### <sup>1</sup> High rates of apoptosis visualized in the symbiont-bearing gills

<sup>2</sup> of deep-sea *Bathymodiolus* mussels

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### 15Abstract

16Symbiosis between *Bathymodiolus* and Gammaproteobacteria enables these deep-sea mussels to live in toxic 17 environments like hydrothermal vents and cold seeps. The quantity of endosymbionts within the gill-18bacteriocytes appears to vary according to the hosts environment. We investigated the hypothesis of a control 19of the endosymbionts density by apoptosis, a programmed cell death. We used fluorometric TUNEL-method 20and active Caspase-3-targeting antibodies to visualize and quantify apoptotic cells in mussel gills. To avoid 21artefacts due to depressurization upon specimen recovery from the deep-sea, we compared the apoptotic rates 22between mussels recovered unpressurised, versus mussels recovered in a pressure-maintaining device, in two 23species from hydrothermal vents on the Mid-Atlantic Ridge: *Bathymodiolus azoricus* and *B. puteoserpentis*. 24Our results show that pressurized recovery had no significant effect on the apoptotic rate in the gill filaments. 25Apoptotic levels were highest in the ciliated zone and in the circulating hemocytes, compared to the 26bacteriocyte zone. Apoptotic gill-cells in B. aff. boomerang from the pockmarks off the Gulf of Guinea, 27show similar distribution patterns. Deep-sea symbiotic mussels have much higher rates of apoptosis in their 28gills than the coastal mussel Mytilus edulis without chemolithoautotrophic symbionts. We discuss how 29apoptosis might be one of the mechanisms that contribute to the adaptation of deep-sea mussels to toxic 30environments and/or to symbiosis.

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32Key words: symbiosis, isobaric recovery, hydrothermal vents, cold seeps, Mytilus edulis, bacteriocytes.

## 33Introduction

34 Symbiosis is of major significance to life on Earth. Because symbiosis is a mixture of cooperation and 35conflict between two (or several) partners, each of them must initiate and carry on a continued dialogue, and 36control their partners' interaction. Many symbioses involve partners from different domains of life, such as a 37eukaryote host and a bacterial symbiont. This questions how a reciprocal control can occur between so 38distantly related organisms, and whether some of the mechanisms involved might be universal. One of the 39mechanisms by which an animal host can control populations of its symbionts is apoptosis. Apoptosis is a 40programmed cell death involving three main steps: (1) nuclear condensation and fragmentation, (2) cell-wall 41budding into apoptotic bodies, and (3) their release and possible phagocytosis by neighboring cells [1,2]. 42Apoptosis plays multiple roles in normal cell turnover, during development, and in the immune system [3]. 43Its role in symbiosis is also documented: in the cereal weevil Sitophilus for example, apoptosis participates to 44 regulate the densities of the endosymbiotic bacterium Sodalis pierantonius. The symbiont provides essential 45amino acids that allow the host to rapidly build its protective exoskeleton. Endosymbionts multiply in young 46adults, but when the cuticle is built, the symbionts are rapidly eliminated through apoptosis of the host cells 47that contain them [4]. In corals, bleaching occurs in response to heat stress, when the host releases 48dinoflagellate symbionts through apoptosis and autophagy linked in a see-saw manner, such that when 49apoptosis is inhibited autophagy is initiated as a back-up mechanism, and vice-versa [5]. In addition 50apoptosis might act as a post-phagocytic winnowing mechanism in the symbiotic system [6].

At deep-sea hydrothermal vents and cold seeps, the animals that dominate in terms of biomass live in 52association with chemosynthetic bacteria, which sustain most of their nutrition [7–10], but the role apoptosis 53could play in regulating symbiosis has barely been explored. In the vestimentiferan tubeworms *Riftia* 54*pachyptila*, living at vents, and *Lamellibrachia luymesi* from cold seeps, the sulfur-oxidizing symbionts are

55located within cells of the trophosome. These cells differentiate and proliferate from the trophosome lobule 56center, then migrate towards the periphery of the lobule where they undergo apoptosis [11]. Ultrastructural 57observations at the periphery of the trophosome lobules show that the symbionts are digested in vacuoles 58leading to extensive myelin bodies, then remnants of symbionts disappear, while apoptotic nuclei with 59clumped chromatin patches occur [11]. Thus, in *Riftia* as in the weevil, apoptosis appears to be involved in 60the process of symbiont regulation to recover the metabolic investment from the symbiotic phase.

61 Deep-sea mussels house very dense populations of endosymbionts inside specialized gill epithelial 62cells, the bacteriocytes, [12,13]. In fact, Bathymodiolus constitute by far the densest microbial habitats, 63although they usually host a very limited diversity of symbiont lineages [7,8]. The relevance to the topic of 64symbiont control lies in the fact that the association is particularly flexible, with abundances of their 65symbionts (Sulfur- and/or methane-oxidizers) that can vary within hours depending on the availability of 66symbiont substrates in the surrounding water [8,14–18]. The symbionts also rapidly disappear if their 67substrates are absent [17-19]. Ultrastructural studies of the gill cells have indicated intracellular digestion of 68the symbionts within lysosomes as an important carbon transfer mechanism [20–22] suggesting that the host 69can access the energy stored in its symbionts by killing and digesting them (i.e. a process compared to 70" farming"). Enzymatic studies involving the detection of acid phosphatases have shown that some energy 71 from the symbionts can also be transferred to the host through molecules leaking from live symbionts (i.e. a 72process compared to "milking") [23].Recent results from whole-gill tissue transcriptomic analyses in the 73vent species Bathymodiolus thermophilus indicated that high symbiont loads are correlated with under-74 expression of the genes inhibiting apoptosis, suggesting that when the symbionts are abundant, apoptosis 75might be activated [24,25]. It can thus be hypothesized that apoptosis is a mechanism by which the hosts 76control the number of symbionts inside their gills, and possibly obtain their carbon. High throughput

77sequencing and transcriptomic analyses have shown the great importance of the apoptotic signaling pathways 78in the gill tissue of several species of *Bathymodiolus* [26–28].

79 Apoptosis in mollusks is generally triggered by the interaction between immune cells and parasites 80or pathogens [29]. The high degree of evolutionary conservation of biochemical signaling and executing 81pathways of apoptosis indicate that programmed cell death likely plays a crucial role in homeostasis and 82functioning of molluscan immune system [29–31]. Apoptosis is particularly complex in mollusks, and 83caspases are key molecules that are activated in two major apoptotic pathways: the extrinsic or death 84receptor pathway, and the intrinsic or mitochondrial pathway [31]. The executioner caspase-3 activates a 85heterodimer protein, the DNA fragmentation factor that is responsible for the completion of DNA 86 fragmentation [31]. However alternative caspase-independent pathways have also been evidenced in 87mollusks, and cross-talk between different pathways might also be involved [30,31]. Apoptosis was shown to 88be induced in *Bathymodiolus azoricus* in response to *Vibrio diabolicus* exposure [32]. However the immune 89gene responses in B. azoricus appeared tied to the presence of endosymbiont bacteria, as the progressive 90weakening of its host transcriptional activity correlates with the gradual disappearance of endosymbiont 91bacteria from the gill tissues during the extended acclimatization in the sulfide and methane-free aquaria 92[32]. Thus, the presence of symbionts might modulate the apoptotic patterns in deep-sea symbiotic mussels 93compared to their coastal mussel relatives without chemolithoautotrophic symbiont.

While several studies have highlighted an important activity of apoptotic signaling factors in three 95species of *Bathymodiolus (B. azoricus, B. manusensis* from hydrothermal vents, and *B. platifrons* from cold 96seeps (Bettencourt et al., 2010; Wong et al., 2015; Zheng et al., 2017)), transcriptomic studies do not give a 97visual account of this apoptotic activity within the tissues. The present study is the first microscopic 98investigation of the general distribution patterns of apoptotic cells in the gills, and the first attempt to

99quantify apoptosis in the different gill cell types of *Bathymodiolus*. We chose to focus on three species, 100namely *Bathymodiolus azoricus*, *B. puteoserpentis* and *B.* aff. *boomerang*. The first two often dominate the 101macrofauna at Mid-Atlantic Ridge (MAR) hydrothermal vent sites [33,34]. The third occurs at cold seeps 102located on the continental margin in the Gulf of Guinea [35]. The former two species are phylogenetically 103sister species and are also closely related to *B. boomerang* [12]. All three species harbor methane- and sulfur-104oxidizing bacteria that co-exist within host cells. Their gills comprise several cell types: epidermal ciliated 105cells and mucous goblet cells, bacteriocytes hosting the symbionts, interspaced by intercalary cells, and 106finally circulating hemocytes [36]. Our aim was to investigate, whether apoptosis might contribute to 107regulating symbiont densities. We thus tested, whether apoptosis preferentially occurs in bacteriocytes, in 108particular the most densely populated ones.

As the recovery of deep-sea specimens from several thousand meters depth usually involves a 110depressurization stress that might alter gene expression and disturb the cell machinery, leading to artefacts 111[37,38] we performed a comparative analysis of apoptosis in specimens recovered with and without 112depressurization upon collection. Specimens of *B. azoricus* and *B. puteoserpentis* were recovered using an 113hyperbaric chamber (PERISCOP - Projet d'Enceinte de Remontée Isobare Servant la Capture d'Organismes 114Profonds) that allows maintaining their native pressure and temperature throughout recovery, avoiding 115recovery bias [39]. Control specimens recovered without PERISCOP were included. The cold seep *B.* aff. 116*boomerang* was analyzed to identify potential seep versus vent habitat-related differences, and *Mytilus edulis* 117was used as a non-chemolithoautotrophic-symbiont-bearing control mussel. Apoptosis was visualized in gill 118tissue sections by the TUNEL method (Transferase dUTP Nick-End Labeling) [11,40,41], a common and 119standard way of visualizing the fragmented DNA in the nucleus of cells undergoing apoptosis. To further 120support that observed patterns actually correspond to apoptosis, we performed immunolocalization of active

121Caspase-3, a form of this enzyme that is the overall convergent node of molecular cascades leading to 122irreversible apoptosis in mollusks [31]. Altogether, this study provides to our knowledge the first visual 123overview of apoptosis in relation to symbiosis in chemosynthetic mussels.

124

## 125Materials and Methods

#### 126Specimen collection

127 This analysis was conducted on 40 individuals of *Bathymodiolus* (Bivalvia, Mytilidae) consisting in 12821 Bathymodiolus azoricus and ten B. puteoserpentis from hydrothermal vents, and nine B. aff. boomerang 129 from cold seeps. Specimens of *B. azoricus* were collected during the BioBaz 2013 cruise on the Mid-Atlantic 130Ridge [42]: ten specimens were sampled from the vent fields of Menez Gwen (MG2 marker: 37°50.669N; 13131°31.156W, 830m depth) and eleven specimens from Rainbow site (France 5 marker: 37°17.349N; 13232°16.536W, at 2270 m depth). B. puteoserpentis were sampled during the BICOSE 2014 cruise [43]. All 133ten specimens were sampled on the vent site Snake Pit, close to the "Elan" marker (23°22'54"N, 44°55'48' 134'W, at 3520 m depth). For these two species, half of the specimens were recovered in a standard (i.e. 135unpressurized) way, and the other half using the PERISCOP hyperbaric vessel. The standard collection was 136done in a waterproof sealed box (BIOBOX) containing local deep-sea water, in which the mussels were 137 exposed to a depressurization corresponding to the depth of their habitat during recovery (approx. 0.1 MPa 138every 10 m). The pressurized recovery was performed using a small device named the "Croco" sampling cell 139[44], which was transferred into the PERISCOP pressure-maintaining device [39]. PERISCOP was released 140 from the seafloor through a shuttle system, and surfaced within 45 to 100 minutes. Pressure was monitored

141during ascent with an autonomous pressure sensor (SP2T4000, NKE Instruments, France). To compare 142apoptosis between vent and seep mussels, nine specimens of *Bathymodiolus* aff. *boomerang* were collected 143in a standard manner from the Régab pockmark site in the Gulf of Guinea (M1 marker 5°47.89S, 9°42.62E, 1443150 m depth and M2 marker 5°47.85S, 9°42.67E, 3150 m depth) during the 2011 cruise WACS [45]. All 145three cruises were aboard the RV *Pourquoi Pas*? using the ROV *Victor 6000*. In addition to deep-sea 146mussels, nine coastal mussels (*Mytilus edulis*) in two sets were analyzed. First set were wild *M. edulis* 147collected on rocks from the intertidal seashore in front of Roscoff Marine Station in January 2014 (n=4 148individuals analyzed). The second set of *M. edulis* were cultivated mussels bought from the fishmongers in 149Paris in January 2017 (n= 5 individuals analyzed). All data concerning the specimens are shown in the 150Supplementary Table 1.

#### 151 Sample fixation, inclusion and FISH experiments

Mussel gills were dissected at 4°C, within 10 minutes after recovery (releasing pressure of the 153PERISCOP in the case of isobaric recoveries). Maximum shell length, height under the umbo, and width of 154the closed shell were measured with a caliper (see supplementary figure 1). Anterior and posterior gill 155fragments were fixed in 4% formaldehyde in sterile-filtered seawater (SFS) for 2 hours. Gills were then 156rinsed in SFS, and dehydrated in increasing series of ethanol (50, 70 and 80%, 15 min each). In the 157laboratory, gills were embedded in polyethylene glycol (PEG) distearate: 1-hexadecanol (9:1), cut into 8 μm-158thick sections using a microtome (Thermo, Germany), recovered on SuperFrost Plus slides (VWR 159International, USA), and stored at -20°C. Fluorescence *in situ* hybridization (FISH) experiments were 160performed to confirm the localization of symbionts following the protocol and using probes described 161previously [15]. The gill lamellae were sectioned ventrally, so that each filament appeared in cross-section

162with its frontal end facing externally towards mantle and shell, and its abfrontal end facing the inner gill163lamellae towards the foot. (See supplementary figure 2).

### 164*TUNEL (Transferase dUTP Nick-End Labeling)*

For the detection and quantification of apoptosis, we used the TUNEL method with the *in-situ* cell 166death detection kit following manufacturer's instructions (ROCHE, Germany). All slides were first dewaxed 167then rehydrated by immersion in decreasing series of ethanol. Tissues were rinsed with PBS 1 X and 168permeabilized by proteinase K (20µg.ml<sup>-1</sup>) to enable the binding of dUTP. Various incubation times were 169tested, and 8 min gave the best result. Slides were incubated at 37°C for 1h30 with fluorophore dUTP and 170enzyme rTdT. Slides were rinsed in 3 PBS bathes (10 min each) to remove the unfixed fluorescein-12-dUTP. 171In a last step, DNA of all nuclei was labelled with 4'6'-Diamidino-2-phenylindole (DAPI) that is 172incorporated in the SlowFade® Gold Antifade Mounting reagent (Invitrogen, USA). For each analyzed 173individual, positive and negative controls were performed on adjacent serial sections. The positive control 174involved a pre-incubation with DNase I (3U/ml, 10 min) before running the full protocol. It provokes 175artificial fragmentation of DNA and exposes 3'OH ends, which bind the green fluorochrome and leads to all 176nuclei being labelled. The negative control follows the same protocol, but omitting the rTdT enzyme. It 177enables to reveal autofluorescence of the tissues and unspecific fixation of the fluorophore.

#### 178Immunolocalization of active Caspase-3

179 Slides were dewaxed and rehydrated as for the TUNEL experiments. They were then covered in 180blocking solution (PBS 10X, 2% BSA, 0.3% triton) for 2 h at 4°C. Tissue sections were incubated with the 181rabbit polyclonal active anti-Caspase-3 primary antibody (directed against a peptide from the P17 fragment 182of the activated human/mouse Caspase-3) (R&D system, USA). This primary incubation lasted 2 hours at

1834°C. Slides were rinsed in PBS 10X three times and covered with the secondary antibody: Alexa Fluor 488184labelled goat anti-rabbit (Invitrogen, dilution 1:500) for 1 h at room temperature. After 3 baths in PBS 10X,
185slides were mounted with SlowFade®. Negative controls were obtained by omitting the primary antibody.

#### 186*Image acquisition and analysis*

187 Slides were observed under a BX61 epifluorescence microscope (Olympus, Japan), and pictures 188were taken under an SP5 confocal (Leica, Germany) at the x40 magnification. This magnification enabled a 189clear resolution to count each individual labeled nucleus. Entire cross-sections of the TUNEL-labelled gill 190filaments were covered in full in about 3 to 4 pictures (figures 1 and 2). The exposure time (or gain on the 191confocal) was standardized and identical for all pictures. The 3D-acquisitions were obtained by acquiring 192images every 0.5 µm throughout the thickness of the section. Slides were observed at 505 nm and 555 nm 193wavelengths (TUNEL and Caspase 3 signals, respectively), and 400 nm (DAPI). Classical immuno-194localization are shown with all labeling in their original color (DAPI in blue), but for TUNEL labelled nuclei 195we had to change the DAPI color into red. Indeed blue DNA-labelling overlaid by green (i.e. apoptosis 196TUNEL labeling) leads to a complex mixture of various levels of green and blue, often making quantitative 197 interpretations difficult. To enable an easy quantitative analysis of the TUNEL images, we chose to visualize 198DAPI in red, so that a double labelling would lead to a third distinct color (yellowish). Thus, a TUNEL-199labelled nucleus appears bright green when its amount of fragmented DNA is great (i.e. when a nucleus is in 200an advanced state of apoptosis), but yellow or orange, when its amount of fragmented DNA is respectively 201 low or very low (i.e. when a nucleus is in an early state of apoptosis). At first, we counted green and 202yellowish nuclei separately, but as they both expressed apoptosis, and early and advanced states were 203randomly distributed in the gill lamellae (figures 1 and 2), we finally pooled them together.

204**Figure 1: FISH and TUNEL labelling on gill filaments of** *B. azoricus.* A: Transverse section of gill filaments with FISH labelling. 205Nuclei from host tissue in blue (DAPI); sulfur-oxidizing symbionts in pink, and methane-oxidizers in green. B, C and D TUNEL-206labelled nuclei in green, DAPI staining in red. Arrows point to apoptotic nuclei. B: Ciliated zones often contain many labelled nuclei. 207C: Bacteriocyte zone that displays many apoptotic nuclei (arrows), apoptotic hemocytes (arrowheads) and an apoptotic cell detached 208from the basal lamina (circle). D: Abfrontal zone of the gill filament showing apoptotic nuclei in cells with no symbiont (arrows), 209and apoptotic cells detached from the basal lamina (circles). Abbreviations: BZ: Bacteriocyte zone; FCZ: Frontal Ciliated Zone; H: 210Hemolymph zone; IFCJ Inter-Filamentary Ciliated Junction. Scale bars: 50μm.

212Figure 2: TUNEL labelling on gill filaments of *B. puteoserpentis.* TUNEL-labelled nuclei in green, DAPI staining in red. A: 213Frontal ciliated zones often contain many labelled nuclei. B: Bacteriocyte zone that displays only a few apoptotic nuclei. C: Inter 214Filamentary ciliated Junction often contain many labelled nuclei. D: Abfrontal zone of the gill filament with apoptotic nuclei. 215Abbreviations: BZ: Bacteriocyte zone; FCZ: Frontal Ciliated Zone; H: Hemolymph zone; IFCJ Inter-Filamentary Ciliated Junction. 216Scale bars: 50µm.

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Images were analyzed by counting the labelled nuclei using the free Image J software [46]. However 219care was taken to count separately each respective cell-type: hemocytes, ciliated cells and finally 220bacteriocytes and intercalary cells (the two latter being close neighboring cell types, hard to distinguish 221under the fluorescence microscope, they were counted together) (figure 1 and 2). Thus for each image, 222percentages of TUNEL-labelled nuclei were obtained from the hemolymph zone (HZ), the ciliated zone 223(CZ), and the bacteriocyte zone (BZ) by calculating the ratio between the number of TUNEL-labelled nuclei 224and the number of DAPI-labeled nuclei present in each respective zone. A fourth value, the total percentage 225for the whole picture, was obtained by summing all labeled nuclei (whatever their cell-type) on the total 226number of DAPI-stained nuclei in a given picture.

#### 227Comparisons between species, zones and treatments

The percentage of TUNEL nuclei labelled was used for all analyses after an Arcsine transformation 229[47]. The normality of datasets was tested (Shapiro-Wilk test), which revealed a non-normal distribution of 230the data. Non-parametric tests were thus applied for inter-groups comparisons (Mann–Whitney–Wilcoxon 231and Kruskal–Wallis) with the Bonferroni correction for multiple comparisons. All statistical analyses and 232graph plots were performed using R (R Development Core Team, version 3.3.3). 233

### 234Results

All analyses were performed on transverse sections of the gill lamellae (supplementary figure 2). All analyses were performed on transverse sections of the gill lamellae (supplementary figure 2). All analyses were performed on transverse sections of the gill lamellae (supplementary figure 2). All analyses were performed on transverse sections of the gill lamellae (supplementary figure 2). All analyses were performed on transverse sections of the gill lamellae (supplementary figure 2). All analyses were performed on transverse sections of the gill lamellae (supplementary figure 2). Supplementary figure 2). All analyses were performed on transverse sections of the figure 1 and large and a supplementary figure 2). All analyses were performed on transverse sections of the figure 1 and large and the provide the symbiont density also appears with the DAPI staining, as this labels not only the host nuclei, but analyses the DNA of the bacterial symbionts.

### 241Visualization of apoptosis in Bathymodiolus

The distribution of TUNEL positive cells in *B. azoricus* and *B. puteoserpentis* are shown in Fig. 1 243and 2, respectively. In negative controls (supplementary figure 3B), no fluorescence at all was observed 244compared to positive controls (supplementary figure 3A), except a bright auto-fluorescence signal seen in 245clustered round granules. These granules are thought to correspond to mucus droplets present in goblet-cells 246interspersed among the ciliated cells and along the epithelium of the gill filaments. This autofluorescence 247signal was easy to distinguish from TUNEL signal, as the latter was typically much smaller and brighter. 248Nuclei labelled in the TUNEL experiments were more or less bright. As TUNEL labels the free 3'OH 249fragments, we assume that the strongly labelled nuclei showed a higher amount of DNA fragments compared 250to the weakly stained ones. Since apoptosis is a progressive process occurring within a few hours, we 251hypothesize that the former were in a more advanced apoptotic state. Both weak (orange or even yellow) and

252strong-labelled nuclei (green) were thus counted together to estimate the percentage of apoptotic nuclei in the 253various cell-types of the gill lamellae (ciliated zone, bacteriocyte zone and hemolymph) (figure 1 and 2).

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The ciliated frontal zone often is the brightest labelled zone with many strongly labelled cells: up to 258one cell out of two in some filaments (Fig. 1B, 2A) giving a clustered appearance of the apoptotic cells in the 259frontal ciliated zone. However the closest neighbor filament may sometimes only have a few or no labelled 260cells at all, indicating a great spatial variability in the tissue taken all together. Hemocytes were present on all 261images, but in varying numbers. Many hemocytes are visible on figure 1C for example, compared to figure 2621B, but more of them were labelled in the latter. TUNEL-labelling in the bacteriocyte zone was 263heterogeneous: very few nuclei were labelled close to the frontal ciliated zone (figure 1B and figure 2A), and 264then increasingly more were labelled when reaching the abfrontal zone (figure 1D and figure 2D). In the 265abfrontal zone, the bacteriocytes were most of the time devoid of bacteria. Sometimes, a bacteriocyte seemed 266to be lacking in the abfrontal zone, leaving a "hole" in the epithelial tissue (figure 1C-D and figure 2 B-D).

Active Caspase 3 immunohistochemistry assays yielded strong signals within the cytoplasm of cells, 268and particularly concentrated around the nuclei (figure 3). On serial sections within the same individual, the 269distribution patterns of active Caspase-3 were similar to the TUNEL signal observed, confirming that 270TUNEL actually revealed apoptotic cells. In general, the active Caspase-3 antibody seemed to label more 271nuclei than TUNEL.

#### 272Patterns of apoptosis in Bathymodiolus azoricus and B. puteoserpentis

In total, 31 individuals from the two species were analyzed, representing a total of 19,612 DAPI-274labelled nuclei counted on 206 images. The specimens came from deep-sea sites located at different depths,

<sup>254</sup>Figure 3: Active Caspase-3 labelling in the gill of *B. azoricus*. Typical labelling in green surrounds the nucleus (arrows). DAPI 255signal in blue. Scale bar: 50μm.

275namely Menez Gwen (-830 m), Rainbow (-2270 m) and Snake Pit (-3520 m). Sampled specimens were 276either recovered unpressurised (non-isobaric) or with a pressurized (isobaric) recovery. In the latter, 277PERISCOP reached the surface while retaining 83.6, 76.5 and 70.4% of the native deep-sea pressure at the 278Menez Gwen, Rainbow and Snake Pit sites, respectively.

279 First of all, comparing the global counts in gills of all individuals from all three sites, we noted that 280there is a great variation in the percentage of apoptotic nuclei among individuals (see supplementary figure 4 281A-C). This strong heterogeneity indicates that results of statistical analyses must be treated with caution. In 282the ciliated (CZ) and bacteriocyte zones (BZ), the percentage of TUNEL-labelled nuclei was not 283significantly different among the three MAR sites and the two recovery types (CZ: Kruskal-Wallis test chi-284squared =6.07, df=5, p-value=0.30; BZ: Kruskal-Wallis test chi-squared=3.69, df=5, p-value=0.60) (See 285 figure 4 for BZ and supplementary figure 5 A for CZ). Similarly, the hemolymph zone showed no significant 286difference among sites and recovery types (HZ: Kruskal-Wallis test chi-squared=10.74, df=5, p-287value=0.05678) (supplementary figure 5 B). These quantitative results confirm the visual patterns and 288intensities of TUNEL-labelling in gill filaments of mussels that did not reveal any self-evident difference 289between specimens from the three sites in either recovery type. For all specimens put together, 290quantifications indicated median values of 41.3% (±27%) and 34.5% (±31%) labelled nuclei in the ciliated 291and hemolymph zones, respectively. In comparison, the bacteriocyte zone displayed only 19.3% (±24%) of 292nuclei that were labelled, (figure 5) which is significantly less than in the other two (Kruskal-Wallis test chi-293squared=27.639, df=2, p-value<0.001, post-hoc test with Bonferroni correction between HZ and BZ, p-294value=0.0002; between CZ and BZ, p-value <0.0001).

<sup>295</sup>Figure 4: Percentages of apoptotic nuclei in the bacteriocyte zone of *B. azoricus* (Ba) and *B. puteoserpentis* (Bp) from the 296three sites. White boxplots indicate specimens from non-isobaric, and grey from isobaric recoveries, respectively. Percentages were 297not significantly different (a) in pairwise Wilcoxon test with Bonferroni's standard correction. The letter *n* indicates the number of 298individuals analyzed for each species. Boxplot whiskers correspond to minimal and maximal values on a single image, the line inside 299the box is the median, and the upper and lower frames of the boxes represent the first and third quartile respectively. 300

301Figure 5: Percentages of apoptotic nuclei in the hemolymph, ciliated and bacteriocyte zones of *B. azoricus* and *B.* 302*puteoserpentis.* Different letters (a,b) indicate the plots that are statistically different (Wilcoxon test) and n indicates the number of 303specimens. Boxplot whiskers indicate minimal and maximal values on a single image, the line inside the box is the median, and the 304upper and lower frames of the boxes represent the first and third quartile respectively. 305

### 306Patterns of apoptosis in the seep species Bathymodiolus aff. boomerang

307 TUNEL labelling was applied to the gill filaments of *Bathymodiolus* aff. *boomerang* 9 specimens, 40

308pictures representing 3,424 nuclei) (figure 6 A). Percentages were significantly different between zones

309(Kruskal-wallis test: chi-squared=25.00, df=2, p-value<0.0001). As in the two studied vent species, apoptosis

310was more frequent in the ciliated zone (median: 36%,  $\pm 23\%$ ) and then in the hemocytes zone (median: 21%

 $311\pm9\%$ ), compared to the bacteriocyte zone (median:  $9\%\pm23\%$ ). Overall these results suggest similar patterns

312in Mytilidae from hydrothermal vents and cold seeps (figure 7). Visually, the density of bacteria inside

313bacteriocytes seems to be more constant between bacteriocytes located in the frontal and in the abfrontal

314distal edge in *B*. aff. *boomerang* compared to the two vent mussels.

315Figure 6: TUNEL labelling on gill filaments of *Bathymodiolus* aff. *boomerang* (A) and *Mytilus edulis* (B-C). TUNEL-labelling 316in green or yellow, DAPI staining in red. A: *Bathymodiolus* aff. *boomerang* displays many labelled nuclei mostly in the frontal 317ciliated zone. B-C: *Mytilus edulis* gills show very few TUNEL-labelled nuclei, two are visible in the insert (C). Same abbreviations 318as in figure 1. Scale bar: 50µm.

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320Figure 7: Percentages of apoptotic nuclei compared between vent and seep species in the ciliated, hemolymph and 321bacteriocyte zones of *B. azoricus*, *B. puteoserpentis* and *B.* aff. boomerang (Ba, Bp and Bb, respectively). The letter *n* indicates 322the number of specimens. Boxplot whiskers indicate minimal and maximal values on a single image, line inside the box is the 323median, the line inside the box is the median, and the upper and lower frames of the boxes represent the first and third quartile 324respectively.

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### 326Patterns of apoptosis in the gill of coastal Mytilus edulis

327 Gill tissues of *Mytilus edulis* were labelled by the TUNEL method (9 specimens from two different 328localizations, 28 images, 4,091 nuclei). Both the wild mussels, collected on the intertidal rocks near the 329marine station in January and the commercial mussels bought in Paris in January, gave the same distribution

330pattern of apoptotic cells. The number of labelled nuclei was very low in comparison to vent and seep 331mussels (figure 6B) (median: 1.6%,  $\pm$ 1.6%) and nearly the same percentage between pictures. In *M. edulis*, 332the majority of labelled nuclei were hemocytes (median: 6.9%,  $\pm$ 10.9%) compared to the epidermal cells 333(median: 0.9%,  $\pm$ 1.4%).

334

## 335Discussion

#### 336Relevance of TUNEL labelling to the study of apoptosis in deep-sea mussels

During apoptosis DNA undergoes fragmentation, and the TUNEL assay labels the free 3'OH ends assthat are generated. However, other mechanisms can lead to DNA fragmentation that would result in a asspontive TUNEL labelling, and for this reason the TUNEL methodology has been criticized [48–50]. It is adonevertheless a standard procedure that has already been applied in mollusk tissues, and in association with atlactive caspase-3 immunolocalization to detect apoptosis in the chemosymbiotic bivalve *Codakia orbiculata* at2[40,51]. Caspase-3 exists within the cytosol as inactive dimers. All apoptotic pathways in mollusks converge ad3towards a step of cleavage of this zymogen that ultimately results in the triggering of apoptosis [31,52]. at4Although it may have other roles, the activation of Caspase-3 usually leads to cell death by apoptosis. ad5Because other caspases activate necrosis and necroptosis pathways, the occurrence of active Caspase-3 ad6signals rather supports that most observed TUNEL signals actually correspond to apoptotic nuclei [53]. It ad7should however be kept in mind that another apoptotic pathway exists that is Caspase-independent, as it can ad8take place without activating Caspase-3 [54,55].

#### 349Deep-sea mussels display high rates of apoptosis in their gills

350 Recovery stress has long been cited as a factor that may prevent accurate assessment of the 351physiology of deep-sea organisms [39,56,57]. In this study, we found no statistical difference between 352percentages of apoptotic cells between gills from specimens of Bathymodiolus azoricus and B. 353puteoserpentis recovered classically (non-isobaric) and those recovered using the isobaric sampling cell 354PERISCOP. Thus depressurization during recovery does not seem to trigger massive apoptosis in the gills, 355despite that depressurization, by disrupting cells, may alter the distribution of different actors of apoptosis 356that pass through different cell compartments (mitochondria, nucleus, cell membrane) [39]. No differences 357were observed between hydrothermal vent sites, whatever their depth, further suggesting that apoptosis is a 358natural phenomenon in the gills of deep-sea mussels. Estimates of rates of apoptosis based on TUNEL 359labelling were much higher in the gills of deep-sea mussels including the seep species B. aff. boomerang, 360compared to their coastal relative Mytilus edulis lacking chemolithotrophic symbionts. This comparison has 361been made with two different sets of Mytilus: wild and cultivated, yielding the same scarce TUNEL-362distribution pattern. At this stage it is not possible to ascertain, whether the much higher levels measured in 363Bathymodiolus are linked with their deep-sea chemosynthetic habitats (for example due to the abundance of 364xenobiotic compounds and oxidative stressors), with the occurrence of symbionts, or with their different 365evolutionary history.

#### 366Patterns and potential roles of apoptosis in deep-sea mussels

A major finding in this study is that rates of apoptosis vary considerably among *Bathymodiolus* 368individual specimens, contrary to *Mytilus*, for a similar investigation effort. This could reveal distinct 369physiological status among the *Bathymodiolus* at the time of the analysis. Nonetheless, it should be 370underlined that apoptosis is known to be a rapidly occurring mechanism, in a time range of only a few hours 371[1]. The distribution of apoptotic cells in a tissue often shows a clustered pattern, as for instance in the

372mammalian intestine, where apoptotic signal appears typically confined to a cluster of neighboring villi, 373 while other areas of the mucosa appear unstained [41]. A sporadic distribution might ensure a local recycling 374of the organ, without harming its global function, and might indeed be a characteristic of the apoptotic 375phenomenon. In the case of *Bathymodiolus*, gills often showed a few TUNEL-positive cells in some cross-376sections, and even clusters in the frontal ciliated area of some filaments, but none in the frontal zone of 377neighboring filaments. This clustered pattern may also contribute to the observed heterogeneity among our 378 individuals. Anyway, the great inter-individual variation prevents from drawing any definitive conclusion, 379even based on statistical tests of which the results have to be treated with caution. Nevertheless, a clear trend 380is that the rates measured in the bacteriocyte zone are lower than in the ciliated and hemocyte zones. The 381very high rates of apoptosis in the ciliated gill cells, in particular compared to M. edulis, are intriguing 382because these cells do not contain chemolithotrophic symbionts. The gills of *Bathymodiolus* are clearly 383different from those of the coastal mussels for which, at any given size, the gill surface area is around 20 384times smaller [8]. Bathymodiolus gills are particularly thick and over-developed, which might lead to a 385higher metabolic demand in order to properly circulate water and vital compounds. Ciliated cells also display 386very abundant mitochondria [8,13,58]. One hypothesis could thus be that the great metabolic activity and 387numerous mitochondria produce large amounts of Reactive Oxygen Species (ROS), resulting in oxidative 388stress that may lead to increased apoptosis [59]. A possible adaptation would be to increase the turnover rates 389of the ciliated cells. To achieve this, high rates of cell proliferation could be expected, and this has to be 390tested. Another hypothesis is linked with fluid toxicity and the direction of water flow in the gills. Ciliated 391cells are indeed the first exposed to the surrounding fluid, and thus exposed to the highest levels of reduced 392 compounds including toxic sulfur and various metals that possibly trigger apoptosis [60]. This may also 393explain why symbionts tend to be more abundant in the bacteriocytes that are close to the regions that are 394more exposed to their substrates (mainly methane and sulfide), since these compounds might be more easily

395accessible to symbionts first in the frontal zone compared to the abfrontal zone, sustaining bacterial growth. 396Among deleterious compounds, copper ions were for example recently hypothesized to cause cell apoptosis 397in *Bathymodiolus azoricus*. Cadmium was shown to cause apoptosis on isolated *Crassostrea gigas* 398hemocytes after 24h *in vitro* incubation in the range of 10-100  $\mu$ mol.L<sup>-1</sup> [61]. Gills of mussels are known to 399accumulate metals, and *Bathymodiolus azoricus* exposed to cadmium display antioxidant enzymatic 400activities that may be partly due to the endosymbionts. These could contribute to protect bacteriocytes, but 401not the symbiont-free ciliated cells, resulting in higher apoptosis rates in the latter [62]. *In vivo* incubation 402experiments at *in situ* pressure would be necessary to test these oxidative stress and fluid toxicity hypotheses.

403 Apoptosis of circulating and resident hemocytes have a high baseline level in mollusks [29], and we 404estimated that 34.5 % of the hemocytes were apoptotic in *B. azoricus* and *B. puteoserpentis*. The immune 405system of mussels relies on innate immunity only, and hemocytes play a key role in the immune response. 406They are also suspected to have a role in detoxification [58]. In *Crassostrea virginica*, the most common 407apoptotic cell type is the hemocyte. The apoptotic index for *Crassostrea* hemocytes, calculated the same way 408as herein, but using another visualization method (Apoptag), ranges between 23 and 99% with a mean of 40946% [63]. In another study, *Crassostrea virginica* displayed between 4.5% and 15.3% of apoptotic 410circulating hemocytes (Annexin-V assay) [64]. These results are congruent with the rates observed in this 411study, and could reveal overall high apoptosis rates in the hemocytes of bivalves, visually confirming the 412importance of apoptosis in the innate immune system.

Despite the fact that apoptosis rates are high in *Bathymodiolus* bacteriocytes compared to those in 414*M. edulis* epithelial gill-cells, they are only roughly half of those measured in ciliated cells and hemocytes. 415Results from transcriptomic studies and their subsequent interpretation by other authors led to the hypothesis 416that apoptosis was the main mechanism that allowed mussels (initially *Bathymodiolus thermophilus*) to

417control the amount of symbionts in their gills. The underlying idea was that bacteria-filled bacteriocytes 418would undergo apoptosis in a way similar to that observed in the tubeworm *Riftia pachyptila*, in which this 419mechanism participates to the recovery of symbionts carbon, and recycling of the animal cells [11]. On the 420contrary, our observations show that the bacteriocytes that contain only a few, or no bacteria at all, are 421mainly those that enter into apoptosis. Lower levels of apoptosis in bacteriocytes, in particular in those where 422symbionts are the most abundant, could be explained by the bacterial protection concerning the toxic 423compounds, which could provoke apoptosis of the cell. This could explain why cells with fewer bacteria, 424mostly located in the distal (i.e. abfrontal) edge away from the ciliated zone and possibly most depleted in 425symbiont substrates, would preferentially enter apoptosis, although this is merely hypothetical at this stage. 426In bacteriocytes near the ciliated zone, bacteria are most probably digested [21,23,65].

Overall, it appears that high rates of apoptosis are a normal feature in deep-sea mussel gills 428physiology. Rather than being a direct mechanism used to kill the most bacteria-rich cells in order to gain 429carbon, apoptosis seems to be involved in the overall dynamics of the gill organ itself. Indeed, observed 430patterns indicate that the cells harboring few to no bacteria are more often apoptotic. This can be interpreted 431in the light of gill specialization to symbiosis, with over-developed gills requiring stronger cilia activity, 432which possibly leads to higher turnover of ciliated cells, and habitat characteristics including the presence of 433toxic compounds. Apoptosis mechanisms are known to play major roles in other symbioses [4,5,11,66]. For 434example *Codakia orbiculata*, a shallow water bivalve that hosts Gammaproteobacteria in its gills can lose 435and reacquire symbionts. After loss of the symbionts, the bacteriocytes multiply massively to reacquire 436bacteria, while the cells in excess are eliminated by apoptosis [40]. A similar mechanism may be occurring in 437mussels. At first, apoptosis could appear as a heavy cost for the host, especially given that it may endanger 438the whole organ. However, neighboring cells could phagocytose the cells that undergo apoptosis, allowing 439recycling of their constituents. Apoptotic cells also tend to detach from the basal lamina, and once detached 440they may be treated as food particles by the mussels, again allowing recycling. The correlation observed in 441previous transcriptome analyses [25] between apoptotic rates and overall symbiont content may then be 442indirect: hosts with more bacteria tend to have larger gills [8], and thus more active ciliated cells, so overall 443more cells in apoptosis. High rates of apoptosis should thus be regarded as an integral part of the adaptation 444of deep-sea mussels to their symbioses and their habitats, and visualization pattern indicates that apoptosis 445probably plays more complex roles than previously assumed. This study has only investigated adult 446specimens, but because mussels acquire their symbionts in their post-larval stage and throughout the host 447lifetime [67,68], the coupling of symbiont acquisition with apoptosis should be explored at all developmental 448stages. Further study should also investigate the impact of stress (toxic compounds, temperature) and those 449of symbiont loss (when *Bathymodiolus* is moved away from the fluids compounds [18,69]) on the rates of 450apoptosis, as well as cell proliferation patterns. Because of the relative simplicity of the mussel holobiont, its 451high bacterial load and high levels of apoptosis, deep-sea mussels may prove useful models to further 452investigate the links between apoptosis, autophagy and symbiosis.

453

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468

### 469Author's contribution:

470B.P did the histology work, the microscopy imaging, the statistical analyses and wrote a first version of the 471paper. B.S. performed the isobaric mussel collections with the PERISCOP pressure-maintaining device 472during the two cruises (BioBaz 2013 and BICOSE 2014). F.L. enabled the collections of deep-sea mussel 473during the BioBaz 2013 cruise. S.D fixed the mussels during the WACS 2011 and BioBaz 2013 cruises and 474supervised BP's analyses in the AMEX-team in Paris. AA fixed the *M. edulis* and contributed to BP's 475histology work in the ABICE-team in Roscoff. S.D and AA are the collaborative designers of the study. 476S.D., A.A. and B.P. wrote the latest version of the paper, all authors have read and corrected its final draft.

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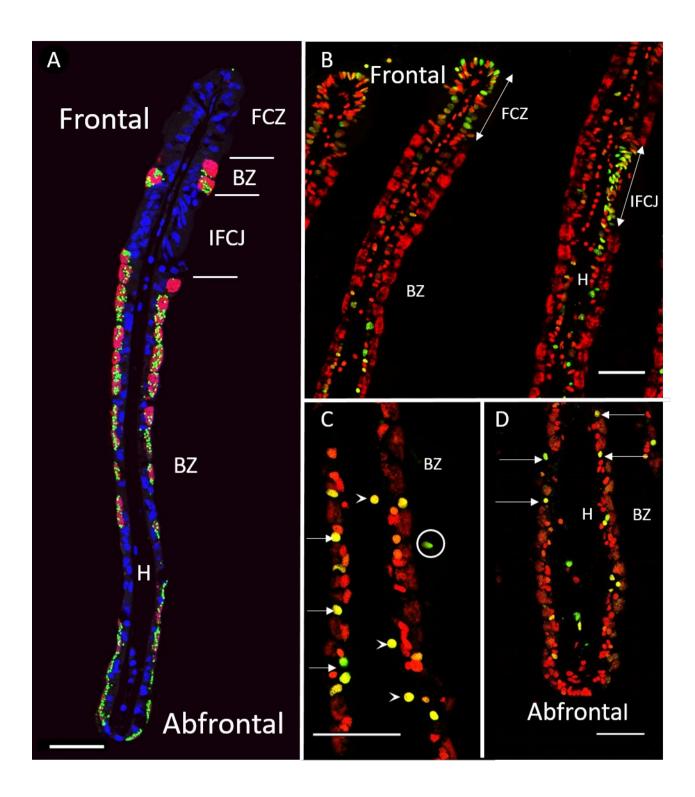
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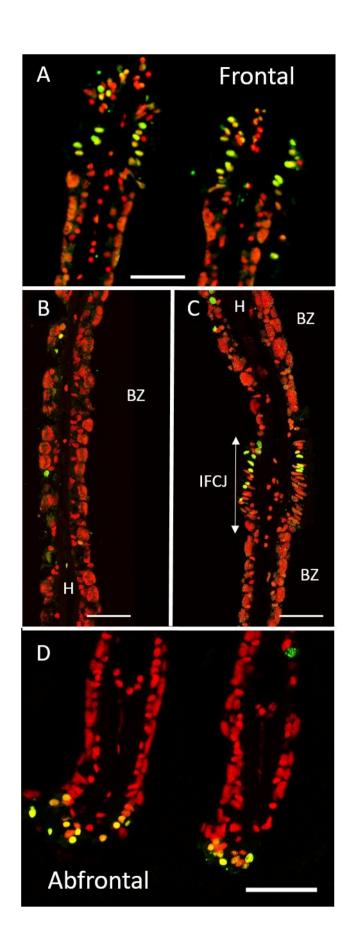
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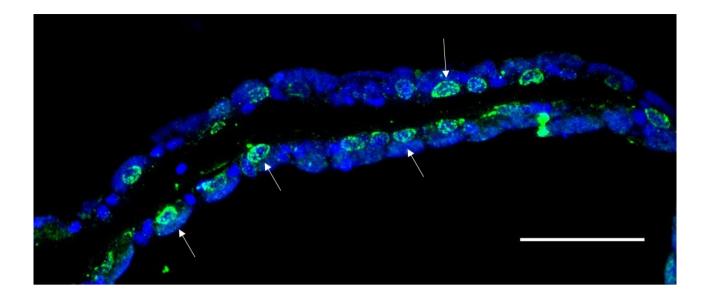


653Figure 1

655Figure 2



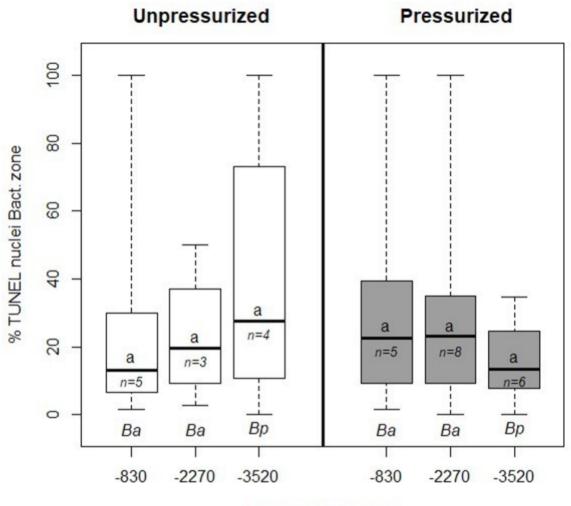




#### 658Figure 3

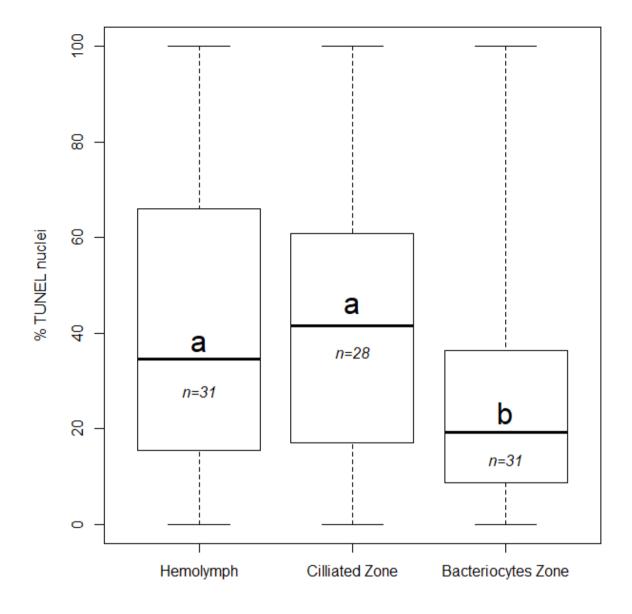


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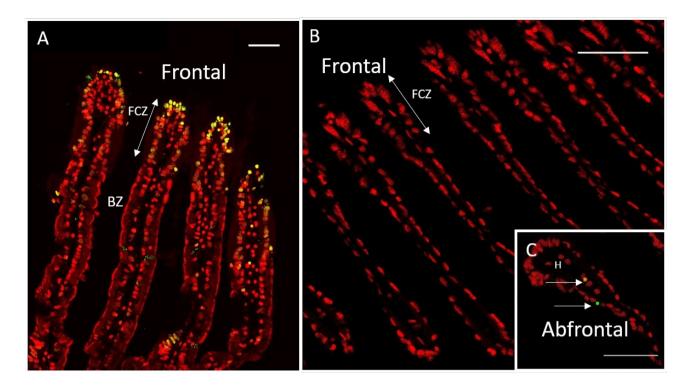
Depth of the sites (m)

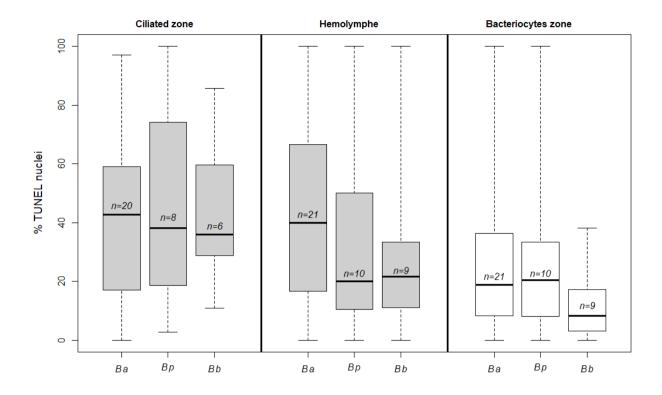
664Figure 4



667Figure 5

669Figure 6





671Figure 7