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1 Risk of hypoglycemia induced by pivalate-conjugated antibiotics in young children: a
2 population-based retrospective study in Japan

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17 Running Head: Hypoglycemia caused by pivalate-conjugated antibiotics

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25 **Abstract**

26 Infection is a common cause for an outpatient visit for young children. Pivalate-conjugated
27 antibiotics (PCAs) are often used for these patients in Japan. However, a few case reports
28 have shown that PCAs can provoke hypoglycemia in children, but no larger study has shown
29 that PCAs increase the risk of hypoglycemia. The current study was performed as a
30 retrospective review of children aged 1 month to 5 years old with at least once prescription of
31 PCAs or other beta-lactam antibiotics from January 2011 to December 2013, using a medical
32 and pharmacy claims database. Hypoglycemia was defined based on the International
33 Statistical Classification of Diseases and Related Health Problems 10th Revision code or
34 prescription of 10% or 20% glucose injection, and the incidence of hypoglycemic events was
35 investigated. Logistic regression analysis was performed to examine the risk of hypoglycemia
36 with PCAs compared with control antibiotics. The study cohort contained 179,594 eligible
37 patients (male: 52.2%, mean age: 3.2 years). The numbers of prescriptions were 454,153 and
38 417,287 for PCAs and control antibiotics, respectively. Multivariate analysis showed that
39 PCAs were associated with hypoglycemia (adjusted OR 1.18, 95% CI 1.12 to 1.24, $P < 0.01$),
40 and the risk of hypoglycemia was also significantly increased with use of PCAs for ≤ 7 days
41 (adjusted OR 1.17, 95% CI 1.11 to 1.24, $P < 0.01$). These results suggest that prescription of
42 PCAs to young children should be avoided, even for a short time period.

43

44 Key words: pivalate-conjugated antibiotics, carnitine deficiency, hypoglycemia, children,
45 claims data

46 **Introduction**

47 Hypoglycemia is a common endocrine emergency and a major concern in neonates, infants
48 and children because severe hypoglycemia can lead to neurological dysfunction such as
49 neurocognitive defects, memory deficits, aphasia and hemiparesis (1, 2). In childhood,
50 hypoglycemia is induced by various causes, including inborn errors of metabolism, infection,
51 growth hormonal insufficiency, and adrenal insufficiency, as well as medications such as
52 insulin derivatives and some antiarrhythmic agents (3–5).

53 In Japan, pivalate-conjugated antibiotics (PCAs) are widely used for pediatric patients with
54 bacterial infections such as acute respiratory tract infection (ARTI) (6). Pivalic acid is
55 conjugated to oral drugs to improve absorption. After absorption, the pivalic acid is liberated
56 from these drugs, conjugated with carnitine in the liver, and excreted as the conjugate in
57 urine, with a corresponding reduction of the serum carnitine concentration (7). Since carnitine
58 plays an essential role in fatty acid oxidation in mitochondria (8), the reduced serum carnitine
59 level can decrease lipid utilization for ATP production and result in impairment of
60 gluconeogenesis, thereby inducing hypoglycemia (9).

61 PCAs have been reported to cause hypoglycemia in children in some cases, with a possible
62 consequence of encephalopathy (10). Therefore, the Pharmaceutical and Medical Devices
63 Agency (PMDA) and the Japan Pediatric Society (JPS) have cautioned that PCAs can induce
64 hypoglycemia and recommended reduced use of these medicines when possible. However,
65 while there are a few case reports of hypoglycemia induced by PCAs (10–12), no study has
66 shown that PCAs increase the incidence of hypoglycemia compared with other beta-lactam
67 antibiotics. Given that PCAs are widely prescribed in Japan, it is important to evaluate the
68 risk of hypoglycemia with these antibiotics. Therefore, the aim of this study was to examine
69 the risk of hypoglycemia associated with PCAs compared with other oral beta-lactam
70 antibiotics using claims data in Japan.

71

72 **Methods**

73 Study design and data source

74 A retrospective cohort study was performed using a medical and pharmacy claims database
75 constructed by the Japan Medical Data Center (JMDC, Tokyo, Japan). The Japanese
76 healthcare system uses employee- or community-based plans with free access to hospitals and
77 clinics. The JMDC database was launched in 2005 and includes multiple employee-based
78 insurance plans, but not community-based plans. The population in the database had reached
79 approximately 3 million at 2013, including a large pediatric population. The database
80 contains data with an anonymized personal identifier, year of birth, gender, medical
81 procedures, drugs prescribed and tests ordered, diagnosis, and diagnostic codes using the
82 International Statistical Classification of Diseases and Related Health Problems 10th
83 Revision (ICD10) (13).

84

85 Drugs

86 Prescription data were used for PCAs, including cefcapene pivoxil, cefditoren pivoxil,
87 ceftoram pivoxil, and tebipenem pivoxil. All PCAs are classified as oral beta-lactam
88 antibiotics and infections could be a risk factor of hypoglycemia. Therefore, we defined a
89 control group of several beta-lactam antibiotics with no pivoxil residue, including
90 amoxicillin, cefdinir, cefaclor, cefalexin, cefotiam, cefpodoxime proxetil, cefuroxime axetil,
91 faropenem, and sultamicillin.

92

93 Patients

94 Data from January 2011 to December 2013 were analyzed retrospectively. The subjects
95 were children aged 1 month to 5 years old who had a prescription history of the beta-lactam

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96 antibiotics listed above. Neonates and patients with a prescription history of valproic acid,
97 levocarnitine, or insulin derivatives in the period of diagnosis of hypoglycemia, or the
98 diagnostic codes E10 (insulin-dependent diabetes mellitus), E70, E71, E72 (amino acids or
99 fatty acid metabolic disorders), and E74 (abnormal carbohydrate metabolism), were excluded
100 because valproic acid and levocarnitine affect the serum carnitine level, insulin frequently
101 induces hypoglycemia, and metabolic diseases can change glucose metabolism.

102

103 Definition of hypoglycemia

104 Hypoglycemia was defined as prescription of 10% or 20% glucose injection or the first
105 record of ICD10 codes E160, E161 or E162, with exclusion of events if the diagnosis had no
106 obvious association with PCAs; for example, hyperinsulinemia (E160). While hypoglycemia
107 defined by diagnostic codes may reflect events of hypoglycemia in the real world to some
108 extent (14), the identification of hypoglycemic episodes may not be sufficient because it is
109 not necessary to mention a diagnosis of hypoglycemia in the medical receipt for a health
110 insurance claim. In Japan, oral glucose or intravenous 10% or 20% glucose are typically
111 administered to young children with symptomatic hypoglycemia. Thus, we also defined
112 hypoglycemia in this study as intravenous glucose administration to elevate the power of
113 detection. We did not define oral glucose intake as indicating a hypoglycemic event because
114 it was difficult to identify oral supplementation with glucose using the JMDC database.

115

116 Analysis

117 The incidence of defined hypoglycemia was examined within the study period. Eligible
118 patients were divided into two groups based on prescription of PCAs or control antibiotics.
119 Age; gender; number of days the drug was supplied; comorbidities causing hypoglycemia,
120 such as hypopituitarism, adrenal insufficiency or type 2 diabetes mellitus; and conditions in

121 which 10% or 20% glucose might be used, such as gastroenteritis and dehydration, were
122 summarized using descriptive statistics. Each month in which a study drug was prescribed
123 was counted as one exposure for each patient. If antibiotics in each group were concurrently
124 prescribed for a patient in the same month, this exposure was excluded from data analysis. To
125 exclude hypoglycemia possibly unrelated to antibiotics, antibiotic-related hypoglycemia was
126 defined if the event occurred in the month of antibiotic exposure or in the following month.

127 Univariate analysis and multivariate logistic regression was performed to investigate
128 factors associated with defined hypoglycemia. Covariates included gender, age, prescription
129 of PCAs, and number of days of drug supply. To confirm that age and number of days of
130 drug supply affected the risk of hypoglycemia induced by PCAs, multivariate analyses with
131 stratification by age and number of days was performed. Adjusted odds ratios (OR), 95%
132 confidence intervals (CI), and *P* values are reported. We also conducted univariate and
133 subgroup analyses to examine the effects of confounding factors of gastroenteritis (ICD10
134 code: A0), dehydration (E86), adrenal insufficiency (E271, E272, E273, E274, E278, E279,
135 E896), hypopituitarism (E230, E231, E233, E236, E237, E893) and type 2 diabetes mellitus
136 (E10, E11, E12, E13, E14). For sensitivity analysis, hypoglycemia was redefined as
137 diagnostic codes E160, E161, and E162 and intravenous 10% or 20% glucose prescriptions in
138 the same month, and multivariate logistic analysis was conducted on these data as described
139 above. All analyses were performed with JMP® v.12 (SAS Institute Inc., Cary, NC, USA).

140

141 Ethics statement

142 Data investigated in this study were deidentified by the JMDC in an unlinked manner, and
143 therefore, informed consent was not required from patients. The study protocol and
144 exemption of informed consent were approved by the Ethics Committee of Okayama
145 University Graduate School of Medicine, Dentistry and Pharmaceutical Sciences and

146 Okayama University Hospital.

147

148 **Results**

149 Patients

150 A total of 179,594 patients (male, 93,743 [52.2%]) were eligible for the study. The mean
151 age (\pm SD) at dispensing was 3.16 (\pm 1.55) years old (Table 1). A total of 328 patients were
152 excluded from analyses due to a prescription history of insulin derivatives, valproate, or
153 levocarnitine. The total number of visits with an antibiotics prescription were 871,440,
154 including 454,153 for PCAs and 417,287 for control antibiotics. The mean age and
155 proportion of males in the PCA group were significantly higher than those in the control
156 group. The number of days of drug supply was lower in the PCA group, but the background
157 of patients was slightly different in the two groups. Prescription patterns over time were
158 similar in each group (Fig. 1).

159

160 Incidence of hypoglycemia

161 Univariate and multivariate logistic regression analyses revealed that the incidence of
162 hypoglycemia (defined by ICD10 codes or prescription of 10% or 20% glucose injection)
163 was higher in the PCA group compared with the control group (adjusted OR 1.18, 95% CI
164 1.12–1.24, $P < 0.001$, Table 2). In these analyses, male gender and age were associated with
165 the risk of hypoglycemia (Table 2). While older age was related to a higher incidence of
166 hypoglycemia, younger children, and especially infants, were more susceptible to the effect
167 of PCAs on hypoglycemia (Table 3). The risk of hypoglycemia was also significantly
168 increased with use of PCAs for ≤ 7 days, and this effect tended to increase for a longer period
169 of drug supply (Table 3).

170 For sensitivity analysis, we redefined hypoglycemia as an event with a simultaneous ICD

171 code and prescription of 10% or 20% glucose injection. The results of multivariate logistic
172 regression analysis showed that the incidence of hypoglycemia with this definition was still
173 higher in the PCA group (adjusted OR 1.29, 95% CI 1.11–1.49, $P < 0.001$, Table 4).

174 Patients with gastroenteritis and dehydration are often treated with glucose-containing
175 fluids, and these events might have been included as antibiotics-induced hypoglycemia.
176 Moreover, the hypoglycemia was caused by other factors (Table 5). Therefore, we conducted
177 subgroup analyses in patients without dehydration, gastroenteritis, adrenal insufficiency,
178 hypopituitarism, or type 2 diabetes mellitus. The incidence of hypoglycemia was still higher
179 in the PCA group compared with the control group in all of these subgroup analyses (Table
180 6).

181

182 **Discussion**

183 This retrospective population-based study using the JMDC claims database showed that
184 prescription of PCAs increased the incidence of hypoglycemia, defined as a prescription of
185 10% or 20% glucose injection or based on ICD10 codes, compared with other oral beta-
186 lactam antibiotics in young children. These results were reproduced in subgroup and
187 sensitivity analyses. In addition, we found that prescription patterns of PCAs and other
188 antibiotics has not changed despite the warnings of the PMDA and JPS concerning PCA-
189 induced hypoglycemia since April 2012.

190 Several reports have shown that long exposure to pivalic acid can induce hypoglycemia
191 following hypocarnitinemia (11, 12, 15), but in the current study we found that even use of
192 PCAs for ≤ 7 days significantly increased the incidence of hypoglycemia (Table 3). Previous
193 studies found that short term administration of pivampicillin and pivmecillinam resulted in a
194 reduction of the mean serum creatine concentration to 15% of the pretreatment value in seven
195 girls over a long period (16), and 7-day use of pivmecillinam reduced the serum carnitine by

196 about 30% (17). This suggests that PCA-induced hypoglycemia can occur in short-term use.

197 Febrile illnesses are common in young children and the cause of fever is viral infections in
198 many cases. Therefore, use of antibiotics is usually unnecessary (18), but antibiotics are often
199 used in practice. In the ambulatory setting, >50% of children diagnosed with ARTIs received
200 antibiotic prescriptions in the United States, while the estimated prevalence of ARTIs is
201 <30% (19). A recent study using an administrative claims database in Japan showed that
202 antimicrobial agents were prescribed for >60% of pre-school children in the cohort and that
203 most prescribed antimicrobial agents were third-generation cephalosporins (20). Our data
204 showed that >50% of prescribed beta-lactam antibiotics were PCAs, and this was consistent
205 across the study period (Fig. 1). Therefore, PCAs are among the most prescribed antibiotics
206 to young children in Japan, but these broad-spectrum antibiotics are probably unnecessary in
207 many cases (21). Given that the unnecessary antibiotics were mainly third-generation
208 cephalosporins, including PCAs, the risk of hypoglycemia induced by PCAs is not negligible,
209 even though the incidence of hypoglycemia differed slightly between the PCA and control
210 groups.

211 There are several limitations in this study. First, we did not consider the effects of
212 concomitant medicines on hypoglycemia, except for insulin derivatives, valproic acid and
213 levocarnitine. Indeed, hypoglycemia could also be induced by drugs such as quinolones,
214 cibenzoline, pentamidine, and beta blockers (5). However, because most young children do
215 not have morbidities treated with these drugs and most quinolones are contraindicated for
216 children in Japan, it is unlikely that these drugs were prescribed in our subjects. Moreover,
217 since it is unlikely that use of these drugs would affect the selection of antibiotics, the
218 proportion of patients taking these drugs was presumably similar in the two groups. Second,
219 the true incidence of hypoglycemia could not be determined because this study was
220 conducted using the JMDC claims database and hypoglycemia was detected by ICD10 codes

221 or prescription of 10% or 20% glucose injection, without using laboratory data for blood
222 sugar levels. Furthermore, the time relationship between PCA use and hypoglycemia was
223 unclear because we did not have the accurate dates for prescription of antibiotics and onset of
224 hypoglycemia. Moreover, we had limited information from the claims database to adjust for
225 differences in confounding factors between the groups, although subgroup analysis showed
226 that prescription of PCAs was also a risk for hypoglycemia in children without several
227 comorbidities. However, Japanese guidelines for pediatric ARTI recommend use of
228 amoxicillin and third-generation cephalosporins such as cefditoren pivoxil (7, 22), and the
229 selection of antibiotics is often dependent on physician preference in clinical practice.
230 Therefore, the choice of antibiotics was unlikely to have been influenced by comorbidities
231 that induce hypoglycemia. In fact, comorbidities of patients in the study were similar in each
232 group (Table 1). Therefore, our finding that prescription of PCAs was associated with
233 increased hypoglycemic events in young children is likely to be reliable. This is also
234 supported by results showing that infants with lower serum carnitine and those with long-
235 term antibiotic prescriptions were more susceptible to pivalate-induced hypoglycemia (Table
236 3).

237

238 **Conclusion**

239 This retrospective cohort study showed that prescription of PCAs in young children
240 increases the risk of hypoglycemia, compared with other antibiotics. Within the limitations of
241 the study, this finding suggests that use of PCAs for young children should be avoided, even
242 for a short time period.

243

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247 to declare.

248 The authors' contributions were as follows: conception and design of the study: Tatebe,
249 Mikami, and Hinotsu; collection and assembly of data: Tatebe and Hinotsu; analysis and
250 interpretation of data: Tatebe, Koyama, and Hinotsu; drafting of the article: Tatebe, Koyama,
251 Kitamura, and Hinotsu; critical revision of the article for important intellectual content:
252 Hinotsu, Kitamura, and Sendo; final approval of the article: All authors.

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317 oral amoxicillin for pediatric group A streptococcal pharyngotonsillitis. *J Infect*
318 *Chemother* 14:213–218.
- 319

320 Table 1. Characteristics of study patients in the Japan Medical Data Center claims database.

Characteristics	Control group	PCA group
	n = 134,412	n = 142,065
Number of visits ^a	417,267	454,153
Age		
Mean (SD)	3.1 (1.55)	3.21 (1.55)
Infant (%)	33,569 (8.0)	31,463 (6.9)
1–2 years old (%)	162,691 (39.0)	169,619 (37.3)
3–4 years old (%)	158,426 (38.0)	176,961 (39.0)
5 years old (%)	62,601 (15.0)	76,110 (16.8)
Gender		
Male (%)	70,404 (52.4)	74,606 (52.5)
Number of days of drug supply		
Mean (SD)	5.36 (3.9)	4.90 (2.3)
≤7 days (%)	358,172 (85.83)	409,182 (90.1)
8–14 days (%)	53,106 (12.73)	42,328 (9.32)
≥15 days (%)	6,009 (1.44)	2,643 (0.58)
Comorbidities		
Dehydration (%)	6,201 (1.49)	8,680 (1.91)
Gastroenteritis (%)	87,201 (20.9)	89,593 (19.73)
Adrenal insufficiency (%)	41 (0.01)	61 (0.013)
Hypopituitarism	194 (0.046)	253 (0.056)
Type 2 diabetes mellitus (%)	46 (0.011)	47 (0.01)

321 SD: standard deviation.

322 ^aSum of visits by month that study drugs were prescribed.

323

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324 Table 2. Results of multivariate analysis for risk factors associated with hypoglycemia.

Variable	Reference	OR (95% CI)	Adjusted OR (95% CI)	<i>P</i> value
Antibiotics				
PCAs	Control	1.19 (1.13–1.25)	1.18 (1.12–1.24)	<0.001
Gender				
Male	Female	1.14 (1.08–1.20)	1.14 (1.08–1.20)	<0.001
Age				
1–2 years old	Infant	1.00 (0.90–1.12)	1.00 (0.96–1.10)	0.938
3–4 years old	Infant	1.37 (1.23–1.53)	1.36 (1.22–1.52)	<0.001
5 years old	Infant	1.32 (1.18–1.49)	1.31 (1.17–1.48)	<0.001
Number of days drug supplied				
8–14 days	≤7 days	1.05 (0.97–1.13)	1.06 (0.98–1.15)	0.132
≥15 days	≤7 days	0.93 (0.71–1.20)	0.96 (0.75–1.28)	0.623

325 OR, odds ratio; CI, confidence interval; SD, standard deviation; PCAs, pivalate-conjugated
 326 antibiotics.

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328 Table 3. Effect of PCAs on the risk of hypoglycemia with stratification by age or number of
329 days of drug supply.

Variable	Adjusted OR (95% CI)	<i>P</i> value
Age		
0 years old	1.32 (1.08–1.63)	0.007
1–2 years old	1.20 (1.10–1.32)	<0.001
3–4 years old	1.15 (1.06–1.24)	<0.001
5 years old	1.16 (1.03–1.31)	0.017
Number of days drug supplied		
≤7 days	1.17 (1.11–1.24)	0.007
8–14 days	1.22 (1.05–1.41)	0.011
≥15 days	1.57 (0.90–2.69)	0.11

330 OR, odds ratio; CI, confidence interval; PCAs, pivalate-conjugated antibiotics.

331

332 Table 4. Results of multivariate analysis as sensitivity analysis for hypoglycemia defined as
333 prescription of 10 or 20% glucose injection and by ICD10 code.

Variable	Reference	Adjusted OR (95% CI)	<i>P</i> value
Antibiotics			
PCAs	Control	1.29 (1.11–1.49)	<0.001
Gender			
Male	Female	1.26 (1.09–1.46)	0.002
Age			
1–2 years old	Infant	1.35 (0.96–1.96)	0.085
3–4 years old	Infant	1.91 (1.37–2.76)	<0.001
5 years old	Infant	1.72 (1.20–2.53)	0.003
Number of days drug supplied			
8–14 days	≤7 days	1.14 (0.91–1.41)	0.258
≥15 days	≤7 days	1.18 (0.54–2.22)	0.645

334 OR, odds ratio; CI, confidence interval; PCAs, pivalate-conjugated antibiotics

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336 Table 5. Influence of comorbidities on the risk of hypoglycemia.

Variable	OR (95% CI)	<i>P</i> value
Dehydration	33.6 (31.8–35.6)	<0.001
Gastroenteritis	3.13 (2.97–3.30)	<0.001
Adrenal insufficiency	4.40 (1.40–13.9)	0.033
Hypopituitarism	2.65 (1.32–5.33)	0.013
Type 2 diabetes mellitus	4.84 (1.53–15.3)	0.026

337 OR, odds ratio; CI, confidence interval.

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338 Table 6. Risk of hypoglycemia induced by PCAs in subgroups of patients without
339 comorbidities.

Subgroup	Adjusted OR (95% CI)	<i>P</i> value
Dehydration		
No	1.13 (1.06–1.20)	<0.001
Gastroenteritis		
No	1.13 (1.05–1.21)	<0.001
Adrenal insufficiency		
No	1.18 (1.12–1.24)	<0.001
Hypopituitarism		
No	1.18 (1.12–1.24)	<0.001
Type 2 diabetes mellitus		
No	1.18 (1.12–1.24)	<0.001

340 OR, odds ratio; CI, confidence interval; PCAs, pivalate-conjugated antibiotics.

341

342 **Figure Legend**

343 Figure 1. Prescription pattern of pivalate-conjugated antibiotics (PCAs) or control antibiotics
344 in the study period. Black and grey lines represent the number of visits at which PCAs and
345 control antibiotics were prescribed, respectively.

