

Transcriptional organization of autism spectrum disorder and its connection to ASD risk genes and phenotypic variation

Vahid H. Gazestani^{1,2,3}, Tiziano Prampero¹, Srinivasa Nalabolu¹, Benjamin P. Kellman^{2,4}, Sarah Murray⁵, Linda Lopez¹, Karen Pierce¹, Eric Courchesne^{1,*}, Nathan E. Lewis^{2,3,4,6,*}

¹ Autism Center of Excellence, Department of Neuroscience

² Department of Pediatrics

³ Novo Nordisk Foundation Center for Biosustainability

⁴ Bioinformatics and Systems Biology Program

⁵ Department of Pathology

⁶ Department of Bioengineering

University of California San Diego, La Jolla, CA 92093, USA

* Correspondence to Nathan E. Lewis (nlewisres@ucsd.edu) and Eric Courchesne (ecourchesne@ucsd.edu)

1 ABSTRACT

2 Hundreds of genes are implicated as risk factors for autism spectrum disorder (ASD). However, the
3 mechanisms through which they are associated with ASD remain unclear. Here, we analyzed
4 transcriptomics from ASD toddlers and discovered a core gene network with dysregulated gene co-
5 expression. The identified network includes highly expressed processes in fetal-stage brain development
6 and is dysregulated in neuron models of ASD. We found ASD risk genes across diverse functions are
7 upstream and regulate this core network. In particular, many risk genes impact the network through the
8 RAS/ERK, PI3K/AKT, and WNT/ β -catenin signaling pathways. Finally, the dysregulation degree of this
9 network positively correlates with early-age ASD clinical severity. Thus, our results provide insights into
10 how the heterogeneous genetic basis of ASD could converge on a core network with consequence on the
11 postnatal outcome of toddlers with ASD. Deeper study into this may help decipher the molecular basis of
12 ASD and decode the complex link between its genetic and phenotypic variation.

13 INTRODUCTION

14 Autism spectrum disorder (ASD) is a neurodevelopmental disorder with prenatal and early postnatal
15 biological onset¹⁻³. Genetic factors contribute to the predisposition and development of ASD with
16 estimated heritability rates of 50-83%^{4,5}. Large-scale genetic studies have implicated several hundred risk
17 (rASD) genes that could be associated with many different pathways, cell processes, and
18 neurodevelopmental stages⁶⁻⁸. This highly heterogeneous genetic landscape has raised challenges in
19 elucidating the biological mechanisms involved in the disorder. While rigorous proof remains lacking,
20 current evidence suggests that rASD genes fall into networks and biological processes^{6,7,9-13} that modulate
21 one or more critical stages of prenatal and early postnatal brain development, including neuronal
22 proliferation, migration, neurite growth, synapse formation and function^{3,8}. However, these insights are
23 mostly gained from focused studies on single rASD genes (see Courchesne et al.³ for a recent review) or
24 based on transcriptome data of non-ASD brains⁹⁻¹¹, leaving an incomplete picture of molecular changes at
25 the individual level and relationships with early-age clinical heterogeneity.

26 To further complicate efforts to discern the molecular bases of ASD, the implicated rASD genes are
27 largely identified through *de novo* loss-of-function mutations in their coding sequence. Such events account
28 for 5-10% of the ASD population, and most of heritability is estimated to reside in common variants also
29 seen in the typically developing population^{5,14-17}. Currently, there is a paucity of data on whether ASD
30 cases with known rASD gene mutations manifest as special subtypes of ASD with distinct molecular
31 etiology, or whether they share mechanisms with the general ASD population.

32 To address these fundamental questions, it is important to understand which molecular processes are
33 perturbed in prenatal and early postnatal life in ASD individuals, assess how they vary among subjects, and
34 evaluate how these perturbations relate to rASD genes and early-age ASD clinical symptoms. It is expected

35 that the genetic changes in ASD alter gene expression and signaling in the early-age developing brain
36 ^{3,7,11,18}. Therefore, capturing dysregulated gene expression at prenatal and early postnatal ages may help
37 unravel the underlying molecular organization of ASD. Unfortunately, doing so is particularly challenging
38 as ASD brain tissue cannot be obtained at these early stages, and all available postmortem ASD brains are
39 from much older ages, well beyond the ages when rASD genes are at peak expression and the disorder
40 begins. However, in contrast to living neurons that have a limited time window for proliferation and
41 maturation, other cell types constantly regenerate, such as blood cells. Given the strong genetic basis of
42 ASD, some dysregulated developmental signals may continually reoccur in blood cells and thus be studied
43 postnatally¹⁹⁻²¹.

44 Reinforcing this notion, it was recently demonstrated that genes that are broadly expressed across
45 many tissues are major contributors to the overall heritability of complex traits ²², and it was postulated that
46 this could be relevant to ASD. Lending credence to this, previous studies have reported the enrichment of
47 differentially expressed genes in ASD blood for the regulatory targets of CHD8 ²⁰ and FMR1 ²³ genes, two
48 well-known rASD genes. Similarly, lymphoblastoid cells of ASD cases and iPS-derived models of fragile-
49 X syndrome show over-expression of mir-181 with a potential role in the disorder ²⁴. Likewise, leukocytes
50 from ASD toddlers show perturbations in biological processes, such as cell proliferation, differentiation,
51 and microtubules ²⁵⁻²⁹, and these coincide with dysregulated processes seen in neural progenitor cells
52 (NPCs) and neurons, derived from iPS cells from ASD subjects ^{30,31}. Ultimately, establishing the signatures
53 of ASD in other tissues will be important to facilitate the study of the molecular basis of the disorder in
54 living ASD subjects in the first years of life.

55 Here we leverage transcriptomic data from leukocytes, stem cell models, and the developing brain
56 to study the underlying architecture of transcriptional dysregulation in ASD, its connection to rASD genes,
57 and its association with prenatal development and clinical outcomes of ASD toddlers. Specifically, we
58 discovered a conserved dysregulated gene network by analyzing leukocyte transcriptomic data from 1-4
59 years old ASD and typically developing (TD) toddlers. The dysregulated network is enriched for pathways
60 known to be perturbed in ASD neurons, impacts highly expressed processes in prenatal brain development,
61 and is dysregulated in iPS cell-derived neurons from ASD cases. Consistent with the postulated structure of
62 complex traits ^{22,32}, we show that rASD genes across diverse functional groups converge upon and regulate
63 this core network. Importantly, this core network is disrupted to different levels of severity across ASD
64 individuals, and is correlated with clinical severity in individual ASD toddlers. Thus, our results
65 demonstrate how the heterogeneous genetic basis of ASD converges on a biologically relevant core
66 network, capturing the underlying possible molecular etiology of ASD.

67 RESULTS

68 Leukocytes display transcriptome over-activity in ASD male toddlers

69 To identify the unique transcriptional response of ASD subjects, we analyzed 253 leukocyte gene
70 expression profiles obtained from 226 male toddlers (119 ASD and 107 TD, Table S1). Robust linear
71 regression modeling of the data identified 1236 unique differentially expressed (DE) genes (437
72 downregulated and 799 upregulated; FDR < 0.05). Jack-knife resampling demonstrated that the expression
73 pattern of DE genes was not driven by a small number of cases, but rather shared with the vast majority of
74 ASD subjects (Fig S1). The expression patterns were validated in a replicate dataset of 56 randomly re-
75 sampled toddlers. We further confirmed the expression patterns of DE genes on another partially
76 independent and one entirely independent cohort (Fig S1-S4).

77 We employed a systems approach to decipher how the transcriptional perturbations in leukocytes of
78 ASD toddlers are organized in gene networks (Fig 1.a). We reasoned that ASD associated interactome
79 rewiring is most pronounced in networks of DE genes. To identify such rewiring, we first extracted a static
80 network (that is, the network is indifferent to the cell context) composed of high confidence physical and
81 regulatory interactions among DE genes, as obtained from multiple databases (Methods). We next pruned
82 the static network using our leukocyte transcriptome data to obtain context-specific networks of each study
83 group separately (that is, the networks differ based on their cognate gene expression data). The context
84 specific network of each study group was obtained by only retaining interactions in the static network that
85 were significantly co-expressed within that group with FDR < 0.05. To ensure the robustness of our
86 conclusions, we replicated all presented results on two other networks with different numbers of genes and
87 interactions obtained from additional resources (Methods).

88 The context-specific networks (DE-ASD and DE-TD) include published physical and regulatory
89 interactions among DE genes that exhibit within-group co-expression in our data. DE-ASD and DE-TD
90 networks are composed of a similar set of genes (i.e., those expressed in the leukocytes that are
91 differentially expressed between ASD and TD samples), but the wiring of the two networks differ based on
92 the co-expression patterns within each study group. To assess the possibility that intracellular pathways
93 were being specifically modulated in ASD, we created a merged network by considering the union of
94 interactions in the DE-ASD and DE-TD networks. We next examined the co-expression strength of the
95 merged network in ASD and TD individuals (Methods)³³⁻³⁵. This proxy for the transcriptional activity of
96 gene networks⁹ demonstrated that co-expression strength was higher in the ASD than the TD samples (Fig
97 1.b; p-value < 0.01; paired Wilcoxon-Mann-Whitney test). The stronger co-expression that is driven by the
98 DE-ASD network, suggests a higher level of concerted activation or suppression of pathways involving DE
99 genes in ASD toddlers. This elevated co-expression activity (herein referred to as over-activity) of the

100 network was reproducible in the other two ASD datasets and replicable across alternative analysis methods
101 (Fig S1-S4).

102 In summary, the leukocyte transcriptional networks of the DE genes show higher than normal co-
103 expression activity in ASD toddlers. Moreover, the dysregulation pattern is present in a large percentage of
104 ASD toddlers, as evidenced by the resampling analyses and the other two ASD datasets.

105 **The leukocyte-based gene network captures transcriptional programs of brain development**

106 We next assessed the potential association of the leukocyte-based network to the spatiotemporal
107 neurodevelopmental signals relevant to ASD. By overlaying our network on the *in vivo*
108 neurodevelopmental RNA-Seq transcriptome data from BrainSpan^{36,37}, we found that the DE-ASD network
109 was enriched for genes that are strongly expressed in the neocortex at prenatal and early postnatal periods
110 (p-value $<4.3 \times 10^{-30}$; Fig 1.c).

111 To investigate the spatiotemporal activity pattern during brain development, we measured the co-
112 expression strength of interactions in the leukocyte-based network at different neurodevelopmental time
113 windows across brain regions using BrainSpan. We found that the highest co-expression activity of the DE-
114 ASD network temporally coincided with peak neural proliferation in brain development (10-19 post
115 conception weeks^{3,8}) across the brain and then decreased in activity at later time points (Fig 1.d). Further
116 supporting the transcriptional activity of the leukocyte-derived network in prenatal brain, we found
117 evidence that the DE-ASD network is preserved at the co-expression level between ASD leukocytes and
118 prenatal brain (Fig 1.e).

119 **Networks of rASD genes are associated with the DE-ASD network**

120 We next analyzed the DE-ASD network in the context of other studies to explore the relevance of
121 our leukocyte-based signature to neocortical development. Parikshak et al. previously reported gene co-
122 expression modules that are responsive to the developmental trajectories of cortical laminae during prenatal
123 and early postnatal ages¹⁰. A subset of these modules show enrichment in rASD genes¹⁰. We examined the
124 overlap of our leukocyte-derived network with all modules from Parikshak et al¹⁰. The DE-ASD network
125 preferentially overlapped with rASD gene-enriched modules from that study (Fig 1.f; Table S2). This
126 suggests that our DE-ASD network is functionally related to rASD genes during neocortical development.
127 We confirmed the significant overlap of our DE-ASD network with the networks of rASD genes reported in
128 two other studies^{7,9}, indicating the robustness of the results (Fig 1.f). Intriguingly, the prenatal brain co-
129 expression network of high confidence rASD genes was more similar to that of ASD leukocytes than TD
130 leukocytes (Fig 1.g), suggesting that neurodevelopmental transcriptional programs related to rASD genes
131 might be more represented in the ASD leukocyte transcriptome than TD samples.

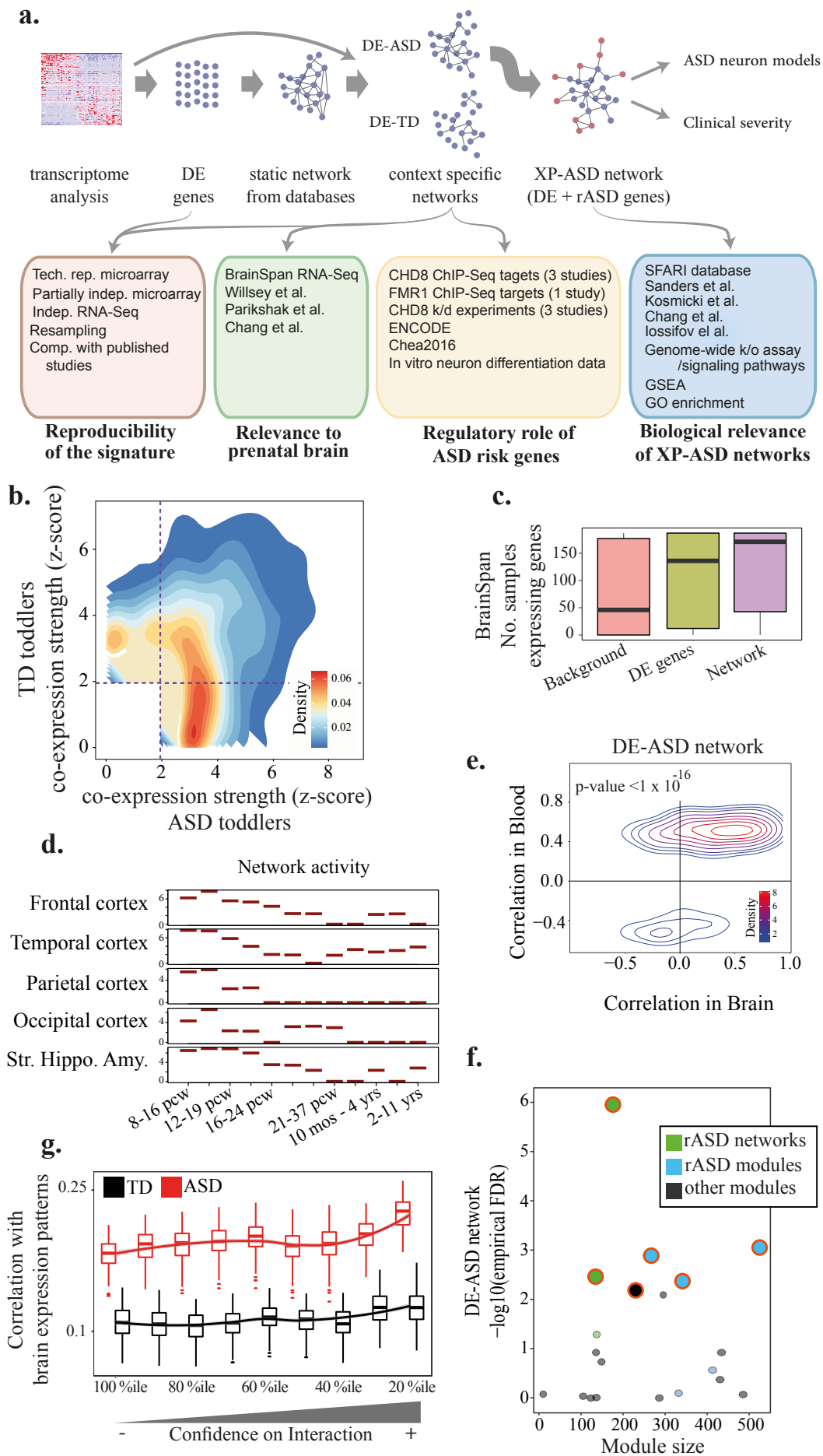


Fig 1. Elevated co-expression activity of the DE-ASD network in ASD leukocytes and its preservation in prenatal brain.

a) Overview of this study. Transcriptome analysis of 226 ASD and TD toddlers identified 1236 DE genes. We built a comprehensive “static” network of DE genes from high confidence physical and regulatory interactions. The static network was next pruned to only include links supported by measured gene co-expression within the ASD and TD transcriptome data. This yielded context specific DE-ASD and DE-TD networks, and allowed the comparison of gene co-expression strength in ASD and TD subjects. To understand the link of the DE-ASD network to ASD risk genes, an XP-ASD network was constructed using both DE and ASD risk genes. The DE-ASD and XP-ASD networks were analyzed in the context of neural differentiation, ASD neuron models and ASD clinical severity. b) The DE networks are more strongly co-expressed in the ASD toddlers compared to TD toddlers. For an unbiased analysis, the union of DE-ASD and DE-TD networks was considered for this analysis. DE networks are composed of high confidence physical and regulatory interactions. c) Genes in the DE-ASD network are highly expressed in the brain between 8 post conception weeks to 1 year old. For each gene, the number of samples strongly expressing the gene (RPKM >5) was counted based on BrainSpan normalized data⁸². The background genes were composed of all protein coding genes that were expressed in our microarray experiment and were present in BrainSpan RNA-Seq dataset. See also Fig S6. d) The activity pattern of the DE-ASD network during the neurodevelopmental period across brain regions. At each time window, the distribution of co-expression strength of interacting gene pairs in DE-ASD network was measured using Pearson’s correlation coefficient. The co-expression distribution was next compared with a background distribution using Wilcoxon-Mann-Whitney test. The y-axis indicates the z-transformed p-value of this comparison. e) Leukocyte gene co-expression pattern of interactions in DE-ASD network is conserved in prenatal and early postnatal neocortex transcriptome data. The correlation of interacting gene pairs in the DE-ASD network was calculated from neocortex transcriptome data (8 post conception weeks until 1 year old, postnatal). The correlation patterns were next paired with those observed in ASD leukocytes. A p-value was estimated by comparing the observed preservation of DE-ASD with that of DE-TD (Fig S6). f) Overlaps of DE-ASD network with brain developmental modules and networks. As illustrated, modules and networks enriched for rASD genes significantly overlap with the DE-ASD network (FDR <0.1; Table S2). rASD networks: networks constructed around high confidence rASD genes^{7,9}; rASD modules: co-expression modules enriched for rASD genes¹⁰; other modules: modules that are not enriched for rASD genes¹⁰. g) Similarity of interactions of a brain co-expression network around rASD genes⁹ with ASD and TD samples as measured by Pearson’s correlation coefficient. Boxplots represent the observed similarity based on 100 random sub-samplings of 75 ASD and 75 TD samples (~70% of samples in each diagnosis group). The x-axis represents the top percentile of positive and negative interactions based on the brain transcriptome interaction correlation value (see also Fig S5).

132 With the observed overlap patterns, we next tested for enrichment of rASD genes in our DE-ASD network.
133 For this analysis, we assessed the overlap of DE-ASD network with different rASD gene lists of different
134 size and varying confidence levels. Surprisingly, this analysis demonstrated that rASD genes are not
135 enriched in the DE-ASD network (p-value >0.19; Methods).

136 **The DE-ASD network is enriched for the regulatory targets of rASD genes**

137 Many high confidence rASD genes have regulatory functions^{3,7,11,18}. Although the perturbed DE-ASD
138 network is not enriched for rASD genes, it overlaps with co-expression modules and networks of known
139 rASD genes. At the mechanistic level, the observed co-expression of rASD and DE genes in the prenatal
140 brain could be due to the regulatory influence of rASD genes on the DE-ASD network, and thereby
141 mutations in rASD genes could cause the network over-activity and brain maldevelopment in ASD.

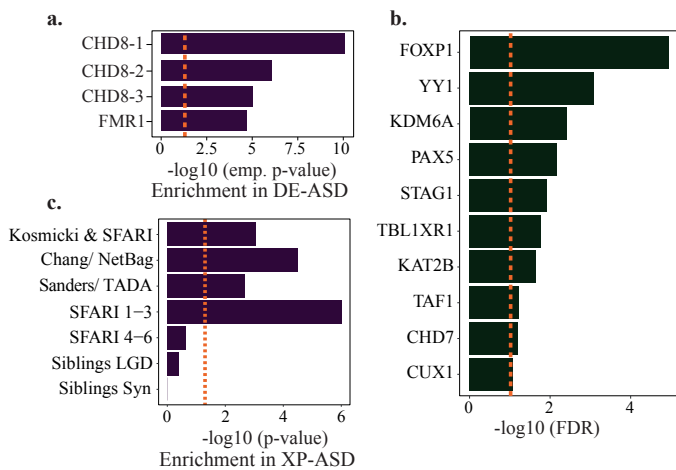


Fig 2. rASD genes are enriched for the regulators of the DE-ASD network.

a) Genes identified by CHIP-Seq as regulatory targets of CHD8 (CHD8-1: Sugathan et al. ³⁸; CHD8-2: Gompers et al⁴⁰; CHD8-3: Cotney et al. ³⁹) and FMR1 ⁴¹, two high confidence rASD genes, are enriched in the DE-ASD network. Enrichment was assessed empirically (Methods). b) The DE-ASD network significantly overlaps with the regulatory targets of rASD genes based on the ENCODE and ChEA2016 repositories. FDR <0.1 was considered as significant. c) High confidence genes are significantly enriched in the XP-ASD network (hypergeometric test). The lists of high confidence rASD genes were extracted from SFARI database ⁴⁴, Kosmicki et al. ¹⁶, Chang et al. ⁷, and Sanders et al. ¹⁷. List of likely gene damaging (LGD) and synonymous (Syn) mutations in typical siblings were extracted from Iossifov et al¹⁵.

142 To elucidate if rASD genes could regulate the DE-ASD network, we examined if the regulatory
143 targets of rASD genes are enriched in the DE-ASD network. Indeed, we observed that the DE-ASD
144 network is enriched for genes regulated by two high confidence rASD genes, CHD8 ³⁸⁻⁴⁰ and FMR1
145 ⁴¹(Fig2.a). To more systematically identify regulators of the network, we evaluated the overlap of the DE-
146 ASD network with the regulatory targets from 845 assays in the ENCODE project ⁴² and 615 manually
147 curated assays in ChEA2016⁴³. Strikingly, we observed DE-ASD network is significantly enriched for 11 out
148 of 20 high confidence and suggestive confidence rASD genes (OR: 2.54; p-value: 0.05; Fig 2.b; Table S3).

149 **The DE-ASD network is preferentially linked to high confidence rASD genes**

150 The rASD genes were often not differentially expressed in ASD leukocytes, and therefore the DE-
151 ASD network was not enriched in rASD genes. However, to explore if rASD genes could regulate the DE-
152 ASD network, we expanded the DE-ASD network by including rASD genes. Thus, we obtained an
153 expanded-ASD, XP-ASD, network (Table S4). To construct XP-ASD network, we used a similar approach
154 to that of the DE-ASD network. We first curated a high confidence static network of DE and 965
155 speculated rASD genes. The context-specific XP-ASD network was next inferred by retaining only the
156 significantly co-expressed interacting pairs in ASD samples. This pruning step results in removal of genes
157 from the static network that do not show significant co-expression patterns with their known partners or
158 regulatory targets in ASD leukocytes. Accordingly, the XP-ASD network included a total of 316 out of 965
159 (36%) likely rASD genes.

160 Our list of 965 rASD genes included rASD genes of both high confidence (e.g., recurrently mutated
161 in ASD individuals) and low confidence (some even found in typical siblings of ASD individuals). We
162 reasoned that if the XP-ASD network is truly relevant to the prenatal etiology of ASD, a preferential
163 incorporation of high confidence rASD genes would be expected in the leukocyte-derived XP-ASD
164 network. By following different analytical methods, different groups have separately categorized rASD
165 genes into high and low confidence^{7,16,44}. Importantly, we found a reproducible enrichment of high
166 confidence rASD genes in the XP-ASD network (Fig 2.c) with a significant enrichment for strong evidence
167 rASD genes with *de novo* protein truncating variants in ASD subjects (hypergeometric p-value $<3.06 \times 10^{-6}$).
168 Further corroborating the regulatory role of rASD genes on DE-ASD network, we found a significant
169 enrichment of rASD genes with DNA binding activities in the XP-ASD network (OR: 3.1; p-value $<2.1 \times 10^{-12}$;
170 Fig S7). Furthermore, the XP-ASD network was not enriched for rASD genes classified as low
171 confidence (p-value >0.24). As negative controls, we constructed two other networks by including genes
172 with likely deleterious and synonymous mutations in siblings of ASD individuals. Consistent with a
173 possible role of XP-ASD networks in ASD, we found these negative control genes are not significantly
174 associated with the DE genes (p-values >0.41 ; Fig 2.c). The preferential addition of high confidence and
175 regulatory rASD genes supports the relevance of the XP-ASD network to the pathobiology of ASD, and the
176 likelihood that the high confidence rASD genes are regulating the DE-ASD network.

177 **rASD genes show potential suppressing effects on the DE-ASD network**

178 To explore the regulatory effect of the rASD genes on the DE genes, we analyzed their interaction
179 types (i.e., positive or negative correlations, alluding to activator or repressor activity). Comparative
180 analysis of DE- and XP-ASD networks indicated a significant enrichment of negative correlations between
181 rASD and DE genes (p-value $<3.1 \times 10^{-4}$; Fisher's exact test), suggesting more of an inhibitory role of rASD
182 genes on the DE genes (Fig 3.a).

183 Supporting the inhibitory role of rASD genes, the DE-ASD network was enriched for genes that
184 were up-regulated by the knock-down of CHD8 in neural progenitor and stem cells; but not for those that
185 were down-regulated (Fig 3.b)³⁸⁻⁴⁰. Consistently, we observed in our dataset an overall up-regulation of
186 genes that are also up-regulated in knock-down experiments of the transcriptional repressor CHD8 (p-value
187 <0.039 across three different studies; GSEA), but not for those that are down-regulated. We observed a
188 similar up-regulation pattern for the binding targets of the FMR1 rASD gene in the ASD transcriptome (p-
189 value: 0.078; GSEA). The potential inhibitory role of rASD genes on the DE-ASD network was further
190 supported in an independent dataset on neural differentiation. Specifically, we observed an anti-correlated
191 expression pattern between the rASD and the DE genes from the XP-ASD network in *in vitro*-differentiated
192 human neural progenitors (Fig 3.c).

193 Signaling pathways are central to the leukocyte-based networks

194 We next identified key pathways involved in the XP-ASD and DE-ASD networks. Biological
 195 process enrichment analysis of the XP-ASD network demonstrated it is highly enriched for signaling
 196 pathways (Fig 4.a; Table S5). Moreover, the DE-ASD network was highly enriched for PI3K/AKT, mTOR,
 197 and related pathways (Fig 4.b). To delineate mechanisms by which rASD genes could dysregulate DE
 198 genes, we compared enriched biological processes of DE and rASD genes involved in the XP-ASD
 199 network. DE genes were more enriched for cell proliferation related processes, particularly PI3K/AKT and
 200 its downstream pathways such as mTOR, autophagy, viral translation, and FC receptor signaling (Fig 4.a-
 201 b). However, the rASD genes were better enriched for processes involved in neuron differentiation and
 202 maturation including neurogenesis, dendrite development and synapse assembly (Fig 4.a).

203 Our results suggest up-regulation and elevated co-expression activity of PI3K/AKT and its down-
 204 stream pathways in ASD leukocytes (Fig 4.a-b). These processes are involved in brain development and
 205 growth during prenatal and early postnatal ages^{3,45,46} and focused studies on rASD genes have implicated

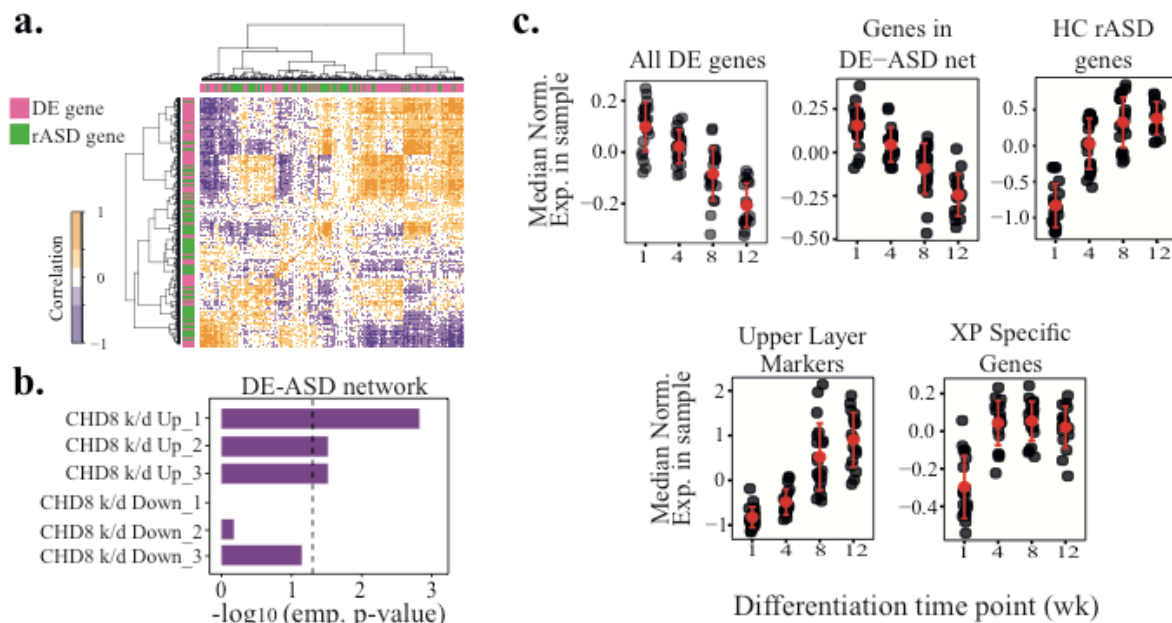


Fig 3. rASD genes potentially suppress the DE genes.

a) Interactions between DE and rASD genes are enriched for negative interactions in the ASD leukocyte transcriptome. See Fig S7 for more details. b) The DE-ASD network is significantly enriched for genes that are up-regulated in response to the knock-down of CHD8 gene. Data were extracted from three studies: Sugathan et al³⁸ (CHD8 k/d_1), Gompers et al (CHD8 k/d_2)⁴⁰, and Cotney et al³⁹ (CHD8 k/d_3). See also Fig S8. c) Expression patterns of DE genes are negatively correlated with those of rASD genes based on *in vitro* differentiation of human primary neural precursor cells⁸⁴. In each panel, gray circles represent the median expression of associated genes. Expression levels of each gene was normalized to have mean of zero and standard deviation of one across samples. While genes in the DE-ASD network are significantly down-regulated during neuron differentiation ($p\text{-value} = 4.4 \times 10^{-6}$), XP specific genes (i.e., rASD genes present only XP-ASD network, but not DE-ASD) are significantly up-regulated ($p\text{-value} = 1.2 \times 10^{-3}$). The expression levels of CACNA1E, PRSS12, and CARTPT were considered as the markers of upper layer neurons⁸³ (late stage of neural differentiation). See Fig S7 for the related details.

206 them in ASD^{3,8,47,48}. Further supporting the over-activity of the PI3K/AKT and its down-stream pathways
 207 in our cohort of ASD toddlers, gene set enrichment analysis demonstrated genes involved in PI3K/AKT
 208 signaling, mTOR pathway and the targets of the FOXO1 transcriptional repressor (the two main
 209 downstream processes of the PI3K/AKT) are altered in ASD leukocytes in directions that are consistent
 210 with PI3K/AKT over-activity (Supplementary Notes).

211 We further investigated the DE-ASD and XP-ASD networks using an integrated hub analysis
 212 approach (Methods). In the DE-ASD network, 63% of hub genes were involved in or regulated by the
 213 PI3K/AKT pathway including PIK3CD, AKT1 and GSK3B (Fig 4.c). The PI3K/AKT pathway is known to
 214 be active in the prenatal brain and involve in neural cell proliferation and maturation³. Consistent with a
 215 potential regulatory role of rASD genes on the DE-ASD networks, genes that were only hubs in the XP-
 216 ASD network were highly enriched for the regulatory genes associated with neuronal proliferation and

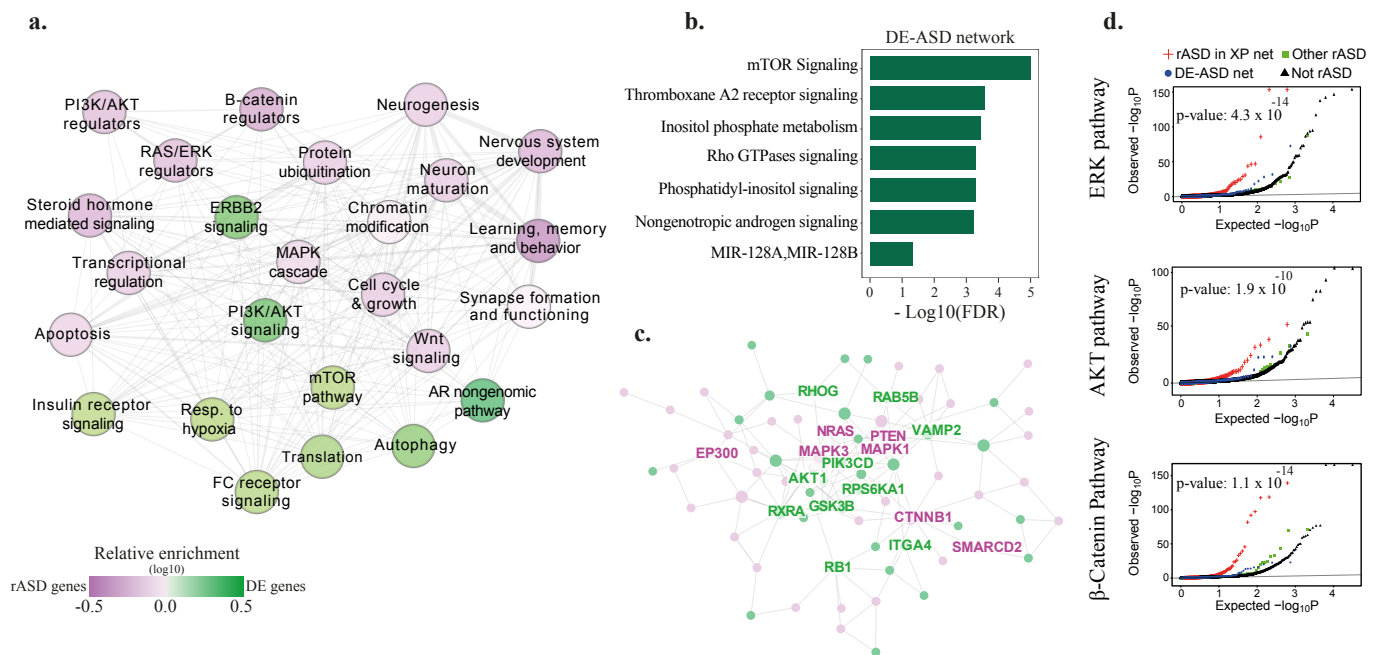


Fig 4. The architecture of the XP-ASD network.

a) Summary of enriched biological processes in the XP-ASD network. Each node represents a biological process that is significantly enriched in the XP-ASD network (Fisher's exact test; Table S5). Nodes that preferentially include rASD and DE genes are represented by purple and green colors, respectively. The interactions among terms represent the connection patterns of their cognate genes in the XP-ASD network with thicker interactions indicating more significant connections (hypergeometric test). Only connections with p -value < 0.05 are shown. This illustration covers 86% of genes involved in the XP-ASD network. b) All processes that are significantly enriched in the DE-ASD network and up-regulated in ASD leukocytes based on GSEA. c) The connected graph of hubs in the XP-ASD network. Green nodes represents genes that were hubs in both XP-ASD and DE-ASD networks. Genes that were hubs only in the XP-ASD network are in purple. d) Significant enrichment of rASD genes in the XP-ASD network for the regulators of RAS/ERK, PI3K/AKT, WNT and β -catenin pathways. The x-axis indicates the p-value that gene mutations would dysregulate the corresponding signaling pathways. The background is composed of all genes that were assayed in Brockmann et al⁵², excluding rASD and DE genes. The significance of enrichment of rASD genes in XP-ASD network for the regulators of signaling pathways were measured using Wilcoxon-Mann-Whitney test with background genes (illustrated in black) as control. See Fig S8-S9 for more details.

217 maturation, including regulatory members of the RAS/ERK (e.g., NRAS, ERK2, ERK1, SHC1),
218 PI3K/AKT (e.g., PTEN, PIK3R1, EP300), and WNT/ β -catenin (e.g., CTNNB1, SMARCC2, CSNK1G2)
219 pathways (Fig 4.c; Table S6-S7). While PI3K/AKT (a hub in DE-ASD and XP-ASD networks) promotes
220 proliferation and survival, the ERK pathway (a hub in the XP-ASD network) can trigger differentiation of
221 neural progenitor cells by mediating PI3K/AKT associated signaling pathways^{3,49-51}.

222 **rASD genes regulate DE-ASD genes through specific signaling pathways**

223 We further explored if perturbation to the rASD genes lead to the perturbation of the DE-ASD
224 network through changes in the RAS/ERK, PI3K/AKT, and WNT/ β -catenin pathways. To assess this, we
225 leveraged genome-wide mutational screening data in which gene mutations were scored based on their
226 effects on the activity of the RAS/ERK, PI3K/AKT, and WNT/ β -catenin signaling pathways⁵². The
227 activity of the signaling pathways was directly measured based on the phosphorylation state of ERK, AKT,
228 and β -catenin proteins⁵². Consistent with functional enrichment and hub analysis results, we found that
229 rASD genes in the XP-ASD network are significantly enriched for regulators of RAS/ERK, PI3K/AKT, and
230 WNT/ β -catenin pathways (Fig 4.d; p-value $<1.9 \times 10^{-10}$; Wilcoxon-Mann-Whitney test). Specifically,
231 regulators of these pathways (FDR <0.1) accounted for inclusion of 39% rASD genes in the XP-ASD. As
232 the control, no significant enrichment for the regulators of RAS/ERK, PI3K/AKT, and WNT/ β -catenin
233 pathways were observed among rASD genes that were not included in the XP-ASD network (Fig 4.d).
234 These results support the regulatory role of rASD genes on the DE-ASD network through perturbation of
235 RAS/ERK, PI3K/AKT, and WNT/ β -catenin signaling pathways.

236 In summary, our XP-ASD network decomposition suggests a modular regulatory structure for the
237 XP-ASD network in which diverse rASD genes converge upon and dysregulate activity of the DE genes
238 (Fig 4.a). Importantly, for a large percentage of rASD genes, the dysregulation flow to the DE genes is
239 canalized through highly inter-connected signaling pathways including RAS/ERK, PI3K/AKT, and
240 WNT/ β -catenin.

241 **The DE-ASD network is over-active in neuron models of ASD**

242 Our results demonstrate the presence of an over-active network in leukocytes of living ASD
243 toddlers. Furthermore, they implicate the over-activity of the DE-ASD network in the prenatal etiology of
244 ASD by demonstrating the activity of the perturbed network during brain development and its associations
245 with high confidence rASD genes. Also, our results suggest that the network over-activity signal is present
246 in a large percentage of our ASD toddlers and is associated with neural proliferation and maturation.

247 To further validate these results, we first examined if the DE-ASD network is over-active in iPS
248 cell-derived neural progenitors and neurons of ASD toddlers compared to those of TD cases. For this, we

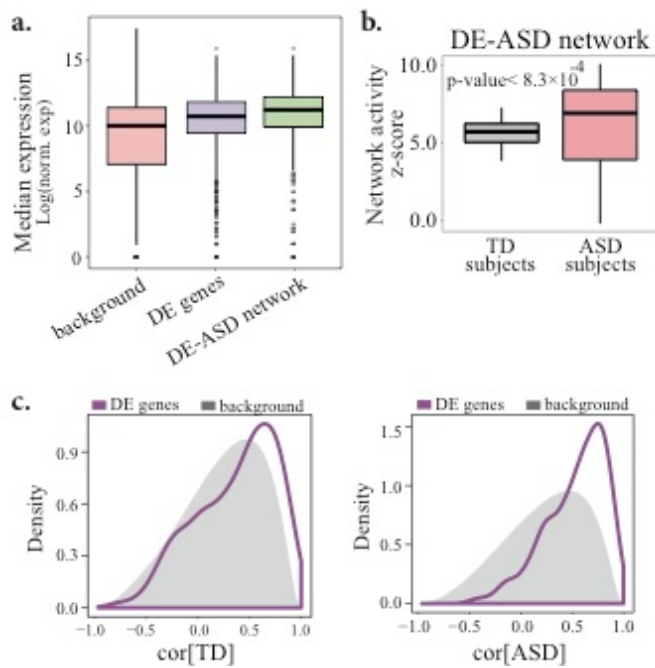


Fig 5. The DE-ASD network is over-active in differentiating neurons of ASD cases.

a) The DE-ASD network is more highly expressed during neural differentiation of iPSCs from ASD and TD cases, as measured by RNA-Seq. Median expression of the genes at neural progenitors and neurons stages were considered. b) The DE-ASD network shows higher co-expression level in ASD derived neural progenitors and neurons. To estimate the co-expression strength of interacting gene pairs in DE-ASD network in neural progenitor and neurons of ASD and TD cases, we sub-sampled the dataset 100 times and measured the activity level at each iteration (a balanced number of ASD and TD samples were selected at each iteration; see Methods). The boxplots represent the distribution of z-transformed p-values of co-expression strength as measured by Wilcoxon-Mann-Whitney test. c) Change in the over-activity of interactions present in the DE-ASD network as measured by co-expression strength. The background distribution is based on the co-expression distribution of randomly selected genes that show the same mean expression pattern as those in of DE-ASD network.

249 analyzed the transcriptomes of iPSCs from 8 ASD individuals with macrocephaly and 6 TD individuals
250³⁰, which were differentiated into neural progenitor and neuron stages. Analysis of the DE-ASD at neural
251 stages demonstrated that the network is over-active in these ASD neuron models (Fig 5), suggesting the
252 functional relevance of identified leukocyte molecular signatures to the abnormal ASD brain development.

253

254 **Network dysregulation is associated with ASD severity**

255 We evaluated the potential role of the DE-ASD network activity on the development of early-age ASD
256 symptoms. For this, we first tested if the same gene dysregulation patterns exist across individuals at
257 different levels of ASD severity. Indeed, we observed that the fold change patterns of DE genes are almost
258 identical across different ASD severity levels (Fig S11). The implicated RAS/ERK, PI3K/AKT, WNT and
259 β -catenin pathways in our model are well known to have pleiotropic roles during brain development from
260 neural proliferation and neurogenesis to neural migration and maturation with implications in ASD³,
261 suggesting the DE-ASD network is involved in various neurodevelopmental related processes. At the
262 mechanistic level, this suggests that the spectrum of autism could be mediated through the extent of
263 dysregulation of the DE-ASD network, as it is composed of high confidence physical and regulatory
264 interactions. Hence, we examined whether the magnitude of the co-expression activity level of the DE-ASD
265 network correlated with clinical severity across individual ASD toddlers. Indeed, we found that the extent
266 of gene co-expression activity within the DE-ASD network was correlated with ASD toddlers' ADOS
267 social affect deficit scores, the ASD diagnostic gold standard (Fig 6). To assess the significance of observed
268 correlation patterns, we repeated the analysis with 10,000 permutations of the ADOS social affect scores of
269 ASD individuals (see inset boxplots in Fig 6). This analysis demonstrated the significance of the observed

270 correlations (Fig 6). Our results suggest the perturbation of the same network at different extents can
271 potentially result in a spectrum of postnatal clinical severity levels in ASD toddlers.
272

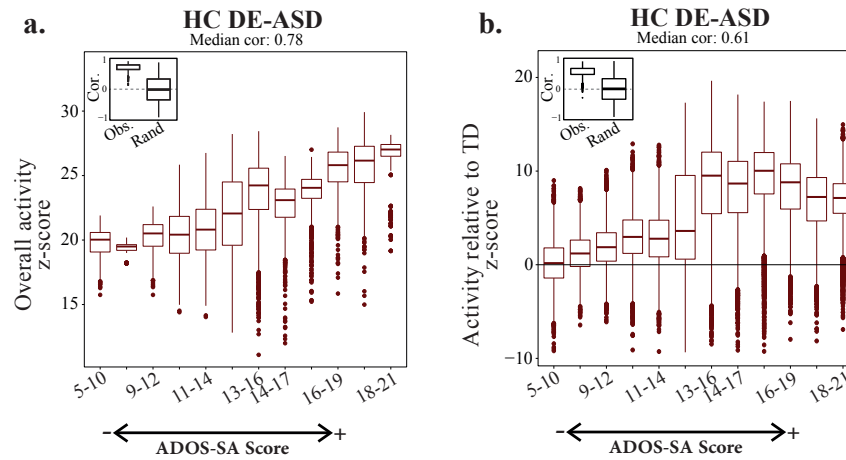


Fig 6. Activity level of DE-ASD networks correlates with ASD severity.

a) ASD toddlers were sorted by their ADOS social affect scores (ADOS-SA) with higher scores representing more severe cases. The network activity was measured in a running window on ADOS-SA scores. The overall activity of DE-ASD network in a set of samples was measured by comparing the co-expression strength of interactions in the network with the background derived from the same set of samples (Methods). To ensure robustness of the results, we measured activity level of DE-ASD network at each severity group by randomly selecting 20 samples from that severity level, iterating 1000 times. The left inset panel illustrates the distribution of observed correlation values of DE-ASD network for ranges of ADOS severity, and compares it with permuted data, with 10,000 random shuffling of ADOS-SA scores of ASD cases. b) The relative activity of DE-ASD networks compared to TD cases. The relative activity level was estimated by comparing the co-expression strength of interactions in DE-ASD network between ASD and TD toddlers. For each severity group, 20 samples were randomly selected from each of ASD and TD samples, iterating 1000 times. Significance of the trend was evaluated by 10,000 permutations of the ADOS-SA scores of ASD cases.

273 Conclusion

274 While ASD demonstrates a strong genetic basis, it remains elusive how implicated genes are
275 connected to the molecular dysregulations that underlie the disorder at prenatal and early postnatal ages.
276 Towards this, we developed a systems biology framework that integrates transcriptomic dysregulations in
277 living ASD toddlers with current knowledge on ASD risk genes to explain ASD associated fetal-stage brain
278 transcriptomic changes and clinical outcomes. Specifically, we found a dysregulated transcriptional
279 network that shows elevated gene co-expression activity in ASD toddlers. This core network was robustly
280 associated with rASD genes with likely deleterious mutations in ASD subjects. Such rASD genes have
281 potentially large effect sizes on the etiology but occur in a small percentage of the ASD population^{53,54}. We
282 show that many rASD genes may exert their regulatory effect on this DE-ASD core network through the
283 inter-connected RAS/ERK, PI3K/AKT, and WNT/ β -catenin signaling pathways. The connection of the DE-

284 ASD network (constructed with data from the general ASD pediatric population) with high confidence
285 rASD genes provides empirical evidence of shared mechanisms underlying ASD in both those with highly
286 penetrant rASD genes and those of other etiologies (e.g., common variants) in the wider ASD population.

287 The key aspect of our signature is that it is constructed based on transcriptomic data from young
288 living ASD toddlers. This allows us to correlate its variations with the core clinical features of the same
289 ASD toddlers. Indeed, the dysregulation degree of the DE-ASD network correlated with deficits in the
290 toddlers' ADOS social affect scores. Social and behavioral deficits are also suggested to be correlated with
291 the genetic variations in ASD subjects^{55,56}; and previous studies have established the effect of the
292 PI3K/AKT signaling pathway (central to the DE-ASD core network and significantly altered in ASD
293 leukocytes) on social behaviors of mouse models^{47,48}. Together, these observations suggest that the
294 etiological roots of ASD converge on gene networks that correlate with the symptom severity in ASD
295 individuals. Moreover, our results reinforce the hypothesis that stronger dysregulation of the same core
296 network could lead to higher severity in the ASD cases. The DE-ASD core network is enriched for
297 pathways implicated in ASD, strongly associated with high confidence rASD genes, and correlate with
298 ASD severity. However, we note that a direct causal relationship between the co-expression activity of the
299 network and ASD remains to be established. Moreover, our network co-expression activity measure is a
300 summary score from the strongest signal in our dataset (i.e., differentially expressed genes) at a group level
301 (i.e., severity level). Therefore, by design, it may not capture the heterogeneity that could exist within each
302 group. As detailed below, future work is needed to explore the causal relationship of our gene network to
303 ASD development, symptoms, and the potential existence of other dysregulation mechanisms in ASD
304 individuals.

305 The emerging architecture of complex traits suggests that gene mutations often propagate their
306 effects through regulatory networks and converge on core pathways relevant to the trait^{22,32}. Our findings
307 support the existence of an analogous architecture for ASD, wherein rASD genes with diverse biological
308 roles overlap in their down-stream function. Although not significantly overlapping with rASD genes, we
309 found that the DE-ASD network is significantly co-expressed with rASD genes in both leukocyte and brain.
310 We also illustrated that the DE-ASD network could be controlled by rASD genes through direct
311 transcriptional regulation or highly interconnected signaling pathways. We postulate that the DE-ASD
312 network is a primary convergence point of ASD etiologies, including its genetic basis as we elaborated for
313 rASD genes, in a large portion of the ASD population. This predicts that the spectrum of autism in such
314 cases is correlated with the degree and mechanism of the perturbation of the DE-ASD network. A detailed
315 analysis of iPS cell-derived ASD neurons demonstrated the dysregulation of the leukocyte-based DE-ASD
316 network in ASD neurons, supporting the neural-level relevance of the findings to ASD etiology and its
317 prevalence in the ASD population. Furthermore, direct clinical-level relevance is demonstrated by the high

318 correlation we found between degree of dysregulation in the DE-ASD core network and ASD symptom
319 severity in the ASD toddlers.

320 The currently recognized rASD genes are not fully penetrant to the disorder, except for a handful of
321 syndromic genes^{53,54,57,58}. Our analysis of the XP-ASD network provides some insights on how the effects
322 of rASD genes could potentially combine to result in ASD. Although some rASD genes could directly
323 modulate the DE-ASD network at the transcriptional level, our results suggest that the regulatory
324 consequence of many rASD genes on the DE-ASD network is canalized through the PI3K/AKT,
325 RAS/ERK, WNT and β -catenin signaling pathways. The structural and functional interrogation of the DE-
326 ASD network localized the PI3K/AKT pathway to its epicenter and demonstrated enrichment for processes
327 down-stream of this pathway. Moreover, we found that high confidence rASD genes are better connected to
328 the DE-ASD core network, suggesting that the closeness and influence of genes on these signaling
329 pathways is correlated with their effect size on the disorder. These results articulate that perturbation of the
330 PI3K/AKT, RAS/ERK, WNT and β -catenin signaling pathways through gene regulatory networks may be
331 an important etiological route for ASD that could be associated with the disorder severity level in a
332 relatively large fraction of the ASD population. Congruent with this hypothesis, cell and animal models of
333 ASD have demonstrated the enrichment of high confidence rASD genes for the regulators of the RAS/ERK,
334 PI3K/AKT, WNT and β -catenin signaling pathways^{3,8,11,18,47,48,51}. These signaling pathways are highly
335 conserved and pleiotropic, impacting multiple prenatal and early postnatal neural development stages from
336 proliferation/differentiation to synaptic and neural circuit development³. Such multi-functionalities could
337 be the underlying reason that we detected the signal in ASD leukocytes.

338 It is necessary to analyze large subject cohorts from unbiased, general pediatric community settings
339 to capture the heterogeneity that underlies ASD at early ages. This study presents the largest transcriptome
340 analysis on early-age ASD cases thus far from such settings. However, the analyzed dataset is still of a
341 modest size, and as such our analysis was focused on the strongest signal that best differentiates ASD cases
342 from TD individuals (i.e., differentially expressed genes). Here we illustrate that the captured signal is
343 informative about the transcriptional organization of ASD and shows promise in bridging the gap between
344 genetic and clinical outcomes. Future studies with larger datasets are required to not only replicate these
345 results, but also explore other long-standing questions in the field, such as the basis of gender bias that
346 exists in ASD or the potential molecular mechanisms that differentiate high functioning from low
347 functioning cases. However, perhaps the most exciting direction is to expand the presented framework to
348 systematically diagnose, classify and prognostically stratify ASD cases at early postnatal ages based on the
349 underlying molecular mechanisms. The concept of precision molecular medicine for ASD can only be
350 actualized via approaches that illuminate the early-age living biology of ASD^{3,18,21}. ASD toddler-derived
351 iPS cell studies show ASD is a progressive prenatal and early postnatal disorder that involves a cascade of

352 diverse and varying molecular and cellular changes such as those resulting from dysregulation of the
353 pathways and networks highlighted herein^{3,30,31}. As such, dynamic, individual-based molecular assays in
354 infants and toddlers will be essential to develop. The presented framework could prove invaluable for the
355 development of quantitative, molecular-based measures for the ASD diagnosis and prognosis by identifying
356 specific molecular dysregulations that we show are observable in leukocytes of a large fraction of living
357 ASD toddlers at young ages.

358 **Materials and Methods**

359 **Participant recruitment and clinical evaluation**

360 The primary aim of this study was to associate the transcriptome dysregulations present in ASD
361 leukocytes with the ASD risk genes. However, the currently available genetic information is mostly based
362 on males, and less is known about the genetic basis of ASD females. Therefore, we focused on male
363 toddlers for the transcriptome analysis in this study, specifically 264 male toddlers with the age range of 1
364 to 4 years. Part of the transcriptome data of this study (153 individuals) was reported previously^{21,59} and a
365 similar methodology was employed for participant recruitment and sample collection from 111 new cases
366²¹. Research procedures were approved by the Institutional Review Board of the University of California,
367 San Diego. Parents of subjects underwent Informed Consent Procedures with a psychologist or study
368 coordinator at the time of their child's enrollment.

369 About 70% of toddlers were recruited from the general population as young as 12 months using an
370 early detection strategy called the 1-Year Well-Baby Check-Up Approach⁶⁰. Using this approach, toddlers
371 who failed a broadband screen, the CSBS IT Checklist⁶¹, at well-baby visits in the general pediatric
372 community settings were referred to our Center for a comprehensive evaluation. The remainder of the
373 sample was obtained by general community referrals. All toddlers received a battery of standardized
374 psychometric tests by highly experienced Ph.D. level psychologists including the Autism Diagnostic
375 Observation Schedule (ADOS; Module T, 1 or 2), the Mullen Scales of Early Learning and the Vineland
376 Adaptive Behavior Scales. Testing sessions routinely lasted 4 hours and occurred across 2 separate days.
377 Toddlers younger than 36 months in age at the time of initial clinical evaluation were followed
378 longitudinally approximately every 9 months until a final diagnosis was determined at age 2-4 years. For
379 analysis purposes, toddlers (median age, 27 months) were categorized into two groups based on
380 their *final* diagnosis assessment: 1) ASD: subjects with ASD diagnosis or ASD features; 2) TD: typically
381 developing (TD) controls. For more information see Table S1.

382 ADOS scores at each toddler's final visit were used for correlation analyses with DE-ASD network
383 activity scores. All but 4 toddlers were tracked and diagnosed using the appropriate module of the ADOS
384 (i.e., Toddler, 1, or 2) between the ages of 24-49 months (Table S1), an age where the diagnosis of ASD is
385 relatively stable⁶²⁻⁶⁴; the remaining 4 toddlers had their final diagnostic evaluation between the ages of 18
386 to 24 months.

387 **Blood sample collection and microarray gene expression processing**

388 Blood samples were usually taken at the end of the clinical evaluation sessions. In order to monitor
389 health status, the temperature of each toddler was monitored using an ear digital thermometer immediately
390 preceding the blood draw. The blood draw was scheduled for a different day in cases that the temperature

391 was higher than 99 Fahrenheit. Moreover, blood draw was not taken if a toddler had some illness (e.g., cold
392 or flu), as observed or stated by parents. We collected four to six milliliters of blood into
393 ethylenediaminetetraacetic-coated tubes from all toddlers. Blood leukocytes were captured and stabilized
394 by LeukoLOCK filters (Ambion) and were immediately placed in a -20°C freezer. Total RNA was
395 extracted following standard procedures and manufacturer's instructions (Ambion).

396 RNA labeling, hybridization, and scanning was conducted at Scripps Genomic Medicine center,
397 (CA, USA) using Illumina BeadChip technology. All arrays were scanned with the Illumina BeadArray
398 Reader and read into Illumina GenomeStudio software (version 1.1.1). Raw Illumina probe intensities were
399 converted to expression values using the lumi package⁶⁵. We employed a three-step procedure to filter for
400 probes with reliable expression levels. First, we only retained probes that met the detection p-value <0.05
401 cut-off threshold in at least 3 samples. Second, we required the probes to have expression levels above 95
402 percentile of negative probes in at least 50% of samples. The probes with detection p-value >0.1 across all
403 samples were selected as negative probes and their expression levels were pooled together to estimate the
404 95 percentile expression level. Third, for genes represented by multiple probes, we considered the probe
405 with highest mean expression level across our dataset, after quantile normalization of the data. These
406 criteria led to the selection of 14,854 protein coding genes as expressed in our leukocyte transcriptome data,
407 which is similar to the previously reported estimate of 14,631 protein coding genes (chosen based on Entrez
408 Ids) for whole blood by GTex consortium⁶⁶. To ensure results are not affected by the variations in the
409 procedure of selecting expressed genes, we replicated all of our analyses (redoing DE analysis and re-
410 constructing HC DE and XP networks) by choosing 13,032 protein coding genes as expressed (Fig S14).

411 **Data processing and differential gene expression analysis of microarray datasets**

412 We subdivided our microarray samples into three datasets to assess the reproducibility of the results.
413 The primary dataset included 253 high quality samples and was used for the discovery of the dysregulation
414 signal. The second dataset replicated 56 randomly selected male toddlers from the primary dataset (35 ASD
415 and 21 TD). The third dataset was composed of 48 male toddlers with 24 independent, non-overlapping
416 ASD cases, while 21 out of 24 TD cases overlapped with the primary dataset. The second and third datasets
417 were microarrays generated at the same time, but included different subjects not in the primary dataset. All
418 three datasets used Illumina microarray technology. However, the primary dataset was analyzed by
419 Illumina HT-12 Chips, while the second and third datasets used Illumina WG-6 Chips. The pre-processing
420 and downstream analysis of the three datasets were conducted separately. The data are available in the
421 Gene Expression Omnibus database (GSE42133;GSE111175).

422 The primary dataset was originally composed of 275 samples from 240 male ASD and TD
423 individuals. Quality control analysis was performed to identify and remove 22 outlier samples from the

424 dataset. Samples were marked as outlier if they showed low signal intensity of the microarray (average
425 signal of two standard deviations lower than the overall mean), deviant pairwise correlations, deviant
426 cumulative distributions, deviant multi-dimensional scaling plots, or poor hierarchical clustering, as
427 described elsewhere²⁰. After removing low quality samples, the primary dataset had 253 samples from 226
428 male toddlers including 27 technical replicates. High reproducibility was observed across technical
429 replicates (mean Spearman correlation of 0.917 and median of 0.925). We randomly removed one of each
430 of two technical replicates from the dataset.

431 The limma package⁶⁷ was then applied on quantile normalized data for differential expression
432 analysis in which moderated t-statistics was calculate by robust empirical Bayes methods⁶⁸. Sample batch
433 was used as a categorical covariate (total of two batches; both Illumina HT-12 platforms). Exploration
434 graphs indicated that linear modeling of batch covariate was effective at removing its influence on
435 expression values (Fig S13). MA-plots of the primary dataset did not show existence of overall bias in the
436 fold change estimates (Fig S1). DE analysis identified 1236 differentially expressed genes with Benjamini-
437 Hochberg FDR <0.05.

438 We performed multiple analyses to confirm that our results (1) are replicable in the other two
439 microarray datasets, (2) are robust to alterations in the analysis pipeline, (3) are not affected by the batches
440 or potential hidden covariates, (4) are present in the vast majority of samples, and (5) are not driven by
441 changes in the blood cell type composition between ASD and TD toddlers (Figs S1-S4).

442

443 **Reproducibility of transcriptional over-activity of DE-ASD networks in an independent RNA-Seq** 444 **dataset**

445 We performed RNA-Seq experiments on 56 samples from an independent cohort of 12 (19 samples)
446 TD and 23 (37 samples) ASD male toddlers. None of subjects overlapped with those in the primary dataset.
447 This allowed us to ensure our results are not subject nor platform (i.e., microarray vs. RNA-Seq) specific.

448 RNA-Seq libraries were sequenced at the UCSD IGM genomics core on a HiSeq 4000. We
449 processed the raw RNA-Seq data with our pipeline that starts with quality control with FastQC⁶⁹. Low
450 quality bases and adapters were removed using trimmomatic⁷⁰. Reads were aligned to the genome using
451 STAR⁷¹. STAR results were processed using Samtools⁷², and transcript quantification is done with HTseq-
452 count⁷³. Subsequently, low expressed genes were removed and data were log count per million (cpm)
453 normalized (with prior read count of 1) using limma⁶⁷. We performed SVA analysis⁷⁴ on the normalized
454 expression data and included the first surrogate variable as covariate to account for potential hidden
455 confounding variables. Differential expression analysis was performed using Limma package with subjects
456 modeled as random effects.

457

458 **ASD risk genes**

459 ASD risk genes were extracted from the SFARI database⁴⁴ on Dec. 7, 2016. We also included the
460 reported risk genes from a recent meta-analysis of two large-scale genetic studies, containing genes mutated
461 in ASD individuals but not present in Exome Aggregation Consortium database (ExAC)¹⁶. Together, these
462 two resources provided 965 likely rASD genes that were used for the construction of XP-ASD networks
463 (Table S8). Previously published genes with likely gene damaging and synonymous mutations in ASD
464 siblings were retrieved from Iossifov et al.¹⁵.

465 ASD high confidence risk genes were extracted from the SFARI database (genes with confidence
466 levels of 1 and 2), Kosmicki et al.¹⁶ (recurrent gene mutations in ASD individuals, but not present in ExAC
467 database), Sanders et al.¹⁷, and Chang et al.⁷. Strong evidence genes with *de novo* protein truncating
468 variants in ASD subjects were extracted from Kosmicki et al.¹⁶ and included rASD genes that were not in
469 ExAC database and with a probability of loss-of-function intolerance (pLI) score of above 0.9. Gene names
470 in these datasets were converted to Entrez gene ids using DAVID tools⁷⁵.

471 To assess the overlap of DE-ASD networks with rASD genes, we considered our list of all rASD
472 genes (965 genes), different lists of high confidence rASD genes (varying in size and composition) and
473 their combinations, including all SFARI rASD genes, SFARI genes levels 1-to-3, SFARI genes levels 1 and
474 2, strong evidence rASD genes from Kosmicki et al.¹⁶, and strong evidence rASD genes from Sanders et
475 al.¹⁷

476

477 **Functional characterization of DE-ASD networks**

478 We set two criteria to identify biological processes that are differentially expressed between ASD
479 and TD samples and are enriched in the DE-ASD networks. First, we required the biological process to be
480 significantly changed between ASD and TD transcriptome samples. Second, we required the biological
481 process to be significantly enriched in the DE-ASD networks.

482 GSEA identified multiple gene sets that were significantly upregulated in ASD samples (FDR
483 <0.12; Table S9), using the R version of the GSEA package and msigdb.v5.1 database (downloaded on Oct.
484 20, 2016)^{76,77}. Significantly enriched processes in the DE-ASD networks were identified by examined the
485 overlap of GSEA-identified significantly altered gene sets with the DE-ASD networks based on empirical
486 permutation tests, and p-values were corrected for multiple testing using Benjamini-Hochberg procedure.
487 We excluded gene sets annotated as associated with specific reference datasets in MSigDB since their
488 generalizability to our dataset has not been established (Table S9).

489

490 **Biological enrichment analysis of XP-ASD networks**

491 Significantly enriched Gene Ontology biological processes (GO-BP) were identified by Fisher's
492 exact test on terms with the 10-2000 annotated genes. The terms with Benjamini-Hochberg estimated FDR
493 <0.1 were deemed as significant. The enriched terms were next clustered based on the GO-BP tree,
494 extracted from Amigo database using RamiGO package in R ⁷⁸. The general terms with more than 1000
495 annotated genes that spanned two or more clusters were removed. The list of enriched GO-BP terms and
496 their clustering are provided in Table S5.

497 **Deciphering potential regulators of DE-ASD networks**

498 To identify genes that potentially regulate DE-ASD networks, we examined the overlap of DE-ASD
499 networks with identified targets of human transcription factors as part of ENCODE project⁴² and curated
500 Chea2016 database⁴³. We performed overlap analysis with each of the three DE-ASD networks separately
501 using the EnrichR portal. Some of the transcription factors were assayed multiple times. To obviate
502 potential biases, we used Fisher's method to combine the enrichment p-values across assays related to a
503 given transcription factor during the analysis of each DE-ASD networks. Next, p-values were corrected
504 using the Benjamini-Hochberg procedure. Only transcription factors whose targets were significantly
505 enriched in all three DE-ASD networks were considered as significantly overlapping with the DE-ASD
506 networks (FDR <0.1).

507 **Brain developmental gene expression data**

508 Normalized RNA-Seq transcriptome data during human neurodevelopmental time periods were
509 downloaded from the BrainSpan database on Dec. 20, 2016^{36,37}. To calculate correlations, normalized
510 RPKM gene expression values were $\log_2(x+1)$ transformed.

511 **Neural progenitor differentiation data**

512 Microarray transcriptome data from differentiation of primary human neural progenitor cells to
513 neural cells ⁷⁹ were downloaded from the NCBI GEO database (GSE57595). The data were already quantile
514 normalized and ComBat batch-corrected ⁸⁰. For genes with multiple probes, we retained the probe with the
515 highest mean expression value.

516 To observe the transcriptome response of XP-ASD networks during neuron differentiation, we
517 correlated the gene expression patterns with the developmental time points, considering the differentiation
518 time as an ordinal variable. The results are represented in Fig S7.

519 **ASD induced pluripotent stem cells (iPSC) data**

520 ASD iPSC data³⁰ were downloaded from GEO (GSE67528). Gene expression counts were
521 normalized with the TMM method⁸¹ and filtered to exclude low-expressed genes (genes with count per
522 million greater than 1 were retained). To calculate the correlations, normalized RNA-Seq gene expression
523 values were $\log(x+1)$ transformed.

524 **Regulatory effect of gene mutations on signaling pathways**

525 Data were extracted from a genome-wide mutational study that monitored the regulatory effect of
526 gene mutations on phosphorylation status of 10 core genes of different signaling pathways and processes⁵².
527 Genes whose mutations affected the phosphorylation status of the core signaling genes with FDR <0.1 were
528 considered as the regulators of the cognate signaling pathway.

Acknowledgments

Authors would like to thank Dr. Lilia Iakoucheva for the critical review of this manuscript. This work was supported by NIMH R01-MH110558 (EC, NEL), NIMH R01-MH080134 (KP), NIMH R01-MH104446 (KP), NFAR grant (KP), NIMH P50-MH081755 (EC), Brain & Behavior Research Foundation NARSAD (TP), and generous funding from the Novo Nordisk Foundation through Center for Biosustainability at the Technical University of Denmark (NNF10CC1016517).

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