

1 *Review*

2 **A systems and treatment perspective of models of** 3 **influenza virus-induced host responses**

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11 **Abstract:** Severe influenza infections are often characterized as having unique host responses (e.g.
12 early, severe hypercytokinemia). Neuraminidase inhibitors can be effective in controlling the severe
13 symptoms of influenza but are often not administered until late in the infection. Several studies
14 suggest that immune modulation may offer protection to high risk groups. Here, we review the
15 current state of mathematical models of influenza-induced host responses. Selecting three models
16 with conserved immune response components, we determine if the immune system components
17 which most affect virus replication when perturbed are conserved across the models. We also test
18 each model's response to a pre-induction of interferon before the virus is administered. We find that
19 each model emphasizes the importance of controlling the infected cell population to control viral
20 replication. Moreover, our work shows that the structure of current models does not allow for
21 significant responses to increased interferon concentrations. These results suggest that the current
22 library of available published models of influenza infection does not adequately represent the
23 complex interactions of the virus, interferon, and other aspects of the immune response. Specifically,
24 the method used to model virus-resistant cells may need to be adapted in future work to more
25 realistically represent the immune response to viral infection.

26 **Keywords:** mathematical modeling; influenza A virus; interferon pre-stimulation; sensitivity
27 analysis; systems biology

29 **1. Introduction**

30 Influenza A virus (IAV) leads to acute respiratory disease and significant morbidity and
31 mortality around the world each year; the World Health Organization estimates 3 to 5 million cases
32 of severe illness and 300,000-650,000 deaths worldwide every year are caused by IAV [1]. Generally,
33 severe outcomes are limited to high-risk patient groups, i.e. infants, aged adults, or individuals with
34 compromised immune systems. Occasionally, however, new strains emerge with pandemic potential
35 that can induce severe disease across a broad portion of the population. For example, the 1918 Spanish
36 influenza pandemic is estimated to have been responsible for the death of 2% of the world's
37 population between 1918 and 1920 [2]. Several pandemics have occurred since, including outbreaks
38 in 1957, 1968, and 2009 [3,4]. Experts believe that avian H5N1 influenza viruses pose the greatest risk
39 to public health. H5N1 infections have demonstrated the ability to cause severe disease in humans,
40 including symptoms such as fever, respiratory symptoms, lymphopenia, and cytokine storm
41 (hypercytokinemia) [5-7]. Cytokine storm occurs when the host experiences out-of-control pro-
42 inflammatory responses and insufficient anti-inflammatory responses to infection. This is often a
43 result of severe influenza infection and causes acute respiratory distress syndrome (ARDS) and
44 multiple organ failure in many patients [7].

45 Often, IAV infections are treated with neuraminidase inhibitors, such as oseltamivir (i.e.
46 TamiFlu), which can be highly effective if administered during the early infection phase. However,
47 IAV-infected hosts often do not seek treatment until late in their infection when the virus is already
48 present at high levels and it may be too late for an effective treatment. Especially in the case of H5N1,
49 neuraminidase inhibitors are often ineffective at containing cytokine storm and do not prevent the
50 excess morbidity and mortality seen in these infections [8,9]. Moreover, oseltamivir-resistant strains
51 can quickly evolve, as observed during the 2009 H1N1 pandemic [8].

52 *1.1 Immune modulation for the treatment of IAV infection*

53 Modulating the immune response post infection to control inflammation or pre-infection to
54 provide increased protection for high risk groups has been a major theme in severe influenza
55 infection research [10–20]. Corticosteroids have been suggested as a potential treatment option for
56 patients undergoing severe IAV infection with accompanied cytokine storm, while pre-stimulating
57 interferon-associated pathways have been suggested to protect high-risk groups [7,20–22].
58 Corticosteroids have anti-inflammatory effects on the host and thus may be used to treat
59 hypercytokinemia. The impact of corticosteroids on IAV-infected hosts is currently inconclusive.
60 While some studies indicate steroids are effective in alleviating influenza symptoms in human
61 patients [23,24], others have shown increased mortality in patients treated with corticosteroids [25–
62 27].

63 Interferon (IFN) is a key regulator of the innate immune system, and pre-stimulation of
64 interferon regulating pathways has provided a preventative advantage in mice infected with deadly
65 influenza viruses [21,22,28]. IFN is essential for viral clearance, has been heavily studied since its
66 discovery in 1957 [29], and has a complicated role in immunopathology (See [30]for a review). In
67 recent mouse studies, animals, prior to infection, were exposed to synthetic or natural agonists of the
68 Toll-Like Receptor pathways (specifically TLR3 and TLR4) that activate IFN production [22,28,31,32].
69 This pre-stimulation induced higher concentrations of IFN in lung epithelial cells, reduced virus titers
70 and significantly improved infection outcomes in animals infected with highly pathogenic viruses.
71 Interestingly, some studies have shown that select bacterial strains in yogurt provide protection
72 against influenza infection by increasing IFN production [33,34]. The suggested mechanism is that
73 exopolysaccharides produced by the bacteria exert immunostimulatory effects via the TLR pathways.
74 These evidences combined with the several studies demonstrating dysregulation of the immune
75 response during deadly influenza infections [13,17,35,36] suggests that immunomodulation prior to
76 infection may be an option for protecting high risk groups. Moreover, as IFN is a common component
77 of mathematical models of influenza-induced immune responses, the ability to replicate the effects
78 of pre-stimulating IFN-regulating pathways provides a valuable measure of model applicability.

79 *1.2 Mathematical models of the lung host response to IAV infection*

80 Mathematical models of the immune response in IAV-infected lungs have previously been used
81 as a computational platform for treatment optimization [37–43]. Modeling can be an invaluable tool
82 for ascertaining kinetic parameters of an influenza infection which are difficult to measure in
83 traditional experiments. Many experimental data sources, particularly murine (mouse) models of
84 influenza, are generated from a pool of measures collected from hosts subjected to identical
85 experimental conditions. Multiple hosts are sacrificed at pre-determined intervals and measured for
86 variables of interest. Because these animals need to be sacrificed to measure cell and cytokine levels,
87 hosts cannot be tracked for the full duration of the infection, making true longitudinal data
88 impossible to obtain. These experiments assume that the all animals will react nearly identically to
89 the infection, but inter-individual variability in hosts can invalidate this assumption. Mathematical
90 modeling can be used to help fill in gaps in knowledge created by the deficiencies in experimental
91 data. Models can vary substantially in complexity, depending on the facets of the immune response
92 they contain and the number of interactions represented.

93 Models generally fall into one of two categories: target cell-limited models, in which the healthy
94 epithelial cells, which act as a target for the virus, are unable to replicate themselves [38,40], or models

95 in which healthy cells are able to regenerate [37,39,41–43]. These models all feature three basic
96 components: healthy epithelial cells, infected epithelial cells, and the virus. More components, such
97 as cytokines, immune cells, or antibodies, can be added to the model with additional equations and
98 parameters. Larger models can provide a more comprehensive understanding of the immune
99 response but may also require a larger pool of data from which to calibrate the model. Smaller models
100 do not need as much data for training the model, but they may also make more simplifying
101 assumptions that can be difficult to support biologically.

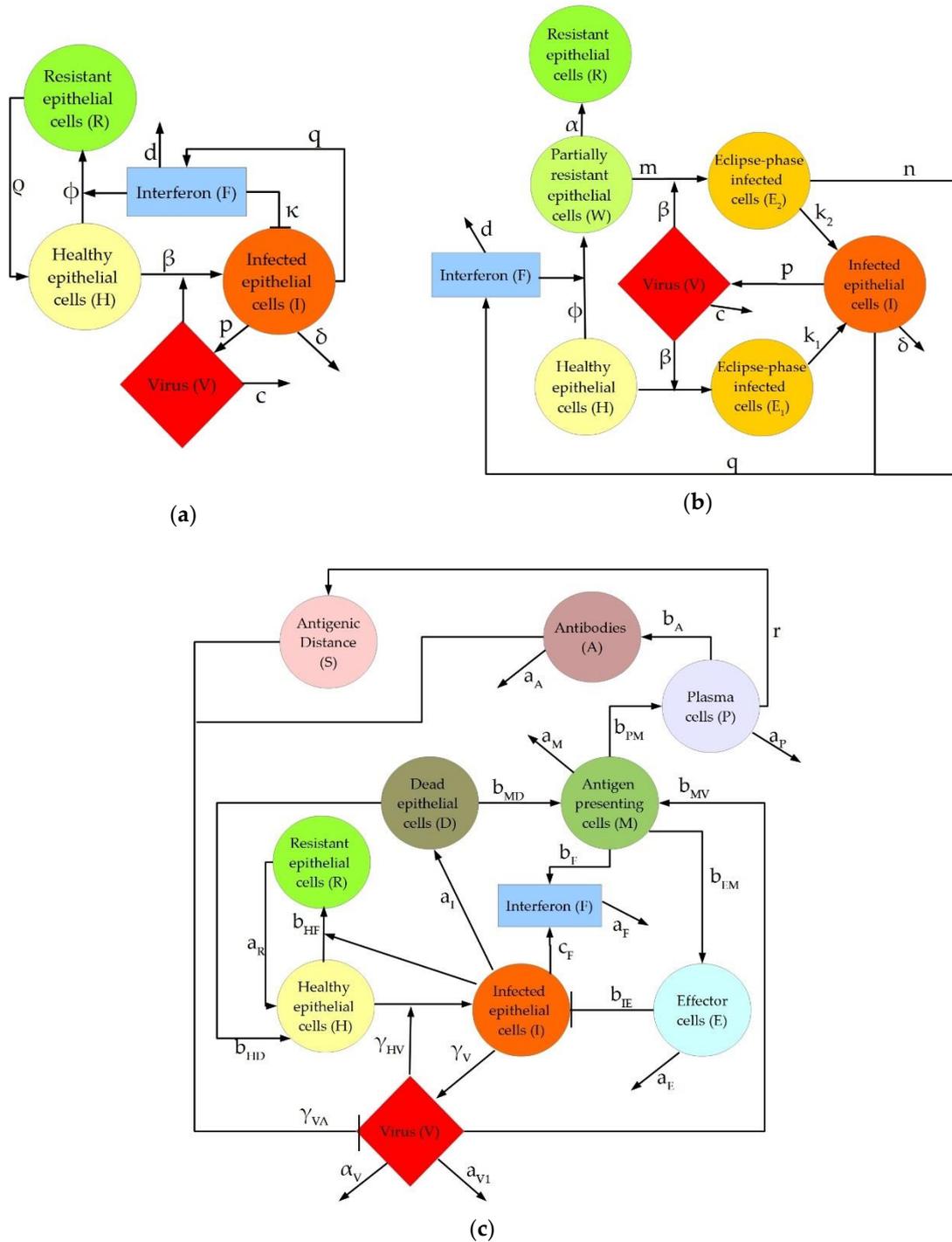
102 In this work, we review three recently published models that contain similar components of the
103 immune response. We consider two points of comparison. The “systems” perspective being which
104 components of the model most strongly regulate virus replication. And the “treatment” perspective
105 being how well do the models recapitulate the observations in IAV-infected animals whose immune
106 system has been stimulated prior to infection. Pre-stimulation is simulated by increased
107 concentration of IFN prior to infection. We find that virus concentration is largely influenced by the
108 proportion of cells in the model that can become infected or virus-resistant; therefore, controlling
109 these populations is paramount to controlling viral replication. We also find that current models do
110 not capture the effect of increased IFN concentrations on suppressing virus replication.

111 **2. Description of IAV Immune Response Models**

112 To provide a review of current models of IAV-induced immune responses, we selected recent
113 models which contain common elements of the innate immune response. This allowed for easier
114 comparisons between model analyses. The models analyzed are: Saenz et al. 2010 [38], Pawelek et al.
115 2012 [37], and Hancioglu et al. 2007 [39]. Figure 1 depicts the interactions represented within each of
116 the models. The Saenz and Pawelek models are trained to experimental data (e.g. cytokine
117 concentrations and immune cell counts) measured in pony lungs infected with H3N8 virus, while the
118 Hancioglu model was fit to certain qualitative behaviors selected from a study of the human response
119 to IAV infection by Bocharov and Romanyukha [44].

120 Five elements of the intrahost immune response are conserved across each model: healthy
121 epithelial cells (H), infected cells (I), virus (V), type I interferon (F), and “resistant cells”, i.e. epithelial
122 cells with interferon-induced virus resistance (R). While each model has these five features in
123 common, the inflammatory response to viral infection is represented differently, depending largely
124 on model complexity. These differences are particularly apparent in the model-specific incorporation
125 of the production, activity, and depletion of IFN. In the Pawelek model (Figure 1a), interferon has
126 two functions: creating virus-resistant cells when interacting with healthy epithelial cells, and
127 increasing infected cell death when interacting with infected epithelial cells. In the Saenz model
128 (Figure 1b), interferon leads to the creation of virus-resistant cells but does not impact the infected
129 cells directly. Instead, the infected cells produce more interferon. The Hancioglu model (Figure 1c)
130 uses interferon to create resistant cells (as in the other two models) while interferon is produced by
131 infected cells and antigen-presenting cells. In all models, a decrease in interferon levels is caused by
132 a combination of natural decay and absorption into epithelial cells.

133



134

135 **Figure 1.** Graphical depiction of interactions represented in the three models discussed in this
 136 manuscript: (a) Pawelek model; (b) Saenz model; (c) Hancioglu model.

137 3. Materials and Methods

138 Three ordinary differential equation (ODE) models of the intrahost immune response to IAV
 139 infection that explicitly included type I interferon were chosen from literature. These three published
 140 models were selected for their significant variance in complexity; specifically, in the interactions of
 141 IFN with other model components. For each model, the immune response is simulated in MATLAB
 142 version R2017a using the parameter values and initial conditions published in the original papers.
 143 Integration was performed with ode23s.

144 We performed two main assessments on the three featured models: a local sensitivity analysis
145 and an interferon pre-stimulation study. Sensitivity analysis was performed using a MATLAB
146 package previously published by Nagaraja et al [45]. The Param_var_local.m function performed a
147 local sensitivity analysis on the virus equation in each model to all parameters over a ten-day
148 simulation. The function increases and decreases each parameter in the model by 1% and recalculates
149 the solution to the system of ODEs. Sensitivity is then calculated with the central finite difference
150 formula to generate logarithmic sensitivities of each equation to each parameter in the model. The
151 sensitivity of each parameter was ranked by the area under the curve (AUC). Parameters which yield
152 the highest AUC over the full ten-day simulation are judged to be the most sensitive.

153 Two tests were used to evaluate each model's reaction to simulated interferon pre-stimulation.
154 First, four values of the initial level of the IFN present in the system (F_0) were tested to assess whether
155 increased initial IFN levels will inhibit viral growth, peak, or clearance. In each case, while the initial
156 condition on the IFN equation changed, all other initial conditions and parameters remain constant.
157 Additionally, the amount of time between the initial IFN induction and the start of the infection was
158 varied by delaying the onset of the virus infection with respect to the IFN. In all cases, induction of
159 IFN via IFN-regulating pathways is modeled as a step change in IFN concentration. The 6 possible
160 delays in the virus administration included 0, 2, 4, 6, 8, and 10 days (equivalent to pre-stimulating
161 IFN 0, 2, 4, 6, 8, and 10 days prior to infection). The initial level of IFN is kept at 1 in these simulations,
162 though the published initial condition of the fold change of IFN in the Saenz model is 0. To simulate
163 a true pre-stimulation, there must be a nonzero initial level of IFN to observe the impact of IFN on
164 the remainder of the system.

165 4. Results

166 4.1. Sensitivity analysis

167 4.1.1. Pawelek et al. model

168 The Pawelek model includes five equations and nine parameters to model the intrahost immune
169 response to IAV infection. Epithelial cells begin as healthy target cells (H) and can become infected
170 (I) through direct interaction with the virus (V) or can become resistant to infection (R) through
171 interaction with type I interferon (F). Interferon can also lead to enhanced infected cell clearance by
172 stimulating the activation of natural killer cells, which are not explicitly represented in the model.
173 The structure of the Pawelek model is given below in equations 3.1.1, and a full list of parameters,
174 their biological interpretations, nominal values, and units is provided in the Appendix in Table A1.
175 Note that we used the original version of the equations presented in the paper and not the alternative
176 version presented by Pawelek *et al.* which incorporates a time-varying death rate $\delta(t)$ for infected cells
177 in only the later portion of the simulation. We did not use this artificial delay in infected cell clearance,
178 as a delay equation would not be consistent with the other two models presented in this review.
179 Instead, $\delta = 2/\text{day}$ for the entirety of the simulation.

$$\begin{aligned}H' &= -\beta HV - \phi HF + \rho F, \\I' &= \beta HV - \delta I - \kappa IF, \\R' &= \phi HF - \rho F, \\V' &= pI - cV, \\F' &= qI - dF.\end{aligned}\tag{3.1.1}$$

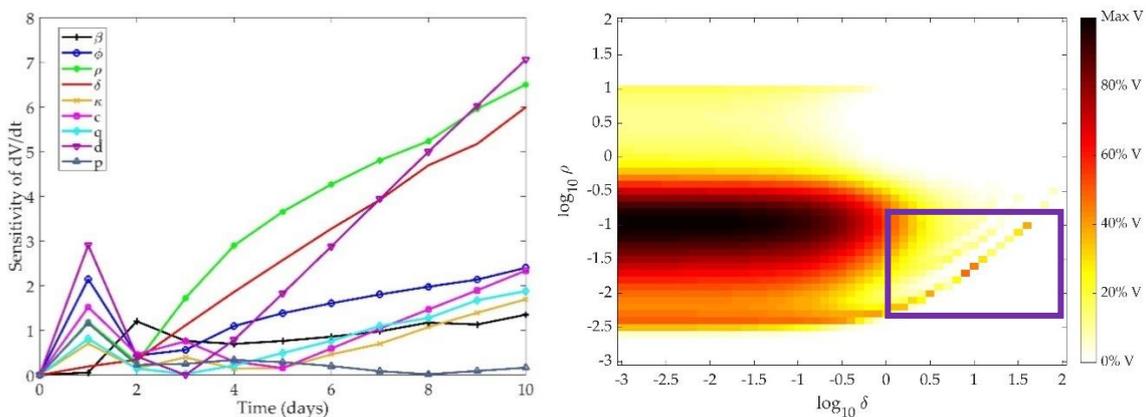
180 First, a local sensitivity analysis was used to identify model parameters to which the virus titer
181 is most sensitive. The parameters which most affect the virus level change over time, as shown in
182 Figure 2a. Over the first two days, the decay rate of interferon (d) and the production of resistant cells
183 (ϕ) dominate the behavior of the virus. In the later phase of infection, virus behavior is controlled

184 predominantly by the rate at which cells lose their resistance (ρ), the death of infected cells (δ), and
 185 the depletion of interferon (d). This implies that in all stages of infection, virus levels are largely
 186 impacted by interferon and resistant cell populations. Given that interferon controls the number of
 187 resistant cells in the system, and thus the number of cells available to become infected by the virus,
 188 the production and depletion of interferon is expected to be vital to the control of the virus growth
 189 throughout the simulation. Over ten days, the parameters with the highest total AUC are the rate of
 190 loss of resistance in the epithelial cells (ρ) and the decay rate of infected cells (δ). Table 1 shows the
 191 AUC for each parameter after a ten-day simulation.

192 **Table 1.** AUC of virus equation for each parameter in the Pawelek model.

Parameter	AUC
ρ	36.87
δ	29.43
d	24.83
ϕ	14.93
β	8.68
q	6.59
c	4.37
κ	4.01
p	1.90

193 Figure 2b depicts the model response to changing these two most sensitive parameters
 194 concurrently. Colors on the graph correspond to the amount of virus present in the system after ten
 195 days, where darker colors indicate more virus present. As δ increases, the infected cells die at a faster
 196 rate, and the virus cannot be sustained, leading to a lower virus level at the end of the ten-day
 197 simulation. As ρ increases, the healthy epithelial cells regenerate at a faster rate, providing a larger
 198 pool of cells which may transition to infected cells and produce virus. Thus, as ρ increases, more virus
 199 is expected to be present at the end of the simulation. However, the current formulation of the model
 200 may overfit the parameters, leading to unexpected trends in the end behavior of the virus.
 201 Specifically, similar values of ρ and δ result in highly different responses (see lower right portion of
 202 Figure 2b, where $\log(\delta) = 0$ to 2 and $\log(k_2) = -2.5$ to -1 , demonstrated in the purple box). As more cells
 203 become resistant, there is greater feedback to the healthy cell population, allowing for a slight
 204 rebound in the target cell population. With more target cells available to become infected, there is an
 205 increase in virus over time. The structure of the model thus results in the creation of virus over time
 206 with the addition of more cells that are resistant to infection. This is an oversimplification of the
 207 interaction of interferon with epithelial cells and is likely not a true representation of intrahost
 208 dynamics.



(a) (b)

209 **Figure 2.** (a) Time-dependent sensitivity of virus to each parameter in the Pawelek model; (b) Two-
 210 dimensional sensitivity of Pawelek model to two most sensitive parameters: ρ and δ . Colors
 211 correspond to the amount of virus present at ten days post-infection in simulation as a fraction of the
 212 maximum virus concentration observed. Darker colors correspond to a higher level of virus present
 213 at day 10, while lighter colors indicate a low level of virus present in the system after ten days.

214 4.1.2. Saenz et al. model

215 The Saenz model includes eight equations and twelve parameters. The epithelial cell population
 216 is divided into six subtypes, based on whether these cells have been infected with virus (V) and/or
 217 affected by type I interferon (F). Cells can be healthy cells (H), infected cells that are unprotected by
 218 interferon (E1), partially resistant healthy cells (W), infected cells that have been affected by interferon
 219 (E2), productively infected cells (I), or fully resistant cells (R). A list of the parameters, their biological
 220 interpretations, nominal values, and units is provided in the Appendix in Table A2. The structure of
 221 the Saenz model is given below in equations 3.1.2:

$$\begin{aligned}
 H' &= -\beta HV - \phi HF, \\
 E_1' &= \beta HV - k_1 E_1, \\
 W' &= \phi FH - m\beta VW - \alpha W, \\
 E_2' &= m\beta VW - k_2 E_2, \\
 R' &= \alpha W, \\
 I' &= k_1 E_1 + k_2 E_2 - \delta I, \\
 V' &= pI - cV, \\
 F' &= nqE_2 - dF + qI.
 \end{aligned}
 \tag{3.1.2}$$

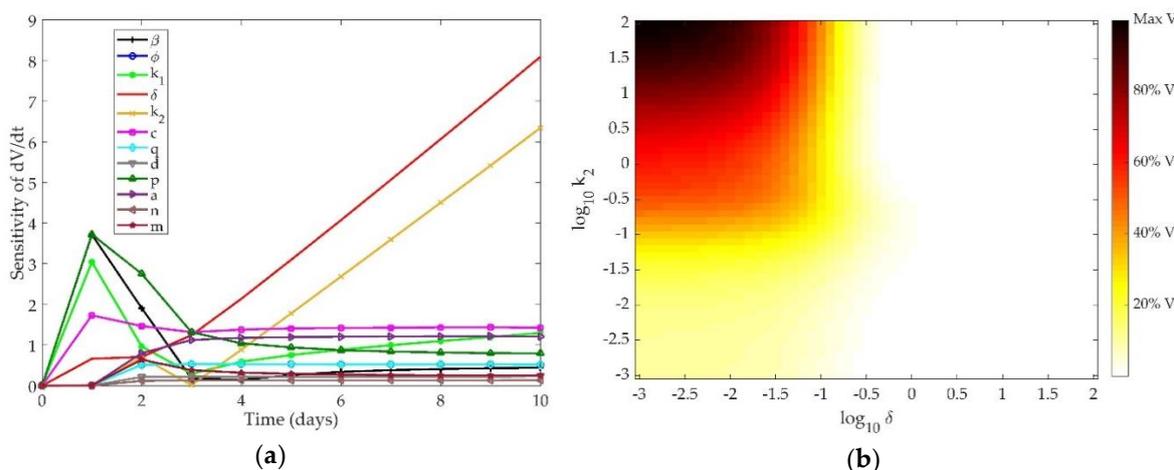
222 We explored the time-dependent sensitivity of the virus to each parameter in the model (Figure
 223 3a). The first three days post-infection are predominantly controlled by the infectivity of the virus (β),
 224 production of virus by infected cells (p), and creation of unprotected infected cells (k_1). The later
 225 phase of the infection is controlled by death of infected cells (δ) and the eclipse phase period of
 226 interferon-protected infected cells (k_2). Table 2 shows the AUC for each parameter after a ten-day
 227 simulation.
 228

229 **Table 2.** AUC of virus equation for each parameter in Saenz model.

Parameter	AUC
δ	38.26
k_2	24.50
c	14.43
p	13.86
a	10.40
ϕ	4.75
q	4.75
β	3.35

k_1	3.07
m	2.93
d	2.00
n	1.18

230 As in the Pawelek model, controlling the death rate of infected cells indirectly controls the death
 231 rate of the virus, as infected cells are the only source of free virus in the system. The eclipse phase
 232 also indirectly controls the virus production, since infected cells begin producing free virus as soon
 233 as the eclipse phase ends and become productively infected cells. The parameters most closely related
 234 to IFN concentration in the model (n , q , d , and ϕ) are not among the most sensitive for the virus in
 235 this model.



236 **Figure 3.** (a) Time-dependent sensitivity of virus to each parameter in the Saenz model; (b) Two-
 237 dimensional sensitivity of Saenz model to two most sensitive parameters: k_2 and δ . Colors correspond
 238 to the amount of virus present at ten days post-infection in simulation as a fraction of the maximum
 239 virus concentration observed. Darker colors correspond to a higher level of virus present at day 10,
 240 while lighter colors indicate a low level of virus present in the system after ten days.

241 A two-dimensional scan of the most sensitive parameters to the clearance rate of the virus from
 242 the host is shown in Figure 3b. As expected, when k_2 and δ are high, the infected cells die at an
 243 increased rate and the virus is completely cleared from the host after ten days. When these parameters
 244 are low, however, the infected cell population is sustained and the virus remains at high levels ten
 245 days post-infection.

246 4.1.3. Hancioglu et al. model

247 The Hancioglu model is the largest and most complex of the three models, featuring ten
 248 equations and 29 parameters. The model includes healthy (H), resistant (R), and infected (I) epithelial
 249 cells, virus (V), and interferon (F), as in the previous models. In addition, macrophages (M), T cells
 250 (E), plasma cells (P), antibodies (A), and antigenic distance (S) are incorporated, as shown in Figure
 251 1c. The total number of epithelial cells is assumed to be constant, so the number of dead epithelial
 252 cells (D) does not require a differential equation, but rather obeys an algebraic formula:
 253 $D = 1 - H - I - R$. Parameters, biological definitions, and their nominal values are defined in Table A3
 254 in the Appendix. Note that all parameters in this model are scaled such that they are unitless. The
 255 equations which define the Hancioglu model are given below in (3.1.3):

$$H' = b_{HD}D(H+R) + a_R R - \gamma_{HV}VH - b_{HF}FH,$$

$$I' = \gamma_{HV}VH - a_I I - b_{IE}EI,$$

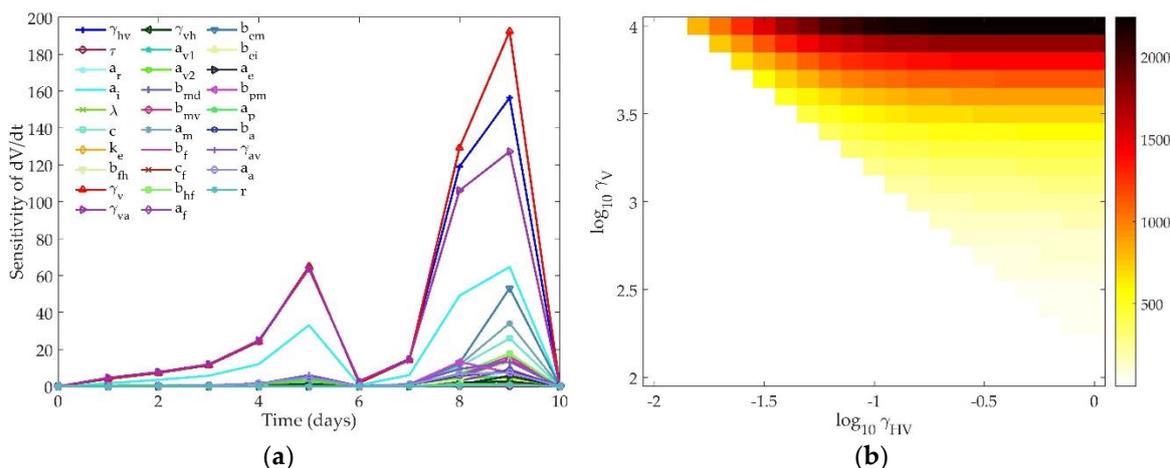
$$\begin{aligned}
 R' &= b_{HF}FH - a_R R, \\
 V' &= \gamma_V I - \gamma_{VA} SAV - \gamma_{VH} HV - \alpha_V V - \frac{a_{v1} V}{a_{v2} V + 1}, \\
 M' &= (1 - M)(b_{MD} D + b_{MV} V) - a_M M, \\
 F' &= b_F M + c_F I - b_{FH} HF - a_F F, \\
 E' &= b_{EM} ME - b_{EI} IE + a_E (1 - E), \\
 P' &= b_{PM} MP + a_P (1 - P), \\
 A' &= b_A P - \gamma_{AV} SAV - a_A A, \\
 S' &= rP(1 - S).
 \end{aligned}
 \tag{3.1.3}$$

256 Figure 4a shows the sensitivity of the virus equation to each of the parameters of the model.
 257 Table 3 gives the total AUC for each parameter in the Hancioglu model over the ten-day simulation.

258 **Table 3.** AUC of virus equation for each parameter in Hancioglu model.

Parameter	AUC	Parameter	AUC
γ_V	225.56	γ_{AV}	16.24
γ_{HV}	182.04	a_F	11.65
γ_{VA}	137.81	a_R	10.69
b_{EM}	66.00	k_E	8.67
a_I	64.59	a_A	8.08
a_M	46.53	b_A	7.54
c	31.24	a_E	6.60
b_{HF}	24.14	b_{FH}	6.24
b_{MV}	22.02	a_{v1}	4.25
b_F	21.79	a_{v2}	4.00
b_{PM}	21.75	γ_{VH}	2.90
b_{MD}	19.95	a_P	2.43
λ	19.53	c_F	2.35
b_{EI}	16.91	r	0.83

259 The early stage infection is largely controlled by virus production by infected cells (γ_V), infected
 260 cell death (a_I), and loss of resistance in epithelial cells (a_R). All three of these parameters are essential
 261 to controlling the infected cell population, which, like in the previous two models, controls the
 262 amount of free virus produced by the host. In the later stages of infection, γ_V and the infectivity of
 263 the virus (γ_{HV}) are most influential on the virus trajectory.



264 **Figure 4.** (a) Time-dependent sensitivity of virus to each parameter in the Hancioglu model; (b) Two-
 265 dimensional sensitivity of Hancioglu model to two most sensitive parameters: γ_{VH} and γ_V . Colors
 266 correspond to the maximum amount of virus present over a ten-day simulation. White indicates that
 267 the maximum value of V is 0.01, the initial value of the virus. Darker colors indicate higher values of
 268 peak virus.

269 The amount of virus present at the end of the simulation does not change based on γ_V or γ_{VH} ; the
 270 model structure will lead to complete clearance of the virus even if these parameters have changed
 271 by several orders of magnitude. The mechanism by which the virus will clear does change based on
 272 the values of γ_V and γ_{VH} , as the peak value of the virus is impacted by these parameters. Figure 4b
 273 shows the change in the peak value of the virus as these two most sensitive parameters are changed
 274 concurrently. The nominal model creates a peak virus level of about 100. Varying these parameters
 275 can lead to a much higher peak viral titer. Changing γ_V or γ_{VH} can create a bifurcation in the virus
 276 behavior where the virus will either rise to its peak before being cleared by the immune response, or
 277 the virus will monotonically decay and will not instigate an immune response. When both γ_V and γ_{VH}
 278 are low (bottom left corner of Figure 4b), the infectivity and replication rate of the virus are too small
 279 to sustain the viral titers, and the virus will harmlessly decay to zero within a few days post-infection.
 280 As these two parameters increase, the virus replication is strong enough to sustain an infection and
 281 the immune response will be activated. Higher γ_V values cause the virus to peak faster, which then
 282 can lead to faster clearance, as the immune components in this model are virus-dependent (see Figure
 283 1c).

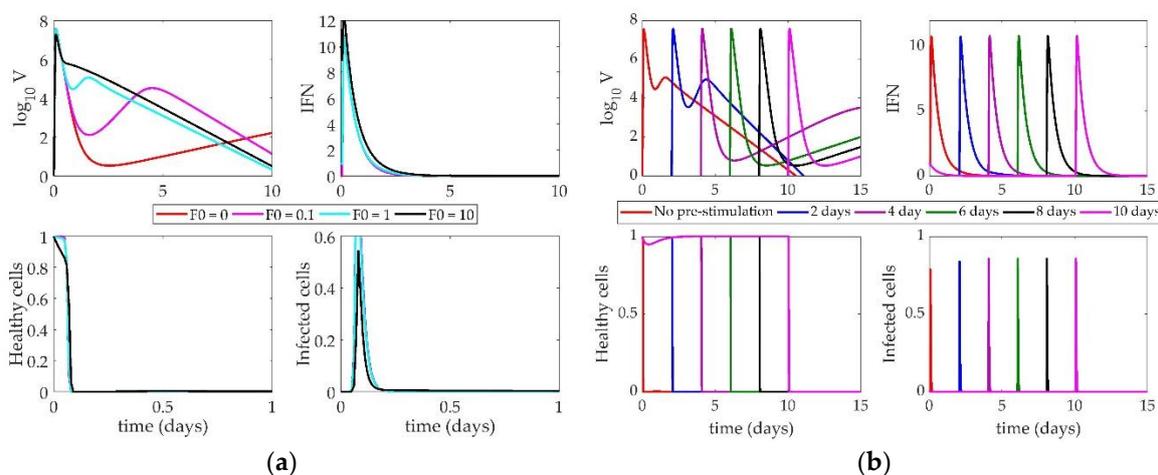
284 4.2. Models' response to simulated IFN pre-stimulation

285 We simulated IFN pre-stimulation in these models by changing the initial concentration of IFN
 286 in the system. Currently, several compounds which stimulate TLR pathways to induce IFN
 287 production and increase protection during severe respiratory infection are being studied [46]. The
 288 effect of these compounds on the dynamic response of the lung immune systems has not been
 289 determined. Several experiments have indicated a protective effect of pre-stimulation of TLR
 290 pathways before influenza infection in murine models [46–49]. We tested the three ODE models to
 291 determine whether they could replicate these experimental results. For each model, the response to
 292 changing both the magnitude of the initial interferon levels and the time between the initial interferon
 293 induction and the viral infection is reported.

294 4.2.1 Pawelek model response to IFN pre-stimulation

295 Figure 5a depicts the impact of altering the initial levels of IFN (F_0) present in the system when
 296 the virus is administered. The Pawelek model predicts three phases of behavior based on the amount
 297 of interferon concentration initially in the host. At high levels of interferon, there is a quick rise in the
 298 virus followed by a slow decay, leading to eventual clearance from the host. When F_0 is 1, the virus

299 exhibits biphasic behavior, with an initial peak approximately 12 hours post-infection and a second
 300 peak around 2 days post-infection, followed by slow clearance of the virus over the next week. When
 301 F_0 is very low (less than 1), the same initial peak after 12 hours is followed by a long, slow rise in the
 302 virus trajectory after day 3. At high levels of F_0 , the virus peak is about 2 orders of magnitude lower
 303 than the other simulations, and the healthy cells show a slight rebound before dying out around 1
 304 day post-infection. The IFN also monotonically decays when it is initially set to a high level and does
 305 not show the same rebound behavior seen in lower initial levels of IFN. Despite these three
 306 differences, it is difficult to determine how these rebounding virus trajectories would impact the
 307 survival of the host. The Pawelek model leads to complete death of all healthy cells in all trajectories,
 308 meaning there are no target cells remaining for the virus to infect after a few days of the infection.
 309 Because of this, the Pawelek model cannot accurately predict whether high initial concentrations of
 310 IFN would save the host.



311 Figure 5. (a) Response of Pawelek model to increasing IFN on day 0. Lines correspond to different
 312 values of F_0 (initial condition of interferon); (b) Impact of IFN pre-stimulation scheduling on the
 313 Pawelek model. IFN treatment is given on day 0 and patients are simulated to become infected 2, 4,
 314 6, 8, or 10 days after treatment.

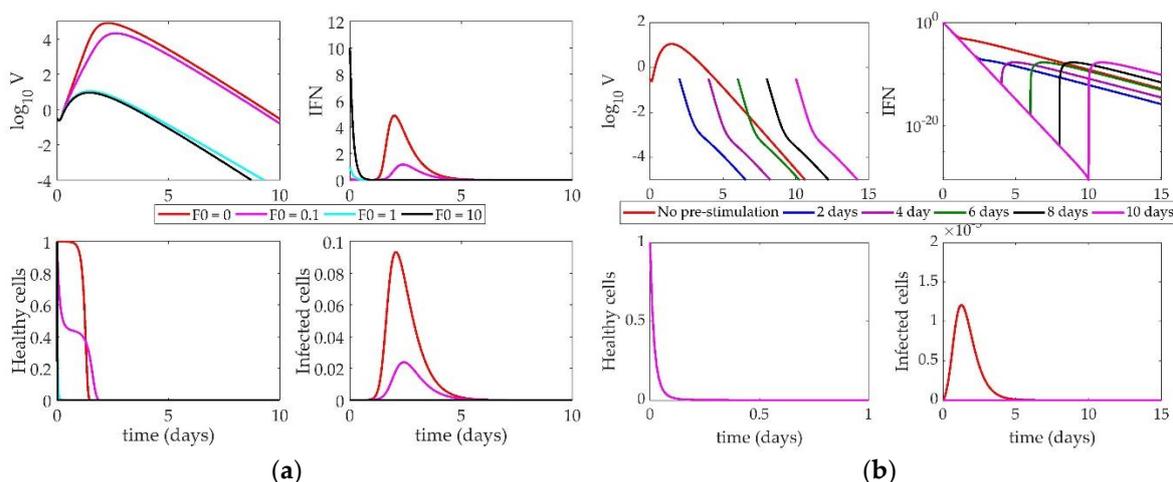
315 The impact of changing the time between the IFN pre-stimulation and the onset of the infection
 316 is shown in Figure 5b. Each line denotes a different simulation in which the virus is administered at
 317 the indicated day on the figure legend. Again, changing the time between treatment and infection
 318 causes three phases of behavior in the virus. When IFN induction and virus are given simultaneously
 319 (red “No pre-stimulation” line), the simulation describes the behavior of an untreated patient. In this
 320 case, the virus peaks almost immediately, falls, and then exhibits a smaller, secondary peak around
 321 day 2 as the infected cells have time to produce more virus.

322 The other simulations in Figure 5b show that the Pawelek model predicts a negative impact on
 323 the host after IFN pre-stimulation. With a 2-day pre-stimulation (blue line), the virus trajectory is
 324 approximately the same as the nominal model. The initial peak reaches the same magnitude as the
 325 nominal model, but the secondary peak is more pronounced. When IFN is given before the virus,
 326 there are no infected cells present in the system (which are the only sources of IFN in this model).
 327 Thus, the IFN levels will decrease until the virus is introduced on day 2. At that point, the IFN has
 328 decreased approximately two orders of magnitude, meaning the initial treatment was not sustained
 329 and has had a deleterious effect on the host, as there is less IFN present in the system than under
 330 normal circumstances.

331 As the delay between IFN pre-stimulation and virus lengthens, this effect becomes more
 332 pronounced. While the initial virus peak always reaches the same magnitude, the secondary peak,
 333 which controls the long-term behavior of the system, gets larger with the increased delay. This
 334 indicates that the model predicts a long-lasting influenza infection after significant IFN pre-
 335 stimulation rather than any improvement in patient outcomes, regardless of the length of time
 336 between virus and IFN treatment.

337 4.2.2 Saenz model response to IFN pre-stimulation

338 The response of the Saenz model to an increase in the initial IFN present in the system is shown
 339 in Figure 6a. Changing the level of IFN creates a bifurcation in the virus peak magnitude. When F_0 is
 340 below 1, the virus peak reaches 10^5 PFU (a 5-fold change in the virus level). IFN and infected cells rise
 341 to their peaks around 3 days post-infection, and healthy cells die out within 2 days. When F_0 is above
 342 1, however, the virus peak only reaches approximately 10 PFU. IFN decays monotonically, and
 343 almost no infected cells are created. Healthy cells die out almost instantly upon infection, as more
 344 resistant cells can be created from the increased initial IFN levels.



345 Figure 6. (a) Response of Saenz model to increasing IFN on day 0. Lines correspond to different values
 346 of F_0 (initial condition of interferon); (b) Impact of IFN pre-stimulation scheduling on the Saenz
 347 model. IFN treatment is given on day 0 and patients are simulated to become infected 2, 4, 6, 8, or
 348 10 days after treatment.

349 Figure 6b illustrates the impact of IFN pre-stimulation on the system. The nominal model (red
 350 “No pre-stimulation” line) is equivalent to the turquoise line ($F_0=1$) in Figure 6a. As the time between
 351 the initial IFN pre-stimulation and virus infection increases, we see the pre-stimulation confers
 352 protection on the host by creating a larger pool of resistant cells initially, leading to a depletion of
 353 healthy cells within hours of the initiation of the simulation. When the host becomes infected, there
 354 is not a large enough pool of healthy cells available to create any substantial infected cell population.
 355 Without these cells, no new virus can be produced, so the virus monotonically decays to zero within
 356 only a few days post-infection.

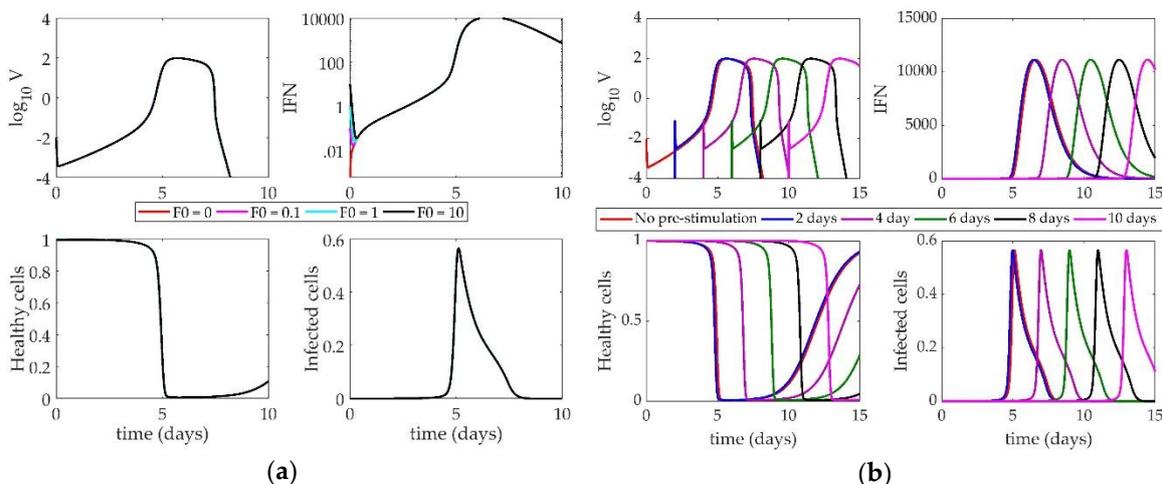
357 Like the Pawelek model, the Saenz model cannot support high levels of IFN without the
 358 presence of virus, as only infected cells can produce more IFN. In all simulations, the IFN decays
 359 steadily and more rapidly than in the Pawelek simulations in Figure 5. This is largely due to the
 360 differences in the decay rate of IFN between the two models (Pawelek model, $d = 1.9 \text{ day}^{-1}$, Saenz
 361 model, $d = 6.8 \text{ day}^{-1}$).

362 4.2.3 Hancioglu model response to IFN pre-stimulation

363 The Hancioglu model (Figure 7) shows very little sensitivity to the time of IFN induction or the
 364 magnitude of interferon concentration. There is a negligible difference in the time to the peak of the
 365 virus when $F_0 = 1$ versus $F_0 = 100$. The model’s parameters were fit in a way such that the behavior
 366 of the model does not change, even with an enormous initial influx of interferon. An initial absence
 367 of interferon has no impact on the virus trajectory. Despite the presence of resistant cells in the model,
 368 interferon has no major effect on the system.

369 When the system is tested with a pre-stimulation of interferon (Figure 7b), there is little change
 370 in the behavior of the virus. The entire system shifts horizontally with the time delay, but the overall
 371 behavior of the model does not change. Like in previous models, without a starting virus population,

372 the system cannot sustain the initial concentration of IFN. When the virus is finally introduced, the
 373 IFN level has essentially fallen to zero, making any impact from the pre-stimulation negligible.



374 Figure 7. (a) Response of Hancioglu model to increasing IFN on day 0. Lines correspond to different
 375 values of F0 (initial condition of interferon); (b) Impact of IFN pre-stimulation scheduling on the
 376 Hancioglu model. IFN treatment is given on day 0 and patients are simulated to become infected 2,
 377 4, 6, 8, or 10 days after treatment.

378 5. Discussion

379 Interferon is known to have several antiviral effects in an IAV-infected host, including activating
 380 an antiviral state in epithelial cells, sensitizing cells to apoptosis, activating NK cells, and initiating
 381 the differentiation of cytotoxic T cells [50–53]. Each analyzed model represents a distinct subset of
 382 these interactions, including the creation and depletion of virus-resistant cells. In the Pawelek model,
 383 IFN is only produced by infected cells, and healthy cells can become resistant through an interaction
 384 with IFN. This resistance fades over time and cells return to a susceptible state. Resistant cells in the
 385 Hancioglu model also become susceptible, but IFN can be produced by either infected cells or antigen
 386 presenting cells. Conversely, the Saenz model features epithelial cells that are either partially or fully
 387 resistant to infection, and cells do not lose resistance over time.

388 Each of the three models shows a sensitivity of the virus to the creation and loss of infected
 389 epithelial cells. The virus equation of the Pawelek model is most sensitive to the loss of resistance in
 390 epithelial cells and the death rate of infected cells. If the infected cells die off too quickly, the virus
 391 cannot replicate at a rate high enough to sustain the infection. Similarly, if cells are becoming virus-
 392 resistant too quickly, there will not be a sufficient number of cells remaining to become infected and
 393 keep the viral titers elevated. In this way, the presence of the virus in the system is predominantly
 394 driven by the number of cells currently infected or able to become infected. The Saenz model also
 395 emphasizes a low death rate of infected cells, as well as a short eclipse phase for infected cells. The
 396 duration of the eclipse phase determines the delay in time between the infection of the cell and the
 397 subsequent release of virion by the infected cell. The shorter the eclipse phase, the more readily the
 398 cells can begin producing virus. As in the Pawelek model, the Saenz model shows that the availability
 399 of productively infected cells is vital to the continuation of the infection.

400 The Hancioglu model also emphasizes the importance of maintaining a large pool of infected
 401 cells, but through a different set of parameters than the Pawelek or Saenz models. The infectivity of
 402 the virus and the replication rate of the virus are the most sensitive parameters in the model. The
 403 Hancioglu model is thus controlling the virus by a high rate of production of infected cells, and not
 404 through a diminished rate of decay of these cells, as in the other two models. Interestingly, none of
 405 the three models shows a strong sensitivity of virus to the concentration of IFN in the system.

406 All models must make some simplifying assumptions, and thus, no models are fully accurate in
 407 their representation of the host response to pre-stimulating IFN-regulating pathways. While these
 408 models had been analyzed in previous reviews [54], previous work had only shown how these

409 models respond to knockouts of various immune components. Here, we perform a complementary
410 study to test early stimulation as well as increased initial levels of IFN to determine if altering IFN
411 levels can improve patient outcomes. Of the three models studied, only one showed significant
412 impact after early IFN induction. The Saenz model predicts a lower viral peak with increased initial
413 interferon levels and a monotonic decay of the virus over time if interferon is stimulated early. Early
414 available interferon creates a large pool of resistant cells in a short period of time and, because the
415 Saenz model does not allow for loss of resistance in cells over time, resistant cells remain resistant for
416 the rest of the simulation. Thus, the system cannot replenish the source of target cells for the virus to
417 infect and the virus concentration decreases steadily. However, this is not a realistic way to represent
418 immune dynamics, as epithelial cells certainly lose their viral resistance over time.

419 The other two models do not demonstrate this effect of IFN on viral clearance. The Pawelek
420 model is structured such that early administration of interferon-inducing compounds worsens the
421 impact of the virus by creating a secondary rebound of virus in later stages of infection, essentially
422 leading to chronic infection (which is unlikely to be realistic). The Hancioglu model shows no
423 sensitivity to the initial interferon concentration or to the relative timing of infection. Changing the
424 time of the virus infection simply shifts the curves in time but does not change their shape. This model
425 implies that interferon has minimal impact on the host, which does not agree with decades of
426 experimental evidence [29,55,56].

427 By stimulating an early IFN response in the model, we simulate a host receiving a preventative
428 treatment for IAV infection (e.g. a TLR agonist [22,28]). Dobrovolny *et al.* [54] previously investigated
429 how these models react to IFN suppression post-infection, which may suffice to simulate a steroid
430 treatment for influenza as steroids are known to downregulate IFN signaling [20,57]. The Hancioglu
431 and Pawelek models predict that IFN has a significant impact on viral clearance when completely
432 removed from the system because no resistant cells are created and the population of susceptible cells
433 remains high for a longer period of time [54]. In the Saenz model, however, removing IFN does not
434 yield this effect, as cells in this model cannot lose resistance. Therefore, these models may not
435 accurately represent the effect of IFN pre-stimulation for influenza, as they make many simplifying
436 assumptions about the role of IFN in the host immune response to IAV infection.

437 Currently, we do not have sufficient experimental or computational evidence to support a
438 recommendation for IFN pre-stimulation or corticosteroid treatment post-infection. Few references
439 exist showing steroid treatment of IAV-infected humans [9,58–60], and those few have not shown
440 significant impacts on mortality rate [61]. For many years, physicians turned toward high doses of
441 steroids, though recent research suggests that lower doses are more effective [61,62]. It is quite
442 possible that steroid treatment could be effective in humans, but the timing and magnitude of the
443 drug has not yet been optimized. Tan *et al.* [47] have shown Pam2Cys, a TLR-2 agonist, can instigate
444 an inflammatory response even in the absence of an antigen. Mice pre-treated with Pam2Cys were
445 protected from H1N1 virus for up to 7 days post-treatment. Pre-stimulation of TLR-3 by
446 polyinosinic:polycytidylic acid (poly IC) has also shown promise in protecting mice against H5N1
447 and H3N2 [48]. TLR-3 pre-stimulation has been effective in protecting rhesus monkeys from yellow
448 fever [63]. While IFN-prestimulating compounds have been very promising in animal models, they
449 are still in the early phases of drug development [46]. Nonetheless, the effects of IFN pre-stimulation
450 has been well established and the dynamics induced by pre-stimulation are highly valuable for
451 mathematical model discrimination.

452 While the models presented do capture many aspects of the immune response to IAV infection,
453 more experimental data is needed to improve the characterization of IFN-regulated immune
454 dynamics. Shinya *et al.* [64] demonstrated the IFN pre-stimulation from 12h to 3 days pre-infection
455 improved survival to IAV-infected mice, but a more thorough dosing range and high temporal
456 resolution of the data are needed to improve model development and validation.

457 This review has shown that simply creating a population of virus-resistant cells is not sufficient
458 to model the impact of IFN on control of virus replication. This is the mechanism by which many
459 current published models, including the three covered in this paper, incorporate the effect of IFN on
460 the immune response. For a truly accurate mathematical model, the model structure should be able

461 to simulate known qualitative behaviors as well as reproduce the quantitative data used to tune the
 462 model parameters. The models used in this review do not include a mechanism by which IFN levels
 463 can be sustained if the virus is not present in the system (see Figures 5b, 6b, and 7b). The pre-
 464 stimulation is thus ineffective because IFN decays monotonically until the virus is administered days
 465 later. Additional cellular sources of IFN production, such as monocytes, may be necessary for a
 466 biologically accurate ODE model. The Hancioglu model does include a term for macrophage-derived
 467 IFN production, but macrophages are only induced to produce IFN if the virus has been introduced
 468 to the system, so this model cannot sustain increased IFN concentration in the absence of pathogen.
 469 The Pawelek and Saenz models only contain infected epithelial cell production of IFN.

470 Future ODE models of influenza infection should include a better representation of innate
 471 immunity, and possibly more interactions of IFN with other components in the model, to accurately
 472 portray the impact of IFN on the system as a whole. Rather than reliance on the creation of virus-
 473 resistant cells to simulate the effect of IFN on the host, IFN could be used to directly diminish the
 474 replication rate of the virus, similar to a model proposed by Baccam et al. [40]. Alternatively, IFN
 475 could be used to lower the infectivity of the virus and slow the creation of infected epithelial cells.
 476 These models could then be used to test the protection conferred by IFN pre-stimulation seen in many
 477 murine models of influenza A virus infection [21,22,28,33,65,66].

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 484 decision to publish the results.

485 **Appendix A**

486 **Table A1.** Parameter definitions and values for the Pawelek model.

Parameter	Definition	Value	Units
β	Infectivity of virus	4.7×10^{-5}	(RNA copy) ⁻¹ ml NS day ⁻¹
ϕ	Rate of production of virus-resistant epithelial cells	0.33	(IFN fold change) ⁻¹ day ⁻¹
ρ	Rate of loss of resistance in epithelial cells	2.6	day ⁻¹
δ	Death of infected epithelial cells	2	day ⁻¹
κ	Rate of infected cell clearance by natural killer cells	4.2	(IFN fold change) ⁻¹ day ⁻¹
p	Replication rate of virus	5.3×10^{-3}	(RNA copy) ⁻¹ day ⁻¹ cell ⁻¹
c	Clearance of virus by immune system	16	day ⁻¹
q	Rate of production of interferon by infected cells	9.6×10^{-10}	(IFN fold change) day ⁻¹ cell ⁻¹
d	Rate of depletion of interferon	1.99	day ⁻¹

487 **Table A2.** Parameter definitions and values for the Saenz model.

Parameter	Definition	Value	Units
β	Infectivity of virus	1.4×10^{-4}	(RNA copy) ⁻¹ ml NS day ⁻¹
ϕ	Rate of production of virus-resistant epithelial cells	56	(IFN fold change) ⁻¹ day ⁻¹

k_1	Rate of production of unprotected infected cells	2	day ⁻¹
δ	Death of infected epithelial cells	2	day ⁻¹
m	IFN-reduced infectivity	1	unitless
k_2	Eclipse phase of interferon-protected infected cells	2	day ⁻¹
α	Rate at which partially-resistant cells become fully resistant	4	day ⁻¹
p	Replication rate of virus	1.4×10^{-5}	(RNA copy) ⁻¹ day ⁻¹ cell ⁻¹
c	Clearance of virus by immune system	5.2	day ⁻¹
q	Rate of production of interferon by infected epithelial cells	5×10^{-10}	(IFN fold change) day ⁻¹ cell ⁻¹
d	Rate of depletion of interferon	6.8	day ⁻¹
n	IFN-reduced production	1	unitless

488

Table A3. Parameter definitions and values for the Hancioglu model.

Parameter	Definition	Value
γ_{HV}	Rate of epithelial cells infected by virus	0.34
a_R	Loss of resistance to infection	1
a_I	Death of infected cells	1.5
b_{HD}	Rate of regeneration of epithelial cells	4
α_V	Nonspecific clearance of virus	1.7
b_{IE}	Clearance of infected cells by effector cells	0.066
b_{FH}	Rate of binding of IFN to epithelial cells	17
γ_V	Rate of virus secretion by infected cells	510
γ_{VA}	Rate of antibody neutralization of virus	619.2
γ_{VH}	Rate of adsorption of virus by infected cells	1.02
a_{V1}	Nonspecific clearance of virus	100
a_{V2}	Nonspecific clearance of virus	23000
b_{MD}	Stimulation of antigen presenting cells by dead cells	1
b_{MV}	Stimulation of antigen presenting cells by virus	0.0037
a_M	Death of antigen presenting cells	1
b_F	IFN production by antigen presenting cells	250000
c_F	IFN production by infected cells	2000
b_{HF}	Rate of production of virus-resistant cells	0.01
a_F	Natural decay of IFN	8
b_{EM}	Production of effector cells	8.3
b_{EI}	Death of effector cells through interaction with infected cells	2.72
a_E	Natural death of effector cells	0.4
b_{PM}	Production of plasma cells	11.5
a_P	Death of plasma cells	0.4
b_A	Production of antibodies by plasma cells	0.043
γ_{AV}	Rate at which antibodies bind to virus	146.2
a_A	Natural decay of antibodies	0.043
r	Rate of compatibility of antibodies and virus	3×10^{-5}

489

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