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2	Discovery Articles
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5	Genetic continuity in the last seven Millennia in human hepatitis B viruses
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19	Keywords:
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21	
22	Abstract
23	Hepatitis B virus (HBV) is a major human pathogen and yet the evolution history of
24	HBV has largely remained uncertain. With a better theoretical understanding of
25	genetic diversity, we here used a new method to examine the previously published
26	ancient and present day HBV genomes. We identified an informative region in the
27	HBV polymerase that is slow evolving and used it to study genetic distances among
28	HBVs. Three ancient human HBV isolates from 4488-7074 years ago in Germany
29	were identified as genotype G that is also presently common in the same country. We
30	constructed a new phylogenetic tree of HBVs that placed genotype D as the most
31	basal branch with an inferred age of ~20500 years, which is remarkably consistent
32	with the worldwide distribution and a most parsimonious migration route of HBV
33	genotypes today. These results help resolve the evolutionary history of HBV and
34	provide a useful method for studying the phylogenetics of HBV and other viruses in
35	general.
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37	

38 Introduction:

39 Hepatitis B virus (HBV) is a major cause of human hepatitis and related diseases (http://www.who.int/mediacentre/factsheets/fs204/en/). The origin and evolution of 40 41 HBV has largely remained uncertain, like most viruses. HBV has a circular, partially 42 double-stranded DNA genome of about 3.2kbp that encodes four overlapping open 43 reading frames (P polymerase, pre-S/S envelope, pre-C/C core protein, and X). At 44 least 8 genotypes (A-H) based on nucleotide sequence similarity are classified for 45 human HBV and they have a heterogeneous global distribution (Castelhano, Araujo, 46 Arenas 2017). The putative basal genotypes F and H are found exclusively in the 47 Americas, thus inconsistent with the notion that HBV co-evolved with modern humans 48 as part of the Recent Out of Africa hypothesis. Yet, HBVs in non-human primates 49 (NHP), such as chimpanzees and gorillas, are phylogenetically related to human HBV 50 isolates, seemingly supporting the idea of an Africa origin of the virus (Locarnini et al. 51 2013: Souza et al. 2014).

52 Recently, a number of ancient HBV genomes have been uncovered from human 53 skeletons found in Europe and Asia that are between approximately 500-7000 years 54 ago (Kahila Bar-Gal et al. 2012; Krause-Kyora et al. 2018; Muhlemann et al. 2018). 55 While most of the relatively younger HBV genomes (<4488 years ago) were closest to 56 present day human HBVs, all three oldest HBV samples found in Germany (between 57 4488-7074 years ago) were unexpectedly closest to chimpanzee or gorilla HBVs and 58 hence considered extinct today. The finding challenges expectations as HBV today 59 must have an ancient ancestor which must have infected a large population in the 60 past to have a chance to survive to the present. As large populations have a greater 61 probability of having some of its remains discovered today, the probability of 62 discovering an ancestor of today's human HBVs should be much greater than that of finding a now extinct ancient human HBV sample. Thus, the unusually high rate of 63 64 discovering ancient human HBV samples that are now extinct (3 independent 65 samples in 3 different archaeological sites) indicates potential flaws in the 66 phylogenetic method employed, especially given that existing methods have yet to 67 produce a consistent evolutionary history of the HBVs. Importantly, the theoretical 68 framework underlying the existing methods, the neutral theory, has been widely 69 known to be inadequate as an explanatory theory of the observed genetic diversity 70 patterns (Kreitman 1996; Ohta, Gillespie 1996; Hahn 2008; Leffler et al. 2012; Hu et al. 71 2013; Kern, Hahn 2018). It is unfortunate that existing phylogenetic methods have 72 relied heavily on the neutral theory being a valid interpretation of nature. 73 Different positions in a viral genome are known to have different mutation rates. 74 The fast changing sites in influenza virus play adaptive roles in escaping host immune 75 defense and undergo constant and quick turnovers (Shih et al. 2007). The antigenic 76 sites in human influenza A virus mutate and turn over quickly (several times within a 77 30 year period), which is critical for the virus to escape host immune defense and 78 hence for flu epidemics. In contrast, other sites stayed largely unchanged within the 79 same period. The influenza results illustrate two general points with regard to 80 evolutionary dynamics of a genome that have so far been overlooked. First, fast evolving or less conserved DNAs are also functional rather than neutral as they are 81

82 essential for quick adaptive needs in response to fast changing environments.

83 Second, fast evolving DNAs turn over quickly and can be shown to violate the infinite

sites model. Hence, they cannot be used for phylogenetic inference involving

evolutionary timescales. If one uses the fast changing sites in a flu virus to infer the

86 phylogenetic relationship of the virus isolates responsible for different epidemics in a

87 past period of say 10 years, one would have reached the erroneous conclusion that

each epidemic was caused by a distinct type of flu virus with no genetic continuityamong them rather than just minor variations of the same type.

90 For short term lineage divergence that has yet to reach saturation for the fast 91 changing sites, both fast and slow changing sequences could be informative to 92 phylogeny. However, for evolutionary timescale where divergence in fast changing 93 sites have reached saturation, only the slow sites (the slow clock method) could be 94 informative, as has been previously shown and explained by the maximum genetic 95 diversity (MGD) hypothesis (Huang 2012; Hu et al. 2013; Huang 2016; Yuan, Huang 96 2017; Yuan et al. 2017). The MGD hypothesis has recently solved the longstanding 97 puzzle of genetic diversity (Huang 2009; Huang 2016) and made it now possible for 98 the first time to realistically infer phylogenetic relationships based on genetic diversity 99 data. It has now been demonstrated that genetic diversities are mostly at saturation 100 level (Yuan et al. 2012; Yuan et al. 2014; Zhu et al. 2015a; Zhu et al. 2015b; Gui, Lei, 101 Huang 2017; He et al. 2017; Lei, Huang 2017; Lei et al. 2018; Teske et al. 2018), which 102 therefore renders most of the past molecular results invalid since those results were 103 based on mistreating saturated phases of genetic distance as linear phases. Only 104 slow evolving nuclear sequences are still at linear phase and hence informative to 105 phylogenetic relationships.

Here, we investigated the genetic relationships among the ancient HBVs and present day human HBVs using the slow clock method. We found that all three ancient HBV samples that were thought to group with NHP isolates in fact grouped with human HBVs. We also constructed a new phylogenetic tree of the human HBV genotypes, which is remarkably consistent with their distribution patterns.

111

112 Results

113 Identity analyses in nucleotide and amino acid sequences

114 We selected 3 ancient HBV genomes from Germany for analyses here, 7074 year 115 old Karsdorf from LBK culture in Lower-Saxony, 5353 year old Sorsum from Funnelbeaker culture from Lower-Saxony, and 4488 year old RISE563 from Bell 116 117 Beaker culture in Osterhofen-Altenmarkt (Krause-Kyora et al. 2018; Muhlemann et al. 118 2018). The other Bronze age samples were not studied as one (RISE254) was very 119 close to RISE563 and the others had many sequence gaps. In nucleotide identity, the 120 three ancient samples were all closer to each other than to any present day samples, 121 and the highest identity was between Sorsum and RISE563 (Table 1). 122 123 124

125

126 Table 1. Nucleotide identity among the three ancient HBV genomes

127

Samples	Nucleotide identity								
Samples	Karsdorf	Sorsum	RISE563						
Karsdorf		94.8%	93.0%						
Sorsum			95.1%						
RISE563									

128 Gaps not included in the identity calculation.

129

We searched the Genbank protein database to identify the closest present day 130 131 HBV genome to the ancient HBVs in amino acid identity in the polymerase, the largest 132 open reading frame in the HBV genome (832-845 amino acids). Upon identifying the closest, we also examined its identity to the ancient HBV in other proteins, pre S, X, 133 134 and core proteins (Table 2). Present day HBV isolates closest to the ancient HBVs in 135 nucleotide identity were not found to be the closest in amino acid identity in the polymerase. For example, the Karsdorf sample was closest to a chimpanzee HBV 136 137 (accession AB032433) in nucleotide sequence but a human HBV (HE981175, 138 genotype G) in amino acid sequence in the polymerase. However, in other proteins, 139 the closest to the ancient HBVs were all present day NHP HBVs (Table 2). 140

141 Table 2. Amino acid identities in the polymerase among ancient and present day

142 HBV genomes.

143

Proteins	Species	Karsdorf (70	074)	Sorsum (53	Sorsum (5353)		RISE563 (4488)	
FIOLEIIIS	opecies	Access.	Identity	Access.	Identity	Access.	Identity	
	Human	HE981175	92.9%	EU239218	92.5%	EU239218	90.8%	
	Chimp.	<u>AB032433</u>	92.6%	<u>AB032433</u>	92.5%	AB032433	90.9%	
	Gorilla	AJ131567	90.6%	AJ131567	90.6%	AJ131567	89.4%	
Delumerece	Orangutan	AF193863	88.2%	AF193863	88.3%	AF193863	87.4%	
Polymerase	Gibbon	AJ131571	91.3%	AJ131571	89.8%	AJ131571	88.7%	
	Karsdorf	Karsdorf		Karsdorf	95.5%	Karsdorf	93.5%	
	Sorsum	Sorsum	95.5%	Sorsum		Sorsum	94.8%	
	RISE563	LT992443	93.5%	LT992443	94.8%	LT992443		
Pre S	Human	HE981175	91.1%	EU239218	92.3%	EU239218	91.7%	
Pre S	Аре	AB032433	92.6%	AB032433	95.6%	AJ131567	91.5%	
Variat	Human	HE981175	83.1%	EU239218	87.4%	EU239218	85.3%	
X prot.	Аре	AB032433	84.4%	AB032433	90.9%	AJ131567	91.7%	
Coro prot	Human	HE981175	94.2%	EU239218	91.7%	EU239218	92.6%	
Core prot.	Аре	AB032433	99.3%	AJ131567	96.1%	AJ131567	98.7%	

144 Note: Approximate age in years of ancient HBV samples is indicated next to sample

145 name. The closest human HBV to the ancient HBV in polymerase identity was

selected for comparison. Gaps were excluded in the identity calculation. The

underlined accession numbers indicate the closest modern HBV to the ancient HBV innucleotide identity as previously published.

149

150 The 3 ancient HBV genomes were also closest to each other in polymerase 151 amino acid identity than to any other present day samples (Table 2). However, 152 different from the nucleotide result, the highest amino acid identity in polymerase was 153 between Karsdorf and Sorsum. As Sorsum differs from RISE563 in both time periods and locations while only in time periods from Karsdorf, Sorsum is expected to be a 154 155 closer relative of Karsdorf and hence to have more similarity in slow changing sites 156 (amino acid) with Karsdorf. On the other hand, as Sorsum was 1721 years apart from 157 Karsdorf, ~2 fold more than its time difference with RISE563 (865 years), Sorsum is 158 expected to have more genetic distance from Karsdorf due to fast changing sites as 159 may be reflected in the nucleotide sequence. Together, these results showed 160 significant disconnect between amino acid and nucleotide sequences in revealing 161 genetic relationships among HBVs.

162 While results in Table 2 showed clear affinity of Karsdorf with human HBV, 163 Sorsum showed equal affinity with human and chimpanzee HBVs and RISE563 164 showed slightly more affinity to chimpanzee than to human HBV, indicating some 165 uncertainty regarding the informative nature of the full length polymerase protein. The 166 polymerase is composed of 4 domains, terminal protein, non-conserved spacer, 167 reverse transcriptase, and RNase H. Upon examining the HBVdb database 168 (https://hbvdb.ibcp.fr/) (Hayer et al. 2013), together with our own alignment analyses, 169 we found that the amino acid region corresponding to the reverse transcriptase and 170 RNase H domains are more conserved or slow evolving (343-844 aa for genotype G 171 starting with VNL). We therefore tested this 501 aa region to see if it may show better 172 results than the full length polymerase in linking ancient HBVs with human rather than 173 NHP (Table 3). Again, all three ancient HBVs showed closer identity, but to a greater 174 degree, to human HBVs than to NHP HBVs. While Karsdorf was again closest to 175 Sorsum, it was closer to a present day human HBV (HE981175) than to the ancient 176 HBV RISE563, indicating clear HBV genetic continuity from the time of Karsdorf to 177 present time and the more informative nature of the 501 aa slow region of the 178 polymerase.

179 As slow evolving DNAs are more likely to be in linear phase and hence more 180 informative to phylogenetic relationships as explained by the MGD theory, we examined whether the 501 aa slow region of the polymerase is the slowest evolving 181 among the protein genes in the HBV genome by comparing amino acid identity 182 183 between human and orangutan HBV proteins (Supplementary Table S1). The 501 aa 184 slow region of the polymerase was found to be the second most conserved, just 185 slightly less conserved than the core protein. However, because the core protein was 186 relatively short (178 aa), it is expected to be less informative than a longer protein with 187 similar degree of conservation. Together with outgroup analyses (see below), we have 188 found the 501 aa slow region of the polymerase to be the most informative to 189 phylogenetic inferences of HBV strains.

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191

192

193

194 Table 3. Amino acid identity among ancient and present day HBV genomes in

195 the slow region (501 aa)

196

Cuesties	Karsdor	f (7074)	Sorsun	n (5353)	RISE563 (4488)		
Species	Access.	Identity	Access.	Identity	Access.	Identity	
Human	HE981175	96.0%	HE981175	95.4%	EU239218	93.9%	
Chimp.	<u>AB032433</u>	93.8%	AB032433	95.2%	AB032433	93.5%	
Gorilla	AJ131567	93.8%	AJ131567	95.2%	AJ131567	93.7%	
Orangutan	AF193863	92.0%	AF193863	93.2%	AF193863	92.1%	
Gibbon	AJ131571	93.2%	AJ131571	94.0%	AJ131571	92.2%	
Karsdorf	Karsdorf		Karsdorf	97.4%	Karsdorf	94.6%	
Sorsum	Sorsum	97.4%	% Sorsum		Sorsum	96.2%	
RISE563	LT992443	94.6%	LT992443	96.2%	LT992443		

197 HBV genomes selected for comparison were the same as those in Table 2. The

198 underlined accession numbers indicate the closest modern HBV to the ancient HBV in

199 nucleotide identity as previously published.

200

201 Outgroup inferences based on amino acid mutations

The above results raise the important question of which type of sequences may be most informative to HBV phylogeny. For viruses, different hosts may confer different physiological selection pressures which may result in viruses from different hosts to have drastic or non-conservative amino acid changes. Taking into account of non-conservative changes may thus be informative to phylogenetic relationships where an outgroup NHP HBV to two sister strains of human HBV is expected to show more non-conservative amino acid changes from the human HBVs.

209 To confirm the human rather than NHP affinity of the ancient HBV isolates as 210 shown by the polymerase, we therefore performed protein alignment involving 3 211 strains, an ancient HBV, its closest human HBV, and its closest NHP HBV. It is 212 expected that an outgroup should have a higher fraction of non-conservative or 213 drastic amino acid changes among all mutations that led to differences between the 214 outgroup and the other two sister strains. We examined those positions where the two 215 sisters had the same residue while the outgroup was different. We tested each of the 216 three compared HBV viruses as the candidate outgroup and obtained the fraction of 217 drastic changes among all positions where the two sisters were the same while the 218 outgroup was different (Table 4 and Supplementary Materials for details of this 219 analysis). For the full length polymerase protein, RISE563 as the outgroup had a 220 significantly smaller fraction of drastic changes than the gorilla HBV as the outgroup 221 (0.33 vs 0.69, P = 0.004). This indicates that RISE563 and present day human HBV 222 (EU239218) were sister strains while the gorilla HBV was the outgroup. Similar 223 analyses showed that for Karsdorf and Sorsum samples, the NHP HBVs all showed 224 the highest fraction of drastic changes (Table 4). We also performed the combined

- analysis where we first add up all the drastic changes of an outgroup (with the
- 226 outgroup being ancient HBV, present day human HBV, or NHP HBV) and then
- 227 calculated the fraction of drastic changes. The fraction of drastic changes in the NHP
- HBV when tested as the outgroup was significantly higher than either that in the
- ancient HBVs or the present day human HBVs when they were tested as the outgroup.
- 230 We also obtained similar results for the slow region of the polymerase (Table 4).
- 231 These results confirmed that ancient HBVs isolates grouped with human rather than
- 232 NHP HBVs.

changes.

233

234 Table 4. Outgroup inferences from non-conservative (drastic) amino acid

235 236

Outer		olymerase		Slow region (aa 343-844)					
Outgroup		Mutations		Fraction	P value	Mutations		Fraction	P value
Isolates	Accession	Drastic	All	Drastic	Hu. v Ape	Drastic	All	drastic	Hu. v Ape
Karsdorf	NA	5	16	0.31	0.02	5	10	0.50	n.s.
Human	HE981175	9	24	0.38	0.02	4	9	0.44	n.s.
Chimpanzee	AB032433	16	22	0.73		11	15	0.73	
Sorsum	NA	7	16	0.44	n.s.	2	6	0.33	n.s.
Human	EU239218	18	39	0.46	n.s.	5	18	0.28	n.s.
Chimpanzee	AJ131575	26	39	0.67		4	7	0.57	
RISE563	LT992443	8	24	0.33	0.004	2	6	0.44	n.s.
Human	EU239218	16	32	0.50	n.s.	2	13	0.24	n.s.
Gorilla	AJ131567	27	39	0.69		12	16	0.75	
Sum									
Ancient human		20	56	0.36	<0.0001	9	22	0.41	0.03
Present human		43	95	0.45	0.0003	11	40	0.28	0.0002
Аре		69	100	0.69		27	38	0.71	

237 Drastic or non-conservative and conservative changes were according to the

designation by the blastp algorithm. Numbers of these changes can be found in the

239 Supplementary Table S2. P value was from Fisher's test.

240

241

In contrast, for the other three smaller size proteins of HBV genome, the Pre S 242 protein, the X protein and the pre core protein, none was found informative in 243 244 identifying an outgroup (Supplementary Table S2). When we did the same analysis by 245 using these three proteins as concatenated single molecule, we also failed to identify 246 any clear outgroup. The fractions of drastic changes in either ancient or present day 247 human HBVs were similar to that of NHP and showed enrichment of non-conservative 248 amino acid changes, which was unlike the case for the polymerase. Thus, the ancient 249 HBVs did not group with either present day NHP or human in any of these proteins. 250 That the observed changes were enriched for non-conservative amino acid mutations 251 indicated functional adaptation or selection. Although ancient HBVs all showed 252 slightly closer identities in these proteins to NHP HBVs, such weak affinity may be

253 fortuitous.

254

255

256 Table 5. Average pairwise identities in the slow region of the HBV polymerase

257 (501 aa)

- .	Identical number of amino acids										
Genotype	Α	В	С	D	Е	F	G	н	All ¹	Lowest ²	
Α		460.75	455.75	458.25	460.5	454	467	451.5	458.25	468	
В	460.75		467.25	461.5	463	463.5	468.5	461.5	463.71	>467	
С	455.75	467.25		458.25	461.5	455.5	465.5	454	459.68	>467	
D	458.25	461.5	458.25		460.75	459	461.5	458	459.61	461	
Е	460.5	463	461.5	460.75		460	471.25	453.75	461.54	>471	
F	454	463.5	455.5	459	460		461.25	474	461.04	>474	
G	467	468.5	465.5	461.5	471.25	461.25		460.75	465.11	482	
н	451.5	461.5	454	458	453.75	474	460.75		459.07	488	

¹Average identities of a genotype to all other genotypes. ²Lowest pairwise identities 258

259 within the genotype.

260

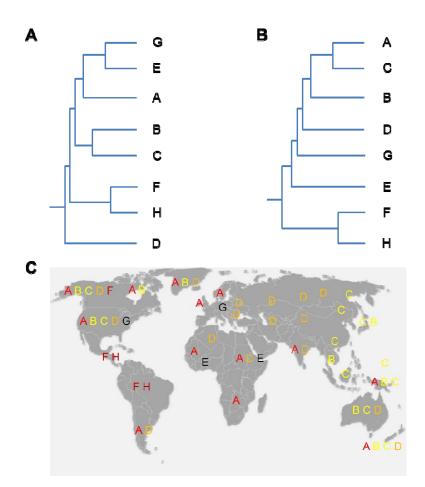
261

262

263 Phylogenetic relationships among HBV genotypes

264 The above results suggest that the slow region of the polymerase (aa 343-844) may be the most informative with regard to HBV phylogenetic relationships. We next 265 used this region to reconstruct the phylogenetic tree for the 8 HBV genotypes by using 266 267 the reference genomes for these genotypes (2 genomes for each genotype) as 268 indexed by the HBVdb database. We first obtained the pairwise identities in the slow 269 region among the 8 genotypes or 16 genomes and the average identity of each 270 genotype to the other 7 genotypes (Table 5 and Supplementary Table S3). We also determined the lowest pairwise identity within each genotype by searching the 271 272 Genbank database using the reference genomes and found D to have the lowest 273 within genotype identity (461 aa), indicating that D has the largest within genotype 274 genetic diversity among all genotypes. As D was also among the lowest in identity to 275 all other genotypes (459.61, just slightly greater than the lowest H), D qualifies as the 276 most basal genotype. We constructed a schematic diagram of the phylogenetic tree 277 that best fits the data in Table 5 (Figure 1A). Relative to the existing tree (Figure 1B), 278 the new tree is more consistent with the present day distribution pattern of the HBV 279 genotypes (Figure 1C). In particular, consistent with expectations, the basal type D is 280 the most widely distributed and most common in Northeast Asia and Russia/Siberia, 281 and well positioned to split the first branch, genotype F and H, specific to the New 282 World. 283 284

- 285



286

287

Figure 1. Phylogenetic tree of HBV genotypes. Branch lengths are relatively and
roughly to scale. The tree is meant to be more of a schematic diagram. A. Tree built
by using the slow region. B. Tree built by using nucleotide sequences as found in
Muhlemann et al (Muhlemann et al. 2018). C. Distribution map of HBV genotypes.

292 293

294 Using the slow region of the polymerase, we also examined the relationships of selected NHP HBVs with the human reference genomes and found closer relative 295 296 affinity of African NHP HBVs with genotype G and of Asian NHP HBVs with genotype 297 C (Table 6). Although we only looked at one HBV genome each for each NHP species, 298 the closest chimpanzee and gorilla in nucleotide sequence to the ancient human 299 HBVs and an arbitrarily chosen orangutan and gibbon HBV, the relative affinity should 300 hold for other NHP HBVs. We also examined the identity of ancient HBVs with the 301 human HBV reference genomes and found all three to be most related to genotype G, 302 with Sorsum and RISE563 relatively closer to genotype E than Karsdorf. The results suggest that Sorsum and RISE563 may be on their way diverging from genotype G to 303 304 E. Based on the amino acid difference between Karsdorf and genotype G (501-479 = 22 amino acid) and the age of Karsdorf, we inferred the mutation rate in the slow 305 region to be 2.0 x 10^{-6} aa per aa per year and the age of G at ~14500 years (14500 x 306

307 $501 \ge 2.0 \ge 10^{-6} + 7500 \ge 501 \ge 2.0 \ge 10^{-6} = 22$ aa). Given the distance between the308basal genotype D and the other genotypes to be ~41 as well as the largest within309genotype distance of 40 for D, we inferred an origination of human HBV at ~20500310years ago followed soon by the divergence of genotype F and H as people crossed311the Bering Strait into the New World.

312

Table 6. Identities between NHP and ancient HBV isolates with the reference genomes of human HBV genotypes

315

			Identical nur	nber of amin	o acids		
Genotype	AB032433	AJ131567	AF193863	AJ131571			
	Chimp.	Gorilla	Orangutan	Gibbon	Karsdorf	Sorsum	RISE563
Α	458	459	449	452	470	467	463
В	463	461.5	457	462	467	473.5	468.5
С	462.5	459	463	461.5	463.5	470	467
D	459.5	460	454.5	455.5	463	468.5	461
Е	463.5	461	455.5	456.5	461	469	470.5
F	458.5	459	454	458	461.5	466.5	471.5
G	468	466	458	465	479	476	475.5
н	454	454.5	452.5	462	455.5	460.5	466

316 Values represent the average of two genomes of each genotype.

317

318

319 Discussion

320 Our results suggest genetic continuity in the last seven Millennia for human HBV and establish a more informative method for building phylogenetic trees for HBVs. 321 322 Our findings on the ancient HBV isolates here, rather than the conclusions from 323 previous analyses (Krause-Kyora et al. 2018; Muhlemann et al. 2018), appear more 324 consistent with a priori expectation on the ancient ancestors. That the oldest Karsdorf 325 sample (7074 years ago) found in Germany was closest to present day genotype G 326 that is also common in Germany, France and the United States is also consistent with 327 expectation and further supports the conclusion of genetic continuity in HBVs in the 328 last 7000 years (Sunbul 2014). That Sorsum and RISE563 samples were closely 329 related to Karsdorf indicates also an affinity of these two isolates with genotype G 330 although they were relatively more related to genotype E than Karsdorf. E is the most 331 closely related to G among present HBV isolates. As E is found today only in Africa 332 (Andernach, Hubschen, Muller 2009), our finding here is in line with the known migration of Europeans into Africa during the last 5000 year period (Fregel et al. 333 334 2018). 335 The closer nucleotide distance of Sorsum with RISE563 than with Karsdorf may

reflect linear phase distance of Sorsum with RISE563 than with Karsdorf may
 Karsdorf may reflect continuity in housekeeping functions. That Karsdorf was most
 similar to gorilla HBV in nucleotide sequence but to present day human HBV in amino

339 acid sequence in the polymerase or the slow region may reflect continuation in the 340 housekeeping physiology of the viruses and merely fortuitous similarities in nucleotide 341 sequences reflecting some shared environmental adaptation. The closer similarity of 342 ancient HBVs with NHP HBVs in non-polymerase proteins may reflect 343 non-housekeeping adaptive roles in these proteins: the ancient HBV non-polymerase 344 proteins in the ancient human hosts are expected to be more similar to NHP proteins 345 given that the primitive life style of ancient humans may be less different from that of 346 NHP.

The three ancient samples differ from genotype G however in that they lacked the 348 36 nucleotide insertion in the core gene region that is specific to HBV-G. This 349 indicates that the insertion of this 36 bp sequence may have taken place later than 350 7000 years ago.

351 Genotype D is known to have the largest worldwide distribution, which is 352 consistent with its basal position in the new tree here and its widest within genotype 353 genetic diversity as found here. D shares the 33 nucleotide deletion in the pre S 354 region with the NHP HBVs and the ancient HBVs, again indicating its more primitive 355 nature. As all present day genotypes other than D lacks the 33 nucleotide deletion, we 356 can infer that this deletion occurred only in the last few thousand years and 357 independently in each genotype. As African NHP HBVs were closer to G while Asian 358 NHP HBVs were closer to C, there was likely transmission of human HBVs into NHPs 359 via migration of G from Europe into Africa and migration of C in the Asia mainland 360 where it is most common today into the islands in Southeast Asia.

361 The new HBV phylogenetic tree appears more consistent with the reality 362 genotype distribution around the globe than the existing ones and has implications for 363 the origin of the virus. That the first split was between D and the rest followed by the split of F and H indicates that HBV may have originated in a region covering Northeast 364 365 Asia and Siberia where D may have originated. It then went to either the West to give 366 rise to G, E, and A or the South to give rise to B and C. The estimated age of the 367 human HBV of ~20500 years corresponds to the period of Last Glacial Maximum 368 (LGM), indicating that human population expansion post LGM may have played a role 369 in the origin of HBV (Tallavaara et al. 2015).

The study here demonstrates the informative nature of the slow clock method in resolving the longstanding uncertainty on HBV origin and evolution. While it is widely known that different regions of a virus genome may produce different phylogenetic trees, it remains uncertain as to which region or whether the whole genome is the most informative to phylogenetic inferences. The MGD theory and the study here illustrate the informative nature of the slow evolving regions. The method should be generally applicable to evolutionary studies of other viruses.

377 378

379 Materials and Methods:

Present day HBV sequences were retrieved from Genbank and HBVdb database.

381 Ancient HBV genomes were downloaded using previously published accession

numbers. Alignments in nucleotide and amino acid sequences were done by blast.

383 Fisher's exact test was used to estimate p values, 2 tailed. 384 Acknowledgements: 385 386 We thank Ben Krause-Kyora and Julian Susat for sharing the sequences of ancient 387 human HBV samples. This work is supported by the National Natural Science 388 Foundation of China grant 81171880, the National Basic Research Program of China 389 grant 2011CB51001, and the Furong Scholars program (S. H.). 390 Author contributions: XL, YZ, SH designed the study and performed data analyses. 391 392 SH wrote the first draft and all authors contributed to the final version. 393 394 Financial Interest statements: The authors declare that they have no competing 395 interests that might be perceived to influence the results and/or discussion reported in 396 this paper. 397 398 399 Tables: 400 401 402 Figure legends: 403 Figure 1. Phylogenetic tree of HBV genotypes. Branch lengths are relatively and 404 roughly to scale. The tree is meant to be more of a schematic diagram. A. Tree built 405 by using the slow region. B. Tree built by using nucleotide sequences as found in 406 Muhlemann et al (Muhlemann et al. 2018). C. Distribution map of HBV genotypes. 407 408 409 **References:** 410 Andernach, IE, JM Hubschen, CP Muller. 2009. Hepatitis B virus: the genotype E 411 puzzle. Rev Med Virol 19:231-240. 412 Castelhano, N, NM Araujo, M Arenas. 2017. Heterogeneous recombination among 413 Hepatitis B virus genotypes. Infect Genet Evol 54:486-490. 414 Fregel, R, FL Mendez, Y Bokbot, et al. 2018. Ancient genomes from North Africa 415 evidence prehistoric migrations to the Maghreb from both the Levant and 416 Europe. Proc Natl Acad Sci U S A 115:6774-6779. Gui, Y, X Lei, S Huang. 2017. Collective effects of common SNPs and genetic risk 417 418 prediction in type 1 diabetes. Clin Genet. 419 Hahn, MW. 2008. Toward a selection theory of molecular evolution. Evolution 420 62:255-265. 421 Hayer, J, F Jadeau, G Deleage, A Kay, F Zoulim, C Combet. 2013. HBVdb: a 422 knowledge database for Hepatitis B Virus. Nucleic Acids Res 41:D566-570. 423 He, P, X Lei, D Yuan, Z Zhu, S Huang. 2017. Accumulation of minor alleles and risk 424 prediction in schizophrenia. Sci Rep 7:11661. 425 Hu, T, M Long, D Yuan, Z Zhu, Y Huang, S Huang. 2013. The genetic equidistance result, misreading by the molecular clock and neutral theory and 426

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Supplementary Materials

Genetic continuity in the last seven Millennia in human hepatitis B viruses

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Original data on outgroup analyses for Karsdorf using the polymerase amino acid sequence.

Transcriptase starts at 343 VNL....

Karsdorf polymerase protein (had 33 nt deletion)

MPLSYQHFRRLLLLDDEAGPLEEELPRLADEGLNHRVAEDLNLQLPNVSIPWTHKVGNFT

GLYSSTVPVFNPXWQTPSFPDIHLHQDIINKCEQFVGPLTVNEKRRLQLVMPARFYPNST

KYLPLEKGIKPYYPDNVVNHYFQTRHYLHTLWKAGILYKRETTRSASFCGSPYSWEQELQ HGA

KXPFHKQSSRILSRPPVGPSVQSKYQQSRLGFQSQQGPLARGQQGRSWSIRARVHPTARR

PFGVEPSVSGHTNNIAS------KRHSSSGHAVE

IPPNSARSQSEGPVFSCWWLQFRNSKPCSEYCLSHI

343 VNLLEDWGPCTEHGKHHIRIPRTPARVTGGVFLVDKNPHNTTESRLVVDFSQFSRG

STRVSWPKFAVPnlqsltnllssnlswl

SIDVSAAFYHIPLHPAAMPHLLVGSSGLSRYVARLSSDSRILDHQHGTMQNMHDSCSRNL

FVSLMLLYKTFGRKLHLYSHPIILGFRKIPMGVGLSPFLLAQFTSAICSVVRRAFPHCLA

FSYMDDVVLGAKTVQHLESLYTAVTNFLLSLGIHLNPNKTKRWGYSLNFMGYVIGSWGTL

PQDHIIQKIKQCFRKLPVNRPIDWKVCQRIVGLLGFAAPFTQCGYPALMPLYACIQAKQA

FTFSPTYKAFLCKQYLNLYPVARQRPGLCQVFADATPTGWGLVMGHQRMRGTFVAPLPIH

Karsdorf nucleotide sequences: NNNNNNACATTCCACCAAACTCTGCAAGATCCCAGAGTGAGGGGCCTGTATTTTCCTGC TGGTGGCTCCAGTTCAGGAACAGTAAACCCTGTTCCGAATACTGCCTCTCACACATCGTC AATCTTCTCGAGGACTGGGGACCCTGCACCGAACATGGAAAACATCACATCAGGATTCCT AGGACCCCTGCTCGCGTTACAGGCGGGGTTTTTCTTGTTGACAAAAATCCTCACAATACC ACAGAGTCTAGACTCGTGGTGGACTTCTCTCAATTTTCTAGGGGGGAGCACCCGTGTGTCT TGGCCAAAATTCGCAGTCCCCAACCTCCAATCACCAACCTCCTGTCCTCCAACCTG TCCTGGCTATCGCTGGATGTGTCTGCGGCGTTTTATCATATTCCTCTTCATCCTGCTGCT ATGCCTCATCTTCTTGTTGGTTCTTCTGGACTATCAAGGTATGTTGCCCGTCTGTCCTCT GATTCCAGGATCCTCGACCACCAGCACGGGACCATGCAGAACATGCACGACTCCTGCTCA TATTCCCATCCCATCCTGGGCTTTCGGAAAATTCCTATGGGAGTGGGCCTCAGTCCG TTTCTCCTGGCTCAGTTTACTAGCGCCATTTGTTCAGTGGTTCGCAGGGCTTTCCCCCAC TGTTTGGCTTTCAGTTATATGGATGATGTGGGTTTTGGGGGGCCAAGACTGTACAACATCTT GAGTCCCTTTACACCGCTGTTACTAATTTTCTTTTGTCTTTGGGCATACATTTAAATCCC AACAAAACAAAAAGATGGGGTTATTCCCTAAACTTCATGGGTTATGTAATTGGAAGTTGG GGAACATTGCCACAGGATCACATTATTCAGAAAATCAAACAATGTTTCAGAAAACTCCCT GTTAACAGACCTATTGATTGGAAAGTATGTCAAAGAATTGTGGGTCTTTTGGGCTTTGCC GCCCCTTTTACACAATGTGGTTATCCAGCATTAATGCCTTTATATGCATGTATACAAGCT AAGCAGGCTTTCACTTTCTCGCCAACTTACAAGGCCTTTCTGTGTAAACAATATTTGAAC CTTTACCCCGTTGCCCGGCAACGGCCAGGTCTGTGCCAAGTGTTTGCTGATGCAACCCCC ACTGGCTGGGGCTTGGTCATGGGCCATCAGCGCATGCGTGGAACCTTTGTGGCTCCTCTG CCGATCCATACTGCGGAACTCCTAGCCGCTTGTTTTGCTCGCAGCAGGTCTGGAGCAAAC CTTATTGGGACTGATAATTCTGTTGTCCTCTCCCGGAAATATACATCATTTCCATGGCTG CTAGGCTGTGCCAACTGGATCCTGCGCGGGACGTCCTTTGTTTACGTCCCGTCAGCG CTGAATCCTGCGGACGACCCCTCTCGGGGCCACTTGGGGCCTTTGCCGCCCCCTTCTCCGT CTGTCGTACCAGCCGACCACGGGGCGCACCTCTCTTTACGCGGTCTCCCCGTCTGTGCCT TCTCATCTGCCGGACCGTGTGCACTTCGCTTCACCTCTGCATGTTACATGGAAACCGCCG TGAACGCCCCCGGAACCTGCCAAGGGACTTACATAAGAGGACTCTTGGACTCTCAGCAA TGTCAACAACCAAGATTGAGACATACTTCAAAGACTGTGTATTTGAGGAGTGGGAGGAAT CAGGCAAGGACACCAGGTTAATGACCTTTGTATTAGGAGGCTGTAGGCATAAATTGGTCT GTTCACCAGCACCATGTAACTTTTTCACCTCTGCCTAATCATCTCTTGTTCATGTCCTAC TGTTCAAGCCTCCAAGTTGTGCCTTGGGTGGCTTTTGGGCATGGACATTGACCCATATAA AGAATTTGGAGCTACTGTTGAGTTGCTCTCCTTTTTGCCTTCTGACTTTTTCCTTCGGT CCGCGATCTTCTCGACACCGCCTCAGCTCTGTACCGGGAAGCCTTAGAGTCTCCAGAGCA TTGTTCACCAAATCACAGCACTCAGGCAAGCTGTTCTGTGTGGGGTGAGTTAATGAC NNNNNNNNNNNNNNNATGGGTTTAAAAATAAGGCAACTATTGTGGTTTCACATTTCC TGTCTTACTTTTGGAAGAGAGAGAGCGGTCCTTGAGTATTTGGTGTCTTTTGGAGTGTGGATT

ADDPSRGHLGLCRPLLRLSYQPTTGRTSLYAVSPSVPSHLPDRVHFASPLHVTWK

TAELLAACFARSRSGANLIGTDNSVVLSRKYTSFPWLLGCAANWILRGTSFVYVPSALNP

CGCACTCCTCCCGCTTACAGACCACCAAATGCCCCTATCTTATCAACACTTCCGGAGACT ACTGTTGTTAGACGACGAGGCAGGTCCCCTAGAAGAAGAACTCCCTCGCCTCGCAGACGA AGGTCTCAATCACCGCGTCGCAGAAGATCTCAATCTCCAGCTTCCCAATGTTAGTATTCC TTGGACTCACAAGGTGGGAAACTTTACGGGGCTTTATTCTTCTACTGTTCCTGTCTTTAA CCCTNNCTGGCAAACTCCTTCTTTCCTGATATTCATTTGCATCAAGATATCATTAACAA GCCTGCTAGATTTTACCCTAACTCTACAAAATATTTGCCCCCTAGAGAAAGGCATAAAGCC TTATTATCCAGATAATGTGGTTAATCATTACTTCCAAACCAGACATTATTTACATACTCT ATGGAAGGCGGGCATCTTATATAAAAGAGAGACAACACGTAGCGCCTCATTTTGTGGGTC NNNNNNNNNNNNNNNNNNNNNNNNNNNNTGGAGAAANAACCTTTCCACAAGCAAT CCTCTAGGATTCTTTCCCGACCACCAGTTGGACCCAGCGTTCAGAGCAAATACCAACAAT CCAGATTGGGATTTCAATCCCAACAAGGACCCTTGGCCAGAGGCCAACAAGGTAGGAGCT GGAGCATTCGGGCCAGGGTTCACCCCACCGCACGGAGGCCTTTTGGGGTGGAGCCCTCAG TCTCAGGGCATACTAACAACATTGCCAGCAAGAGACACTCATCCTCAGGCCATGCAGTGG AA

Karsdorf aligned with Human CCK86662 and chimp AB032433

Region: 306-832 aa

For the 501 amino acid (343-844) slow region, the number of drastic changes among total changes are the following:

Karsdorf 5/10 (among 10 changes that differ between Karsdorf and human/chimp, 5 are drastic)

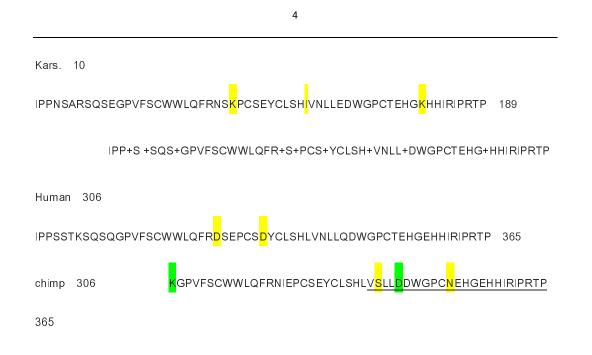
Human 4/9 (among 9 changes that differ between Human and Karsdorf/chimp, 4 are drastic)

Chimp 11/15 (among 15 changes that differ between Chimp and Karsdorf/human, 11 are drastic)

Conclusion: Chimp is the outlier to the clade containing Karsdorf and human HBV CCK86662.

Alignments performed by blastp:

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Informative changes are highlighted in yellow. Green positions show all three to have different residues,

which are not informative and not counted.

- 1. Karsdorf as candidate outgroup
- 2. Human as candidate outgroup
- 3. Chimp as candidate outgroup
- 1. 0/3 (among 3 changes that differ between Karsdorf and human/chimp, 0 are drastic)
- 2. 0/2 (among 2 changes that differ between Human and Karsdorf/chimp, 0 are drastic)
- 3. 2/2 (among 2 changes that differ between Chimp and Karsdorf/human, 2 are drastic)

343 slow region

0/1

5
2 0/0
3 2/2
Kars. 190 ARVTGGVFLVDKNPHNTTESRLVVDFSQFSRGSTRVSWPKFAVPniqsitnilssniswi 369
ARVTGGVFLVDKNPHNT ESRLVVDFSQFSRGS
RVSWPKFAVPNLQSLTNLLSSNLSWL
Sbjct 366
ARVTGGVFLVDKNPHNTAESRLVVDFSQFSRGS <mark>A</mark> RVSWPKFAVPNLQSLTNLLSSNLSWL 425
Sbjct 366
ARITGGVFLVDKNPHNTAESRLVVDFSQFSRGSTRV <mark>P</mark> WPKFAVPNLQSLTNLLSSNLSWL 425
1. 1/1
2. 1/1
3. 1/1
Kars. 370
sIDVSAAFYHIPLHPAAMPHLLVGSSGLSRYVARLSSDSRILDHQHGTMQN <mark>M</mark> HDSCSRNL 549
SLDVSAAFYHIPLHPAAMPHLLVGSSGLSRYVARLSSDSRILDHQHGT+QN+HDSCSR L
Sbjct 426 SLDVSAAFYHIPLHPAAMPHLLVGSSGLSRYVARLSSDSRILDHQHGT <mark>L</mark> QNLHDSCSR <mark>Q</mark> L
485

6
Sbjct 426
SLDVSAAFYHLPLHPAAMPHLLVGSSGLSRYVARLSS <mark>N</mark> SRILDHQHGTMQNLHDSCSRNL 485
1. 0/1
2. 1/2
3. 0/1
Kars. 550 FVSLMLLYKTFGRKLHLYSHPIILGFRKIPMGVGLSPFLLAQFTSAICSVVRRAFPHCLA
729
+VSLMLLYKTFGRKLHLYSHPIILGFRKIPMGVGLSPFLLAQFTSAICSVVRRAFPHCLA
Sbjct 486 <mark>Y</mark> VSLMLLYKTFGRKLHLYSHPIILGFRKIPMGVGLSPFLLAQFTSAICSVVRRAFPHCLA
545
Sbjct 486 F <mark>D</mark> SLMLLYKTFGRKLHLYSHPII <mark>M</mark> GFRKIPMGVGLSPFLLAQFTSAICSVVRRAFPHCLA
545
1. 0/0
2. 0/1
3. 1/2

7
Kars. 730
FSYMDDVVLGAK <mark>T</mark> VQHLESLYTAVTNFLLSLGIHLNPNKTKRWGYSLNFMGYVIGSWGTL 909
FSYMDDVVLGAK+VQHLESLYTAVTNFLLSLGIHLNPNKTKRWGYSLNFMGYVIGSWGTL
Sbjct 546
FSYMDDVVLGAKSVQHLESLYTAVTNFLLSLGIHLNPNKTKRWGYSLNFMGYVIGSWGTL 605
Sbjct 546
FSYMDDVVLGAKSVQHLESLYTAVTNFLLSLGIHLNPNKTKRWGYSL <mark>H</mark> FMGYVIGSWGTL 605
1. 0/1
2. 0/0
3. 1/1
Kars. 910 PQ <mark>D</mark> HIIQKIKQCFRKLPVNRPIDWKVCQRIVGLLGFAAPFTQCGYPALMPLYACIQAKQA
1089
PQ+HI QKIKQCFRKLPVNRPIDWKVCQRI GLLGFAAPFTQCGYPALMPLYACIQAKQA
Sbjct 606 PQEHITQKIKQCFRKLPVNRPIDWKVCQRITGLLGFAAPFTQCGYPALMPLYACIQAKQA
665
Sbjct 606 PQEHI <mark>V</mark> QKIK <mark>N</mark> CFRKLPVNRPIDWKVCQRIVGLLGFAAPFTQCGYPALMPLYACIQAKQA
665
1. 0/1

6	•	
č	5	
2		

2. 0/0

3. 0/1

Kars. 1090

FTFSPTYKAFLCKQYLNLYPVARQRPGLCQVFADATPTGWGLVMGHQRMRGTFVAPLPIH 1269

FTFSPTYKAFLCKQY+NLYPVARQRPGLCQVFADATPTGWGL

+GHQRMRGTFVAPLPIH

Sbjct 666

FTFSPTYKAFLCKQYMNLYPVARQRPGLCQVFADATPTGWGLAIGHQRMRGTFVAPLPIH 725

Sbjct 666

FTFSPTYKAFLSQQYSTLYPVARQRSGLCQVFADATPTGWGLVMGHQRMRGTFVAPLPIH 725

- 1. 1/2
- 2. 1/2

3. 3/3

Kars. 1270 TAELLAACFARSRSGANLIGTDNSVVLSRKYTSFPWLLGCAANWILRGTSFVYVPSALNP

1449

		TAELLAACFARSRSGA LIGTDNSVVLSRKYTSFPWLLGCAANWILRGTSFVYVPSALNP	
Sbjct	726	TAELLAACFARSRSGAKLIGTDNSVVLSRKYTSFPWLLGCAANWILRGTSFVYVPSALNP	
785			
Sbjct	726	TAELLAACFARSRSGAKLIGTDNSVVLSRKYTSFPWLLGCAANWILRGTSFVYVPSALNP	
785			
1.	1.	/1	
2.	0.	0/0	
3.	0.	/0	

Kars. 1450 ADDPSRGHLGLCRPLLRLSYQPTTGRTSLYAVSPSVPSHLPDRVHFASPLHVTWK

1614

ADDPSRG LGLCRPLLRL + PTTGRTSLYAVSPSVPSHLPDRVHFASPLHVTWK

Sbjot 786 ADDPSRGRLGLCRPLLRLPF<mark>L</mark>PTTGRTSLYAVSPSVPSHLPDRVHFASPLHVTWK 840 Sbjot 786 ADDPSRGRLGL<mark>Y</mark>RPLIRL<mark>FQPTTGRTSLYAVSPSVPSHLPV</mark>RVHFASPLHVAWR 830 1. 1/2

2. 1/1

10

3. 3/4