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| 2 3 | Role of STING complex in differential retrograde signaling |
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ABSTRACT

42 Mitochondrial (mt) DNA haplogroups, defined by specific single nucleotide polymorphism (SNPs) patterns, 43 represent populations of diverse geographic origins and may play a role in disparate disease susceptibilities found in 44 different ethnic/racial populations. The most common European haplogroup is H, while the K haplogroup is highly 45 associated with Ashkenazi Jewish populations. Studies using transmitochondrial cybrids (cell lines with identical nuclei 46 but mitochondria from either H or K haplogroup subjects) demonstrated significant molecular and biological differences 47 but mechanisms for these disparities are unclear. In this study, we hypothesized that there is differential retrograde 48 signaling occurring between the Stimulator of Interferon Genes (STING) pathway and H versus K mtDNA haplogroups. 49 Results showed that K cybrids exhibit increased levels of cytoplasmic mtDNA fragments. After STING Knock-Down, H 50 cybrids had lower expression levels for EGFR, BRCA1, DNMT3A, DNMT3B, HDAC1, and IFN α genes, but upregulated 51 DNMT3A compared to control H cybrids. The STING-KD K cybrids showed downregulation of EGFR, DNMT3A, 52 HDAC1, HCAD9, CFH, and CHI, along with upregulation of DNMT1 and IL-6 compared to control K cybrids. Since all 53 cybrids have identical nuclei, the STING DNA sensor system interacts differently with K haplogroup mtDNA compared 54 to H mtDNA for genes related to cancer (EGFR, BRCA1), methylation (DNMT1, DNMT3A, DNMT3B), acetylation 55 (HDAC1, HDCA9), complement (CFH, CHI) and inflammation (IFN α , IL-6). In summary, in non-pathologic conditions, 56 (a) STING is an important retrograde signaling mechanism(s) and (b) cybrids possessing Ashkenazi Jewish mtDNA (K 57 haplogroup) interact with the STING complex differently compared to H cybrids which affects various disease-related 58 pathways.

60 INTRODUCTION

61 Mitochondria (mt) possess unique circular DNA that is maternally inherited. The mtDNA encodes for 37 genes, 62 including 13 protein subunits essential for oxidative phosphorylation (OXPHOS), 2 ribosomal RNAs and 22 transfer 63 RNAs. (1-3) The non-coding region of 1121 nucleotides, known as the MT-Dloop, is critical for mtDNA replication and 64 transcription. Recent studies report that small biologically active peptides called Humanin and MOTsC that encoded from 65 the 16s and 12s rRNA regions of the mtDNA, respectively, are likely involved in various pathological processes. (4, 5) 66 All cells have both nuclear and mitochondrial genomes contributing to disease processes. The transmitochondrial cybrids, 67 which are cell lines with identical nuclei but the mtDNA from different subjects, have been used to identify the effects of 68 an individual's mtDNA upon cellular homeostasis.(6-9) Previous studies using transmitochondrial cybrids (cell lines with 69 identical nuclei but mtDNA from either H or K haplogroup subjects) have shown that the K cybrids have (a) significantly 70 lower mtDNA copy numbers, (b) higher expression levels for MT-DNA encoded genes critical for oxidative 71 phosphorylation, (c) lower Spare Respiratory Capacity (SRC), (d) increased expression of inhibitors of the complement 72 pathway and important inflammasome-related genes; (e) significantly higher levels of APOE transcription that were 73 independent of methylation status; and (f) higher levels of resistance to amyloid- $\beta_{1,42}$ peptides (active form) than the H 74 haplogroup cybrids(10), but it has been unclear how the differential retrograde signaling occurs in H versus K cybrids. 75 Previously, we have used the human retinal pigment epithelial (RPE) cybrid model to show that cybrids with K 76 haplogroup mtDNA have (1) significantly increased expression of ApoE, a critical lipid transporter molecule associated 77 with human diseases; (2) higher degree of protection from cytotoxic effects of amyloid- $\beta_{1,42}$ (active form); (3) increased 78 expression of inhibitors of the alternative complement pathways and important inflammation-related genes; and (4) 79 elevated bioenergetic respiratory profiles compared to the H cybrids.(10) These findings suggest that an individual's K 80 haplogroup mtDNA contributes to lipid transport, cholesterol metabolism, complement activation and inflammation, 81 factors critical for AMD, Alzheimer's disease and other age-related diseases. However, the mechanisms of retrograde 82 signaling by different mtDNA variants (K versus H haplogroup) to the nucleus are not known at this time.

It is recognized that diverse racial/ethnic populations have different risks for specific diseases. For example, African-Americans are susceptible to developing type 2 diabetes, obesity, prostate cancer and glaucoma. (11-14) and European-Caucasians are more prone to developing age-related macular degeneration (AMD), skin cancers, carotid artery disease and multiple sclerosis. (15, 16) The maternal origins of different human populations can be classified into haplogroups based upon the patterns of accumulated single nucleotide polymorphisms (SNPs) within the mtDNA. Either

increased risk or protection for human diseases, including Alzheimer's disease, AMD, cancers and diabetes, can be
 associated with the mtDNA haplogroup profile of the subjects. (2, 17-28)

90 The H haplogroups are the most common European mtDNA haplogroup, while the L haplogroups, representing 91 individuals of maternal African-origin, are the oldest and most diversified haplogroup (www.MitoMap.com). The 92 A12308G SNP defines the UK cluster that contains both the U and K haplogroups. The K haplogroups (also known as 93 Uk) is further defined by the G9055A SNP, has a 1-6% worldwide distribution and represents approximately 10% of 94 ancestral Europeans. Approximately 32% of Ashkenazi Jewish population is highly associated with the K haplogroup and can be classified into the K1a1b1a, K2a2a and K1a9 subsets. (15) The genetic profile of the Ashkenazi Jewish population 95 96 has become more homogeneous because of limited numbers of founders, intermarriage within the group and population 97 bottlenecks involving decreases in population sizes due to environmental and/or sociological events. (29)Behar, 2004 98 #7040} As a result, with respect to the genetic profiles, the Ashkenazi Jewish population is an excellent well-defined 99 group for studies correlating genetics associations with specific diseases including hypercholesteremia, hyperlipidemia, cardiovascular disease, Gaucher disease type 1, Usher Type 3A, Tay-Sachs disease and BRCA1/BRCA2 genes associated 100 101 with breast and ovarian cancers. (30-34)

The STING (Stimulator of Interferon Genes) pathway represents a DNA sensor pathway used by cells to detect 102 the presence of cytoplasmic DNA fragments, which then trigger activation of innate immune systems (Fig. 1). (35) The 103 vast majority of research about STING has investigated the effects of viral and bacterial infections releasing intracellular. 104 105 foreign DNA that activates the host defenses.(36, 37) STING can also be activated by "self-DNA" resulting in 106 autoimmune disease such as Systemic Lupus Erythematosus (SLE) and Aicardi-Goutie syndrome.(38) It was recently shown that transfection of PCR-amplified mtDNA fragments into ARPE-19 cells induced inflammatory cytokines and the 107 108 effects were blocked following knock-down of STING.(39) Interestingly, these effects were dependent on the size of the 109 mtDNA fragments but not sequence or location. Previous studies have shown that different racial/ethnic mtDNA haplogroups are associated with varying disease susceptibilities and innate immune responses. (7, 10, 16, 40) To our 110 knowledge, this is the first study to investigate whether the STING system is engaged in non-pathological signaling 111 112 between the mtDNA and nuclear genome and whether using the cybrid model, the different haplogroups (e.g., H versus 113 K) might elicit different responses in downstream genes from cells that have identical nuclei and culture conditions.

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116 MATERIALS AND METHODS

117 Generating Cybrid Cell Lines and Culture Conditions: Institutional review board approval was obtained from the University of California, Irvine (#2003-3131). There was no significant difference between the ages of the H subjects (n 118 = 4, 42.5 \pm 7.3 years) and K subjects (n = 5, 48.4 \pm 3.6, p = 0.46) (Table 1). 119 Peripheral blood was collected in sodium citrate tubes and DNA isolated using the DNA extraction kit 120 (PUREGENE, Oiagen, Valencia, CA). Using a series of centrifugation steps, platelets were isolated, suspended in Tris 121 buffer saline (TBS) and then fused with ARPE-19 cells that were deficient in mtDNA (Rho0) as described previously (9). 122 123 Cybrids were cultured until confluent in DMEM-F12 containing 10% dialyzed fetal boyine serum, 100 unit/ml penicillin and 100 µg/ml streptomycin, 2.5 µg /ml fungizone, 50 µg/ml gentamycin and 17.5 mM glucose. All experiments used 124

125 passage 5 cybrid cells.

Protein extraction: H and K cybrid cell lines were plated in six-well plates for 48 hours. Cells were lysed using RIPA buffer (Cat. # 89900, Life Technologies), supernatants transferred to a new microfuge tube and concentrations of proteins were measured using Bio-Rad Dc protein assay system (Bio-Rad Laboratories, Richmond, CA, USA) according to the manufacturer's instructions.

Immunoblotting: Equal concentrations of total protein samples were loaded into the wells of 4-12% Bolt mini 130 gels (Life Technologies) followed by SDS-PAGE electrophoresis. The gels were then transferred onto PVDF membranes. 131 Following transfer, the membranes were blocked in 5% BSA/TBST for 1 hour, and incubated overnight at 4°C in primary 132 133 antibodies. Blots were then washed with three times in TBST (Tris Buffered Saline-Tween20) and incubated with the 134 respective secondary antibodies for 1 hour at room temperature. All primary and secondary antibodies were diluted in 5% BSA/TBST or 5% Milk/TBST as per manufacturer's instructions. Following secondary antibody incubation, the blots 135 were washed three times in TBST. Protein bands were detected using Clarity Western ECL Blotting Substrate (Cat. 136 137 #1705060, Bio-Rad). β-actin antibody was used as a housekeeper protein control. Protein bands were visualized using Versadoc imager (Bio-Rad), and quantified using ImageJ software (NIH Image). 138

Statistical Analyses: Data were subjected to statistical analysis by unpaired t-test, GraphPad Prism (Version 5.0,
 La Jolla, CA). P<0.05 was considered statistically significant. Error bars in the graphs represent SEM (standard error
 mean).

Knock-down of STING: For siRNA mediated knockdown of STING, cybrid ARPE cells were seeded in 6-well
 plates at 7x10^5 cells/well. Thirty pmol final concentration of STING siRNA (#128591, ThermoFisher/Ambion,

Waltham, MA) or Scramble siRNA were diluted in OPTI-MEM (ThermoFisher/Invitrogen) and incubated at room temperature for 5 minutes. Transfection reagent Lipofectamine 2000 (Invitrogen) was then mixed separately with OPTI-MEM as per manufacturer's protocol and incubated for 5 minutes at room temperature. The OPTI-MEM/siRNA and OPTI-MEM/Lipofectamine tubes were then combined and incubation was carried out for 5 minutes at room temperature to allow formation of siRNA-lipid complex. Final mixture was then applied to cybrid cells in culture and allowed to incubate for 48 hours before RNA isolation.

Isolation of RNA and Amplification of cDNA: RNA was isolated from untreated and STING-KD cultures (H cybrids, n=4; K cybrids, n=5) using the RNeasy Mini-Extraction kit (Qiagen) as described previously.(9) cDNA generated from 2 μg of individual RNA samples with the QuantiTect Reverse Transcription Kit (Qiagen) was used for qRT-PCR analyses.

Ouantitative Real-time PCR (aRT-PCR) Analyses: Total RNA was isolated from individual pellets of cultured 154 haplogroup H cybrid cells (n=4 different individuals) and K cybrid cells (n=5 different individuals) as described above. 155 aRT-PCR was performed on individual samples using QuantiFast SYBR Green PCR Kits (Qiagen) on an Applied 156 157 Biosystems ViiA7 real time quantitative PCR detection system. Primers (QuantiTect Primer Assay, Qiagen or KicqStart Primers, Sigma) used to analyze for 37 different genes in various pathway: Complement (CFH, CD59, CD55/DAF, CFI); 158 Methylation (DNMT1, TRDMT1, DNMT3A, DNMT3B); Acetylation (HDAC1, HDAC2, HDAC3, HDAC4, HDAC6, 159 HDAC9, HDAC10, HDAC11, HAT1); Inflammation (IL-6, IL-33, IL1β, IL-18, IFNα, IFNβ; Chemokines (CCL2, CCL20); 160 Cancer (EGFR, BRCA1, ERBB2, ALK, PD1); and STING pathway genes (CGAS, TBK1, IRF3, IkBa, NFKB2, TRAF2, 161 TNFRSF19). Primers were standardized with the HPRT1 or HMBS housekeeping genes. All analyses were performed in 162 163 triplicate.

Identification of Cytoplasmic DNA: Cells from cybrid cultures were collected (H cybrids, n = 4 and K cybrids, n 164 165 = 5) and divided into two equal aliquots (1×10^6 cells per aliquot). One set of aliquots was used for whole cell DNA extraction utilizing DNeasy Blood and Tissue kits (Oiagen) and these extracts served as normalization controls for the 166 167 mtDNA copy numbers (see above). The second set of aliquots was resuspended in 500 µl buffer containing 50 mM HEPES (pH 7.4), 150 mM NaCl, and 25 µg/ml digitonin (MilliporeSigma, St. Louis, MO). The digitonin homogenates 168 169 were incubated for ten minutes at room temperature on an end-over-end rocker to allow for selective plasma membrane permeabilization. Samples were then centrifuged at 1000g for three minutes to pellet intact cells. The supernatants were 170 transferred to new tubes and spun at 17,000g for 10 minutes at room temperature to pellet any debris. This final spin 171

- 172 yielded the cytosolic fraction. Cytoplasmic DNA was isolated and purified from this cytosolic fraction using the
- 173 QIAQuick Nucleotide Removal Columns (Qiagen).
- 174
- 175 **RESULTS**
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177 K haplogroup cybrids exhibit increased mitochondrial DNA fragments in the cytoplasm:

Total cytoplasmic DNA was extracted from H and K cybrids (n=3) and analyzed for expression of both mitochondrial DNA markers (MT-ND2) and nuclear DNA markers (Actin). Cytoplasmic DNA content was then normalized to the total DNA. Mitochondrial DNA target expression was normalized to nuclear target expression, and overall cytoplasmic content normalized to total DNA levels (Fig. 2A). The K cybrids contained 4.3 fold higher levels of

- 182 mitochondrial DNA in the cytoplasmic fraction compared to H cybrids. (p=0.049)
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184 Mitochondrial haplogroup does not affect STING gene expression:

185 The STING complex is the intracellular sensor system for DNA fragments. Altered expression of downstream genes after STING knock-down is indicative that the DNA fragments are playing a role in the transcription for those 186 genes. Gene expression levels for STING at baseline were similar between H and K cybrids (Fig. 2B). The H (n = 5) and 187 K (n = 5) cybrids underwent STING knockdown (KD) by transfecting the cells with 30pmol siRNA or Silencer negative 188 189 control. After 48 hours, RNA was isolated and the expression levels of the STING gene were measured by qRT-PCR. 190 STING expression was significantly decreased in both the H and K cybrid cells. (11.48%, p=0.0028 in H cybrids and 9.5%. p<0.0001 in K cvbrids; Fig. 2B). Gene expression was then analyzed for pathways related to cancer, epigenetics, 191 complement and inflammation. 192

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194 Haplogroup K cybrids exhibit decreased expression of key DNA methylation genes:

The K cybrids had lower levels of expression for DNMT1 (77.7% \pm 4.3, p = 0.0057), *DNMT3B* (54.6% \pm 3.4, p 0.0042) and *TRDMT1* (73.1% \pm 4.2, p = 0.035), and DNMT3a (60.0% \pm 2.7, p=0.0179) compared to the H cybrids. The levels for *MAT2B* were similar in the H and K cybrids (Fig. 3a). The expression levels for genes related to acetylation (*HDAC1*, *HDAC2*, *HDAC3*, *HDAC4*, *HDCA6*, *HDAC9*, and *HDCA11*) were similar in the H and K cybrids at baseline (Fig. 3b).

200 Knockdown of STING alters expression of epigenetic genes dependent on mitochondrial haplogroup:

201 After STING-KD, DNMT3A gene expression dropped in both H and K cybrids (H: $68.2\% \pm 5.6$, p=0.05; K: $43.9\% \pm 3.6$, p=0.03) compared to the Control cybrids (Fig. 3a). DNMT1 expression levels were increased significantly in 202 both cvbrids (H: $175.3\% \pm 7.4$, p<0.0001; K: $163.4\% \pm 16.1$, p=0.0009) compared to the Control H and K cvbrids. For 203 DNMT3B, the expression levels were lower in the STING-KD H cybrids (70.9% \pm 3.9, p = 0.05) but not in the STING-204 KD K cybrids (p = 0.17). TRDMT1 (DNMT2) is a highly conserved methyl transferase that showed no change in 205 expression after STING-KD in the H (p = 0.76) or K (p = 0.41) cybrids. The HDAC1 expression decreased in both H and 206 K cybrids after STING-KD (H: $69.2\% \pm 5.7$, p=0.016; K: $80.8\% \pm 5.6$, p=0.0009) versus Control cybrids (Fig.4b). The 207 STING-KD K cybrid had lower levels of HDAC9 (70.9% \pm 7.9, p = 0.02) while the expression levels in the STING-KD H 208 cybrids were decreased compared to Control cybrids but was not significant (p = 0.18). STING-KD did not affect 209 expression levels of HDAC2, HDAC3, HDC4, HDAC6, HDAC9, HDAC10, HDAC11 and HAT1 in the H or K cybrids 210211 (Fig. 3b).

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213 Haplogroup K cybrids differentially express markers of inflammation and RPE differentiation:

The untreated K cybrids had lower levels of *IFNa* (54.2% \pm 9.6, p = 0.006) and *CCL2* (24.6% \pm 5.4, p = 0.0005) but higher levels of *IFNβ* (163.7% \pm 12.2, p = 0.029) and *IL33* (185.3% \pm 19.3, p = 0.04) compared to untreated H cybrids. The levels for *CCL20* (p = 0.08), *IL6* (p = 0.11), *IL1β* (p = 0.52) and *NLRP3* (p = 0.31) were similar in the untreated H and K cybrids.

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219 Knockdown of STING alters expression of inflammatory genes dependent on mitochondrial haplogroup:

In the STING-KD K cybrids there was a decrease in *CFI* (72.6% \pm 7.2, p=0.0045) and *CFH* (48.2% \pm 3.1%, p=0.036; Fig. 4b). There was increased transcription levels in *IL6* (300.3.1% \pm 44.6%, p=0.02) compared to K Control cybrids (160% \pm 19.7%). The STING-KD H cybrids showed lower expression of *IFNa* (80.9% \pm 5.3%, p = 0.04) compared to Control K cybrids. After STING-KD, there were no changes in expression levels for *CD55*, *CD59*, *CCL2*, *CCL20*, *IFNβ*, and *IL33* in either the H or K cybrids (Fig. 4a).

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228 K haplogroup cybrids exhibit decreased expression of key cancer target genes:

The cancer genes investigated in this study are known targets for drugs that are currently being used clinically to treat cancer patients (Table 2). The K cybrids had significantly lower expression levels of four cancer related genes $(BRCA1, 51.6\% \pm 9.9\%, p = 0.007; EGFR, 73.9\% \pm 6.9\%, p = 0.05; ALK, 22.6\% \pm 5.9\%, p = 0.003 and PD1, 40.5\% \pm$ 12.6%, p = 0.03) compare to H cybrids. The transcription levels for *ERBB2* (p = 0.3) were similar in H and K cybrids (Fig. 5a).

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235 Knockdown of STING influences BRCA1 and EGFR gene expression dependent on haplogroup:

After STING-KD, the H cybrids showed lower *BRCA1* expression levels ($34.7\% \pm 11.1\%$, p = 0.02) compared to H Control cybrids, while the K cybrid showed no significant decrease (p = 0.41). Conversely, the *EGFR* levels were lower in the STING-KD K cybrids ($29.1\% \pm 10.1\%$, p = 0.02) and also in the STING-KD H cybrids ($28.1\% \pm 11.8\%$, p = 0.05) compared to the untreated cybrids. The levels for *ALK*, *PD1*, and *ERBB2* were similar in the STING-KD versus Control H and K cybrids (Fig. 5a).

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242 K haplogroup cybrids differentially express genes involved in the STING DNA sensing pathway:

A variety of genes involved in the STING signaling pathway were analyzed and we discovered that K cybrids had higher expression of *IkBa* (194.0% \pm 30.2%, p = 0.05) and *NFKB2* (145.1% \pm 11.9%, p = 0.026) as well as lower expression of *TNFRSF19* (27.5% \pm 7.9%, p = 0.0073) and *IRF3* (67.9% \pm 5.1%, p = 0.009) at baseline compared to H cybrids (Fig. 5b). At baseline there were no differences in expression of *CGAS*, *TBK1* or *TRAF2* between H and K cybrids.

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249 Knockdown of STING increases expression of *IRF3* in K haplogroup cybrids.

After STING-KD, the only gene affected was *IRF3*, which was increased in the K cybrids compared to the lower baseline value ($107.2\% \pm 8.7\%$, p = 0.0081). Interestingly, this increase returned *IRF3* gene expression to comparable levels of the untreated H cybrids. The levels of *CGAS*, *TBK1*, *TRAF2*, *IkBa*, *NFKB2*, and *TNFRSF19* were similar in STING-KD H and K cybrids (Fig. 5b).

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256 K haplogroup cybrids exhibit decreased phosphor-IRF protein levels:

In order to confirm expression levels of key STING pathway genes and identify any alterations in STING signaling at baseline between the H and K cybrids, protein expression was measured via western blot. Phosphorylated and non-phosphorylated antibodies were used because many key STING pathway proteins function through phosphorylation. K cybrids demonstrated increased levels of IRF3 protein level ($596\% \pm 58.3$, p = 0.0015) and a decrease in the level of phospo-IRF3 ($59.3\% \pm 10.8$, p = 0.021) when compared to baseline H cybrids (Figs. 6a, 6b). No difference was seen in expression of NFKB or phosphor-NKFB (Figs. 6c- 6e).

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264 **DISCUSSION**

The present study was designed to determine if the STING (*TMEM-173*) pathway was involved in the signaling from the mitochondria to the nuclear genome in cybrids containing mitochondria from healthy subjects with either common European H haplogroup mtDNA or the Ashkenazi Jewish associated K haplogroup mtDNA. Studies have shown altered expression levels of genes associated with epigenetic pathways in K versus H cybrids and that inhibition of methylation with 5-aza-2'-deoxycytidine (5-aza-dC) altered the expression of NF $\kappa\beta2$, an important transcription factor activation of inflammation and immunity. (10)

The present study was designed to determine if activation of STING via DNA fragments played a role in the 271 modulation of five methylation-related genes and eight acetylation-related genes. After STING-KD, both H and K cybrids 272 273 showed increased expression of DNMT1 and lower expression of DNMT3A. However, only the STING-KD H cybrids 274 showed reduced DNMT3B expression levels while the STING-KD K cybrids were similar to control K cybrids. These 275 findings indicate that the transcription of methylation pathway genes (DNMT1, DNMT3A and DNMT3B) can be regulated through STING, an intracellular DNA sensor system, and more importantly, the transcription levels are differentially 276 277 expressed if the cells possess K haplogroup mtDNA compared to H haplogroup mtDNA. This potentially could lead to 278 alterations in methylation patterns and variable modulation of downstream genes, depending if the subject has European 279 mtDNA haplogroup versus Ashkenazi Jewish mtDNA profiles.

Of the eight acetylation genes investigated, only the *HDAC1* expression levels were reduced in both the H and K cybrids after STING-KD. This finding suggests that in non-pathological, unstressed cells there are some levels of STING activation via DNA fragmentation that upregulate HDAC1, a Class I histone deacetylase important for proliferation differentiation and apoptosis. This is the first description of a relationship between STING activation and modulation of

HDACs. Interestingly, in the STING-KD K cybrids the HDAC9 transcription was significantly lower (p = 0.02) while the 284 285 STING-KD H cybrids showed a trend for lower HDAC9 levels but it did not reach significant (p = 0.18) due to larger 286 variations within the H cybrids. HDAC9, which is important for mitochondrial functions, has highest expression in brain 287 (41) and has not previously been reported to be expressed in human RPE cells. HDAC9 inhibits Mef2 (myocyte enhancer factor2), which is important to oxidative phosphorylation in conventional T cells and T-regulatory (Treg) cells. (42) 288 289 HDAC9 also plays a role in Treg suppressive functions and inhibits transcription of PGC1 α and Sirt3, both important for 290 mitochondrial replication and ROS metabolism. These data suggest that via the STING pathway, the mtDNA can mediate 291 the HDAC9 expression levels, thereby influencing the mitochondria metabolism and possibly immune functions. 292 However, additional studies are needed to more fully understand this relationship. The other six acetylation genes showed 293 similar levels before and after STING knock-down.

294 The mechanism by which the STING complex affects the epigenetics is unknown. However, it has been shown that nuclear envelope transmembrane protein 23 (NET23)/STING can promote chromatin condensation and induce 295 epigenetic changes, which is important because of its role in signaling for innate and apoptosis.(6) Green and coworkers 296 297 reported that in response to viral-like double stranded RNA, the Pacific oyster (Crassostrea gigas) showed a upregulation of virus recognition receptors, signaling and effector genes, but the DNA methylation genes and STING remained 298 299 unchanged, consistent with a poorly developed immune priming response. (43) This suggests that in mammalian cells, the activation of STING along with altered expression of specific methylation and acetylation genes may be important for 300 301 immune recognition but the individual's responses may be unique depending upon the ethnic/racial origin and underlying 302 mtDNA profile.

It is recognized that pathological conditions (e.g., viral and bacterial infections) are often associated with DNA 303 fragmentation and STING activation that modulates the immune responses. However, our findings suggest that STING 304 305 activation may also be important for retrograde (mitochondria to nucleus) signaling under non-pathogenic conditions. In this study, the H and K haplogroup cybrid cell lines have identical nuclei and are cultured under non-stressed conditions. 306 can speculate that the fragmentation of the H mtDNA (European) versus the K mtDNA (Ashkenazi Jewish) might 307 308 yield different size or variants of fragments that then activate the STING pathway differently, thereby leading to 309 differences in the downstream regulation of the epigenetic genes. Our data shows that K haplogroup cybrids have increased levels of mtDNA in the cytoplasm of these cells. Due to the influence of this mtDNA load on the STING 310 311 pathway, this could cause differences in methylation status, which might play a role in personalized responses to drugs

and diseases that are often seen in the Ashkenazi Jewish populations. Using the cybrid model, higher levels of total global methylation have also been reported in cell lines with the European J haplogroup (44, 45), Ashkenazi Jewish K haplogroup (10), and also in cybrids with the African-origin L haplogroups (*unpublished data*) compared to those with the H haplogroup mtDNA. In turn, methylation patterns can influence the homeostasis of mitochondria, affecting apoptosis (46-48), and can be associated with disease susceptibilities and prognoses for cancers and age-related diseases. (49)

The field of therapies for cancer patient has been revolutionized by development of drugs targeting specific 317 318 molecules key for progression and prognosis of the cancer. However, in spite of the use of these 'targeting-drugs' there are still many cancer victims that fail treatment and it is often not understood why they fail. In this study we analyzed the 319 320 H versus K cybrids for the expression levels for 4 genes targeted by drugs; (a) Cetuximab, Erlotinib, Gefitinib and Lapatinib are inhibitors for EGFR production; (b) Crizotinibi, Ceritinib and Alectinib are inhibitors for ALK; (c) 321 322 Pembrolizumab and Nivolumab are inhibitors of PD1; and (d) Pertuzumab and Trastuzumab targets the ERBB2 (HER2) 323 gene. We found that the untreated control H cybrids (European) had significantly higher expression of four of the genes (EGFR, p = 0.05; BRCA1, p = 0.007; ALK, p = 0.003; and PD1, p = 0.034) compared to the untreated K cybrids 324 (Ashkenazi Jewish). After STING-KD for both H and K cybrids, the expression levels of EGFR were decreased (28.1%, 325 p = 0.05 and 29.1%, p = 0.02, respectively) indicating that the EGFR is partially modulated via this DNA sensing system. 326 Our findings suggest that the DNA fragments and STING pathway may be novel and previously unrecognized pathways 327 328 to target for EGFR modulation in cancer patients.

329 If the cybrid findings are representative of what might be occurring in cancer patients, then there would be 330 differential expression levels for these important genes, with European patients (H haplogroup) having higher 331 transcription levels than Ashkenazi Jewish (K haplogroup) patients. Due to lower expression levels, K haplogroup patients might not respond equally to the inhibitor drugs for those gene products. This phenomenon may account for 332 333 some of the differential responses found in clinical drug trials and also in prognosis outcomes for the cancer patients. It 334 suggests that perhaps evaluation of the individual mtDNA profile may be of benefit to designing their treatment protocols. However, additional investigations are required fully understand the relationship between a person's mtDNA haplogroup 335 336 and their response to anti-cancer medications. In any case, this is the first report showing that the mtDNA variants can 337 influence gene expression levels of these critical cancer-related genes.

The reported prevalence of BRCA1/2 mutation is has been reported to be higher in the Ashkenazi Jewish population (1 in 40) compared to other populations (1 in 400-800 persons). The expression levels of *BRCA1*, a gene

highly associated with approximately 40% of inherited breast cancers and 80% of inherited breast and ovarian cancers, were also measured in K versus H cybrids. With respect to the *BRCA1* gene, the H cybrids had higher levels to begin with but showed a 34.7% decline after STING-KD (p = 0.02). In contrast, the K cybrids, with lower initial levels, were not affected by STING-KD. As *BRCA1* encodes for a tumor suppressor, individuals of the Ashkenazi Jewish population with the K haplogroup, may be expressing lower levels of this tumor inhibitor and therefore have less protection against cancer development.

Many of the studies related to the STING complexes are within the confines of viral infections. For example, it was reported that as herpes viruses induce mtDNA stress, the anti-viral signaling increases, leading to heightened responses of type I interferon. (36) While it is recognized that mitochondria are key participants in innate immunity required for robust anti-viral responses, our findings suggest that the STING sensor system is also a pathway of communication in healthy cells. This is not surprising as the symbiotic relationship between mitochondria and eukaryotic cells occurred over time and ancient signaling system would likely be maintained for cellular homeostasis (Fig. 6b).

K cybrids exhibit differential expression of several key genes associated with the STING pathway and its 352 353 inflammatory response. Our data shows that K cybrids demonstrate increased gene expression of NF $\kappa\beta2$, an important gene associated with the inflammatory pathway of STING. This is consistent with the increased - mtDNA detected in the 354 cytoplasm of K cybrids. However, western blot detected no difference of either NFκβ2 or phosphor-NFκβ2 levels between 355 H and K cybrids (*data not shown*). Interestingly, there was decreased gene expression of *IRF3*, and decreased protein 356 levels for phopho-IRF3 in K cybrids. IRF3 and NkBB are critical to the STING pathway, which induces antiviral and pro-357 358 inflammatory cytokines, including type I interferons (IFN- α and IFN- β). Additionally, untreated K cybrids exhibited 359 increased expression of IkBa, an inhibitor of NFκβ, but showed no difference in the expression of other key STING pathway related genes, such as CGAS, TBK1 and TRAF2. The majority of data surrounding the STING complex has 360 361 focused on its response to viral and bacterial infections, demonstrating that exogenous mtDNA can induce cellular inflammatory responses. However, our cybrid system is unique in that the mtDNA present in the cytoplasm is 362 endogenous, rather than exogenous. Additionally, since the cells are under no external stress, the increased cytoplasmic 363 364 content of mtDNA found in the K cybrids could be viewed as their normal state or non-pathogenic retrograde signaling.

The data from this study demonstrates that mitochondrial DNA haplogroup can exert a powerful effect on nuclear gene expression (Fig. 7a). One potential method by which mitochondrial DNA is able to elicit these changes is through the use of mitochondrial DNA fragments as signaling molecules (Fig. 7b). Most interestingly, there are subsets of genes

| 368 | that are differentially regulated by STING, dependent on mitochondrial haplogroup. This suggests that response to |
|-----|--|
| 369 | mtDNA fragments can be influenced by the mtDNA background. Additionally, this data demonstrates an association |
| 370 | between mitochondrial haplogroup and mtDNA fragments levels. These fragments do not always illicit a pathogenic |
| 371 | response, as would be expected. Finally, our data has identified novel pathways influenced by the expression of STING, |
| 372 | suggesting that sensing of mtDNA fragments can have far reaching implications for signaling outside of inflammation. |
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| 01 | |

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440 FIGURE and TABLE LEGENDS

- 441
- 442 **Figure 1.** The STING (Stimulator of Interferon Genes) pathway.
- Figure 2. a) K haplogroup cybrids exhibit increased mitochondrial DNA fragments in the cytoplasm. b) Gene expression
- levels for STING at baseline were similar between H and K cybrids.
- Figure 3. Haplogroup K cybrids exhibit decreased expression of key DNA methylation genes. a) The levels for *MAT2B*
- 446 were similar in the H and K cybrids. b) The expression levels for genes related to acetylation (HDAC1, HDAC2, HDAC3,
- 447 HDAC4, HDCA6, HDAC9, and HDCA11) were similar in the H and K cybrids at baseline.
- **Figure 4**. a) After STING-KD, there were no changes in expression levels for *CD55*, *CD59*, *CCL2*, *CCL20*, *IFNβ*, and
- IL33 in either the H or K cybrids. b) In the STING-KD K cybrids there was a decrease in CFI (72.6% ± 7.2, p=0.0045)
- 450 and CFH (48.2% \pm 3.1%, p=0.036).
- Figure 5. Gene expression levels in H and K cybrids. a) Knockdown of STING influences *BRCA1* and *EGFR* gene
- 452 expression dependent on haplogroup and b) Knockdown of STING increases expression of *IRF3* in K haplogroup cybrids.
- Figure 6. a, b) Phosphorylated and non-phosphorylated antibodies were used because many key STING pathway proteins
- function through phosphorylation. K cybrids demonstrated increased levels of IRF3 protein level ($596\% \pm 58.3$, p =
- 0.0015) and a decrease in the level of phospo-IRF3 (59.3% ± 10.8, p = 0.021) when compared to baseline H cybrids. c)
- 456 No difference was seen in expression of NFKB or phosphor-NKFB.
- Figure 7. a) Nuclear gene expression influenced by haplogroup, STING and differentially by both Haplogroup and
- 458 STING. b) One potential method by which mitochondrial DNA is able to elicit these changes is through the use of
- 459 mitochondrial DNA fragments as signaling molecules..
- **Table 1.** Subject information including cybrid numbers, gender, age, and haplogroups.
- Table 2. Description of Genes Targeted by Anti-cancer Drugs. The cancer genes investigated in this study are known
- targets for drugs that are currently being used clinically to treat cancer patients.

464 CONFLICT OF INTEREST STATEMENT

- The authors have no conflict of interest to report.
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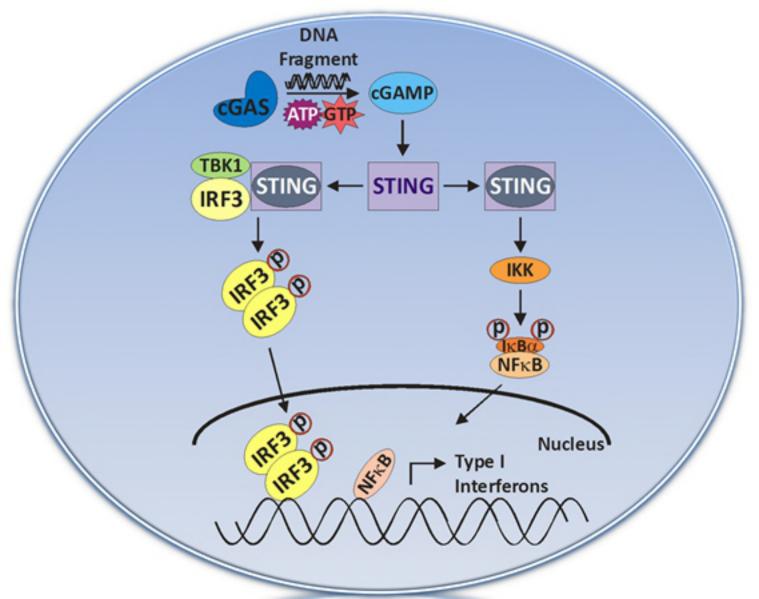
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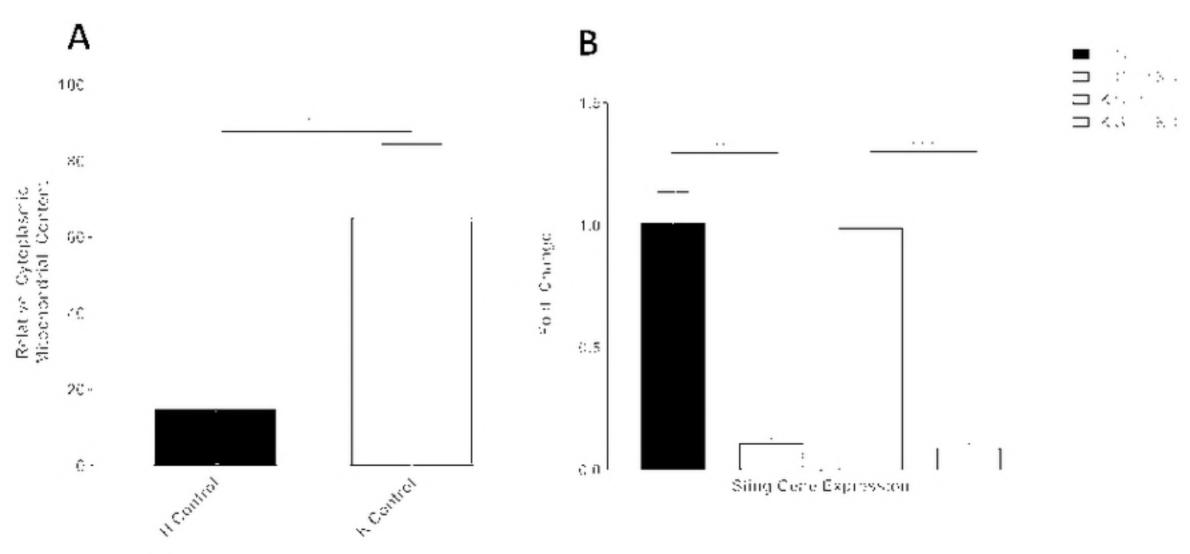
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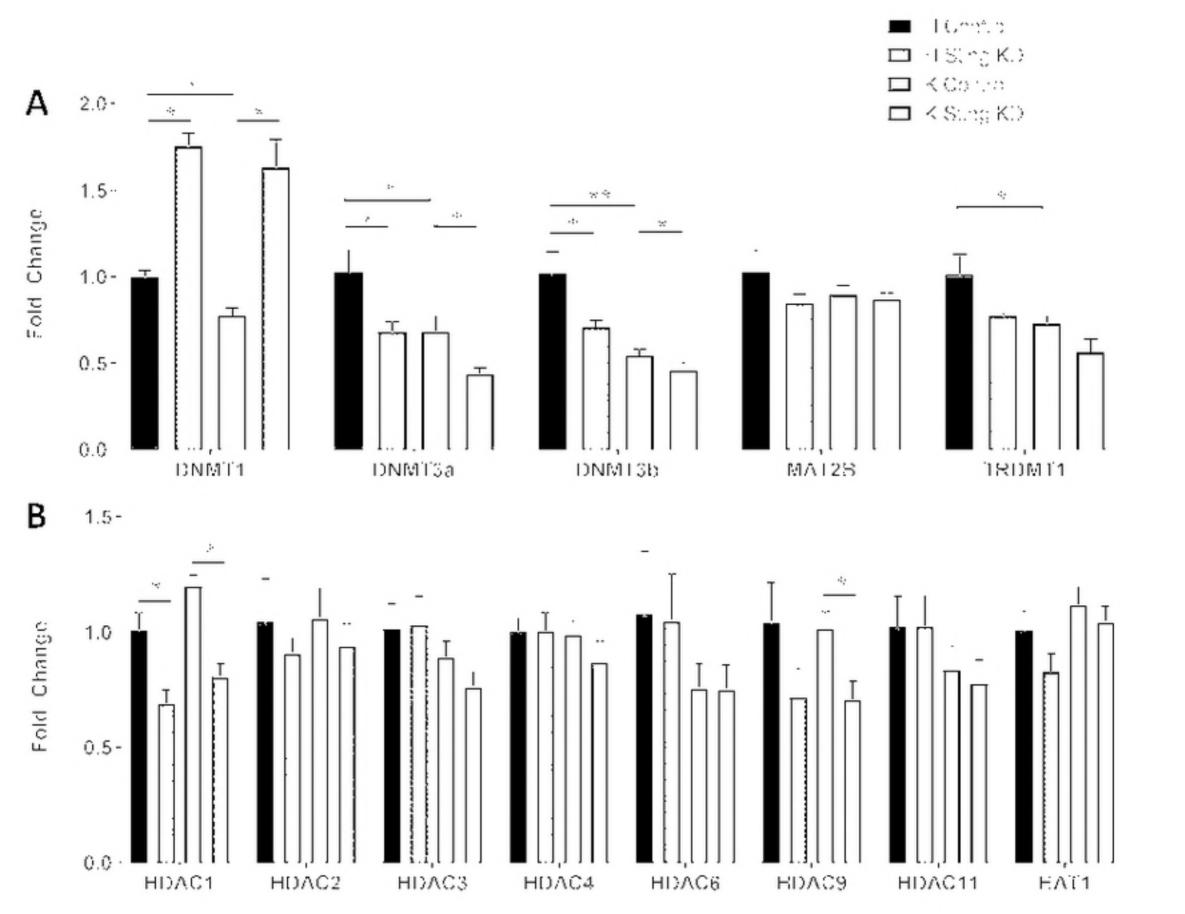
Table 1

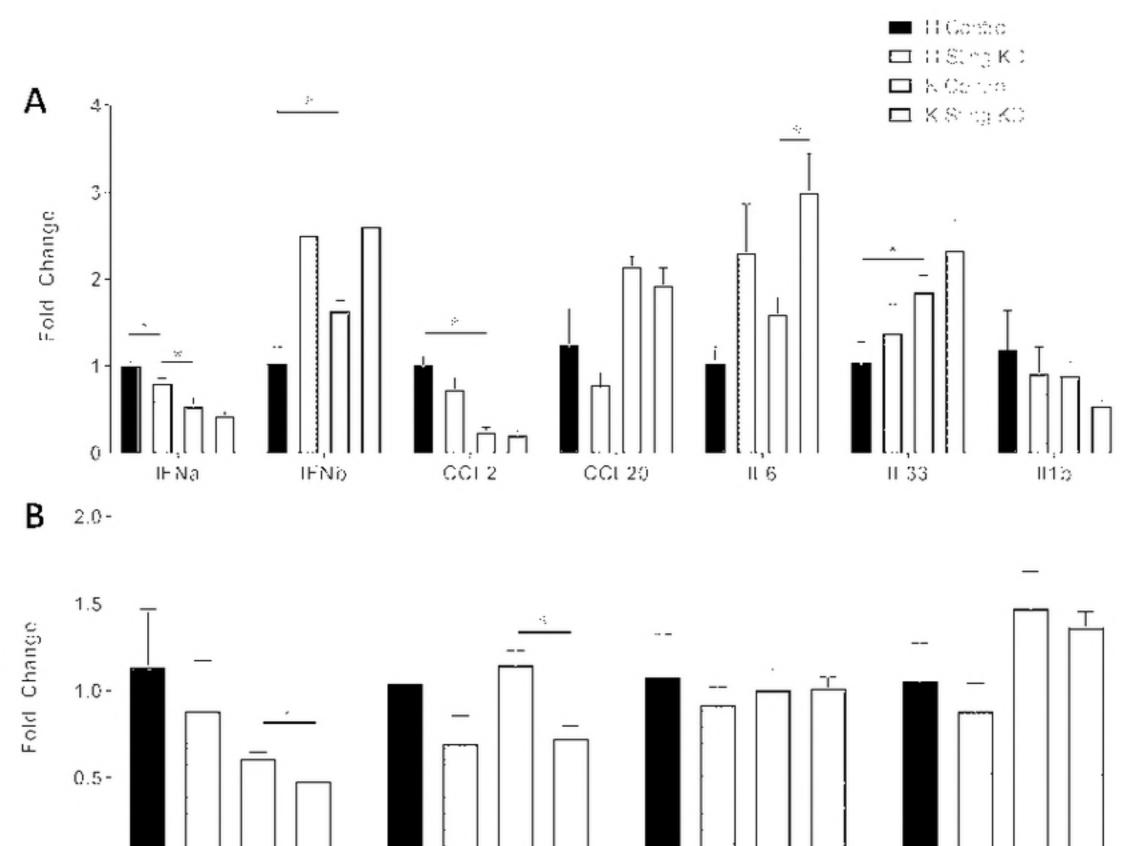
| Cybrid | Gender | Age | Haplogroup |
|--------|--------|-----|------------|
| 11-10 | М | 30 | H4a1a |
| 11-35 | F | 30 | H1 |
| 13-52 | F | 58 | H1 |
| 13-65 | F | 52 | H41a1a |
| | | | |
| 13-57 | F | 45 | K1a1 |
| 13-65 | F | 38 | K1a1b1a |
| 13-75 | F | 56 | K1c2 |
| 13-77 | F | 57 | K1a1b1a |
| 13-80 | F | 46 | K1a1b2a1a |

| Table 2 | | |
|---------|---|--|
| Target | Drugs | Cancer |
| EGFR | Cetuximab (Erbitux), Eriotinib (Tarceva), Gefitinib (Iressa), Lapatinib (Tykerb) | non-small cell lung cancer, pancreatic cancer, breast cancer, colon cancer |
| ALK | Crizotinib (Xalkori), Certinib (Zykadia), Alectinib (Alecensa) | non-small cell lung cancers |
| PD1 | Pembrolizumab (Keytruda), Nivolumab (Opdivo) | melanomas, non-small cell lung cancer, renal, bladder, head and neck cancers, Hodgkin lymphoma |





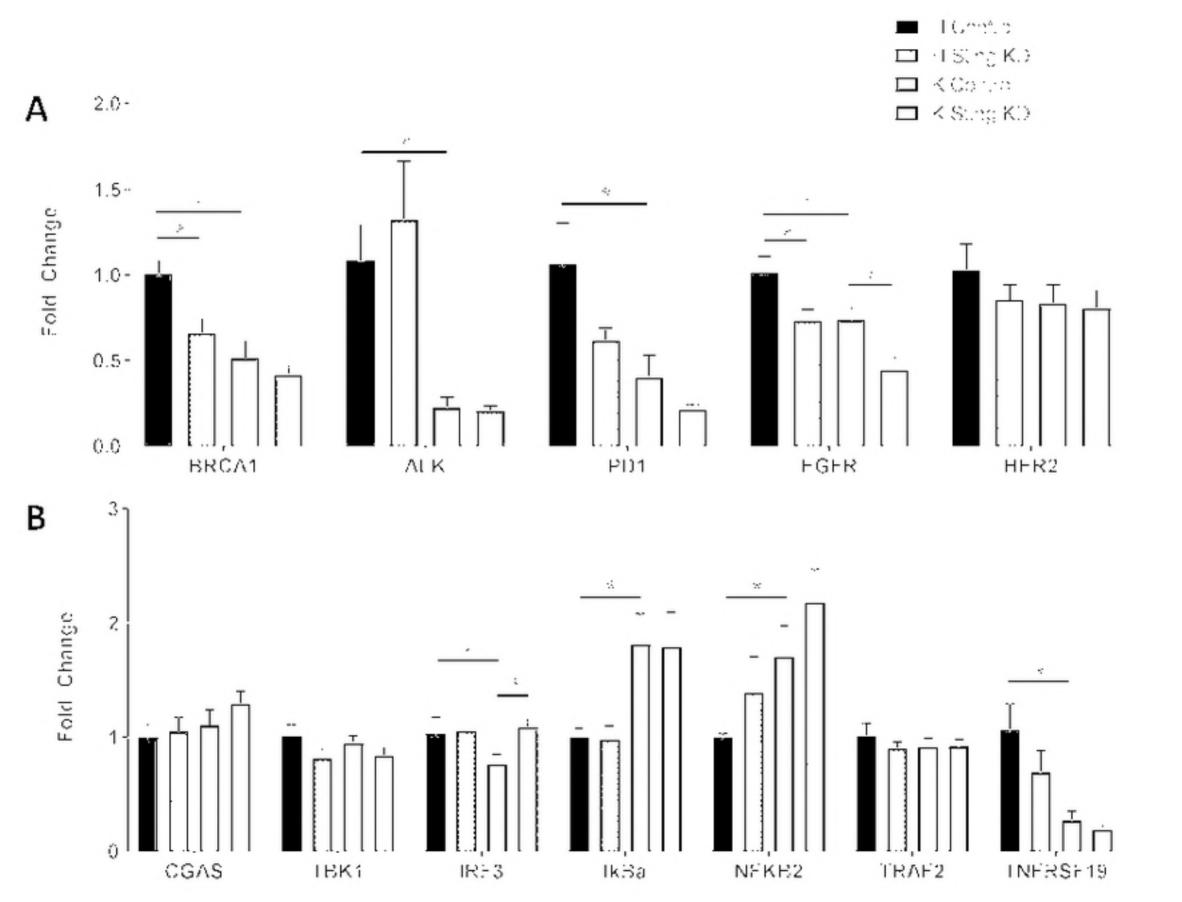


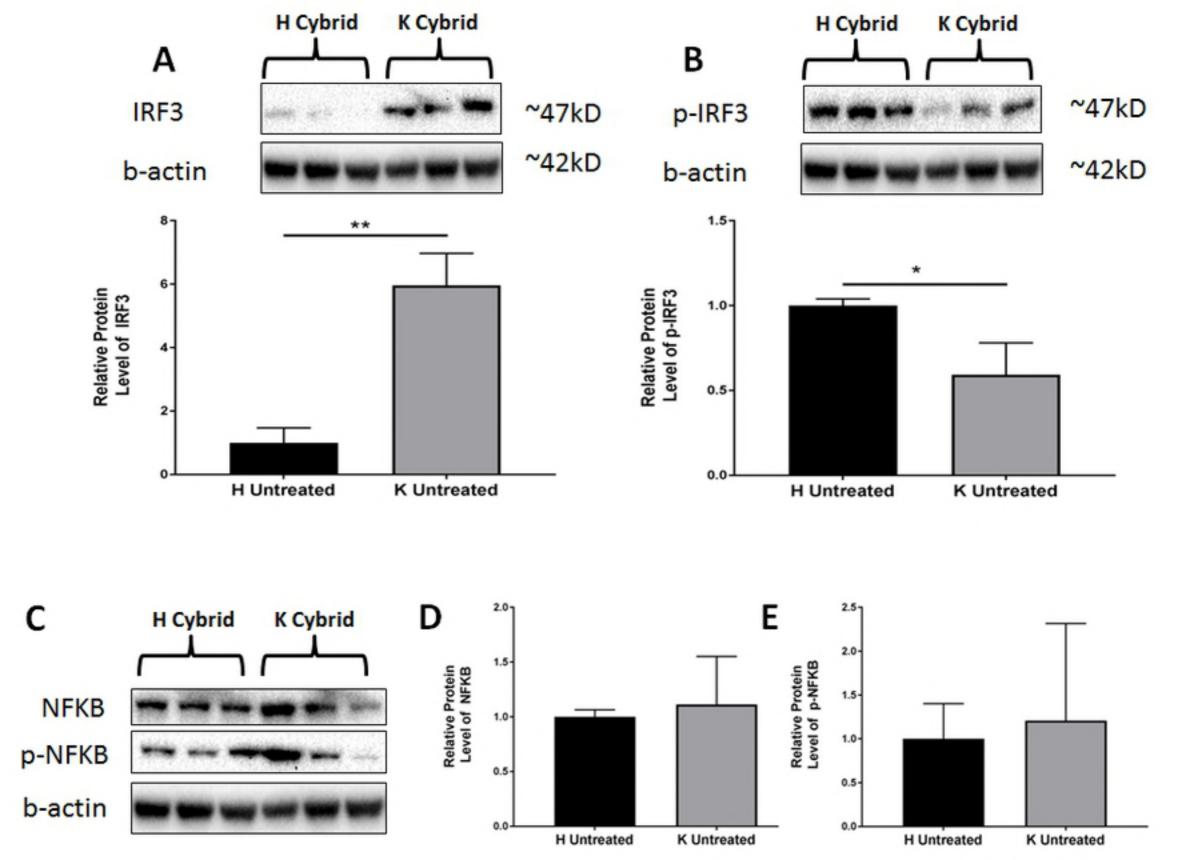




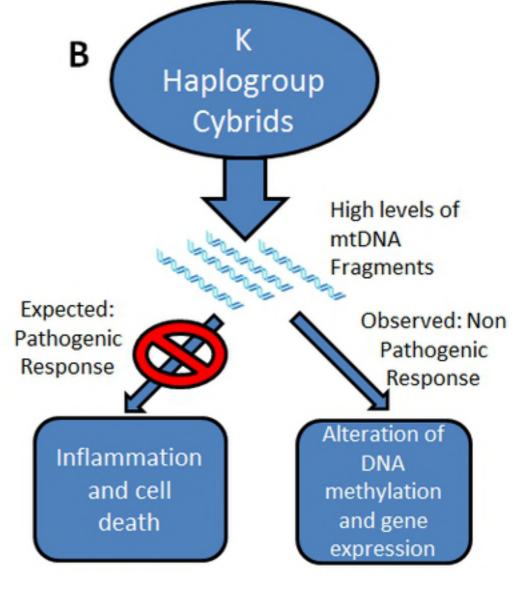


CD55





| | Influenced by <u>Haplogroup</u> | Influenced by <u>STING</u> | Influenced differentially by both Haplogroup and STING |
|-----------------------|------------------------------------|-------------------------------|---|
| DNA Methylation | | | |
| DNMT1 | Yes | Yes | No |
| DNMT3a | Yes | Yes | No |
| DNM T3b | Yes | Yes | Yes** |
| TRDMT1 | Yes | No | No |
| Histone Deacetylation | | | |
| HDAC1 | No | Yes | No |
| HDAC9 | No | Yes | Yes** |
| Inflammation | | | |
| FNa | Yes | Yes | Yes** |
| FNb | Yes | No | No |
| CCL2 | Yes | No | No |
| L33 | Yes | No | No |
| CFI | No | Yes | Yes** |
| CFH | No | Yes | Yes** |
| IL6 | No | Yes | Yes** |
| Cancer | | | |
| BRCA | Yes | Yes | Yes** |
| EGFR | Yes | Yes | No |
| ALK | Yes | No | No |
| PD1 | Yes | No | No |
| ERBB | No | No | No |
| STING Pathway | | | |
| lkBa | Yes | No | No |
| NFKB2 | Yes | No | No |
| TNFRSF19 | Yes | No | No |
| IRF3 | Yes | Yes | Ye s** |
| CGAS | No | No | No |
| TBK1 | No | No | No |



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