

Disease and climate effects on individuals jointly drive post-reintroduction population dynamics of an endangered amphibian

Maxwell B. Joseph^{*1, 3} and Roland A. Knapp^{†2, 3}

¹Earth Lab, University of Colorado, Boulder, CO 80303, USA

²Sierra Nevada Aquatic Research Laboratory, University of California, Mammoth Lakes, CA 93546, USA

³These authors contributed equally to this work.

*maxwell.b.joseph@colorado.edu

†roland.knapp@ucsb.edu

Abstract

The emergence of novel pathogens often has dramatic negative effects on previously unexposed host populations. Subsequent disease can drive populations and even species to extinction. After establishment in populations, pathogens can continue to affect host dynamics, influencing the success or failure of species recovery efforts. However, quantifying the effect of pathogens on host populations in the wild is challenging because individual hosts and their pathogens are difficult to observe. Here we use long-term mark-recapture data to describe the dynamics of reintroduced populations of an endangered amphibian (*Rana sierrae*) and evaluate the success of these recovery efforts in the presence of a recently-emerged pathogen, the amphibian chytrid fungus *Batrachochytrium dendrobatidis*. We find that high *B. dendrobatidis* infection intensities are associated with increases in detectability, reductions in survival, and more infected adults. We also find evidence for intensity-dependent survival, with heavily infected individuals suffering higher mortality. These results highlight the need in disease ecology for probabilistic approaches that account for uncertainty in infection intensity using imperfect observational data. Such approaches can advance the understanding of disease impacts on host population dynamics, and in the current study will improve the effectiveness of species conservation actions.

Key words: *Batrachochytrium dendrobatidis*; host-pathogen dynamics; mark-recapture; mountain yellow-legged frog; population establishment; recruitment; reintroduction; survival.

Introduction

Amphibians are one of the most threatened groups of vertebrates (Wake and Vredenburg 2008). Although the drivers of amphibian decline vary taxonomically and spatially, the

26 amphibian chytrid fungus *Batrachochytrium dendrobatidis* (Bd) is a major cause of
27 population declines and species extinctions in montane habitats worldwide (Skerratt
28 et al. 2007, Fisher et al. 2009, Grant et al. 2016). In the face of these declines, species
29 recovery will often require introductions to restore extirpated populations, but little is
30 known about the dynamics of population establishment and persistence of threatened
31 amphibians (Armstrong and Seddon 2008), especially in the presence of disease. Given
32 Bd's role in eliminating populations, we expect introduction outcomes to be shaped by
33 disease impacts on demographic rates, but measuring such impacts in wild populations is
34 difficult (McCallum and Dobson 1995, Briggs et al. 2010).

35 The mountain yellow-legged frog is emblematic of global amphibian declines. Although
36 formerly abundant in the relatively protected habitats of California's Sierra Nevada
37 mountains (USA), the two species that make up this taxon (*Rana muscosa* and *Rana*
38 *sierrae* (Vredenburg et al. 2007)) have disappeared from over 93% of historical localities,
39 due primarily to the introduction of nonnative fish into fishless habitats (Knapp and
40 Matthews 2000, Knapp 2005) and the emergence of Bd (Vredenburg et al. 2010). In
41 response, both species are listed as "endangered" under the U.S. Endangered Species
42 Act and included on the International Union for Conservation of Nature Red List of
43 Threatened Species (IUCN 2017). *R. sierrae* has shown recent signs of recovery in the
44 best-protected portion of its range, including Yosemite National Park, but due to dispersal
45 limitations the re-establishment of populations may require introductions in addition to
46 natural recovery (Knapp et al. 2016). The effectiveness of such actions remains unclear,
47 and the drivers of post-introduction population dynamics and introduction success or
48 failure are poorly understood.

49 Introduction outcomes may be described in terms of survival, recruitment, and abundance
50 trajectories. Mark-recapture studies provide a method to estimate these quantities in
51 wild populations while accounting for factors that can complicate inference, including

52 imperfect detection (Jolly 1965, Pradel 1996), multiple classes within the population
53 that may be imperfectly resolved (Lebreton and Cefe 2002, Conn and Cooch 2009), and
54 incompletely observed individual-level traits (Royle 2009). This flexibility is critical for
55 understanding disease impacts in wild populations. Multi-state mark-recapture methods
56 have been applied with great success to understand the population-level impacts of Bd,
57 and differences in demographic rates between infected and uninfected classes (Murray et al.
58 2009, Pilliod et al. 2010, Sapsford et al. 2015, Hudson et al. 2016).

59 Assuming that survival depends only on whether an individual is infected, and not
60 considering infection intensity (i.e., load), could be problematic given that Bd-caused
61 frog mortality is often a function of load (Briggs et al. 2010). However, individual-level
62 infection data are often not available. For example, Bd load may not be observed if an
63 individual is not encountered or captured on a survey, or if they are captured but no
64 infection data are collected. This presents a major challenge: infection intensity is hard
65 to measure, but may be critical for understanding population dynamics. Previous efforts
66 to understand disease impacts in wild populations as a function of load at the individual
67 level have randomly imputed missing infection load data with the observed distribution of
68 load values (Spitzen-van der Sluijs et al. 2017). However, random imputation could bias
69 inference if load data are not missing at random, for example, if load-dependent disease
70 affects detection.

71 In this paper, we use a Bayesian multi-state model with data from decade-long
72 mark-recapture studies in two reintroduced frog populations to understand the influence
73 of disease and climate on introduction outcomes. We find that disease dynamics affect
74 introduction success, with high infection intensities leading to more infected adults,
75 a reduction in adult survival, and adults that are easier to detect. Recruitment and
76 uninfected adult survival are also important for population persistence. Differential
77 detectability of adults related to infection intensity points to broader questions about

78 understanding disease impacts in wild populations, and highlights the importance of
79 understanding hidden infection dynamics at the individual level. These insights will inform
80 ongoing and future efforts aimed at restoring the endangered mountain yellow-legged frog,
81 and provide a means to quantitatively assess why some introduction efforts fail and others
82 succeed.

83 **Methods**

84 **Field sites and methods**

85 The two study lakes are located in eastern Yosemite National Park (California, USA)
86 at elevations of 2880 and 3200 m. *R. sierrae* populations in both lakes were established
87 via translocation from a single nearby donor population (elevation: 3176 m). The donor
88 population has been Bd-positive for at least two decades (Fellers et al. 2001), and contains
89 one of the largest *R. sierrae* populations in Yosemite (minimum population size during
90 this study: 600-1500 adults). The introduction lakes are located 5.5-7.0 km from the donor
91 population and both contain high quality *R. sierrae* habitat (i.e., fishless, 6-12 m deep,
92 with adjacent meadow and stream habitats) (Knapp 2005). Because these lakes harbor a
93 sensitive species, we refer to them by the pseudonyms Alpine and Subalpine (Lindenmayer
94 and Scheele 2017). Visual encounter surveys (Knapp 2005) conducted just prior to the
95 first introductions indicated that both lakes lacked *R. sierrae* of any life stage. Prior to
96 introduction, adult frogs (≥ 40 mm snout-vent length (SVL)) were captured at the donor
97 site, and tagged (8mm passive integrated transponder (PIT) tags), measured, and weighed.
98 To estimate Bd load, we also collected a skin swab from each frog using standard methods
99 (Hyatt et al. 2007, Vredenburg et al. 2010).

100 The initial introduction of frogs to Alpine and Subalpine occurred during summer 2006
101 and 2008, respectively, and additional introductions to supplement both populations

102 were conducted in subsequent years. In the first introduction to Alpine, frogs were
103 transported on foot; in all subsequent introductions to both Alpine and Subalpine, frogs
104 were transported via helicopter (Table 1).

105 To describe the dynamics of the introduced populations, both populations were assessed
106 for 10-12 years using mark-recapture methods. Between 2006 and 2012, lakes were visited
107 approximately once per month during the summer active season (June-September) and on
108 a single day (primary period) all habitats were searched repeatedly for frogs which were
109 captured using hand-held nets. Adult frogs were identified via their PIT tag (or tagged if
110 they were untagged), measured, weighed, swabbed, and released at the capture location.
111 During 2013-2017, we adopted a robust design in which all habitats were searched during
112 several consecutive days (surveys), and frogs processed as described above. Within
113 a primary period, frogs that were captured on more than one survey were measured,
114 weighed, and swabbed only when first captured.

115 Skin swabs were analysed using standard Bd DNA extraction and qPCR methods (Boyle
116 et al. 2004) except that swab extracts were analyzed singly instead of in triplicate (Kriger
117 et al. 2006, Vredenburg et al. (2010)). During 2005-2014, we used standards developed
118 from known concentrations of zoospores (Boyle et al. 2004) and after 2014 we used
119 standards based on single ITS1 PCR-amplicons (Longo et al. 2013). Based on paired
120 comparisons between samples analyzed using both types of standards, Bd in the study
121 area has an average of 60 ITS1 copies per zoospore. To express all qPCR results as
122 the number of ITS1 copies, starting quantities obtained using the zoospore standard
123 (measured as “zoospore equivalents”) were multiplied by 60. In addition, all qPCR
124 quantities (regardless of standard) were multiplied by 80 to account for the fact that DNA
125 extracts from swabs were diluted 80-fold during extraction and PCR (Vredenburg et al.
126 2010).

127 We acquired hourly air temperature and daily snow depth data from two nearby

128 meteorological stations (ERY and DAN, respectively) and snow water equivalent data
129 from a manually-measured snow course (DAN; California Data Exchange Center -
130 <http://cdec.water.ca.gov>). The stations and snow course are 5-17 km from the study
131 lakes. We used snow water equivalent as measured on April 1 of each year as a measure
132 of winter severity, to be used as a covariate for frog recruitment and survival. Daily snow
133 depth data were acquired to model survey occurrence as described below. To better
134 understand the detection process, we averaged hourly air temperature data collected
135 between 0900-1600 on each day a survey took place, to derive a “survey air temperature”
136 metric.

137 **Model development**

138 We developed a hierarchical Bayesian hidden Markov model to understand how
139 environmental factors and partly observed time-varying individual traits (Bd loads) jointly
140 drive population dynamics. This model also needed to account for the partly deterministic
141 recruitment process that arises with introductions. The result is an extended open
142 population Jolly-Seber mark-recapture model with known additions to the population
143 (introduced adults), continuous uncertain disease states of individuals which may or may
144 not be captured, and sampling that is unevenly distributed in time.

145 In a small number of cases, adults were captured, weighed, and measured, but no swab
146 data were associated with the capture (e.g., if a swab was lost). In these cases (3% of
147 2697 swabs), capture data indicate that the adult was alive during the survey, but its
148 infection status is unknown. As such, there are three possible observations for captured
149 frogs: detected/infected, detected/uninfected, and detected/unknown infection status.
150 A fourth possible observation class corresponds to non-detection: an individual was not
151 observed during a survey.

152 Mark-recapture model structure

153 We consider four possible observations for each individual $i = 1, \dots, M$ on each survey
154 $j = 1, \dots, n_j$: $o_{i,j} = 1$ indicates that the individual was not detected; $o_{i,j} = 2$ indicates that
155 the individual was observed and the swab collected for that individual indicated that they
156 were uninfected; $o_{i,j} = 3$ indicates that the individual was observed and results from the
157 collected swab showed that the frog had a non-zero Bd load; and $o_{i,j} = 4$ indicates that
158 the individual was observed, but no swab data were available from the capture.

159 We use parameter-expanded data augmentation to account for the fact that the total
160 number of adults in the population is unknown (Royle and Dorazio 2012). Across the
161 entire time period of the study, we assume N_s individuals have existed in the population,
162 comprising the superpopulation of individuals that were present at some time. While N_s
163 is not directly observable, across all surveys $n \leq N_s$ unique individuals were known to be
164 present in the population (because they were introduced and/or captured). An estimate of
165 N_s can be acquired by considering a large number $M > N_s$ of individuals, $M - N_s$ of which
166 never existed (Royle 2009). Thus, the model has a state corresponding to individuals
167 that have not recruited yet. Here, M was chosen to be 2218 at Alpine (618 observed
168 unique individuals plus 1600 augmented individuals), and 558 at Subalpine (158 observed
169 individuals, and 400 augmented individuals). These values were chosen to be considerably
170 greater than our prior guess of N_s , and we also verified that posterior estimates of N_s were
171 much less than M to avoid problems on the boundary of this augmented parameter space
172 (Dennis et al. 2015).

173 We denote the true state of individual i in primary period t as $u_{i,t}$, for every individual
174 $i = 1, \dots, M$ and each primary period $t = 1, \dots, n_t$. The four states that we consider are:
175 $u_{i,t} = 1$ for individuals that have not recruited, $u_{i,t} = 2$ for uninfected adults, $u_{i,t} = 3$
176 for infected adults, and $u_{i,t} = 4$ for dead individuals. Each survey $j = 1, \dots, n_j$ occurs in
177 one of the n_t primary periods, and we denote the primary period in which survey j takes

178 place as t_j . Each primary period t occurs within one year, but within a year there are
 179 multiple primary periods. We set the year containing the first primary period to $y_{t=1} = 1$,
 180 and generally y_t represents the year containing primary period t . Years increment by one
 181 until the final year of the mark recapture efforts, which we denote n_y : $y \in \{1, 2, \dots, n_y\}$.
 182 We assume that within a primary period, the state of each individual does not change
 183 (i.e., individuals do not recruit into the adult population, gain or lose Bd infection, or die).
 184 This assumption is justified by the short time intervals between surveys within primary
 185 periods.

186 Observation model

187 An emission matrix $\Omega_{i,j}$ links observations to hidden states, with the elements of $\Omega_{i,j}$
 188 providing the probability of each possible observation of individual i in survey j , given
 189 the true hidden state. The rows in $\Omega_{i,j}$ correspond to the state of individual i in primary
 190 period t_j , and the columns correspond to an observation of individual i in survey j :

$$\Omega_{i,j} = \begin{array}{cccc}
 \text{Not detected} & \text{Bd negative} & \text{Bd positive} & \text{Bd unknown} \\
 o_{i,j} = 1 & o_{i,j} = 2 & o_{i,j} = 3 & o_{i,j} = 4 \\
 \left(\begin{array}{cccc}
 1 & 0 & 0 & 0 \\
 1 - p_{i,j}^- & p_{i,j}^- \delta & 0 & p_{i,j}^- (1 - \delta) \\
 1 - p_{i,j}^+ & 0 & p_{i,j}^+ \delta & p_{i,j}^+ (1 - \delta) \\
 1 & 0 & 0 & 0
 \end{array} \right) & \begin{array}{l}
 \text{Not recruited} \\
 u_{i,t_j} = 1 \\
 \text{Uninfected} \\
 u_{i,t_j} = 2 \\
 \text{Infected} \\
 u_{i,t_j} = 3 \\
 \text{Dead} \\
 u_{i,t_j} = 4
 \end{array}
 \end{array}$$

191 The structure of $\Omega_{i,j}$ implies that there are no mistaken individual identifications (those

192 that are dead or not recruited are never detected), and that a swab successfully makes it
 193 to the lab and provides qPCR data with probability δ , conditional on the animal being
 194 detected. Detection probabilities are provided by $p_{i,j}^-$ for uninfected (Bd negative) and
 195 $p_{i,j}^+$ for infected (Bd positive) individuals, and these detection probabilities can vary by
 196 individual and survey. We also assume that there are no false-positive or false-negative Bd
 197 results (Hyatt et al. 2007), though relaxing this assumption may be a promising future
 198 area, given the potential sensitivity of swab results to infection intensity (Miller et al.
 199 2012).

200 State model

201 The hidden states of each individual evolve as a Markov process with transition matrix
 202 $\Psi_{i,t}$, the entries of which provide the probability of transitioning to state $u_{i,t+1}$ (the
 203 column index) from state $u_{i,t}$ (the row index). This matrix is different for individuals
 204 that naturally recruit versus those that recruit deterministically due to introduction. For
 205 naturally recruiting individuals:

$$\Psi_{i,t} = \begin{array}{c} \begin{array}{cccc} \text{Not recruited} & \text{Uninfected} & \text{Infected} & \text{Dead} \\ u_{i,t+1} = 1 & u_{i,t+1} = 2 & u_{i,t+1} = 3 & u_{i,t+1} = 4 \end{array} \\ \left(\begin{array}{cccc} 1 - \lambda_t & \lambda_t(1 - \gamma_t) & \lambda_t\gamma_t & 0 \\ 0 & \phi_{i,t}^-(1 - \eta_t^+) & \phi_{i,t}^-\eta_t^+ & 1 - \phi_{i,t}^- \\ 0 & \phi_{i,t}^+\eta_t^- & \phi_{i,t}^+(1 - \eta_t^-) & 1 - \phi_{i,t}^+ \\ 0 & 0 & 0 & 1 \end{array} \right) \begin{array}{c} \text{Not recruited} \\ u_{i,t} = 1 \\ \text{Uninfected} \\ u_{i,t} = 2 \\ \text{Infected} \\ u_{i,t} = 3 \\ \text{Dead} \\ u_{i,t} = 4 \end{array} \end{array}$$

206 where λ_t is the probability that an individual enters the adult population between primary
 207 periods t and $t + 1$, γ_t is the probability that a recruiting individual is infected conditional
 208 on entry, $\phi_{i,t}^-$ is a survival probability for an uninfected adult, $\phi_{i,t}^+$ is a survival probability
 209 for an infected adult, η_t^+ is the probability of transitioning from the uninfected to infected
 210 class conditional on survival, and η_t^- is the probability of transitioning from the infected to
 211 uninfected class conditional on survival.

212 For introduced individuals, the recruitment process is deterministic. Specifically, for each
 213 introduced adult, there is zero chance that they recruit prior to the primary period in
 214 which they are introduced, and if they are introduced at time t^{intro}_i , then the probability
 215 that they recruit into a particular class (their state upon introduction) must be one.
 216 For these introductions, all introduced adults were infected, and thus recruited into the
 217 infected adult class, leading to the following transition matrix for introduced animals,
 218 where the recruitment process is completely determined by t^{intro}_i , such that $\Psi_{i,t}$ is:

$$\begin{array}{cccc}
 \text{Not recruited} & \text{Uninfected} & \text{Infected} & \text{Dead} \\
 u_{i,t+1} = 1 & u_{i,t+1} = 2 & u_{i,t+1} = 3 & u_{i,t+1} = 4 \\
 \left(\begin{array}{cccc}
 1 - I_{(t=(t^{\text{intro}}_i-1))} & 0 & I_{(t=(t^{\text{intro}}_i-1))} & 0 \\
 0 & \phi_{i,t}^-(1 - \eta_t^+) & \phi_{i,t}^-\eta_t^+ & 1 - \phi_{i,t}^- \\
 0 & \phi_{i,t}^+\eta_t^- & \phi_{i,t}^+(1 - \eta_t^-) & 1 - \phi_{i,t}^+ \\
 0 & 0 & 0 & 1
 \end{array} \right) & \begin{array}{l}
 \text{Not recruited} \\
 u_{i,t} = 1 \\
 \text{Uninfected} \\
 u_{i,t} = 2 \\
 \text{Infected} \\
 u_{i,t} = 3 \\
 \text{Dead} \\
 u_{i,t} = 4
 \end{array}
 \end{array}$$

219 where $I_{(t=(t^{\text{intro}}_i-1))}$ is an indicator function equal to one when t is equal to $t^{\text{intro}}_i - 1$.

220 An imaginary first primary period augments the collection of primary periods when
221 introductions took place and when surveys occurred, and was set to occur one week
222 before the initial introductions into each population. For this augmented period $t = 1$,
223 we assume that all individuals are in the “not-recruited” class, $u_{i,1} = 1$ for $i = 1, \dots, M$
224 (Figure 1). Given that neither lake was known to contain adult frogs immediately prior to
225 introduction, this is potentially a fair assumption, but in case the assumption was violated
226 and adults were present prior to the introduction, we include a time-varying adjustment
227 into the recruitment model (described below).

228 The number of primary periods was not uniform across survey years, and as such, the
229 time between primary periods was also heterogeneous, complicating the interpretation
230 of transition probabilities. Thus, at both sites, we used augmented primary periods to
231 increase the regularity of time intervals between primary periods. These were chosen to
232 occur when primary periods might have occurred, e.g., not during the winter months when
233 the lakes are snow-covered, using a statistical model of when surveys are conducted. At
234 each site, we fit a binomial generalized additive model with thin plate spline smoothers
235 for day-of-year and daily snow depth at Dana Meadows, where the response variable was
236 zero or one for each day, with one indicating that a survey took place. These models were
237 fit using the `gam` function in the `mgcv` package in the R programming language (R Core
238 Team 2017, Wood 2017). Then, we predicted new values from these models, with the
239 goal of augmenting the set of primary periods for each year at each site until all years
240 except the first and last had the same number of primary periods (the maximum number
241 of primary periods within one year at each site: ten at Alpine and eight at Subalpine).
242 Augmented primary periods were only accepted if there was no primary period within five
243 days (before and after) of the proposed augmented primary period. The end result is a set
244 of primary periods that are more uniformly distributed in time than the original collection
245 of empirical primary periods, though these augmented primary periods are not associated
246 with real surveys.

247 **Parameter model**

248 **Detection probabilities**

249 Among uninfected adult frogs, we assume that the probability of detection varies with
250 survey air temperature (Sinsch 1984), so that

$$\text{logit}(p_{i,j}^-) = \alpha^{(p)} + \beta^{(p,x)} x_j,$$

251 where $\alpha^{(p)}$ is an intercept parameter, $\beta^{(p,x)}$ is the effect of survey air temperature on
252 detection probability, and x_j is the survey air temperature for survey j , for all i, j . Among
253 infected adult frogs, the detection model was expanded to allow for an adjustment to
254 account for being infected, and a further adjustment to deal with variation due to Bd load:

$$\text{logit}(p_{i,j}^+) = \alpha^{(p)} + \beta^{(p,x)} x_j + \beta^{(p,+)} + \beta^{(p,z)} z_{i,t_j},$$

255 where $\beta^{(p,+)}$ is the adjustment on the intercept for infected adults, and $\beta^{(p,z)}$ is a coefficient
256 for the log Bd load z_{i,t_j} of individual i in primary period t containing survey j , for all i, j .

257 **Recruitment probabilities**

258 The recruitment model was designed to account for annual variation in Bd loads, whether
259 primary periods spanned years, and winter severity. For the probability of entering the
260 population between primary period t and $t + 1$, we have:

$$\text{logit}(\lambda_t) = \alpha^{(\lambda)} + \beta^{(\lambda,w)} w_t + \beta^{(\lambda,s)} s_{y_t} + \beta^{(\lambda,1)} I_{(t=1)} + \epsilon_{y_t}^{(\lambda)},$$

261 where $\alpha^{(\lambda)}$ is an intercept term, and the effect of an overwinter transition is represented
262 as $\beta^{(\lambda,w)}$, with w_t as a binary indicator of whether a transition from period t to $t + 1$

263 spans a winter (or equivalently, two years). The effect of the previous winter's severity
264 is $\beta^{(\lambda,s)}$, where s_{y_t} is previous winter's severity. The parameter $\beta^{(\lambda,1)}$ is an adjustment for
265 the recruitment probability after the first imaginary primary period, which could account
266 for undetected individuals present prior to introduction. Finally, $\epsilon_{y_t}^{(\lambda)}$ is an adjustment
267 to account for extra annual variation. The probability that an individual is infected,
268 conditional on recruitment is modeled as a function of expected Bd load among infected
269 adults:

$$\text{logit}(\gamma_t) = \alpha^{(\gamma)} + \beta^{(\gamma,\mu)} \mu_{y_t} + \epsilon_{y_t}^{(\gamma)},$$

270 where $\alpha^{(\gamma)}$ is an intercept, $\beta^{(\gamma,\mu)}$ represents the effect of mean Bd load among infected
271 adults in the year containing primary period t (denoted μ_{y_t}), and $\epsilon_{y_t}^{(\gamma)}$ is a year-specific
272 adjustment.

273 Survival probabilities

274 Survival of uninfected adults was modeled as a function of whether a transition spanned
275 an overwinter period and winter severity:

$$\text{logit}(\phi_{i,t}^-) = \alpha^{(\phi^-)} + \beta^{(\phi^-,w)} w_t + \beta^{(\phi^-,s)} s_{y_t} + \epsilon_{y_t}^{(\phi^-)},$$

276 where $\alpha^{(\phi^-)}$ is an intercept parameter, $\beta^{(\phi^-,w)}$ is an adjustment for overwinter transitions,
277 $\beta^{(\phi^-,s)}$ is a coefficient for winter severity, and $\epsilon_{y_t}^{(\phi^-)}$ is a year-specific adjustment. The
278 survival probabilities for infected adults were similarly modeled, but with additional effects
279 of individual Bd load:

$$\text{logit}(\phi_{i,t}^+) = \alpha^{(\phi^+)} + \beta^{(\phi^+,w)} w_t + \beta^{(\phi^+,s)} s_{y_t} + \beta^{(\phi^+,z)} z_{i,t} + \epsilon_{y_t}^{(\phi^+)},$$

280 where $z_{i,t}$ is the log transformed Bd load of individual i during primary period t , $\beta^{(\phi^+,z)}$
281 is a coefficient for Bd load, and the remainder of parameters are defined using the same
282 notation as for the survival of uninfected adults.

283 **Loss and gain of infection probabilities**

284 The probability that an infected adult loses infection was modeled as a function of mean
285 Bd load in the infected population, and whether a transition occurred from one year to the
286 next:

$$\text{logit}(\eta_t^-) = \alpha^{(\eta^-)} + \beta^{(\eta^-,\mu)} \mu_{y_t} + \epsilon_{y_t}^{(\eta^-)},$$

287 where $\alpha^{(\eta^-)}$ is an intercept, $\beta^{(\eta^-,\mu)}$ is the effect of expected Bd load among infected adults,
288 and $\epsilon_{y_t}^{(\eta^-)}$ is a year-specific adjustment. Transitions from the uninfected to infected class
289 were modeled similarly:

$$\text{logit}(\eta_t^+) = \alpha^{(\eta^+)} + \beta^{(\eta^+,\mu)} \mu_{y_t} + \epsilon_{y_t}^{(\eta^+)}$$

290 where parameter notation conventions and definitions match those for transitions from the
291 infected to uninfected classes.

292 **Bd loads**

293 The fact that individuals are imperfectly detected, and that occasionally, individuals
294 are detected but no disease information is recorded presents a challenge for including
295 individual-level Bd loads in the model. Within the infected population, Bd loads are
296 partially observed when individuals are captured and swabs are collected. We used a
297 normal distribution to represent (potential) log Bd loads:

$$[\mathbf{Z}|\mu, \sigma] = \prod_{i=1}^M \prod_{t=1}^{n_t} \text{Normal}(z_{i,t}|\mu_{y_t}, \sigma)$$

298 When the load of individual i during primary period t was observed, this specifies a
299 likelihood. Otherwise, this specifies a prior distribution for the potential log load of
300 individual i on primary period t , conditional on infection. The expected value of Bd
301 load among infected adults was assumed to vary among years, and potentially vary as a
302 function of winter severity:

$$[\mu|\alpha^{(\mu)}, \beta^{(\mu)}, \sigma^{(\mu)}] = \prod_{y=1}^{n_y} \text{Normal}(\mu_y|\alpha^{(\mu)} + \beta^{(\mu)}s_y, \sigma^{(\mu)})$$

303 **Prior distributions**

304 Based on knowledge of the observation process, we expected that most captures
305 resulted in a non-missing swab result, leading to the specification for the prior on
306 δ as $[\delta] = \text{Beta}(\delta|9, 1)$. Annual adjustments were modeled using zero-mean normal
307 distributions with unknown standard deviations specific to the process of interest, e.g., for
308 the probability of entering the population: $[\epsilon^{(\lambda)}] = \prod_{y=1}^{n_y} \text{Normal}(\epsilon_y^{(\lambda)}|0, \sigma^{\epsilon^{(\lambda)}})$. Standard
309 deviation parameters were given half normal priors i.e., $[\sigma^{\epsilon^{(\lambda)}}] = \text{Normal}_+(\sigma^{\epsilon^{(\lambda)}}|0, 1.5)$,
310 and all remaining parameters were given $\text{Normal}(0, 1.5)$ priors. The full factorization of
311 the joint distribution of data and parameters is provided in Appendix A: Joint distribution
312 factorization.

313 **Parameter estimation**

314 We implemented the model in Stan, a probabilistic programming language, and sampled
315 from the approximate posterior distribution of parameters with automatic differentiation
316 variational inference (Kucukelbir et al. 2015, Carpenter et al. 2016). We used the forward
317 algorithm (Zucchini et al. 2016) to circumvent the need to sample from the discrete

318 space of true individual states (see Appendix B: Forward algorithm description for
319 details). Variational inference uses a simple family of distributions as an approximation
320 of the posterior distribution, optimizing parameters of this simpler approximation
321 (the variational distribution) to minimize the Kullback-Leibler divergence between
322 the true posterior and the variational distribution. A variational approximation was
323 necessary for the models to run in a reasonable amount of time ($\approx 2 - 4$ hours) on finite
324 resources (r4.2xlarge EC2 instances on Amazon Web Services, with 8 virtual CPUs and
325 61 GiB RAM). To verify our model implementation, we simulated data with known
326 parameters from the model to evaluate parameter recovery, and check that parameters
327 were identifiable. These simulations allowed us to identify a lack of identifiability in
328 an earlier model specification which included multiplicative interaction terms between
329 winter severity and Bd load for both the probability of entering the population, and the
330 probability that new adults were infected conditional on recruitment.

331 All code and data necessary to reproduce the analysis and manuscript is publicly
332 available at <https://www.github.com/snar11/sierra-reintroduction-cmr> (Joseph and
333 Knapp 2018). The workflow is wrapped into GNU Make command (Stallman et al.
334 2004), the manuscript is written in R Markdown (Allaire et al. 2018), and we used the
335 R programming language (R Core Team 2017) with the `assertthat`, `ggrepel`, `ggridges`,
336 `ggthemes`, `lubridate`, `mgcv`, `patchwork`, `reshape2`, `rstan`, and `tidyverse` packages to facilitate
337 data processing, model fitting, and visualization (Wood 2004, Wickham 2007, 2017a,
338 2017b, Grolemund and Wickham 2011, Stan Development Team 2016, Pedersen 2017,
339 Slowikowski 2017, Arnold 2018, Wilke 2018). The computational environment with these
340 dependencies is containerized via Docker, and the Dockerfile for the image exists in the
341 GitHub repository for this project (Boettiger 2015).

342 Results

343 At both sites, infected adults were easier to detect than uninfected adults, and detection
344 probabilities also increased with survey air temperature (Figure 2A). For a survey with
345 an air temperature of 17 °C, the estimated probability of detecting an uninfected adult
346 at Alpine was 0.157 (0.15, 0.163; posterior median and 90% CI), but for an infected
347 adult with average Bd load, the probability of detection was 0.324 (0.306, 0.34). At
348 Subalpine, for a survey with the same air temperature, the probability of detecting an
349 uninfected adult was 0.19 (0.17, 0.211), but the probability of detecting an infected
350 adult with an average Bd load was 0.598 (0.551, 0.646). Among infected adults, there
351 was evidence for additional increases in detectability with increases in Bd load in the
352 Alpine population (posterior median for $\beta^{(p,z)}$: 0.107, 90% CI: 0.086, 0.129) but not in the
353 Subalpine population ($\beta^{(p,z)}$: 0.001, 90% CI: -0.056, 0.053) (Figure 2B).

354 Despite the two study populations being 12 km apart, mean Bd loads among infected
355 adults varied synchronously between populations. After initial introduction in 2006 or
356 2008, mean loads at both sites were typically between 1,000 and 10,000 copies, with
357 a reduction in mean Bd load during the year following the first introduction (Figure
358 3). Thereafter, loads were relatively low and stable through 2012. At both sites mean
359 loads were uncharacteristically low in 2013 but increased in subsequent years until
360 reaching a peak in 2016. Mean Bd loads at Subalpine tended to be higher than at Alpine,
361 particularly in the period from 2015 - 2017. The estimated correlation over time between
362 mean log Bd loads at the two study sites was 0.636 (0.315, 0.861). This correlation was
363 not due to a shared response to winter severity because winter severity did not influence
364 Bd load at either site (at Alpine $\beta^{(\mu)}$: -0.12 (-0.604, 0.37), at Subalpine $\beta^{(\mu)}$: -0.022
365 (-0.909, 0.912).

366 Following initial introduction, both sites experienced multiple years of low abundance,
367 but in 2013 the adult population at Alpine began increasing, reaching values upwards

368 of 400 adults by late-summer 2016 (Figure 4A). In contrast, at Subalpine, the adult
369 population probably has not exceeded 50 individuals over much of the study duration,
370 and introduction events account for the largest recruitment pulses. At both sites, infected
371 adults tended to outnumber uninfected adults throughout the study period. The total
372 number of adults to have ever existed (N_s) was estimated to be 768 (686, 848) at Alpine,
373 and 172 (147, 212) at Subalpine.

374 Infected adults experienced decreased overwinter survival, especially in the Subalpine
375 population, unlike uninfected adults which maintained overwinter survival that was
376 comparable to within-summer survival (Figure 4B). Bd load reduced infected adult
377 survival at Alpine (Figure 5), with $\beta^{(\phi^+,z)}$ estimated to be -0.132 (-0.211, -0.045) at Alpine,
378 and -0.078 (-0.199, 0.05) at Subalpine. Winter severity appeared to increase survival of Bd
379 infected adults at Alpine ($\beta^{(\phi^+,s)}$: 0.303 (0.173, 0.436), but may have been associated with
380 lower survival at Subalpine (-0.239 (-0.465, 0)). At both sites, winter severity coefficients
381 overlapped zero for uninfected adults ($\beta^{(\phi^-,s)}$: -0.044 (-0.735, 0.661) at Alpine, and 0.349
382 (-0.139, 0.842) at Subalpine). Overall, uninfected adults had higher survival at Alpine
383 ($\alpha^{(\phi^-)}$: 4.146 (3.42, 4.883)) than Subalpine ($\alpha^{(\phi^-)}$: 2.183 (1.782, 2.594)) (Figure 4B).

384 Introduced adults survived longer on average at Alpine compared to Subalpine (Figure
385 6). At the end of the summer following the initial introduction of adults at Alpine,
386 the proportion of adults surviving was 0.825 (0.675, 0.95). At Subalpine, only about
387 half (0.583 (0.385, 0.778)) of adults survived to the end of the first summer following
388 initial introduction. In the year after the initial introduction, the estimated proportion
389 of introduced adults surviving at Alpine was 0.675 (0.45, 0.85), and at Subalpine just
390 0.306 (0.139, 0.528). Similar differences in survival were evident following subsequent
391 introductions, with low within-summer and among-year survival at Subalpine, and a
392 higher survival rate at Alpine (Figure 6).

393 Little to no recruitment was observed during the 3-4 years following the initial

394 introductions, consistent with the multi-year larval and juvenile stages in this species
395 (“recruitment” is the addition of new adults to the population). However, Alpine
396 experienced large recruitment pulses in 2013, 2014, and 2016 (Figure 7). The Subalpine
397 population had smaller pulses of recruitment during the period 4-6 years after the
398 introduction, but little recruitment in subsequent years (Figure 7). Recruitment pulses
399 were asynchronous between the two populations, particularly in 2016 when a very large
400 pulse of recruits was observed at Alpine but not Subalpine.

401 Recruitment dynamics varied as a function of winter severity and Bd load. In both
402 populations, winter severity reduced recruitment (at Alpine $\beta^{(\lambda,s)}$: -1.41 (-1.55, -1.264), at
403 Subalpine $\beta^{(\lambda,s)}$: -1.295 (-1.612, -1.001)). Recruitment overwinter (before the first primary
404 period of the year) was less likely than recruitment among within-year primary periods at
405 Alpine ($\beta^{(\lambda,w)}$: -2.763 (-3.946, -1.551)), but more likely at Subalpine ($\beta^{(\lambda,w)}$: 1.677 (1.117,
406 2.256)). Conditional on entering the population, adults at Alpine were more likely to
407 recruit into the infected class when mean Bd loads were high ($\beta^{(\gamma,\mu)}$: 1.195 (0.356, 2.104)),
408 but mean Bd load was not associated with the probability of recruiting as infected at
409 Subalpine ($\beta^{(\gamma,\mu)}$: -0.575 (-2.199, 1.192)), perhaps due to low recruitment and therefore low
410 power to detect such an effect.

411 At both sites, transitioning from the uninfected to the infected adult class (gaining
412 infection) was more likely than transitioning from the infected to uninfected class (losing
413 infection) over most of the study period. Among-year variation in transition probabilities
414 was similar at both sites, with 2013 having higher than average loss-of-infection
415 probabilities (Figure 8A). This was most likely due to exceptionally low mean Bd loads in
416 2013: when Bd loads were low, adults were more likely to lose infection at both study sites,
417 and less likely to gain infection in the Subalpine population (Figure 8B).

418 Discussion

419 The modeling approach developed here provides a quantitative means to assess how
420 climate and disease affect demographic rates and population dynamics in hard-to-sample
421 host populations. This builds on previous research that incorporated individual-level
422 covariates in mark-recapture models (Pledger et al. 2003, Royle 2008, Gimenez and
423 Choquet 2010, Ford et al. 2012), and that evaluated effects of Bd load at the individual
424 level in wild populations (Spitzen-van der Sluijs et al. 2017). Our approach is unique in
425 part because we treat unobserved infection status and intensity as parameters, rather
426 than using imputation to backfill missing intensity values. Accounting for uncertainty
427 in individual-level infection intensity in a Bayesian framework simplifies uncertainty
428 propagation to key parameters including detection and survival probabilities. This may
429 provide a better understanding of how individual traits relate to demographic processes
430 and population dynamics, especially when effects of disease on detectability lead to biased
431 capture histories.

432 Overall, results indicated that the introduction of frogs to Alpine was successful in
433 establishing a large self-sustaining population, but unsuccessful at Subalpine. The success
434 of the introduction effort at Alpine seems to be driven by relatively low Bd loads, high
435 adult survival, and large recruitment pulses into the adult population following mild
436 winters. In contrast, the failure of introductions into Subalpine was associated with higher
437 Bd loads, lower adult survival, and smaller, infrequent recruitment pulses. The estimated
438 effect of Bd load on the survival of infected adults was similar in both populations, but
439 loads on average were higher at Subalpine. Given the negative effect of Bd load on frog
440 survival, the cause of higher loads on frogs at Subalpine is worthy of additional study.
441 In addition, the fact that the effect of Bd load on survival of infected adults was similar
442 in both populations, but loads on average were higher at Subalpine also suggests that
443 the observed introduction outcomes cannot be explained by differences between the two

444 populations in frog tolerance of Bd infection within summer seasons (Råberg et al. 2009,
445 Wilber et al. 2017).

446 The two study lakes were relatively distant from each other and located in catchments
447 with different environmental characteristics, but despite this Bd loads were temporally
448 correlated across the two sites. The synchrony in expected load is worth further
449 investigation, as it may indicate environmental forcing of disease dynamics, e.g., variation
450 due to temperature (Phillott et al. 2013, Cohen et al. 2017), but see (Knapp et al.
451 2011), or some form of connectivity among sites, although the latter possibility seems
452 unlikely. Future studies might also seek to better disentangle landscape-wide factors
453 driving synchrony and local factors that drive differences in mean load, particularly in the
454 context of known mechanisms of disease-induced extinction such as non-density dependent
455 transmission (Rachowicz and Briggs 2007, Orlofske et al. 2018), nonamphibian reservoir
456 hosts (McMahon et al. 2013), and small equilibrium densities in the presence of disease
457 (De Castro and Bolker 2005).

458 Although between-population differences in Bd load provide a straightforward explanation
459 for the lower survival of infected adults at Subalpine compared to Alpine (Briggs et
460 al. 2010), it is less obvious why uninfected adults also had lower survival at Subalpine.
461 Low uninfected survival may result from differences in habitat characteristics and/or
462 the abundance of terrestrial or aquatic predators that prey on adult frogs. For example,
463 in 2013 ten translocated frogs at each site were tracked for several weeks using radio
464 telemetry. At Subalpine, two of these frogs were preyed on by garter snakes (*Thamnophis*
465 *elegans*, (Jennings et al. 1992)) and no evidence of predation was observed at Alpine.

466 We found that infected adults were easier to detect than uninfected adults, and at Alpine,
467 infection load increased detection probability among infected individuals. This could relate
468 to infection-related behavior that makes frogs easier to find and/or capture (Johnson
469 2002). For example, some amphibians increase feeding activity when infected with Bd

470 (Hess et al. 2015). If this is the case for *R. sierrae*, we might expect frogs to spend more
471 time around the lake edge, where they would be more conspicuous to observers. Some
472 previous studies have assumed equal detectability among infected and uninfected frogs
473 (Briggs et al. 2010, Stegen et al. 2017), while others have not (Retallick et al. 2004,
474 Murray et al. 2009, Phillott et al. 2013). Our results indicate that even accounting for
475 unequal detectability between uninfected and infected animals may not be sufficient,
476 because Bd load may further affect detectability among infected individuals. Future
477 studies should evaluate conditions under which disease impacts on detectability could bias
478 estimates of demographic rates in wild populations.

479 The recruitment model developed here may be somewhat simplistic in its focus on adults.
480 In reality, recruitment into the adult population is a function of dynamics in the subadult
481 and larval populations. In particular, in mountain yellow-legged frogs and many other frog
482 species Bd infection imposes heavy mortality during metamorphosis, but disease effects on
483 larval stages are relatively weak (Rachowicz and Vredenburg 2004, Rachowicz et al. 2006).
484 Therefore, to fully understand how factors such as winter severity and disease influence
485 population dynamics by way of affecting larvae, subadults, and adults, future efforts
486 might focus on incorporating elements of integral projection models (Wilber et al. 2016)
487 and integrated population models (Schaub and Abadi 2011) with hidden Markov models
488 similar to those we developed in this study. A joint model for larval, subadult, and adult
489 population dynamics would be better able to account for time lags resulting from the 1-4
490 year larval development period of this species (Vredenburg et al. 2005). For example, one
491 severe winter could have long-lasting effects if it slows the development of larvae so that
492 they develop in three rather than two years, but this effect might not be detectable as
493 a signal for adult recruitment until four years after the severe winter, depending on the
494 growth rate of subadult frogs.

495 Our results provide important insights into causes of population establishment or likely

496 failure for the endangered *R. sierrae*. The two study populations showed very different
497 patterns of adult survival and recruitment, and in particular, within a population
498 the estimated survival of introduced cohorts was remarkably consistent across all
499 introductions. Although the generality of results obtained from these two populations need
500 to be assessed using data from mark-recapture efforts at other reintroduced populations,
501 the results suggest that the estimated survival of reintroduced frogs could provide an
502 early indication of the site-specific probability of introduction success. In addition, in the
503 future, when survival estimates of reintroduced frogs are available from a larger number of
504 populations, these estimates could eventually allow the identification of site characteristics
505 associated with likely introduction success or failure. This predictive ability would greatly
506 increase the effectiveness of mountain yellow-legged frog recovery efforts.

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526 **Author contributions**

527 R.A.K. designed and performed the research; R.A.K. assembled and maintained the study
528 data set; M.B.J. and R.A.K. developed the models, M.B.J. analyzed the data; M.B.J. and
529 R.A.K. wrote the paper.

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721 Tables

Table 1 Schedule of frog introductions to the study lakes.

Lake	Year	Number of frogs
Alpine	2006	40
.	2013	20
Subalpine	2008	36
.	2013	19
.	2015	20
.	2017	30

722 **Figure legends**

723 **Figure 1**

724 Summary of hidden Markov model structure. On the first primary period $t = 1$, we
725 assume that all individuals $i = 1, \dots, M$ are in the not-recruited class. The second
726 primary period represents the first introduction. In this example, two surveys $j = 1, 2$ are
727 conducted on the third primary period. The fourth primary period is a dummy period,
728 inserted to increase the regularity of time intervals between primary periods, and has no
729 associated surveys.

730 **Figure 2**

731 A. Estimated detection probabilities as a function of survey air temperature for
732 Bd-infected and uninfected adults. The ribbons represent the 90% posterior credible
733 interval, and the line represents the posterior median. Predictions for Bd infected adults
734 correspond to individuals with average infection loads at each site. B. Parameter estimates
735 for the detection model. Each of the detection parameters are shown on the y-axis. Color
736 indicates population.

737 **Figure 3**

738 *Batrachochytrium dendrobatidis* (Bd) infection loads over time. Observed load values from
739 skin swabs are shown as points on a log10 scale, colored by site. The estimated average Bd
740 infection load is shown as a line (posterior median) with a 90% credible interval ribbon.

741 **Figure 4**

742 Estimated abundance (A) and survival (B) time series for infected and uninfected adults
743 at both populations. Time is shown on the x-axis, with facets for years. Posterior medians
744 for each primary period are shown as points connected by line segments, and the 90%
745 credible interval is shown as a shaded ribbon. In A, the vertical dashed lines indicate
746 introduction events, and the number of adults added in each event is indicated with a
747 “+” symbol. Also note the difference in the y-axis scales between the two sites.

748 **Figure 5**

749 Estimated survival probabilities for within-summer and overwinter transitions among
750 infected adults as a function of Bd infection load. The x-axis shows Bd load over the range
751 of observed values. The y-axis represents survival probabilities. Posterior medians are lines
752 and 90% credible intervals are ribbons.

753 **Figure 6**

754 Estimated survival of introduced adults at both populations, colored by introduction event.
755 Time is shown on the x-axis, with the proportion of adults surviving on the y-axis. The
756 number of individuals introduced in each introduction event is labeled as (n=...). The
757 ribbons represent the 90% posterior credible interval, with the midline representing the
758 posterior median.

759 **Figure 7**

760 Estimated cumulative number of natural recruits into the adult population at both sites
761 over time (on a log₁₀ scale). The ribbons represent the 90% posterior credible interval,

762 with the midline representing the posterior median. Dots connected by lines represent
763 primary periods.

764 **Figure 8**

765 A. Estimated transition probabilities between the uninfected and infected adult classes at
766 both sites in each year. Ribbons represent the 90% posterior credible interval, with the
767 midline representing the posterior median. Dots connected by lines represent years. B.
768 Estimated transition probabilities from the uninfected adult class to the infected adult
769 class and vice versa as a function of expected Bd load among infected adults. The line
770 represents the posterior median, and the ribbons indicate the 95% credible interval, with
771 colors differentiating study sites.

772 **Figures**

Figure 1

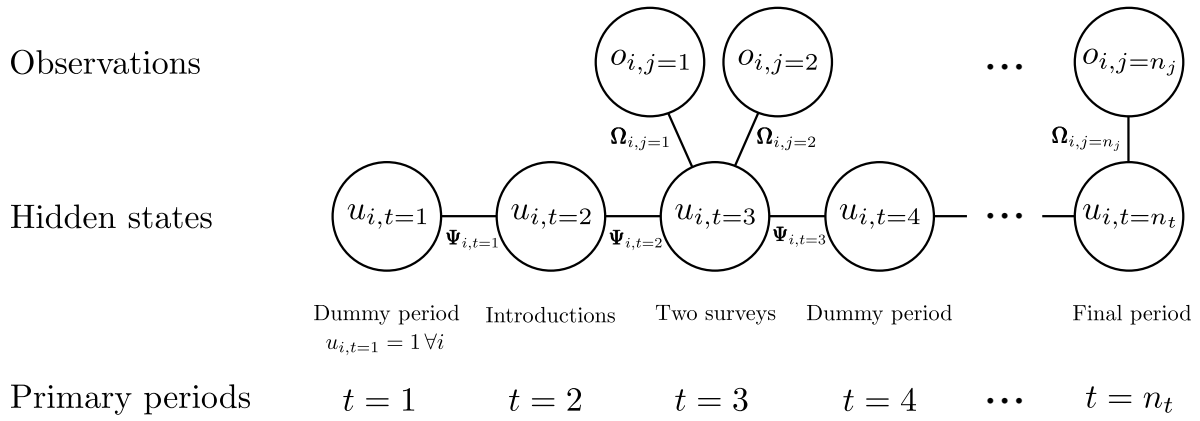


Figure 2

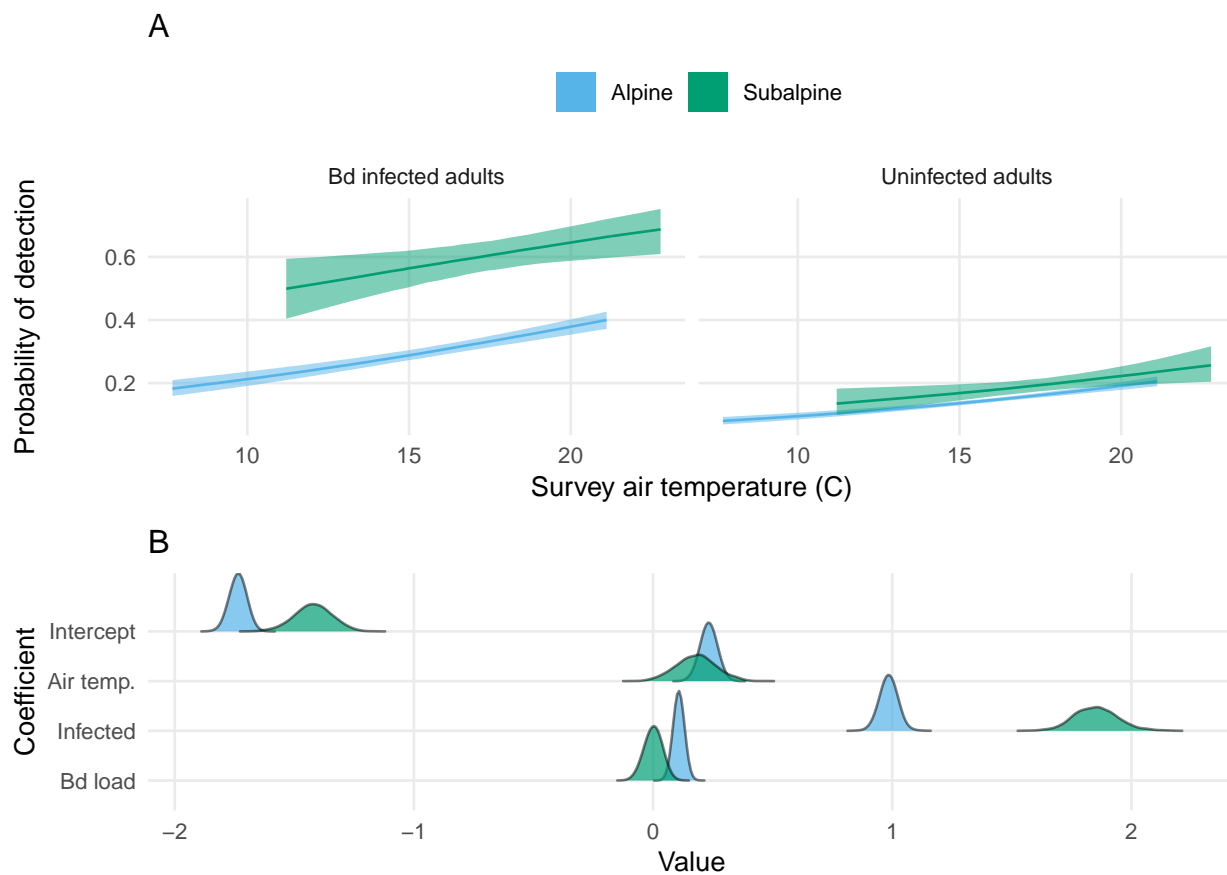


Figure 3

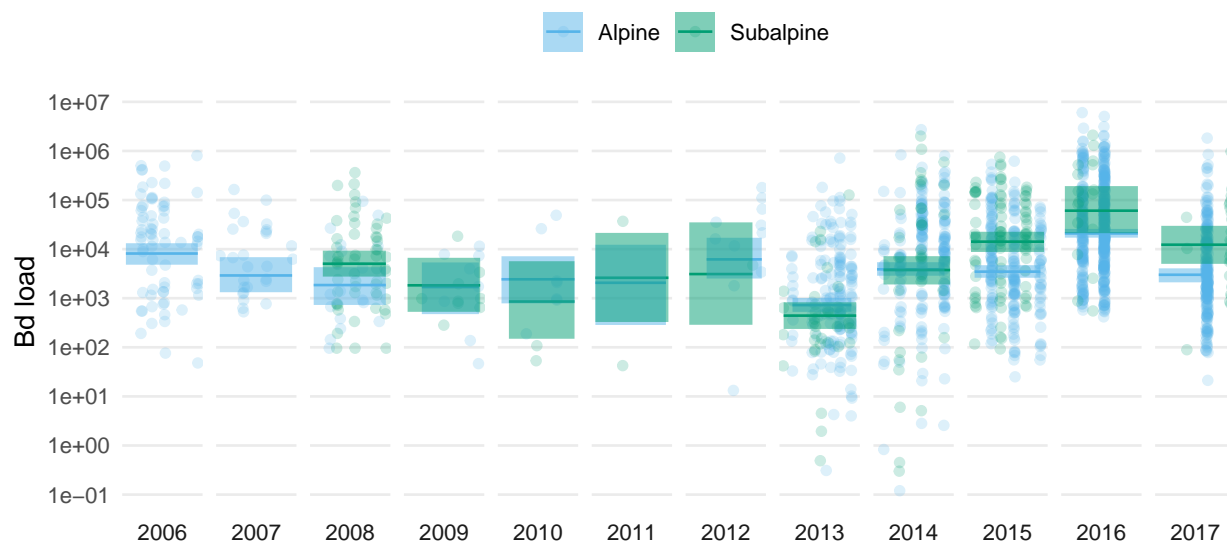


Figure 4



Figure 5

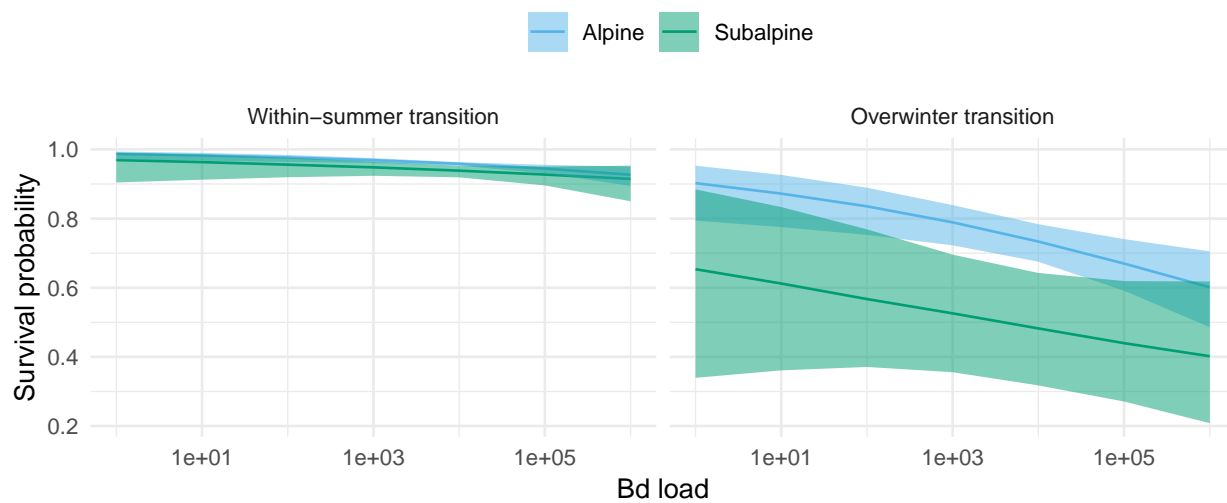


Figure 6

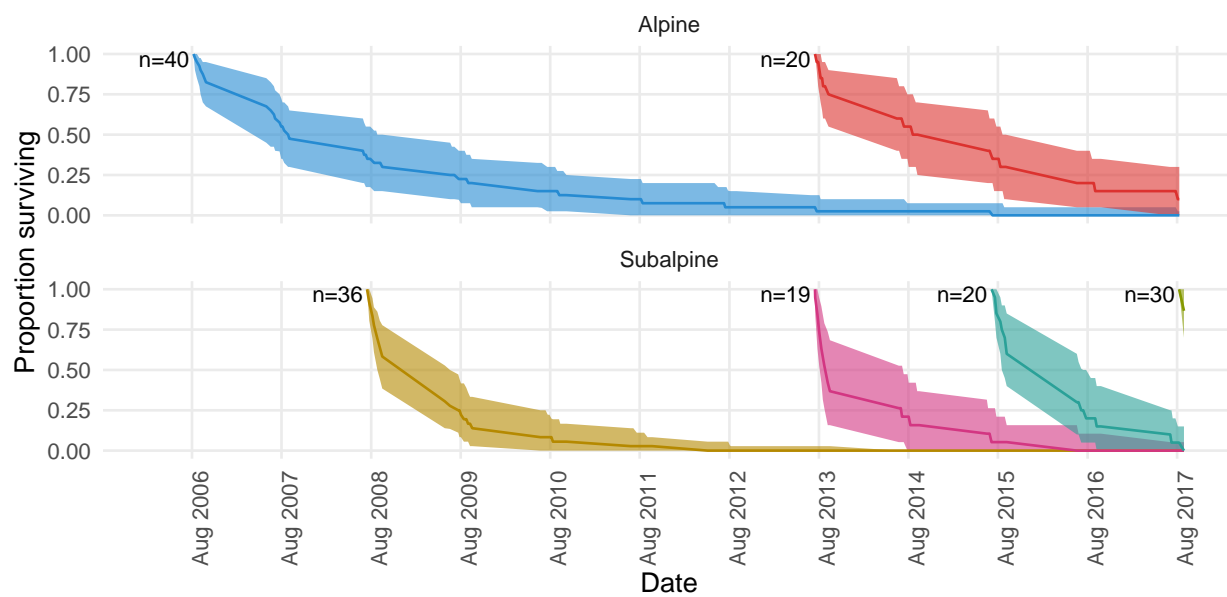


Figure 7

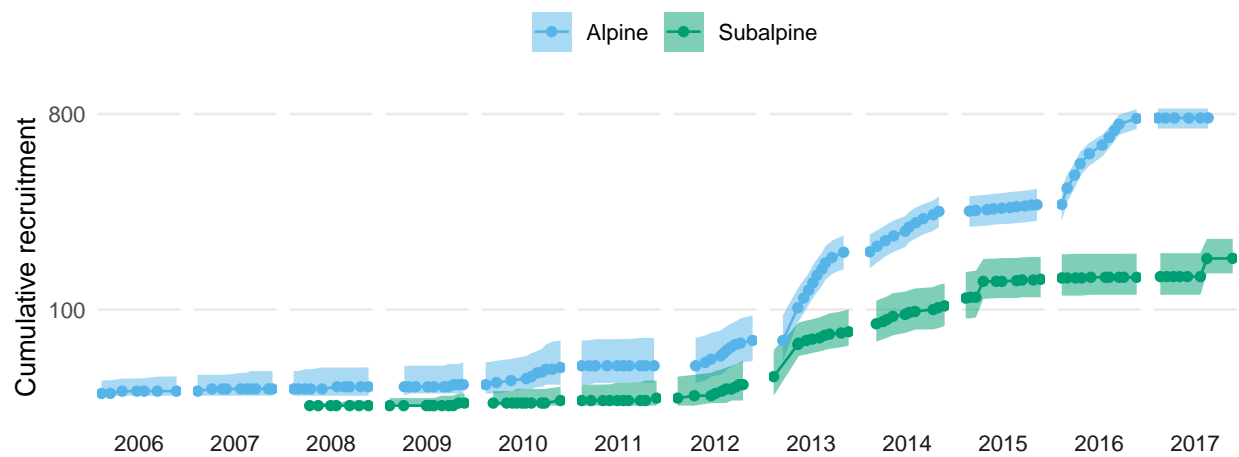
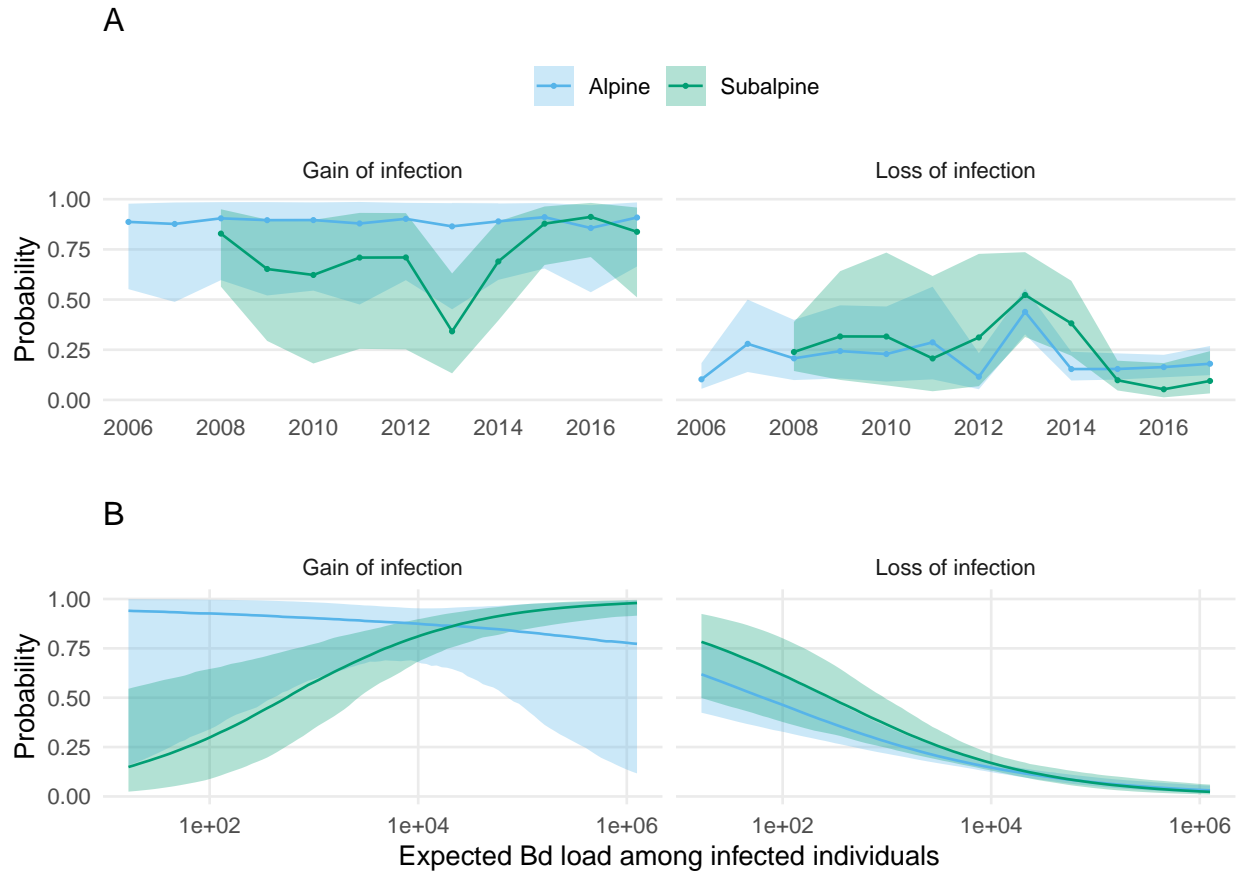


Figure 8



773 Appendices

774 Appendix A: Joint distribution factorization

775 For completeness, we specify the factorization of the joint distribution of data and
776 parameters (the unnormalized posterior density) below. We represent all unknowns
777 specific to the detection model as a vector $\theta^{(p)} = (\alpha^{(p)}, \beta^{(p,x)}, \beta^{(p,+)}, \beta^{(p,z)})$, parameters
778 specific to the probability of entry model as a vector $\theta^{(\lambda)}$, to the probability of recruiting
779 into the infected adult class given that an individual has entered the population as $\theta^{(\gamma)}$,
780 to uninfected survival as $\theta^{(\phi^-)}$, and to the infected survival model component as $\theta^{(\phi^+)}$.
781 Concatenating these two vectors gives a vector that contains all unique survival model
782 parameters: $\theta^{(\phi)} = (\theta^{(\phi^-)}, \theta^{(\phi^+)})$. Last, we represent parameters unique to transitions from
783 the infected to uninfected class as $\theta^{(\eta^-)}$, and from the uninfected to infected class as $\theta^{(\eta^+)}$,
784 with both concatenated as $\theta^{(\eta)} = (\theta^{(\eta^-)}, \theta^{(\eta^+)})$. The resulting joint distribution is:

$$\begin{aligned}
 & \overbrace{\prod_{i=1}^M [u_{i,1:n_t}, o_{i,1:n_j} | \theta^{(\lambda)}, \theta^{(\gamma)}, \theta^{(\phi)}, \theta^{(\eta)}, \theta^{(p)}, z_{i,1:n_t}]}^{\text{Hidden states and observations}} \times \\
 & \overbrace{[\mathbf{Z} | \mu, \sigma][\mu | \alpha^{(\mu)}, \beta^{(\mu)}, \sigma^{(\mu)}][\alpha^{(\mu)}][\beta^{(\mu)}][\sigma^{(\mu)}][\sigma]}^{\text{Bd model}} \times \\
 & \overbrace{[\alpha^{(\lambda)}][\beta^{(\lambda,w)}][\beta^{(\lambda,s)}][\beta^{(\lambda,1)}] \prod_{y=1}^{n_y} [\epsilon_y^{(\lambda)} | \sigma^{(\lambda)}][\sigma^{(\lambda)}]}^{\text{Prior distributions}} \times \\
 & [\alpha^{(\gamma)}][\beta^{(\gamma,\mu)}] \prod_{y=1}^{n_y} [\epsilon_y^{(\gamma)} | \sigma^{(\gamma)}][\sigma^{(\gamma)}] \times \\
 & [\alpha^{(\phi^-)}][\beta^{(\phi^-,w)}][\beta^{(\phi^-,s)}] \prod_{y=1}^{n_y} [\epsilon_y^{(\phi^-)} | \sigma^{(\phi^-)}][\sigma^{(\phi^-)}] \times \\
 & [\alpha^{(\phi^+)}][\beta^{(\phi^+,w)}][\beta^{(\phi^+,s)}][\beta^{(\phi^+,z)}] \prod_{y=1}^{n_y} [\epsilon_y^{(\phi^+)} | \sigma^{(\phi^+)}][\sigma^{(\phi^+)}] \times \\
 & [\alpha^{(\eta^-)}][\beta^{(\eta^-,\mu)}] \prod_{y=1}^{n_y} [\epsilon_y^{(\eta^-)} | \sigma^{(\eta^-)}][\sigma^{(\eta^-)}] \times \\
 & [\alpha^{(\eta^+)}][\beta^{(\eta^+,\mu)}] \prod_{y=1}^{n_y} [\epsilon_y^{(\eta^+)} | \sigma^{(\eta^+)}][\sigma^{(\eta^+)}] \times \\
 & [\alpha^{(p)}][\beta^{(p,x)}][\beta^{(p,+)}][\beta^{(p,z)}][\delta].
 \end{aligned}$$

785 Appendix B: Forward algorithm description

786 Parameter estimation for this model is made somewhat difficult by the presence of discrete
 787 parameters (hidden states). We address this issue by using the forward algorithm, which
 788 does not require sampling from the discrete state space, to compute the joint probability
 789 of hidden states and observations (Zucchini et al. 2016). To describe this algorithm,
 790 we first consider the case of one individual. We would like to compute $[u_{i,1:n_t}, o_{i,1:n_j} | \dots]$
 791 (suppressing dependence on detection and transition parameters for compactness) for the
 792 individual with state and capture history shown in Figure 1.

793 We can factor this joint probability as follows:

$$[u_{i,1:n_t}, o_{i,1:n_j}] = [u_{i,1}][u_{i,2}|u_{i,1}][u_{i,3}|u_{i,2}][o_{i,j=1}|u_{i,3}][o_{i,j=2}|u_{i,3}][u_{i,4}|u_{i,3}] \dots [u_{i,n_t}|u_{i,n_t-1}][o_{i,n_j}|u_{i,n_t}].$$

794 If all of the unknown states were known, this would be as simple as extracting the relevant
 795 probabilities from $\Psi_{i,t}$ and $\Omega_{i,j}$. Assuming that all individuals in the first primary period
 796 are in the “not recruited” class ($u_{i,1} = 1 \forall i$) implies that $[u_{i,1}] = (1\ 0\ 0\ 0)$, where each
 797 element in the row vector represents the probability of being in hidden state 1, 2, 3, and
 798 4, respectively. If we define: $\mathbf{P}(o_{i,j}) = \text{diag}(\Omega_{i,j,o_{i,j}})$ to be the square matrix acquired by
 799 placing the elements of column $o_{i,j}$ from $\Omega_{i,j}$ along the diagonal (with zeros elsewhere),
 800 the forward algorithm provides the joint distribution of hidden states and observations as
 801 follows:

$$[u_{i,1:n_t}, o_{i,1:n_j}] = [u_{i,t=1}] \Psi_{i,t=1} \Psi_{i,t=2} \mathbf{P}(o_{i,j=1}) \mathbf{P}(o_{i,j=2}) \Psi_{i,t=2} \dots \Psi_{i,t=n_t-1} \mathbf{P}(o_{i,j=n_j}) \mathbf{1}'$$

802 where $\mathbf{1}'$ is a column vector of ones. More generally, we can compute this probability by
 803 defining $\mathbf{B}_{i,t} = \Psi_{i,t-1} \prod_{j \sim t} \mathbf{P}(o_{i,j})$, where $j \sim t$ indicates surveys that took place in primary
 804 period t (if no surveys took place, then $\mathbf{B}_{i,t} = \Psi_{i,t-1}$). Then bringing back dependence on
 805 all other detection and transition parameters into our notation, we can compute the joint
 806 probability of hidden states and the observation history compactly as:

$$[u_{i,1:n_t}, o_{i,1:n_j} | \theta^{(\lambda)}, \theta^{(\gamma)}, \theta^{(\phi)}, \theta^{(\eta)}, \theta^{(p)}, z_{i,1:n_t}] = [u_{i,t=1}] \prod_{t=2}^{n_t} \mathbf{B}_{i,t} \mathbf{1}'.$$