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How much fear is in anxiety?

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Abstract The selective breeding for extreme behavior on the elevated plus-maze (EPM) resulted 8 in two mouse lines namely high-anxiety behaving (HAB) and low-anxiety behaving (LAB) mice. Using novel behavioral tests we demonstrate that HAB animals additionally exhibit maladaptive escape behavior and defensive vocalizations, whereas LAB mice show profound deficits in escaping from approaching threats which partially results from sensory deficits. We could relate these 12 behavioral distortions to tonic changes in brain activity within the periagueductal gray (PAG) in HAB 13 mice and the superior colliculus (SC) in LAB mice, using in vivo manganese-enhanced MRI (MEMRI) 14 followed by pharmacological or chemogenetic interventions. Therefore, midbrain-tectal structures 15 govern the expression of both anxiety-like behavior and defensive responses. Our results challenge 16 the uncritical use of the anthropomorphic terms *anxiety* or *anxiety-like* for the description of mouse 17 behavior, as they imply higher cognitive processes, which are not necessarily in place. 18 19

20 Introduction

- ²¹ The anthropomorphic terms *anxiety* or *anxiety-like* are widely used for the description of affective
- 22 states in laboratory animals. The definition for anxiety (American Psychiatric Association, 2013)
- ²³ includes worries about distant or potential threats while the occurrence of exaggerated anxiety in
- ²⁴ combination with constant ruminations about illusionary threats indicates an anxiety disorder.
- ²⁵ *Fear* on the other hand describes the affective state (*'being afraid'*) which is elicited with respect to
- ²⁶ an explicit, threatening stimulus.

The behavioral repertoire of fear - i.e. the sum of defensive responses - results from a recruit-27 ment of the *defensive survival circuits* (LeDoux, 2014). Its functions are either increasing the distance 28 between the subject and the threat (flight), rendering the subject invisible to the threat (freezing) 29 or ultimately enabeling the subject to fight. This includes the autonomic and neuroendocrine 30 processes which prepare the creature for a successful flight e.g. reflected by increased heart and 31 respiratory rate and release of stress hormones via increased hypothalamus-pituitary-adrenal-32 medulla (HPA) axis activity. As previously suggested, this condition is described best as the *defensive* 33 organismic state (LeDoux, 2014). Therefore, it is just to say that the subjective feeling of being 34 anxious or afraid are cognitive processes, while the behavioral expression of anxiety, fear and panic 35 are physical or bodily processes which are typically orchestrated by subcortical and mesencephalic 36 structures (LeDoux and Pine, 2016). In laboratory animals, like mice and rats, we lack the access to 37 these subjective inner cognitive states, but have to solely rely on the interpretation of physiological 38 and behavioral data. 39 A variety of behavioral testing paradigms therefore aims to assess states of anxiety, fear or panic 40

- ⁴¹ based on the type and quality of evoked defensive behaviors in response to specific stimuli or
- 42 contexts (for review see Cryan and Holmes, 2005; Calhoon and Tye, 2015). Hereby, more subtle be-

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haviors like avoiding exposed and brightly illuminated areas on an elevated plus maze (EPM)(*Pellow* 43 et al., 1985) are interpreted as anxiety. In contrast, the sudden jumping (a flight reaction completely 44 different from startle response) followed by pronounced immobility (freezing) upon the onset 45 of a previously negatively conditioned tone (auditory/Paylovian fear conditioning: for review see ΔF *Maren, 2001*) is commonly associated with *fear*. These tests suggest a sharp distinction between 47 the behavioral measures of *gnxiety* and *fegr*. For instance, auditory fear conditioning experiments 48 payed the way for an in depth understanding of the amygdalar circuits underlying the expression a 49 single characteristic defensive response (i.e., freezing) (for review see Toyote et al., 2015). In more 50 complex and ethological relevant testing situations, however, one can observe a gradual transition 51 from risk assessment to avoidance and flight or tonic immobility and ultimately fight/panic-like 52 jumping as a function of the threat's imminence (i.e. defensive distance) and the ability to escape 53 (Ratner, 1967, 1975, Blanchard et al., 1986, Blanchard and Blanchard, 1990, Blanchard et al., 1990, 54 1997, 2003). This relationship was initially conceptualized as the predatory imminence continuum 55 (Fanselow and Lester, 1988) and later has been integrated into the two-dimensional defense system 56 (McNaughton and Corr. 2004). The two-dimensional defense system is of particular significance 57 as it comprehensively describes the interplay of *defensive avoidance* and *defensive approach* with 58 respect to the *defensive distance* (perceived distance to threat). In addition, it highlights the func-59 tional hierarchy of dominant brain structures in the orchestration of the behavioral expression 60 of anxiety, fear and panic. In this context, McNaughton & Corr reappraise the function of the 61 periaqueductal grav (PAG) 'in the lowest levels of control of anxiety' (McNaughton and Corr. 2004) (see 62 also (McNaughton and Corr, 2018)). 63 64 In this line of thinking we were interested to which extent the behavioral phenotype of a mouse 65 model for extremes in *trait anxiety* (1) is accompanied by altered levels of defensive responses. 66

and in addition (2) can be explained by changed neuronal activity in midbrain structures. As a 67 model organism we chose two mouse lines which were previously established from CD1 mice as 68 the result of a selective breeding approach based on the behavior on the EPM - a classical anxiety 69 test. Thereby hyperanxious high-anxiety behaving (HAB) and hypoanxious low-anxiety behaving 70 (LAB) mice were generated (Krömer et al., 2005) which are compared to normal-anxiety behaving 71 (NAB) mice. Besides the already mentioned anxiety-like phenotype on the EPM (Krömer et al., 2005; 72 Bunck et al., 2009: Erhardt et al., 2011: Avrabos et al., 2013: Yen et al., 2013: Füchsl et al., 2014). 73 these lines show also marked differences in other behavioral and physiological measures (see 74 Table 1). In HAB mice, most of the behavioral measures are biased towards immobility or lack of 75 exploratory drive. This bears the risk of false interpretations, since altered locomotor activity and/or 76 motivation might explain the extreme phenotypes as well. In the present study we comprehensively 77 re-characterize HAB, NAB and LAB (HNL) mice for their extreme behavioral phenotypes on the EPM. 78 We provide evidence that in HAB animals only ethobehavioral EPM measures and the levels of 79 autonomic arousal are sensitive to anxiolytic treatment. In addition, we demonstrate for the first 80 time that adult HAB animals show a disposition for sonic/audible vocalizations which is decreased 81 by the anxiolytic diazepam. Further, we show that the extremes in high or low *anxiety-like* behavior 82 of HAB and LAB animals are accompanied by paralleled alterations active in defensive responses 83 using two novel, multi-sensory tasks (Robocat and IndyMaze) which assay repeated, innate escape 84 behavior towards an approaching threatening stimulus. Hereby, we demonstrate that HAB animals 85 present maladaptively altered levels of defensive responses, while LAB animals exhibit a strongly 86 deficient reaction towards the threatening stimulus. Using several complementary strategies to 87 probe the visual capabilities of HNL animals (optomotor response, electroretinography, etc.), we 88 show that LAB animals suffer from complete retinal blindness. In order to assess tonic/basal in-vivo 89 whole-brain neuronal activity alterations in HAB and LAB animals, we employ manganese-enhanced 90 magnetic resonance imaging (MEMRI) (Grünecker et al., 2010: Bedenk et al., 2018), Thereby, we 91 provide evidence that HAB mice exhibit an increased neuronal activity within the PAG, while LAB mice show a decreased activity in the deep layers of the superior colliculus (SC). Finally, using a

- 94 designer receptor exclusively activated by designer drugs (DREADD) approach in LAB mice or by
- ₉₅ applying localized injections of muscimol in HAB mice we are able to partially revert the extreme
- ⁹⁶ phenotypes in *anxiety-like* behavior in LAB and HAB animals.

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Modality	Test	Measure/Param.	HAB	LAB	References
Anxiety	EPM	open-arm time		++	(Krömer et al., 2005; Bunck et al., 2009; Erhardt et al., 2011) (Avrabos et al., 2013; Yen et al., 2013; Füchsl et al., 2014)
	EPM	open-arm latency	++	•	(Krömer et al., 2005)
	DLB	time in light comp.	•	+	(Krömer et al., 2005)
	USV	no. of vocalizations	++		(Krömer et al., 2005)
	IA	step-down latency	++	n.a.	(Yen et al., 2012)
Fear	TMT	odor avoidance	+	•	(Sotnikov et al., 2011)
	FC	contextual, freezing	++		(Sartori et al., 2011a; Yen et al., 2012)
	FC	cued, freezing	++		(Sartori et al., 2011a; Yen et al., 2012)
	TM	FC, HR during CS	++	n.a.	(Gaburro et al., 2011)
	TM	FC, HRV during CS	-	n.a.	(Gaburro et al., 2011)
	ASR	105-115 dB	-	++	(Yen et al., 2012, 2013)
Locomotion	DLB	line crossings		++	(Krömer et al., 2005)
	DLB	rearing		++	(Krömer et al., 2005; Yen et al., 2013)
	HB	rearing	-	++	(Yen et al., 2013)
	OBS	homecage activity	•	+	(Krömer et al., 2005)
	TM	homecage activity	•	n.a.	(Gaburro et al., 2011)
	OF	distance	•	++	(Yen et al., 2013)
	OF	mobility time		++	(Yen et al., 2013)
Stress Reactivity	TMT	CORT release	•	•	(Sotnikov et al., 2011)
	FST	CORT release		•	(Sotnikov et al., 2014)
	DEX	CORT release		•	(Sotnikov et al., 2014)
Depression	TST	immobility	•/+		(Krömer et al., 2005; Bunck et al., 2009; Yen et al., 2013)
	FST	immobility	•/++		(Krömer et al., 2005; Bunck et al., 2009; Sah et al., 2012); (Sotnikov et al., 2014; Schmuckermair et al., 2013)
	SP	sucrose intake		n.a.	(Sah et al., 2012)
Addiction	CPP	cocaine-induced	+	n.a.	(Prast et al., 2014)
			-	11.0.	
Spatial Navigation	WCM	re-learning	•		(Yen et al., 2013)
Physiology		fluid intake	n.a.	++	(Kessler et al., 2007)
		urine osmolarity	n.a.		(Kessler et al., 2007)
	IHC	GAD65/67 in amygdala	++	n.a.	(Tasan et al., 2011)
	VSDI	intra-amygdalar signal prop.	++	-	(Avrabos et al., 2013)

Table 1. Physiological & Behavioral Phenotypes of HAB and LAB mice

ASR acoustic startle response, CS conditioned stimulus, CORT corticosterone, CPP conditioned place preference, CRH corticotropin releasing hormone, DEX dexamethasone-suppression/CRH-stimulation test, DLB dark-light box, EPM elevated plus maze, FC auditory/contextual fear conditioning, FST forced swim test, HB holeboard test, HR heart rate, HRV heart rate variability, IA inhibitory avoidance, IHC immuno-histochemistry, OBS observation or visual scoring by experienced experimenter, OF open field, SP sucrose preference test, TMT 2,5-dihydro-2,4,5-trimethylthiazoline, TM telemetry, USV ultrasonic vocalizations, VSDI voltage-sensitive dye imaging, WCM water cross-maze. - strong decrease; - slight decrease; - no change; + slight increase; ++ strong increase; n.a. not applicable.

Note: Only those references were taken into account which directly compare HAB to NAB and LAB to NAB.

97 **Results**

Behavioral Assessment of HAB, NAB, LAB mice on the Elevated Plus Maze

The elevated-plus maze (EPM) is considered to be a robust assay for the detection of altered anxiety-90 like behavior in mice. However, the standard test duration rarely exceeds 5-10 minutes (Komada 100 et al., 2008), whereby strong inter-individual differences in avoidance behavior and especially their 101 pharmacological modulation, are masked due to stringent cut-off criteria. In order to overcome 102 this issue, we have extended the testing duration to 30 minutes and re-evaluated the behavior of 103 HAB (N=11), NAB (N=7) and LAB (N=7) mice on the EPM, while focusing on the initial 5 minutes for 104 all parameters, except for latency (0-30 min) and stretch-attend postures (0-15 min), to provide 105 measures which are largely comparable to previous studies (see Fig 1A). Analysis of data obtained 106 during the entire observation period revealed essentially the same findings (not shown). 107 Using this approach, significant group differences (F_{222} =15.07, p<0.0001) in the latencies to 108 explore the open arms were revealed (Fig 1A). More than 45% of all HAB animals did not enter 109 the open arm, even within the extended testing duration of 30 minutes compared to 0% in NAB 110

mice ($\gamma^2=4.41$, p=0.0358). On the contrary all LAB animals explored the open arm with latencies 111 < 6 minutes. These distinct behavioral traits were also reflected by the percentage of time the 112 animals spent on the open arm: LAB animals $53.6 \pm 11.3\%$ compared to $2.4 \pm 0.8\%$ NAB ($F_{2.22}$ =26.25, 113 p < 0.0001). Additionally, LAB animals showed an overall increase in locomotor activity (1400.0 \pm 171.7 114 cm vs. 723.0 \pm 60.8 cm, $F_{2,22}$ =22.49, p<0.0001). On the contrary, HAB animals spent more than 85% 115 of the time in the closed arm ($F_{2,22}$ =28.98, p<0.0001), as they also avoided staying in the central 116 zone (13.0 \pm 2.3 % vs. 33.8 \pm 4.0 %, $F_{2,22}$ =12.96, p=0.002). These observations are consistent with 117 previous reports of HAB, NAB and LAB behavior on the EPM (Krömer et al., 2005; Bunck et al., 118 2009: Erhardt et al., 2011: Avrabos et al., 2013: Yen et al., 2013: Füchsl et al., 2014). The rather low 119 open-arm time shown by NAB mice may relate to the specific test conditions (we placed the EPM in 120 middle of a large, dimly lit room without additional surrounding enclosures). To complement the 121 traditional EPM parameters, the display of stretched-attend postures (SAP) (Grant and Mackintosh, 122 **1963**), a form of active risk assessment behavior, was analyzed as an ethobehavioral measure 123 (Fig 1B). It was previously shown that the number of SAPs decreases upon anxiolytic treatment 124 (Kaesermann, 1986) and increases with the anxiogenic 5-HT_{2C/1B} receptor antagonist mCPP (Grewal 125 et al., 1997). Moreover, the display of SAPs depend on the presence of an imminent threat or a 126 potential threatening situation and demonstrate the general motivation of the animals to explore 127 a potentially threatening environment (*Pinel et al., 1989*). LAB (N=6, one animal was excluded as 128 no SAPs were displayed) animals showed a significantly lower number of SAPs (Fig 1B; F₂₁₉=29.84, 129 p < 0.0001; LAB 20.7+5.9 vs. NAB 63.0+4.3), whereas HAB animals were indistinguishable from NAB 130 (Fig 1B; N=5, two animal were excluded as no SAPs were displayed). Looking at the overall duration 131 of displayed SAPs. HAB animals showed increased measures (HAB 222.4+16.8 s vs. NAB 158.0+15.2 132 s), whereas LAB animals spent on average only 33.7 ± 12.3 seconds displaying SAPs ($F_{2.19}$ =32.74, 133 p < 0.0001). If analyzed in 5 min bins, NAB animals could adapt to the EPM and the duration of 134 displayed SAPs decayed. On the contrary, HAB animals showed an elevated non-decaying response 135 after 15 minutes (group×time interaction: $F_{2,28}$ =3.587, p=0.0410; 2-way rmANOVA) and higher 136 autonomic arousal, which was reflected by significantly increased defecation (Hall, 1934) during the 137 EPM task (Fig 1C; 11.6±1.2 vs. 7.7±0.7, F_{2.21}=4.779, p<0.0195). 138 139

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Before the animals were placed on the EPM, every subject was tested for the disposition to emit sonic vocalizations by lifting them 3 times from grid cage top (*Whitney, 1970*). Animals which vocalized at least once, were counted as 'vocalizers'. Whereas none of the NAB (*N*=13) or LAB (*N*=15) animals emitted even a single call, 47% of HAB (*N*=15) animals vocalized at least once (Fig 1D; χ^2 =15.61, *p*=0.0004).

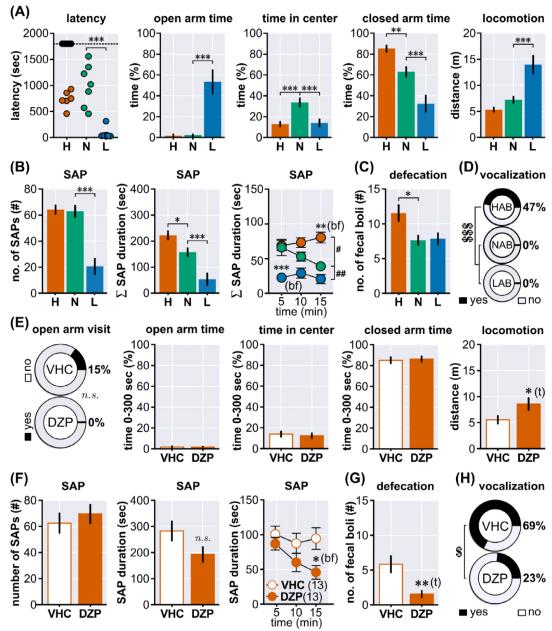
In order to investigate to which extent the phenotype of HAB mice can be modulated with 146 traditional anxiolytics, we injected diazepam (DZP, 1 mg/kg i.p.) or vehicle (saline) to separate 147 groups of experimentally naive HAB mice (N=13, each). None of the classical EPM parameters 148 were sensitive to DZP treatment, except for an increase in locomotor activity (Fig 1E: t_{24} =2.174. 140 p=0.0398). Neither the total number nor the total duration of SAPs were significantly altered by 150 DZP (Fig 1F). However, analysis in 5-min bins revealed that DZP turned the non-decaying display 151 of SAPs shown by vehicle-treated HAB into a decaying trajectory (treatment×time: interaction: 152 $F_{2,28}$ =3.587, p=0.0410; 2-way rmANOVA) which resembles the situation in NAB mice. In addition, 153 DZP treatment decreased defecation (Fig 1G; 1.5 ± 0.5 vs. 5.8 ± 1.2 , t_{24} =3.344, p=0.0027) and the 154 disposition to vocalize during a 5 minute tail-suspension test (TST) (Fig 1H; 3 out of 13 vs. 9 out of 13, 155 two-sided Fisher's exact test p=0.0472). The higher absolute incidence of vocalizers, compared to 156 the data shown in Fig 1D, is most likely due to prior injection stress. The lower absolute defecation 157 scores, in turn, might be partially ascribed to defecation during the injection procedure. Taken 158 together, HAB, NAB and LAB animals show a robust behavioral phenotype on the EPM, Further, 159 under our experimental conditions, the traditional EPM measures are not sensitive to diazepam-160 treatment, but more ethologically relevant measures like autonomic arousal, vocalization and active 161 risk assessment. 162

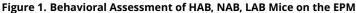
Two Novel Ethologically Inspired Testing Situations Reveals Extremes in Innate De fensive Responses in HAB and LAB Mice

The behavioral measures obtained on the EPM are indicative of an approach-avoidance situation 165 which became manifest differently in HAB and LAB mice. The term *anxiety* test for the EPM infers 166 an inner conflict which misleadingly points towards higher cognitive processes, mediated for 167 example by the prefrontal areas. Looking at avoidance behavior separately, it becomes obvious that 168 there is a strong subcortical component which is in a continuum to flight and *panic-like* reactions. 169 involving most likely the amygdala, ventromedial hypothalamus, periaqueductal gray and the 170 superior colliculus (*McNaughton and Corr. 2018*). Therefore we were interested if the altered EPM 171 phenotype of HAB and LAB is accompanied by changes in defensive responses as it has been 172 suggested previously to be the case with conditioned fear (Sartori et al., 2011b; Yen et al., 2012). In 173 order to circumvent learning mediated effects, we focused on innate defensive responses upon 174 acute confrontation with a (potential) threat. 175

Paradigms which asses general innate fear levels should incorporate multi-sensory stimuli 176 and allow for repeated testing and temporally confined exposure. In lack of appropriate testing 177 situations, we have developed two novel paradigms; the Robocat (see), which is based on a 178 previously published design by *Choi and Kim (2010*), and the IndyMaze, which is inspired by a 179 popular movie (Spielberg and Marshall, 1981) (For a detailed description of both tests see section 180 Methods and Materials). The different behavioral readouts obtained in the Robocat task are depicted 181 in Fig 2A. The mouse could either activate the Robocat and subsequently display a flight response. 182 activate the Robocat but simply bypassing it or activate the Robocat and collide with it. The innate 183 defensive responses of HAB (N=7), NAB (N=6) and LAB (N=9) mice were assessed using the Robocat 184 task. Fig 2B depicts the percentage of animals which displayed the respective behaviors at least 185 once during a 10 minute exposure to the Robocat. During this trial the animals activated the 186 Robocat several times (HAB 2.4+0.4, NAB 3.5+0.6, LAB 10.8+2.1). HAB animals were not able to 187 adapt to the Robocat's activation and showed a flight response at all encounters (Fisher's exact 188 p=0.021), they never bypassed (Fisher's exact p=0.0047) nor collided with it. On the contrary NAB 180

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(A) Using the standard EPM but with an extended cut-off time of 30 min the following behavioral parameters were assessed for HAB (*N*=11), NAB (*N*=7) and LAB (*N*=7) animals: the latency to enter the open arm, open-arm time (first 5 minutes; 0-5 min), central zone time (0-5 min), closed arm time (0-5 min) and the distance the animals have traveled (0-5 min). (B) In addition to the classical EPM parameters we have also investigated the display of stretched-attend postures (SAP) which serves as a measure of active risk assessment: the total number of SAPs during the first 15 min of the task, the total duration of SAPs during the first 15 min, and the duration of SAPs in 5 minute bins. (C) Defecation during EPM exposure (number of fecal boli) as an indirect measure of autonomic arousal. (D) Disposition to emit sonic/audible vocalizations. (E) A new cohort of experimentally naïve HAB mice was treated with diazepam (1 mg/kg, i.p.;*N*=13) or vehicle (saline, *N*=13) before exposure to the EPM. (F) Stretched-attend posture display of HAB animals during EPM with diazepam/vehicle treatment. (G) Defecation of HAB mice. (H) In order to assess the disposition to vocalize in standardized manner, the diazepam/vehicle treated HAB animals were subjected to a 5 min tail-suspension test, while audio signal were recorded and scored offline. Asterisks indicate significance values obtained by χ^2 tests, \$ p < 0.001; hashes indicate group effects obtained by 2-way ANOVA, ## p < 0.01. Values are given as mean $\pm SEM$.

animals, displayed a well-balanced behavioral profile: the minority of all animals fled the Robocat
 (33%) or got hit by the it (17%), while 83% of all NAB mice tolerated and bypassed the threatening
 stimulus at least once. This is contrasted by the behavior of LAB mice: no single animal fled upon
 the Robocat's movement, but all bypassed it. Most strikingly however, the vast majority of LAB mice

¹⁹⁴ 89% even collided with it at least once (Fisher's exact p=0.011).

The Robocat task revealed differential defensive responses between HAB and NAB, whereby the 195 inability of HAB mice to bypass the Robocat can be interpreted as maladaptive behavior. At the 196 same time the high degree of controllability (allowing a bypass or withdrawal from the arena to 197 avoid activation/confrontation) does not allow to ask whether NAB and LAB show different levels of 198 defensive responses: the inability to express defensive responses, and a high degree of adaptation 199 would result both in a decreased level of observable defensive reactions. In order to avoid this 200 confounding variable we have developed the IndyMaze. In this test an animal is confronted with a 201 rolling (25 cm/s) styrofoam ball (100 g) in a tilted ($<1^{\circ}$) and narrow tunnel. Therefore, every trial 202 involves a direct encounter with the threatening stimulus. The operational procedure is depicted in 203 Fig 2C. First, the animals are free to enter the arena, which gives the latency to first exit, a measure 204 comparable to other emergence tasks (Fig 2D). This measure corresponds to the exit latency on 205 the EPM. HAB animals showed high latencies to exit the home compartment (HAB 977.4+79.2 s vs. 206 NAB 392.1 \pm 66.1 s) whereas LAB animals were not different from NAB ($F_{2.50}$ =12.64, p<0.0001). A 207 significant amount of HAB animals never left the home compartment (Fig 2E) within 30 minutes 208 $(\chi^2_{2,N=6,2}=6.671, p=0.0356)$. Once the animals have left the home compartment, they explored the 209 entire arena (Fig 2F) with equally low latency (HAB 68.8+10.1 s; NAB 213.1+72.8 s; LAB 100.4+18.2 210 s). This demonstrates comparable levels of exploratory drive in all three lines and precludes that 211 the increased latency to the 1st exit simply results from a lack of motivation or impaired locomotor 212 behavior. Looking at the defensive responses (Fig 2G), which included preemptive flight responses 213 or a retrieval after the ball has hit the animals, it is evident that both HAB and NAB are able to 214 respond appropriately towards approaching threatening stimuli, whereas 60% of LAB animals 215 exhibited significant deficits and failed to generate at least one defensive reaction ($\chi^2_{1, N=27}$ =13.11, 216 p=0.0014). In order to test whether the behavioral readouts obtained using the IndyMaze can be 217 modulated with anxiolytics, another cohort of HAB animals was treated with diazepam (DZP, 1 218 mg/kg, N=13) or vehicle (VHC, saline, N=12) and were subjected to the IndvMaze task. The DZP 219 treatment could significantly decrease the latency to 1st exit (Fig 2H; VHC 1011.0±153.4 s vs. DZP 220 595.5 \pm 133.5 s; Mann-Whitney, two-tailed, $U_{n1=208 n^2=117}$ =39.00, p<0.0363), indicative of an anxiolytic 221 effect, while leaving latency for end-exploration unaffected (see). However, DZP treatment was 222 ineffective in modulating defensive responses (defensive responsivity: VHC 100%, DZP 100%), NAB 223 and HAB, but not LAB, mice showed short-term avoidance of additional encounters with the ball, as 224 indicated by the increase in latency until re-entering the middle part of the arena. One week later, 225 both HAB and NAB mice showed a highly significant decrease in latency to 1st entry compared 226 to the first exposure. Nevertheless, only NAB mice showed long-term avoidance of the middle 227 segment of the arena, which is indicative of maladaptive consequences of heightened fear/ anxiety 228 for the development of avoidance behavior (data not shown). 229

In summary, both tasks, the Robocat and IndyMaze, have proven to be valid tools to assay innate
 defensive responses in mice. In addition, the IndyMaze task permits also the parallel assessment
 of inhibitory avoidance behavior. Using both tasks, we could demonstrate that HAB mice show
 maladaptive levels of defensive responses. LAB animals, in contrast, exhibited strong deficits to
 escape imminent threats.

235 Complete Retinal Blindness in LAB Mice

The remarkable ignorance of LAB mice to approaching objects forced us to look for differences 236 in visual perception. A standard test for visual acuity in mice is the assessment of the optomotor 237 response (OMR) (Thaung et al., 2002: Abdelialil et al., 2005). This test is based on the tracking 238 behavior of mice in response to horizontally moving stripes. For this test, mice are placed on a fixed 239 platform within a rotating cylinder lined with stripes of different width to probe visual acuity (Fig 3A 240 inset). We modified this testing procedure in order to fit to all five mouse lines (B6, CD1, HAB, NAB 24 and LAB) in a way that we have used only one, relatively large grating (0.5 cycles/degree) and in 242 addition scored every head movement if it was concordant with the cylinders rotational direction. 24

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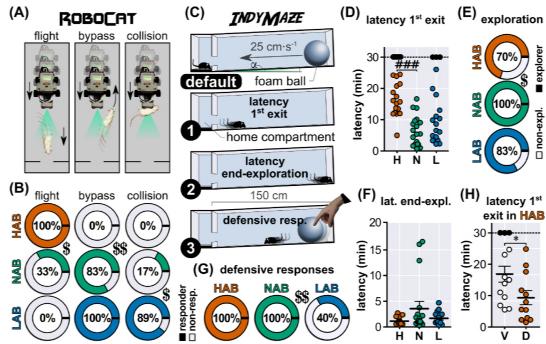


Figure 2. Two Novel Ethologically Inspired Testing Situations Reveals Extremes in Innate Defensive Responses in HAB and LAB Mice

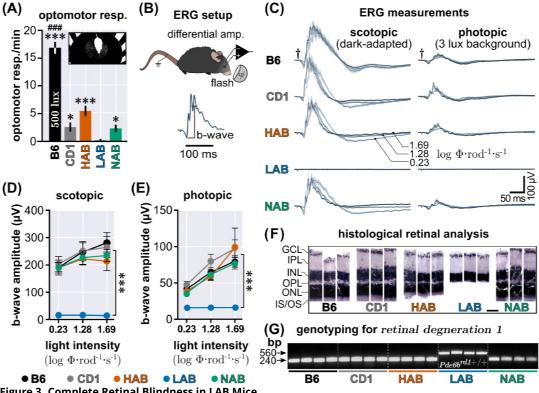
A) The three different behavioral measures obtained in the Robocat task, whose appearance have been scored: flight, bypass and collision. (B) Using the Robocat, we have investigated the fear responses of HAB (N=7, red), NAB (N=6, green) and LAB (N=9, blue) animals (analyzed using Fisher's exact tests). Values are percentages of animals which showed the respective behavior at least once. (Ć) Schematic description of the IndyMaze (default) operational procedure as well as the different behavioral measures (latency to 1st exit I, latency for end-exploration II and flight response III). Using the IndyMaze we have tested different cohorts of HAB (N=24), NAB (N=19) and LAB (N=20) animals. (D) Quantification of the latency to 1st; black-filled circles indicate animals which did not leave the start arm, HAB (N=7), NAB (N=0) and LAB (N=4), those animals were excluded from the 1-way ANOVA. (E) Quantification of the number of animals which explored the arena. (F) Quantification of the latency for end-exploration excluding animals which did not enter the arena at all, as shown in D. Note: If the animals left the start compartment, they all explored the arena to its end with comparable vigor. (G) Quantification of the occurrence of fear responses at least once during 3 encounters of the approaching styrofoam ball (this includes preemptive fear responses, as well as fear responses after the ball had hit the animal). (H) Another cohort of HAB animals was treated with diazepam (DZP, 1 mg/kg, i.p.) (N=13) or vehicle (VHC, saline, N=12) and subjected to the IndyMaze, and the latency to 1st exit was quantified. Asterisks indicate significance values obtained by Mann-Whitney test, \star = p<0.05; dollar signs indicate significance values obtained by χ or Fisher's exact tests, \$ p<0.05, \$\$ p<0.01, \$\$\$ p<0.001; hashes indicate significance values obtained by 1-way ANOVA followed by Newman-Keuls Multiple Comparison test, ## p < 0.001. Values are given as mean \pm SEM.

Therefore we have used this test to assess vision in general, rather than visual acuity. Using this 244 approach, we could observe significant strain differences (Fig 3A; $F_{4,54}$ =93.13, p<0.0001). B6 mice 245 outperformed all other strains by far (B6 16.9±0.9 OMR/min), whereas among the albino animals 246 HAB animals showed the strongest responses (5.5±0.8 OMR/min). Both, CD1 (2.6±0.7 OMR/min) 247 and NAB (2.4±0.5 OMR/min) animals responded similar, but LAB animals failed to show any clear 248 optomotor responses (0.2±0.1 OMR/min). 249 As LAB mice also have been reported to exhibit certain phenomenological similarities to ADHD 250 (Yen et al., 2013), we cannot exclude the possibility that these animals perceive but are unable 251 to attend to the visual stimuli and thus fail to show an appropriate response. Therefore, the 252 retinal function of all five mouse strains was investigated using flash electroretinography (fERG) 253 measurements in the anesthetized animal. The fERG setup (depicted in Fig 3B top) consisted of 254 a differential amplifier usually used for in-vivo extracellular neural recordings (Siegle et al., 2017), 255

whereby the reference electrode was placed on the shaded eye. The other eye was stimulated with a custom built miniature eyecup, equipped with a white LED, in combination with a custom

- ²⁵⁸ built LED driver. This setup allowed the reliable detection of electroretinographic signals and
- the dissection of the b-wave component of fERG (Fig 3B *bottom*). The fERGs acquired in scotopic
- (dark-adapted for >3h) as well under photopic conditions at three different light flash intensities (0.23, 128 and 1.69 log photoisomerizations \times rod⁻¹ \times s⁻¹), showed strong deflections for B6, CD1,
- ²⁶² HAB and NAB (*N*=6, each) animals (Fig 3C). However, in LAB animals (*N*=6) there was no detectable

electrophysiological response (scotopic: Group $F_{4,25}$ =14.38, p<0.0001, Fig 3D; photopic: Group 263 $F_{4,25}$ =8.77, p=0.0001, 2-way rmANOVA; Fig 3E). To further determine the cause for the absence 264 of electroretinographic responses, a histological analysis of retinal sections of all strains (N=3, 265 each, right eye) was conducted and an absence of the outer nuclear layer (ONL) and the subjacent 266 inner/outer segments (IS/OS) was observed in LAB animals (Fig 3F). As the founder strain for LAB 267 animals (CD1) is known to exhibit incidences of a recessive rd1 retinal degeneration (Serfilippi 268 et al., 2004), we employed a polymerase chain reaction (PCR) genotyping screening for all strains 269 (N=4, each, tail biopsy) (Chang et al., 2013). The test (Fig 3G) revealed that LAB animals exhibit 270 a homozygous mutation in the Pde6b^{rd1+/+} allele which is indicative of the retinal degeneration 1 271 mutation which leads to blindness shortly after birth. Therefore, it is to conclude that LAB animals 272 (tested at an age of 3-6 month of age) suffer from complete retinal blindness, which is the reason 273 for the inability to escape approaching threatening stimuli, like the Robocat (Fig 2B). But blindness 274 does not explain why still only 40% of LAB animals showed a flight response even after hit by the 275 ball in the IndyMaze task (Fig 2G). 276





(A) Optomotor responses (OMR) measured in B6, CD1, HAB, NAB, LAB (N=12, each) under 500 lux. Inset shows a HAB animal within the OMR setup. Significance values obtained by 1-way ANOVA followed by Newman Keuls Multiple Comparison are indicated by asterisks compared to LAB or by hashes for B6 compared to all other mouse lines. (B) Simplified overview of the setup for measuring electroretinography in the anesthetized mouse. The b-wave is typically associated with the activity of Müller and ON bipolar cells. (C) Electroretinograms of B6, CD1, HAB, NAB, LAB (N=6, each) measured at scotopic and photopic conditions a three different flash intensities. Quantification of (D) scotopic ERG and (E) photopic measurements. Asterisks indicate significant group effect obtained by 2-way ANOVA followed by Bonferroni post hoc test. (F) Histological analysis of 30 μm retinal sections of B6, CD1, HAB, NAB, LAB (N=3, each, right eye only) stained with haematoxylin and eosin. IS/OS inner/outer photoreceptor segments; ONL outer nuclear layer; OPL outer plexiform layer; INL inner nuclear layer; IPL inner plexiform layer; GCL ganglion cell layer. (G) Polymerase chain reaction (PCR) screening for *Pde6b*^{rd1+/+} allele, *retinal degeneration 1*; bp base pair. Significance values are indicated by asterisks and hashes (details for the statistical tests are given in the respective part of the figure legend): * p<0.05, ** p<0.01, *** p<0.001 vs. LAB; ### p<0.001 vs. CD1, HAB, LAB and NAB. Values are given as mean±SEM.

Reversing the Low-anxiety Phenotype of LAB Mice 277

The severe deficit in avoiding approaching threats of LAB mice during the Robocat task is explained 278

- by their retinal degeneration. However, using the IndyMaze, where retrievals (after the ball had hit 279
- the animal) were also counted as fear responses, it became obvious that LAB mice also showed a 280

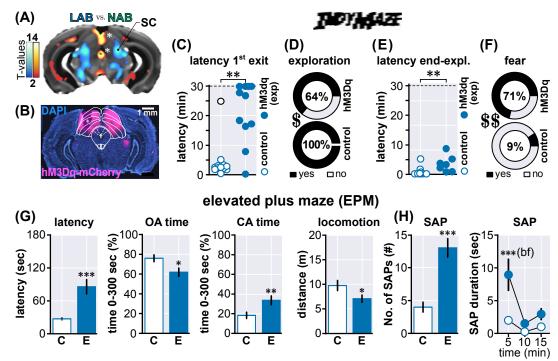
decreased responsivity towards tactile stimuli. Therefore, it was necessary to determine whether 28 this behavioral abnormality can be ascribed to differential activity in a certain brain area. In order 282 to investigate the tonic neuronal activity changes in LAB mice compared to NAB, we employed 283 manganese-enhanced magnetic resonance imaging (MEMRI) in HAB (N=31). NAB (N=26) and LAB 284 (N=30) mice (FDR p<0.001, cluster extent >20), using a 3-level full-factorial design voxel-wise analysis 285 (for the complete MEMRI data set see). The results obtained by pairwise comparison of MEMRI 286 data (i.e., HAB vs. NAB, LAB vs. NAB) suggested, among others, a decreased accumulation of 287 manganese within the ventral parts of the deep and intermediate layers of the superior colliculus 288 (lateral to the periaqueductal gray) in LAB mice (Fig 4A). This structure receives dense inputs from 280 the primary and secondary somatomotor areas (Allen Brain Atlas, Connectivity, exps. #180719293. 290 #180709942). In order to assess the functional relationship of this brain region in the generation 291 of the LAB behavioral phenotype, the recently developed DREADD approach (Armbruster et al., 292 2007) was employed. The activating DREADD hM3Dg fused to the reporter protein mCherry was 293 expressed under the control of the CaMKII α promoter using adeno-associated viral vectors (AAV5-294 CaMKIIa-hM3Dg-mCherry, N=11) or the control virus (AAV5-CaMKIIa-mCherry, N=12) within the SC 295 (ML ±0.9 mm, AP -3.64 mm, DV -1.75 mm). An exemplary image of the virus expression is shown in 296 Fig 4B. This approach resulted in the labeling of the entire SC (for detailed histological verification 297 see A). After an incubation period of >5 weeks, all animals were subjected to the IndvMaze. On the 298 testing day each animal was injected (i.p.) with 1 mg/kg CNO 45 minutes before the trial (as both, 299 experimental and control animals, received the same amount of CNO, the previously discovered 300 side-effects of converted clozapine (Gomez et al., 2017) cannot explain the behavioral changes). 301 Experimental animals expressing hM3Dg showed a significantly (Mann-Whitney $U_{n1=94, p2=182}$ =16.00, 302 p=0.0023) increased latency to leave the start compartment (1282.0±185.4 s vs. 265.5±113.6 303 s: Fig 4C). Moreover only 64% of hM3Dg animals left the start compartment (Fig 4D) within 30 304 minutes (Fisher's exact, p=0.0373). Also the latency for end exploration was increased (216.0±62.7 s 305 vs. 51.0 \pm 25.3 s; Mann-Whitney U test; $U_{n1=86, n2=104}$ =8.00, p=0.0046; Fig 4E). The fear responsivity 306 (Fig 4F) was increased to 71% of mice transfected with hM3Dq, compared to 9% in mCherry 307 controls (Fisher's exact, p=0.0095). Next, we tested whether this pharmacogenetically augmented 308 defensive response pattern, is also reflected by changed behavior on the EPM (one week after 309 IndyMaze task, CNO injection 45 min prior to experiment; Fig 4G), Similar to the emergence 310 component of the IndyMaze, hM3Dq animals treated with 1 mg/kg CNO showed an increased 311 $(85.6 \pm 12.9 \text{ s vs. } 27.4 \pm 2.4 \text{ s})$ latency to access the open arm $(U_{n1=69.5, n2=183.5}=3.500, p=0.0002)$. This 312 was accompanied by a decreased percentage of time spent on the open arms (61.9±4.2 % vs. 313 76.0 \pm 3.3 %, $U_{n1=183, n2=93}$ =27.00, p=0.0178), an increased percentage of time spent in the closed 314 arms (33.6±4.3 % vs.18.3±3.0, U_{n1=100.5 n2=175.5}= 22.50, p=0.0081) as well as decreased locomotor 315 activity (7.1 \pm 0.7 m vs. 9.7 \pm 1.0 m, $U_{n1=179, n2=97}$ =31.00, p=0.0337). Time in center was unaffected 316 (see B). The partially reverted behavioral phenotype of LAB mice on the EPM could be explained 317 by an increased passivity due to nonspecific effects of the active DREADD. However, the increased 318 number of active risk assessment behavior (Fig 4H) in hM3Dg animals (13.0+1.4 vs. 4.0+0.7) points 310 towards higher levels of defensive responses ($U_{n1=56.5, n2=174.5}=1.5, p=0.0002$). In addition, also the 320 duration of SAPs was increased (8.9 ± 2.4 s vs. 2.0 ± 0.6 s) within the first 5 minutes of the EPM 321 (hM3Dqxtime: F_{238} =3.59, p=0.0375, 2-way rmANOVA). Together, these results show that elevation 322 of neuronal activity within the SC increased open-arm avoidance and risk assessment behavior 323 even in blind LAB animals. Moreover, the pharmacogenetic stimulation of the SC could restore in 324 part, the deficits in defensive responses to tactile stimuli. 325

326 Reversing the High-anxiety Phenotype of HAB Mice

Similar to LAB mice, we used the MEMRI approach to identify the neural circuitry which potentially underlies the maladaptive defensive response pattern and increased open-arm avoidance behavior in HAB mice. A prominent brain structure found to exhibit increased manganese accumulation,

was the ventrolateral, lateral (I) and dorsolateral (dl) periaqueductal gray (Fig 5A; for the complete

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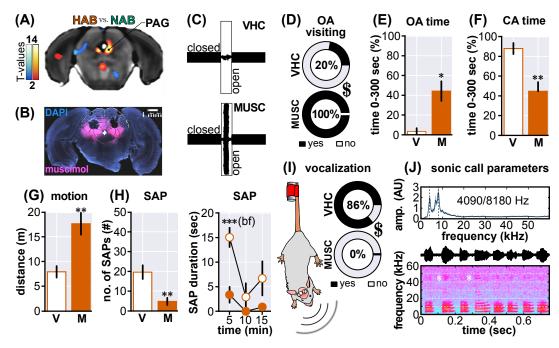




(A) Manganese enhanced MRI (MEMRI) of LAB (N=30) vs. NAB (N=26) animals exhibited a significantly decreased accumulation of Mn²⁺ within the deep and intermediate layers of the superior colliculus of LAB. Warm colors indicate increased accumulation, cold colors indicate decreased accumulation in LAB as compared with NAB. The color brightness indicates the significance values. Asterisks mark signal artifacts within the aqueduct and above the superior colliculus due to line differences in brain templates. (B) Exemplary brain section at approximately the same slice location as the MEMRI data, depicting extent of viral expression (magenta) at the level of the superior colliculus. Shown in cyan is the nuclear 4',6-diamidino-2-phenylindole (DAPI) counterstain. Overlaid are the outlines of the SC and PAG. Asterisk marks tissue lesion which occurred during sectioning. The effect of hM3Dq activation within the SC was studied using the IndyMaze task. Shown is the latency to first exit (C), the percentage of animals which explored the arena at all (D), latency to end-exploration (E) and the percentage of animals which showed a fear response to the ball (F). All animals were treated with 1 mg/kg clozapine-N-oxide (CNO) 45 minutes before the test. (G) In addition the same animals were tested for the behavior on the EPM (30 minutes), and latency to emerge, open arm (OA) time, closed-arm (CA) time and locomotion was assessed within the first 5 minutes. (H) Moreover the active risk assessment parameters, i.e. the total number of stretched-attend postures (SAP) and the duration of SAPs over time (0-15 min) were scored. Asterisks indicate significance values obtained by Mann-Whitney test if not stated otherwise, * p<0.05, ** p<0.01, *** p<0.001; dollar signs indicate significance values obtained by Fisher's exact tests, \$ p<0.05, \$\$ p<0.01. Significance values obtained by 2-way rmANOVA, followed by Bonferroni post-hoc test are indicated with bf. Values are given as mean±SEM.

MEMRI data set see). In order to assess the functional relationship of the PAG in the generation 331 of the HAB behavioral phenotype, we implanted guide cannulae targeting the dl/IPAG (ML ± 0.6 332 mm, AP -4.25 mm, DV -2.45 mm, needle protruded 500 µm) and injected 53.24 ng/100 nl (per 333 hemisphere) of fluorescently labeled muscimol (MUSC), a potent GABA₄-agonist (45 minutes before 334 each experiment) which is comparable to 10 ng in 100 nl of ordinary muscimol. An exemplary 335 image depicting the muscimol diffusion is shown in Fig 5B. The extent of muscimol diffusion of all 336 animals (N=11) is shown in A) and comprised besides the IPAG, the dIPAG and partly the deep and 337 intermediate layers of the SC. In order to test whether increased GABAergic signaling within the 338 IPAG changes the extreme open-arm avoidance behavior of HAB mice, we have tested vehicle (aCSF, 330 N=6) or MUSC (N=5) treated HAB mice (one VHC and two MUSC animals have been excluded from 340 analysis due to deficient infusion) for their behavior on the EPM (Fig 5C-H). While only 20% of VHC 341 treated animals accessed the open arm, all MUSC animals readily did so (Fisher's exact, p=0.0152; 342 Fig 5C+D). Further, MUSC treated animals spent significantly ($U_{n1=16, n2=50}$ =1.000, p=0.0116) more 343 time on the open arm (44.4 \pm 9.4 % vs. 3.2 \pm 3.2 %; Fig 5E), less time in the closed arm (45.0 \pm 9.3 % 344 vs. 88.1±5.8 %, U_{n1=44, n2=22}=1.000, p=0.0087; Fig 5F) and showed increased locomotion (17.6±2.2 345 m vs. 8.0±1.2 m, U_{n1=15, n2=61}=0.0, p=0.0043; Fig 5G). Time in center was unaffected (see B). These 346 observations indicate a decrease in open-arm avoidance, which however, could be confounded by 347 the increased activity. Therefore a decrease of active risk assessment (shown earlier to be sensitive 348 to systemic diazepam treatment; see Fig 1F) in MUSC treated HAB mice (Fig 5H), namely number of 349

SAPs (MUSC 5.0±3.5 vs. VHC 19.6±3.4, U_{n1=45, n2=21}=0.0, p=0.008) supports the decrease in defensive 350 responses. Moreover, the duration of SAPs was significantly decreased within the first 5 minutes of 351 the EPM task with significant group effect ($F_{1,9}$ =13.71, p=0.0049, 2-way rmANOVA; Fig 5H). Finally, all 352 animals were tested two times on two consecutive days for their disposition to vocalize during a 353 5 min TST, using a crossover design. Half of the animals received either VHC or MUSC treatment, 354 which was swapped at the following day. Whereas 86% of VHC treated HAB mice emitted at least 355 one sonic call during a 5 min TST, none of the MUSC treated animals vocalized (Fisher's exact, 356 p < 0.0001; Fig 5I). All calls were in the sonic range. Fig 5J (*upper panel*) shows the spectral analysis 357 of sonic vocalizing HAB mice (two mice have been excluded due to their low disposition of only 358 short calls). Evidently HAB mice vocalize at a dominant frequency of 4090 Hz with a strong 1st 359 harmonic at 8180 Hz. All recordings were carried out using a USV transducer and were scored 360 online using the heterodyne headphone output, thereby we can exclude that MUSC treated animals 361 vocalized in the ultrasonic range only. Fig 5] (lower panel) shows an exemplary sonic call with the 362 dominant frequency in the 4 kHz range, and formant harmonics up to approx. 16 kHz. In some 363 calls (white asterisks) we can see that these harmonics even range up to 40-50 kHz, however these 364 signals do not resemble any typical rodent ultrasonic call. These results, indicate an increased 365 tonic activation of the PAG in HAB mice, which precipitates as an exaggerated open-arm avoidance 366 behavior accompanied by a strong disposition to emit sonic calls, which could be reverted by low 367 doses of muscimol. 368





(A) Manganese enhanced MRI (MEMRI) of HAB (N=31) vs. NAB (N=26) animals showed a significantly increased accumulation of Mn²⁺ within the periaqueductal gray of HAB. (B) Exemplary brain section at approximately the same slice location as the MEMRI image, depicting extent of fluorescently labeled muscimol (MUSC) diffusion (magenta) at the level of the periaqueductal gray. The nuclear DAPI counterstain is shown in cyan and overlaid by the outlines of the SC and PAG. Asterisk marks tissue lesion due to cannula placement. (C) Exemplary movement trace of a vehicle (VHC) and MUSC treated HAB mouse on the EPM. VHC (N=5) or MUSC (N=6) treated HAB animals were tested for their behavior on the EPM (30 minutes), and the percentage of open arm (OA) visiting animals (D), OA time (E), closed-arm (CA) time (F) and locomotion (G) was assessed within the first 5 minutes. (H) Moreover the active risk assessment parameters, i.e. the total number of stretched-attend postures (SAP) and the duration of SAPs over time (0-15 min) were scored. (I) Finally, animals (N=14) have been treated with VHC and MUSC in a crossover design and subjected to a 5 min tail-suspension test (see cartoon), in order to assay the disposition to vocalize. (J) Upper panel: Spectral analysis of vocal call emitted by HAB (N=10). Depicted is the average (black) together with the SEM (blue). Dashed horizontal lines indicate the dominant frequency at 4090 Hz and the first harmonic at 8190 Hz. Mid panel: Exemplary call of a HAB animal; hull curve of raw signal. Lower panel: Sonogram of the same call. Note the formant structure of the harmonics. Asterisks denote rare and slight ultrasound artifacts within the 40-50 kHz range, which occur due to the expelled air itself. Asterisks indicate significance values obtained by Mann-Whitney test if not stated otherwise, * p<0.05, ** p<0.01, *** p < 0.001; dollar signs indicate significance values obtained by Fisher's exact tests, \$ p < 0.05, \$\$ p < 0.01. Significance values obtained by 2-way rmANOVA, followed by Bonferroni post-hoc test are indicated with bf. Values are given as mean±SEM.

369 Discussion

The inner feelings during states of anxiety, fear and panic of laboratory animals are not accessible 370 to the experimenter. Instead one has to rely on behavioral and physiological readouts. Due to 371 the rather continuous nature of the behavioral expression of anxiety, fear and panic, these states 372 appear as a function of the animals defensive survival circuits. While this does not preclude a 373 classification of the observed measures, it eliminates the possibility to ascribe a certain inner state 374 to a behavioral category. In the description of the results presented in this study, we have limited 375 ourselves to the use of *avoidance* for situations where the animal controls its exposure to a threat 376 (emergence tasks), active risk assessment for the display of stretched-attend postures and defensive 377 responses for directed and undirected flight and tonic immobility (freezing). 378

379

Previous studies investigating the neuropharmacological basis of altered open-arm avoidance 380 of inbred mouse strains using the EPM, report a consistent dose-dependent anxiolytic effect of 381 systemically administered benzodiazepines (Rodgers et al., 1992: Cole and Rodgers, 1995: Holmes 382 and Rodgers, 1999: Griebel et al., 2000) which emphasizes the predictive validity of this testing 383 situation. More recent studies which assess the involvement of specific brain areas in the expression 384 and regulation of open-arm avoidance in rats and mice implicate the prefrontal cortex (PFC) 385 (Adhikari et al., 2010, 2011; Kumar et al., 2013), bed nucleus of the stria terminalis (BNST) (Kim et al., 386 2013), lateral septum (LS) to anterior hypothalamic area (AHA) projection (Anthony et al., 2014). 387 medial septum (MS) (Shin et al., 2009; Zhang et al., 2017), septo-habenular pathway (Yamaguchi 388 et al., 2013), basolateral amygdala (BLA) (Sorregotti et al., 2018) and specifically its projections 389 towards the central nucleus of the amygdala (CeA) (Tve et al., 2011) and ventral hippocampus (vHPC) 390 (Felix-Ortiz et al., 2013) and PEC (Felix-Ortiz et al., 2016), vHPC to PEC projection (Padilla-Coreano 391 et al., 2016), vHPC-lateral hypothalamic area (LHA) projection (limenez et al., 2018), habenula (Hb) 392 (Pang et al., 2016), interpeduncular nucleus (IPN) (Zhao-Shea et al., 2015), laterodorsal tegmentum 393 (LdT) (Yang et al., 2016) but also the PAG (Santos et al., 2003; Netto and Guimarães, 2004; Borelli 394 and Brandão, 2008: Lima et al., 2008: Campos and Guimarães, 2009: Mendes-Gomes and Nunes-395 de Souza, 2009: Terzian et al., 2009: Muthurgiu et al., 2016) and the SC (Muthurgiu et al., 2016). It 396 is clear that all these brain structures cannot mediate the same types and aspects of avoidance 397 behavior (e.g. social, olfactory, visual & auditory cues), but nevertheless they all modulate the 398 same behavioral readout. While this broad spectrum of potentially involved circuits might be 399 advantageous for initial behavioral screening purposes, the interpretation of the observed behavior 400 on the EPM demands extra care. Consequently, referring to this behavior as *anxiety-like* is an 401 oversimplification. In this study we presented data obtained from mouse lines which were generated 402 under the simple assumption that the level of open-arm avoidance is a proxy for *anxiety* (Krömer 403 et al., 2005). 404

It has to be noted that similar to the bidirectional selective breeding for open-arm avoidance in mice, there has been an earlier approach in rats wich resulted in high-anxiety and low-anxiety behaving animals (*for review see Landgraf and Wigger, 2003; Landgraf et al., 2007*). However, even though the findings obtained with HAB/LAB rats are highly relevant in the face of preclinical anxiety research, their discussion is beyond the scope of this study.

410

We have shown that the open-arm avoidance phenotypes of two mouse-lines which have 411 been selectively bred for extremes in so-called *anxiety-like* behavior (HAB and LAB mice), based 412 on their behavior on the EPM, are accompanied by paralleled changes in the level of defensive 413 responses. This was demonstrated using two novel multi-sensory behavioral paradigms (Robocat, 414 IndyMaze) which allowed the repeated assessment of innate escape behavior towards an approach-415 ing threatening stimulus. Further, we have discovered that LAB mice lack a functional retina due 416 to a homozygous mutation in the Pde6b^{rd1+/+} allele which is indicative of the retinal degeneration 417 1 (rd1) mutation and leads to blindness shortly after birth. Nonetheless, applying MEMRI-guided 418

in-*vivo* neuronal circuit inquiry using the activating DREADD hM3Dq in the SC of LAB mice, we
 were able to demonstrate that increasing the neuronal activity within a midbrain multi-sensory
 integration circuit is sufficient to increase the level of innate defensive responses even in blind

animals which ultimately precipitates as increased open-arm avoidance on the EPM. Further, using

 $_{\tt 423}$ $\,$ a similar approach but employing local injections of the potent ${\sf GABA}_{\sf A}\text{-}{\sf agonist}$ muscimol into the

PAG of HAB mice, we could show that also in this case a modulation of the neuronal activity within

the midbrain survival circuits is sufficient to reverse the dominant open-arm avoidance phenotype.

⁴²⁶ The Multidimensional Nature of Selective Breeding

Both bottom-up (which start from a defined genetic alteration or neuronal subpopulation or brain 427 structure) and top-down approaches (which start from a distinct behavioral phenotype) hold the 428 promise to decipher the molecular and cellular basis of anxiety-like behavior (Anderzhanova et al. 420 2017). The latter approach includes selective breeding and allows to study behavioral phenotypes 430 on a polygenic background, which resembles the situation in most psychiatric diseases (Landgraf 431 et al., 2007: Anderzhanova et al., 2017). It assumes that the resulting extremes in anxiety-like 432 behavior reflect extremes in the normal distribution of the same behavioral trait (Sartori et al., 433 **2011b**). Accordingly, a direct comparison between the two extremes is expected to provide an 434 optimal signal-to-noise ratio to disentangle molecular and cellular correlates of the phenotype 435 In fact, activity propagation through the amygdala circuit seems to support a dimensional shift 436 from HAB via NAB to LAB phenotype (Avrabos et al., 2013), and many behavioral readouts show a 437 similar pattern (see Table 1). The present study, however, demonstrates that this strategy might 438 be misleading if not entirely wrong: First, measurements of differences in activity-dependent 439 accumulation of Mn^{2+} did not reveal a single brain structure with bidirectional changes in signal 440 intensity in HAB and LAB compared to NAB mice. Second, we identified impairments in sensory 441 perception as a putative source of threat neglect in LAB mice. The rd1 mutation freely segregates 442 in many mouse strain populations, including CD1 (the ancestor strain used for the initial step of 443 selective breeding). It stands for a nonsense mutation in the photoreceptor phosphodiesterase 444 6b (Pde6b). In case of homozygosity, the recessive mutation results in photoreceptor loss and 445 retina degeneration. It is conceivable that the selective breeding of LAB is based, at least in part, on 446 co-selection for rd1 and the resulting physical blindness. Due to the lack of material, we cannot 447 trace back the time point of first occurrence of homozygosity since the establishment of the LAB 448 line more than 15 years ago (Krömer et al., 2005). In any case, data obtained in the past by direct 449 comparison of LAB vs. HAB have to be (re-)interpreted with great care. 450

451 In-vivo Imaging

We employed in vivo MEMRI imaging to investigate the neural basis of extremes in anxietv-like 452 behavior. Other than expression of immediate early genes or accumulation of radioactive derivates 453 of glucose which measure phasic changes in neuronal activity upon acute exposure to a threatening 454 situation, repeated injections of MnCl₂ are expected to result in intracerebral accumulation of 455 Mn²⁺ also in cells with tonic (i.e., lasting) changes in neuronal activity. Importantly, MEMRI has the 456 potential to non-invasively map whole-brain activity (Bangasser DA, 2013; Bissig and Berkowitz, 457 2009; Chen et al., 2013, 2007; Eschenko et al., 2010; Hoch et al., 2013; Laine et al., 2017; Tang 458 et al., 2016). Mn²⁺ enters active neurons through voltage-gated calcium channels (Drapeau and 459 Nachshen, 1984) (e.g. Ca. 1.2; (Bedenk et al., 2018)), is transiently kept intracellularly (Gavin et al., 460 1990) and preferentially accumulates in projection terminals (Bedenk et al., 2018), which suggests 461 its application for connectome analyses. Although our animals were not explicitly challenged, it has 467 to be noted that the injection procedure per se may act as an acute stressor which triggers distinct 463 neural responses in the different mouse lines. In fact, mice showed a prominent corticosterone 464 secretion following treatment with Mn^{2+} , which declined over the course of repeated injections 465 (Grünecker et al., 2010). 466

 $_{467}$ Voxel-wise comparisons revealed a variety of brain structures with lower or higher Mn²⁺ accu-

mulation in HAB or LAB vs. NAB. A detailed discussion of each of them is far beyond the scope 468 of the present study. We wish to mention only a few prominent (and unexpected) findings such 469 as the globus pallidus (GP) in LAB and the septo-hippocampal system in HAB. The GP is primarily 470 associated with motor and associative functions (*Denigu et al.*, 2010). However, it seems to play an 471 important role also in the expression of aversive behaviour, including fear and anxiety (Talalgenko 472 et al., 2008). Local administration of serotonin and glutamic acid into the GP effectively suppressed 473 anxiety-like behaviour in the threatening situation avoidance test (Talalgenko et al., 2008), and 474 downregulation of the corticotropin releasing factor receptor 1 led to an anxiogenic effect (Sztain-475 berg et al., 2011). Further clinical evidence for crucial involvement of the GP in anxiety mediated 476 behaviour is given by the fact that deep brain stimulation within the GP was accompanied by a 477 decrease of anxiety symptoms in depressive patients (Kosel et al., 2007) as well as patients suffering 478 from Parkinson's disease (Tröster et al., 1997). We cannot entirely rule out that the differences in GP 470 activity may relate to line differences in general locomotor activity (Yen et al., 2013, 2015). However, 480 one would assume that increased motor activity, if at all, would lead to increased accumulation of 481 Mn^{2+} in the motor network, resulting in an effect of order LAB > NAB not LAB < NAB. 482

Our finding of increased activation of the septal-hippocampal system in HAB is particularly 483 interesting, given its suggested involvement in generalized anxiety disorder (Grav and McNaughton) 484 2000). Septal lesions were reported to increase the time spent on the open arm of the EPM and to 485 decrease the time spent burying the shock probe (Menard and Treit, 1996), and the local adminis-486 tration of the arginine vasopressin receptor antagonist into the septum of rats led to an increased 487 time spent on the open arm of the EPM (Liebsch et al., 1996). Septal neurons which express the 488 corticotropin releasing factor receptor 2 project to the hypothalamus and promote anxiety-like 489 behavior (Anthony et al., 2014). Also the hippocampus has been implicated in anxiety-like behavior 490 (Bannerman et al., 2004), in particular its ventral part (Felix-Ortiz et al., 2013; Padilla-Coreano et al., 491 2016: limenez et al., 2018). Therefore, it is tempting to assume that the higher activity status of the 492 hippocampus in HAB mice indicated by increased Mn^{2+} accumulation causally links to exaggerated 493 fear and anxiety-like behavior shown by the animals. This interpretation is supported by PET-studies 494 in rhesus monkey which report increased brain activity in the hippocampus of hyperanxious animals 495 (Oler et al., 2010). Interestingly, also LAB mice show higher Mn²⁺ accumulation in the hippocampus 496 formation, which seems to contradict our interpretation. However, we observed a prominent signal 497 at the level of the dorsal dentate gyrus, which has been associated with hyperlocomotion and 100 decreased anxiety before (Kheirbek et al., 2013), thus resembling the LAB phenotype (Yen et al., 499 2013). 500

Midbrain Structures Control the Level of Open-arm Avoidance, Risk Assessment and Defensive Behavior

Among the many brain structures with different accumulation of Mn²⁺, there were also parts of 503 the midbrain/tectum, which showed reduced (e.g., superior and inferior colliculus in LAB mice) or 504 enhanced (PAG in HAB mice) signal intensities. The superior colliculus is a multimodal sensory 505 motor structure that receives inputs from the retina and somatosensory cortex (King. 2004: Shi 506 et al., 2017). Efferences from the superior colliculus trigger a variety of defensive responses (Shang 507 et al., 2015: Evans et al., 2018). Therefore, the reduced activity status of the superior colliculus may 508 reflect both reduced sensory inputs (i.e., threat detection: (Almada et al., 2018)) and reduced threat 500 responding. Physical blindness alone is insufficient to explain the behavioral phenotype in the 510 IndyMaze (where LAB mice failed to show flight responses even after contact with the styrofoam ball) 511 and on the EPM (low level of risk assessment). Indeed, we could reduce the 'emotional blindness' by 512 chemogenetic activation of the superior colliculus. In HAB, the enhanced Mn²⁺ accumulation spans 513 the entire caudal part of the PAG and resembles the enhanced expression of c-Fos in HAB mice 514 which had been confined to an open arm of the EPM (*Muigg et al., 2009*). The increased activity in 515 ventral parts of the PAG correspond to the prevalence of HAB mice for showing passive defensive 516 responses (Bandler R. 2000: Toyote et al., 2015)(see also Table 1). The increased activity in dorsal 517

parts is more surprising, given their association with active defensive responses (*Bandler R, 2000*).
Only recently we could demonstrate that HAB mice show exaggerated active fear to an approaching
robo-beetle (*Heinz et al., 2017*), which is in accordance with the exaggerated flight responses to
the Robocat shown in the present study. Remarkably, inactivation of the dorsal PAG led to the
most striking changes in EPM behavior observed so far in this mouse line. This also applied to the
reduction in risk assessement and the complete absence of defensive vocalization.

524 Of Fear and Anxiety

In animals, anxious states are prototypically assessed in exploration- or interaction-based tasks 525 which involve approach-avoidance conflicts (Belzung and Griebel, 2001; Millan, 2003; Crvan and 526 Holmes, 2005; Sousa et al., 2006). Avoidance measures alone are insufficient to describe the behav-527 ioral phenotype, since they might be confounded by alterations in exploratory drive. Therefore, it is 528 strongly recommended to additionally assess ethobehavioral parameters which reflect approach 529 behavior torwards a (potentially) threatening environment/object (Grav and McNaughton, 2000). 530 Here we report bidirectional changes in risk assessment (McNaughton and Corr. 2018) on the EPM 531 in HAB and LAB vs. NAB mice, which were sensitive to diazepam treatment and could be reverted 532 by pharmacological or chemogenetic interventions at midbrain/tectal structures. Open-arm explo-533 ration, in contrast, was insensitive to diazepam and, thus, seems to be less suited as a measure of 534 anxiety-like behavior. This might be ascribed to the stringent selection process over the generations 535 (Krömer et al., 2005), and the threat intensity due to the combination of height and open spaces. 536 Accordingly, during the first phase of the IndyMaze, when mice have to leave the home compart-537 ment to explore the hollow way engulfed by side and end arms. HAB mice showed a strong increase 538 in emergence latencies. This time, however, this parameter was sensitive to diazepam treatment. 530 possibly because of a less threatening impact of the test situation. Importantly, HAB mice readily 540 explored the entire setup, once they had left the home compartment. Therefore, differences in 541 EPM or IndyMaze exploration cannot be ascribed to a general lack in motivation/exploratory drive 542 or locomotor behavior, but to state anxiety. To study the consequences of extremes in anxiety-like 543 behavior on defensive responses to explicit threat, we decided to develop ethobehavioral tasks 544 (Pellman and Kim, 2016), which allow for the measurements of active defensive responses as a 549 function of defensive distance and to judge their adaptive vs. maladaptive nature. In the IndyMaze, 546 mice were confronted with an approaching styrofoam ball, which spans the entire width of the 547 hollow way. Whereas both HAB and NAB escaped from the ball, the behavior of LAB mice was 548 clearly maldadaptive, since virtually all mice were overrun by the ball at least once (without physical 549 harm). As discussed before, increased neuronal activity in the superior colliculus reestablished 550 flight behavior in the majority of the animals, demonstrating that not exclusively sensory deficits (i.e. 551 physical blindness) can explain the deficits in defensive behavior. A similar picture emerged from 552 the Robocat exposure, which resembles the robogator described before (Choi and Kim, 2010: Amir 553 et al., 2015: Pare and Ouirk, 2017). Again, LAB mice were at high risk to collide with the Robocat. 554 This time, also the behavior of HAB mice turned out to be maldadaptive, since no mouse could 555 bypass the Robocat even if not at risk to collide with it. Together with our previous observation of 556 increased avoidance of an approaching robo-beetle (*Heinz et al.*, 2017), this finding suggests that 557 selection for high levels of anxiety-like behavior on the EPM coincides with exaggerated defensive 558 responses, both passive (see Table 1) and active. 559

560 Conclusion

Using well-established mouse lines with extremes in anxiety-like behavior, we demonstrate that extremes in anxiety coincide with (i) extremes in defensive responses to an approaching threat and (ii) tonic changes in neuronal activity, among others in midbrain/tectal structures, (iii) which – if reverted – ameliorated both fear- and anxiety-like behavior. In addition, we provide evidence for (iv) the multidimensional nature of increased vs. decreased defensive behavior, which may include deficits in sensory perception. Our results challenge the uncritical use of the anthropomorphic terms

anxiety or anxiety-like for the description of mouse behavior on the EPM or in other exploration-567 based tasks (for review see Ennaceur, 2014), as they imply higher cognitive processes, which are 568 not necessarily in place. The explicit fear of height (acrophobia) and/or open spaces (agoraphobia) 569 sufficiently explains the lack of open-arm exploration. The recently initiated discussion about the 570 uncritical use of the term 'fear' where 'threat' would be more appropriate (LeDoux, 2012) has forced 57 the scientific community to reconsider its terminology, even though the term 'fear' still keeps its 572 merits (*LeDoux 2014 2017*) We face a similar if not more eminent problem if we uncritically 573 use the term 'anxiety' in translational studies on animal behavior. Instead, we should describe 574 defensive responses as they are, preferentially along the continuum of the *predatory imminence* 579

576 model (Perusini and Fanselow, 2015).

577 Methods and Materials

578 Animals

Adult (3-8 months), male mice of the following strains have been used: (57BI / 6N (B6) (N=12), HAB579 (N=154), NAB (N=76), LAB (N=99), CD1 (N=12), resulting in a total number of 353 animals. All animals 580 were bred in the animal facilities of the Max Planck Institute of Biochemistry, Martinsried, Ger-581 many. The animals were group-housed (2-4 animals per cage) under standard housing conditions: 582 12h/12h inverted light-dark cycle (light off at 8AM), temperature 23+1°C, food and water ad libitum. 583 Experimental procedures were approved (55.2-1-54-2531: 44-09, 188-12, 142-12, 133-06, 08-16) 584 by the State of Bayaria (Regierung von Oberbayern, Munich, Germany), Animal husbandry and 585 experiments were performed in strict compliance with the European Economic Community (EEC) 586 recommendations for the care and use of laboratory animals (2010/63/EU). All efforts were done to 587 minimize the number of experimental subjects and to preclude any animal suffering. 588

589 Drugs

The anxiolytic diazepam (Diazepam-Lipuro[®], BRAUN Melsungen, Germany) was diluted in physiolog-590 ical saline (vehicle) and injected systemically (1 mg/kg, i.p.) using a volume of 100 μ l per 10 g body 591 weight. Clozapine-N-oxide (CNO, Tocris #4936) was dissolved in dimethyl sulfoxide (DMSO, Sigma-592 Aldrich, #472301) at a stock concentration of 75 mM and stored at -20°C. The final concentration of 593 CNO was 292 μ M (1 mg/kg at 100 μ l per 10 g body weight) in saline (<0.5% DMSO). Muscimol MUSC 59/ (Sigma-Aldrich, #M1523) and fluorescently-labeled muscimol (fMUSC) (BODIPY®TMR-X coni, Thermo 595 Fisher Sc. M23400) was dissolved in artificial cerebrospinal fluid (aCSF) (Baarendse et al., 2008). 596 MUSC itself has a molecular weight of 114.1 g/mol whereas the fMUSC (MW 607.46 g/mol) is 5.324× 597 heavier. In previous experiments with MUSC, we found a concentration of 10 ng/100 nl (876.4 μ M) 598 most effective, therefore we have used 53.24 ng/100 nl fMUSC to achieve the same physiological 599 effect. As the fMUSC is poorly water-soluble, we dissolved 1 mg in 1.878 ml aCSF to reach a final 600 ready to use concentration of 876.6 μ M. Whereas the EPM experiments were conducted using 601 MUSC, the vocalization experiments only involved fMUSC. The vocalization experiment was carried 602 out using a crossover design: half of the animals received fMUSC on the first day, whereas the other 603 half received VHC (aCSF). On the next day the treatment was switched. 1-3h after the experiment 604 the animals which received fMUSC were transcardially perfused (4% PFA in PBS), whereas the 605 remaining animals received another injection of fMUSC on the following day and were also perfused 606 1-3h after the injection. 607

608 Behavioral Tests

609 Elevated Plus Maze

- ⁶¹⁰ The elevated plus maze (EPM) apparatus consisted of two open (L30×W5 cm) and two closed
- (L30×W5×H15 cm) arms which were connected via a central platform (L5×W5 cm). All parts of the EPM were made of dark gray PVC. The apparatus was elevated 37 cm above a table (H50 cm), which
- EPM were made of dark gray PVC. The apparatus was elevated 37 cm above a table (H50 cm), which was placed in the center of the dim illuminated experimental room (5×4 m). The light intensity

disposition to emit sonic vocalizations by lifting them 3 times from the grid cage top (Whitney, 1970). 615 At the beginning of each trial, the animal was placed near the central platform facing a closed arm. 616 Each trial lasted for 30 minutes and was videotaped. The animals behavior was analyzed using a 617 behavioral tracking software (ANY-maze, Stoelting CO., USA) and the percentage of time spent on the open (OA) and closed (CA) arm and the central zone (time in center) as well as the total distance 619 traveled were determined. In order to render these results comparable to other FPM experiments 620 found in the literature, the data (except for latency and stretched-attend postures, SAPs) of the 621 first 5 minutes of each trials is reported. Other behavioral parameters which were analyzed by 622 an experienced observer, blind to the experimental conditions, included number and duration of 623 SAPs within the first 15 minutes of each trial, and the latency for the first full entry to the open 624 arm (all four paws) within the entire 30 minutes exposure. After the trial the fecal boli on the EPM 625 apparatus were counted as a measure of autonomic arousal. In between the trials the apparatus 626

(luminous flux) at the open arms was 7 lux. Before the experiment every subject was tested for the

was cleaned with tap water containing detergent, and was subsequently dried with tissues.

628 Robocat Task

614

The Robocat (for a detailed explanation of the task see) has been inspired by the Robogator 620 (Choi and Kim, 2010). It is a four-wheeled robot (Lego Mindstorms), equipped with ultrasound 630 range finders and programmed to advance for 25 cm (speed 25 cm/s) once a movement has been 631 detected within the sensor range of 50 cm. Despite the name suggests, no extra effort has been 632 invested to disguise the robot as a cat, except two little cardboard ears. The task is conducted 633 within a longitudinal arena (H35×W50×L150 cm, whereby the robot is placed 125 cm away from 634 the start compartment (H35×W50×L12.5). The access to the arena is provided via a sliding door, 635 operated by the experimenter, and the natural exploratory drive (neither bait, nor food or water 636 deprivation used) ultimately leads to the mouse-robot encounter. Once the mouse triggers the 637 robot, its movements typically evoke a robust flight response and the mouse retrieves to the start 638 compartment. All animals were first pre-exposed to the entire setup with unrestricted access to 639 the arena (sliding door opened) in absence of the Robocat. On the following consecutive 3 days 640 each animal was subjected to habituation trials which consisted of 10 minutes acclimatization 641 within the start compartment (to enable the mice to form a home base), followed by 10 minutes of 642 free exploration in the arena, again without the Robocat. The test trial on day 4 was conducted in identical manner, except that the Robocat was placed in the arena. During the test trial, the animals 644 typically activated the Robocat several times. All trials were videotaped and the behavior was 645 analyzed offline by an experienced observer, blind to the experimental conditions. The behavioral 646 readouts were flight (activation + retrieval), bypass (activation but tolerance to the approaching 647 Robocat which is bypassed by the animals) or collision, and were counted if observed at least once. 648 Only animals which activated the Robocat at least once were considered for analysis. 640

650 IndyMaze Task

Inspired by the movie Indiana lones and the Raiders of the Lost Ark (Spielberg and Marshall, 1981), the 65 IndyMaze is conducted within a narrow, stretched arena (H35×W16×L150 cm), which was divided 652 into six equidistant (25 cm) sectors. To one end of the arena, a small custom-made plexiglass 653 cage (H30×W16×L25 cm), equipped with bedding material, was connected which served as a home 654 compartment. The arena itself was slightly tilted towards the home compartment and indirectly 655 lit (<10 lux). To enter the arena, the animals had to climb over a small barrier (height: 2 cm). This 656 prevented the animals from 'accidentally' dropping into the arena and forced them to explicitly 657 decide when to initiate its exploration. For the task, each animal was first placed into the home 658 compartment and was allowed for a maximal duration of 30 minutes to step (with four paws) 650 into the arena (latency 1st entrance). Once the animal had entered the arena, the time to reach 660 the last sector was noted (latency for end-exploration). After the end exploration, the animals 661 typically retrieved to the home compartment or were gently forced to do so by the experimenter. 667

With low latency the animals re-entered the arena but this time a styrofoam ball (Ø15cm , 100 g) 663 was introduced at the last sector, which was allowed to roll (25 cm/s) towards the animal once it 664 passed the midline (75 cm). The animals either responded with (a) preemptive flight or a retrieved 665 once the ball hit them (both counted as defensive responses), or (b) they were overrun by the ball 666 (without any physical harm) and continued to explore the arena. The threat exposure part of the 66 behavioral paradigm was carried out for a maximal duration of 30 minutes or once the animals had 668 encountered the ball three times. The behavior was scored online by the experimenter during the 669 task unaware of the mouse line. 670

671 Optomotor Response

In order to assess the visual performance of male C57BL/6N. CD1. HAB. NAB and LAB mice (N=12). 672 the animals' optomotor response (Abdeligiil et al., 2005) has been tested using the rotating drum 673 task. The task is based on the mouses' predisposition to fixate on moving vertical black/white stripes 674 and follow their rotation with short movement bouts, involving the entire head. By decreasing the 675 stripe width, higher visual acuity is necessary to resolve the stripes. The apparatus consisted of a 676 rotating cylinder (drum, Ø33 cm, height 35 cm), whose inner walls were lined with an alternating 677 black/white stripe pattern using a stripe width of 2.88 cm, giving a spatial frequency of 0.05 cycles 678 per degree (cvc/deg, r=16.5 cm, arc length per black/white cvcle 5.76, angle 20°). During the task, the 679 animals were placed within the center of the drum on a Ø11.5 cm fan grid which was mounted 16 680 cm above the bottom. The rotation of the drum was controlled via a custom-built microprocessor-681 based motor driving circuit which operated a geared motor. The rotational speed of the drum 682 was set to 2.5 rounds per minute (rpm). For the task the animals were placed into the drum for 683 1 minute to acclimatize (bright illumination 500 lux) and subsequently the drum started to rotate 684 for 60 seconds clockwise, followed by a 30 seconds break and then rotated in counter-clockwise 685 direction for additional 60 seconds. All experiments were videotaped and analyzed offline (blind to 686 the strains with same fur color, i.e. CD1, HAB, NAB and LAB), whereas every head movement was 687 scored as an optomotor response if it was directed into the same rotational direction as the drum 688 This modified version of the original task (Abdeljalil et al., 2005) does certainly not allow to make 689 detailed statements regarding different levels of visual acuity, though it is sufficient to assess the 690 general visual performance of the mouse strains in question. 69

⁶⁹² Physiological Measurements

693 Electroretinography

In order to assess the retinal function of male C57BL/6N, CD1, HAB, NAB and LAB animals (N=6694 each), flash-evoked electroretinographic (fERG) measures in the anesthetized animals have been 695 employed. To this end, the animals were dark-adapted for > 3 h prior to the experiment. Under 696 dim red light (650 nm) illumination, the animals were weighed and received analgesic treatment 697 (200 mg/kg Novalgin/Metamizol s.c. in saline in a concentration to obtain 100 μ l/10 g of body 698 weight) and subsequently transferred from their home-cage to the anesthesia chamber (isoflurane 699 4%). After reaching surgical tolerance, indicated by the absence of the eye-lid and paw-withdrawal 700 reflex, the animals were transferred to a modified stereotaxic frame were the anesthesia was 701 maintained with isoflurane (2-3 % in oxygenated air, using an oxygen concentrator, EverFlo). The 702 body temperature was monitored and controlled (37.5°C) using an animal temperature controller 703 (WPI Inc. #ATC2000) in combination with a small rodent rectal temperature probe (WPI Inc. #RET-3) 704 and a small heating-pad (15×10 cm) with built-in RTD sensor (WPI Inc. #61830) with an additional 705 silicone pad to ensure maximal heat transfer (WPI Inc. #503573). For the analgesic treatment to 706 have an effect, the animals was allowed to reach a stable anesthesia for >15 min, while the eves 707 were kept moisturized with 0.9 % (w/v) physiological sodium chloride solution (saline). Subsequently 708 the pupils were dilated maximally using 2.5 % phenylephrine (Sigma #P6126, in PBS, pH adjusted 709 to 7.0) and 1 % (w/v) atropine (Sigma # A0132, in PBS, pH adjusted to 7.0) and the eves were 710 henceforward kept moisturized using 1 % methyl cellulose (Carl Roth #8421) in saline. The ERG 711

electrodes were custom made using \emptyset 200 μ m uncoated gold wire wound to form \emptyset 3 mm loops and 712 were placed gently on the eves of the animal. A stainless steel wire wrapped around the animal's 713 tail served as the ground electrode. All signals were bandpass filtered at 0.1-300 Hz and sampled at 714 30 kHz using the Open-Ephys (*Siegle et al.*, 2017) system in conjunction with a headstage based 715 on the Intan RHD2132 integrated extracellular amplifier circuit. The animals left eve was covered with a piece of light proof black PVC and additionally shielded from the right side using aluminum 717 foil. The animals right side was stimulated using a Ping-Pong ball which was cut in half (Green 718 et al., 1997) and illuminated with a white LED (Osram Oslon LUW CN7N) which was controlled 719 via a custom-made constant current source. Thereby scotopic and photopic (3 lux background 720 illumination) measurements were carried out which involved the display of 32 light flashes (per 721 condition) of 40-180 us length at a frequency of 1 Hz at three different light intensities. The light 722 intensities were measured (65 lux, 225 lux, 420 lux) using a hand-held lux meter (Iso-Tech ILM 1335) 723 and the respective log $\Phi \cdot rod^{-1} \cdot s^{-1}$ values were calculated using the following relation: 724 1 photopic lux = 650 photoisomerizations (Φ)·rod⁻¹·s⁻¹ (*Pugh et al., 1998*). 725

All 32 acquired responses per condition were averaged and the datasets were further analyzed using custom Python2.7 scripts.

728 Vocalizations

During the normal animal care taking procedures, it was realized that HAB mice have a strong 720 disposition to vocalize in the audible hearing range, if lifted at their tails (e.g. at changing cages) and 730 especially when they lose grip from a grid cage top. Although there have been previous attempts to 731 standardize this cage-grid vocalization test (*Whitney*, **1970**), in our study the tail-suspension test 732 (TST) was employed, a behavioral test which typically aims to assess depression-like behavior in 733 mice (Steru et al., 1985). For this test, the animal was affixed roughly 2 cm above the tail root to 734 a Ø5 mm vertical stainless steel rod (20 cm above ground) using heat sterilization tape. Other 735 tapes can be used, but it was found that this sort of material is characterized by its rather low 736 adhesion to murine skin and its excellent removability without introducing skin irritations. The 737 test was carried out within a sound-attenuating chamber. The test duration was 5 minutes, and 738 the animals vocalization was monitored using high-quality sonic/ultrasonic recording equipment 739 (Avisoft UltraSoundGate USG 116-200, condenser microphone CM16/CMPA), Offline analysis was 740 carried out using custom written Python2.7 scripts. 741

742 Standard Laboratory Procedures & Analysis

743 Stereotaxic Implantation and Virus Injections

All stereotaxic surgical procedures were carried out similarly and shall be briefly described. Specifics 744 for cannulae implantations and virus injections are provided if necessary. Before the surgery, the 745 animal was weighed and analgesic treatment (200 mg/kg Vetalgin, Intervet, in saline, s.c.) was 746 administered 15 minutes prior to any other interventions. During this time, all surgical instruments 747 have been heat sterilized and wiped with 70 % ethanol. Than the animal was transferred to the 748 anesthesia induction chamber and slowly anesthetized with isoflurane (0-4 % in oxygenated air. 749 EverFlo Oxygen Concentrator). The absence of the evelid and paw withdrawal reflex indicated 750 surgical tolerance and the animal was transferred to the stereotaxic frame (Leica Biosystems, 751 AngleTwo), where it was fixed using non-rupture/non-traumatic ear bars and a snout clamp. The 752 anesthesia was kept constant with 2-2.5 % isoflurane, while the animals body temperature was 753 constantly monitored and controlled (37.5°C) using a rodent rectal probe, heating blanket and 754 a animal temperature controller (WPI Inc. ATC2000). The eves were kept moisturized using eve 755 ointment (Bepanthen[®] eve and nose ointment). Further the animals head was shaved using either 756 serrated scissors or an electric shaver. Excess cut hair was removed with cotton swabs soaked 757 with lidocaine (Sigma #L7757, 10 % (w/v) in 70 % ethanol) which in addition exerted an additional 758 cutaneous analgesic effect. Using sharp scissor, the skin above the skull was opened from 1 mm 750

caudal to lambda to 2 mm rostral to bregma. The periosteum was removed with clean cotton swabs 760 soaked in lidocaine solution followed by 3 % hydrogen peroxide. Now, using a small and stiff probe 761 the AngleTwo system was calibrated with the position of bregma and lambda and medial-lateral 762 (MI) and dorsoventral (DV) deviations were corrected if necessary to read less than 50 µm utilizing 763 the manufacturing tolerances of the mouse skull adapters' dovetail rails. In order to correct the skull 764 rotation, two contra-lateral coordinates on the skull surface were targeted (ML +2.0 mm, AP -1.82 765 mm) and the respective DV coordinates were noted. If a deviation $>50 \,\mu\text{m}$ was noticed the ear bars 766 were released and the initial rotation was corrected. Once the position of the skull was sufficiently 767 accurate, implantation or virus injection was conducted. After these procedures the animals were 768 weighed and their general health and healing status was assessed and recorded on a daily basis for 769 5 consecutive days and in addition the animals received post-surgical analgesic treatment (1 mg/kg 770 Metacam, Böhringer Ingelheim, in saline, s.c., daily). For viral injections, a 5 μ l Hamilton syringe 771 (7634-01/00) equipped with a blunt 33 gauge needle or a 10 μ l WPI Inc. syringe (NANOFIL) equipped 772 with a 34 gauge beveled needle (NF34BV-2) in conjunction with a motorized micropump (WPI Inc. 773 UMP3) and the respective micropump controller (WPI Inc. MICRO4) was used. The injection rate 774 was set to 80 nl/min. For the experiments involving the pharmacogenetic manipulation of the 775 SC in LAB mice, 350 nl of adeno-associated-virus serotype-5 (AAV), expressing either the active 776 DREADD (AAV5-CaMKII α -hM3Dg-mCherry, #AV6333, N=12) or just the reporter fluorophore (controls, 777 AAV5-CaMKIIα-mCherry, #AV4809c, N=12), have been injected (ML ±0.9 mm, AP -3.64 mm, DV -1.75 778 mm). All viruses were purchased from the Gene Therapy Center Vector Core of the University 770 of North Carolina, Chapel Hill and were diluted, using 350 mM NaCl solution, to reach a target 780 titer of 1.7×10^{12} yg/ml. For the injection, first, the target drilling site was marked with a pencil 781 on the skull surface, and the skull was penetrated using a Ø0.5 mm burr with counterclockwise 782 concentric movements until the intact dura mater becomes visible. Using a hypodermic needle 783 whose foremost sharp tip was gently bent to the outside by tipping it onto a polished stainless 784 steel surface in order to form a micro-miniature hook-like instrument, was used to first remove the 785 remaining skull pieces and secondly to open the dura at the site of injection. The injection needle 786 was slowly lowered to reach the target site and the injection was initiated. After the injection the 787 needle was raised for 100 um and left for additional 10 minutes in order to allow the virus to diffuse. 788 Subsequently, the needle was removed and the procedure was repeated on the contralateral side. 789 During the injection the wound was kept moisturized using saline, in order to prevent brain tissue 790 from sticking onto the needle and to aid the subsequent cutaneous suture. After the injection, 791 using resorbable, sterile, surgical needled suture material (VetSuture fastPGLA 5/0, 13 mm reverse 792 cutting needle 3/8), the wound was closed with 4-6 intermittent stitches, and treated with iodine 793

 $_{794}$ solution (BRAUNOL[®]). The incubation time for the virus to reach stable expression was >5 weeks.

795 Guide Cannula Implantation & Local Muscimol Injections

For the local injection of muscimol within the IPAG of HAB mice (N=14), two 3.0 mm long, 26 gauge 796 guide cannulae (WPI Inc.) have been implanted using an angle of +25° at ML +1.02 mm. AP -4.25 797 mm and DV -1.55 mm. As the internal injection needle had a length of 4.0 mm, the ultimate injection 798 site was ML ±0.6 mm, AP -4.25 mm and DV -2.45 mm. One skull screw per hemisphere above the 799 hippocampus (ML +1.5, AP -1.27) allowed a mechanically stable attachment of the cannulae to 800 the skull using dental cement (Paladur[®], Heraeus-Kulzer), Iodine solution (BRAUNOL[®]) was used to 801 disinfect the wound. After the implantation, dummy injection needles with a dust cap and a length 802 of 3.5 mm were inserted into the guide cannulae in order to prevent clogging. The animals were 803 allowed to recover for more than 2 weeks after the surgery. The injection of MUSC or fMUSC or 804 vehicle (aCSF) before the EPM and vocalization task was conducted in the anesthetized (2-2.5 % 805 isoflurane) animal. The injection was carried out using an ultra micropump (WPI Inc. UMP3) and the 806 injection rate was set to 100 nl/min whereby volume of 100 nl was injected. 45 minutes after the 807 injection, the animals were subjected to the behavioral paradigm. 808

809 Histology

For histological verification of injection and implantation sites, the animals were deeply anesthetized 810 using a mixture of ketamine (50 mg/kg, Essex Pharma GmbH, Germany) and xylazinhydrochloride 811 (5 mg/kg, Rompun, Bayer Health Care, Germany) injected systemically (100 μ) per 10 g body weight. 812 i.p.). Subsequently the animals were given an overdose of isoflurane to induce respiratory arrest 813 (final anesthesia) and transcardially perfused with cold physiological saline followed by 4% (w/v) 814 paraformaldehyde (PFA) in phosphate buffered saline (PBS, final concentrations in mM: 136.89 NaCl, 815 2.68 KCl, 10 Na₂HPO₄, 1.76 KH₂PO₄; pH adjusted to 7.4 using HCl). The brains of the animals were 816 post-fixed in PFA solution for >24 h at 4° C. In order to prevent the implant tracks from collapsing 817 upon removal, the entire heads of the animals were post-fixed for >48 h. The brains were further 818 placed in 30% (w/v) sucrose in PBS solution for >36 h at 4°C for cryoprotection in order to increase 819 tissue rigidity. Subsequently the brains were dry dabbed and carefully frozen by repeatedly dipping 820 the brain, held at the medulla, into the cold 2-methylbutane on dry ice and stored at -80°C. Coronal 82 tissue sections of 35 μ m, cut in several series, were prepared using a cryostat (Thermo Scientific 822 Microm HM560). Sections were collected directly on microscopy slides (SuperFrost[®], Menzel-Gläser, 823 Germany). For proteinaceous fluorophores the specimens were covered and preserved using 824 antifade mounting medium (VECTASHIELD[®] HardSet H-1500, VECTOR Laboratories, LIK) containing 825 the nuclear counterstain 4'.6-diamidino-2-phenylindole (DAPI). Some series were stained using the 826 standard Nissl staining method in order to reveal the gross anatomical structures. In brief, the 827 specimens were dehydrated using (in v/v) 80%, 90%, 2×100% ethanol (30 seconds per step), stained 828 in 0.1% (w/v) cresvl violet solution in double distilled water acidified with 300 μ l glacial acetic acid 820 for 30 seconds. Subsequently the specimens were differentiated in 100% isopropyl alcohol (for 830 30 seconds)followed by 100% xylene for (>5 min). The cresyl violet stained sections were covered 831 and preserved using DPX mounting medium. For the preparation of retinal section the eyes of 832 the perfused animals were removed and stored in 4% PFA at 4°C and the retinas were extracted. 833 Retinal sections (30 μ m) were obtained (*lvanova et al., 2013*) using a cryostat and the specimens 834 were stained with haematoxylin and eosin. 835

⁸³⁶ Genotyping for *Pde6b^{rd1}*

The genotyping for $Pde6b^{rd1}$ was carried out according to Chang et al. 2013 (Chang et al., 2013) 837 In brief, genomic DNA was extracted from tail biopsies (B6, CD1, HAB, NAB, LAB, N=4 per strain) 838 by adding 100 μ 50 mM NaOH aqueous solution to each sample (per 1.5 mL reaction tube) 839 followed by 30 minutes incubation at 99°C. Subsequently the samples were allowed to cool down 840 and 30 μ of 1 M Tris-HCl aqueous solution was added per sample. Finally the samples were 841 thoroughly vortexed and cell debris was removed by brief centrifugation and the samples were 842 stored at -20°C. For the polymerase chain reaction (PCR), 2.5 μ PCR buffer (Thermo Scientific. 843 ThermoPrimeTag 10x Buffer), 2.5 μ l MgCl₂ (25 mM), 1 μ l deoxynucleoside triphosphate (dNTP, 844 10 mM) mix (Thermo Scientific, 18427-088), 1 μ l dissolved G1 primer, 1 μ l G2 primer, 1 μ l XMV 845 primer, 0.2 *µ Tag* DNA polymerase (Thermo Scientific, ThermoPrime, #AB-0301/B) and 14.8 *µ* 846 double distilled water was mixed with 1 μ l of genomic DNA solution. The primer sequences were as 847 follows: G1 (5'-CCTGCATGTGAACCCAGTATTCT ATC-3'), G2 (5'-CTACAGCCCCTCTCCAAGGTTTATAG-3') 848 and XMV (5'-AAGCTA GCTGCAGTAACGCCATTT-3'). The idea of this three primer design is that while 849 G1 and G2 result in a PCR product of 240 base pairs (bp) from normal non-mutant animals, G2 850 and XMV generate a larger (560 bp) product from the *rd1* mutant allele. The thermal cycler PCR 851 protocol consisted of the following steps: denaturation for 3 minutes at 95°C, followed by 34 cycles 852 of annealing (30 seconds, 55°C) and extension (1 minute, 72°C) terminated with a final cycle at 853 72° C for 5 minutes and the subsequent incubation at 4° C. The amplified DNA was analyzed using 85/ agarose gel electrophoresis and a subsequent ethidium bromide staining. 855

856 Manganese-enhanced MRI

The animals (HAB N=31, NAB N=26, LAB N=30) were injected with a low dose of manganese chloride 857 (30 mg/kg in saline, i.p.) for eight consecutive days (8×30/24 h) prior to the scanning procedure, see 858 Grüenecker et al. 2010 (Grünecker et al., 2010). The MRI experiments were performed in a 7T MRI 859 scanner (Avance Biospec 70/30, Bruker BioSpin, Ettlingen, Germany) at 24 h after the last injection. 860 with the animals being an esthetized with isoflurane (≈ 1.5 -1.7% in oxygenated air). Body tempera-861 ture was monitored and kept constant in the range 34-36°C. A saddle-shaped receiver coil was used 862 for signal acquisition. T₁-weighted images were acquired using a 3D gradient echo pulse sequence 863 (repetition time TR = 50 ms, echo time TE = 3.2 ms) using a matrix of 128×128×128 at a field of view 864 of $16 \times 16 \times 18$ mm³, vielding a final resolution of $125 \times 125 \times 140.6 \ \mu$ m³. 10 averages were acquired. 865 In addition, 3D T2-weighted images were acquired using a rapid acquisition relaxation enhanced 866 (RARE) pulse sequence (TR = 1 s, TE = 10 ms) with the same spatial resolution as mentioned above. 867 and two averages. This resulted in a total imaging time of approximately 2 hours per animal. The 868 reconstructed images (Paravision, Bruker BioSpin, Ettlingen, Germany) were further analyzed using 869 the statistical parametric mapping package SPM5 (using the spmmouse toolbox) and SPM8 (using 870 the new segment option for bias correction) (www.fil.ion.ucl.ac.uk/spm/). 87

872

The acquired images of all animals were segmented exploiting mouse specific tissue probability 873 maps, and bias corrected images were obtained. Then, images were spatially normalized in several 874 steps: 1. Normalization of all images (including brain and extracranial tissue) to a representative 875 single animal image and calculation of the mean normalized image. 2. Creation of a brain mask on 876 the mean normalized image. Brain extraction in native space using the back-transformed mean 877 brain mask. 3. Normalization of the brain extracted images to the group template. Finally, images 878 were smoothed using a Gaussian kernel of eight times the image resolution. Data were further 879 analyzed in SPM using a full factorial design with three conditions (HAB, NAB and LAB), global mean 880 correction and global normalization using ANCOVA. A pairwise voxel-based comparison between 881 HAB vs. NAB and LAB vs. NAB (FDR p < 0.001, cluster extent >20) revealed the differential manganese 882 accumulation (). 883

884 Statistical Analysis

All data are presented as mean values + standard error (SEM). Statistical analysis has been per-885 formed using GraphPad Prism 7. One way analysis of variance (in some cases for repeated 886 measures) was followed by Bonferroni post-hoc analysis. 2-way analysis of variance (ANOVA) for 887 repeated measures (rmANOVA) was followed by Bonferroni post-hoc analysis. Non-parametric 888 analysis was carried out using the Mann-Whitney U test. Contingency tables were analyzed using 889 γ^2 test if the tables were of sufficient size, otherwise the Fisher's exact test was used. A p<0.05 890 was considered statistically significant. First, group differences were verified by ANOVA, followed -891 if appropriate - by post-hoc tests which considered differences between HAB vs. NAB or LAB vs. 892 NAB. As the manifestation of high-anxiety and low-anxiety phenotypes via selective breeding most 893 likely involved different complex multigenic changes, a direct comparison of HAB against LAB is 894 inappropriate. Therefore we only compared HAB and LAB to the common NAB control. 895

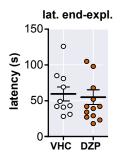
896 Author Contributions

AJG conceptualization, data curation, formal analysis, investigation, methodology, project administration, software, supervision, visualization, writing - original draft preparation; NA investigation, visualization; SAB investigation; PK formal analysis; DEH investigation; MN resources; ME investigation; SFK methodology, investigation; CJR methodology, investigation; BTB methodology, investigation, visualization; MC resources, software, supervision, visualization, writing - review & editing; CTW formal analysis, methodology, project administration, resources, supervision, validation, writing original draft preparation, review & editing.

- 904 Supporting information
- 905 S1 Mov.
- 906 Supplemental Movie 1
- 907 Movie explaining the Robocat task also known as the *Panic Box*.
- 908 S1 Fig.
- 909 Supplemental Figure 1

Unaltered latency for end-exploration in the IndyMaze task with DZP treatment.

Supplemental Figure 1

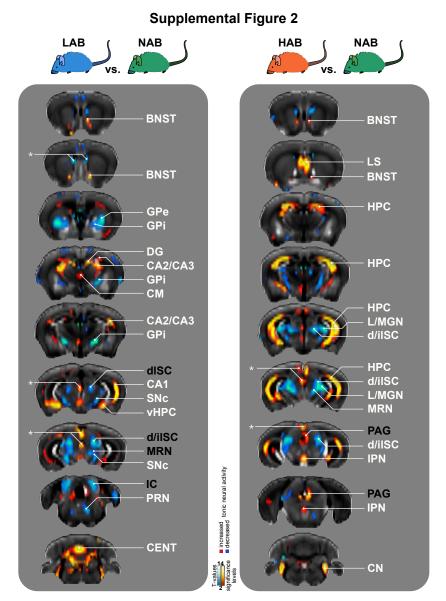


910

911 S2 Fig.

912 Supplemental Figure 2

Complete MEMRI Data Set.

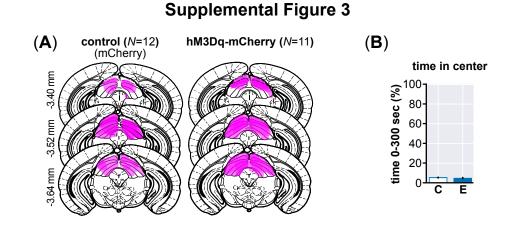


Asterisks indicate potential artifacts which have been observed to occur close to the brain surface or the ventricular system. **BNST** bed nucleus of the stria terminalis, **CA1-3** cornu ammonis 1-3, **CENT** central lobule of the cerebellum, **CM** central medial nucleus of the thalamus, **CN** cochlear nucleus, **DG** dentate gyrus, *d/iISC* deep/intermediate layers of the superior colliculus, **GPe** globus pallidus external segment, **GPi** globus pallidus internal segment, **HPC** hippocampus proper, **IPN** interpedunculopontine nucleus, **LS** lateral septal nucleus, **L/MGM** lateral/medial geniculate, **MRN** midbrain reticular nucleus, **PAG** periaqueductal gray, **PRN** pontine reticular nucleus, **SNc** substantia nigra pars compacta, **vHPC** ventral portion of the hippocampus proper.

914 S3 Fig.

915 Supplemental Figure 3

Summary of histological analysis of virus spread in LAB animals and aditional EPM measures.

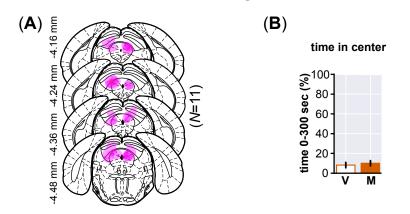


916

917 S4 Fig.

918 Supplemental Figure 4

Summary of histological analysis of MUSC spread in HAB animals and aditional EPM measures.



Supplemental Figure 4

919

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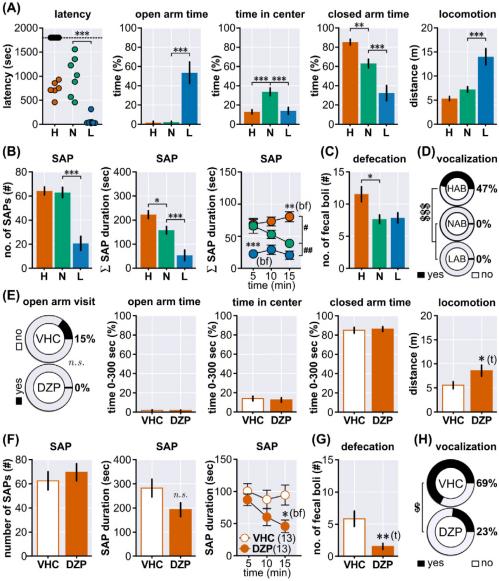
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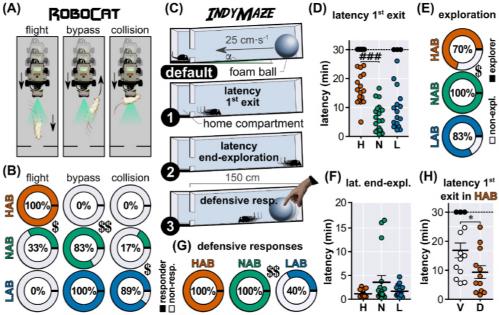
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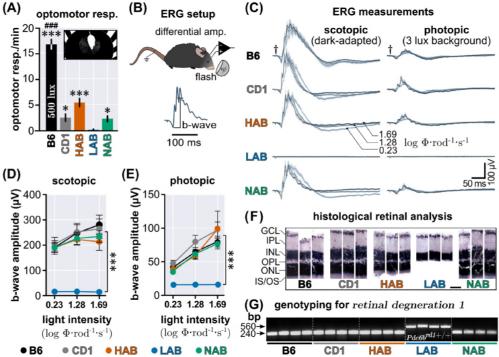
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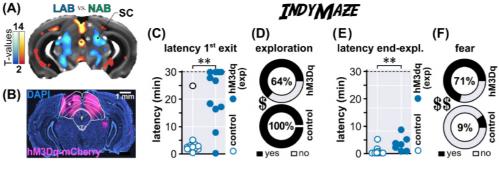
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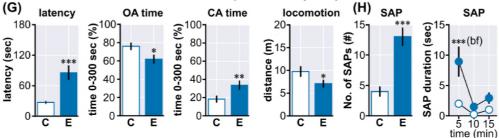


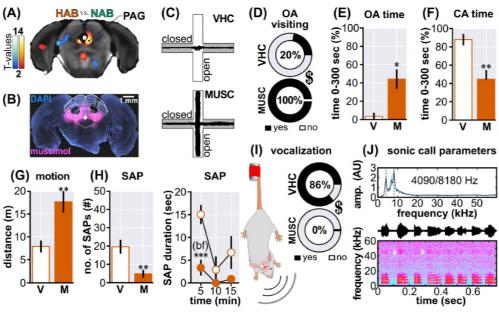




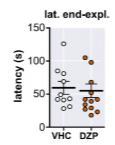


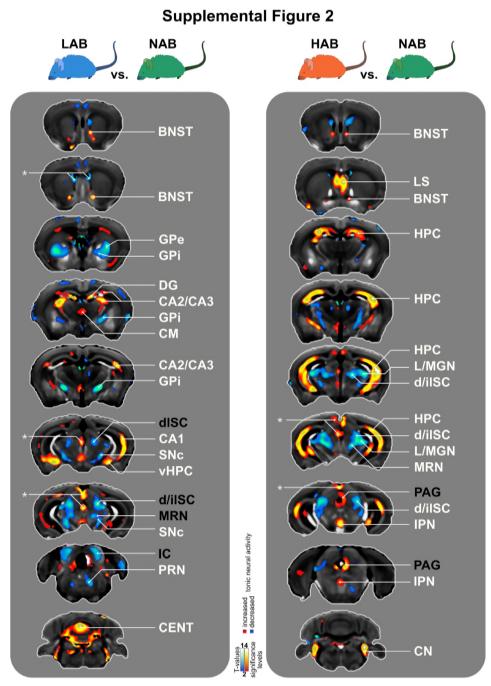
elevated plus maze (EPM)





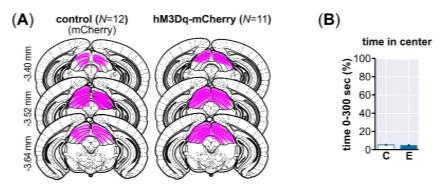
Supplemental Figure 1



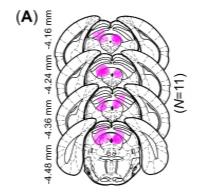


Asterisks indicate potential artifacts which have been observed to occur close to the brain surface or the ventricular system. **BNST** bed nucleus of the stria terminalis, **CA1-3** cornu ammonis 1-3, **CENT** central lobule of the cerebellum, **CM** central medial nucleus of the thalamus, **CN** cochlear nucleus, **DG** dentate gyrus, **d/iISC** deep/intermediate layers of the superior colliculus, **GPe** globus pallidus external segment, **GPi** globus pallidus internal segment, **HPC** hippocampus proper, **IPN** interpedunculopontine nucleus, **LS** lateral septal nucleus, **L/MGM** lateral/medial geniculate, **MRN** midbrain reticular nucleus, **PAG** periaqueductal gray, **PRN** pontine reticular nucleus, **SNc** substantia nigra pars compacta, **vHPC** ventral portion of the hippocampus proper.

Supplemental Figure 3



Supplemental Figure 4



(B)

time in center

