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1 Highly accelerated rates of heritable large-scale mutations under prolonged

2 exposure to a metal mixture of copper and nickel

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- 24 **Running Title:** Metals increase rate of deletions and duplications
- 25
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- 28 Daphnia
- 29

30 Abstract

31 Mutation rate variation has been under intense investigation for decades. Despite 32 these efforts, little is known about the extent to which environmental stressors 33 accelerate mutation rates and influence the genetic load of populations. Moreover, most 34 studies have focused on point mutations rather than large-scale deletions and 35 duplications (copy number variations or "CNVs"). We estimated mutation rates in 36 Daphnia pulex exposed to low levels of environmental stressors as well as the effect of 37 selection on *de novo* mutations. We conducted a mutation accumulation (MA) 38 experiment in which selection was minimized, coupled with an experiment in which a 39 population was propagated under competitive conditions in a benign environment. After 40 an average of 103 generations of MA propagation, we sequenced 60 genomes and 41 found significantly accelerated rates of deletions and duplications in MA lines exposed 42 to ecologically relevant concentrations of metals. Whereas control lines had gene deletion and duplication rates comparable to other multicellular eukaryotes (1.8×10^{-6}) 43 44 per gene per generation), a mixture of nickel and copper increased rates fourfold. The 45 realized mutation rate under selection was reduced to 0.4x that of control MA lines, 46 providing evidence that CNVs contribute to mutational load. Our CNV breakpoint 47 analysis revealed that nonhomologous recombination associated with regions of DNA 48 fragility is the primary source of CNVs, plausibly linking metal-induced DNA strand 49 breaks with higher CNV rates. Our findings suggest that environmental stress, in particular multiple stressors, can have profound effects on large-scale mutation rates 50 51 and mutational load of populations.

52

53 Introduction

Germ-line mutations provide the raw material for evolutionary change, but also the genetic variation associated with heritable diseases. Because spontaneous mutations are more often harmful or neutral than beneficial, the accumulation of mutations in the genome has important fitness consequences (Baer et al. 2007; Lynch 2010). The frequency at which mutations are generated, as well as the environmental triggers and selective forces influencing mutation rates are therefore fundamental to biology. Accurately measuring the mutation rate, however, poses a considerable

61 challenge due to the infrequent nature of mutations and the action of natural selection. 62 which eliminates many deleterious mutations to bias the sample of observed mutations. 63 Mutation accumulation (MA) experiments have been particularly effective for directly 64 measuring mutation rates because repeated bottlenecks reduce the effect of selection, 65 allowing all but the most deleterious mutations to accrue over multiple generations (Halligan and Keightley 2009). The comparison of MA experiments with a population 66 67 experiencing selection can then be used to infer the fitness consequences of new 68 mutations and their contribution to mutational load, ideally by using large populations 69 started with organisms of the same genetic background to eliminate the impact of 70 genotype on mutation rates (Baer et al. 2005; Ness et al. 2015). Studies that conduct 71 whole genome sequencing of MA lines have begun to evaluate the extent to which 72 mutation rates vary across taxa and within species (Schrider et al. 2013; Ness et al. 2015), 73 but few have compared these rates with a population under selection, let alone using 74 the same genetic lineage for this comparison (but see (Flynn et al. 2017)). Furthermore, 75 empirical evidence on the factors underlying mutation rate variation is limited relative to 76 our theoretical understanding (Baer et al. 2007), including the contribution of different 77 environmental conditions, and the long term effects of highly mutagenic environments 78 (Lynch 2016).

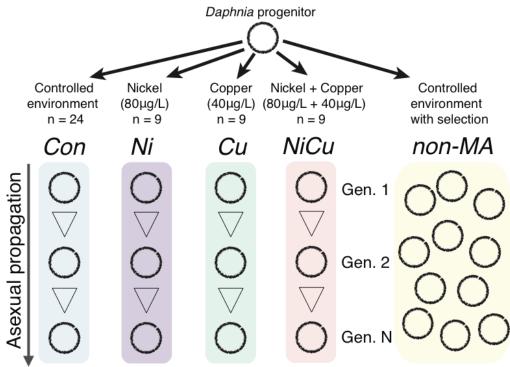
79 The rate of mutations depends on a combination of factors including the amount 80 of DNA damage and the efficacy of the DNA repair machinery, which can both vary 81 under different genetic conditions and environments (Baer et al. 2007; Sharp and Agrawal 82 2016). DNA damage can be repaired using a multitude of alternative DNA damage 83 response pathways, some of which are more error-prone than others. For example, the 84 two main competing pathways for repairing DNA double strand breaks are homologous 85 recombination (HR) that uses a copy from a homologous template, and a more error-86 prone nonhomologous recombination (NHR) process called nonhomologous end-joining 87 (NHEJ) that ligates the ends of broken DNA (Ciccia and Elledge 2010; Lam et al. 2010; 88 Carvalho and Lupski 2016). Errors occurring during DNA repair not only contribute to point 89 mutations but are also important sources of copy-number variations (CNVs) – large 90 deletions, duplications and insertions – which can encompass genes and have relevant 91 consequences in cancer and human genetic diseases (Helleday et al. 2014; Sudmant et al.

2015; Carvalho and Lupski 2016). A high propensity for DNA damage or for error-prone
repair pathways is therefore likely to elevate mutation rates. Whether these factors are
influenced by environmental stressors such as metals to result in higher mutation rates
remains largely unknown.

96 It is established that various exogenous and endogenous stresses induce both 97 DNA breaks and somatic mutations. However, experimental fitness assays have 98 provided indirect and contradictory findings concerning the effects of stress on the 99 accumulation of germ-line mutations (e.g. (Goho and Bell 2000; Joyner-Matos et al. 2011)). 100 Moreover, very few genetic studies have directly investigated the heritable 101 consequences of environmental stresses on the rate of mutations across generations, 102 particularly among multicellular organisms. For example, genetic screens of tandem 103 repeats in either eukaryotic germ-lines or in parents and their offspring have revealed 104 elevated mutation rates upon exposure to air pollution, tobacco smoke, and metals 105 (Somers et al. 2002; Rogstad et al. 2003; Marchetti et al. 2011). Similarly, higher frequencies 106 of CNVs and indels were reported in offspring after parent irradiation (Adewoye et al. 107 2015). Even scarcer are genomic approaches that utilize MA experiments to assess the variation in mutation rates across environments after multiple generations. MA 108 109 experiments have revealed that a stressful high temperature increased the rate of short 110 tandem repeats in Caenorhabditis elegans measured after 100 generations (Matsuba et 111 al. 2013), and that Arabidopsis thaliana grown under salinity stress accumulated about 112 twice as many short insertions and deletions (INDELs) than control lines after only 10 113 generations (Jiang et al. 2014). However, only one of these past studies surveyed CNVs, 114 which have distinct mutational mechanisms (Lam et al. 2010) that could be more readily 115 induced by stress. It remains unclear whether CNV rates over multiple generations differ 116 across environments and whether they contribute to mutational load.

In this study, we directly estimate genome-wide mutation rates including point mutations, INDELs, and large-scale duplications and deletions under metal stressors in *Daphnia*. This is the first study to estimate large-scale mutation rates under different environmental conditions and under contrasting selection regimes using a single genetic background. Our approach combines two long-term experiments seeded with the same ancestral *Daphnia* lineage: one MA experiment in which selection was minimized and

- 123 one non-MA population under selection maintained for the entire duration of the MA
- 124 experiment. This unique design allowed us to directly infer the selective effects on
- 125 mutations. Additionally, we perform a sequence analysis at mutational breakpoints to
- 126 inform on the potential source of large-scale mutations and the causes of rate variation
- 127 across environmental conditions.
- 128
- 129 Results
- 130 Mutation accumulation after 100 generations
- 131 We sequenced 60 *Daphnia pulex* genomes including 9 MA lines exposed to
- 132 copper (Cu), 9 MA lines exposed to nickel (Ni), 9 MA lines exposed to a mixture of
- 133 nickel and copper (*NiCu*), 24 MA lines maintained in controlled benign conditions (*Con*),
- and 9 non-MA isolates randomly chosen from a population evolving under selection in
- benign conditions for the same duration as the MA experiment (Figure 1).

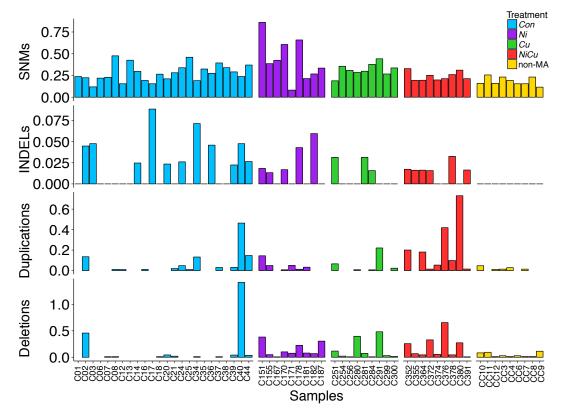


MA lines with bottlenecks of N = 1 at every generation

Figure 1. Experimental design. An obligate parthenogenetic *Daphnia pulex* progenitor was used to seed both a mutation accumulation (MA) experiment propagated in four different environments for an average of 103 generations as well as a non-MA population with selection and competition.

136 The consensus genotype of all MA lines was used to infer the genotype of their

- 137 common ancestor and the mutations accumulated in each sample. Mutation filtering
- 138 was calibrated to reduce false positives based on the validation of randomly selected
- 139 variant calls using PCR and Sanger sequencing (see Methods). After filtering, we
- 140 detected a total of 916 *de novo* single nucleotide mutations and small (1-50 bp) INDELs,
- as well as 776 deletions and 406 duplications larger than 500bp (Figure 2;
- 142 Supplemental Tables S1 and S2). Duplications typically doubled the locus copy number,
- 143 whereas deletions typically had half the number of reads (Supplemental Figure S1).
- 144 Genomes with more deletions tended to have more duplications (Pearson's R = 0.57, p
- 145 < 0.001), but the number and total length of CNVs per genome were not associated with
- overall depth of coverage ($R^2 = 0.03$, p = 0.09 and $R^2 = 0.02$, p = 0.12, respectively).
- 147 Further, increasing the coverage of two randomly selected MA lines (C01 and C35) did



148 not affect the detection of CNVs.

Figure 2. Number of mutations per 100 Mbp per generation detected in each genome. Mutations include single nucleotide mutations (SNMs), small (<50 bp) insertions and deletions (INDELs), and large-scale (>500 bp) duplications and deletions. The number of generations used for non-MA isolates was inferred from a life history experiment.

149 A total of 243 deletions and 130 duplications overlapped single-copy genes. 150 giving rise to a total of 300 "gene CNVs", including 180 gene deletions and 139 gene 151 duplications. In addition, there were 177 "partial gene CNVs" that included 136 partially 152 deleted genes and 50 partially duplicated genes (Figure 3; Supplemental Table S3). 153 Many multi-copy genes were found among CNVs, but these were excluded from our 154 gene CNV analysis to limit biases from reads mapping to multiple genomic positions 155 due to the highly duplicated nature of the reference genome (Colbourne et al. 2011; Keith 156 et al. 2016).

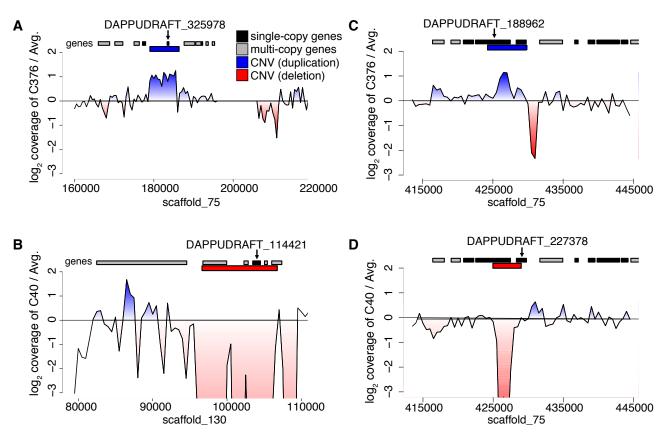


Figure 3. Relative read depth at four CNV loci. Read depth (log₂ coverage) between genomes with a large-scale mutation (genomic deletions and duplications) and the average of all other MA lines is shown, where a ratio above zero (blue) indicates the focal genome has more coverage than average, and a ratio below zero (red) indicates the focal genome has less coverage. (A) Duplication in the *NiCu* line C376 overlapping an uncharacterized single-copy gene (DAPPUDRAFT_325978). (B) Deletion in *Con* line C40 overlapping an uncharacterized single-copy gene (DAPPUDRAFT_114421) and several multiple-copy genes. (C) A duplication in C376 and (D) a deletion in C40 that lead to a partial gene CNV in the *mre11* gene (DAPPUDRAFT_188962) and a neighboring uncharacterized gene (DAPPUDRAFT_227378).

158 Metal stress can increase large-scale mutation rates

A subset of MA lines in our experiment was exposed to metals (copper and nickel) that are prominent environmental stressors in aquatic habitats (Yan et al. 2016). Variation in the mutation rate can arise if cellular stressors due to metals perturb DNA replication, increase DNA damage, or alter DNA repair (Baer et al. 2007). The rates of single nucleotide mutations and INDELs as well as transition/transversion ratios were similar between *Con* lines and the average of all metal-exposed lines (Figure 4).

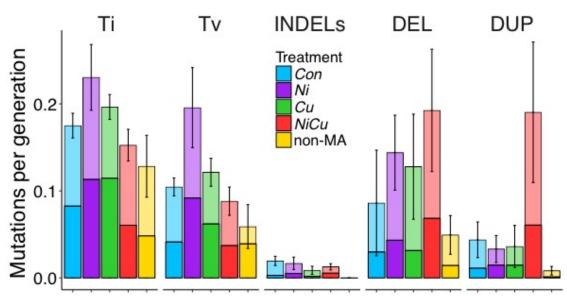


Figure 4. Mean number of mutations per 100 Mbp per generation across treatments and experiments. Stacked bars indicate whether the mutations overlap genes (solid / bottom) or not (transparent / top). Mutations include transitions (Ti), transversions (Tv), small (<50 bp) insertions and deletions (INDELs), and large-scale (>500 bp) deletions (DEL) and duplications (DUP). Standard error bars for each treatment are shown. The number of generations used for non-MA isolates was inferred from a life history experiment.

166 The highest rate of single nucleotide mutations was observed in lines exposed to

- 167 nickel, but this was not significantly higher compared to Con lines. In contrast, two of the
- 168 metal-exposed lines (*Ni* and *NiCu*) had significantly greater CNV rates than *Con* lines
- after Bonferroni correction; the average and standard error (SEM) of CNVs per genome
- per generation was 0.15 (SEM 0.09) for *Con* lines, whereas *Ni* lines had 1.4x higher
- 171 rates with an average of 0.20 (SEM 0.06) per genome per generation (Mann-Whitney p
- = 0.007), and *NiCu* lines experienced over 3.0x higher rates with an average rate of

- 173 0.43 (SEM 0.16; Mann-Whitney p = 0.002). *Cu* lines had an average of 0.19 CNVs per
- genome per generation, but this was not significantly higher than *Con* lines (Mann-
- 175 Whitney p = 0.069). While deletion rates were significantly greater in each of the metal-
- 176 exposed lines compared to *Con* lines after Bonferroni correction (all with Mann-Whitney
- 177 p < 0.01), only the metal mixture *NiCu* had significantly higher duplication rates (Mann-
- 178 Whitney p < 0.01). Controlling for the number of sites analyzed, the overall rates of
- 179 CNVs per called site per generation were 6.5 (SEM 4.1) × 10^{-10} for *Con*, 8.9 (SEM 2.8)
- 180 × 10^{-10} for *Ni*, 8.2 (SEM 4.2) × 10^{-10} for *Cu*, and 19.1 (SEM 0.7) × 10^{-10} for *NiCu*. The
- 181 elevated CNV rates observed in metal lines remained after accounting for sample size
- 182 differences across treatments using random permutations (Supplemental Figure S2).
- 183 CNV rates were not correlated with generation time (Pearson's R < 0.001, p = 0.99).
- 184
- 185 Extensive levels of gene deletions and duplications
- 186 To investigate whether the effect of metal stress also extends to functional
- regions of the genome, we evaluated the impact of CNVs on single-copy genes. As with
- 188 overall CNV rates, the rate of gene deletions and gene duplications (per gene per
- 189 generation) varied across samples and treatments (Table 1; Supplemental Table S1).
- 190

Table 1: Mean CNV, deletion (DEL) and duplication (DUP) rates ± standard errors for the whole genome and for single-copy genes per generation are shown for each treatment. Rates include all genome-wide CNVs per generation, all gene CNVs including partially deleted and duplicated genes, and complete gene CNVs excluding partially duplicated and deleted genes. Rates for the non-MA isolates were calculated using conservative estimates of generations derived from a life history experiment.

	Genome-wide (x10 ⁻¹)			Genes (x10 ⁻⁶)			Complete Genes (x10 ⁻⁶)		
	CNVs	DEL	DUP	CNVs	DEL	DUP	CNVs	DEL	DUP
Con	1.5±0.9	1.0±0.7	0.5±0.2	3.1±1.7	2.1±1.4	0.9±0.4	1.8±1.0	1.0±0.7	0.8±0.3
Ni	2.0±0.6	1.6±0.5	0.4±0.2	5.9±2.8	4.0±2.1	1.8±1.4	3.9±2.0	2.5±1.5	1.5±1.1
Cu	1.9±1.0	1.4±0.7	0.4±0.3	3.8±1.9	2.7±1.3	1.1±0.7	2.5±1.3	1.9±1.0	0.6±0.3
NiCu	4.3±1.6	2.2±0.8	2.2±1.0	12.4±3.6	6.4±2.4	6.0±2.6	7.8±2.5	3.3±1.4	4.5±1.9
non-MA	0.6±0.2	0.4±0.2	0.1±0.1	1.4±1.2	1.1±1.3	0.3±0.2	1.3±1.3	1.1±1.3	0.1±0.2

192 The mean deletion and duplication rates overlapping genes (both partially and completely) in *Con* lines were 2.1 (SEM 1.4) × 10^{-6} and 0.9 (SEM 0.4) × 10^{-6} , 193 respectively. The combined rate amounts to 3.1 (SEM 1.7) \times 10⁻⁶ CNVs per gene per 194 generation. Ni lines had higher gene deletion (4.0 (SEM 2.1) \times 10⁻⁶) and gene 195 duplication (1.8 (SEM 1.4) \times 10⁻⁶) rates, but these were not significant after Bonferonni 196 197 correction. Similar results were found for Cu lines with a gene deletion rate of 2.7 (SEM 1.3) × 10⁻⁶ and a gene duplication rate of 1.1 (SEM 0.7) × 10⁻⁶. In contrast, *NiCu* lines 198 had gene CNV rates four times higher than Con lines at 12.4×10^{-6} (Mann-Whitnev p < 199 0.001), with a gene deletion rate almost threefold higher at 6.4 (SEM 2.4) \times 10⁻⁶ and a 200 gene duplication rate more than sixfold higher at 6.0 (SEM 2.6) × 10⁻⁶. Even after taking 201 202 the average of only the nine *Con* lines with the highest CNV rates, *NiCu* lines still had a 203 higher mean.

204 CNVs do not always encompass entire gene sequences, giving rise to partial 205 gene deletions and duplications as well as complete gene CNVs. After separating these 206 two categories, we found that rates of partial gene CNVs were generally within one 207 order of magnitude from the rates of complete gene CNVs (Supplemental Table S1), 208 similar to what has been found in *D. melanogaster* and *C. elegans* (Lipinski et al. 2011; 209 Schrider et al. 2013). Overall, partial gene CNVs exhibited the same general patterns as 210 complete gene CNVs, with significantly higher rates in NiCu lines (Mann-Whitney p < 211 0.001; Supplemental Figure S3). When only considering complete gene CNVs, Con lines had an average rate of 1.8 (SEM 1.0) \times 10⁻⁶. This was not statistically different 212 compared to both Ni lines at 3.9 (SEM 2.0) × 10^{-6} and Cu lines at 2.5 (SEM 1.3) × 10^{-6} . 213 In contrast, the NiCu lines had rates 3 times higher than Con lines $(7.8 \times 10^{-6}; Mann-$ 214 215 Whitney p = 0.023). These results indicate that chronic exposure to low levels of a metal 216 mixture can substantially increase the rate at which large-scale heritable mutations arise 217 in genomes and affect genes.

218

219 CNV breakpoint analysis suggests a preponderance of error-prone double strand break220 repair

221 Whole genome sequencing enables nucleotide-resolution breakpoint analysis, 222 which uses sequence information surrounding the start and end of CNVs to infer the

223 mechanism of mutation formation such as nonallelic homologous recombination 224 (NAHR) and nonhomologous recombination (NHR) (Lam et al. 2010). Using this 225 approach, we found that almost every deletion (96%) was associated with the CNV 226 formation mechanism of NHR (Supplemental Table S2). NHR consists of error-prone 227 pathways of DNA break repair such as nonhomologous end-joining (NHEJ), and its 228 predominance in *Daphnia* is more pronounced than what has been found in humans 229 (Lam et al. 2010), but similar to findings in Drosophila (Cardoso-Moreira et al. 2012; Zichner 230 et al. 2013). Almost half (49%) of the NHR events displayed short regions of DNA 231 sequence homology (microhomology stretches), which is more frequent than expected 232 based on random permutations (p = 0.002) and is a characteristic feature of NHR (Lam 233 et al. 2010). We found that NHR events tended to have high DNA flexibility, with 234 significantly lower helix stability (Mann-Whitney p = 0.018) and lower GC content 235 (Mann-Whitney p = 0.016) compared to other formation mechanisms (Supplemental 236 Figure S4). This is in line with previous findings in humans, suggesting that NHR 237 mechanisms such as NHEJ are often associated with fragile genomic regions 238 susceptible to double strand breaks (Lam et al. 2010). Most CNVs overlapping in multiple 239 MA lines have different breakpoints (50-83%) suggesting independent recurrent CNVs 240 that could represent deletion hotspots such as those previously reported in Daphnia (Xu 241 et al. 2011). We found no significant differences in CNV formation mechanisms between 242 *Con* lines and any of the metal treatments. Interestingly though, the two MA lines with 243 the highest rates of CNVs (Con-C40 and NiCu-C376) had a CNV overlapping the mre11 244 gene (Figure 3; Supplemental Table S3), a key player in DNA damage response and 245 double strand break repair. The expression level of this gene has important 246 consequences on the choice of DNA repair pathway and its efficiency (Rass et al. 2009). 247 An unbalanced copy number of *mre11* could alter expression of the gene and reduce 248 DNA repair fidelity, thereby increasing genomic CNV rates over time. We do not know, 249 however, when the mutations to *mre11* occurred during the experiment. 250

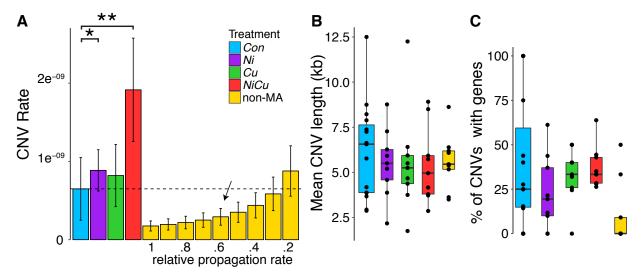
251 Selection against CNVs in non-MA isolates

The elevated mutation rates detected among MA lines exposed to a mixture of metals reflect heritable mutations that arise in nearly selection-free conditions, whereas

254 purifying selection is expected to purge many new deleterious mutations. To evaluate 255 the influence of selection on the rates and spectra of mutations in our experiment, we 256 compared the MA lines with isolates from the population propagated under selection 257 and seeded from the same original progenitor lineage. Given that Con MA lines and the 258 non-MA population were propagated under identical environmental conditions, the 259 underlying rate of mutation was expected to be the same, while the amount of mutations 260 accumulated would differ due to selection. Over the same period of time as the MA 261 experiment, the non-MA isolates accumulated 60% fewer mutations than Con lines, 262 both in terms of small-scale mutations and CNVs (Supplemental Table S1). In contrast 263 to MA lines that have each acquired mutations independently, there were three single-264 nucleotide mutations and two deletions detected in multiple non-MA isolates shared by 265 descent. However, no mutations (point mutations or large-scale mutations) were shared 266 in all isolates, suggesting that their last common ancestor is the original progenitor from 267 the start of our experiment (Supplemental Figure S5). Accounting for the shared 268 mutations among lineages and their genealogy, the average number of accumulated 269 single-nucleotide mutations and CNVs in the non-MA population was three times lower 270 than the average of MA lines. To achieve the same rate of CNVs as in Con MA lines, 271 the non-MA isolates would need to have still been at generation 26 by the time Con 272 lines had already reached generation 100 (Figure 5A). This very low propagation rate is 273 however highly unlikely given that we found no difference between non-MA isolates and 274 Con MA lines in either lifespan (mean of 46 days versus 43 days; t(14.6) = 1.69, p = 275 0.11) or age of first reproduction (mean of 11.5 days versus 11.5 days; t(14.7) = 0.18, p 276 = 0.86). Based on mean lifespan and age of first reproduction measured in life history 277 experiments, we calculated that the average non-MA isolate would have reached 278 between 62 and 75 generations, with the slowest lineage at generation 30 279 (Supplemental Methods). Late reproduction was assigned more weight in calculating 280 generation time, which would underestimate the number of generations if selection 281 favored faster reproduction in the population. Nevertheless, an estimate of 62 282 generations still gives a mean realized rate of CNVs at least twice as low as MA lines 283 (Table 1). Selection removing spontaneous CNVs and single nucleotide mutations is 284 likely responsible for the lower incidence observed among non-MA isolates, as well as

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the lower variance observed across independent non-MA lineages compared to MA



lines (Supplemental Table S1).

Figure 5. CNV rates and lengths, with and without selection. (A) Mean CNV rates (per nucleotide per generation) and standard errors among MA treatment groups showing significant differences among treatments (* for p < 0.01, ** for p < 0.005). A comparison with non-MA isolates given various relative propagation rates compared to MA lines is shown, with a dotted line indicating the relative propagation rate in non-MA genomes to reach the same mutation rates as in control MA lines. We estimated the relative propagation rate based on a life history experiment (indicated with an arrow). (B) Boxplot of CNV length distributions across treatments. (C) The percentage length of CNV regions that overlap genes, in which only a single non-MA isolate has genes deleted, and two more isolates had a gene duplication.

287 Given the uncertainty of the exact generation numbers in the non-MA population, 288 characteristics of CNVs intersecting functional regions were also used to evaluate whether isolates experienced selection. We found that the proportion of CNVs that 289 290 overlap single-copy genes is at least three times lower in non-MA compared to MA 291 genomes, whereas the mean length of CNVs was not different (p > 0.05; Figures 5B 292 and 5C). Furthermore, all but two gene CNVs were found in a single non-MA isolate 293 (CC9) within a 100kb region deleting 7 single-copy genes (Supplemental Figure S6). 294 Finally, there was a single partial CNV gene among non-MA genomes, while MA lines 295 had rates of partial gene CNVs comparable to rates of complete gene CNVs 296 (Supplemental Table 1; Supplemental Figure S3). These findings reveal a very low 297 realized mutation rate affecting genes and the apparent efficiency of selection under

constant benign conditions, suggesting that the elevated mutation rates induced bystress increase mutation burden.

300

301 Discussion

302 Comparable rates of gene deletion and duplication across organisms in benign

303 conditions

304 The rates of gene deletion and duplication that we calculated using 24 MA lines of *Daphnia pulex* (3.1×10^{-6} , or 1.8×10^{-6} for complete gene CNVs) are within an order 305 of magnitude of those calculated using 8 MA lines of S. cerevisiae (5.5×10^{-6}) (Lynch et 306 al. 2008), 10 MA lines of C. elegans (3.4×10^{-7}) (Lipinski et al. 2011) and 8 MA lines of D. 307 *melanogaster* (1.1×10^{-6}) (Schrider et al. 2013). Our rate is however much lower than a 308 309 recent estimate using a similar approach and the same CNV detection software in 7 total MA lines derived from two different genetic backgrounds of D. pulex (5.4 \times 10⁻⁵) 310 311 (Keith et al. 2016). This difference could be partially due to the different sample sizes 312 analyzed since we found a negative association between the number of CNVs detected 313 and the number of genomes included in the analysis (Supplemental Figure S7). Using 314 permutations to randomly sample the same number of genomes used in Keith et al. (2016), we reached a similar rate of 1.2×10^{-5} gene CNVs for single-copy genes among 315 316 Con lines. However, our PCR validations confirmed the presence of false positives 317 when analysis was performed on only a subset of our samples rather than our full 318 dataset (Supplemental Table S4). The lower mutation rate estimate based on the full 319 dataset is more similar to estimates from other model organisms and appears to be less 320 susceptible to false positives in our data, although Keith et al. (2016) also had high 321 validation rates for their dataset. Another difference between the two studies that could 322 contribute to the different rates is the depth of coverage, although we found that CNV 323 detection was not correlated with sequencing depth and that average CNV rates were 324 unaffected after doubling the coverage of two randomly chosen MA lines. Importantly 325 though, our study focuses on the relative mutation rates among treatments of a single 326 genetic background, and the rate of CNVs under a metal mixture (*NiCu*) remains higher 327 than *Con* lines regardless of the number of samples included in our analysis (either 5, 328 10, 20, 30 or 40 samples).

329

330 Effects of multiple stressors on mutation rates

331 Multiple stressors such as metal mixtures can have different biological impacts 332 than individual stressors, due to complex interactions (Altshuler et al. 2011; Langie et al. 333 2015). Here we show that the combined mixture of nickel and copper led to the highest 334 CNV mutation rate in our experiment compared to controls, tripling rates of CNVs and 335 quadrupling rates of gene CNVs, whereas Cu and Ni alone had a moderate to no 336 measurable effects. This outcome is not necessarily due to the particular mixture per se; 337 the *NiCu* treatment had an overall greater concentration of metals than either of the 338 single metals alone, possibly exceeding a critical dose-response threshold. However, 339 the similar rates of small-scale mutations across treatments suggests that DNA 340 replication error rates and/or base and excision repair pathways were comparatively 341 unaffected by metal stress, at least in the germ-line. This is perhaps surprising given 342 that cellular stress can induce somatic point mutations and metals can impair excision 343 repair pathways (Langie et al. 2015). In plants, both small-scale and large-scale 344 mutations show higher rates under environmental stress, but different stressors have 345 different effects: whereas high levels of salinity doubles the heritable rate of short indels 346 and transversions (Jiang et al. 2014), various other abiotic stresses preferentially affect 347 homologous recombination frequency compared to point mutations and microsatellite 348 instability both in somatic cells and through transgenerational changes (Yao and 349 Kovalchuk 2011), plausibly contributing to stress-induced CNVs (DeBolt 2010). Despite 350 the conserved DNA repair and recombination pathways across taxa as diverged as 351 plants and humans, species-specific duplications or deletions of genes in these 352 pathways could contribute to differences in the repair mechanisms employed in 353 response to DNA damage (Singh et al. 2010).

354

355 Genetic mechanisms underlying CNVs

Given the particularly high proportion of CNVs associated with NHR across all treatments, we hypothesize that the elevated CNV rate under exposure to a metal mixture is caused by an increase in double strand breaks in the germ-line, leading to greater opportunities for recombination and DNA repair errors producing CNVs.

360 Environmental stressors have previously been linked to increases in germ-line DNA 361 strand breaks that are potentially caused by an increase in reactive oxygen species due 362 to stress (Yauk et al. 2008). Additionally, metals can increase the incidence of sequence 363 insertions at repaired double strand break sites by nonhomologous end-joining (NHEJ), 364 proposed to be caused by interference with enzymatic processes of the proteins 365 involved in NHEJ (Morales et al. 2016). This combination of an increase in both strand 366 breaks and DNA repair errors could explain the higher rates of CNVs when exposed to 367 a mixture of Ni and Cu. Although homologous recombination (HR) also leads to repair 368 errors (Rodgers and McVey 2016), organisms that primarily repair DNA via more error-369 prone mechanisms might be predisposed to greater CNV rate variation and amplified 370 effects when faced with environmental stressors. We cannot rule out the possibility that 371 nonallelic homologous recombination (NAHR) also contributes to differences in mutation 372 rates because our study focused on genomic regions with single-copy genes, likely 373 underestimating the full impact of NAHR, which is an important source of recurrent 374 CNVs occurring in segmental duplications (Gu et al. 2008).

375 An alternative explanation for an increase in mutation rates is that environmental 376 stressors alter DNA repair fidelity. For example, different metals and exposure doses 377 have been shown to differentially modulate the way cells repair double strand breaks, 378 alternating between HR and the more error-prone NHEJ, two competing repair 379 pathways (Morales et al. 2016). Stressful conditions in general can cause a shift to error-380 prone double strand break repair (Ponder et al. 2005), and lower physiological condition 381 has been shown to lead to more mutations via changes in DNA repair pathways with 382 different fidelity (Wang and Agrawal 2012; Sharp and Agrawal 2016). Contrary to these 383 previous findings, we did not observe differences in the mechanism of CNV formation 384 among treatments, potentially because CNVs under benign conditions are already 385 associated with error-prone pathways. Instead, our results suggest that a mixture of 386 metals induces more frequent germ-line DNA strand breakage in *Daphnia*. This finding 387 has important evolutionary consequences particularly in taxa that propagate asexually 388 (either cyclically or obligately) like Daphnia. Previous studies conducted on Daphnia 389 propagated asexually under benign conditions documented high rates of loss of 390 heterozygosity (due to gene conversion, deletion, and ameiotic recombination) that can

391 contribute to decreasing overall fitness (Omilian et al. 2006; Xu et al. 2011; Keith et al. 2016;

392 Flynn et al. 2017). Studying mutation rates in other organisms with different underlying

393 genome architecture, propensity for mechanisms of DNA repair, and general levels of

394 DNA repair fidelity would further illuminate the extent to which these genomic attributes

- 395 either promote or dampen the mutagenic effects of metals in germ-lines.
- 396

397 Conclusions

398 Despite the increasing evidence for the considerable contribution of CNVs to 399 genome evolution and genetic diseases, few eukaryotic studies have estimated the 400 underlying rate of large-scale duplications and deletions. Our study reports for the first 401 time to our knowledge the variation in genome-wide rates of CNVs under different 402 environmental conditions, as well as under different selection regimes using the same 403 genetic background. Our results illustrate that exposure to low but ecologically relevant 404 levels of metal mixtures can accelerate rates of large-scale deletions and duplications. 405 likely increasing mutational load and triggering deleterious phenotypic effects. 406 Sequence analyses at CNV breakpoints suggest that low levels of metals induce germ-407 line DNA strand breakage rather than modify DNA repair pathways used for resolving 408 double strand breaks. Chronic exposure to low environmental stress might therefore 409 have profound consequences on the frequency and type of variation generated in the 410 genome, including duplicated and deleted genes, ultimately influencing the mutation 411 burden and evolutionary trajectory of natural populations.

412

413 Methods

414 Daphnia mutation accumulation experiment

To assess mutation rate variation under different environmental conditions, we conducted a mutation accumulation (MA) experiment using a total of 51 independent lines of *Daphnia pulex* over an average of 103 generations (Figure 1). Twenty-four replicate MA lines were propagated in benign soft-water media as described in Flynn *et al.* (2017), herein labeled as MA controls (*Con*). In addition, nine nickel-exposed MA lines (*Ni*) were maintained in 80 μ g/L of nickel, nine copper-exposed MA lines (*Cu*) were maintained in 40 μ g/L of copper, and nine MA lines were maintained in a mixture of

422 nickel and copper (NiCu; 80 µg/L of nickel + 40 µg/L of copper). These sub-lethal 423 concentrations of metals did not elicit a measurable differences in mortality, average 424 brood size, or time to first clutch, and are comparable to Daphnia habitats that experienced decades of contamination of copper and nickel in the Sudbury Canada 425 426 area (Yan et al. 2016). Each MA line was propagated using single progeny descendants 427 every generation, and all were seeded with a single Daphnia obligate parthenogenetic 428 progenitor. The ancestral progenitor for all MA lines was collected from Canard Pond 429 (Lat. 42°12", Long. -82°98") in Windsor Ontario, Canada. All MA lines were maintained 430 at 18°C with a humidity of 70% and a 12 hour light/dark cycle. MA lines were fed ad 431 *libitum* with a mixture of algae (Ankistrodesmus sp., Scenedesmus sp. and 432 Pseudokirchneriella sp). Backups for MA lines were maintained in case of mortality or 433 sterility of the focal individual, and were used in $\sim 6\%$ of transfers with an average of 434 once every 16 generations per line. Although this introduces some level of selection 435 against lethal and sterility-causing mutations that could lead to underestimating 436 mutation rates, the frequency of backup lines used across treatments was not 437 significantly different.

438

439 Daphnia population under selection

440 To evaluate the effect of selection on mutation rates, a large non-MA population 441 seeded from the same ancestral progenitor as the MA lines was allowed to propagate 442 without induced population bottlenecks for the duration of the MA experiment. Thus, 443 whereas the MA lines experienced minimal selection, the non-MA population evolved 444 with selection. The non-MA population was maintained in a 15 L tank under the same 445 conditions as the Con MA lines with identical media, temperature and lighting 446 conditions. Feeding was performed twice a week using the same mixture of algae as 447 the MA lines. Six isolates were randomly chosen for sequencing when the Con MA lines. 448 had reached an average of 101 generations (1,368 days of propagation), and three 449 additional isolates were sequenced after an average of 136 generations (1,642 days of 450 propagation; see Supplemental Methods). The census size of the population at the 451 earlier time point was estimated to be between 100 and 250 (Flynn et al. 2017). Although 452 natural population size fluctuations probably occurred, the lack of fixed mutations (i.e.

shared across all non-MA isolates versus the ancestor) and the few shared mutations
observed provides little evidence for severe population bottlenecks (Supplemental
Figure S5). Future studies involving highly replicated non-MA populations would be
needed to assess the extent of stochastic allele frequency variation among different
populations.

458

459 Sample processing and sequencing

460 Tissue collection, library preparation, and sequencing followed the 461 approach described in Flynn et al. (2017). Tissue was collected from 3-5 clonal 462 individuals per line raised in a sterile medium. During 48 hours prior to isolating DNA, 463 animals were fed sterile Sephadex beads 10 times a day to eliminate food content from 464 the gut, while being treated with antibiotics to reduce microbial contamination. DNA was 465 extracted following the cetyltrimethylammonium bromide method (Doyle and Doyle 1987). 466 DNA samples were quantified with PicoGreen Quant-IT and were diluted to 2.5 ng/µL. 467 We adopted a library preparation protocol derived from the standard Illumina Nextera 468 approach that was optimized to reduce the use of reagents (Baym et al. 2015). Samples 469 were dual indexed (one index at the 3' end and another index at the 5' end) such that 470 each sample had a unique index combination per sequencing lane. Libraries were 471 cleaned and short products removed with Beckman Coulter AMPure XP beads. 472 Libraries were then normalized, pooled into three groups, and run on a total of five lanes 473 of Illumina HiSeq 100bp and 150bp paired end reads at Genome Quebec. Adapter 474 sequences were removed and overlapping sequences merged from fast files using 475 SegPrep (https://github.com/jstjohn/SegPrep). For each of the sequencing lanes, reads 476 were mapped against the Daphnia pulex reference genome (Colbourne et al. 2011) using 477 the short read alignment tool BWA v0.7.10 (Li and Durbin 2009). After alignment, reads 478 were cleaned and sorted, and duplicates were removed with Picard tools v1.123 479 (http://broadinstitute.github.io/picard). Resulting BAM files were used for estimating 480 depth of coverage, achieving an average of 13x coverage. Two randomly selected MA 481 lines (C01 and C35) were intentionally sequenced to a higher depth to test the effect of 482 doubling the sequence coverage on mutation rates. All analyses were carried out twice, 483 once before the increase in coverage of the two samples, and once after the increase in

484 coverage. This increase in coverage did not affect the recovery of CNVs, nor the
485 estimated mutation rate of single nucleotides (Flynn et al. 2017).

486

487 Small-scale variant calling

488 Single nucleotide mutations and INDELs were called using GATK v.3.3.0 489 (McKenna et al. 2010), first using HaplotypeCaller to assign putative genotypes for each 490 individual separately, followed by GenotypeGVCFs to refine variant calling over all 491 samples simultaneously. Variants were filtered using GATK based on various quality 492 and alignment metrics including variant guality, mapping guality, and strand bias (QD<2, 493 QUAL<50, FS>60, MQ<40, MQRankSum<-12.5, ReadPosRankSum<-8 for single 494 nucleotide mutations, and QD<2, QUAL<50, FS>200, ReadPosRankSum<-20 for 495 INDELs). To further prevent false positive variant calls from the sequencing data, we 496 excluded non-nuclear sites, repeat masked regions, sites without read coverage from 497 each sample, and regions with overall depth lower than expected (average 6x) or 498 greater than twice the expected coverage (average 26x). These filtering steps were 499 informed by both follow-up inspection of mapped reads in a genome browser and 500 Sanger sequencing of single nucleotide mutations and INDELs called at various filtering 501 stages and with different read depths as described in Flynn et al. (2017). We retained 502 \sim 25% of the reference genome as callable sites for identifying single nucleotide 503 mutations and INDELs. As expected, all MA lines had unique mutation profiles despite 504 allowing shared mutations among lines. We did not identify shared single nucleotide 505 mutations across MA lines or any signature of potential contamination across lines 506 propagated in isolation. The raw sequence data can be found in SRA (PRJNA341529).

507

508 Large deletions and duplications

509 Four different CNV detection programs were initially run for determining putative 510 deletions and duplications utilizing read depth, split-read and/or paired-end approaches. 511 Read depth analysis was performed using CNVnator v0.3 (Abyzov et al. 2011) with a bin 512 size of 500bp to uncover putative deletions and duplications for each sample compared 513 to the reference genome. Another read depth approach called CNV-seq v0.2-8 (Xie and 514 Tammi 2009) was used that compares pairwise samples. CNV-seg was run using a

515 sliding 250bp window on every pairwise comparison between MA lines (i.e. all pairwise 516 combinations among the 51 Con, Ni, Cu, and NiCu samples), and between each non-517 MA isolate and every MA line (but not non-MA isolates with one another since they can 518 share CNVs by descent). CNVs were called if four consecutive windows had a log₂ 519 depth of coverage difference above 0.44 or below 0.6, which requires a coverage ratio 520 >1.36 or <0.66 respectively. CNVs detected in every pairwise comparison were 521 identified for each sample, followed by the merging of CNVs within 10kb of one another 522 to represent a single CNV, to overcome the majority of assembly gaps and repetitive 523 regions (Keith et al. 2016). Paired-end read mapping and soft-clipped split-reads were 524 also used to infer structural variants using SoftSV v1.4 (Bartenhagen and Dugas 2016). 525 Because paired reads of short fragments overlap one another, inhibiting the ability to 526 detect CNVs, SoftSV was also analyzed after trimming all reads to 50bp. Trimming the 527 ends of paired reads can theoretically permit independent mapping of each paired read 528 by removing overlapping sequences, thereby improving chances of detecting CNVs. In 529 addition to the three tools mentioned above, we used a simple in-house read depth 530 approach to estimate CNVs among genes in individual lines as follows. For each gene 531 from each sample, read depth was standardized by the total read depth of the 532 respective sample to enable comparisons across lines, and read depth was centered to 533 2 to approximate diploid copy numbers. We compared all MA lines with one another and 534 with non-MA isolates using the deviation of normalized read depth among samples to 535 identify candidate gene duplications and gene deletions. At a diploid locus, we would 536 expect mutants with a deletion to have at least half as much coverage as non-mutant 537 lines, and mutants with a duplication to have at least twice as much coverage as non-538 mutant lines. Due to variability in read depth coverage, we used slightly less stringent 539 thresholds while still requiring mutants to be outliers based on 1.5x interguartile ranges. 540 Genes were considered as deleted if the MA line with the lowest standardized read 541 depth was < 0.66x compared to all other MA lines, while being an outlier with at least 542 0.5 fewer absolute copies. Genes were considered as duplicated if the MA line with the 543 highest standardized read depth was > 1.4x compared to all other MA lines, while being 544 an outlier with at least 0.5 more absolute copies. Based on the overlaps of CNVs 545 detected from all four methods, CNV-seq had an overwhelmingly higher proportion of

546 gene CNVs overlapping our read depth method (up to 10 fold more than both CNVnator 547 and SoftSV), and also shared the highest proportion of pairwise concordant CNVs 548 among the 3 implemented tools. Combined with the fact that CNV-seg was also used in 549 a recent analysis among other *Daphnia* MA lines and had high validation rates (Keith et 550 al. 2016), we decided to solely rely on the results of CNV-seq. To evaluate the effects of 551 sample size on CNV detection, we repeated our CNV analyses and rate estimates using 552 random sampling of 5, 10, 20, 30 and 40 genomes. Whereas absolute rate estimates 553 differed, the relative rates between treatments were not affected.

554 To identify CNVs shared by descent as well as mutation hotspots, we allowed 555 overlapping (shared) CNVs among samples (e.g. two samples with deletions versus all 556 other samples but not between one another). However, we did not allow shared 557 mutations to occur in more than 50% of lines, which could be due to differences 558 between the ancestor and the reference genome. Overlapping CNVs in non-MA isolates 559 were interpreted as shared by descent, and shared CNVs among MA lines were 560 considered as recurrent CNVs (potentially hotspots). CNVs with an average depth of 561 coverage below 6x were removed. Protein-coding genes that intersected with remaining 562 duplications or deletions (with a minimum 5% of their length) were considered as 563 putative gene CNVs (>95% length overlap were considered as "complete" gene CNVs 564 as opposed to partial gene CNVs).

565

566 *Mutation validations*

567 Sanger sequencing of randomly selected single nucleotide mutations and 568 INDELs confirmed 21 out of 25 mutations as described in Flynn et al. (2017). Long-569 range PCR amplification of CNVs was performed to validate the presence or absence of 570 large-scale mutations in the putative mutant sample and two other independent MA 571 lines. Primer pairs were designed based on the ancestral progenitor's sequence around 572 inferred breakpoints from randomly selected CNV loci, in addition to one CNV 573 overlapping the *mre11* gene, two CNVs found in multiple samples, and five CNVs that 574 were excluded after filtering (Supplemental Table S4). Our PCR approach successfully 575 verified 12 out of 14 CNV tests, and confirmed all (4 out of 4) putative CNVs that were

576 called with fewer samples (but not detected after increasing the number of sample577 comparisons) were false positives.

578

579 CNV rate calculation

580 Duplications and deletions were evaluated using only the scaffolds that contained one of the 10,673 "single-copy" protein-coding genes in Daphnia to reduce the impact of 581 582 mis-mapping against the highly duplicated reference genome (Colbourne et al. 2011; Keith 583 et al. 2016). Single-copy genes were determined as genes without any duplicates in the 584 Daphnia reference genome by identifying paralogs using EnsemblMetazoa v30. The 585 number of sites kept for analysis and used to calculate mutation rates was 586 113,196,346bp (57% of the reference genome), with 8,699 single-copy protein-coding 587 genes found on 1,313 scaffolds. CNVs that had an average coverage below 6x across 588 all samples were removed. The duplication and deletion rates per genome were 589 estimated using the formula $\mu = n / T$, where n equals the number of duplication or 590 deletion events and T is the number of generations that a sample was propagated. 591 Since all samples are compared over the same genomic regions, these rates can be 592 used to compare treatments. CNV rates per genome per nucleotide were compared 593 across studies and were calculated using $\mu = n / (2 x L x T)$, in which L is the total 594 number of loci (nucleotides) analyzed. For mutation rates of gene duplications and 595 deletions, n was the number of gene CNVs and L was the number of single-copy 596 protein-coding genes analyzed as mentioned above.

597 The nine non-MA isolates were sampled at two time points: six when MA Con 598 lines reached an average of 101 generations (1,368 days), and three more when MA 599 Con lines reached an average of 136 generations (1.642 days). Due to potentially 600 overlapping generations, the non-MA population likely achieved lower mean 601 generations than MA-lines. To estimate the average number of generations, ten non-MA 602 isolates and ten *Con* MA lines with seven replicate offspring from each focal mother 603 were used in a life history experiment (Supplemental Methods). The average generation 604 time of the population was estimated based on the mean age at first reproduction and 605 longevity, and weighted by average clutch sizes. To compare realized rates of CNVs in 606 the non-MA population that has likely faced greater selective pressures than the MA

607 lines, we calculated the realized mutation rates taking into account the genealogy and 608 shared mutations among lineages (Supplemental Methods). Moreover, we used a range 609 of generations in the denominator to represent propagation rates up to five times slower 610 relative to MA lines (i.e. relative propagation rates from 0.2 to 1), encompassing the 611 average and lower bound generation estimates.

612

613 Breakpoint detection and deletion formation mechanisms

614 Breakpoint analysis of CNVs was performed to infer the molecular mechanism of 615 deletion formation. This approach compares the nucleotide sequences surrounding the 616 ends (breakpoints) of CNVs with expected genomic signatures of different CNV 617 formation mechanisms, including the identification of transposable elements, repeats, 618 low-complexity DNA motifs, and sequence identity across breakpoint junctions (Lam et 619 al. 2010). For each deletion, reads that mapped around putative breakpoint boundaries 620 were assembled using TigraSV v0.4 (Chen et al. 2014). Assembled contigs were then re-621 aligned to the reference to define breakpoints using AGEv0.4 (Abyzov and Gerstein 2011). 622 Filtering was performed to assign breakpoints with high confidence based on the 623 comparison between the sequence alignment and the predicted deletion region; contig 624 alignments were required to have at least 95% sequence identity including flanking 625 regions, as well being within 4kb of the estimated breakpoint ranges from CNV-seg and 626 overlapping at least 50% of the estimated range. When multiple alternative breakpoints 627 were found, we selected the ones closest to the estimated range. Breakpoints were 628 estimated for each CNV, and deletion formation mechanisms (such as NHR) were 629 inferred for 43% of deletions using BreakSeg v1.3 (Lam et al. 2010) as well as the DNA 630 flexibility, DNA helix stability, and GC content at breakpoints.

631

632 Data access

The genome sequence data for all samples have been deposited in the Sequence ReadArchive (SRA) under accession PRJNA341529.

635

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641 Author contributions

- 642 FJJC and MEC conceived and designed the project. JMF and JKB prepared the
- samples for sequencing. JMF and FJJC designed the analytical approach and
- 644 performed the PCR validations. JKB performed the life history experiment. FJJC
- 645 analyzed the data and wrote the paper. All authors contributed to and approved the final
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