

1 **Complexity of ABA signaling for stomatal development and aperture**
2 **regulation**

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8

9 **Abstract**

10 Stomata, small pores on the surfaces of leaves formed by a pair of guard cells, adapt
11 rapidly to changes in the environment by adjusting the aperture width. As a long term
12 response, the number of stomata is regulated during stomatal development. The
13 hormone abscisic acid (ABA) regulates both processes. In ABA mediated guard cell
14 signaling the protein kinase OPEN STOMATA1 (OST1) has a central role, as
15 stomatal closure in the *ost1* mutant is impaired in response to ABA and to different
16 environmental stimuli. We aimed to dissect the contribution of different ABA-related
17 regulatory mechanisms in determining stomatal conductance, a combination of
18 stomatal density and aperture width, and crossed the *ost1* mutant with mutants that
19 either decreased (*aba3*) or increased (*cyp707a1/a3*) the concentration of ABA in
20 plants. The double mutant *ost1 aba3* had higher stomatal conductance than either
21 parent due to a combination of increased stomatal aperture width and higher
22 stomatal density. In the triple mutant *ost1 cyp707a1/a3* stomatal conductance was
23 significantly lower compared to *ost1-3* due to lower stomatal density. Further
24 characterization of the single, double and triple mutants showed that responses to
25 treatments that lead to stomatal closure were impaired in *ost1* as well as *ost1 aba3*
26 and *ost1 cyp707a1/a3* mutants, supporting a critical role for OST1 in stomatal
27 aperture regulation. Based on our results, we suggest that there are two signaling
28 pathways to regulate water flux from leaves i.e. stomatal conductance: an ABA-
29 dependent pathway that determines stomatal density independent of OST1; and an
30 OST1-dependent pathway that regulates rapid changes in stomatal aperture.

31

32 **Keywords:** Stomatal density, aperture width, abscisic acid, OST1, signaling
33 pathways

34 Introduction

35 Stomata, formed by a pair of guard cells, are small pores responsible for gas
36 exchange in leaves. They allow CO₂ uptake for photosynthesis, with the
37 accompanying loss of water. In addition, air pollutants and some pathogens enter the
38 plant through stomata. Hence, accurate adjustment of the stomatal pore is required
39 for the plant to successfully thrive in a changing environment. Not only the width of
40 the stomatal aperture, but also the number of stomata is regulated by environmental
41 signals and influences plant gas exchange (Hetherington and Woodward, 2003).
42 Several studies have shown that doubling of ambient CO₂ concentration leads to a
43 reduction in stomatal density in different plant species and *Arabidopsis* accessions
44 (Woodward and Kelly, 1995; Woodward et al., 2002). In contrast, higher light
45 intensity significantly increases stomatal density (Casson et al., 2009). The main
46 difference between the adjustment of the stomatal aperture versus the number of
47 stomata is the scale of time, stomatal aperture can change in minutes, whereas
48 changes in stomatal density are fixed during leaf development.

49

50 The plant hormone abscisic acid (ABA) plays a central role in the regulation of guard
51 cell function (Kim et al., 2010; Kollist et al., 2014). ABA-induced stomatal closure is
52 initiated by binding of the hormone to PYR/RCAR receptors that leads to the
53 inactivation of type 2C protein phosphatases (PP2Cs), which in turn releases SNF-
54 related protein kinases (SnRK2s) such as OPEN STOMATA1 (OST1) to activate
55 guard cell ion channels including SLOW ANION CHANNEL1 (SLAC1). This leads to
56 the efflux of anions, followed by potassium and water efflux and stomatal closure
57 (Kim et al., 2010; Kollist et al., 2014).

58

59 As ABA is the central regulatory molecule of stomatal function, fine-tuning of ABA
60 levels and signaling is of utmost importance during acclimation to abiotic stress, e.g.
61 drought. Guard cell ABA levels are regulated by de novo biosynthesis, catabolism,
62 and transport from other plant tissues (Nambara and Marion-Poll, 2005; Merilo et al.,
63 2015; Merilo et al., 2018). OST1 appears to have a critical role in ABA signaling.
64 Stomatal closure induced by ABA or environmental factors is strongly impaired in
65 *ost1* mutants (Mustilli et al., 2002; Merilo et al., 2013). In addition to stomatal
66 regulation, ABA affects stomatal development, which is also controlled by

67 environmental factors such as light and the level of CO₂ (Casson and Hetherington,
68 2010; Chater et al., 2015). ABA-deficient mutants have increased stomatal densities
69 compared to wild-type (Tanaka et al., 2013; Chater et al., 2015), whereas the ABA
70 over-accumulating *cyp707a1/a3* double mutant had significantly lower stomatal
71 density than wild-type (Tanaka et al., 2013), supporting the role of ABA in stomatal
72 development. ABA3 encodes a molybdenum cofactor sulfurase required by an
73 abscisic aldehyde oxidase to catalyze the conversion of abscisic aldehyde to ABA;
74 its expression level increases in response to drought and ABA treatment (Xiong et
75 al., 2001). In non-stressed conditions, the concentration of leaf ABA is approximately
76 45% of wild-type ABA in *aba3-1* (Merilo et al., 2018). The predominant ABA catabolic
77 pathway, ABA 8'-hydroxylation, is mediated by four members of the CYP707A gene
78 family and their transcription levels increase in response to salt and drought stress
79 as well as ABA (Saito et al., 2004). CYP707A1 and CYP707A3 are important for
80 post-germination growth, since seedling growth by exogenous ABA was inhibited
81 more effectively in *cyp707a1* and *cyp707a3* mutants and was more pronounced in
82 the double mutant that also contained higher concentration of ABA compared to the
83 single mutants (Okamoto et al., 2006). Both *cyp707a1* and *cyp707a3* loss-of-function
84 mutants showed reduced stomatal conductance, which was more pronounced in
85 *cyp707a3* (Merilo et al., 2013).

86

87 Here we report that while OST1 is required in rapid stomatal responses to several
88 environmental conditions: reduced air humidity, darkness, elevated CO₂
89 concentration and exogenous ABA, there are alternative ABA signaling pathways in
90 guard cells that contribute to stomatal development. These two pathways, OST1-
91 dependent signaling that regulates stomatal aperture width and OST1-independent
92 signaling that regulates stomatal density, coordinate the overall water flux through
93 stomata.

94

95 **Materials and Methods**

96 **Plant material, growth and gas-exchange measurements**

97 Col-0, *aba3-1* and *ost1-3* (*srk2e*, SALK_008068) were from the European
 98 Arabidopsis Stock Centre (www.arabidopsis.info). The *cyp707a1 cyp707a3* double
 99 mutant was a gift from Eiji Nambara (Okamoto et al., 2006). Double mutants and
 100 other crosses were made through standard techniques and genotyped with PCR-
 101 based markers.

<i>aba3-1</i>	aba3-1-left	TCATTCTTTCTACTGCTCCTGATTT	dCAPS marker, digest with MnII
	aba3-1-right	GGTGAAGCAAATGAACTTATGATG	
<i>ost1-3</i>	SALK_008068 for	CCTCTGATGTCTTGGTGTCG	
	SALK_008068 rev	TGGAAGAAAAACCTCGCCTA	
<i>cyp707a1</i>	SALK_069127 for	CATGAACGTATTGGGTTTTGG	
	SALK_069127 rev	TCCTGATATTGAATCCATCGC	
<i>cyp707a3</i>	SALK_078173C for	GTTCTGGAAGATTAATCGGC	
	SALK_078173C rev	ACGTGCTCTCGTCACTCTCTC	
SALK Lbb		GCGTGGACCGCTTGCTGCAACT	

102

103 Plants for gas-exchange measurements were sown into 2:1 (v:v) peat:vermiculite
 104 mixture and grown through a hole in a glass plate covering the pot as described in
 105 Kollist et al. (2007). Plants were grown in growth chambers (AR-66LX, Percival
 106 Scientific, IA, USA and Snijders Scientific, Drogenbos, Belgia) with 12 h photoperiod,

107 23/18 °C day/night temperature, 150 $\mu\text{mol m}^{-2} \text{s}^{-1}$ light and 70% relative humidity.
108 Plants were 24-30 days old during gas-exchange experiments.

109 Stomatal conductance of intact plants was measured using a rapid-response gas-
110 exchange measurement device similar to the one described by Kollist et al. (2007)
111 consisting of eight thermostated flow-through whole-rosette cuvettes. Plants were
112 inserted into the measurement chambers and after stomatal conductance had
113 stabilized, the following stimuli were applied: reduction in air humidity (decrease from
114 65-75% to 30-40%), darkness (decrease from 150 $\mu\text{mol m}^{-2} \text{s}^{-1}$ to 0 light), CO_2
115 (increase from 400 ppm to 800 ppm) and spraying plants with 0 μM , 5 μM or 50 μM
116 ABA solution with 0.012% Silwet L-77 (Duchefa) and 0.05% ethanol. ABA-induced
117 stomatal closure experiments were carried out as described previously (Merilo et al.,
118 2018). Initial changes in stomatal conductance were calculated as $gs_{18}-gs_0$, where
119 gs_0 is the pretreatment stomatal conductance and gs_{18} is the value of stomatal
120 conductance 18 min after factor application; 16min in case of ABA spraying.

121 **Measurement of stomatal aperture and density**

122 Epidermal peels were stripped from four-week-old plants grown in growth chambers
123 as described above and incubated in resting buffer (containing 10 mM MES-KOH
124 pH6.2) for 2.5 hours. Images of stomata were taken with a Zeiss Axio Examiner.D1
125 microscope. Images were taken of 15 stomata per leaf and averaged to characterize
126 the stomatal aperture of each plant. Six plants per genotype were analyzed.
127 Stomatal aperture width was measured using the image processing software ImageJ
128 1.51k (National Institutes of Health, USA).

129 For stomatal density (SD) measurements, leaves of 5 weeks-old plants grown as
130 described above, one leaf per plant, were excised and the abaxial side was covered
131 with dental resin (Xantropen VL Plus, Heraeus Kulzer, Germany). The hardened
132 resin impressions were covered with transparent nail varnish. The dried nail varnish
133 imprints were attached to a microscope glass slide with a transparent tape and
134 images were taken with a Zeiss SteREO Discovery.V20 stereomicroscope. 24 plants
135 per genotype were analyzed. SD was determined from an image with an area of
136 $\sim 0.12 \text{ mm}^2$, taken from the middle of the leaf, close to the middle vein and calculated
137 as: $SD = \text{number of stomata/area of the image}$

138 **Statistical analysis**

139 One-way ANOVA was used to compare the effect of genotype on the values of
140 stomatal conductance, aperture, density and initial change in stomatal conductance.
141 Comparisons between individual means were done with Tukey or Tukey unequal N
142 HSD *post hoc* tests as indicated in figure legends. Stomatal conductance values
143 before and after application of ABA were compared by repeated measures ANOVA
144 with Tukey *post hoc* test. All effects were considered significant at $p < 0.05$. Statistical
145 analyses were performed with Statistica, version 7.1 (StatSoft Inc., Tulsa, OK, USA).

146 **Accession Numbers**

147 ABA3 - AT1G16540; OST1 - AT4G33950; CYP707A1 - AT4G19230; CYP707A3 -
148 AT5G45340.

149 Results

150 We crossed *ost1-3* into an ABA biosynthesis mutant (*aba3-1*) and to *cyp707a1*
151 *cyp707a3* (here abbreviated as *cyp707a1/a3*) that lacks two proteins involved in ABA
152 catabolism. By doing so, we generated plants where strong ABA-insensitivity caused
153 by impaired OST1 was combined with defective ABA biosynthesis or breakdown (Fig.
154 1). Steady-state stomatal conductance and rapid stomatal responses to various
155 closure-inducing stimuli were measured in intact plants with a custom-made gas-
156 exchange device as described before (Kollist et al., 2007). Our results showed that the
157 *aba3-1* mutant displayed higher stomatal conductance, whereas *cyp707a1/a3* had
158 reduced stomatal conductance compared to Col-0 wild-type (Fig. 2A), as can be
159 expected on the basis of the ABA concentrations in these plants (Okamoto et al., 2006;
160 Merilo et al., 2018). The double mutant *ost1 aba3* had higher stomatal conductance
161 than either parent (Fig. 2A) and the triple mutant *ost1 cyp707a1/a3* displayed lower
162 stomatal conductance than the single *ost1-3* (Fig. 2A).

163 As altered stomatal conductance can result from a change in stomatal aperture width
164 or in stomatal density, we next determined the stomatal apertures of the mutants.
165 There were no differences in aperture widths between *cyp707a1/a3* and wild-type (Fig.
166 2B). Compared to wild-type, stomata of *aba3-1* and *ost1-3* single mutants had
167 significantly wider apertures (Fig. 2B). Aperture of *ost1 cyp707a1/a3* was similar to
168 *ost1-3*, whereas *ost1 aba3* had significantly wider aperture compared to the single
169 mutants (Fig. 2B). These results suggest that ABA-deficiency leads to wider stomatal
170 apertures, whereas over-accumulation of ABA seems to have no effect on aperture
171 width. However, *cyp707a1/a3* (compared to Col-0) and *ost1 cyp707a1/a3* (compared
172 to *ost1*) showed differences in stomatal conductance but not in aperture widths,
173 indicating that some other trait besides aperture is involved in determining stomatal
174 conductance.

175 In order to test whether the differences in stomatal conductance in the studied mutants
176 were associated with altered stomatal density, we measured stomatal density using
177 leaf impressions. Consistent with already published results (Tanaka et al., 2013;
178 Chater et al., 2015), *aba3-1* had higher and *cyp707a1/a3* lower stomatal density
179 compared to wild-type in our experiment (Figs. 3A-B). The stomatal density of *ost1-3*
180 was similar to wild-type, but through genetically altering the ABA concentration in the

181 *ost1-3* mutant, we could affect the stomatal development. Compared to the single
182 *ost1-3* mutant, *ost1 aba3* and *ost1 cyp707a1/a3* had significantly higher or lower
183 stomatal density, respectively (Figs. 3A-B). Taken together, stomatal conductance,
184 aperture and density results show that *ost1-3* has higher stomatal conductance due to
185 more open stomata (Figs. 2-3; Mustilli et al., 2002). However, ABA concentration is a
186 crucial signal for stomatal development, which was apparently regulated by an OST1-
187 independent mechanism.

188 To further characterize the role of ABA levels and OST1 in stomatal regulation, we
189 tested the responses of single mutants, *ost1 aba3* and *ost1 cyp707a1/a3* to closure-
190 inducing factors (Fig. 4 A-D). The closure induced by all stimuli was significantly
191 impaired in *ost1-3*, whereas *aba3-1* plants showed wild-type-like closure or, in the
192 case of reduced air humidity and ABA, even a hypersensitive response. In response
193 to darkness, reduced air humidity and elevated CO₂, the behavior of *ost1 aba3* and
194 *ost1 cyp707a1/a3* mutants was not significantly different from *ost1-3* single mutant
195 (Fig. 4 A-D, E-H). In response to ABA, *ost1 aba3* plants regained a small response
196 that was larger compared to *ost1-3*, but reduced compared to wild-type (Fig. 4 D, H).
197 Nevertheless, *ost1 aba3* and *ost1 cyp707a1/a3* were clearly impaired in rapid stomatal
198 responses, supporting the critical role of OST1 in the regulation of stomatal aperture
199 to sudden changes in the environment.

200

201 Discussion

202 Understanding stomatal function is critical for breeding plants with improved properties
203 in water limiting conditions. As increased water loss from plants could be the result of
204 either more open stomata or an increased number of stomata, the regulatory interplay
205 between these traits is an important issue to be resolved. Changes in stomatal
206 aperture width and density resulted in altered stomatal conductance, as suggested by
207 our results (Fig. 2, Fig. 3 A). Through genetic manipulation of ABA levels,
208 measurements of stomatal conductance, aperture, density and responses to various
209 treatments, we propose that the overall water flux through stomata is the sum of two
210 signaling pathways: an ABA-dependent pathway that is OST1-independent and
211 regulates stomatal density; and an OST1-dependent pathway that regulates rapid
212 changes of stomatal aperture. This conclusion is supported by our results which show
213 significant differences in stomatal conductance due to differences in stomatal density
214 and aperture between the single *ost1-3* mutant and its double and triple mutants where
215 ABA levels are genetically reduced (*ost1 aba3*) and increased (*ost1 cyp707a1/a3*),
216 respectively, while responses to various environmental stimuli are impaired in these
217 mutants. It remains to be clarified whether other SnRKs besides OST1 (SnRK2.6) are
218 involved in the ABA-dependent signaling involved in stomatal development.
219 Alternatively, stomatal density could be determined by a SnRK-independent
220 mechanism. However, the recent findings that a mutant lacking six ABA receptors and
221 the PP2C mutants *abi1-1* and *abi2-1* also showed higher stomatal densities (Tanaka
222 et al., 2013; Merilo et al., 2018) indicate that the canonical ABA signaling pathway
223 starting with ABA receptors (Fig. 1) is involved in the regulation of stomatal
224 development.

225 The change in stomatal conductance of mutants with altered concentrations of ABA
226 appears to result from a change in stomatal density (Fig. 3A), aperture width or both,
227 as in *aba3-1* (Fig. 2). The *aba3-1* mutant is relatively mildly impaired in ABA
228 biosynthesis and still contains approximately 45% of wildtype ABA levels (Merilo et al.,
229 2018). Mutants with more severely impaired ABA biosynthesis including *aba2-11* or
230 *nced3 nced5* have considerably higher stomatal conductance than *aba3-1* (Merilo et
231 al., 2018). Thus, the influence of ABA on stomatal aperture or density might become
232 more prominent in plant lines where ABA concentrations are more severely reduced.
233 By using stronger ABA-deficient lines including *aba2-11* or *nced3 nced5* (Merilo et al.,

234 2018) or growing plants in water deficit conditions and measuring aperture and density
235 may help to understand the balance between stomatal density and aperture in
236 determining water flux through plants i.e. stomatal conductance. Stomatal density
237 appeared to be more sensitive to reduced ABA levels than to increased levels as
238 *cyp707a1/a3* showed only reduced density compared to wildtype (Fig. 2B). In order to
239 breed crops for future climate, we need to understand the contribution of both stomatal
240 density and stomatal aperture to plant water relations (see also Hughes et al., 2017).
241 The latter is subjected to a rapid and up-to-date environmental control, whereas the
242 former is fixed during plant development.

243 The triple mutant *snrk2.2 snrk2.3 snrk2.6* is completely impaired in ABA responses,
244 including seed germination and gene expression (Fujii and Zhu, 2009; Umezawa et
245 al., 2009). Thus, the OST1-independent mechanism regulating stomatal density could
246 be genetically redundant among this group of SnRKs. Unfortunately the severe
247 developmental defects of the *snrk* triple mutant (Fujii and Zhu, 2009) make it difficult
248 to directly test this hypothesis. The *ost1* mutant was previously shown to completely
249 lack stomatal responses to ABA (Mustilli et al., 2002), and applied at 5 μ M, the *ost1*
250 mutant is unresponsive to ABA (Fig. 4D). In an attempt to clarify if there is genetic
251 redundancy among the SnRKs also in stomatal function, we treated *ost1-3* plants with
252 very high 50 μ M ABA, which induced a partial stomatal closure (Fig. S1). This supports
253 earlier findings indicating that, besides OST1 there are other components, including
254 SNRK2.2 and SNRK2.3 and other possible kinases, such as calcium dependent
255 protein kinases (Brandt et al., 2015) or GHR1 (GUARD CELL HYDROGEN
256 PEROXIDE_RESISTANT1) (Hua et al., 2012) acting in the ABA signaling pathway,
257 that might contribute to ABA-induced stomatal closure. Other kinases may also explain
258 the increased aperture width of *ost1 aba3* double mutant compared to single mutants.
259 Several mitogen-activated protein kinases (MAPK) are involved in stomatal
260 development (Wang et al., 2007) and ABA signaling (Jammes et al., 2009), indicating
261 that MPKs might also contribute in the regulation of stomatal development and
262 aperture in an ABA-dependent manner. Therefore, there are several candidate
263 kinases in addition to OST1 in regulating stomatal responses to ABA.

264 Our results presented here show that it is possible to separate ABA signaling pathways
265 that regulate stomatal aperture versus stomatal development. This information can be
266 useful to breed separately for these traits to obtain plants suited for either rapidly

267 changing environmental conditions or for conditions characterized by long-term
268 drought.

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- 364

365 **Figure legends**

366 **Figure 1.** Schematic overview of ABA concentration determined by ABA biosynthesis
367 and catabolism, followed by the core components in ABA signalling leading to stomatal
368 closure. Mutants used in this study are indicated in grey background. New double and
369 triple mutants generated for this study are *ost1 aba3* and *ost1 cyp707a1/a3*.

370 **Figure 2.** (A) Whole-plant steady-state stomatal conductance (gs) of three- to four-
371 week-old plants. The ABA biosynthesis mutant *aba3-1* and catabolism double mutant
372 *cyp707a1/a3* were crossed to *ost1-3* to genetically reduce or increase the ABA
373 concentration in *ost1-3* background. Letters denote statistically significant differences
374 between lines (ANOVA with Tukey unequal N HSD *post hoc* test, $p < 0.05$; $n=8-13$).

375 (B) Stomatal aperture measured on epidermal peels of four-week-old plants. Letters
376 denote statistically significant differences between lines (ANOVA with Tukey *post hoc*
377 test, $p < 0.05$; $n=6$).

378 **Figure 3.** (A) Stomatal density of five-week-old plants. Letters denote statistically
379 significant differences between lines (ANOVA with Tukey *post hoc* test, $p < 0.05$;
380 $n=24$).

381 (B) Tracing of epidermal impressions to illustrate the differences in stomatal densities
382 between lines. The scale bar represents 50 μm .

383 **Figure 4.** (A-D) Time courses of stomatal conductances in response to reduced air
384 humidity (A), darkness (B), elevated CO_2 (C) and ABA treatment (D). (E-H) Changes
385 in stomatal conductance during the first 18 minutes (first 16 min in the ABA treatment).
386 Letters denote statistically significant differences between lines (ANOVA with Tukey
387 unequal N HSD *post hoc* test, $p < 0.05$; $n=8-15$).

388 **Figure S1.** Stomatal response of Col-0 and *ost1-3* mutant to foliar ABA spraying (0
389 μM , 5 μM and 50 μM). Average (\pm SE, $n=9$) stomatal conductance before and 56 min
390 after treatment with ABA. Statistically significant differences between post- and
391 pretreatment stomatal conductance values are denoted by * (Repeated measures
392 ANOVA with Tukey *post hoc* test, $p < 0.05$).

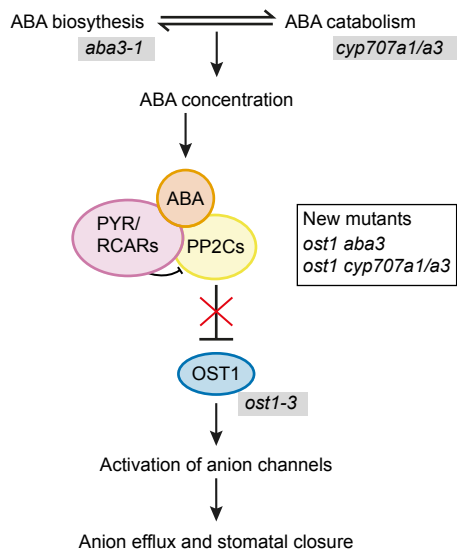


Figure 1. Schematic overview of ABA concentration determined by ABA biosynthesis and catabolism, followed by the core components in ABA signalling leading to stomatal closure. Mutants used in this study are indicated in grey background. New double and triple mutants generated for this study are *ost1 aba3* and *ost1 cyp707a1/a3*.

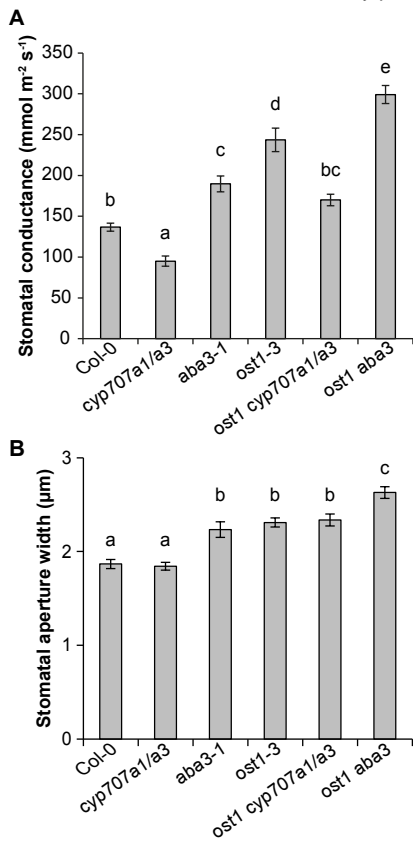


Figure 2. (A) Whole-plant steady-state stomatal conductance (gs) of three- to four-week-old plants. The ABA biosynthesis mutant *aba3-1* and catabolism double mutant *cyp707a1/a3* were crossed to *ost1-3* to genetically reduce or increase the ABA concentration in *ost1-3* background. Letters denote statistically significant differences between lines (ANOVA with Tukey unequal N HSD *post hoc* test, $p < 0.05$; $n=8-13$).

(B) Stomatal aperture measured on epidermal peels of four-week-old plants. Letters denote statistically significant differences between lines (ANOVA with Tukey *post hoc* test, $p < 0.05$; $n=6$).

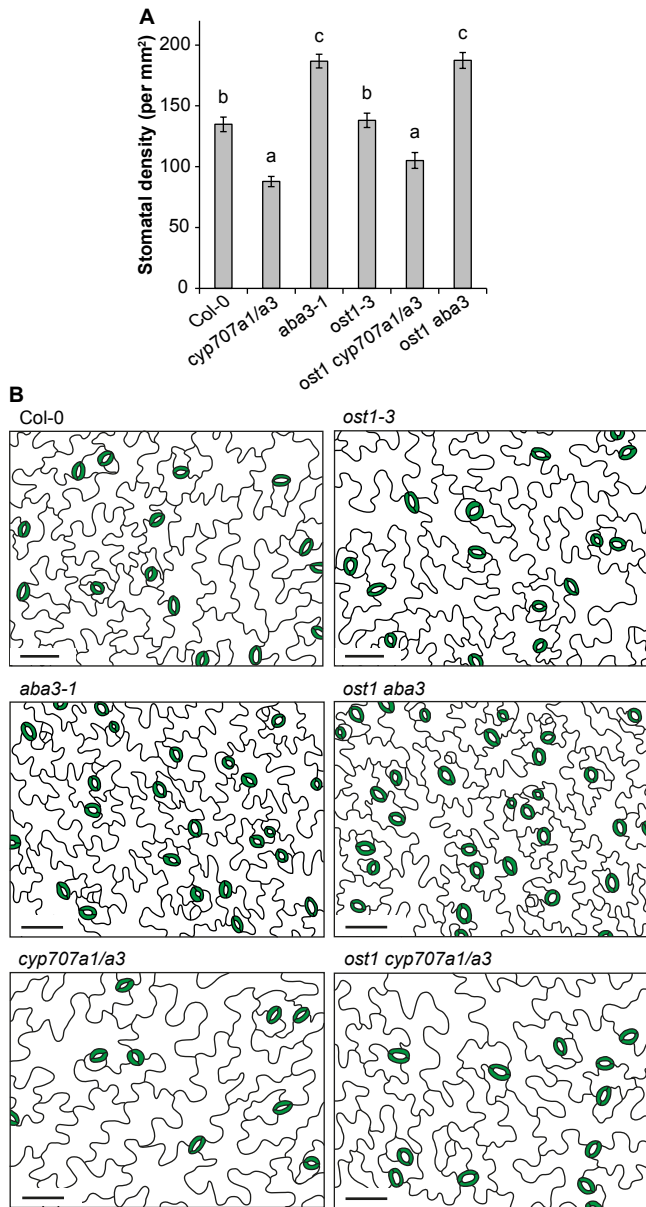


Figure 3. (A) Stomatal density of five-week-old plants. Letters denote statistically significant differences between lines (ANOVA with Tukey *post hoc* test, $p < 0.05$; $n=24$).

(B) Tracing of epidermal impressions to illustrate the differences in stomatal densities between lines. The scale bar represents 50 μm .

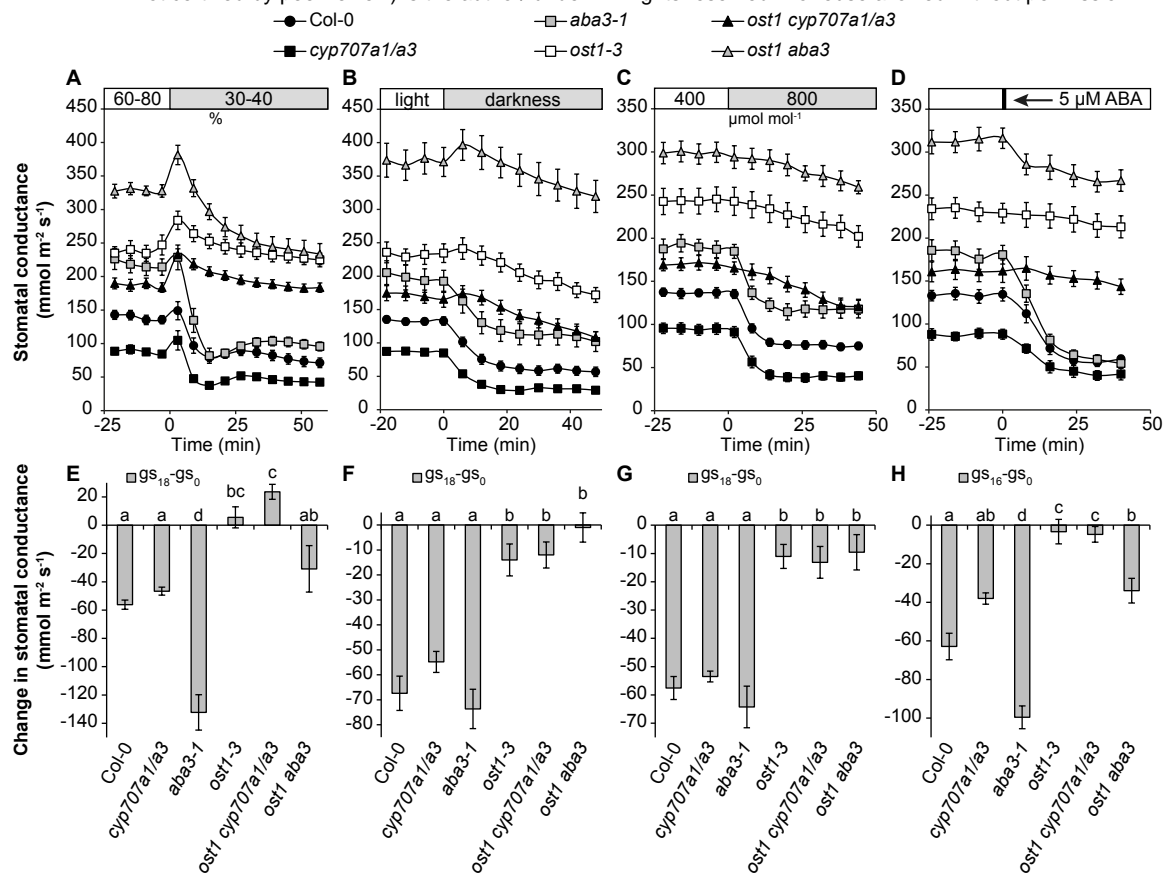


Figure 4. (A-D) Time courses of stomatal conductances in response to reduced air humidity (A), darkness (B), elevated CO₂ (C) and ABA treatment (D). (E-H) Changes in stomatal conductance during the first 18 minutes (first 16 min in the ABA treatment). Letters denote statistically significant differences between lines (ANOVA with Tukey unequal N HSD *post hoc* test, $p < 0.05$; $n=8-15$).

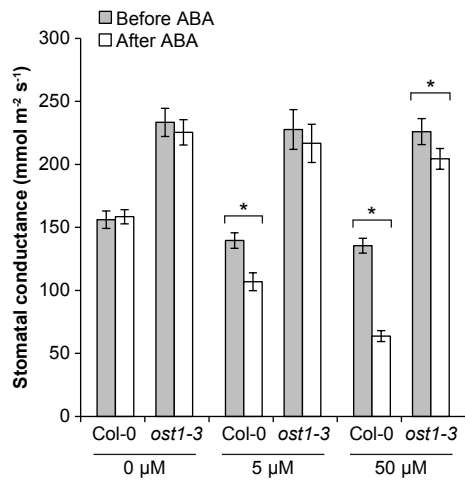


Figure S1. Stomatal response of Col-0 and *ost1-3* mutant to foliar ABA spraying (0 μM, 5 μM and 50 μM). Average (\pm SE, n=9) stomatal conductance before and 56 min after treatment with ABA. Statistically significant differences between post- and pretreatment stomatal conductance values are denoted by * (Repeated measures ANOVA with Tukey post hoc test, $p < 0.05$).