1	Vertical transmission of sponge microbiota is weak and inconsistent
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#### Abstract

Classic evolutionary theory predicts that if beneficial microbial symbionts improve host fitness, they should be 13 faithfully transmitted to offspring. More recently, the hologenome theory of evolution predicts resemblance between 14 parent and offspring microbiomes, and high partner fidelity between host species and their vertically transmitted mi-15 crobes. Here, we test these ideas for the first time in multiple host species with highly diverse microbiota, leveraging 16 known-parent offspring pairs sampled from eight species of wild marine sponges (Porifera). Contrary to the hypoth-17 esis that vertical transmission is an adaptation that allows sponges to faithfully transmit intact microbial consortia to 18 offspring, we found that vertical transmission is weak and incomplete. Further, we found no evidence that siblings 19 consistently receive the same microbes from their parents, nor that vertically transmitted microbes show high degrees 20 of host species fidelity. Finally, while we show that monophyletic groups of microbes with known symbiotic fea-21 tures and capabilities are more common among vertically transmitted microbes than in the consortia of horizontally 22 acquired microbes, the signature of this vertical transmission is only detectable on the level of Porifera as a whole. 23

<sup>24</sup> Our study demonstrates that common predictions of vertical transmission that stem from species-poor systems are

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not necessarily true when scaling up to diverse and complex microbiomes.

# 26 Introduction

All animals are colonized by microbes. These microbes live in communities, called microbiomes, that often exhibit 27 astonishing diversity and complexity and can have profound effects on host health and fitness [1, 2, 3]. However, 28 despite their importance, we still do not understand how most organisms acquire their microbiomes: are they largely 29 inherited from parents via vertical transmission or acquired horizontally from the environment? In the last five years, 30 the literature has provided widely divergent answers to this question [4, 5] [6]. Yet understanding the degree to which 31 vertical versus horizontal transmission dominate microbiome assembly across the animal tree of life is necessary to 32 learn how environments shape host phenotypes via host-microbe interactions and whether natural selection can act on 33 hosts and their microbiomes as a unit (i.e., the hologenome theory of evolution) [7, 8, 9, 10, 11]. 34

Classic evolutionary theory predicts that if microbial symbionts are beneficial, they should be vertically trans-35 mitted. Moreover, the more an animal host depends on its microbial partners, the higher the expected incidence of 36 vertical transmission [12, 13, 14]. If microbes provide services that animals depend on, and if successful parents 37 have well-functioning symbioses with microbes that lead to increase performance and fitness, then parents should be 38 selected to ensure faithful transmission of these symbionts to offspring. In support, strict vertical transmission through 39 the germline occurs in many well-known symbiotic systems, including Buchnera-aphid, Rhizobia-legume, and Wol-40 *bachia*-arthropod [15]. A recent comparative study even found that the removal of vertically transmitted microbial 41 symbionts resulted in a larger reduction of host fitness compared to the removal of horizontally transmitted symbionts 42 [5]. However, despite this well-developed theory, evidence for horizontal transmission is increasingly common-at 43 least in hosts with relatively simple microbiota [6, 16, 17, 18]. Two examples include the bioluminescent Vibrio-squid 44 symbiosis [19], and the symbiosis between chemolithoautotrophic bacteria and the hydrothermal vent tubeworm Riftia 45 pachyptila [20]. Recently, Mushegian and colleagues demonstrated that, in water fleas (Daphnia magna), microbes 46 that are essential to host functioning are acquired from the environment and not maternally derived [21]. In gen-47 eral, caution should be taken when extrapolating patterns and processes from species-poor systems to highly diverse 48 microbiomes: with increasing community complexity, do parents transmit a representative sample of the whole micro-49 bial community or select only a critical set of the most beneficial microbes? How does vertical transmission interact 50 with other community assembly processes shown to be important in complex communities, including ecological drift, 51

#### <sup>52</sup> priority effects, and environmental selection?

Studies that test vertical transmission in host species with diverse microbiota are needed to resolve these ques-53 tions. The present study is, to our knowledge, the first in-depth analysis of the strength and consistency of vertical 54 transmission in multiple host species from an animal phylum with diverse and complex microbiomes. Moreover, by 55 characterizing signatures of vertical transmission in multiple, related host species, we also test, for the first time, part-56 ner fidelity between vertically transmitted microbes and their hosts. Partner fidelity is predicted by the hologenome 57 theory of evolution because if vertically transmitted microbes occur in multiple host species, this weakens the coher-58 ence of the unit of selection [10]. Here we test these ideas in marine sponges, an evolutionary ancient phylum with 59 a fossil record dating back over 600 million years [22]. Indeed, Porifera are the oldest metazoan group with known 60 microbial symbioses [23]. Marine sponges are filter-feeders with a simple body plan consisting of canals embedded 61 in an extracellular matrix called the mesohyl. Within the mesohyl, sponges maintain diverse microbial communities 62 that contribute to host functioning by cycling nitrogen, fixing carbon dioxide, producing secondary metabolites, and 63 acquiring and converting dissolved organic matter-tasks that, in many cases, the sponge cannot perform without 64 microbial symbionts [23, 24, 25]. 65

While the prevailing transmission model in marine sponges include both horizontal and vertical transmission [26]. 66 at least three lines of evidence suggest that vertical transmission plays an important role in the assembly of sponge 67 microbiota. First, sponges appear to have coevolved with a unique set of microbial symbionts that form so-called 68 sponge-enriched 16S rRNA gene sequence clusters [27, 28]. These sponge-enriched clusters span 14 known bacterial 69 and archaeal phyla many of which are highly specific to the phylum Porifera (e.g., phyla such as Poribacteria, Chlo-70 roflexi and PAUC34f) [27, 28]. Unlike any other group of animal associated microbial symbionts described to date, 71 each sponge-enriched cluster is monophyletic, indicating that microbes assigning to these clusters have diverged from 72 their free-living relatives [27, 28]. Second, electron micrographs have revealed that sponge oocytes, embryos, and 73 larvae contain free-swimming or vacuole-enclosed endosymbotic bacteria that are morphologically identical to those 74 found in the mesohyl of the parent [29, 30, 31, 32]. The mechanisms for microbial selection and transference to the 75 oocytes vary between sponge species [32], as does the density and diversity of microbes that are incorporated into the 76 oocytes [33, 34, 35]. Third, multiple studies, largely based on non-high-throughput sequencing methods, have found 77 similar microbial phylotypes in adults and larvae from the same species [36, 37, 26, 38, 39]. One study also found 78 that three pre-selected bacterial taxa that were present in the embryos of the tropical sponge Corticium sp. persisted 79 throughout development and were consistently detected in adult samples over a period of three years [40]. These 80 lines of evidence altogether strongly suggest that vertical transmission may be a frequent phenomenon that ensures the 81

assembly of a functioning and beneficial microbiota in many species of marine sponges.

Despite this compelling evidence for vertical transmission, no studies have yet used high-throughput sequencing 83 to test for evidence of vertical transmission by comparing microbial sharing in known parent-offspring pairs from wild 84 sponges. We fill this gap and test three broad hypotheses about the strength and consistency of vertical transmission 85 in sponges that are generalizable to any host-microbe system. First, we test the hypothesis that vertical transmission 86 is comprehensive, such that microbiomes in larval offspring are either a perfect replica of, or a subset of, the microbes 87 found in their adult parents. Alternatively, vertical transmission might be incomplete or undetectable; if incomplete, 88 larval offspring will share only a fraction of their microbes with their parent, but this proportion will be higher than the 89 proportion of microbes they share with other adults of the same species. If vertical transmission is undetectable, then 90 larval offspring will be just as likely to share microbes with other conspecific adults as they are with their parents. Sec-91 ond, we test the consistency of vertical transmission between parents and offspring. We hypothesize that if a specific 92 set of symbionts have co-evolved with their sponge host, and if it is adaptive for parents to transmit this specific set 93 of symbionts, then all offspring from the same parent should receive an identical or highly consistent set of beneficial 94 symbionts. Alternatively, if consistent vertical transmission is not important to parental fitness, or if parents benefit 95 from transmitting different symbionts to each offspring (e.g., if larvae settle in variable environments where only a 96 subset of symbionts is beneficial), then we might expect larvae to receive a variable or even random subset of microbes 97 from their parents that is inconsistent between siblings. Third, we test whether vertically transmitted taxa exhibit part-QЯ ner fidelity. If symbionts have coevolved with a particular sponge species, then conspecific sponge adults and larvae 99 should share more vertically transmitted microbes with each other than with heterospecific individuals. Lastly, we 100 test a hypothesis specific to marine sponges, that vertically transmitted microbes assign to sponge-enriched clusters 101 more frequently than the consortia of horizontally acquired microbes. Overall, our results help to shed light on the 102 prevalence and importance of vertical versus horizontal transmission in an animal phylum with diverse microbiota that 103 has important ramifications for understanding co-evolution between hosts and their associated microbiota in general. 104

# **Results and Discussion**

# <sup>106</sup> Taxonomic diversity is distributed along a *sponge-specific axis*

To establish parent-offspring relationships for wild sponges, we placed mesh traps around adult sponges living close to the Islas Medas marine reserve in the Mediterranean Sea. We sampled 24 adults from a total of eight sponge species (Table ST) and collected 63 larval offspring from 21 of these adults (1 to 5 larvae sampled per adult; Table S2). To

characterize environmental microbes, we simultaneously collected seawater samples from seven locations within the sample area near where the adult sponges were found.

After quality control, we obtained 11,375,431 16S rRNA gene amplicon reads from these 94 samples (mean=121,015 112 reads per sample; min=1116, max=668,100 reads), resulting in 12,894 microbial ASVs (Amplicon Sequence Variants). 113 Of these, 9,030 ASVs were present in the 24 sponge adults, 5,786 were found in their 63 larval offspring, and 9,802 114 ASVs occurred in the seven seawater samples. The 12,894 ASVs were classified to over 30 bacterial phyla and candi-115 date phyla, five of which were only detected in the surrounding seawater. One class of Proteobacteria was unique to 116 the sponge adults, and two phyla, Deferribacteres and Fibrobacteres, were especially enriched in larval offspring albeit 117 present in low abundances in the other two environments (Figure **I**A). While several phyla (classes for Proteobacteria) 118 were shared between all three environments (circles close to the center in Figure IA), likely representing horizontally 119 acquired ASVs, a large fraction of the observed taxonomic diversity was only shared between sponge adults and lar-120 vae, distributed along a *sponge-specific axis* (left-hand side of the ternary plot in Figure 1A). These included many 121 common sponge-associated phyla, such as Poribacteria, Chloroflexi, and PAUC34f, but also more arcane phyla like 122 Tectomicrobia and SBR1093 (Figure TA). Many of the sponge-associated phyla include microbes with known symbi-123 otic features and functional capabilities. For example, members of Poribacteria and Chloroflexi harbor eukaryote-like 124 protein domains which are suspected to be involved in preventing phagocytosis by the sponge host [41, 42]. Several 125 genomic features in Chloroflexi are related to energy and carbon converting pathways, including amino and fatty acid 126 metabolism and respiration, that directly benefit the sponge host [42]. Microbes from PAUC34f have the capacity to 127 produce, transport and store polyphosphate granules, likely representing a phosphate reservoir for the sponge host in 128 periods of deprivation [43]. This type of evidence strongly suggests that microbes from these phyla indeed represent 129 beneficial symbionts for sponge hosts. 130

The ASVs we found also assigned to 105 different sponge-enriched clusters from 13 different bacterial phyla, of 131 which Proteobacteria, Chloroflexi and Poribacteria represented the three most common (PAUC34f came in 5th place) 132 (Figure 1B). These sponge-enriched clusters accounted for 9.6% of the total ASV richness and 25.5% of the total 133 sequence count across samples. 94 sponge-enriched clusters were found in seawater, however, these only accounted 134 for about 5% of the ASV richness and 0.23% of the total number of sequences in seawater. Out of these 94 sponge-135 enriched clusters, only 4 were not detected in the sponge hosts, supporting the idea that a rare biosphere functions 136 as a seed bank for colonization of sponge hosts [44]. While very few sponge-enriched clusters occurred in all three 137 environments (circles close to the center in Figure **IB**), 62 were distributed along the sponge-specific axis (with a 138 relative abundance of <0.01% in the seawater). Sponge larvae do not filter feed prior to settlement and metamorphosis 139

Image: [45]. Concurrently, very little taxonomic diversity, just one phyla and two *sponge-enriched clusters*, was shared
 between larvae and seawater only (bottom axis of the ternary plots in Figure []B), showing that, at least at these higher
 taxonomic levels, there is a signature of microbial dispersal and posterior enrichment between adults and larvae.

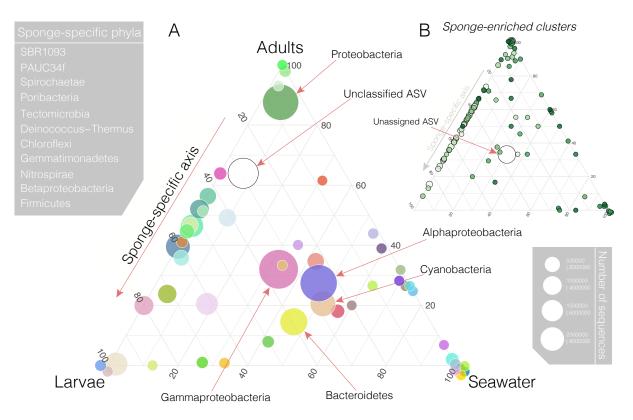


Figure 1: Ternary plots indicating the fraction of (A) all phyla, and (B) *sponge-enriched clusters* present in three environments: seawater (bottom right corner); sponge adults (top corner); and larval offspring (bottom left corner). Plot (A) shows the distribution of all microbial ASVs at the phylum level (class level for Proteobacteria). Plot (B) shows the diversity of all ASVs assigning to *sponge-enriched clusters*. ASVs that classify to phyla and *sponge-enriched clusters* that are unique to any of the three environments occur in their respective corners (100%); ASVs that classify to phyla and *sponge-enriched clusters* that are shared between any two environments occur along their focal axis. ASVs that classify to phyla and *sponge-enriched clusters* that are present in all three environments occur in the center of the ternary plots. Circle size corresponds the number of sequences that are classified to a given phylum or *sponge-enriched cluster* 

#### <sup>143</sup> Vertical transmission in sponges is detectable, but weak and incomplete

- <sup>144</sup> To help characterize patterns of vertical transmission, we built three bipartite networks that we hypothesized would
- reflect increasing host-microbe specificity (Figure 2A-C): an *overall* network containing all ASVs detected in adults,
- larvae, and the seawater (Figure 2A); a *sponge-specific* network containing ASVs harbored by adults and larvae, but

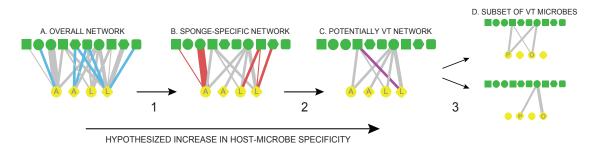


Figure 2: A conceptual diagram of the three different bipartite networks that we constructed (A through C) and an illustration of how we defined vertically transmitted microbes (networks under D). We hypothesize that these networks increase in host-microbe specificity as you move towards the right in the figure. Correspondingly, the microbial communities (i.e., the top level of each network) increased in community similarity with increasing host-microbe specificity (Figure 51). Sponges are in yellow and microbes in green; shapes represent different species; A=adult; L=larva; P=parent; O=offspring that are connected by edges that correspond to the relative abundance of microbes harbored by hosts. Network (A) corresponds to an *overall* network that contains all ASVs detected in adults, larvae, and the surrounding seawater. At arrow 1, we remove microbes present in the seawater (blue edges) to create network (B), which represents a sponge-specific network that contains ASVs only harbored by adults and larvae. At arrow 2, we remove microbes that are only present in adults or larvae, but not both (red edges) to create network (C), which corresponds to a *potentially vertically transmitted* network that contains ASVs found in at least one adult and one larva for a given sponge species. Note that these ASVs can still be present in multiple sponge species. At arrow 3, we subset the potentially vertically transmitted network to only include ASVs shared between a focal offspring and its parent (the purple edge is the only one that does not meet this criteria) to create subsets of vertically transmitted microbes. Note that vertically transmitted microbes can only be identified for one parent at a time. We analyzed these three networks (A-C) either as one network per sponge species, or one network containing all species.

not present (or below our detection limit) in the seawater (Figure 2B); and finally a *potentially vertically transmitted* network containing ASVs found in at least one adult and one larva for a given sponge species (Figure 2C; note that these ASVs can still be present in multiple sponge species). From the *potentially vertically transmitted* network, we further defined the subset of vertically transmitted ASVs as those shared between a focal offspring and its parent (Figure 2D).

We first tested whether vertical transmission in sponges was detectable, and if so, whether it was comprehensive or 152 incomplete. A visual inspection of taxonomic profiles of the microbiota between parents and offspring indicated that 153 offspring often harbor similar microbial phyla to their parents, as well as to non-parental conspecific adults (Figure 3). 154 However, this similarity at the phylum level was superficial and largely disappeared when we re-focused our analyses 155 to the level of individual ASVs. Specifically, while signatures of vertical transmission were detectable, they were very 156 incomplete. For instance, across all sponge species, larvae shared, on average, only 1.43% of their overall ASVs with 157 their adult parents (Figure S3). This percent of sharing was not different than the percent of ASVs larvae shared with 158 conspecific adults living nearby (MD=0.081, 95% CI [-0.039,0.211]) Figure [4]A), indicating that, at the level of all the 159 microbes found in larvae, vertical transmission is essentially undetectable. 160

However, the analysis above included ASVs found in seawater, which may represent transient microbes passing 161 through the host that are not consistent or important members of the sponge microbiota. Indeed, the detectability of 162 vertical transmission increased as we partitioned the data into networks with increasing host-microbe specificity, but 163 the proportion of vertically transmitted ASVs varied considerably both within and between species (Figure S3). By 164 pooling samples across species, sacrificing resolution for statistical power, offspring shared a slightly higher propor-165 tion of vertically transmitted ASVs with their parents than with the non-parental conspecific adults in both the *sponge*-166 specific and potentially vertically transmitted network (sponge-specific: MD=0.326, 95% CI [0.014,0.626]; Figure [4]B, 167 potentially vertically transmitted network: MD=0.623, 95% CI [0.082,1.177]; Figure 4C). At the level of each indi-168 vidual host species, we only observed evidence for vertical transmission in two sponges: O. lobularis (sponge-specific: 169 MD=0.80, 95% CI [-0.005,1.454]; potentially vertically transmitted: MD=1.27, 95% CI [0.12,2.33]; Figure S4a), and 170 C. crambe (sponge-specific: MD=0.88, 95% CI [0.211,1.467]; potentially vertically transmitted network: MD=2.40, 171 95% CI [0.522,4.503]; Figure S4B). 172

We also tested whether offspring shared a higher proportion of vertically transmitted *sponge-enriched clusters* with their parents than with non-parental conspecific adults. We found that, while the proportion of vertically transmitted clusters were somewhat higher for some adults compared to others (Figure S3), offspring did not share a higher proportion of vertically transmitted *sponge-enriched clusters* with their parents than they did with non-parental conspecific

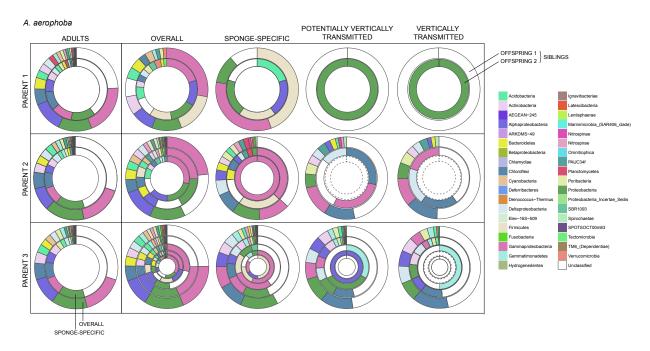


Figure 3: Donut charts showing the relative contribution of ASVs classifying to different microbial phyla (classes for Proteobacteria) in sponge parents and their offspring across the different networks in Figure 2. The left-hand column corresponds to the three adult specimens of *A. aerophoba*, while the remaining donuts depict microbial communities within their offspring across the different networks. For the adult donut charts, the inner and outer donuts represent the *overall* and *sponge-specific* networks, respectively. For the offspring donut charts, concentric donuts correspond to each offspring from the same parent (i.e. siblings). All donuts show results for the sponge species *A. aerophoba*; results for the other sponge species are in (Figure S2A-G). White donuts with a solid outline indicate a community where all the ASVs were unclassified. White donuts with a dashed outline indicate a community where the focal offspring did not contain any ASVs found in the focal network. Colors represent different microbial phyla (classes for Proteobacteria).

### adults (MD=0.103, 95% CI [-0.08,0.304]; Figure 4a).

To further characterize patterns of vertical transmission, we computed modularity on weighted bipartite networks 178 constructed for each sponge species (DIRT\_LPA\_wb\_plus, 46). In the ecological network literature, modules are 179 groups of species that "interact" more among themselves than with groups of other species (e.g., flowers and their 180 pollinators, and fruits and their seed dispersers). If modules are perfectly separated; that is, no species interact with 181 species from other modules, we call them compartments. Weighted modularity has been shown to be positively cor-182 related with network specialization  $(H'_2)$ , reinforcing the idea that modules exist because some species do not interact 183 with each other [47]. Computing modularity on weighted networks allows for weighting species by information con-184 tent (here, relative abundance), which means that rare microbes are down-weighted and modules are formed around the 185 most common host-microbe associations [47] 46]. The networks will be organized into compartments corresponding 186

to parents and offspring if they harbor the same set of microbes, and if those microbes are unique to those parents and 187 offspring. We tested whether the observed modules deviated from the prior expectation of perfectly separated parent-188 offspring compartments using the Normalized Mutual Information (NMI) criterion [48, 49]. NMI ranges between 189 0 and 1, where 0 indicates complete dissimilarity between expected and observed modules, and 1 indicates that the 190 observed modules only contain nodes corresponding to parents and offspring. While the majority of networks were 191 highly modular (Table S3), the observed modules were not comprised of nodes corresponding to parents and offspring. 192 The sponge-specific networks had, on average, the highest NMI score (Figure S5A), but these networks were still quite 193 far from the prior expectation of perfectly separated parent-offspring compartments (Figure S5B). 194

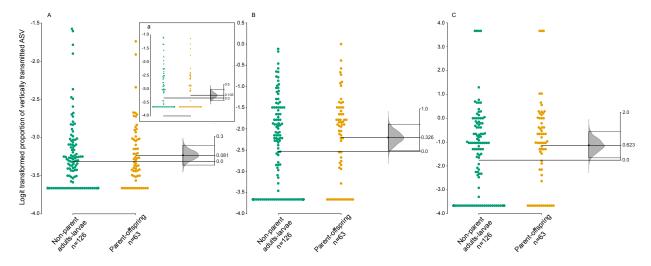


Figure 4: Gardner-Altman comparison plots of logit transformed proportions of vertically transmitted ASVs shared between sponge larvae and either (i) their known parents (orange dots), or (ii) two non-parental conspecific adults (green dots). Each plot contains comparisons for all host species (see Figure 33), and each dot represents one parent-offspring pair or one non-parent-offspring pair. Plot (A) corresponds to the *overall* network; plot (B) to the *sponge specific* network; and plot (C) to the *potentially vertically transmitted* network. Finally, subplot (a) corresponds to the *overall* network but for *sponge-enriched clusters* (the axes in this plot is the same as in A-C). Parents and offspring shared, on average, 1.5%, 10.6% and 31.3% of the ASVs present in the *overall, sponge-specific* and *potentially vertically transmitted* network, respectively. In comparison, non-parental conspecific adults and larvae shared, on average, 1.4%, 8.4% and 23% of the ASVs present in the same networks. Furthermore, parents and offspring, and non-parental conspecific adults and larvae, shared, on average, 0.019% and 0.015% of vertically transmitted *sponge-enriched clusters ters* present in the *overall* network, respectively. The axis on the right-hand side of the plots shows the mean difference distribution between the two groups, and the narrowness of the confidence interval gives a clear impression of effect size precision.

# <sup>195</sup> Vertical transmission is inconsistent; each offspring receives a different set of microbes from <sup>196</sup> their parent

The results above indicate that vertical transmission in sponge microbiomes is incomplete. One explanation for this 197 lack of completeness is that perhaps only a few symbiotic microbes are required to establish a functioning and ben-198 eficial microbiota; hence, parents might only transmit a few of the most important microbes to offspring. However, 199 if these few symbiotic microbes are important, then parents should be selected to transmit the same symbionts con-200 sistently to each offspring; that is, siblings should receive the same or very similar subsets of vertically transmitted 201 microbes. Contrary to this expectation, we found no evidence that vertically transmitted ASVs were consistent across 202 offspring from the same parent. For instance, in Figure 3, the taxonomic profiles (at the phylum level) of vertically 203 transmitted microbes often differ considerably between siblings. We analyzed this quantitatively by calculating Jac-204 card (similarity) coefficients between all larvae in our data set (Jaccard coefficients measure the overlap in ASVs 205 shared between two hosts; a similarity of 1 indicates complete overlap, while a similarity of 0 indicates no overlap). 206 We found that neither siblings nor non-sibling conspecific larvae shared similar assemblages of vertically transmitted 207 ASVs (Figure 5). The average Jaccard coefficient for assemblages of vertically transmitted ASVs between siblings 208 was  $0.023\pm0.046$ , which was not different than the Jaccard coefficient between conspecific larvae that did not share the 209 same parent  $(0.012\pm0.029; MD=0.012, 95\% CI [-0.010, 0.039];$  Figure 5). We complemented these analyses by cal-210 culating the Jaccard coefficients between each larva for their assemblage of vertically transmitted ASVs that assigned 211 to sponge-enriched clusters. Similarly, we found that neither siblings nor non-sibling conspecifics shared similar as-212 semblages of vertically transmitted *sponge-enriched clusters* (Figure S6). The average Jaccard coefficient between 213 vertically transmitted sponge-enriched clusters in siblings was  $0.01\pm0.031$ , which was not different than the Jaccard 214 coefficient between non-sibling conspecific larvae ( $0.001\pm0.005$ ; MD=0.014, 95% CI [-0.001, 0.039]; Figure S6). 215

The absence of a consistent set of ASVs transmitted between a given parent and its offspring could have at least 216 two explanations. First, parents may benefit from varying the microbes transmitted to each offspring. Such variability 217 might be important if offspring disperse long distances and settle in diverse and varying environments. In this case, 218 larvae containing key symbionts are more likely to survive post settlement. This explanation is analogous to the idea 219 that a genetically diverse cohort of offspring is more likely to succeed than a genetically uniform offspring (in this case, 220 the genetic diversity is microbial, not from the host). Importantly, variation in conspecific microbiota may reflect the 221 nature and strength of host-microbe interactions; when these microbial communities are highly similar (low variation), 222 this indicates high specificity, where only a specific set of symbionts may be able to interact with the host. In contrast, 223

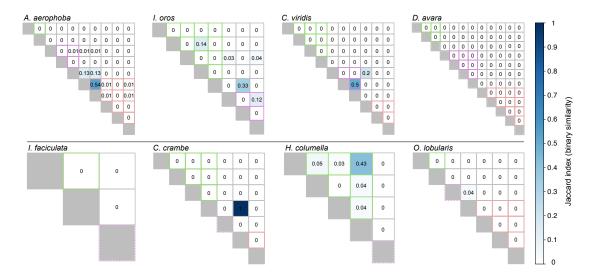


Figure 5: Siblings almost never inherit the same vertically transmitted taxa as show by pairwise Jaccard coefficients calculated for assemblages of vertically transmitted ASVs between larvae that shared the same parent. Each cell represents a larva and sets of siblings from the same parent are indicated by cells bordered by the same color (green, purple, or red). In cases where parents only had one offspring, the diagonal is bordered by a dashed line. Cells with gray boarders correspond to Jaccard coefficients calculated for assemblages of vertically transmitted ASVs between conspecific larvae that did not share the same parent. Gray cells represent the comparison with self. The Jaccard index ranges between 0 (no ASVs shared) and 1 (all ASVs shared)

when microbiota between conspecific hosts are more variable, this may reflect a situation where specific symbionts are not required for host functioning [50].

Second, an alternative explanation for weak and/or inconsistent vertical transmission is that vertical transmission is not the primary mechanism by which parents ensure that offspring acquire the symbionts they need. Indeed, adult and larval sponges lead very different lifestyles, and symbionts that are beneficial to adults are not necessarily the same as those that are beneficial to larval offspring. Hence, vertical transmission might not be an adaptation in marine sponges, and the weak signatures of vertical transmission we observed might arise via the same neutral processes that govern isolation by distance; that is, offspring are more likely to be colonized by a random subset of microbes from parents as opposed to from non-parental conspecific adults.

## <sup>233</sup> Vertically transmitted ASVs are not host species-specific

Because vertically transmitted ASVs were inconsistent across offspring from the same parent (Figure 5), but microbes in adults and larvae were similar at the phylum level (Figure 1), and sometimes showed signs of similarity across larvae from the same species (Figure 3), we further inquired whether a signal of vertical transmission could be detected at the host species level; that is, do conspecific adults and larvae share more vertically transmitted ASVs than they do with individuals from different host species?

Contrary to the idea that vertically transmitted microbes demonstrate high levels of host species fidelity as a result 239 of co-evolution between microbes and host, conspecific adults and larvae did not share more vertically transmitted 240 ASVs than they did with heterospecific individuals. For instance, pairwise Jaccard coefficients between the aggregated 241 subsets of vertically transmitted ASVs from all species, revealed that larvae were not more likely to share vertically 242 transmitted ASVs or sponge-enriched clusters with larvae from their own species as compared to larvae of other 243 species (Figure 6). To test this beyond binary pairwise comparisons, we computed modularity on three weighted 244 bipartite networks containing all samples. If conspecific adults and larvae harbor the same microbes and do not share 245 those with other species, then the networks will be organized in compartments consisting of conspecific adults, larvae, 246 and their shared ASVs. Contrary to our expectation, the *overall* network was the most modular ( $Q^{norm}$ =0.906) with 247 the highest NMI score (MNI=0.500) followed by the sponge-specific (Q<sup>norm</sup>=0.894; NMI=0.396) and potentially 248 vertically transmitted network (Q<sup>norm</sup>=0.865; MNI=0.390; Table 54), indicating that, for at least some ASVs, host 249 species-specificity decreased with increased host-microbe specialization. In the overall network, apart of adults from 250 the two species A. aerophoba and I. oros that together formed one module, all other adults, including seawater samples, 251 formed their own species-specific modules. However, while some modules contained larvae, they rarely corresponded 252

to offspring or even larvae of the same species; instead, a mix of heterospecific larvae tended to form their own

254 modules.

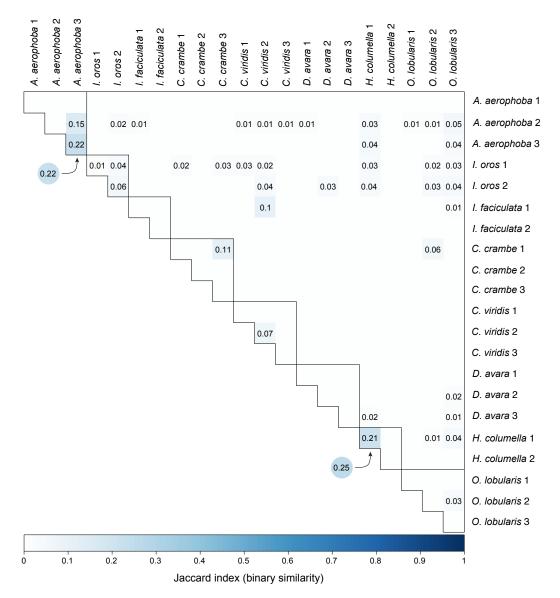


Figure 6: Jaccard coefficients between all the offspring from any two adults calculated for assemblages of vertically transmitted ASVs. The plot shows Jaccard coefficients within and between host species, as well as within single adults (i.e. siblings) on the diagonal. The Jaccard index ranges between 0 (no ASVs shared) and 1 (all ASVs shared). The two circles correspond to the only non-zero Jaccard coefficients for ASVs assigning to *sponge-enriched clusters*.

# Vertically transmitted microbes assign more frequently to *sponge-enriched clusters* than hor izontally acquired ones

We hypothesized that vertically transmitted microbes would assign to *sponge-enriched clusters* more frequently than 257 horizontally acquired microbes. Further, we hypothesized that, as host-microbe specialization increases, microbes 258 assigning to these sponge-enriched clusters will increase in their contribution to both total ASV richness and total se-259 quence count. To test these hypotheses, we defined horizontally acquired ASVs as those shared between seawater and 260 sponge hosts (blue edges in Figure 2A). We further pooled all the subsets of vertically transmitted ASVs (Figure 2D) 261 into one large assemblage containing vertically transmitted ASVs from all host species. Of the 7,039 different horizon-262 tally acquired and 438 different vertically transmitted ASVs, we found that 6.8% and 71% assigned to sponge-enriched 263 clusters, and that these assemblages, in turn, accounted for 26.2% and 53.2% of their respective total sequence counts, 264 indicating that sponge-enriched clusters indeed are more frequent and abundant among vertically transmitted microbes 265 than among horizontally acquired ones. 266

The contribution to total ASV richness by microbes assigning to sponge-enriched clusters increased for the major-267 ity of sponge species as host-microbe specialization increased (Table S5). This pattern is expected as the denominator 268 (number of nodes in the focal network) decreases as we move towards the right in Figure 2. The contribution to the 269 total number of sequences by microbes assigning to these clusters increased for about half of the sponge species (Ta-270 ble [S5]. This pattern was especially noticeable for *I. fasciculata* and *A. aerophoba*, which harbored some particularly 271 abundant sponge-enriched clusters among their vertically transmitted ASVs. Indeed I. fasciculata harbored one cluster 272 that accounted for 89% (with n=775 reads) of the total sequence count in the *potentially vertically transmitted* network; 273 A. aerophoba harbored a mixture of 122 rare (n=2 reads) and abundant (n=7335 reads) sponge-enriched clusters. Fur-274 thermore, some host species harbored a higher diversity and relative abundance of these clusters that carried over to 275 the subset of vertically transmitted microbes, suggesting that sponge-enriched clusters may play a larger role in some 276 host species than in others (Table 55). For example, A. aerophoba harbored over 80% of all identified sponge-enriched 277 *clusters* across the different networks (Table S6). However, from Table S5, it is interesting to note that the number 278 of sponge-enriched clusters decreased from the overall to the sponge-specific network (between 21-51% across host 279 species), further indicating that adult hosts may acquire, at least, some of these clusters horizontally from the seawater. 280 Of the 105 different sponge-enriched clusters identified in the overall network, 94 were also detected in the sea-281 water, although at very low abundances (Table 55). In light of our finding that siblings did not inherit the same 282 sponge-enriched clusters from their parents, nor were these clusters consistently transmitted across conspecific larvae, 283

this suggests that parents may transmit a random subset of *sponge-enriched clusters* to offspring, and that the signature 284 of this vertical transmission is only detectable when adults and larvae are pooled across species (Figure 1B). Further-285 more, out of the 48 sponge-enriched clusters that were identified in the subset of vertically transmitted ASVs, only 286 four were not present (or below detection limit) in seawater; three clusters belonged to the phylum Chloroflexi, and 287 one cluster to the phylum Deltaproteobacteria. Interestingly, the latter could be further classified to Bdellovibrio-a 288 genus of gram-negative obligate aerobic bacteria that parasitize and kill other gram-negative bacteria. This genus has 289 previously been found in the gut microbiome of other animals, including humans, where it is associated with a healthy 290 gut microbiome [51]. Finally, detailed -omic studies have revealed symbiotic characteristics and functional capabil-291 ities of some *sponge-enriched clusters* including, e.g., enrichment of proteins containing eukaryotic-like repeats, the 292 capacity to degrade complex carbohydrates, and the production of secondary metabolites that are used as defenses by 293 the sponge host [52, 41, 43]. While these results demonstrate the different but likely vital services sponge-enriched 294 *clusters* provide to marine sponges, we can only speculate in the potential benefits of their unfaithful transmission. As 295 previously discussed, perhaps unfaithful transmission is beneficial when offspring disperse long distances and settle in 296 varying environments. Moreover, sponge-enriched clusters may be functionally versatile-the exact form of their rela-297 tionship with the host may change depending on what other clusters and/or microbes are present in the microbiome, 298 which is, at least, partly governed by priority effects-the order and timing of species arrivals [53]. Therefore, at the 299 time of larval settlement, harboring any sponge-enriched cluster may strongly influence the succession trajectory and 300 the functional development of the maturing sponge microbiome. 301

# 302 Conclusion

Vertical transmission is proposed to be a primary mechanism by which parents transmit assemblages of beneficial 303 microbes to offspring in a way that maintains both these microbes' interactions with each other and the beneficial 304 functions that emerge from their interactions [14]. However, contrary to these theoretical expectations, evidence is 305 mounting that this classic view of vertical transmission is rare in animal microbiomes-especially when microbiomes 306 are highly diverse (see [54] for a review). We find that marine sponges also do not fit the classic mold; while previous 307 research based on electron micrographs has undeniably detected mechanisms by which parents pass microbes to off-308 spring [31, 32], our findings cast doubt on the faithfulness and consistency of these transmissions. Specifically, across 309 eight sponge species, we show that: (1) vertical transmission is detectable, but weak and incomplete such that offspring 310 do not receive a replica of their parent's microbiome; (2) parents do not transmit the same suite of microbes to each off-311

spring; (3) vertically transmitted microbes are not host species-specific and therefore unlikely to have co-evolved with 312 particular sponge species; and (4) while vertically transmitted microbes assigned more frequently to sponge-enriched 313 clusters than horizontally acquired ones, the signature of this vertical transmission is only detectable when adults and 314 larvae are pooled across species. Furthermore, it is worth noting that measuring vertical transmission at the level of 315 ASVs is relatively coarse and therefore conservative. A given microbial ASV may contain multiple strains; hence, 316 while our analysis indicates that vertical transmission makes only a minor contribution to the microbiomes of larval 317 sponges, our analysis may overestimate the relative contribution of vertical transmission to larval sponge microbiomes. 318 Strain-level analyses will be required to truly estimate the proportion of microbes shared between sponge parents and 319

<sup>320</sup> offspring [55].

Our findings highlight the need for new theory to explain how hosts ensure the faithful transmission of beneficial 321 microbiomes. While the classic model may sometimes work well when the microbial symbionts consist of just one or a 322 few species [6, 18], when microbiomes are very diverse and complex, transferring thousands of microbial species such 323 that their interaction structures and emergent functions are preserved seems highly improbable. So, how do sponge 324 parents ensure that offspring get the microbes they need? We know that such mechanisms exist because by the time 325 sponge juveniles reach adulthood, they have converged on highly similar and species-specific microbiomes [50]. In the 326 absence of strong vertical transmission, at least two processes may contribute to this convergence. First, evidence from 327 other ecological communities, including the human gut microbiome, suggests that priority effects strongly influence 328 community assembly [56, 53, 57, 58]. Even if just a few microbes are vertically transmitted, they may pre-empt the 329 initial host niche. Those microbes may quickly reach carrying capacity while simultaneously modifying the (host) 330 niche in their favor, thereby altering the ability of subsequent microbial immigrants to colonize. Hence, vertical 331 transmission of a few beneficial symbionts may, via priority effects, help build the microbiome anew generation after 332 generation. Second, sponges likely acquire and curate beneficial microbes by filtering them from the environment. In 333 our study, we were able to detect 90% (94 of 105) of sponge enriched clusters in seawater, and while these were in 334 low abundances, sponges can filter vast quantities of water: up to 24,000 liters (24  $m^3$ ) of water per kilogram and day 335 [59]. Once these microbes are inside the host, the innate immune defenses of some sponge species can differentiate 336 between pathogens, food bacteria and symbionts in a manner similar to the adaptive immune system of vertebrates 337 [60, 61, 62, 63, 64, 65]. For some microbes the host niche also provides a more favorable environment than seawater, 338 in turn, some symbionts have molecular structures that facilitate recognition by the sponge host [41] [52]. Together, 339 priority effects, horizontal acquisition from the rare biosphere, and active curation and cultivation of microbes by the 340 sponge host likely combine to create adult sponge microbiota that exhibit low variation between conspecific adults, 341

with sometimes considerable divergence between sponge species living in the same environment [50]. However, we still do not understand how (or if) evolution has selected hosts to guide these processes, especially priority effects, to their benefit.

Finally, some of our results are relevant to the predictions put forward by of the hologenome theory of evolution 345 [7] [8] [11]. This theory proposes that there may be value in treating hosts and their microbiota as a single evolutionary 346 unit. This comes with an important expectation: high partner fidelity-if the collection of genomes varies within and 347 between host generations, then it is not a coherent unit of selection [9, 10]. Such tight partner fidelity is typically 348 only found among host-microbe symbioses with obligate vertical transmission. On the contrary, we found that many 349 vertically transmitted microbes, including many sponge-enriched clusters, were not faithfully transmitted by parents to 350 offspring nor were they host species-specific. As such, their evolution is likely shaped by multiple host species across 351 the phylum Porifera, as well as by the marine environment where the sponge hosts live. Overall, our study demonstrates 352 that common predictions of vertical transmission that stem from species-poor systems are not necessarily true when 353 scaling up to diverse and complex microbiomes. 354

# 355 Methods

We collected sponge and seawater samples between July and August 2012, close to the Islas Medas marine reserve in the northwestern Mediterranean Sea  $42^{\circ}3'0''N$ ,  $3^{\circ}13'0''E$  by SCUBA at depths between 5-15 m. The analyzed species are common Mediterranean sponges and were identified based on their distinct morphological features.

## **359** Larval sponge collection

We constructed larvae traps by modifying the traps used in [66] (Figure S7]. In order to collect offspring from known parents, traps were mounted over individual adult sponges by SCUBA. To minimize stress to individual adults, traps were removed after one week. During this time, sample bottles were collected and replaced every day. Bottles were placed on ice in insulated coolers and transported to the laboratory (<2 hours). Larvae were identified using a stereolupe. In order to remove loosely associated microbes, larvae were carefully rinsed with filter-sterilized seawater (0.20  $\mu$ m filter) before preservation in RNA later. All larval samples were stored at -80°C until DNA extraction.

# **366** Adult sponge collection

After larvae offspring were collected, three adults per sponge species were sampled. These individuals corresponded 367 to the same adults that larvae had been collected for. However, for a few species, larvae could only be collected for 368 two adults. In these cases, a third adult was still sampled. Specimens were sub-lethally sampled by removing a small 369 sample of tissue. Excised tissue was placed in separate plastic tubes and brought to the surface where they were 370 preserved in RNA later and placed on ice in insulated coolers and transported to the laboratory (<2 hours). Seawater 371 samples were collected at 5 m depth and at 7 locations within the sampling area. All seven water samples were poured 372 into separate, sterile 5 L jars. Aliquots of seawater (300-500 mL each, 1 aliquot per sample jar) were concentrated on 373  $0.2 \,\mu m$  polycarbonate filters, and submerged in lysis buffer. All samples were stored at -80°C until DNA extraction. 374

## 375 DNA extraction and sequencing

<sup>376</sup> DNA was extracted from  $\approx 0.25$  g of adult sponge tissue using the PowerSoil DNA extraction kit (MoBio). DNA from <sup>377</sup> larvae (one larva per adult) was extracted using the XS-RNA extraction kit (Macherey-Nagel) because of its capacity to <sup>378</sup> extract DNA from small samples, i.e., one larva. All DNA extractions were performed according to standard protocols. <sup>379</sup> The 7 seawater samples were processed by passing 2 L (from the 5 L) of seawater through  $0.2\mu$ m Sterivex filters, and <sup>380</sup> DNA was extracted from these filters as described by [39]. The V4 region of the 16S rRNA gene was amplified using <sup>381</sup> the primer 515FB-806RB [67] and sequenced using the Illumina HiSeq2500 platform. Sequencing was performed by <sup>382</sup> the Earth Microbiome Project [68].

#### **Identification of** sponge-specific clusters

A representative sequence from each ASV was taxonomically assigned using a BLAST 62 search against a curated 384 ARB-SILVA database containing 178 previously identified sponge-specific clusters [28]. For each BLAST search, 385 the 10 best hits were aligned to determine sequence similarities. The most similar ASV sequence to the respective 386 reference sequence within the database was then assigned to an *sponge-specific clusters* based on a 75% similarity 387 threshold: (i) a sequence was only assigned to any given sponge-specific clusters if its similarity was higher to the 388 members of the cluster than to sequences outside the cluster; and (ii) if its similarity to the most similar sequence 389 within the cluster was above 75%. A majority rule was applied in cases where the assignment of the most similar 390 sequences was inconsistent, and the ASV sequence was only assigned to the sponge-specific clusters if at least 60% 391 of the reference sequences were affiliated with the cluster. 392

## 393 Analyses

Illumina-sequenced, paired-end fastq files were processed and cleaned using default settings in DADA2 [69] to pro-394 duce an amplicon sequence variant (ASV) table. To partition data into the different bipartite networks and to find ver-395 tically transmitted microbes, we used simple set theory. Modularity was analyzed using the DIRT LPA wb plus 396 46. We computed modularity on both weighted and unweighted bipartite networks; the main difference between the 397 two is that when calculating modularity on an unweighted network, it does not allow for any weighting by information 398 content (here relative abundance), i.e., rare microbes are as important as abundant ones. While we found the results 399 to be quantitatively different (as others also have demonstrated, [47] 46]), they lead to the same overall conclusion. 400 We further used Normalized Mutual Information (NMI) criterion [48, 49] to test whether observed modules deviated 401 from prior expectations. These algorithms were run in R. In the few cases where statistical analyses were performed, 402 we used estimation statistics; a simple framework that avoids the pitfalls of significance testing that calculates a dis-403 tribution of mean differences that is an approximation of the Bayesian posterior probability distribution [70]. This 404 distribution is used to weigh plausibility over an effect likelihood size range, and is visualized in a Gardner-Altman 405 comparison plot [71]. We used the 95% highest density interval (HDI) as a measure of statistical significance. That 406 is, if a parameter or a pairwise parameter comparison excludes zero, then we conclude that the probability of the 407 difference being significantly different from zero exceeds 95%. This was done in the DABEST Python package in 408 R via the reticulate package. Lastly, we used the logit transformation as a variance-stabilizing transformation 409 of proportions. The logit transformation is the log of the odds ratio; that is, the log of the proportion divided by one 410 minus the proportion. In practice, the transformation expands the ends of the scale, such that small differences in the 411 proportions have a larger difference on the logit scale. 412

### **413** Acknowledgements

We thank Dr. Rafel Coma and Dr. Eduard Serrano for help in the field, and Dr. Cristina Diez-Vives for help extracting DNA. J.R.B. was supported by an FPI Fellowship from the Spanish Government (BES-2011-049043). J.M.M. was supported by the French LabEx TULIP (ANR-10-LABX-41; ANR-11-IDEX-002-02), by the Region Midi-Pyrenees project (CNRS 121090) and by the FRAGCLIM Consolidator Grant, funded by the European Research Council under the European Union's Horizon 2020 research and innovation programme (grant agreement number 726176).

# 419 Authors' contributions

J.R.B. and J.M.M. conceived the study. J.R.B. performed the fieldwork and analyzed the data. J.R.B. and J.M.M. drafted the first versions of the manuscript, and J.R.B. and E.A. refined the ideas and wrote the final version of the paper. C.A.G. identified the *sponge-specific clusters*. All authors commented and approved of later versions of the paper.

## **Data and code availability**

All data and code will be available on Open Science Framework with an R Markdown document such that all analyses and figures can be reproduced.

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