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1	A spike timing-dependent plasticity rule for single,
2	clustered and distributed dendritic spines
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15	ABSTRACT
16	Dendritic spines can undergo structural remodeling, and are the preferential site for the
17	induction of long-term potentiation (LTP) and long-term depression (LTD). In a variant of
18	LTP and LTD, known as spike-timing dependent plasticity (STDP), the sign and magnitude
19	of the change in synaptic strength depends on the timing between the spikes of two connected
20	neurons. Although STDP has been extensively studied in cortical pyramidal neurons, the
21	precise structural organization of excitatory inputs that supports STDP, as well as the
22	structural, molecular and functional properties of dendritic spines during STDP remain
23	unknown. Here we developed a spine STDP protocol, in which two-photon glutamate
24	uncaging over single or multiple spines from the basal dendrites of layer 5 pyramidal

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25 neurons, which mimics presynaptic release of glutamate (pre), was paired with somatically generated postsynaptic spikes (post). We found that the induction of STDP in single spines 26 follows a classical Hebbian STDP rule, where pre-post pairings at timings that trigger LTP 27 28 (t-LTP) produce shrinkage of the activated spine neck and a concomitant increase in its synaptic strength; and post-pre pairings that trigger LTD (t-LTD) decrease synaptic 29 strength without affecting the activated spine shape. Furthermore, we tested whether the 30 single spine-Hebbian STDP rule could be affected by the activation of neighboring 31 (clustered) or distant (distributed) spines. Our results show that the induction of t-LTP in 32 two clustered spines (< 5 µm apart) enhances LTP via a mechanism that is accompanied by 33 local spine calcium increases that accumulates during the induction protocol, and that 34 requires actin polymerization-dependent neck shrinkage, which permits AMPA receptor 35 transport to the spine head and insertion into the postsynaptic density (PSD). Moreover, the 36 induction of t-LTD is disrupted when two clustered spines are activated, with no calcium 37 accumulation in spines or dendrites, but can be recovered if the activated spines are 38 separated by  $> 40 \mu m$ . These results indicate that the induction of STDP in single, or 39 distributed spines, follow a Hebbian STDP rule. Interestingly, synaptic cooperativity, 40 induced by the co-activation of only two clustered spines and the local spatio-temporal 41 summation of clustered synaptic inputs, provides local dendritic depolarization and local 42 calcium signals that are sufficient to disrupt t-LTD and extend the temporal window for the 43 44 induction of t-LTP, leading to STDP only encompassing LTP.

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Dendritic spines, the main recipient of excitatory information in the brain <sup>1</sup>, are tiny protrusions 46 with a small head ( $\sim$ 1 µm in diameter and <1 fL volume) separated from the dendrite by a slender 47 neck. Spines can undergo structural remodeling that is tightly coupled with synaptic function <sup>1-4</sup>. 48 and are the preferential site for the induction of long-term potentiation (LTP)<sup>4-6</sup> and long-term 49 depression (LTD)<sup>7</sup>, thought to be the underlying mechanisms for learning and memory in the brain 50 <sup>8</sup>. A variation of LTP and LTD has been described in pyramidal neurons that involves the pairing 51 of pre- and postsynaptic action potentials, known as spike-timing dependent plasticity (STDP)<sup>9</sup>, 52 <sup>10</sup>. In this process, the timing between pre- and postsynaptic action potentials modulates synaptic 53 strength, triggering LTP or LTD<sup>10</sup>. The sign and magnitude of the change in synaptic strength 54 depends on the relative timing between spikes of two connected neurons (the pre- and postsynaptic 55 neuron<sup>11</sup>). The STDP learning rules and their dependency on postsynaptic dendritic depolarization 56 <sup>12, 13</sup>, firing rate <sup>12</sup>, and somatic distance of excitatory inputs <sup>13-15</sup> have been extracted from studies 57 using connected neuronal pairs or by using extracellular stimulating electrodes, but the precise 58 location and structural organization of excitatory inputs capable of supporting STDP at its minimal 59 60 functional unit – the dendritic spine – are unknown.

Activity-dependent spine morphological changes (spine head <sup>4</sup>, neck <sup>2</sup>, or both <sup>16</sup>) have been correlated with changes in synaptic strength in cortical pyramidal neurons by mechanisms involving biochemical and electrical spine changes <sup>1, 6</sup>. Thus, here we asked what patterns of activity and structural organization of excitatory synaptic inputs support the generation of t-LTP and t-LTD, and which morphological, biophysical and molecular changes observed in dendritic spines can account for the induction of t-LTP and t-LTD?

To induce synapse-specific STDP we developed a protocol whereby two-photon (2P) uncaging of
a caged glutamate (MNI-glutamate <sup>3</sup>) at a single spine – to mimic synaptic release – is preceded

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or followed in time (STDP timing window <sup>10</sup>) by a backpropagating action potential (bAP) to 69 trigger t-LTP (Figure 1A, pre-post) or t-LTD (Figure 2A, post-pre), respectively. Postsynaptic 70 spikes were triggered by current injection via a whole-cell recording pipette. Two-photon 71 72 uncaging of a caged glutamate at a single spine triggered excitatory postsynaptic potentials (uncaging(u)EPSP) that were recorded in the soma of layer 5 (L5) pyramidal neurons before and 73 after the induction of STDP, while the morphology of the activated spine neck and head was 74 75 monitored (Figure 1A and 2A). To induce synapse-specific STDP and monitor calcium levels in the activated spines and parent dendrites we developed a protocol during which we perform nearly 76 simultaneous 2P uncaging of glutamate and 2P calcium imaging of the activated spines and nearby 77 dendrites. 78 Here, we provide evidence showing that the induction of STDP in single or distributed spines 79 80 follows a bidirectional Hebbian STDP rule. Furthermore, we show that synaptic cooperativity, induced by the co-activation of only two clustered spines, disrupt t-LTD (< 40  $\mu$ m distance 81 between spines) and extend the temporal window for the induction of t-LTP ( $< 5 \mu m$  distance 82 83 between spines) via the generation of differential local calcium signals leading to an STDP rule for clustered inputs only embracing LTP. 84 85 86

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## RESULTS

# 93 Induction of t-LTP in single dendritic spines

To induce t-LTP, we used a repetitive spike-timing protocol (40 times, 0.5 Hz) in which 2P uncaging of glutamate at a single spine was closely followed in time (+7 or +13 ms later, see Methods section) by a bAP (Figure 1A). We evaluated spine morphology and uEPSP amplitude for 40 min following STDP induction to establish the time course of STDP at individual synapses (Figure 1C.1 and D.1). In addition, the maximum uEPSP change and concomitant changes in spine morphology observed in each experiment are shown (Figure 1C.2 and D.2).

A repetitive pre-post pairing protocol of +13 ms reliably induced t-LTP (significant increase in the 100 uEPSP amplitude over time, P < 0.001, n = 7 experiments, from 6 neurons, from 6 mice, Figure 101 1B.1 and C.1), and shortening of the activated spine neck within a few minutes (P < 0.001), with 102 103 no significant change in spine head volume (n = 7, Figure 1B.2 and C.1). These results were also 104 consistent when we considered the maximum uEPSP change in amplitude from each experiment and concomitant changes in spine morphology (uEPSP =  $134.21 \pm 3.29\%$ , P < 0.001, n = 7; neck 105 106 length = 71.88  $\pm$  10.66%, P < 0.05, n = 7; spine head volume = 98.11  $\pm$  7.34%, P = 0.81, n = 7) (Figure 1C.2). We obtained similar results when we instead considered the average of all the values 107 obtained following t-LTP induction for uEPSP amplitude, neck length and head volume 108 (Supplementary Figure 1). This effect was specific to the activated spine (Figure 1B.2), with 109 neighbouring spines having no appreciable changes in their neck length or head volume (neck 110 111 length =  $98.09 \pm 5.06\%$ , P = 0.71, n = 13; head volume =  $103.01 \pm 3.61\%$ , P = 0.42, n = 14). Control experiments showed that there was no significant change in uEPSP amplitude or spine 112 113 morphology following the STDP protocol when either action potentials or synaptic stimulation

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were applied in isolation, as well as when we monitored the long-term stability of these parameterswithout any STDP protocol (Supplementary Figure 2).

A pre-post pairing of +7 ms showed a non-significant tendency for the induction of LTP following 116 117 t-LTP induction, and a non-significant tendency for the shrinkage of the activated spine neck (n = 8 experiments, from 8 neurons, from 8 mice, Figure 1D.1). When the maximum change in uEPSP 118 amplitude from each experiment and the concomitant changes in spine morphology were analyzed, 119 120 we saw no significant changes in uEPSP amplitude and spine morphology (Figure 1D.2, uEPSP =121  $112.27 \pm 14.19\%$ , P = 0.42, n = 8; neck length = 88.90 \pm 5.89\%, P = 0.10, n = 8; head volume =  $97.81 \pm 4.54\%$ , P = 0.64, n = 8) (Figure 2E). Similar results were observed when we instead 122 considered the average of all the values obtained following t-LTP (+7 ms) induction for uEPSP 123 amplitude, neck length and head volume (Supplementary Figure 1). Because voltage has been 124 125 shown to be an important factor in the induction of t-LTP and t-LTD, we verified that the initial 126 uEPSP amplitudes were not significantly different for pre-post pairing protocols of +13 ms versus +7 ms (uEPSP:  $0.62 \pm 0.14$  versus  $0.53 \pm 0.16$  mV, P = 0.68; Supplementary Figure 3). 127 128 These results indicate that there is a preferred pre-post t-LTP pairing time-window (+ 13 ms) at

which activated spines in basal dendrites from L5 pyramidal neurons undergo a significant increasein synaptic strength, and a concomitant neck shrinkage (Figure 2E).

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### 132 Induction of t-LTD in single dendritic spines

We then studied t-LTD in single spines by using a repetitive spike-timing protocol (40 times, 0.5 Hz) in which 2P uncaging of glutamate at a single spine was preceded in time (-15 or -23 ms) by a bAP (post-pre protocol, Figure 2A). When postsynaptic spikes preceded presynaptic firing by 15 ms (i.e., -15 ms), a significant reduction of the uEPSP amplitude occurred within a few minutes

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137	following induction of t-LTD (n= 6 experiments, from 5 neurons and 5 mice, Figure 2B.1 and C.1,
138	P < 0.001), with no significant changes in spine neck length or head dimension (Figure 2B.2 and
139	C.1). Furthermore, when the maximum change in uEPSP amplitude after the induction of t-LTD
140	in single spines at pairings of -15 ms was analyzed (Figure 2C.2) we also observed a significant
141	depression of uEPSP amplitude (uEPSP = 71.52 $\pm$ 7.07%, P < 0.01, n = 6), with no significant
142	changes in spine morphology (Figure 2B.2 and C.2, neck length = $105.54 \pm 9.85\%$ , P = $0.62$ , n =
143	6; head volume = $103.25 \pm 3.02\%$ , P = 0.33, n = 6). Interestingly, after the induction of t-LTD in
144	single spines when postsynaptic spikes preceded presynaptic firing by 23 ms (i.e., -23ms) there
145	were no significant changes in the amplitude of the uEPSPs or in the spine neck length and head
146	dimensions for the duration of the recordings (n=7 experiments, from 7 neurons and 7 mice, Figure
147	2D.1). These results were also consistent with analyses of the maximal uEPSP change in each
148	experiment and concomitant spine morphology (Figure 2D.2: uEPSP = $82.09 \pm 9.89\%$ , P = 0.12,
149	n = 7; neck length = 84.15 ± 7.73%, P = 0.09, n = 7; head volume = 98.81 ± 5.57%, P = 0.84, n = 0.09, n = 7; head volume = 98.81 ± 5.57%, P = 0.84, n = 0.09, n = 100, n =
150	7). There was no significant difference between the initial EPSP amplitude for post-pre pairing
151	protocols of -15 ms versus -23 ms (EPSP: $0.59 \pm 0.07$ versus $0.49 \pm 0.08$ mV, P = $0.42$ ;
152	Supplementary Figure 3). We obtained similar results when we instead considered the average of
153	all the values obtained following t-LTD induction in single spines for uEPSP amplitude, neck
154	length and head volume (Supplementary Figure 1). This indicates that only a post-pre t-LTD
155	pairing time-window of -15 ms can effectively induce LTD in single dendritic spines in the basal
156	dendrites from L5 pyramidal neurons.

Taken together these results show that the induction of t-LTP and t-LTD in single spines follows
a Hebbian-STDP learning rule that is bidirectional, and favors presynaptic inputs that precede
postsynaptic spikes and depresses presynaptic inputs that are uncorrelated with postsynaptic spikes

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160	at a very precise and narrow temporal window (+13 ms for the generation of t-LTP and -15 ms for
161	t-LTD, Figure 2E-F). The single spine STDP rule we observed has a narrower post-pre LTD
162	induction pairing time window than previously observed in connected pairs of L2/3 $^{14, 17}$ and L5
163	pyramidal neurons $^{12}$ – where the presynaptic control of t-LTD via an mGluR and/or cannabinoid
164	type 1 receptor-dependent mechanism <sup>18-21</sup> could plausibly account for these differences.

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## 166 Induction of t-LTP in clustered dendritic spines

It has been suggested that STDP not only depends on spike timing and firing rate but also on 167 synaptic cooperativity and the amount of voltage generated at the postsynaptic site <sup>12, 13</sup>. However, 168 169 a direct demonstration of synaptic cooperativity at the level of single spines in the dendrites of pyramidal neurons remains unknown. Hence, an experiment was designed to directly test if 170 171 synaptic cooperativity, marked by the co-induction of t-LTP in clustered dendritic spines from basal dendrites of L5 pyramidal neurons, and the local spatio-temporal summation of inputs, can 172 generate a local dendritic depolarization and local calcium signals, that are high enough to disrupt 173 174 the single spine STDP learning rule described in Figure 2E. To test this, a two spine STDP protocol (forty 2P uncaging pulses, pulse duration 2ms, 0.5Hz, see methods section) was performed, 175 whereby 2P uncaging of caged glutamate in clustered (distance between spines  $< 5 \mu m$ ) spines 176 was followed in time by a bAP to trigger t-LTP (Figure 3A). With this protocol, we investigated 177 whether activating clustered spines extended the pre-post timing window capable of generating 178 179 LTP by increasing the degree of depolarization immediately before the postsynaptic spike at 180 timings where plasticity was not reliably generated. Specifically, we induced t-LTP in two clustered spines at pre-post timings of +7 ms, and surprisingly found that this protocol was in fact 181 capable of effectively and significantly generating increases in uEPSP amplitude (Figure 3B.1) 182

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183 and the concomitant shrinkage of the activated spine neck, with no apparent changes in its spine 184 head size (Figure 3B.2). Pooled data showed that significant increases in uEPSP amplitude and shrinkage of the spine neck of the activated spines occurs only a few minutes post t-LTP induction 185 186 and lasted for the duration of the recordings (Figure 3C.1, P < 0.01, n = 10 spines from 8 experiments, 6 neurons and 6 mice), with no significant changes in the spine head size (Figure 187 3C.1, n = 16 spines from 8 experiments, 6 neurons, 6 mice). Similar results were observed when 188 189 we analyzed the maximal change in uEPSP amplitude in each experiment and the concomitant spine neck length and head size of the two clustered spines after induction of t-LTP at pairings of 190 + 7 ms (Figure 3C.2; uEPSP =  $130.86 \pm 8.18\%$ , P < 0.01, n = 8; neck length =  $73.22 \pm 5.84\%$ , P < 191 0.01, n = 10; head volume =102.00  $\pm 2.58\%$ , P = 0.45, n = 16). We obtained similar results when 192 we instead considered the average of all the values obtained following t-LTP induction in two 193 194 clustered spines for uEPSP amplitude, neck length and head volume (Supplementary Figure 1). In control experiments, no significant change in uEPSP amplitude or spine morphology was observed 195 when we monitored the long-term stability of these parameters without any STDP protocol 196 197 (Supplementary Figure 2). These results indicate that synaptic cooperativity – shown by the induction of t-LTP in only two clustered spines ( $< 5 \,\mu$ m apart) – is sufficient to significantly trigger 198 synaptic potentiation and shrinkage of the activated spine necks at a pre-post timing that is 199 otherwise ineffective at generating significant morphological changes and synaptic potentiation 200 when only one spine is being activated (for comparison between one versus two cluster spines see 201 Supplementary Figure 4). Hence, the synaptic cooperativity of only two neighbouring synaptic 202 inputs onto spines ( $< 5 \,\mu m$  apart) in the basal dendrites of L5 pyramidal neurons extends the pre-203 post timing window that can trigger potentiation (Figure 3C.2, and compare Figure 3C.1 with 204 205 Figure 1D.1).

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# 207 Molecular mechanisms responsible for the generation of t-LTP in dendritic spines

The results led us to consider the possible mechanisms underlying the generation of t-LTP at the 208 209 level of single spines. Specifically, we asked why the induction of t-LTP in single and clustered spines is associated with the shrinkage of the activated spine neck. We and others have reported 210 that the induction of LTP can trigger activity dependent changes in neck length <sup>2, 16</sup> and spine head 211 size <sup>4, 6, 16</sup>, and that the amplitude of uEPSP recorded at the cell soma is inversely proportional to 212 the length of the activated spine neck <sup>2, 22, 23</sup>. However the mechanisms by which the t-LTP-induced 213 neck shrinkage is associated with synaptic plasticity remains unknown. Numerical simulations 214 show that the EPSP amplitude/neck length correlation can be explained by variations in synaptic 215 conductance, electrical attenuation through the neck, or a combination of the two<sup>2</sup>. Nevertheless, 216 217 solutions that rely exclusively on the passive electrical attenuation of synaptic inputs through the spine neck assume very high (> 2 GOhm) neck resistance  $^{2}$ , which are at odds with recent spine 218 neck resistance estimations <sup>24, 25</sup>. These results suggest that the control of AMPA receptor content 219 220 in spines could contribute significantly to the observed t-LTP-dependent changes in synaptic strength. To experimentally study the contribution of AMPA receptors to these phenomena, we 221 performed t-LTP experiments in two clustered spines from L5 pyramidal neurons recorded via 222 patch pipettes loaded with intracellular solution containing 200  $\mu$ M PEP1-TGL – a peptide that 223 inhibits AMPA receptor incorporation to the postsynaptic density (PSD) by blocking GluR1 C-224 terminus interaction with PDZ domains at the PSD <sup>26</sup> (Figure 4A). PEP1-TGL incubation by itself 225 did not trigger a run-down of uEPSP amplitude or changes in spine morphology over time 226 (Supplementary Figure 5A). Pooled data from experiments where a repetitive pre-post pairing 227 228 protocol of +7 ms was used to activate clustered spines in the presence of PEP1-TGL show that

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229	the peptide completely inhibited t-LTP for the duration of the experiment (Figure 4B.1 and C.1),
230	but had no effect on the t-LTP-induced shrinkage of the activated spine necks (Figure 4B.2 and
231	C.1, $P < 0.001$ , $n = 6$ spines, from 5 experiments, 5 neurons and 5 mice) or in modifying spine
232	head size ( $n = 10$ spines, from 5 neurons and 5 mice, Figure 4C.1). Furthermore, when we analyzed
233	the maximum change in uEPSP amplitude and the concomitant spine morphology after the
234	induction of t-LTP in the presence of PEP1-TGL, we also found an inhibition of t-LTP, but no
235	effect on the t-LTP-induced shrinkage of the spine neck (Figure 4B.2-C.2, uEPSP = 94.82 $\pm$
236	14.82%, P = 0.74, n = 5 experiments, from 5 neurons and 5 mice; neck length = $83.92 \pm 5.35\%$ , P
237	$< 0.05$ , n = 6; head volume = 100.08 $\pm$ 3.23%, P = 0.98, n = 10). We obtained similar results when
238	we instead considered the average of all the values obtained following t-LTP induction in two
239	clustered spines in the presence of PEP1-TGL for uEPSP amplitude, neck length and head volume
240	(Supplementary Figure 1). No significant difference was observed between the initial uEPSP
241	amplitude for pre-post pairing protocols of +7 ms with versus without PEP1-TGL (uEPSP: 1.06 $\pm$
242	0.2 versus $1.16 \pm 0.28$ mV, P = 0.81; Supplementary Figure 3). These results indicate that GluR1
243	receptor incorporation into the PSD - via its interaction with PDZ domains - is required for the
244	induction of t-LTP in spines. However, the role of the spine neck shrinkage in AMPA receptor
245	incorporation into the PSD and ultimately on the induction of t-LTP remains open.
246	Experimental and theoretical studies have indicated that lateral diffusion of AMPA receptors into

Experimental and theoretical studies have indicated that lateral diffusion of AMPA receptors into and out of the spine head can be restricted by the spine neck geometry  $^{27-30}$ . In particular, lateral diffusion of AMPA receptors into and out of mushroom spines (long-necked spines) has been shown to be significantly slower than that observed in stubby spines (small-necked spines)  $^{27}$  – which is supported by studies that show reduced diffusion of membrane proteins located in spine necks  $^{31}$ . In addition, quantitative models using realistic spine morphologies indicate that

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252 decreasing the radius and increasing the spine neck length increases the retention of AMPA receptors at the synapse <sup>29</sup>, even when their interaction with scaffolding cytoskeletal proteins is 253 neglected <sup>30</sup>. Actin is highly enriched in the spine neck and head <sup>32</sup>, and plays an important role in 254 anchoring AMPA receptors in the spine <sup>33</sup> and AMPA receptor trafficking <sup>34</sup>, being instrumental 255 for synaptic transmission and plasticity <sup>35-37</sup>. Hence, to address the role that t-LTP-induced neck 256 shrinkage has on AMPA receptor lateral trafficking to the PSD, and the generation of t-LTP in the 257 258 activated spines we focused on actin dynamics. We used the actin polymerization inhibitor latrunculin A (Lat-A) <sup>33, 35, 37</sup> (Figure 4D) – which did not trigger any run-down of uEPSP 259 amplitude or changes in spine morphology over time in the absence of STDP induction 260 (Supplementary Figure 5B) – to test the potential role of actin dynamics on the spine induction of 261 t-LTP, and on the neck shrinkage and AMPA receptor incorporation into the PSD in the activated 262 263 spines (Figure 4D). The induction of t-LTP at pre-post pairings of +7 ms in two clustered spines in the presence of 100 nM Lat-A completely blocked the shrinkage of the activated spine necks 264 and the induction of t-LTP (Figure 4E and F.1, n = 8 spines, from 3 neurons and 2 mice), inducing 265 266 instead a significant reduction in uEPSP amplitude over time (Figure 4F.1, P < 0.001, n = 4experiments, from 3 neurons and 2 mice). These observations were also consistent with analyses 267 of the maximal change in uEPSP amplitude in each experiment and concomitant spine morphology 268 post t-LTP induction in the presence of Lat-A (Figure 4F.2, uEPSP=  $55.45 \pm 7.13\%$ , P < 0.01, n = 269 4 experiments; neck length =  $87.20 \pm 9.15\%$ , P = 0.21, n = 8 spines; head volume =  $101.25 \pm$ 270 10.99%, P = 0.91, n = 8 spines). 271

We obtained similar results when we instead considered the average of all the values obtained following t-LTP induction in two clustered spines in the presence of 100 nM Lat-A for uEPSP amplitude, neck length and head volume (Supplementary Figure 1).

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No significant difference was observed between initial uEPSP amplitudes for pre-post pairing protocols of +7 ms with versus without Lat-A (uEPSP:  $0.94 \pm 0.20$  versus  $1.16 \pm 0.28$  mV, P = 0.61; Supplementary Figure 3). The lack of run-down of uEPSP amplitude over time in neurons treated with Lat-A in the absence of STDP induction (Supplementary Figure 5B), but the significant depression in uEPSP after the induction of t-LTP suggests that the induction of plasticity, and the rearrangement of actin filaments de-stabilized AMPA receptors, leading to removal from the PSD.

In summary, these results show that actin polymerization is required for the t-LTP-dependent neck shrinkage and the induction of plasticity. Our findings further suggest that the induction of t-LTP occurs via a mechanism that involves a neck-shrinkage-dependent facilitated diffusion of GluR1 subunits from the spine neck to the head, and subsequent incorporation into the PSD. We hypothesize that a shorter and wider neck facilitates the transport of AMPA receptors into the spine head (Figure 4D), a mechanism that is required for the induction of t-LTP.

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#### 289 Induction of t-LTD in clustered and distributed dendritic spines

290 We then studied whether the induction t-LTD in single spines observed at pairings of -15 ms could 291 be affected by synaptic cooperativity. Our reasoning was based on two previous observations which suggest that 1) t-LTP induction in the distal dendrites of L5 pyramidal neurons (layer 3-L5 292 pyramidal neuron pairs) triggers LTD instead of LTP, and 2) that LTD can be converted into LTP 293 by increasing the local voltage <sup>13</sup>. We hypothesised that the induction of t-LTD in single spines 294 depends on the degree of local depolarization and hence, LTD can be disrupted by the activation 295 of neighboring spines. To test this, we performed repetitive spike-timing protocol (40 times, 0.5 296 297 Hz) in which 2P uncaging of glutamate at two spines (separated by up to 100 µm) was preceded

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298	in time (-15 ms) by a bAP (Figure 5D and Supplementary Figure 6A). Surprisingly, we found that
299	this t-LTD protocol failed to induce any change in uEPSP amplitude or spine head volume with
300	only a slight but significant reduction in spine neck length (Supplementary Figure 6B). When we
301	analyzed the maximum uEPSP change in amplitude in each experiment and the concomitant
302	morphological alterations in the activated spines after t-LTD induction, we observed a complete
303	inhibition in the induction of t-LTD, and no change in spine morphology (Supplementary Figure
304	6C; uEPSP = 93.22 $\pm$ 6.29%, P = 0.30, n = 17 experiments from 14 neurons and 14 mice; neck
305	length = $88.56 \pm 5.69\%$ , P = $0.06$ , n = $23$ spines; head volume = $102.41 \pm 6.10\%$ , P = $0.69$ , n = $34$
306	spines). To more precisely characterize the effect of activating two spines on the induction of t-
307	LTD, we correlated the inter-spine distance and the uEPSP change following STDP induction (see
308	Methods). We found that as the two activated spines were further away from each other, the more
309	t-LTD was recovered (Supplementary Figure 6D). Specifically, the uEPSP change decayed
310	exponentially as a function of inter-spine distance with a length constant ( $\lambda$ ) of 43.5 $\mu$ m. Therefore,
311	we used this value as a boundary between clustered (< 40 $\mu$ m) and distributed (> 40 $\mu$ m) spines.
312	Using this classification, clustered spines were located in the same dendrite ( $n = 11/12$ pairs) or in
313	sister branches emanating from the same bifurcation point ( $n = 1/12$ pairs), while distributed spines
314	were always located on separate dendrites ( $n = 5/5$ pairs). When we separated our data in this
315	manner, the t-LTD protocol in two clustered spines (Figure 5A) failed to induce LTD (Figure 5B.1)
316	or changes in spine head size at all the times tested post t-LTD induction (Figure 5B.2 and C.1, n
317	= 12 experiments, $n = 24$ spines, from 11 neurons and 11 mice), with only a slight but significant
318	induction of shrinkage of the spine neck at some time points (Figure 5C.1, $P < 0.05$ , $n = 19$ spines,
319	from 11 neurons and 11 mice). For comparison between the activation of one versus two clustered
320	spines with a post-pre timing of -15 ms see Supplementary Figure 7. When we analyzed the

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321	maximum uEPSP change in amplitude in each experiment and the concomitant morphological
322	alterations in the activated spines after t-LTD induction in two clustered spines, we also observed
323	a complete inhibition in the induction of LTD, a slight but significant reduction in spine neck
324	length, and no changes in spine head size (Figure 5C.2; uEPSP = $101.70 \pm 7.02\%$ , P = 0.81, n =
325	12 experiments; neck length = 85.28 $\pm$ 5.96%, P < 0.05, n = 19 spines; head volume = 102.98 $\pm$
326	8.86%, $P = 0.73$ , $n = 24$ spines, from 12 experiments performed in 11 neurons from 11 mice). We
327	obtained similar results when we instead considered the average of all the values obtained
328	following t-LTD induction in clustered spines for uEPSP amplitude, neck length and head volume
329	(Supplementary Figure 1). These results were surprising since not only did we not observe t-LTD
330	in clustered spines, but we also observed significant neck shrinkage with no LTP (see Figure 1 and
331	3). To account for this observation, we explored if there was a correlation between the induction
332	of plasticity in these experiments and both the shrinkage of the spine neck and the distance between
333	the activated clustered spines – since the local voltage, and hence the induction of plasticity, could
334	be affected by the distance between the activated clustered spines. Indeed, we found that the
335	distance between the activated spines under these experimental conditions (t-LTD induction
336	protocol in clustered spines) is correlated with the induction of plasticity and the shrinkage of the
337	activated spine necks (Equation 1 in Methods; $P < 0.01$ ; Supplementary Figure 6E). This analysis
338	suggests that during t-LTD induction the structural arrangement of clustered spines (< 40 $\mu$ m)
339	determines the sign and magnitude of the change in synaptic strength and concomitant neck
340	shrinkage.

We next investigated the mechanisms underlying the disruption of t-LTD by activating spines separated by increasingly larger distances (Figure 5D). Interestingly, the induction of t-LTD in spines separated by more than 40  $\mu$ m (distributed spines) was capable of recovering the generation

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of LTD (Figure 5E.1 and Supplementary Figure 6D). Pooled data from all experiments 344 demonstrate that the activation of distributed spines reliably induces t-LTD (Figure 5F.1, 345 significant reduction in uEPSP amplitude, P < 0.01, n = 5 experiments from 5 neurons and 5 mice), 346 347 without triggering changes in neck length or spine head size (Figure 5E.2 and F.1). When we analyzed the maximal change in uEPSP amplitude in each experiment and concomitant spine 348 morphological changes, we saw a significant induction of t-LTD and no change in spine 349 350 morphology (Figure 5F.2, uEPSP =  $72.86 \pm 8.08\%$ , P < 0.05, n = 5 experiments, neck length =  $97.85 \pm 15.47\%$ , P = 0.89, n = 6 spines; head volume =  $101.06 \pm 4.59\%$ , P = 0.82, n = 10 spines) 351 as what was found in experiments where t-LTD was generated at pairing times of -15 ms in single 352 dendritic spines (Figure 2B-C). We obtained similar results when we instead considered the 353 average of all the values obtained following t-LTD induction in distributed spines for uEPSP 354 355 amplitude, neck length and head volume (Supplementary Figure 1). No significant difference was observed between the initial uEPSP amplitude for clustered versus distributed spines activated 356 with post-pre pairings of -15 ms (EPSP:  $1.06 \pm 0.13$  versus  $1.31 \pm 0.19$  mV, P = 0.25; 357 358 Supplementary Figure 3). For comparison between the activation of clustered versus distributed spines after post-pre pairings of -15 ms, see Supplementary Figure 8. 359

360 In summary, this data shows that the induction of t-LTD at pairing times of -15 ms was completely 361 disrupted when only two clustered spines (< 40  $\mu$ m apart) were activated in the basal dendrites of 362 L5 pyramidal neurons, but could be recovered if the activated spines are distributed (> 40  $\mu$ m) in 363 the dendritic tree.

364

Spine calcium transients during the induction of t-LTP and t-LTD in single and clustered
 dendritic spines

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Calcium is critical for the induction of synaptic plasticity <sup>38-42</sup>, and high or low local concentration 367 difference in dendrites and spines are thought to be associated with gating LTP or LTD, 368 respectively <sup>43-45</sup>. Therefore, to investigate the different mechanisms – with respect to local calcium 369 370 accumulations – underlying the induction of t-LTP and t-LTD in single versus two clustered spines, we performed 2P calcium imaging in a region of interest (ROI) of the activated spines and 371 their parent dendrites during STDP induction protocols throughout each of the 40 pre-post or post-372 373 pre repetitions (see Methods). The "before" images correspond to the calcium signals observed in the ROI right before the pairing in each repetition – uncovering the lack or presence of local 374 calcium accumulation during the 40 pairing repetitions. The "after" images correspond to the 375 calcium signals observed in the ROI right after the pairing in each repetition – uncovering a proxy 376 for the amplitude and local calcium accumulation during the 40 pairing repetitions. 377

To dissect potential differences in local calcium signals and accumulation that can account for the presence or absence of t-LTP and t-LTD induction in clustered versus distributed spines, we imaged 2P calcium activity during four different STDP induction protocols: (1) pre-post pairing of +7 ms in one spine; (2) pre-post pairing of +7 ms in two clustered spines; (3) post-pre pairing of -15 ms in one spine; (4) post-pre pairing of -15 ms in two clustered spines.

During the pre-post (+7 ms) pairing protocol in single spines we found that, across the 40 repetitions, there was little to no calcium accumulation in the spine or dendrite (Figure 6A-B and left panels in Figure 6C, n = 7 experiments, from 6 neurons, and 4 mice). As expected, there was, however, a significant increase in calcium immediately following the stimulation (left panels in Figure 6F) that due to the lack of accumulation throughout the 40 repetitions, did not build up a local calcium signal in the activated dendrites and spines. In contrast, when we applied the exact same pairing protocol (pre-post + 7ms) in two clustered spines, there was a striking calcium

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accumulation in both the activated spines and dendrite that was evident when we analyzed the images taken before (Figure 6D-E and middle panels in Figure 6C) and after stimulation (middle panels in Figure 6F, n = 6 experiments, from 4 neurons, and 4 mice). Thus, activating just one additional spine using the same pairing protocol alters the calcium dynamics (compare black and green traces in right panels of Figure 6C and F), possibly through a mechanism that is incapable of extruding calcium increases in spines in between pre-post repetitions, leading to its build up in spines and parent dendrites, which ultimately guide the induction of plasticity.

We performed the same experiments with a post-pre (-15 ms) pairing protocol in both single and 397 clustered spines. In single spines, we observed moderate calcium increases (Figure 7A-B, left 398 panels in Figure 6C and F, n = 5 experiments, from 4 neurons, and 4 mice) that were observed 399 when we analyzed images taken before and after the post-pre stimulation. Surprisingly, we found 400 401 similar results to those observed with single spine t-LTD induction protocols, when we applied the same pairing protocol in two clustered spines (Figure 7D-E, middle panels in Figure 7A and F, n 402 = 6 experiments, from 4 neurons, and 3 mice) even though no plasticity is induced in this condition. 403 As suggested by previous studies <sup>46</sup>, we hypothesize that the range of spine calcium levels required 404 for the induction of t-LTD is relatively narrow, and that the resolution with our current 405 experimental set-up is not sufficient to tease apart significantly different calcium dynamics in one 406 versus two clustered spines during a post-pre pairing protocol of -15 ms. Moreover, modeling 407 STDP provide evidence that, in addition to overall calcium levels, the detailed time course of 408 409 calcium levels in the postsynaptic cell during a pairing protocol also guide the induction of plasticity <sup>47</sup>. Nonetheless, these results suggest that the induction of t-LTD does not require 410 significant calcium accumulations during the 40 repetitions, and most likely depends on the 411 412 amplitude of calcium signals right after the stimulation. An interesting observation is that when

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we fit the calcium signals with a linear regression, the fits from all the different induction protocols 413 have different slopes, which goes in line with our hypothesis (Supplementary Figure 9). More 414 specifically, in single spines, a pre-post pairing protocol of +7 ms induced a relatively low calcium 415 416 signal with a shallow slope (black lines in Supplementary Figure 9) whereas in clustered spines 417 this same protocol caused a robust increase in calcium with a steep slope (blue lines in Supplementary Figure 9). A post-pre pairing protocol of -15 ms, caused a modest increase in 418 419 calcium in both single and clustered spines before the stimulation (red and green lined in left panels of Supplementary Figure 9), whereas after the stimulation the calcium increase is more prominent 420 in clustered spines (red and green lined in right panels of Supplementary Figure 9). These results 421 provide evidence that the calcium levels needed to induce of t-LTD are restricted to a narrow range 422 and that surpassing this range biases towards the induction of weak levels of LTP. 423

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### DISCUSSION

We uncovered the STDP rules for single, clustered and distributed dendritic spines in the basal 425 dendrites of L5 pyramidal neurons. Our results show that the induction of STDP in single spines 426 427 follows a classical Hebbian STDP learning rule that is bidirectional, in which presynaptic input leading postsynaptic spikes generates t-LTP and postsynaptic spikes preceding presynaptic 428 activation of single dendritic spines results in t-LTD. Furthermore, we found that the induction of 429 430 t-LTP triggers the shrinkage of the activated spine neck, without any significant changes in the spine head size. Our results indicate that the induction of t-LTP requires 1) the incorporation of 431 new GluR-1 receptors with PDZ-domain containing proteins in the PSD and, 2) an actin 432 polymerization-dependent neck shrinkage of the activated spine neck (Figure 4). We showed that 433 the induction of t-LTP triggers actin-dependent neck shrinkage, which is likely required for the 434 435 lateral diffusion of GluR-1 receptors from the spine neck to the spine head, and its incorporation 436 to the PSD – generating plasticity. In support of this spine mechanism of LTP induction is a recent report showing that AMPA receptor surface diffusion is fundamental for the induction of 437 hippocampal LTP and contextual learning <sup>48</sup>. In addition, we found that the induction of t-LTD 438 was not accompanied with spine neck or head changes, which is at odds with previous findings 439 suggesting structural changes in spine head volume during the induction of LTP or LTD<sup>4, 7, 49</sup>. The 440 discrepancy between our results and those observed previously after the induction of t-LTP (head 441 enlargement <sup>49</sup>), LTP <sup>4</sup>, or LTD (head shrinkage, <sup>7</sup>) using glutamate uncaging are likely explained 442 by methodological differences. While our data was obtained using ACSF with physiological 443 concentrations of magnesium and calcium, those from other reports were done in a magnesium-444 free ACSF<sup>4,7</sup>, low calcium extracellular solution for the induction of LTD<sup>7</sup>, or in a magnesium-445

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446 free ACSF and an intracellular solution containing 5  $\mu$ M actin that was required for the t-LTP-447 mediated spine head enlargements <sup>49</sup>.

Nonetheless, it has been shown in vivo that a spike-timing protocol triggers receptive field 448 449 plasticity in layer 2/3 pyramidal neurons is correlated with spine head volume changes (enlargement and shrinkage) observed after 1.5-2 hours <sup>50</sup>. Taken together, our data suggest that 450 there is a new form of structural spine plasticity during t-LTP that involves rapid neck shrinkage 451 without head volume enlargements. In addition, we show that the induction of t-LTD does not 452 require structural spine changes. Although spines have the machinery and do undergo structural 453 454 head changes, we propose that our results represent a stage during memory formation that occurs before structural head volume changes, a process likely linked with memory consolidation. 455 Importantly, our data suggest that during STDP, the use of spine volume changes as the sole proxy 456 for LTP or LTD <sup>50</sup> is not a complete representation of plasticity in spines from dendrites in cortical 457 pyramidal neurons. 458

We then explored the functional consequences of synaptic cooperativity on STDP. Our results 459 460 show that the induction of t-LTP in two clustered spines - separated by less than 5  $\mu$ m - is sufficient 461 to induce LTP and shrinkage of the activated spine necks at a pre-post timing that is otherwise 462 ineffective at triggering significant morphological changes and synaptic potentiation when only one spine is being activated. These results show that the activation of clustered spines extends the 463 pre-post timing window that can trigger potentiation. On the other hand, the induction of t-LTD in 464 two clustered spines disrupts the generation of LTD leading to a STDP learning rule that is 465 incapable of supporting LTD, but only encompasses LTP (Figure 8A). We next investigated the 466 dendritic mechanisms responsible for the disruption of t-LTD, and found that the induction of t-467 468 LTD is fully recovered when the activated spines are separated by more than 40 µm (Figure 5,

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Figure 8A and Supplementary Figure 6). Interestingly, the effective length constant ( $\lambda$ ), that 469 470 represents the length at which the electrotonic potential decays to a value of 37% of that at the point of origin, in the basal dendrites of L5 pyramidal neurons has been reported to be 50  $\mu$ m<sup>51</sup>. 471 472 This value of  $\lambda$  supports the idea that significant voltage attenuations – capable of recovering LTD - can be expected when the t-LTD induction protocol is triggered in spines that are separated by 473 more than 40 µm in the basal dendrites of L5 pyramidal neurons (Figure 5 D-F). However, we 474 cannot discard that other mechanisms, such as the diffusion of active molecules <sup>5</sup>, could contribute 475 to the switch from LTD to no-LTD induction observed in distributed/single spines and clustered 476 477 spines, respectively. These results are in discrepancy with observations showing that in connected pairs of L5-L5 pyramidal cells, t-LTD is reliably generated after post-pre pairing protocols <sup>12</sup>. A 478 likely explanation for this apparent controversy is that the synaptic inputs from one L5 pyramidal 479 neuron to another are distributed <sup>52</sup>. Importantly, clustered and distributed excitatory inputs have 480 been described in the dendrites of pyramidal neurons both *in vitro* and *in vivo*<sup>1, 53-55</sup>. Our results 481 clearly show the functional importance that the structural and temporal organization of excitatory 482 483 synaptic inputs have on the induction of t-LTP and t-LTD, and how just two clustered excitatory synaptic inputs are capable of altering the STDP learning rule in the basal dendrites of L5 484 485 pyramidal neurons (Figure 8A).

How the synaptic activation of just one extra clustered spine is capable of (1) inducing t-LTP at a pre-post timing that is otherwise ineffective in inducing potentiation and (2) disrupting the induction of t-LTD? To explore the mechanisms that may be responsible for these observations we imaged local calcium signals in the activated spines and parent dendrites before and after each of the 40 pairings performed during t-LTP and t-LTD induction protocols. Our reasoning for performing these experiments was based on findings that different levels of depolarization gate

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492 local calcium signals, which depending on its magnitude and kinetics, can generate LTP (high calcium) or LTD induction (sustained but moderate calcium signals) 9, 43, 56. In addition, calcium-493 based modeling studies of STDP have shown that different calcium dynamics mediate the 494 induction of t-LTP versus t-LTD <sup>46, 47</sup>. Specifically, the calcium control hypothesis indicates that 495 large levels of calcium (above a plasticity threshold,  $\Theta_p$ ) are thought to lead to t-LTP whereas more 496 moderate, prolonged levels (between the depression threshold,  $\Theta_{dSTART}$ , and  $\Theta_{dSTOP}$ )) give rise to 497 t-LTD and a mid-level range in which t-LTD does not occur (below  $\Theta_{dSTART}$ ) (Figure 8B) <sup>47, 57, 58</sup>. 498 A major assumption of these models is infinite time constants for synaptic variables at resting 499 500 calcium levels so that the synaptic changes do not to decay after the presentation of the stimulus <sup>46</sup> - a significant constraint for the stabilization of synaptic changes. A potential solution to this 501 problem is the degree of local calcium accumulation observed in the activated spines throughout 502 503 the t-LTP or t-LTD induction protocol. In fact, these models are consistent, fundamentally, with our results which show that a pre-post pairing (+7 ms) protocol in two clustered spines gives rise 504 to t-LTP accompanied by a substantial increase in the intracellular calcium levels following each 505 506 pairing repetition, and a significant accumulation of local calcium levels throughout the induction protocol – likely mediated by the inability of the two clustered activated spines to efficiently 507 extrude the local calcium signals in between each pre-post pairing (Figure 6). We propose that the 508 local spine calcium accumulation we observe provides a new and key variable for the induction of 509 plasticity, which reduces the constraints imposed by calcium-base models for the stabilization of 510 synaptic changes <sup>46, 47, 57, 58</sup>. 511

These changes in local spine and dendritic calcium signals (Figure 6) suggest that perhaps  $\Theta_p$  can be reached only with ~ 10 pre-post pairings (~20-30 seconds). In contrast this same protocol in one spine induces no plasticity, producing calcium signals right after the pairing stimuli that are

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515 effectively extruded in between pairings leading to no calcium accumulation during the induction 516 protocol (reaching levels below  $\Theta_p$  and  $\Theta_{dSTART}$ ; Figure 8B). These results suggest that it is not only the amplitude of the local calcium signals after each pairing, but also the local calcium 517 518 accumulation during the induction protocol (40 pairings, ~80 seconds) in spines and dendrites that are required to reach  $\Theta_p$  for the induction of t-LTP in clustered spines. As mentioned before, 519 recently it has been demonstrated in vivo that spike timing-induced receptive field plasticity, with 520 millisecond time delays between visual stimulus (pre) and optogenetic stimulation in layer 2/3 521 pyramidal neurons (post), is correlated with increases in synaptic strength <sup>50</sup>. These results together 522 with evidence from other in vivo studies showing that layer 5 pyramidal neurons can spike up to 523 frequencies of 20 Hz during movement <sup>59</sup>, suggest that our pairing protocol, and findings, are likely 524 present under in vivo conditions and are relevant for plasticity of networks and ultimately 525 526 behaviour.

Our results further show that a post-pre protocol of -15 ms in a single spine induces t-LTD and 527 moderate intracellular calcium signals in spines and parent dendrites after each pairing, without an 528 529 evident increase in local calcium accumulation. These results possibly reflect that the calcium signal generated during the induction protocol passed  $\Theta_{dSTART}$  and remain for several seconds in 530 this permissive calcium concentration window – between  $\Theta_{dSTART}$  and  $\Theta_{dSTOP}$  – generating LTD 531 (Figure 8B). Activating two clustered spines with the same protocol, however, does not induce 532 plasticity and gives rise to an apparent smaller initial calcium accumulation than that observed 533 with the activation of a single spine but with a slow build-up of calcium. These results possibly 534 reflect that the spine calcium levels crossed  $\Theta_{dSTART}$  only after > 20 repetitions and then crossed 535  $\Theta_{dSTOP}$  and  $\Theta_{pSTART}$  after a few (<10) repetitions reaching slightly higher local calcium levels. This 536 537 calcium control hypothesis of t-LTD induction is based on the average linear fits of each

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experiment, and a tendency, that although clear, is not statistically significant with our
measurements (Figure 8B and Supplementary Figure 9).

These findings presented here are quite remarkable since stimulating just one additional spine 540 541 during a STDP protocol can completely alter the calcium dynamics and the induction of t-LTP and t-LTD. To our knowledge, this is the first demonstration of the functional relevance that the 542 structural organization and simultaneous subthreshold activation of only a few clustered inputs in 543 the dendrites of pyramidal neurons have on plasticity. We propose the term *micro clusters* to 544 describe this structural and functional modality of synaptic connectivity. In fact, the relevance of 545 synaptic *micro clusters* on the input/output properties of pyramidal neurons is also supported by 546 three dimensional electron microscopy and neuronal reconstruction studies that have shown the 547 presence of postsynaptic innervation of the same axon spaced at less than 10 µm in the basal 548 dendrites of L2/3 pyramidal neurons from the medial entorhinal cortex <sup>53</sup>, L5 pyramidal neurons 549 from somatosensory cortex <sup>54</sup> and in the distal apical tuft dendrites in stratum lacunosum-550 moleculare of hippocampal CA1 pyramidal neurons <sup>55</sup>. In addition to having spines innervated by 551 552 the same axon, it is likely that functional synaptic *micro clusters* can be gated by the convergence of different axons, which could increase the computational power of cortical circuits through a 553 multi-neuronal control of synaptic cooperativity and ultimately the implemented STDP learning 554 rule. Furthermore, recently it has been shown that orientation selectivity in visual cortex is 555 correlated with the degree of spatial synaptic clustering of co-tuned synaptic inputs within the 556 dendritic field <sup>60</sup>, and that functional clusters of dendritic spines separated by less than 10 µm share 557 similar spatial receptive field properties, spontaneous and sensory-driven activity <sup>61</sup>. Taken 558 together these reported findings and our data suggest that the functional specificity and structural 559 560 arrangement of synaptic inputs, distributed or forming *micro clusters* in the dendrites of pyramidal

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- neurons, are fundamental for guiding the rules for sensory perception, affecting the STDP learning
- rule, learning and memory, and ultimately cognition.

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### **METHODS**

564 Brain slice preparation and electrophysiology. Brains from postnatal day 14-21 C57B/6 mice anesthetized with isoflurane - were removed and immersed in cold (4°C) oxygenated sucrose 565 cutting solution containing (in mM) 27 NaHCO<sub>3</sub>, 1.5 NaH<sub>2</sub>PO<sub>4</sub>, 222 Sucrose, 2.6 KCl, 1 CaCl<sub>2</sub>, 566 567 and 3 MgSO<sub>4</sub>. Coronal brain slices (300-um-thick) of visual cortex were prepared as described <sup>22</sup>. Brain slices were incubated for 1/2 hour at 32°C in artificial cerebrospinal fluid (ACSF, in mM: 568 126 NaCl, 26 NaHCO<sub>3</sub>, 10 Dextrose, 1.15 NaH<sub>2</sub>PO<sub>4</sub>, 3 KCl, 2 CaCl<sub>2</sub>, 2MgSO<sub>4</sub>) and then 569 570 transferred to a recording chamber. Electrophysiological recordings were performed in whole-cell 571 current-clamp configuration with MultiClamp 700B amplifiers (Molecular Devices) in L5 pyramidal neurons with a patch electrode (4-7 M $\Omega$ ) filled with internal solution containing (in 572 573 mM) 0.1 Alexa Fluo 568, 130 D-Gluconic Acid, 2 MgCl<sub>2</sub>, 5 KCl, 10 HEPES, 2 MgATP, 0.3 NaGTP, pH 7.4, and 0.4% Biocytin. All experiments were conducted at room temperature (~20-574 22°C). We did not extend our experiments to include voltage-clamp recordings since recent 575 evidence indicates that the high spine neck resistance can prevent the voltage-clamp control of 576 excitatory synapses and that these measurements can be significantly distorted in spiny neurons<sup>62</sup>. 577

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*Two-photon imaging and two-photon uncaging of glutamate*. Two-photon imaging was performed
with a custom-built two-photon laser scanning microscope, consisting of 1) a Prairie scan head
(Bruker) mounted on an Olympus BX51WI microscope with a 60X, 0.9 N.A. water immersion
objective; 2) a tunable Ti-Sapphire laser (Chameleon Ultra-II, Coherent, >3 W, 140-fs pulses, 80
MHz repetition rate), 3) two photomultiplier tubes (PMT) for fluorescence detection. Fluorescence
images were detected with Prairie software (Bruker).

585 Fifteen minutes after break-in, two-photon scanning images of basal dendrites were obtained with

586 720 nm and low power (~5 mW on sample (i.e., after the objective)) excitation light and collected

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587 with a PMT. Two-photon uncaging of 4-methoxy-7-nitroindolinyl (MNI)-caged L-glutamate (2.5 mM; Tocris) was performed as previously described <sup>63</sup>. This concentration of MNI-glutamate 588 completely blocked IPSCs <sup>64</sup>, thus, our results represent excitatory inputs only. Uncaging was 589 590 performed at 720 nm (~25-30 mW on sample). Note that the laser power used for imaging is not sufficient to result in any partial uncaging of glutamate (Supplementary Figure 10). Activated 591 spines were mostly on the second and third branch of the basal dendrites and were on average ~ 592 593 40 µm away from the soma (Supplementary Figure 11). Only spines with a clear head contour and that were separated by  $>1 \,\mu m$  from neighboring spines were selected. The location of the uncaging 594 spot was positioned at  $\sim 0.3 \,\mu\text{m}$  away from the upper edge of the selected spine head (red dot in 595 figures), which has a spatial resolution of 0.71 and 0.88 µm for one and two spines respectively 596 (Supplementary Figure 12). Care was taken maintain the position of the uncaging spot. After each 597 598 uncaging sequence, the spot was repositioned to keep the same distance of  $\sim 0.3 \,\mu m$  from the edge of the soma and to avoid artificial potentiation or depression. The uncaging-induced excitatory 599 postsynaptic potentials (uEPSP) were recorded at the soma of L5 pyramidal neurons. The kinetics 600 601 of uEPSPs from the present study are not significantly different (10/90 rate of rise:  $0.07 \pm 0.014$ mV/ms, p=0.92; duration:  $115.5 \pm 15.3$  ms, p=0.65) from those triggered at  $37^{\circ}C^{22}$ . 602

503 *Spike timing-dependent plasticity (STDP) induction protocol*: To induce t-LTP in single spines, 504 we used two-photon uncaging of MNI-glutamate (40 times every 2 seconds, with each uncaging 505 pulse lasting 2 ms), which, after 7 or 13 ms, was followed by a backpropagating action potential 506 (bAP) (triggered by a brief (10 ms) current injection (0.4 -0.6 nA) in the soma). To induce t-LTD 507 in single spines, two-photon uncaging of MNI-glutamate (40 times every 2 seconds, with each 508 uncaging pulse lasting 2 ms) was preceded for -15 or -23 ms by bAP. When we evaluated t-LTP 509 and t-LTD in two spines, we used similar protocols to those described above, but the spines were

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610	activated with two-photon uncaging of MNI-glutamate sequentially with an onset delay of ~2.1
611	ms for the second spine. No significant difference was observed in the in 10/90 rise time of the
612	uEPSPs triggered when one versus two spines were activated (9.05 $\pm$ 1.19 ms versus 9.49 $\pm$ 0.54
613	ms, respectively; p=0.71).

To evaluate the morphological and synaptic strength of the activated spines before and after the 614 STDP induction protocol, we performed 2P imaging, and low frequency 2P uncaging (0.5 Hz) in 615 single or multiple spines. To establish the time course of the changes in uEPSP amplitude, neck 616 length and head volume following STDP induction, for each experiment, we interpolated the data 617 618 taken at different time points using the *interp1* function in MATLAB (MathWorks) with the *pchip* option, which performs a shape-preserving piecewise cubic interpolation. Note that we constrained 619 this fit so that it terminated with a slope of zero following the last data point. Then, for each 620 621 condition, we averaged the uEPSP amplitude, neck length and head volume temporal traces. The length of the x-axis was set as the time at which the last data point was obtained for those sets of 622 experiments. Shaded area represents  $\pm$ SE. To determine at which time the EPSP amplitude, neck 623 624 length and head volume temporal traces are significantly different from baseline, we binned the temporal traces every 5min and tested whether it was significantly different from baseline (100%). 625 626 The time at which the maximal change in uEPSP was observed after t-LTP and t-LTD induction was used to calculate the percent change from control, and the percent changes in neck length and 627 head volume. These analyses are displayed in Figures 1 to 5. 628

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630 *Experimental checkpoints and data analysis*: Electrophysiological data were analyzed with 631 Wavemetrics software (Igor Pro) and MATLAB. The resting membrane potential of the recorded 632 L5 pyramidal neurons was  $-58.27 \pm 2.08$  mV (n = 58 neurons). After taking this measurement,

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633 pyramidal neurons were maintained at -65 mV in current clamp configuration throughout the 634 recording session. Only neurons for which the injected current to hold the cell at -65 mV was <100 pA were included in this study. For the generation of bAP, only action potentials with 635 636 amplitude of > 45 mV were considered for analysis. In most experiments, two control tests (each consisting of 10 uncaging pulses at 0.5 Hz), spaced by 5 min were performed to assess the 637 reliability of the uEPSP amplitude. Only experiments for which uEPSP amplitudes were not 638 significantly different before and after 5 minutes in control conditions were considered for analysis 639 (less than 10% variation). 640

641 Synaptic plasticity was assessed by two parameters: the uEPSP amplitude and the spine 642 morphology (neck length and head volume). The peak uEPSP amplitude was measured from each 643 individual uEPSP by taking the peak value and averaging 2 ms before and after using Wavemetrics 644 (Igor Pro). Only uEPSPs that were >0.1 mV in the control condition (i.e., before the induction of 645 plasticity) were included in the analysis.

Synaptic plasticity was determined by the relative change of uEPSP amplitude (average of 10 646 647 uEPSP) measured before and after the STDP protocol. For each experiment, we evaluated whether the STDP protocol generated potentiation or depression by determining how many uEPSP data 648 649 points fell above or below baseline values over the course of the experiment. Potentiation was defined as the majority of uEPSP amplitude data points measured over time increasing relative to 650 baseline, and the maximum uEPSP increase was used for statistical test. Depression was defined 651 652 as the majority of uEPSP amplitude data points measured over time decreasing relative to baseline, and the maximum uEPSP decrease was used for statistical test. The spike timings +7 ms and +13653 654 ms (pre leads post) or -23 ms and -15 ms (post leads pre) correspond to the delta time offset

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between the beginning of the uncaging pulse (pre) and the beginning of the bAP pulse (post)repeated 40 times.

The analysis of spines morphology was performed from z-projections of the whole spine using 657 ImageJ<sup>65</sup> (neck length) and MATLAB (MathWorks) (head volume). The neck length was 658 measured from the bottom edge of the spine head to the edge of its parent dendritic shaft using the 659 segmented line tool in ImageJ. We selected mostly spines with a spine neck longer than 0.2 µm. 660 For those with a shorter neck, we did not report their length for analysis and statistics due to the 661 diffraction limited resolution of our images. For spines whose necks shrunk after the STDP 662 663 protocol below the diffraction limited resolution of our microscope, we set their length as the 664 minimal measurement of spine neck length reported by Tonnesen et al., using STED microscopy  $(0.157 \,\mu\text{m})^{16}$ . We estimated the relative spine head volume using the ratio of the maximum spine 665 666 fluorescence and the maximum fluorescence observed in the dendrite measured from z-projections of the whole spine <sup>66, 67</sup>. To obtain the spine volume, we then multiplied this ratio by the PSF of 667 our microscope (0.11 fL)<sup>68</sup>. Linear optimization techniques were used to determine the correlation 668 669 between EPSP change, neck length change and distance between 2 activated spines. Specifically, 670 the change in EPSP amplitude was modeled using the following equation:

671

$$uEPSP = c_1 \times NL + c_2 \times D$$
 Equation 1

Where *uEPSP* and *NL* are the percent change in uEPSP and neck length, respectively, following the STDP protocol, *D* is the distance between the 2 spines, and  $c_1$ ,  $c_2$  and  $c_3$  are constant coefficients. These parameters were estimated using a least squares technique to obtain an optimal fit of the data that minimized the sum of the residuals squared. The relationship between interspine distance and the percent change in uEPSP was fit with the following exponential equation:

$$y = \alpha e^{\frac{-x}{\lambda}} + \beta \qquad Equation 2$$

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678 where α, β and λ are constants, y represents the change in uEPSP, and x is the inter-spine distance.

679

680	Calcium imaging: During calcium imaging experiments, we performed whole-cell current-clamp
681	recordings of L5 pyramidal neurons with a patch electrode containing calcium indicator Fluo-4
682	(300 $\mu$ M; Thermo Fisher) and Alexa-594 (100 $\mu$ M) diluted in an internal solution containing (in
683	mM) 130 D-Gluconic Acid, 2 MgCl <sub>2</sub> , 5 KCl, 10 HEPES, 2 MgATP, 0.3 NaGTP, pH 7.4, and 0.4%
684	Biocytin. To perform sequential 2P calcium imaging and 2P uncaging of caged glutamate in
685	selected spines at one wavelength (810 nm), we used ruthenium-bipyridine-trimethylphosphine
686	caged glutamate (RuBi-glu, Tocris) <sup>64</sup> , diluted into the bath solution for a final concentration of
687	600 $\mu$ M. Uncaging of Rubi-glu was performed at 810 nm (~25-30 mW on sample). The location
688	of the uncaging spot was positioned at $\sim 0.3~\mu m$ away from the upper edge of the selected spine
689	head (red dot in Figures 6-7). Changes in calcium were monitored by imaging 2P calcium signals
690	and detecting the fluorescence with 2 PMTs placed after wavelength filters (525/70 for green,
691	595/50 for red). We performed 2P calcium imaging during 4 different STDP induction protocols
692	triggered at 0.5Hz: (1) pre-post pairing of +7 ms in one spine; (2) pre-post pairing of +7 ms in two
693	clustered spines; (3) post-pre pairing of -15 ms in one spine; (4) post-pre pairing of -15 ms in two
694	clustered spines. We restricted the image acquisition to a small area (~150 x 150 pixels) which
695	contained the spine(s) that we uncaged and the shaft. Images were acquired at ~ 30 Hz, averaged
696	8 times, with 8 $\mu s$ dwell time. Calcium signals were imaged 500 ms before STDP induction
697	protocol and right after (4ms) the stimulation for more than 600 ms. We focused our analysis on
698	the images obtained before and immediately after the stimulation in each pairing repetition. ROI
699	drawing was performed using custom algorithms (MATLAB; MathWorks). For spine heads, the
700	ROI was a circle whereas for dendrites it was a polygon. Fluorescence was computed as the mean

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of all pixels within the ROI. We quantified the relative change in calcium concentration  $(\frac{\Delta F}{F})$  using the following formula:

703 
$$\frac{\Delta F}{F} = \frac{G - G_{baseline}}{R} \qquad Equation 3$$

where *G* is the fluorescence from the Fluo-4 dye and *R* is the fluorescence from the Alexa-594 dye.  $G_{baseline}$  is the mean of all pixels of Fluo-4 signal within the ROI taken from the first image at the first stimulation. We estimated the calcium signal during each condition and using the following equation:

708 
$$\frac{\widehat{\Delta F}}{F} = a \times x + b$$
 Equation 4

where  $\frac{\Delta F}{F}$  is the estimated change in calcium signal, *x* is the repetition (binned every 5 repetitions), *a* the slope and *b* a constant coefficient.

*Statistics*: Statistics were performed with GraphPad Prism 5. Statistical significance was determined using two-tailed Student's paired *t*-test when we analyzed the maximum change in uEPSP amplitude after the induction of t-LTP or t-LTD in each experiment and the concomitant changes in the activated spine morphology. Statistical significance was determined using one-way repeated measures ANOVA when we analyzed the time course of the uEPSP amplitude and spine morphological changes after induction of t-LTP or t-LTD with post-hoc pairwise comparisons using Dunnett's test. \*P<0.05; \*\*P<0.01; \*\*\*P<0.001.

718

*Pharmacology*: Latrunculin A (Lat-A, Tocris Bioscience) was dissolved in DMSO at 1/1000 and
added to the recording chamber containing the brain slice at 100 nM for 15 min before starting the

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726	ETHICS
725	
724	applied at 2.5 mM. Fresh vials of MNI-glutamate were used for each experiment.
723	started. MNI-glutamate (Tocris Bioscience) was diluted in ACSF from stock solution and bath
722	in whole cell condition, electrophysiological recording and synaptic plasticity experiments were
721	STDP protocol. PEP1-TGL (Tocris Bioscience) was added in the pipette at 200 $\mu$ M; after 15 min

- 727 *Animal experimentation*: these studies were performed in compliance with experimental protocols
- 728 (13-185, 15-002, 16-011 and 17-012) approved by the *Comité de déontologie de l'expérimentation*
- *sur les animaux* (CDEA) of the University of Montreal.

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896 S.T. and D.E.M. performed data analyses. S.M-R. performed control experiments. R.A., S.T.,

and D.E.M. designed experiments. R.A. and D.E.M. wrote the manuscript. R.A. supervised the

898 project. All authors read and approved the contents of the manuscript.

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900 **Competing financial interests**. The authors declare no competing financial interest.

901

902 Materials & Correspondence. Correspondence and material requests should be addressed to R.A.

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903 Figure 1: Induction of t-LTP in single dendritic spines. (A) Experimental protocol for the induction of t-LTP with pre-post pairings (two-photon (2P) uncaging of glutamate followed by a 904 bAP) of +7 and +13 ms in single dendritic spines (sp). (B) Example of a t-LTP protocol with pre-905 906 post pairing protocol of + 13 ms. (B.1) Average uEPSP response recorded via a whole-cell pipette at the soma of L5 pyramidal neurons before (Control) and after the induction of t-LTP in a selected 907 dendritic spine located in basal dendrites (B.2). Traces in B.1 correspond to an average of 10 908 909 depolarizations generated by 2P uncaging over the indicated spine (B.2 red dot) before (black 910 trace) and after the induction of t-LTP (red trace). (C.1) Time course of uEPSP amplitude (black line), neck length (red line) and spine head volume (blue line) changes over the course of 40 min 911 following STDP induction in individual spines at a pre-post timing of + 13 ms. ns, not significant; 912 \*P < 0.05; \*\*P < 0.01; \*\*\*P < 0.001, one-way repeated measures ANOVA followed by post hoc 913 914 Dunnet's test. (C.2) Maximum changes in uEPSP amplitude (black bar and dots) and concomitant 915 changes in neck length (red bar and dots) and head volume (blue bar and dots) of the activated spine after the induction of t-LTP at a pre-post timing of +13 ms. P < 0.05, \*\*P < 0.001, paired 916 917 t-test. (D.1) Time course of uEPSP amplitude (black line), neck length (red line) and spine head volume (blue line) changes over the course of ~40 min following STDP induction in individual 918 spines at a pre-post timing of +7 ms. ns, not significant; \*P < 0.05, one-way repeated measures 919 920 ANOVA followed by post hoc Dunnet's test. (D.2) Maximum changes in uEPSP amplitude (black 921 bar and dots) and corresponding changes in neck length (red bar and dots) and head volume (blue bar and dots) of the activated spine after the induction of t-LTP at a pre-post timing of +7 ms. 922 923 Shaded light area in C.1 and D.1, and error bars in C.2 and D.2 represent ±SEM. Time 0 in C.1 and D.1 is the time when the 40 pre-post repetitions of the induction protocol were completed. 924

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926 Figure 2: Induction of t-LTD in single dendritic spines. (A) Experimental protocol for the 927 induction of t-LTD with post-pre pairings protocols of -15 and -23 ms in single dendritic spines. (B) Example of a t-LTD protocol with post-pre pairings protocol of -15 ms. (B.1) Average uEPSP 928 929 response recorded via a whole-cell pipette at the soma of L5 pyramidal neurons before (Control) and after the induction of t-LTD in selected dendritic spines located in basal dendrites (B.2). Traces 930 in B.1 correspond to an average of 10 uEPSP generated by the 2P uncaging of glutamate at the 931 932 indicated spine (B.2, red dot) before (black trace) and after the induction of t-LTD (red trace). (C.1) Time course of uEPSP amplitude (black line), neck length (red line) and spine head volume 933 934 (blue line) changes over the course of ~35 min following STDP induction in individual spines at a post-pre timing of -15 ms. ns, not significant; \*\*\*P < 0.001, one-way repeated measures ANOVA 935 followed by post hoc Dunnet's test. (C.2) Maximum changes in uEPSP amplitude (black bar and 936 937 dots) and concomitant changes in neck length (red bar and dots) and head size of the activated spine (blue bar and dots) after the induction of t-LTD at a post-pre timing of -15 ms. \*\*P < 0.01, 938 paired t test. (D.1) Time course of uEPSP amplitude (black line), neck length (red line) and spine 939 940 head volume (blue line) changes over the course of ~ 40 min following STDP induction in individual spines at a post-pre timing of -23 ms. ns, not significant, one-way repeated measures 941 ANOVA followed by post hoc Dunnet's test. (D.2) Maximum changes in uEPSP amplitude (black 942 bar and dots) and corresponding changes in neck length (red bar and dots) and head volume (blue 943 bar and dots) of the activated spine after the induction of t-LTD at a post-pre timing of -23 ms. 944 945 Shaded light area in C.1 and D.1, and error bars in C.2 and D.2 represent ±SEM. Time 0 in C.1 and D.1 is the time when the 40 pre-post repetitions of the induction protocol were completed. (E) 946 STDP learning rule for single dendritic spines: Plot illustrating the maximum changes in uEPSP 947 948 amplitude (black data points), and concomitant changes in neck length (red data points) and head

- volume (blue data points) after the induction of t-LTP (temporal offset +13 ms and +7 ms) and t-
- 950 LTD (temporal offset -15 ms and -23 ms). \*P < 0.05, \*\*P < 0.01, paired t test. (F) Diagram
- 951 indicating the uEPSP amplitude and spine neck morphological changes observed after the
- 952 induction of t-LTP and t-LTD.

953	Figure 3: Induction of t-LTP in clustered dendritic spines (A) Experimental protocol for the
954	induction of t-LTP (pre-post timing of + 7 ms) in two clustered dendritic spines (< 5 $\mu$ m distance
955	between spines). (B) Example of one experiment where two neighbouring dendritic spines were
956	activated with a pre-post t-LTP protocol. (B.1) Average uEPSP response recorded via a whole-cell
957	pipette at the soma of L5 pyramidal neurons before (Control) and after the induction of t-LTP in
958	two selected dendritic spines located in a basal dendrite (red dots, B.2). Traces in B.1 correspond
959	to an average of 10 uEPSP generated by the 2P uncaging at the indicated spines (B.2) before (black
960	trace) and after the induction of t-LTP (red trace). (C.1) Time course of uEPSP amplitude (black
961	line), neck length (red line) and spine head volume (blue line) changes over the course of ~25 min
962	following STDP induction in clustered spines at a pre-post timing of + 7 ms. ns, not significant;
963	**P < 0.01, $***P < 0.001$ , one-way repeated measures ANOVA followed by post hoc Dunnet's
964	test. (C.2) Pooled data of the maximum changes in uEPSP amplitude (black bar and dots) and
965	concomitant changes in neck length (red bar and dots) and head volume (blue bar and dots) of
966	individual (1sp) or clustered spines (2sp) after the induction of t-LTP at a pre-post timing of +7ms.
967	**P < 0.01, paired t test. Shaded light area in C.1 and error bars in C.2 represent $\pm$ SEM. Time 0 in
968	C.1 is the time when the 40 pre-post repetitions of the induction protocol are completed. $NL =$
969	neck length, HV = head volume.

970	Figure 4: Molecular mechanisms responsible for the induction of t-LTP. (A) Experimental
971	protocol for the induction of t-LTP (pre-post timing of + 7 ms) in two clustered dendritic spines
972	(< 5 $\mu m)$ in the presence of PEP1-TGL (200 $\mu M$ ). (B) An example of one experiment where two
973	neighbouring dendritic spines were activated with a pre-post t-LTP protocol in the presence of
974	PEP1-TGL. (B.1) Average uEPSP recorded via a whole-cell pipette at the soma of L5 pyramidal
975	neurons before (Control) and after the induction of t-LTP in two selected dendritic spines located
976	in basal dendrites (B.2). Traces in B.1 correspond to an average of 10 uEPSP generated by the 2P
977	uncaging of glutamate at the two indicated spines (red dots, B.2) before (black trace) and after the
978	induction of t-LTP in the presence of PEP1-TGL (red traces). (C.1) Time course of uEPSP
979	amplitude (black line), neck length (red line) and spine head volume (blue line) changes over the
980	course of ~28 min following STDP induction in clustered spines at a pre-post timing of + 7 ms in
981	the presence of PEP1-TGL. ns, not significant; **P < 0.01, ***P < 0.001, one-way repeated
982	measures ANOVA followed by post hoc Dunnet's test. (C.2) Pooled data of the maximum changes
983	in uEPSP amplitude (black bar and dots) and concomitant changes in neck length (red bar and
984	dots) and head volume (blue bar and dots) of the activated clustered spines after the induction of
985	t-LTP at a pre-post timing of +7ms in control conditions (Cont) and in the presence of PEP1-TGL
986	(PEP1-TGL). *P < 0.05, **P < 0.01, paired t test. Time 0 is the time when the 40 pre-post
987	repetitions of the induction protocol were completed. (D) Experimental protocol for the induction
988	of t-LTP (pre-post timing of + 7 ms) in two clustered dendritic spines (< $30\mu$ m) in the presence
989	Latrunculin-A (Lat-A, 100nM). (E) Example of one experiment where two neighbouring dendritic
990	spines were activated with a pre-post t-LTP protocol in the presence of Lat-A. (E.1) Average
991	uEPSP recorded via a whole-cell pipette at the soma of L5 pyramidal neurons before (Control) and
992	after the induction of t-LTP in two selected dendritic spines (red dots, E.2) located in basal

993	dendrites (E.2). Traces in E.1 correspond to an average of 10 uEPSP generated by the 2P uncaging
994	over the two indicated spines (red dots, E.2) before (black trace) and after the induction of t-LTP
995	in the presence of Lat-A (red trace). (F.1) Time course of uEPSP amplitude (black line), neck
996	length (red line) and spine head volume (blue line) changes over the course of ~12 min following
997	STDP induction in clustered spines at a pre-post timing of + 7 ms in the presence of Lat-A. <i>ns</i> , not
998	significant; **P < 0.01, ***P < 0.001, one-way repeated measures ANOVA followed by post hoc
999	Dunnet's test. (F.2) Pooled data of the maximum changes in uEPSP amplitude (black bar and dots)
1000	and concomitant changes in neck length (red bar and dots) and head volume (blue bar and dots) of
1001	the activated clustered spines after the induction of t-LTP at a pre-post timing of +7 ms in control
1002	conditions (Cont) and in the presence of Lat-A (Lat-A). $**P < 0.01$ , $***P < 0.001$ , paired t test.
1003	NL = neck length, $HV = head volume$ . Shaded light area in C.1 and F.1 and error bars in C.2 and
1004	F.2 represent $\pm$ SEM. Time 0 is the time when 40 pre-post repetitions of the induction protocol
1005	were completed.

1006	Figure 5. Induction of t-LTD in clustered and distributed dendritic spines: (A) Experimental
1007	protocol for the induction of t-LTD protocol (pairings of - 15 ms) in two clustered dendritic spines
1008	(< 40 $\mu$ m). (B) An example of one experiment where two neighbouring dendritic spines were
1009	activated with a post-pre t-LTP protocol of -15 ms. (B.1) Average uEPSP recorded via a whole-
1010	cell pipette at the soma of L5 pyramidal neurons before (Control) and after the induction of t-LTD
1011	in two selected dendritic spines (red dots, B.2) located in basal dendrites. Traces in B.1 correspond
1012	to an average of 10 uEPSP generated by 2P uncaging of glutamate at the indicated spines before
1013	(black trace) and after the induction of t-LTD (red trace). (C.1) Time course of uEPSP amplitude
1014	(black line), the neck length (red line) and spine head volume (blue line) of the activated clustered
1015	spines after the induction of t-LTD at pairings of -15 ms. $ns$ , not significant; *P < 0.05, one-way
1016	repeated measures ANOVA followed by post hoc Dunnet's test. (C.2) Pooled data of the maximum
1017	changes in uEPSP amplitude (black bar and dots) and concomitant changes in neck length (red bar
1018	and dots) and head volume (blue bar and dots) of individual (1sp) or clustered spines (2sp) after
1019	the induction of t-LTD at a post-pre timing of -15 ms. $*P < 0.05$ , $**P < 0.01$ , paired t test. Time
1020	0 in C.1 is the time when the 40 pre-post repetitions of the induction protocol were completed. NL
1021	= neck length, HV = head volume. (D) Experimental protocol for the t-LTD induction protocol
1022	(pre-post timing of $-15$ ms) in two distributed dendritic spines (> 40 $\mu$ m). (E) An example of one
1023	experiment where two distributed dendritic spines were activated with a post-pre t-LTP induction
1024	protocol of -15 ms. (E.1) Average uEPSP recorded via a whole-cell pipette at the soma of L5
1025	pyramidal neurons before (Control, underneath image, E.2) and 3 min after the induction of t-LTD
1026	(E.2, over imposed image) in two selected dendritic spines located in basal dendrites. (E.2) Inset
1027	shows a low magnification image of the recorded neuron with the marked location of the selected
1028	spines. Traces in E.1 correspond to an average of 10 uEPSP generated by 2P uncaging at the

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1029	indicated spines (red dots, E.2) before (black trace) and after the induction of t-LTD (red trace).
1030	(F.1) Time course of uEPSP amplitude (black line), the neck length (red line) and spine head
1031	volume (blue line) of the activated distributed spines (> 40 $\mu$ m) after the induction of t-LTD at
1032	pairings of -15 ms. ns, not significant; *P < 0.05, **P < 0.01, one-way repeated measures ANOVA
1033	followed by post hoc Dunnet's test. (F.2) Pooled data of the maximum changes in uEPSP
1034	amplitude (black bar and dots) and corresponding changes in neck length (red bar and dots) and
1035	head volume (blue bar and dots) of clustered (2sp at < 40 $\mu$ m) or distributed spines (2sp at > 40
1036	$\mu$ m) after the induction of t-LTD at a post-pre timing of -15ms. *P < 0.05, paired t test. Shaded
1037	light area in C.1 and F.1 and error bars in C.2 and F.2 represent ±SEM. Time 0 in C.1 and F.1 is
1038	the time when the 40 pre-post repetitions of the induction protocol were completed. $NL = neck$
1039	length, $HV =$ head volume.

1040

1041	Figure 6. Calcium dynamics in single and two clustered spines during pre-post pairing
1042	protocol. (A.1) Single 2P images of a spine and dendrite from a L5 pyramidal neuron loaded with
1043	Alexa 594 (100uM) and Fluo4 (300uM). Red ellipses and blue polygons indicate the ROIs selected
1044	for the calcium signal analysis. (A.2) Two photon calcium signal images before (left panels) and
1045	after (right panels) a pre-post pairing protocol (+7 ms). The 1 <sup>st</sup> , 2 <sup>nd</sup> , and 40 <sup>th</sup> repetitions of the
1046	pairing protocol are shown here. The change in calcium fluorescence from baseline ( $\Delta F$ ) is color
1047	coded. (B) Calcium signals ( $\Delta F/F$ ) in the spine (B.1) and dendrite (B.2) from the experiment
1048	depicted in A before (dotted lines) and after (solid lines) the pairing protocol. (C) Population
1049	averages of the calcium signals ( $\Delta F/F$ ) measured in spines (C.1) and dendrites (C.2) before the
1050	pairing protocol performed in 1 spine (left panels) and 2 spines (middle panels). The right panels
1051	shows the superimposed $\Delta F/F$ population averages in 1 spine (black lines) and 2 spines (green
1052	lines). (D.1) Single 2P images of two clustered spines and dendrite from a L5 pyramidal neuron
1053	loaded with Alexa 594 (100uM) and Fluo4 (300uM). Red ellipses and blue polygons indicate the
1054	ROIs selected for the calcium signal analysis. (D.2) Two photon calcium signal images before (left
1055	panel) and after (right panels) a pre-post pairing protocol (+ 7ms). The 1 <sup>st</sup> , 2 <sup>nd</sup> , and 40 <sup>th</sup> repetitions
1056	of the pairing protocol are shown here. The change in calcium fluorescence from baseline ( $\Delta F$ ) is
1057	color coded. (E) Calcium signals ( $\Delta F/F$ ) in the spines (E.1) and dendrite (E.2) before (dotted lines)
1058	and after (solid lines) the pairing protocol. (F) Population averages of the calcium signals ( $\Delta F/F$ )
1059	measured in spines (F1) and dendrites (F.2) after the pairing protocol performed in one spine (left
1060	panels) and two spines (middle panels). The right panels shows the superimposed $\Delta F/F$ population
1061	averages in one spine (black lines) and two spines (green lines). $ns$ , not significant; *P < 0.05; **P
1062	< 0.01; ***P $< 0.001$ , one-way repeated measures ANOVA followed by post hoc Dunnet's test.

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1063	Figure 7. Calcium dynamics in single and two clustered spines during post-pre pairing
1064	protocol. (A1) Single 2P images of a spine and dendrite from a L5 pyramidal neuron loaded with
1065	Alexa 594 (100uM) and Fluo4 (300uM). Red ellipses and blue polygons indicate the ROIs selected
1066	for the calcium signal analysis. (A.2) Two photon calcium signal images before (left panels) and
1067	after (right panels) a post-pre pairing protocol (-15 ms). The 1 <sup>st</sup> , 2 <sup>nd</sup> , and 40 <sup>th</sup> repetitions of the
1068	pairing protocol are shown here. The change in calcium fluorescence from baseline ( $\Delta F$ ) is color
1069	coded. (B) Calcium signals ( $\Delta$ F/F) in the spine (B.1) and dendrite (B.2) from the experiment
1070	depicted in A before (dotted lines) and after (solid lines) the pairing protocol. (C) Population
1071	averages of the calcium signals ( $\Delta F/F$ ) measured in spines (C.1) and dendrites (C.2) before the
1072	pairing protocol performed in one spine (left panels) and two spines (middle panels). The right
1073	panels shows the superimposed $\Delta F/F$ population averages in one spine (black lines) and two spines
1074	(green lines). (D.1) Single 2P images of two clustered spines and dendrite from a L5 pyramidal
1075	neuron loaded with Alexa 594 (100uM) and Fluo4 (300uM). Red ellipses and blue polygons
1076	indicate the ROIs selected for the calcium signal analysis. (D.2) Two photon calcium signal images
1077	before (left panel) and after (right panels) a pre-post pairing protocol (-15 ms). The 1 <sup>st</sup> , 2 <sup>nd</sup> , and
1078	40 <sup>th</sup> repetitions of the pairing protocol are shown here. The change in calcium fluorescence from
1079	baseline ( $\Delta F$ ) is color coded. (E) Calcium signals ( $\Delta F/F$ ) in the spines (E.1) and dendrite (E.2)
1080	before (dotted lines) and after (solid lines) the pairing protocol. (F) Population averages of the
1081	calcium signals ( $\Delta$ F/F) measured in spines (F1) and dendrites (F2) after the pairing protocol
1082	performed in one spine (left panels) and two spines (middle panels). The right panels shows the
1083	superimposed $\Delta F/F$ population averages in 1 spine (black lines) and 2 spines (green lines). <i>ns</i> , not
1084	significant; $*P < 0.05$ ; $**P < 0.01$ ; $***P < 0.001$ , one-way repeated measures ANOVA followed
1085	by post hoc Dunnet's test.

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1087	Figure 8. STDP learning rule for single distributed and clustered dendritic spines. (A) STDP
1088	learning rule in the basal dendrites of L5 pyramidal neurons as a function of the structural
1089	organization of excitatory inputs in basal dendrites of L5 pyramidal neurons. Note how STDP in
1090	single, or distributed spines (separated by $> 40 \ \mu$ m), follow a bidirectional Hebbian STDP rule that
1091	can be disrupted by synaptic cooperativity [co-activation of two clustered spines]. We propose that
1092	synaptic cooperativity generates local dendritic depolarization high enough to disrupt bidirectional
1093	STDP, leading to STDP that only encompasses LTP. (B) Model showing a hypothetical
1094	relationship between postsynaptic calcium levels and the induction of plasticity. Large levels of
1095	calcium (above a potentiation threshold, $\Theta_{pSTART}$ ) are thought to lead to t-LTP whereas more
1096	moderate, prolonged levels (between the depression threshold, $\Theta_{dSTART}$ , and $\Theta_{dSTOP}$ ) give rise to
1097	t-LTD and a mid-level range in which neither t-LTP nor t-LTD occur (below $\Theta_{dSTART}$ ). The average
1098	linear fits of the calcium signal measured in all experiments throughout the different STDP
1099	induction protocols are superimposed.





















