Mapping of all metatranscriptomic reads and transcriptomic reads to *L. casei* genome
28 showed that gene expression *in vitro* and *in vivo* differed dramatically. About 39% (1163) mRNAs
29 and 45% (93) sRNAs of *L. casei* well-expressed were repressed after ingested into human gut.
30 Expression of ABC transporter genes and amino acid metabolism genes was induced at day-14 of
31 ingestion; and genes for sugar and SCFA metabolisms were activated at day-28 of ingestion.
32 Moreover, expression of sRNAs specific to the *in vitro* log phase was more likely to be activated
33 in human gut. Expression of rli28c sRNA with peaked expression during the *in vitro* stationary
34 phase was also activated in human gut; this sRNA repressed *L. casei* growth and lactic acid
35 production *in vitro*. These findings implicate that the ingested *L. casei* might have to successfully
36 change its transcription patterns to survive in human gut, and the time-dependent activation
37 patterns indicate a highly dynamic cross-talk between the probiotic and human gut including its
38 microbe community.

39

40 **Keywords:** Metagenomic, metatranscriptomic, probiotic, *Lactobacillus*, transcriptional regulation,
41 gut microbiota

42

43 **Importance**

44 Probiotic bacteria are important in food industry and as model microorganisms in understanding
45 bacterial gene regulation. Although probiotic functions and mechanisms in human gastrointestinal
46 tract are linked to the unique probiotic gene expression, it remains elusive how transcription of
47 probiotic bacteria is dynamically regulated after being ingested. Previous study of probiotic gene
48 expression in human fecal samples has been restricted due to its low abundance and the presence
49 of of closely related species. In this study, we took the advantage of the good depth of
50 metatranscriptomic sequencing reads and developed a strain-specific read analysis method to
51 discriminate the transcription of the probiotic *Lactobacillus casei* and those of its resident relatives.
52 This approach and additional bioinformatics analysis allowed the first study of the dynamic
53 transcriptome profiles of probiotic *L casei in vivo*. The novel findings indicate a highly regulated
54 repression and dynamic activation of probiotic genome in human GI tract.

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56

57 **INTRODUCTION**

58 Microbial communities form an intimate and beneficial association with human gastrointestinal
59 (GI) tract (1-3). Human gut microbiota is of great significance in defending human diseases (4-7).
60 Except for occasional invasion by pathogens, the unique gut microbial ecosystem is continuously
61 exposed to transient microbes originated from diet; while diet can rapidly alter the gut microbial
62 ecosystem (3, 8-10). Metagenomic and metatranscriptomic approaches have been recently
63 emerged as a powerful way to study the impact of pathogens and diet on modulating the
64 composition of human gut microbiota (11-13). However, it remains unclear how the
65 transcriptomes of pathogens and transient microbes change after entering into the human GI tract.

66

67 Probiotic microorganisms are generally part of our transient microbiome, which commonly
68 include bacterial strains in the genus *Lactobacillus*, *Bifidobacterium*, *Enterococcus*, and yeasts
69 such as *S. boulardii* (14, 15). After it was coined in 1965, probiotic bacteria have been extensively
70 studied for its wide utilization in dairy foods (16) and prophylaxis and control of a number of
71 disease (17-19), which are primarily focused on their fate, activity and impact on the human gut
72 microbiota (14, 20, 21). Probiotics have been reported to benefit human health in different ways.
73 Probiotics' capability of rapidly metabolizing some carbohydrates to lactic acid, acetic acid or
74 propionic acid may influence dietary carbohydrate degradation and alter metabolic output, for
75 example, production of short chain fatty acids (SCFA) such as butyrate (14, 22, 23). Many
76 probiotic can establish colonization resistance and competitive exclusion of pathogens (24). Some
77 probiotics are reported to stimulate the human immune response (25-28). However, molecular
78 mechanisms explaining these functions remain largely elusive. Interestingly, a metatranscriptomic
79 study revealed an elevated expression of genes encoding enzymes for carbohydrate utilization in
80 the mouse gut microbiota (29). It should be important to further study who express these probiotic
81 function-related genes, the probiotic bacteria or certain resident microbes?

82

83 In general, the distinct probiotic functions and mechanisms should be linked to the gene expression
84 from probiotic microorganisms. Study of the probiotic gene expression in the complicate gut
85 microbe community using traditional methods has been prohibited both by its low abundance and
86 by the presence of closely related species. A recent study has mapped metatranscriptomic reads
87 obtained from elder volunteer fecal samples onto the the probiotic *L. rhamnosus GG ATCC 53103*,
88 showing a good expression of LGG at 28-day of ingestion in a some elders (30). This report

89 promoted us to explore the possibility of using metatranscriptomic reads to study the dynamic of
90 probiotic transcription in human gut.

91

92 In this study, we took the advantage of the good depth of metatranscriptomic sequencing reads
93 obtained from fecal samples of healthy young volunteers before and during probiotic ingestion,
94 and extracted strain-specific reads to discriminate the transcription of the probiotic *L. casei* and
95 those of the resident *L. casei/paracasei* strains. Strain-specific read analysis showed that
96 transcription of the probiotic *L. casei* was increased while those of its resident relatives remained
97 unchanged. We further showed that transcriptome profiles of the resident *L. casei/paracasei* strains
98 and ingested *L. casei* Zhang in human gut were strikingly different. The difference between all *in*
99 *vivo* transcriptome profiles and those of *in vitro* samples was much more pronounced, and
100 expression of about 40% of mRNAs and sRNA was repressed after being ingested. We observed
101 activation of ABC reporters might be required for probiotic survival during the early stage of
102 ingestion, and genes for sugar and SCFA metabolisms were activated during the later stage of
103 probiotic ingestion. These novel findings underline a highly regulated repression and activation of
104 probiotic genome after being ingested into human GI tract.

105

106 **Results**

107

108 **Experimental design for studying the *in vivo* transcription of an ingested probiotic bacteria**

109 In this study, we used *L. casei* Zhang as a model to study the *in vivo* transcription dynamics of
110 ingested probiotics (Figure 1a). We collected metatranscriptomic reads from the fecal samples
111 taken from six healthy young volunteers (20 to 30 years old, three male and three female) in an

112 open-label clinical trial. The fecal samples were taken on day 0 prior to the consumption and on
113 day 14 and 28 after consumption. Metatranscriptomic cDNA libraries were constructed by
114 respective extraction of RNA from the 18 samples. As controls, we obtained three replicated
115 transcriptomes of *L. casei* Zhang in tablet form prior to the ingestion (Table S1). In order to assess
116 the growth condition of the probiotic in gut microbial community, we additionally sequenced the
117 transcriptomes of *L. casei* cells growing *in vitro* at the lag, log, stationary and death phases (Table
118 S1).

119
120 Metatranscriptomic studies of the transcriptional response of gut microbiota in healthy human to
121 the *Lactobacillus* probiotic consumption resulted in controversial observations. One study shows
122 that variation among persons was the biggest reason of transcriptome variation (31), while another
123 study suggests that the transcriptional response of gut microbiota was modulated by probiotic
124 treatment (32). We explored how *L. casei* Zhang affected the transcription/function of our
125 volunteers' gut microbiotas by analyzing the metatranscriptomic data obtained from the same fecal
126 samples as those of the metagenomic data. Expression correlation analysis showed a large inter-
127 individual variation among metatranscriptomes (Figure 1b). The probiotic-induced change of
128 metatranscriptomes was much smaller than the inter-individual variations (Figure 1b), confirming
129 the lack of a global transcriptional response by probiotic ingestion (31).

130
131 Using the genome of *L. casei* Zhang as the reference sequence, we mapped the transcriptome reads
132 from all *in vitro* cultured *L. casei* Zhang, as well as the *in vivo* metatranscriptome and metagenomic
133 samples. About 37.61%-71.62% of transcriptomic reads from *in vitro* cultured *L. casei* Zhang were
134 mapped. The mapping efficiency varied with the culture condition, with the log-phase samples

135 showing the highest efficiency and tablet samples showing the lowest efficiency (Figure 1c). As
136 high as a few percent of *in vivo* metatranscriptomic reads were mapped onto the genome sequence
137 of *L. casei* Zhang after ingestion (Figure 1d). It is shown that mapping results of
138 metatranscriptomic reads were increased in an ingestion time-dependent manner (Figure 1d).
139 Further analysis showed that the base level of mapped reads could be resulted from the presence
140 of closely related strains of *L. casei* Zhang, particularly *L. paracasei* subsp. *paracasei* 8700:2, *L.*
141 *paracasei* subsp. *paracasei* ATCC 25302 (Figure 1e).

142

143 **Transcripts from the ingested *L. casei* Zhang increase significantly while those from the**
144 **resident *L. casei/paracasei* strains remain unchanged**

145 To further distinguish the transcriptional response of resident *L. casei/paracasei* to *L. casei* Zhang
146 ingestion, we only extracted the reads mapped to *L. casei* Zhang, *L. paracasei* subsp. *paracasei*
147 8700:2 and *L. paracasei* subsp. *paracasei* ATCC 25302, which resulted in strain-specific reads.
148 Plot of the strain-specific reads showed that *L. paracasei* subsp. *paracasei* ATCC 25302 strain
149 was the most-enriched strain prior to *L. casei* Zhang ingestion. It was interesting to find that,
150 although not dominant, a significant fraction of reads specifically mapped to *L. casei* Zhang in
151 each individual, indicating that *L. casei* Zhang is one *Lactobacillus* strain well adapted to human
152 gut microbe community (Figure 2a).

153

154 The overall transcripts from *L. casei* Zhang were increased with the ingestion time, which was
155 anticipated from the successful ingestion of exogenous *L. casei* Zhang. In contrast, the overall
156 transcripts from the other two resident *L. casei/paracasei* did not change during the course of
157 investigation (Figure 2b). Consequently, as the fraction of reads mapped to *L. casei* Zhang

158 increased significantly during the course of probiotic ingestion, the resident *L. casei/paracasei*
159 strains decreased (Figure 2c). We therefore concluded that the metatranscriptomic reads mapped
160 to *L. casei* Zhang were dominantly expressed from the ingested *L. casei* at day-14 and day-28.

161
162 We then selected several genes for absolute quantitative PCR analysis, including β -galactosidase
163 for galactose metabolism and RNA polymerase β subunit (rpoB). Primer for these genes were
164 designed to detect both the ingested and resident *L. casei/paracasei*. Figure 2d shows that all of
165 these genes were higher for the resident *L. casei/paracasei* (day-0) and lower after the ingested.
166 Their levels in tablets were low and similar as those from human gut after being ingested.
167 Therefore, the transcription pattern of the ingested *L. casei* inherits some of its *in vitro* growth
168 patterns.

169
170 Taken together, our mapping results reflected a combined transcription from both the ingested and
171 resident *L. casei/paracasei* strains. We decided to use all reads mapped onto the genome of *L. casei*
172 Zhang for the following analysis given the following two reasons. First, the specific reads
173 represented only a very small fraction of the transcriptome and genes. Therefore, gene expression
174 level is hardly to be calculated from the strain-specific reads. Second, transcription from the resident
175 *L. casei/paracasei* strains was repressed after probiotic *L. casei* ingestion. Therefore, the observed
176 increase was primarily from *L. casei* Zhang.

177
178 **Gene expression patterns of *L. casei/paracasei* *in vivo* are distinct from those *in vitro***

179 To explore the *in vivo* transcription profiles of different states of the ingested *L. casei/paracasei*,
180 we first compared the expression of *L. casei* Zhang among all the *in vivo* and *in vitro* samples.

181 Principal Component Analysis (PCA) of the expression profiles revealed that all *in vitro*
182 transcriptomes were well separated from the *in vivo* transcriptomes by the first component, which
183 indicated a substantial difference between the *in vivo* and *in vitro* transcriptions of *L.*
184 *casei/paracasei* (Figure 3). For the *in vivo* samples, day-28 and day-0 samples were well separated
185 by their transcription profiles (first and second components). However, the patterns of *L.*
186 *casei/paracasei* transcription in the day-14 samples were highly divergent, probably indicating a
187 highly dynamic stage for *L. casei/paracasei* transcriptomes responding to the newly ingested *L.*
188 *casei* Zhang.

189
190 Plot of the expression correlation between any two samples showed two major convergent clusters
191 and three minor divergent cluster (Figure S1). The largest major cluster was composed of all *in*
192 *vitro* grown samples, and the second major cluster was composed of most day-28 fecal samples.
193 One minor cluster was composed of 4 day-0 fecal samples. The other day-0 and all day-14 samples
194 were highly convergent, constituting the other two minor clusters and suggesting a highly
195 divergent fates of the ingested *L. casei* Zhang in different individuals, at day-14 of ingestion. These
196 results were consistent with those of the PCA plot (Figure S1).

197
198 **The transcription modules and dynamics of gut *L. casei/paracasi* genes differentially**
199 **expressed upon probiotic ingestion**

200 To explore the transcription dynamics of *L. casei/paracasei* stain in human gut in response to the
201 *L. casei* ingestion, we applied edgeR to compute the differentially expressed genes (DEGs) among
202 three groups of metatranscriptomes at day-0, day-14 and day-28. A total of 1091 such DEGs were
203 obtained using a cut off of fold change ≥ 2 and p -value ≤ 0.01 . To reveal the transcription patterns

204 of *L. casei/paracasi* DEGs in human gut, WGCNA (weighted gene co-expression network analysis)
205 was used to analyze their expression correlation network. Two major (turquoise and blue) and
206 three minor (green, yellow and brown) expression modules were resulted (Figure 4A).

207
208 To visualize the transcriptional dynamics of these DEG modules, we plotted their dynamic
209 expression patterns using RPKM (reads per kilobase per million total reads) of each gene as input.
210 Heatmap plot showed that the expression pattern of all these DEGs was similar in all six
211 individuals prior to the ingestion of *L. casei* Zhang (day-0) (Figure 4B). The pattern at day-28 after
212 the ingestion was also similar to each other but dramatically different from that of day-0. However,
213 the transcription patterns were quite divergent at day-14 after the ingestion, among which four
214 were more similar to the pattern of day- 0 and two to that of day-28 (Figure 4). The heatmap
215 dynamics well captured the PCA analysis results are shown in Figure 3. Meanwhile, this heatmap
216 dynamics showed that the brown, yellow and green modules largely represented the individual-
217 specific expression clusters.

218 219 **The transcription modules of *the vivo* DEGs and their associated functional clusters**

220 We further explored the transcription patterns of their major co-expression modules using
221 eigengene values. The module eigengene E value can be considered as a representative of the gene
222 expression profiles in a module. Eigengene pattern of turquoise module (450 genes) showed that
223 genes in this module were better expressed among all six day-0 samples and four day-14 samples,
224 when compared to the very low level in day-28 samples (Figure 5A). The expression value in day-
225 0 of individual B was higher than other day-0 individuals. The expression in three of four day-14
226 samples were generally higher than their corresponding day-0 samples of the same individuals

227 (Figure 5A, upper panel). This expression pattern was varied similar to those reflected by heatmap
228 profiling the RPKM expression values of all DEGs (Figure 4B). These observations suggested that
229 genes in turquoise module represented the resident *L. casei/paracasei* expression, which might be
230 transiently stimulated by the ingested *L. casei* Zhang at early time (day-14) but repressed at later
231 time (day-28).

232
233 GO functional analysis showed that genes in turquoise module were enriched in transmembrane
234 transport (p -value, $7.92e-12$) (Figure 5A, middle panel). Genes in amino acid transmembrane
235 transport and carbohydrate transport were enriched as well. KEGG analysis indicated that most
236 transmembrane transport genes were ABC transporters (Figure 5A bottom panel). Another class
237 of function more expressed by the resident *L. casei/paracasei* strains were metabolic genes (Figure
238 5A bottom panel), indicating that the metabolic function of the resident *L. casei/paracasei* could
239 be altered upon probiotic expression.

240
241 Eigengene expression pattern of blue module (444 genes) showed specific expression among all
242 six day-28 samples and 2 day-14 samples (individuals C and D) (Figure 5B), indicating that these
243 genes were either induced by or specifically expressed from the ingested *L. casei* Zhang.
244 Functional clustering analysis showed that these genes were enriched in sugar metabolism and
245 transport functions including phosphoenolpyruvate-dependent sugar phosphotransferase system
246 (GO, 34 genes, p -value $\leq 1.78e-9$), carbohydrate transmembrane transport (GO, 31 genes, p -value
247 $\leq 7.22e-8$) and Galactose metabolism (KEGG, 22 genes, p -value $\leq 2.64e-5$) (Figure C middle
248 and bottom).

249

250 Eigengene expression pattern of brown module (92 genes) were similar to that of blue module,
251 with a major difference in gene expression pattern for individual F at day-14. In addition to the
252 sugar metabolic function, Brown module genes were mostly enriched in ribosome and translational
253 function ([Figure S2](#))

254

255 **Comparison of the genome expression patterns of *L. casei* *in vitro* and in human gut**

256 We next compared the transcriptome of *L. casei* *in vitro* and in human gut. We were aware the *in*
257 *vivo* expression of *L. casei* was mixed by a fraction of resident *L. casei/paracasei*. Differentially
258 expressed genes were obtained inside of the *in vivo* or *in vitro* groups, as well as between the *in*
259 *vivo* and *in vitro* groups, which were subjected to WGCNA network analysis. Almost all *L. casei*
260 genes (97.22%; 2871/2953) were subjected to the transcriptional regulation during *in vitro* and *in*
261 *vivo* growth of the probiotic ([Figure 6a](#)). It demonstrated that M1 module contained 948 genes,
262 representing 32.1% of all *L. casei* genes, expressed very well when grown *in vitro*, but strongly
263 repressed when grown in human gut. These genes were expressed at relative higher level in two
264 day-14 samples (individuals A and F), which could reflect transcripts from the transiently passed
265 *L. casei* after being ingested. M1 module genes were enriched in KEGG pathways for translation
266 and replication ([Figure 6b](#)).

267

268 Expression of *L. casei* Zhang genes in M2 modules (839) was induced at day-14 of three samples.
269 These genes were strongly enriched in ABC transporters and metabolism pathways of multiple
270 amino acids ([Figure 6c](#)), suggesting the possible presence of a transition stage, during which the
271 ingested *L. casei* Zhang has to alter its uptake function to adapt the human gut environment. Genes
272 in M2 modules were highly overlapped with the genes in turquoise module shown in [Figure 5a](#).

273
274 At day-28, the late stage of ingestion, expression of a cluster of genes (226) was specifically
275 increased (M3 module). These genes were involved in the biosynthesis and/or metabolism of the
276 well-known probiotic molecular including galactose (20), carbohydrate utilization (33) and
277 metabolism of propanoate the key member of SCFA (34) (Figure 6d). We found *L. casei* genes for
278 ascorbate and aldarate metabolism were globally upregulated, suggesting a novel class of probiotic
279 molecule. Genes in M4 module (215) were mostly expressed in the tablet form of *L. casei*, and
280 their level in human gut was increased at the late stage of ingestion (Figure 5e). M4 genes were
281 most strongly enriched in the metabolism of butanoate, another key member of SCFA synthesis
282 (35).

283

284 **Dynamic expression of sRNA genes of *L. casei* Zhang *in vitro* and *in vivo***

285 Given the regulatory function of bacterial sRNAs(36), we then studied the possible contribution
286 of sRNA to the highly dynamic transcriptome of *L. casei* Zhang. A total of 208 candidate sRNAs
287 were identified from the *in vitro* grown cells. Among these candidate sRNAs, 76 were identified
288 from all 4 stages and 143 were identified from at least two growth stages (Figure 7a). Heatmap
289 plot of the expression patterns of all sRNAs under the *in vitro* growing states showed that although
290 most sRNAs were expressed at multiple growth conditions, stage-specific expression of sRNAs
291 were prevalent for *L. casei* (Figure 7b). Lag-phase, log-phase, death-phase, and tablet-phase sRNA
292 clusters were highly specific (Figure 7b). Interestingly, stationary phase did not contain its-specific
293 sRNA, and it rather expressed sRNA specific for the log and death phases at relatively high levels
294 (Figure 7b).

295

296 When *L. casei/paracasei* was expressed in human gut, expression of sRNAs was clearly separated
297 into two clusters. The M1 sRNAs decreased their expression after the ingestion while the M2
298 sRNAs increased their expression, in comparison with the sRNA expression in the tablets (Figure
299 7c). The *in vivo* M1 sRNAs contained sRNAs specifically expressed at each of the four *in vitro*
300 grown stages at an unbiased frequency, while M2 sRNAs were mainly those of the *in vitro* log
301 phase sRNAs (Figure 7c). This observation suggested that the *in vivo* growing state of *L.*
302 *casei/paracasei* might resemble the *in vitro* log phase.

303

304 Rli28 is a small RNA that is detected in *Listeria monocytogenes* grown in stationary phase and in
305 the intestinal lumen of its infected mice and proposed to be involved in the bacterial virulence (37).

306 We identified five copies of rli28 expressed from the genome of *L. casei* Zhang, ranging from 210
307 bp to 492 bp and located in two separated loci (Table S2). We plotted the levels of rli28 genes in
308 the *in vitro*-grown *L. casei* Zhang and the *L. casei/paracasei* grown in human gut varied greatly
309 (Fig. 7e; Figure S3). The *in vitro* expression patterns of these rli28 genes of *L. casei* Zhang differed
310 significantly, with one being peaked at the log phase (rli28e), two at the stationary phase (rli28c
311 and rli28d), and two at both the stationary and death phases (rli28a and rli28b). Expression of four
312 rli28 genes in human gut was constantly increased with the ingested time, while rli28a gene was
313 decreased in its expression at day-28.

314

315 Rli28c peaked at the stationary phase was chosen for further functional analysis (Fig. 7f). After
316 rli28c being knocked out using Cre/LoxP cassette, the *in vitro* growth of the mutant *LcZ* was
317 enhanced compared to the wild-type (Fig. 7f). Meanwhile, the growth medium pH of the mutant
318 *LcZ* was lower than the wild-type, consistent with an enhanced release of the lactic acid. Taken

319 together, these results suggested that the stationary phase *rli28c* may repress the growth and the
320 production of lactic acid by *L. casei*.

321

322 **Discussion**

323

324 Exploring the fate of ingested probiotics thoroughly at transcriptional level remains challenge thus
325 far. To our best knowledge, this study presented the first effort to profile the transcription of mRNA
326 and sRNA of probiotic and resident *Lactobacillus* in human gut, by extracting the transcriptome
327 reads of the probiotic bacteria from metatranscriptomic reads. Classical metatranscriptomic
328 analysis shows that the ingested probiotic bacteria does not alter the global composition of gut
329 microbial community to any appreciable level compared to the individual variations, consistent
330 with the previous results (31, 32, 38-40). Comprehensive comparative transcriptome analysis was
331 performed in human fecal samples at different time points of ingestion, and between the *in vivo*
332 and *in vitro* growth states. These comparative transcriptomic and metatranscriptomic studies led
333 to some interesting findings.

334

335 **The resident *L. casei/paracasei* strains transcribe differently from the ingested probiotic *L.*** 336 ***casei* strain**

337

338 It has been nearly impossible to study the transcriptome of individual strains among the large
339 microbial community in human gut previously (41). In this study, we have used deep sequencing
340 technology to obtain metatranscriptomes of human gut microbiota from the fecal samples of six
341 healthy volunteers, followed by mapping the metatranscriptomic reads onto the genomes of the

342 ingested *L. casei* Zhang and its two close relatives *L. casei/paracasei* strains. This approach
343 allowed us to compare the transcription of the ingested *L. casei in vitro* and its close relatives in
344 human gut (day-0 samples), demonstrating that transcription of both mRNAs and sRNAs differ
345 greatly *in vitro* and *in vivo*.

346

347 We have also applied strain-specific reads to distinguish the transcripts from three closely related
348 *L. casei/paracasei* strains, indicating that the increased mapping of metatranscriptomic reads is
349 primarily derived from the ingested *L. casei*. We proposed that a combination of increased
350 metatranscriptomic sequencing depth and stain-specific mapping strategy might allow a higher
351 resolution of the transcriptomes of various microbe strains in human and other mammals, as well
352 as the transcriptome dynamics in response to the exogenous bacteria, in the future.

353

354 **The fate of the ingested *L. casei*: death/lysis or changing the transcription pattern**

355 Probiotic microorganisms can generally survive well when they pass through the stressful GI tract
356 conditions in a few hours, and stay in colon for a few days (14, 15, 25). Microbial cells that cannot
357 survive the GI tract undergo cell lysis (14, 42). It is unclear what is going on at the transcriptome
358 level when the probiotics were ingested. In this study, we have demonstrated that transcription of
359 the ingested *L. casei* does not inherit the *in vitro* transcription pattern at all. Moreover, transcription
360 patterns at day-14 and day-28 differ significantly.

361

362 These findings have an important implication regarding the fate of ingested bacteria and what we
363 are detecting from the fecal samples. It is generally worried that during the course of probiotic
364 uptake, the majority of probiotics that we detected from fecal samples are the dead bacteria after

365 being ingested. However, the distinct transcription patterns between *in vitro* and *in vivo*, as well
366 as between those after 14 days and 28 days of probiotic uptake, strongly suggest that the detected
367 probiotic transcriptomes reflect those have survived the GI tracts. Our results support the previous
368 hypothesis of the cell lysis for the dead ingested bacteria (20, 42). We do not exclude the possibility
369 that the dead probiotic bacteria might still yield fragmented DNA signals. However, the dead
370 probiotic *L. casei* unlikely yield RNA signals according to our reported transcription patterns. In
371 conclusion, this study suggests that transcriptome analysis represents a more effect way for
372 detecting the living bacteria in fecal samples.

373

374 **Activation of ABC reporters might be required for probiotic survival during the early stage**
375 **of ingestion**

376

377 ATP-binding cassette (ABC) transporters represent one of the largest classes of transporters using
378 the power from ATP hydrolysis to drive the translocation of different substrates across cell
379 membranes (43). ABC transporters not only transport a large variety of nutrients into cells from
380 environments, but also transport various cellular components away from the cells. For example,
381 multidrug ABC transporters transport a wide range of drugs from cell (44). In this study, we found
382 that in three day-14 and one day-0 fecal samples, genes encoding ABC reporters were globally
383 activated in *L. casei*, compared with their expression under *in vitro* growth condition. As we have
384 shown, upon *L. casei* Zhang ingestion, the increased *L. casei* mapping is from the ingested *L. casei*.
385 The increased expression of ABC transporters should therefore indicate that the *L. casei* Zhang
386 survived GI tracts has changed its expression pattern favoring the expression of ABC transporters.

387 Activation of the expression of ABC transporters might enhance the ability of ingested *L casei* in
388 uptaking of nutrient from the human gut environment.

389

390 It is known that human gut microbes establish direct chemical interactions with host (45). It could
391 be possible that the signals for the global activation of ABC transporters were sent by the gut
392 microbial community, reflecting its early cross-talk with the ingested probiotic. On the other hand,
393 activation of ABC transporters could also reflect how the ingested *L casei* respond to the living
394 condition in human gut.

395

396 **Genes for sugar and SCFA metabolisms are activated during the later stage of probiotic**
397 **ingestion**

398

399 Interestingly, we observed a clear shift of transcriptional patterns between day-14 and day-28
400 samples, in which the activated expression of ABC transporter disappeared and activated
401 expression of genes for galactose and sugar metabolism appeared. This shift indicates a dynamic
402 cross-talk between ingested *L. casei* and human gut microbiota. It could be possible that the early
403 cross-talk elicits a signal for activated expression of ABC reporters. However, as *L. casei* uptake
404 continues, the interaction between the ingested *L. casei* and human gut microbes has been
405 established, the signal for activation of ABC transporters of the ingested *L. casei* might then lose.
406 Instead, signals for galactose and sugar metabolism are secreted, be sensed and reacted by the
407 ingested *L. casei*.

408

409 Human gut microbiome is developed with its host after birth, which modulates the host metabolic
410 phenotype(45). The host and microbiome establish metabolic axes resulting in combinatorial
411 metabolism of substrates by the microbiome and host genome, which produce various metabolites
412 such as bile acids, choline, and short-chain fatty acids (SCFAs) that are essential for host health
413 (46, 47). It is interesting to observe that at the later stage of the ingestion of probiotic *L. casei*
414 genes for galactose and sugar metabolism, as well as those for the metabolism of one class of
415 SCFAs propanoate (48, 49) were globally activated. These findings are consistent with the current
416 knowledge that probiotic bacteria can contribute metabolites such as acetate, lactate and
417 propanoate (14, 50, 51). A number of reports have shown that *Lactobacillus* strains produce SCFAs
418 (52, 53). The increase in propionic acid is dependent on the intake time, much more pronounced
419 after 3 weeks of intake than after eight days, which agrees well with our observed time-dependent
420 activation of genes for propanoate metabolism.

421

422 **The highly regulated expression of *L. casei* sRNAs and growth repression by sRNA *rli28***

423

424 Small RNAs represent a large class of novel regulatory molecules in bacteria (36, 54). The sRNAs
425 in *Lactobacillus* have not been well characterized before. In this study, we have identified 208
426 sRNAs in *L. casei* Zhang growing under four different growth stages *in vitro*, among which 76
427 were all overlapped. Almost all sRNAs display a stage-specific growth pattern, which agrees well
428 with the regulatory roles of sRNAs (55, 56).

429

430 After the intake, we found that sRNAs highly expressed in the death and stationary phases were
431 well expressed in human gut. By creating a lox knock-out *L. casei* Zhang, we have shown that one

432 copy of rli28 who best expressed in stationary phase inhibits *L. casei* growth *in vitro*. This suggests
433 that sRNAs could regulate the bacterial growth rate.

434

435 **Conclusions**

436 Study the transcription of the ingested probiotic in human gut using the metatranscriptome
437 profiling approach has shown that the probiotic strain transcribes in a unique way different from
438 its *in vitro* transcription and the *in vivo* transcription of the closely related species. Expression of
439 about 40% of mRNAs and sRNAs is repressed, while genes encoding ABC transporters and those
440 in sugar and SCFA metabolisms are activated at the early and later stages of ingestion, respectively.
441 The unique transcription pattern of the probiotic bacteria *in vivo* might shape their characteristics
442 of being transient passenger without much affecting of the resident gut microbiota. These findings
443 together underline the presence of a dynamic crosstalk between the probiotic and human gut
444 including the microbial community, which ensures a tightly regulated expression of the probiotic
445 genome *in vivo*, which are worth of further studies in the future. Moreover, the developed
446 methodology can be extended to study the *in vivo* expression of probiotics and pathogens.

447

448

449 **Methods**

450 **Subjects and study design**

451 Subjects were asked to orally intake 4 probiotic tablets consisting of a total of $10.6 \text{ Log}_{10} \text{ CFU } L.$
452 *casei* Zhang daily from Day 0 to 28. Fecal samples were collected from the subjects on Days 0, 14
453 and 28 in sterile containers and were kept refrigerated. Samples were transported on ice to the
454 laboratory within 2 hours, and were kept at -80°C until further analysis.

455

456 **Stool collection, storage, fecal RNA extraction and sequencing.**

457 Stool samples were respectively collected before and after a 4-week consumption period. Gut
458 microbiota were sampled by non-invasively fecal collection. Stool samples were taken in duplicate
459 by coring out feces with inverted sterile 1 mL pipette tips. These tips were then deposited in 15
460 mL Falcon tubes. Samples collected at home were stored temporarily at -20°C until transported
461 to the laboratory and then stored in -80°C freezers. Subject samples collected abroad were stored
462 at -20°C , shipped to the company on dry ice, and then stored at -80°C . Total RNAs were treated
463 with RQ1 DNase (promega) to remove DNA. The quality and quantity of the purified RNA were
464 determined by measuring the absorbance at 260 nm/280 nm (A260/A280) using smartspec plus
465 (BioRad). RNA integrity was further verified by 1.5% Agrose gel electrophoresis. For each sample,
466 5 μg of total RNA was used for RNA-seq library preparation. Ribosomal RNAs were depleted
467 with Ribo-ZeroTM rRNA depletion kit (Epicentre, MRZB12424) before used for directional RNA-
468 seq library preparation (gnomegen K02421-T). Purified mRNAs were iron fragmented at 95°C
469 followed by end repair and 5' adaptor ligation. Then reverse transcription was performed with RT
470 primer harboring 3' adaptor sequence and randomized hexamer. The cDNAs were purified and
471 PCR amplified. PCR products corresponding to 200-500 bps were purified, quantified and stored
472 at -80°C until used for sequencing.

473

474 ***In vitro* sample RNA extraction, library construction and sequencing**

475 For the *in-vitro* bacterial samples, we collected the samples by two different styles. As for the first
476 style, we cultured the *L. casei* Zhang on the medium and collected two replicate samples from each
477 of the four growth stages, lag, log, stationary, and death stage, respectively. For the second, we

478 collected the samples from the probiotic tablets same as the above, and three replicates were
479 prepared. After sample collection, total RNAs were extracted from samples mentioned above by
480 using Trizol Reagent (Invitrogen). Then we used Ribo-Zero rRNA removal kit to remove the
481 rRNAs. After that, extracted RNA was amplified using custom barcoded primers and sequenced
482 with paired-end 100 bp reads by Illumina HiSeq2500 platform.

483

484 **Quality filtering and sequence statistics**

485 After sequencing, raw reads would be first discarded if containing more than 2-N bases, then reads
486 were processed by clipping adaptor, removing low quality reads and bases from the end of each
487 reads and discarding too short reads (less than 16nt) by FASTX-Toolkit (Version 0.0.13). The
488 metagenomic, metatranscriptomic and the *in vitro* samples were filtered with the same method and
489 parameters.

490

491 **Data validation by qPCR**

492 Genomic DNA and total RNA were extracted from fecal samples of each volunteer. To validate
493 genes copy number from metagenomic sequencing, quantitative Polymerase Chain Reaction
494 (qPCR) was applied to detect the relative copy numbers using ABI Prism 7300 Real-Time PCR
495 System with standard procedures. A known fragment, containing 3'-UTR of RORA gene (human)
496 was inserted into psiCHECK 2 plasmid. The plasmid was added into each sample by quantitation,
497 and detected as an external control by specific primers. The relative level of DNA level was
498 analyzed after being normalized by the external control.

499 For metatranscriptomic mRNA detection, total RNAs was extracted from the same fecal samples
500 of each volunteer for sequencing. To ensure there was no genome DNA contamination, RNA was

501 treated with DNase 1 (Takara) for 2h, and then applied to PCR validation. The mRNA fragments
502 of β -actin (human) obtained by in vitro Transcription (Transcript Aid T7 High Yield Transcription
503 Kit, Thermo Scientific) was added into each RNA samples and applied to the reverse-transcribed
504 by random hexamer primers using M-MLV reverse transcriptase (Promega). RT-qPCR was
505 performed using ABI Prism 7300 Real-Time PCR System with standard procedure, and the
506 relative expression level of genes were normalized by β -actin. The PCR primers were provided in
507 [Table S3](#).

508

509 **HMP database retrieval**

510 We chose HMP database (<http://hmpdacc.org/>) as reference to do the structural and functional
511 analysis. First, we downloaded the complete genome sequences and annotation of human gut
512 microbiome, which contains 358 publicly available human microbiome genomes generated from
513 the National Institutes of Health (NIH) Human Microbiome Project and the European MetaHIT
514 consortium. Besides, we added the *L. casei Zhang* genome (<http://www.ncbi.nlm.nih.gov/>) to the
515 database to evaluate the influence of *L. casei Zhang* to the microbiome. We then aligned our
516 metagenomic and metatranscriptomic data to the genomes with bowtie2(57), allowing no more
517 than one mismatch. To deal with cases of multiple mapping, we selected no more than 10 best
518 matches of the alignment based on the mapping quality, and then we divided the reads by its hits
519 number, and each hit occupied one part of the reads. After that, we calculated the reads number
520 and RPKM value for each contig and gene in the database. We then obtained the abundance of
521 different taxonomic levels from species to kingdom by adding relative contigs abundance together.
522 To consistently estimate the functional composition of the samples, we annotated the genes from
523 the HMP database using COG orthologous groups and KEGG pathways by blastx program with

524 e-value 1e-5. We ensured that comparative analysis using these procedures was not biased by data-
525 set origin, sample preparation, sequencing technology and quality filtering.

526 For metatranscriptomic gene abundance, to study gene expression alteration changed by the *L.*
527 *casei Zhang*, we compared the expression change between day 14 and day 0, day 28 and day 0 and
528 day 28 and day 14. First, we got differentially expressed species and extracted all genes abundance
529 from these species, and then obtained the differentially expressed genes. We then used
530 WGCNA(58) method to classify the differentially expressed genes as modules based on their
531 expression pattern. After classification, we used the annotation of KEGG to obtain the functional
532 enrichment pathways by hypergeometric test.

533

534 ***In vivo* and *in vitro* samples co-analysis**

535 To find the transcriptome difference of *L. casei Zhang* between *in-vivo* and *in-vitro* samples, we
536 compared the gene expression difference among these samples by aligning the transcriptome reads
537 to the *L. casei Zhang* genome. We used bowtie2(57) software to align reads to the *L. casei Zhang*
538 genome allowing 1 seed mismatch. RPKM value for each gene was calculated for each sample.
539 Then we compared the gene expression changes between each samples groups with each other by
540 edgeR (59) package. Samples *in-vivo* of each point was compared with samples *in-vitro* of each
541 stage and type, and samples *in-vivo* was compared with each other, samples *in-vitro* was compared
542 with each other. We then used WGCNA(58) method to classify the differentially expressed genes
543 as modules based on their expression pattern. After classification, we used the annotation of KEGG
544 to obtain the functional enrichment pathways by hypergeometric test.

545

546 **Bacteria sRNA prediction and expression analysis**

547 To have an exact prediction of *L. casei* Zhang sRNAs, we developed an algorithm to detect peaks
548 from alignment results among intragenic, intergenic (between two adjacent genes) and antisense
549 regions. We used the RNA-seq data from four stage bacterial strain cultured on the medium. We
550 merged the mapping result file from the same stage, and ran the computer program separately for
551 the four stages. After prediction, we merged the sRNAs predicted from the four stages by genomic
552 locations and got a final sRNA prediction result. The detail description of algorithm is described
553 below. Based on the alignment result, 5 bp window size was chosen as the default window size.
554 Peak starting site was identified as the end of one window, the median depth of which is no more
555 than 0.25 fold of all of the adjacent downstream eight windows. Peak terminal site was identified
556 as the start of one window whose median depth is no more than 0.25 fold of all of the adjacent
557 upstream eight windows. After the algorithm realization, we then filtered the peaks according to
558 the following three thresholds: 1) the length of peaks should range from 40bp to 500bp; 2) the
559 maximum height of one peak should be no less than 60 read depth; 3) the medium height of one
560 peak should be no less than 20 depth. After peak definition, we classified the peaks into three
561 different classes according to their locations: 1) intragenic peaks whose locus were overlapped
562 with known mRNA genes and on the same strand; 2) antisense peaks were defined as peaks whose
563 locus were overlapped with known mRNA genes but on the opposite strand; 3) intergenic peaks
564 whose locus were neither overlapped with known mRNAs on the same strand nor on the opposite
565 strand. Antisense and intergenic peaks were defined as sRNAs. We aligned the sRNA sequence to
566 the Rfam database (version 12.0) (60) to identify homologies from related bacteria by Blast method
567 (E-value $\leq 1e-5$).

568 After sRNA prediction, we got the normalized expression level of each sRNA for each samples.
569 We then used WGCNA(58) method to classify the differentially expressed sRNAs as modules
570 based on their expression pattern.

571

572 **sRNA knockout experiment**

573 To validate the influence on bacteria by sRNAs, we selected sRNAs that expressed significantly
574 and dynamically to do the knockout experiment. Rli28 and ratA from the plasmid of *L. casei Zhang*
575 were chosen. The target sequence of Rli28 is
576 TTAATGCGATTAAAGCCACGGTAAAGGTACCGAAAGCCAGCATTAAATTGTAAAGCG
577 TCCGCAACGGACACTTAGGCTACTCCTTTCATTAGGATTTATGGGCTTTAGGGGTTTA
578 ACACCATAAGCACCTCCGATCGGAAATAGCCACCGCCTTAACTTCTCTACAAGC
579 TTTAATTATACAGGAGCTTT, which locates on the plasmid from 30466 to 30656. The target
580 sequence of ratA is
581 TAATATAGACAGAAAAAGGGAAGCCCCGCTAGAACAGGACTTCCCATGCAAGCCGC
582 TTCAAAGGCGGTGGCAGAAATTTAATAAACGATTTT, which locates on the plasmid from
583 28019 to 28110. The knockout experiment was performed according to one published protocol for
584 gene deletions in *Lactobacillus*(61), and the knockout efficiency of Rli28 was validated by RT-
585 PCR. After knockout, we tested the cell density and pH levels of the knockout bacteria with three
586 independent replicates.

587

588 **MetaPhlAn2 analysis**

589 For both metagenomic and metatranscriptomic reads, we have applied the MetaPhlAn2 and
590 GraPhlAn software(62) to obtain the relative abundance of each species. Top abundant species of

591 all samples were used to make a dendrogram heatmap via hierarchical clustering. After the
592 calculation of species abundance, we got differentially expressed species to analysis the influence
593 of *L. casei Zhang* on transcription variation.

594

595 **Other statistical methods**

596 Principle Component Analysis (PCA) was used to analyze the time and individual influence.
597 Fisher Exact Test was used to obtain the enrichment of each functional cluster. Statistical figures
598 and tables were obtained by a free statistical software R. Cluster was performed by the Cluster3.0
599 software and the heatmap was generated by Java TreeView
600 (<http://bonsai.hgc.jp/~mdehoon/software/cluster/software.htm>).

601

602 **Abbreviations**

603 GALT: gut-associated lymphoid tissue; IBD: inflammatory bowel disease; IBS: irritable bowel
604 syndrome; *L. casei Zhang*: *Lactobacillus paracasei* Zhang; ORFs: Open Reading Frames; PCA:
605 Principal Component Analysis; PTR: peak-to-trough ratio; qPCR: quantitative Polymerase Chain
606 Reaction; RPKM: Reads Per million per kilobase; SCFAs: short chain fatty acids;

607

608 **Declarations**

609 **Ethics approval and consent to participate**

610 The experiment was approved by the Ethics Committee of the Inner Mongolia Agricultural
611 University (Hohhot, China). A written consent was obtained from every volunteer.

612

613 **Consent for publication**

614 All volunteers participated in this paper have signed to give the consent for publication.

615

616 **Availability of data and material**

617 The sequences reported in this paper have been deposited in the National Center for Biotechnology

618 Information Sequence Read Archive under accession no. SRP065752.

619

620 **Competing interests**

621 The authors declare that they have no competing interests.

622

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628

629 **Authors' contributions**

630 H.Z. and Y.Z. led the project; H.Z., Y.Z., J.W. and Z.S. conceived and designed the project; Y.Z.,

631 H.Z., J.Q. and D.C. wrote the manuscript; X.L., J.D. and J.Z. collected samples and performed

632 experiments; D.C., C.C., and Q.Hou analyzed the data and generated graphics.

633

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648

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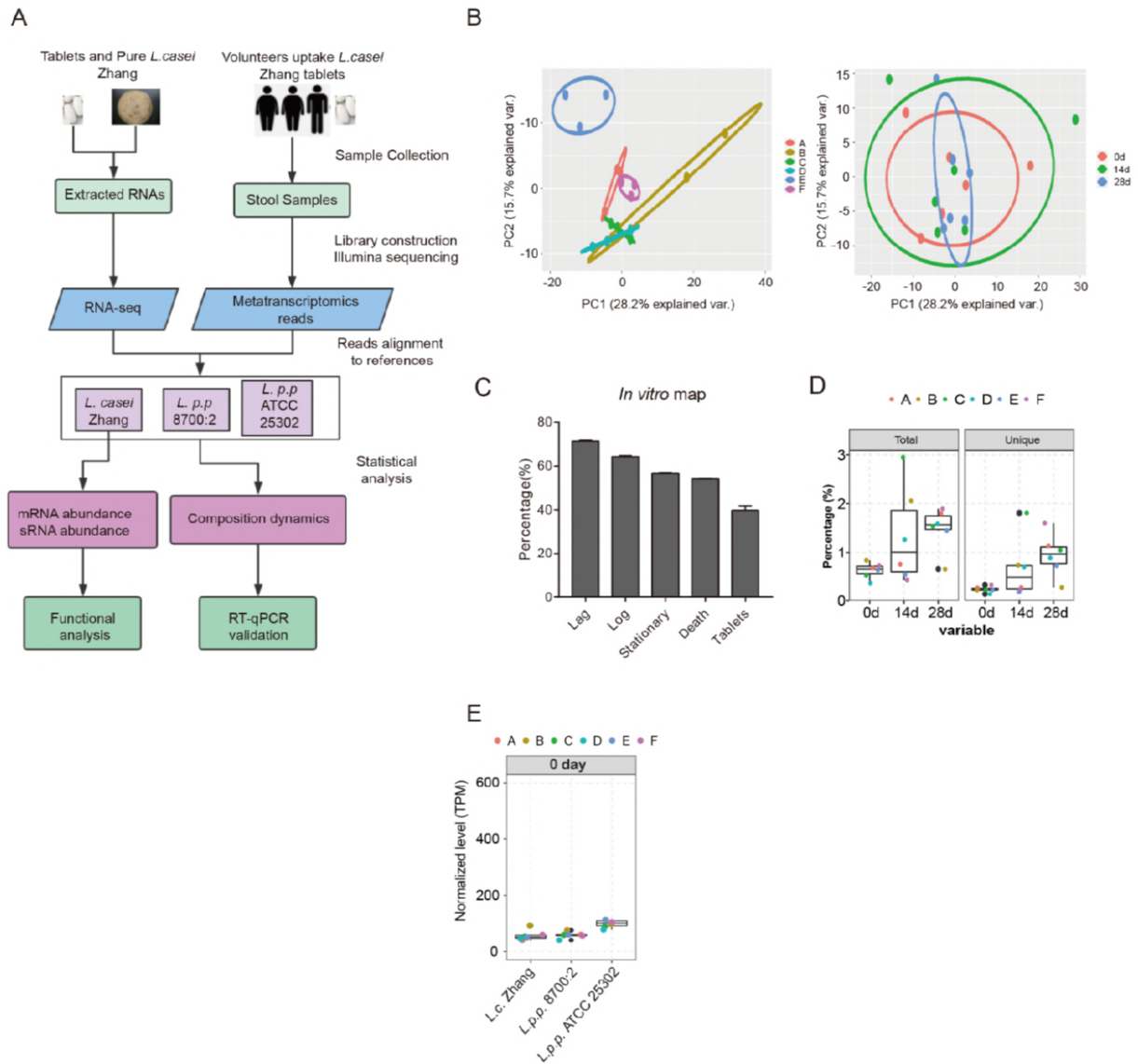
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834

835

836 Figure legends



837

838 **Figure 1. Experimental design and the transcriptional profile of an ingested probiotic**
839 **bacteria.**

840 (A) The flow diagram of this study. Firstly, we took stool samples from six volunteers ingesting *L.*
841 *casei* Zhang tablets and sequenced the metatranscriptomic reads. In vitro samples were also
842 used to construct RNA-seq libraries, including tablets and cultured pure *L. casei* Zhang. Then
843 we aligned the filtered reads to the reference genomes as well as databases, and calculated the

844 composition dynamics of corresponding species, as well as gene expression abundance. Last,
845 we validated the results by qPCR methods using the original stool samples.

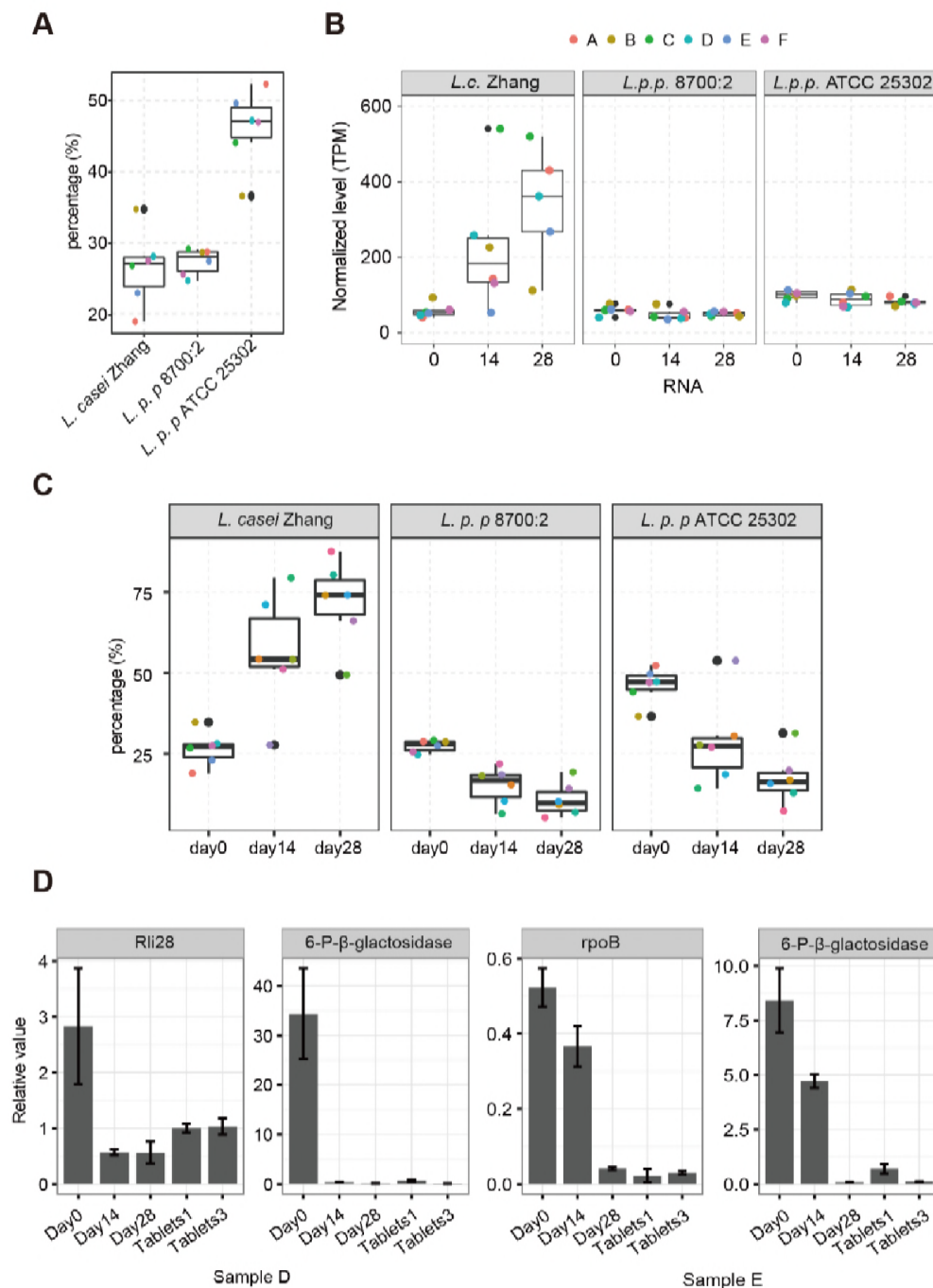
846 (B) PCA analysis showing a large inter-individual variation among all 18 metatranscriptomic
847 samples. The samples were separated by individual classification (left) or by temporal
848 classification (right).

849 (C) Bar plot showing the mapping percentage of *in vitro* samples by aligning the RNA-seq reads
850 to the *L. casei* Zhang genome sequence.

851 (D) Box plot showing the mapping percentage of *in vivo* samples by aligning the RNA-seq reads
852 to the *L. casei* Zhang genome sequence. Left panel was the total mapped reads, and right was
853 the uniquely mapped reads. Dots in each box represent six volunteers.

854 (E) Box plot showing the mapping percentage of *in vivo* samples by aligning the RNA-seq reads
855 to three closely related strains. Samples at 0 day were chosen for representation.
856

Dynamic transcription of probiotic mRNAs and sRNAs in human gut



857

858 **Figure 2. Transcripts from the ingested *L. casei* Zhang increase significantly after ingestion.**

859 **(A)** Box plot showing the percentage of mapped reads in each species to the total mapped reads in
860 these three species. Dots in each box represent six volunteers.

861 **(B)** Box plot showing the mapping percentage of *in vivo* samples by aligning the RNA-seq reads

862 to three closely related strains. Samples at three time points were chosen for representation.

863 (C) Box plot showing the mapping percentage of reads that were aligned to the combined genome

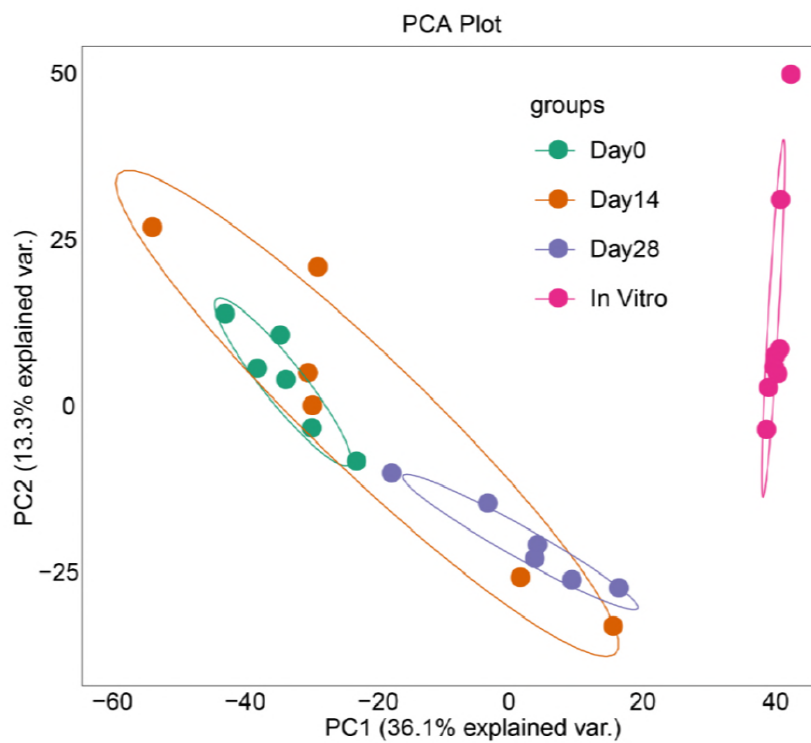
864 sequences of these three species.

865 (D) Bar plot for the RNA/DNA ratio of all these genes by PCR showing the high level expression

866 in the resident *L. casei* Zhang than the ingested grown in in vivo or in vitro (tablets s1 and ts3

867 samples).

868



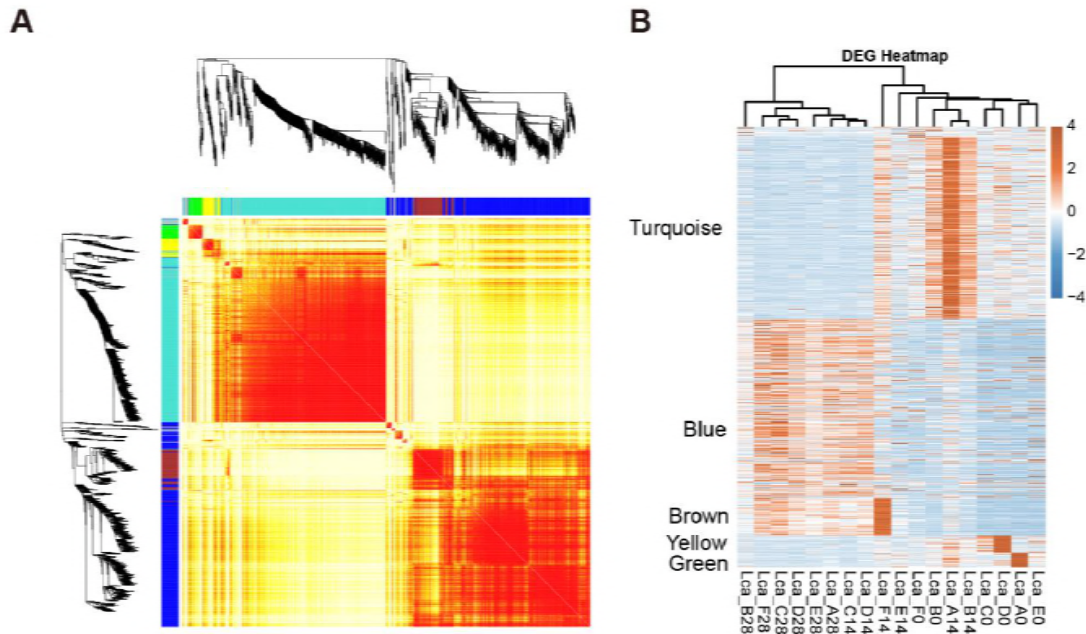
869

870 **Figure 3. Gene expression patterns of *L. casei/paracasei* in vivo are distinct from those in vitro.**

871 PCA analysis showing the distinct expression pattern of *in vitro* samples compared with *in vivo*

872 samples.

873



874

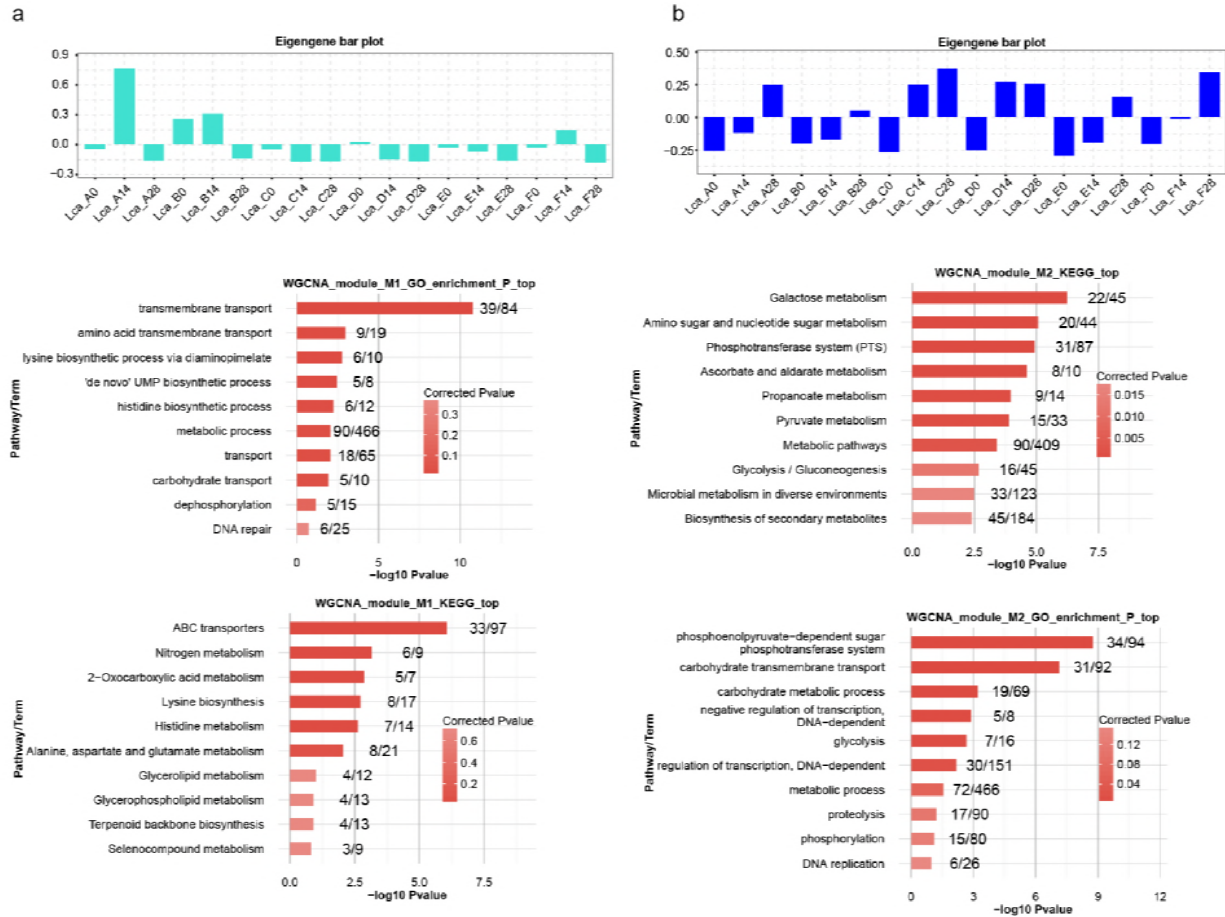
875 **Figure 4. The transcriptional dynamics of differentially expressed genes in human gut upon**
876 **probiotic ingestion.**

877 (A) Clustering heatmap showing the dynamical expression patterns of genes in different modules.

878 (B) Heatmap showing the expression pattern of genes in *in vivo* samples. The genes were sorted
879 by clustering modules.

880

Dynamic transcription of probiotic mRNAs and sRNAs in human gut



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882 Figure 5. Expression pattern and functional analysis of two modules obtained by WGCNA analysis.

883 (A) The top panel is the bar plot of eigengene value for turquoise module (first module); the middle

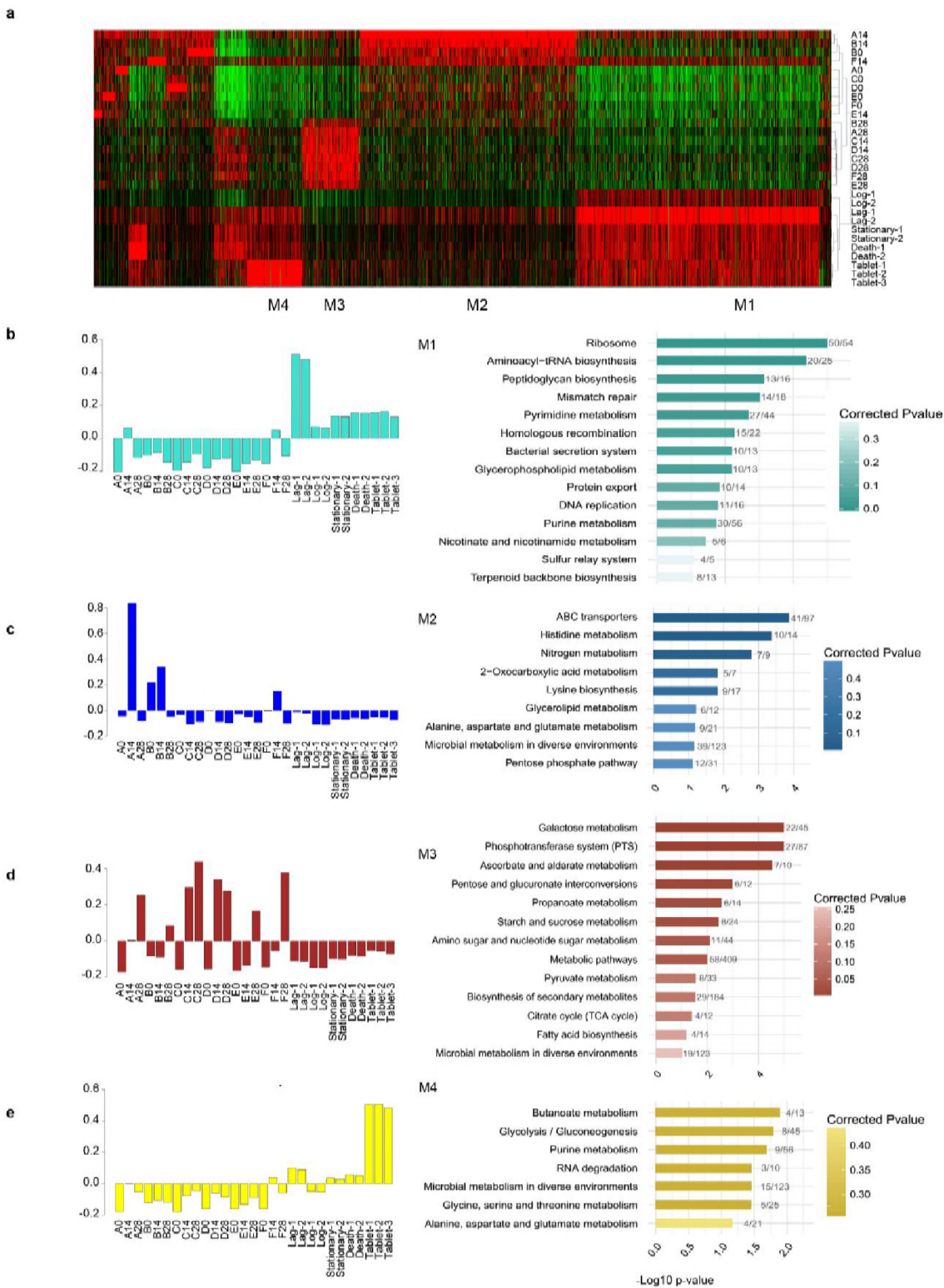
884 panel is the bar plot showing the enriched GO biological processes of turquoise module; the

885 bottom panel is the bar plot showing the enriched KEGG pathways of turquoise module.

886 (B) The same with (A) but for the blue module (second module).

887

Dynamic transcription of probiotic mRNAs and sRNAs in human gut



889 **Figure 6 Transcriptional dynamics of the ingested *L. casei* Zhang in human gut.**

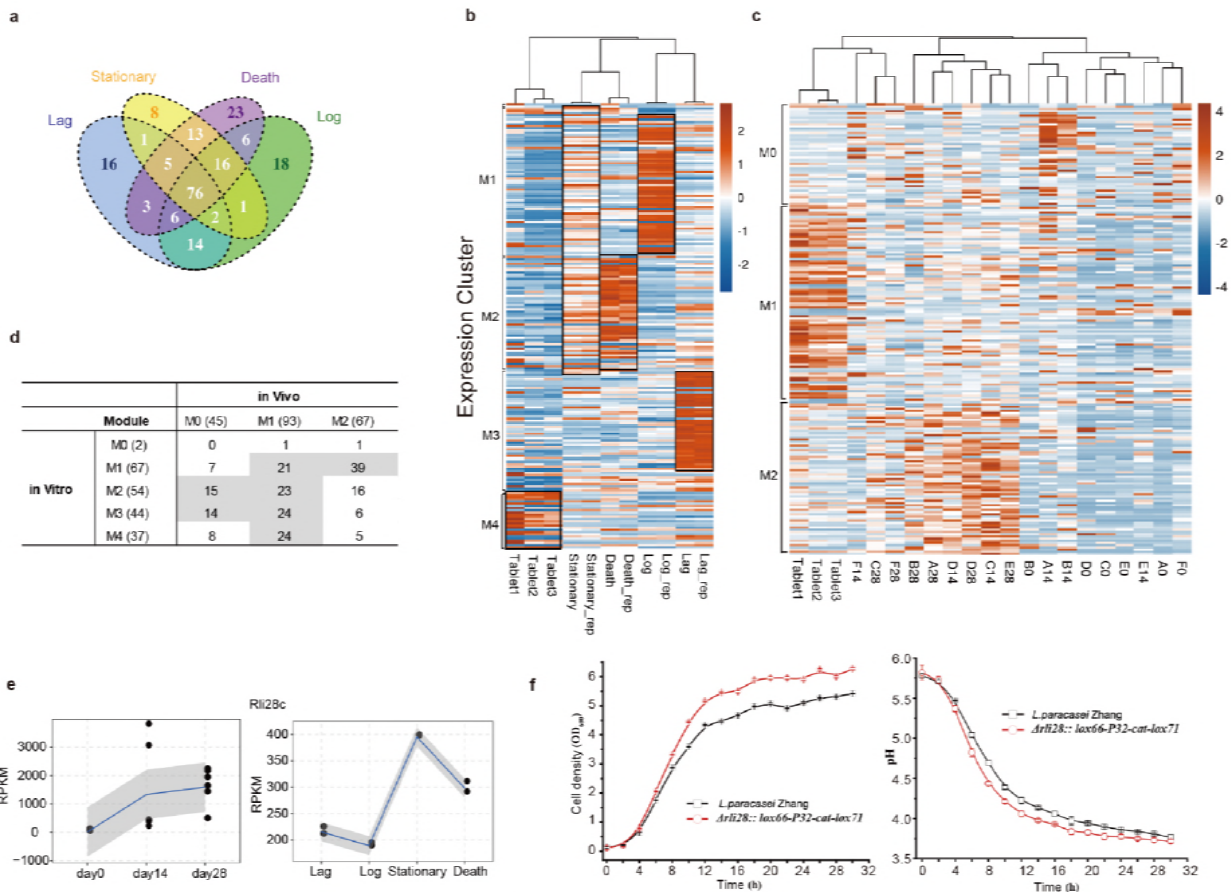
890 (A) Heatmap representation of differentially expressed genes mapped onto the *L. casei* Zhang
891 genome, ranked by the co-expression modules.

892 (B-E) Bar plots of eigengene value and KEGG pathway enrichment of corresponding genes in
893 module 1 (b), module 2 (c), module 3 (d), and module 4 (e).

894 (F) The overlapping genes between WGCNA results from *L. casei* Zhang and HMP mapping result.

895

896



897

898 **Figure 7 Expression profile of sRNAs and the function of rli28c sRNA.**

899 (A) Venn diagram showed the sRNA detection overlap among the four growth stages *in vitro*.

- 900 **(B)** Heatmap presentation of the expression pattern for the *in vitro* and tablets samples by WGCNA
901 clustering. Black rectangle represents the highly expressed sRNAs in the corresponding samples.
902 **(C)** The same with **(B)** but for the *in vivo* and tablets samples.
903 **(D)** The overlapped sRNAs numbers for major modules classified by WGCNA for *in vitro* and *in*
904 *vivo* shown in (b) and (c).
905 **(E)** The expression level line plot of RPKM values for rli28c sRNAs in *in vivo* and *in vitro* samples,
906 respectively.
907 **(F)** The cell density (left) and pH value of the growth medium (right) plot by time with (red) and
908 without (black) the rli28c sRNA knockout.