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# 1 EVOLUTIONARY HIGHWAYS TO

# <sup>2</sup> PERSISTENT BACTERIAL INFECTION

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## 15 KEYWORDS

Evolution; Persistent Infection; Antibiotic Resistance; Cystic fibrosis; *Pseudomonas aeruginosa*; Clinical Isolates; Longitudinal Analysis; Data Modeling; Genotype-Phenotype
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## 19 ABSTRACT

20 Persistent infections require bacteria to evolve from their naïve colonization state by optimizing fitness in the host. This optimization involves coordinated adaptation of multiple 21 22 traits, obscuring evolutionary trends and complicating infection management. Accordingly, we screen 8 infection-relevant phenotypes of 443 longitudinal Pseudomonas aeruginosa 23 24 isolates from 39 young cystic fibrosis patients over 10 years. Using statistical modeling, we 25 map evolutionary trajectories and identify trait correlations accounting for patient-specific 26 influences. By integrating previous genetic analyses of 474 isolates, we provide a window into early adaptation to the host, finding: 1) a 2-3 year timeline of rapid adaptation after 27

colonization, 2) variant "naïve" and "adapted" states reflecting discordance between phenotypic and genetic adaptation, 3) adaptive trajectories leading to persistent infection via distinct evolutionary modes, and 4) new associations between phenotypes and pathoadaptive mutations. Ultimately, we effectively deconvolute complex trait adaptation, offering a framework for evolutionary studies and precision medicine in clinical microbiology.

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Bacteria have spent millennia evolving complex and resilient modes of adaptation to new 35 36 environments, and some species effectively deploy these skills as pathogens during 37 colonization and persistence within human hosts<sup>1–3</sup>. Due to gradual increases in fitness via 38 accumulating genetic and epigenetic changes, it has been difficult to pinpoint overarching 39 drivers of adaptation (from systems-level traits down to individual mutations) that reliably 40 signal fitness [Leon et al. 2018]. Distinct populations may travel along the same predictable 41 path to successful persistence, but other unique sequences of multi-trait adaptation can be equally optimal<sup>4</sup> in a complex, fluctuating environment<sup>5</sup>. This is even more relevant in a 42 43 clinical context where dynamic selection pressures are applied via therapeutic treatment 44 intended to eradicate infection.

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46 Even for a well-studied model system of bacterial persistence and chronic infection such as the airway infections of cystic fibrosis (CF) patients, evolutionary trajectories remain difficult 47 to map due in part to competing modes of evolution. We know from laboratory evolution 48 49 studies in highly controlled conditions that these multiple modes are at work and induce substantial phenotypic adaptation to minimal media within the initial 5,000-10,000 50 generations<sup>6–8</sup>, but only an estimate is available of the timeline of adaptation in the complex 51 52 CF lung environment<sup>9</sup>. Multiple recent studies have shown a high degree of population 53 heterogeneity in chronic CF infections that could be influenced by competing evolutionary 54 modes, but past consensus has been that select traits converge torwards similar "evolved" states during most CF infections (e.g. loss of virulence and increase in antibiotic 55 resistance)<sup>3,10–12</sup>. This convergence can be complex and drug-driven, as recent studies have 56 shown development of collateral sensitivity to antibiotics (treatment with one drug can 57 induce reciprocal changes in sensitivity to other drugs)<sup>13</sup>; this illustrates that a single selection 58

59 pressure can reversibly affect multiple other traits, obscuring evolutionary trends. Bacterial 60 infections of CF airways are thus influenced by strong and competing selective forces from 61 very early in a patient's life, but few studies have focused on the early periods of infection 62 where environmental strains transition to successful pathogens in patient lungs.

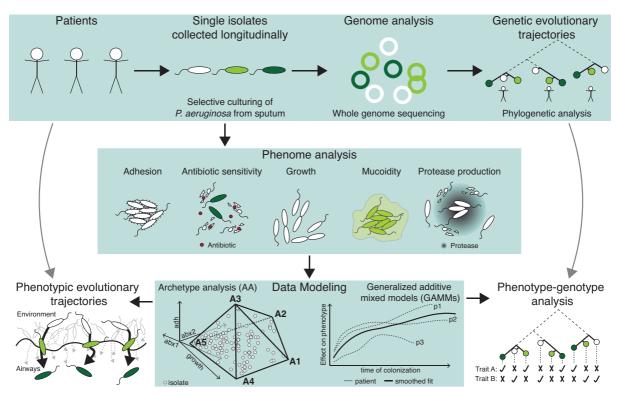
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Studies have assessed the genetic evolution of human pathogens and identified specific 64 genetic adaptations correlating with colonization and persistence<sup>14–16</sup>. However, only a few 65 have linked genotypic and phenotypic changes<sup>2,9,17,18</sup>, as this is especially challenging in 66 natural populations. The genetic signature of adapting phenotypes is obscured over the 67 68 course of evolution by the continuous accumulation of mutations and acclimatization by 69 environment-based tuning of pathogen activity. Furthermore, it is inherently difficult to 70 identify genotype-phenotype links for complex traits governed by multiple regulatory networks<sup>19,20</sup>. Consequently, we are far from the reliable prediction of phenotypic adaptation 71 by mutations alone during evolution in a complex, dynamic environment<sup>19,21</sup>, and we propose 72 73 that for now, phenotypic characterization is equally important.

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75 To address the complexity of pathogen adaptation in the host environment, we analyzed our 76 phenotypic dataset using statistical methods that account for the environmental effects on 77 patient-specific lineages (Generalized Additive Mixed Models – GAMMs) and assess adaptive 78 paths traversing the evolutionary landscape from a multi-trait perspective (Archetype Analysis – AA). We identify emergent patterns of bacterial phenotypic change across our 79 80 patient cohort that depart from expected evolutionary paths and estimate the period of initial 81 rapid adaptation during which the bacteria transition from a "naïve" to an "evolved" 82 phenotypic state. We further identify distinct and repeating trajectories of pathogen evolution, and by leveraging our prior genomics study of this isolate collection<sup>16</sup>, we propose 83 84 new associations between these phenotypic phenomena and genetic adaptation. We find 85 that specific traits, such as growth rate and ciprofloxacin resistance, can serve as rough estimators of adaptation in our patients, while multi-trait modeling can map complex, 86 patient-specific trajectories towards distinct evolutionary optimums that enable persistence. 87 88 Implementation of this trajectory modeling as a diagnostic tool in patient care might enable 89 clinicians to respond more quickly and effectively to evolving pathogens and inhibit the 90 transition to a persistent infection.

# 92 **RESULTS**



**Figure 1.** *Study design.* **Upper panel:** Every month, CF patients are seen at the CF clinic at Rigshospitalet in Copenhagen, Denmark. Here they deliver a sputum or endolaryngeal suction sample where selective microbiological culturing is performed<sup>76</sup>. The longitudinally collected isolates have been genome sequenced and analyzed previously<sup>16</sup>. **Middle panel:** Longitudinally collected isolates have been subjected to different phenotypic analyses for this study and are here (**lower panel**) analyzed using two data modelling approaches: Archetype Analysis (AA) and Generalized Additive Mixed Model (GAMM). By integrating these approaches, we map dominant evolutionary trajectories and analyze mechanistic links between phenotypic and genetic adaptation.

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## 95 Evaluating pathogen adaptation in the early stage of infection

96 A unique dataset. The 443 clinical P. aeruginosa isolates originate from a cohort of 39 youth 97 with CF (median age at first *P. aeruginosa* isolate = 8.1 years) treated at the Copenhagen CF 98 Centre at Rigshospitalet and capture the early period of adaptation, spanning 0.2-10.2 years 99 of colonization by a total of 52 clone types. Of these isolates, 373 were previously characterized in a molecular study of adaptation<sup>16</sup>. The "colonization time of an isolate" (CoIT) 100 is defined for each specific lineage, approximating the length of time since a given clone type 101 102 began colonization of the CF airways in the specific patient. Importantly, our colonization time metric does not necessarily start at the true "time zero", since a significant bacterial load is 103 104 necessary for a positive culture. Our isolate collection also does not capture the complete population structure, but a previous study shows that 75% of our patients have a monoclonal
infection persisting for years with mutations accumulating in a highly parsimonious fashion
indicating unidirectional evolution<sup>16</sup>. Additionally, a metagenomic study of 4 patients from
our cohort indicates that the single longitudinal isolates are representative of the major
propagating subpopulation<sup>22</sup>.

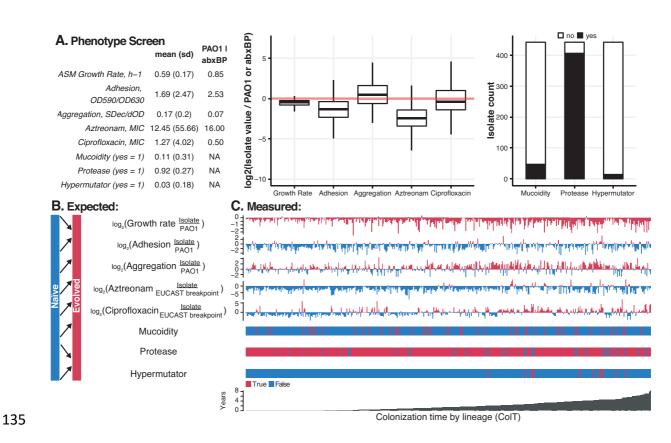
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To obtain systems-level readouts of pathogen adaptation in the host and thereby assess 111 112 multi-trait evolutionary trajectories, we present an infection-relevant characterization of our 113 isolate collection entailing high-throughput measurements of 8 phenotypes: growth rates (in 114 Luria-Bertani broth (LB) and Artificial Sputum Medium (ASM)), antibiotic susceptibility (to 115 ciprofloxacin and aztreonam), virulence factors (protease production and mucoidity), and 116 adherence (adhesion and aggregation) (Figure 1 and 2). We define adherence as a shared trend in adhesion and aggregation which we associate with a biofilm-like lifestyle (see 117 118 Methods for further discussion of limitations of these measures). These phenotypes are 119 generally accepted to change over the course of colonization and infection of CF airways based primarily on studies of chronically-infected patients<sup>10,17,23,24</sup>. 120

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122 That is, an "evolved" isolate would grow slowly, adhere proficiently, be more likely to exhibit 123 a mucoid and/or hypermutator phenotype, have reduced protease production, and resist 124 antibiotics, in contrast to a "naïve" isolate (Figure 2B). However, simply ordering our 125 measurements by colonization time does not illustrate an overarching adaptive trajectory 126 from naïve to evolved phenotypes (Figure 2C). Instead, we see substantial heterogeneity, with 127 isolates that resemble both naïve and evolved phenotypic states throughout the study period. 128 Given that we are investigating a unique collection from a young patient cohort that we track 129 for a substantial period of colonization, this data fills the critical gap between studies of acute infections and chronic infections<sup>25</sup>. We are surprised to see naïve phenotypes retained in late 130 colonization as well as isolates in early colonization that deviate significantly from PAO1 131 phenotypes. However, a general pattern of heterogeneity is in alignment with previous 132 133 studies of both *P. aeruginosa* and *Burkholderia* spp. infections<sup>3,11,12</sup>.

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**Figure 2.** *Phenotypic characterization.* We present summary statistics of our phenotype screen including **(A)** mean and standard deviation of isolate data versus the *P. aeruginosa* PAO1 value or antibiotic breakpoint we use for normalization, respectively, as well as boxplots of continuous variables (showing the median, 1<sup>st</sup> and 3<sup>rd</sup> quartile hinges, whiskers extending from the hinges to the most extant value within 1.5x inter-quartile range, and outliers as points). We then compare the **(B)** expected adaptation over time based on field consensus versus **(C)** the measured raw adaptation of our isolate collection over time. After sorting the isolates (x-axis) by the time since colonization of a specific lineage or "colonization time" (CoIT), it is still difficult to see consistent patterns of phenotypic change over time. Colors are linked with the expected change of the specific phenotype (B), so that blue denotes a "naïve" phenotype and red denotes an "evolved" phenotype. For growth rate (in artificial sputum medium (ASM)), adhesion, and aggregation, naïve and evolved phenotypes are roughly divided by comparison with the reference isolate PAO1 phenotype. For aztreonam and ciprofloxacin MIC, naïve and evolved phenotypes are based on sensitivity or resistance as indicated by the EUCAST breakpoint values as of March 2017.

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A unique modeling approach. Because our data is heterogeneous, we required specialized 137 138 modeling approaches to account for specific environmental pressures and assess the 139 boundaries of the evolutionary landscape. Previous studies have employed linear mixed models of phenotypic adaptation<sup>26</sup>, and employed archetype analysis in the comparison of 140 features of transcriptomic adaptation by *P. aeruginosa*<sup>27</sup>. Similar studies of multi-trait 141 evolutionary trade-offs using polytope fitting have predicted the genetic polymorphism 142 structure in a population<sup>28</sup>. We use related modeling methods to ensure that patient-specific 143 144 effects are minimized, irregular sampling intervals are smoothed and a multi-trait perspective 145 is prioritized by 1) modeling the dynamic landscape of multi-trait evolution using AA and 2) 146 evaluating temporal correlations of phenotypic adaptation by fitting cross-patient trendlines

using GAMMs (Figure 1). We describe our approach below in brief, with more extendedexplanation available in both the Methods and Supplements 1 and 2.

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150 With AA, we want to assess multi-trait adaptive paths within the context of the evolutionary 151 landscape. We map these paths (or trajectories) by first fitting idealized extreme isolates ("archetypes") located on the boundaries of the evolutionary landscape and then evaluating 152 every other isolate according to its similarity to these idealized extremes. The archetypes are 153 154 positioned at the "corners" of the principal convex hull (PCH), the polytope of minimal volume 155 that effectively encapsulates our phenotype dataset<sup>29</sup> (Figure 1, bottom panel). We 156 conceptualize archetypes as the "naïve" and "evolved" states of plausible adaptive 157 trajectories and predict both the optimal number of archetypes and their distinct phenotypic 158 profiles. We illustrate the AA by the 2D projection of our multi-trait model via a "simplex" plot, as shown in Figure  $3C^{30}$ . 159

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161 With the GAMMs, we want to predict whether a given phenotype (the "predicted" variable) 162 significantly correlates with other phenotypes or time (the "explanatory" variables). To do 163 this we need to account for the effects of patient specific environments and the effect of 164 sampling time, while fitting trend lines for each trait (Figure 1, bottom panel). This is done by 165 fitting patient and time as random effects; we reduce the risk of overfitting by using a 166 penalized regression spline approach with smoothing optimization via restricted maximum likelihood (REML)<sup>31</sup>. To avoid assumptions of "cause-and-effect" between our variables, we 167 permute through different one-to-one models of all phenotypes, and then reduce our models 168 169 by combining only the statistically significant individual phenotypes into a multi-variable 170 model. We further remove any phenotype that loses significance in the multi-variable model, 171 assuming that it is correlated with a more impactful phenotype. From this point, all mentions 172 of significance are obtained from the GAMM analyses with p-values < 0.01 based on Waldtype tests as described in<sup>31,32</sup>, unless otherwise stated. 173

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## 175 Revealing multi-trait adaptation on a cross-patient scale

176 AA predicted six distinctive archetypes sufficient to describe each isolate within the 177 evolutionary landscape of 5 continuous traits as shown in Figure 3A. We use only growth rate 178 in ASM due to its correlation with growth rate in LB (Figure 3D). The simplex plot of Figure 3C 179 highlights the standout features of each archetype by annotating according to the highest or 180 lowest values for each phenotype across all archetype trait profiles (Figure 3B). This simplex 181 key illustrates that two archetypes resembled naïve and un-evolved isolates with fast growth, 182 antibiotic susceptibility, and low adherence (Archetype A3 and A5), while two others accounted for slow-growing evolved archetypes (A2 and A6), in accordance with the accepted 183 paradigm<sup>10,24</sup>. A substantial portion of isolates in our study resemble the naïve archetypes 184 185 more closely than the evolved archetypes as indicated by their localization in the simplex plot 186 (Figure 3C, most isolates cluster on the left near the naïve archetypes). This aligns with the 187 infection stage of the patients included in this study. Importantly, we also find two regions in 188 the simplex visualization which represent different focal points of adaptation: 1) an increase 189 in adherence (A2 and A4) and 2) ciprofloxacin resistance (A1 and A6).

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We also built a GAMM for each of our six continuous phenotypes to identify whether any of the other traits and time influenced it significantly across our patient cohort (Figure 3D). When evaluating adaptation of the specific phenotypes, we found that the colonization time had a significant impact on both growth rate and sensitivity to ciprofloxacin but did not significantly influence sensitivity to aztreonam (Figure 3C, Figure 4A and 4B), which is a reflection of the regular administration of ciprofloxacin but not aztreonam to our patients<sup>33</sup>.

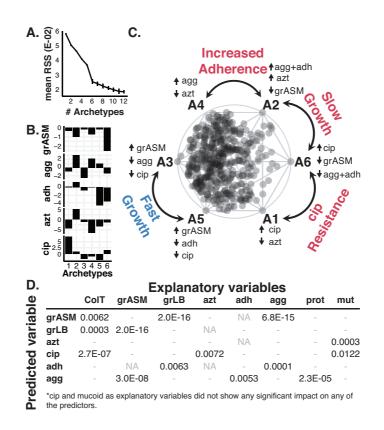


Figure 3. AA and GAMM models. We present a summary of the models underpinning our study of pathogen adaptation. (A) Screeplot showing the average residual sum of squares (RSS) for 25 iterations of each fit of a given number of archetypes. The "elbow" of the plot indicates that six archetypes are sufficient to model our dataset. (B) Characteristic trait profiles describing the 5 distinct phenotype levels that each of our 6 archetypes represents. We use the following abbreviations to represent our normalized data: grASM - growth rate in ASM, agg - Aggregation, adh – Adhesion, azt – aztreonam susceptibility, cip – ciprofloxacin susceptibility. (C) Simplex plot of the AA showing the six archetypes (A1-A6) sorted by their characteristic growth rate (A3 and A5 vs A2 and A6), decreased sensitivity towards ciprofloxacin (A1 and A6), and increased aggregation and adhesion (A2 and A4). All further simplex visualizations are also sorted accordingly and can be interpreted using this key, which is annotated with the extreme phenotype values for each archetype. The complete analysis can be found in Supplementary material 1. (D) P-values for GAMM models with multiple explanatory variables (columns) for the six predictor variables (rows), after model reduction. P-values are only shown for explanatory variables that showed a significant (p-value<0.01) impact on the predictor in question. The complete analysis can be found in Supplementary material 2.

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## 200 Phenotypic trends contrast with CF paradigms

201 An important distinction between AA and GAMMs is that many isolates clearly cluster in AA 202 according to phenotypes whose adaptation is not significantly influenced by time of colonization as shown by GAMMs. This contrast shows the importance of combining these 203 204 approaches to understand our data. As an example, both adhesion and aggregation do not correlate with colonization time for this population of young patients, though we see 205 206 selection for adherence in a few specific patients via AA. That this is not a major trend in our 207 data is surprising when we consider that a biofilm lifestyle is expected to be beneficial to persistence in chronically infected patients<sup>4,34–36</sup>. Furthermore, the biofilm-related metric of 208

209 mucoidity does not significantly correlate with any other measured phenotype, despite its use as an important biomarker of chronic infection in the Copenhagen CF Centre<sup>37</sup>. We 210 211 hypothesize that the rate of adaptation and relative benefit of this phenotype may vary 212 significantly and be sensitive to temporal stresses such as antibiotic treatment. In support of our findings, others have recently shown that the longitudinal relationship between 213 mucoidity and a clinical diagnosis of chronic infection is not as direct as previously expected<sup>38</sup>. 214 215 Together, these results prompt further reassessment of common assumptions regarding the 216 evolutionary objectives of *P. aeruginosa* in CF infections.

217

#### 218 Initial adaptation happens within 3 years of colonization

219 We find that the routes to successful persistence and a transition to chronic infection are initiated early in infection<sup>16,39</sup>. The GAMMs indicate that a substantial change occurs in both 220 221 growth rate and ciprofloxacin susceptibility during the first 2-3 years (5256 - 7884 bacterial generations<sup>23</sup>) of colonization as shown by the slopes in this period (Figure 4A-B). Using AA, 222 223 we also see a substantial shift from naïve towards evolved archetypes as shown by the broad 224 distribution of isolates reaching the outer simplex boundaries by year 3 (Figure 4C), further 225 confirming the rapid adaptation shown by the GAMMs. While the first isolate of each patient 226 in our collection may not represent the true start of adaptation given sampling limitations, 227 the window of rapid adaptation is still likely substantially contracted compared to the previous estimate of within 42,000 generations<sup>9</sup>. In fact, our data resembles the rate of fitness 228 229 improvement found in the laboratory evolution study of *Escherichia coli* that showed change within the first 5,000-10,000 generations<sup>6,7</sup>. 230

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232 Interestingly, the four hypermutator isolates arising in the early adaptation window do not 233 alone define the AA boundary, indicating that the acquisition of a high number of mutations 234 does not explain all extreme phenotypes (Figure 4D, full dataset in Figure S1). To further 235 evaluate parallels between phenotypic and genetic adaptation, we investigated the 236 accumulation of nonsynonymous mutations in coordination with archetypal relationships 237 (Figure 4D-E). We used the isolates representing the first *P. aeruginosa* culture from a patient as the reference point for identification of accumulating mutations. We observed that most 238 239 of the first isolates with 0-30 mutations aligned with naïve archetypes, and 2-3-year-old

- isolates with 9-48 mutations extended to the outer boundaries of adaptation (A2, A6, and A1)
- 241 (Figure 4C-D). We also observed the persistence of WT-like genotypes with few mutations
- alongside evolved genotypes (Figure 4D). Thus, we find discordant molecular and phenotypic
- 243 adaptation from a multi-trait perspective.
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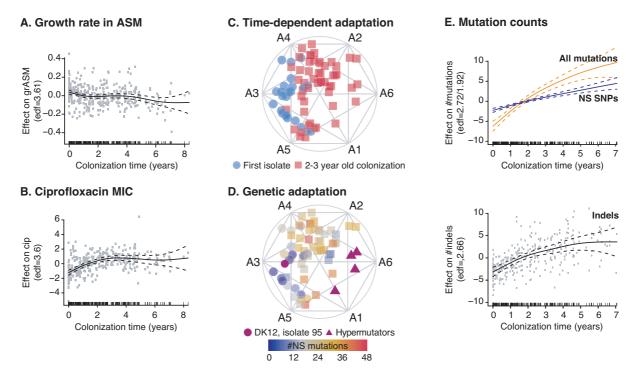


Figure 4. Rapid early adaptation. We present specific GAMM and AA models to illustrate the rapid adaptation of growth rate and ciprofloxacin over time and contrast these patterns with genetic adaptation via the accumulation of nonsynonymous mutations. Here, we use GAMMs to illustrate the significant impact of the explanatory variable colonization time on (A) growth rate in ASM, (B) ciprofloxacin sensitivity in ASM, (E) the accumulation of all mutations (orange) and nonsynonymous SNPs (blue) and indels (insertions and deletions). We use simplex visualizations of AA to show (C) "naïve" trait alignment of the first isolate of the twenty patients where we have analyzed the first P. aeruginosa isolate ever cultured at the CF clinic (blue circles) in contrast to "evolved" isolates that have been cultured at year 2-3 of colonization (red squares, all patients of the dataset). We contrast this trait-based ordination with (D) genetic adaptation, shown by a color overlay of the number of nonsynonymous mutations present in each isolate. Isolate 95 (purple circle) of the DK12 clone type has a very high number of mutations (>100) because one isolate in that lineage (isolate 96) is very different from the remaining 11 isolates. For the GAMM analysis shown in Figure 4E, we filtered out the mutations from the errant DK12 96 single isolate that affected the whole lineage. Hypermutators are marked by purple triangles. (A/B/E notation) GAMMs are illustrated by solid smoothed trendlines, dashed two standard error bounds, and gray points as residuals. Y-axes are labelled by the predictor variable on which the effect of colonization time of the clone type ("CoIT") has been estimated as well as the estimated degrees of freedom (edf) (for the E upper panel the edf is ordered as all mutations/NS SNPs). Residuals have not been plotted in the upper panel of (E) for clarity reasons. X-axes are the CoIT in years and patients are included as random smooths together with ColT. A rug plot is also visible on the x-axis to indicate the density of observations over time.

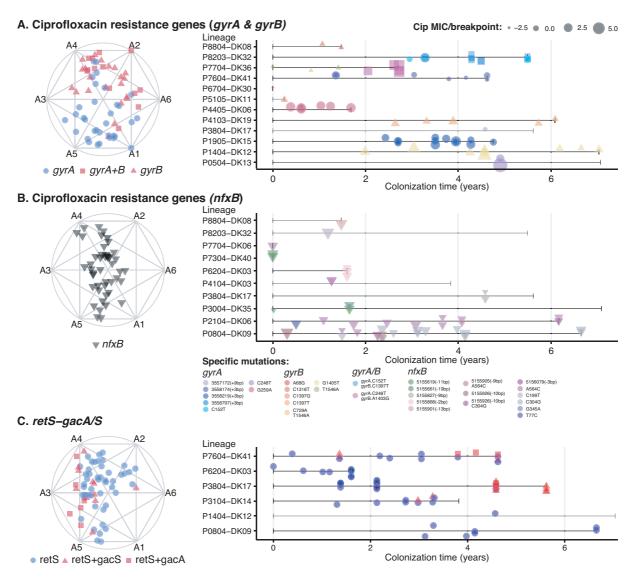
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247 When analyzing the entire dataset using GAMMs, we found a significant, near-linear 248 relationship between colonization time and the number of nonsynonymous SNPs, but 249 accumulation of all nonsynonymous mutations appears logarithmic with accumulation 250 slowing after 2 years (Figure 4E). This behavior resembles that of the laboratory evolution of E. coli (propagated for more than 60,000 generations)<sup>40</sup>, though accumulation may slow 251 252 sooner in the CF lung. When we plot accumulation of indels alone, we see the likely driver of 253 the logarithmic trend. When combined with the discordance found by AA, these findings support the theory that select beneficial mutations (for example, a highly impactful indel) can 254 alone induce important phenotypic changes that improve fitness<sup>41</sup>. However, the likelihood 255 of beneficial mutations presumably decreases over time as theorized previously<sup>42</sup> and other 256 257 methods of adaptation also contribute, such as acclimation to the CF lung environment via 258 gene expression changes<sup>43,44</sup>.

259

## 260 Multi-trait analysis enables complex genotype-phenotype associations

The obscuring of genotype-phenotype links via polygenic effects and the possible pleiotropic 261 effects of single mutations is difficult to resolve, especially when working with complex traits. 262 However, we have a unique multi-dimensional perspective from which to map genotype-263 264 phenotype relationships. We previously identified 52 "pathoadaptive genes" - genes mutated 265 more often than expected from genetic drift and thus assumed to confer an adaptive advantage during infection<sup>16,45</sup>. By overlaying nonsynonymous mutations on AA simplex plots, 266 267 we evaluated the impact of mutation of the following pathoadaptive genes: 1) mexZ (the most 268 frequently mutated gene) and other repressors of drug efflux pumps (*nfxB* and *nalD*), 2) mucoidity regulators mucA and algU and the hypothesized infection-state switching 269 270 retS/qacAS/rsmA regulatory pathway previously examined from a genetic adaptation perspective<sup>16,46</sup>, and 3) ciprofloxacin resistance genes gyrA and  $gyrB^{47-49}$ . Isolates with mexZ 271 272 mutations are broadly distributed by AA, so we analyzed *mexZ* mutants in combination with 273 other pump repressor gene mutations. Even double-mutant isolates (grouped by efflux pump 274 associations) showed diverse phenotypes via AA, though we noted a unique distribution of 275 the many isolates impacted by a mutation in *nfxB* (Figure S3, Figure 5B). We saw no obvious spatial correlations with mutations linked to mucoidity regulation via AA (Figure S2), 276 277 paralleling mucoidity's lack of significance in our GAMM analyses. However, the isolate 278 distributions of retS/gacAS/rsmA and gyrA/B mutants were striking in their spatial 279 segregation (Figure 5A-B).



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Figure 5. Mechanistic links between phenotypic changes and mutations in ciprofloxacin resistance genes and the retS/gacAS/rsmA system. We use AA to illustrate phenotypic separation by isolates affected by distinct mutations in ciprofloxacin resistance genes gyrA, gyrB, and nfxB and the retS/gacAS/rsmA regulatory system. (A-B, left panel) As visualized by AA simplex plots, the diversity of trait profiles associated with isolates with mutations in DNA gyrase (gyrA/B) is in stark contrast to the constrained band of *nfxB*-mutated isolates. Mutations in DNA gyrase and *nfxB* do not co-occur in the same isolate but co-occur in different isolates of 2 lineages (patient P8804, genotype DK08 and patient P8203, genotype DK32). The differences in time of appearance during the colonization period and persistence of gyrA/B mutant isolates versus nfxB mutant isolates is shown in the lineage timelines plotted in the right column for gyrA/B (A, right panel) versus nfxB (B, right panel). Furthermore, gyrB-mutated isolates cluster more closely with A2 and A4 than gyrA mutated isolates, indicating a potential association with adhesion; GAMMs predicts that gyrB mutation has a significant impact on adhesion (GAMM, p-value << 0.01). (C, left panel) Mutations in the retS/gacAS/rsmA system shows a clear phenotypic change when retS is mutated alone (blue circles) or in combination with gacA or gacS (red squares and circles). The associated lineage plot (C, right panel) shows the appearance of double mutations (retS + gacA/S) after a colonization period by retS mutated isolates in three patient lineages. (A/B/C - lineage plot notation) Lineage length is based on the span of time for which we have collected isolates and is indicated by gray bracketed lines, with only isolates affected by a mutation of interest plotted using shape to indicate mutation type. Symbol color indicates the specific mutation location in the affected gene and (A/B only) symbol size indicates the level of resistance to ciprofloxacin. Multiple isolates may be collected at the same sampling date based on differences in colony morphology or collected from different sinuses at sinus surgery, which explains the vertical overlap of isolates for some lineages.

#### 282 Differential evolutionary potential via ciprofloxacin resistance mechanisms

The primary drivers of ciprofloxacin resistance in *P. aeruginosa* are theorized to be mutations 283 284 in drug efflux pump repressor nfxB and the gyrase subunits gyrA and gyrB of the DNA replication system<sup>47–49</sup>. We would therefore expect isolates with mutations in these genes to 285 286 cluster around archetypes A1 and A6 characterized by high ciprofloxacin minimal inhibitory concentrations (MICs) (Figure 3C). However, AA illustrates a broad distribution of gyrA/B 287 288 mutants among archetypes, and a contrasting narrow distribution of *nfxB* mutants (Figure 5A-289 B, left panel). In association, we see a range of ciprofloxacin resistance levels associated with 290 affected isolates both across and within patient lineages, and no dominant 291 mutations/mutated regions repeating across lineages (Figure 5A-B, right panel). The 292 incidence of resistance due to these distinct mechanisms was equal at 78% of affected isolates 293 (54 out of 69 resistant gyrase mutants vs 37 out of 47 resistant *nfxB* mutants based on the 294 European Committee on Antimicrobial Susceptibility Testing (EUCAST) breakpoint). However, 295 the persistence of these respective mutations in affected lineages was dissimilar. Generally, 296 *nfxB* mutation occurred earlier in lineage evolution and persisted in fewer lineages compared 297 to gyrA/B mutations. This likely contributes to nfxB's distinctive band-like distribution via AA 298 which suggests an evolutionary restriction associated with sustaining the mutation.

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300 Interestingly, we noted that isolates with a *gyrB* mutation (22 isolates alone or 14 in concert 301 with gyrA mutation) are concentrated closer to "biofilm-linked" archetypes A2 and A4 than 302 isolates with only a gyrA mutation (33 isolates). To our knowledge, there is no direct relationship between *gyrB* and the capability to adhere<sup>49</sup>. This positive association of *gyrB* on 303 304 adhesion was confirmed by GAMM, but when we moved the two SNPs affecting the most 305 isolates in both gyrA and gyrB (2 lineages each, Figure S4) into lab strain P. aeruginosa 306 PAO1, we did not find the same association (Figure S5-6) (p-values > 0.05, ANOVA with Tukey 307 correction, F(4,10)=0.233). We then looked for co-occurring mutations in biofilm-linked genes 308 in the gyrB-mutated lineages; for all but one lineage, there was no obvious explanation for increased adhesion. Ultimately, this association underlines the impact that genetic 309 310 background and the multi-genetic signature of biofilm regulation can have on the

311 identification of links between genotype and phenotype<sup>50</sup>.

312

313 Infection trajectory reversal via a regulatory switch

The functional model of the retS/gacA/gacS/rsmA regulatory system is theorized to be a 314 315 bimodal switch between acute and chronic infection phenotypes<sup>46,51</sup>. Posttranscriptional 316 regulator *rsmA* activates an acute infection phenotype characterized by planktonic growth 317 and inhibits a non-motile biofilm lifestyle. retS mutants are preserved in many lineages because they repress rsmA via the gacA/S two-component system, promoting a chronic 318 infection phenotype. However, our previous genetic analysis<sup>16</sup> unexpectedly showed that 319 multiple evolving lineages gained a subsequent mutation in *gacA/S* that often appeared years 320 321 after the *retS* mutation. Despite the complexity of this regulatory system, we show a clear 322 phenotypic separation between clinical isolates that are retS mutants versus retS+gacA/S 323 mutants via our AA model (Figure 5C, left panel). In this study, three of six patients with 324 nonsynonymous mutations in this system have isolates which are *retS+qacA/S* double 325 mutants (Figure 5C, right panel). While retS mutants resemble the evolved archetypes (A1 – 2 and A6), all but one double mutant clusters around the naïve archetypes (A3 - A5). 326 327 According to patient-specific trajectories, this reversion happens after an initial migration towards evolved archetypes. Because of the limited isolates and patients affected, we did not 328 329 follow up with additional GAMM analyses of the effect of these mutations on different 330 phenotypes.

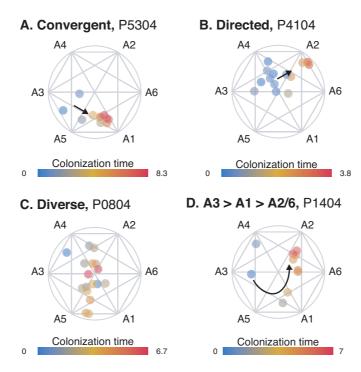
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This unexpected phenotypic reversion to an "acute infection state" does not easily reconcile with theories about persistence via convergence towards a "chronic phenotype". However, over time some patients are colonized by new clone types and/or other pathogens; this could require re-establishment of a colonization mid-infection and thus induce the population to revert towards an acute infection state where fast growth and motility improve its ability to compete.

338

#### 339 Infections persist via distinct routes of adaptation

Given the above insights from lineage-based analysis, we further investigate lineage influences by mapping patient-specific adaptive trajectories. We find 3 overarching modes of evolution that *P. aeruginosa* can utilize to persist successfully in individual patients: 1) convergent evolution, 2) directed diversity or 3) general diversity. Figure 6A-D shows examples of adapting lineages employing these modes. We see rapid convergent evolution 345 towards an endpoint of ciprofloxacin resistance in patient P5304 (Figure 6A). Diverse isolates 346 appear to move in the same general direction of increased adhesion and aggregation in 347 patient P4104 (Figure 6B), which we term "directed diversity", while no directionality is 348 apparent in the diverse isolates of the trajectory of patient P0804 (Figure 6C), which we term "general diversity". In the complex trajectory of patient P1404 (Figure 6D), the genotypic 349 350 distinction of the young isolate near A4 indicates that the persisting sublineage initiates with 351 the isolate near A3, after which it gains a gyrB mutation guiding the trajectory towards ciprofloxacin resistant A1. This mutation is retained during the subsequent shift towards A2, 352 characterized by increased adherence and decreased sensitivity to aztreonam. These results 353 354 illustrate the diverse adaptive trajectories followed by P. aeruginosa in our patient cohort, 355 which connect distinct start and endpoints of adaptation yet enable years of persistence.



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**Figure 6.** Evolutionary trajectories guided by different adaptation needs. We present four different trajectories showing modes of evolution found in multiple patients: (A) Convergent evolution driven primarily by changes of a single phenotypic trait (decreased ciprofloxacin sensitivity). (B) Directed diversity with early/naïve isolates showing a population moving in a broad and diverse plane from naïve archetypes towards evolved archetypes. (C) General diversity where the population has no clear evolutionary trajectory. (D) A special case of convergent evolution with one outlier isolate (isolate 96 of DK12) but an otherwise clear trajectory first towards ciprofloxacin resistance and afterwards a gain in adhesive capabilities.

- 358
- 359 Here, we draw specific examples from patients with high sampling resolution and at least 3
- 360 years of infection within our cohort, but to capture the full spectrum of evolutionary modes
- 361 will require more uniform cross-cohort sampling that also addresses population dynamics as

well as the inclusion of more patients. With these expansions, we theorize that distinctive
evolutionary trajectories will correlate with infection persistence and patient outcomes.

## 365 DISCUSSION

366 Complex mutation patterns are an inherent byproduct of evolution and result in equally 367 complex adaptive trajectories that lead to persistence. Phenotype represents the cumulative 368 systems-level impacts of these mutation patterns. We therefore emphasize the value of 369 classical phenotype-based investigations as a highly relevant complement to genomics 370 approaches. By integrating these perspectives via our statistical modeling framework, it is 371 possible to identify consistent pan-cohort trends while illuminating complex patient-specific 372 patterns and their genetic drivers. This approach could also be valuable in assessing evolution-373 based scenarios such as interpretation of laboratory evolution experiments, investigations of 374 long-term microbiome fluctuations and other studies of evolving clonal populations.

375

376 Our study identifies rapid phenotypic adaptation of isolates within the first few years of 377 colonization by both mutational accumulation and acclimation as indicated by the discordance between genotypic and phenotypic adaptation. This resembles the findings from 378 379 the long-term laboratory evolution of *E. coli*<sup>40</sup>. While specific traits show cross-patient 380 convergence (growth rate and ciprofloxacin resistance), we highlight remarkable diversity 381 both within and across patients. In addition to convergent and directed evolution, we thus 382 emphasize the maintenance of general diversity as a useful evolutionary mode of persistence as supported by prior observations of resilience in diverse populations<sup>52-54</sup>. Among our 383 patient-specific trajectories, we also find varying routes within these categories of evolution 384 that are used by different patient lineages to achieve successful persistence. These important 385 386 evolutionary findings can further be translated to the clinic. Although early aggressive 387 antibiotic therapy has been shown to substantially delay the transition to chronic infection<sup>33</sup>, 388 we provide a valuable estimate of this narrow window based on analysis at high temporal 389 resolution. Furthermore, we provide a quantitative approach to monitoring infection state 390 via patient-specific trajectories which can offer important insights into bacterial response to 391 treatment.

393 Given that individual mutations may have pleiotropic effects and obscure genetic signatures 394 as they accumulate over time<sup>19</sup>, our study underlines the necessity of a multi-trait 395 perspective. Our genotype-phenotype associations support the theory that specific mutations 396 confer unique evolutionary restrictions to adaptive trajectories; these restrictions impact the 397 fixation of other mutations or adaptation of other traits, but genetic background and hostspecific evolutionary pressures influence the type and degree of restriction<sup>8</sup>. By mapping 398 phenotypic trajectories, we can identify both genetic mechanisms that regulate these 399 400 highways and complex traits that signal the impact of treatment on individual infections. In the future, we see particular promise in incorporating records of patient treatment and 401 402 response to our assessment of adaptive trajectories to further guide clinicians and advance 403 precision medicine in clinical microbiology.

404

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416

# 417 AUTHOR CONTRIBUTIONS

418 SM and HKJ jointly supervised the study. JAH, SM, and HKJ conceived and designed the 419 experiments. JAH performed all phenotypic screening with assistance from AL. REP 420 performed the genetic engineering of isolate mutations. JAB and LMS conceived and 421 performed all computational analysis and wrote the manuscript. JAH, SM and HKJ helped 422 write the manuscript and provided revisions.

#### 423

# 424 DATA AND SOFTWARE AVAILABILITY

- We provide our complete phenotype dataset in raw form as a supplemental spreadsheet and include a visualization and summary statistics of normalized data in Figure 2. Data normalization, processing and construction of all models was performed in R as described above and all essential code for reproduction of these steps is provided in R Markdown format in supplemental files 1-2. These files also include code for replicating the model visualizations of Figure 3A-D and Figure 4A-C, E. Code to reproduce various secondary analysis figures is available on request. All genomic information is publicly available as described in <sup>16</sup>.
- 432

## 433 DECLARATION OF INTERESTS

- 434 The authors declare no competing financial interests.
- 435

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# 616 METHODS

## 617 The isolate collection

618 The current isolate library is comprised of 443 longitudinally collected single P. aeruginosa 619 isolates distributed within 52 clone types collected from 39 young CF patients treated at the 620 Copenhagen CF Centre at Rigshospitalet (median age at first *P. aeruginosa* isolate = 8.1 years, 621 range = 1.4-24.1 years, median coverage of colonization: 4.6 years, range: 0.2-10.2 years). This collection is a complement to and extension of the collection previously published <sup>16</sup> and 622 captures the period of initial rapid adaptation<sup>6,7,9</sup>, with 389 isolates of the previously 623 624 published collection included here in addition to 54 new isolates. To build a homogeneous collection for our study of evolution, we excluded two patients with a sustained multi-clonal 625 626 infection. For the GAMM analysis, we excluded isolates belonging to clone types present in a 627 patient at two or fewer time-points, unless the two time-points were sampled more than 6 628 months apart. The isolates not included in the previous study have been clone typed as a 629 routine step at the Department of Clinical Microbiology at Rigshospitalet. This clone type identification was performed as described previously<sup>16</sup>, and the sequencing was carried out 630 as follows: DNA was purified from over-night liquid cultures of single colonies using the 631 632 DNEasy Blood and Tissue Kit (Qiagen), libraries were made with Nextera XT and sequenced 633 on an Illumina MiSeq using the v2 250x2 kit.

634

## 635 Ethics approval and consent to participate

The local ethics committee at the Capital Region of Denmark (Region Hovedstaden) approved

- 637 the use of the stored *P. aeruginosa* isolates: registration number H-4-2015-FSP.
- 638

#### 639 Phenotypic characterizations

For all phenotypes except the antibiotic MIC tests, phenotypic analysis was carried out by
replicating from a 96 well plate pre-frozen with overnight cultures diluted with 50% glycerol

- at a ratio of 1:1 and four technical replicates were produced for each isolate.
- 643

#### 644 Growth rate in Luria-Bertani broth (LB) and Artificial sputum medium (ASM)<sup>55</sup>

645 Isolates were re-grown from frozen in 96 well plates in 150ul media (LB or ASM) and 646 incubated for 20h at 37°C with OD<sub>630nm</sub> measurements every 20 min on an ELISA reader. 647 Microtiter plates were constantly shaking at 150 rpm. LB growth rates were first assessed by 648 manual fitting of a line to the exponential phase of the growth curve. This dataset was then 649 used to confirm the accuracy of R code that calculated the fastest growth rate from each growth curve using a "sliding window" approach where a line was fit to a 3-9 timepoint 650 651 interval based on the level of noise in the entire curve (higher levels of noise triggered a larger window to smooth the fit). To develop an automated method of analyzing the ASM growth 652 curves, which are much more noisy and irregular than the LB growth curves across the 653 654 collection, we used standardized metrics for identifying problematic curves that we then also 655 evaluated visually. Curves with a maximum OD increase of less than 0.05 were discarded as non-growing. Curves with linear fits with an R<sup>2</sup> of less than 0.7 were discarded as non-656 657 analyzable, and a small number of outlier curves (defined as curves analyzed for growth rates 658 of 1.5 times the mean strain growth rate) were also discarded. Examples of our analyzed 659 curves are shown in Figure S7 and all visualizations are available upon request.

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#### 661 "Adherence" measures

The ability to form biofilm is a complex trait that is impacted by multiple factors, such as the production of polysaccharides, motility and the ability to adhere <sup>56–58</sup>. In this study, we have measured adhesion to peg-lids and estimated the ability to make aggregates – both traits have been linked with an isolate's ability to make biofilm <sup>59,60</sup>. Because of this, we are using these two measures as an estimate of our isolates' ability to make biofilm. However, because we are aware of the complexity of the actual biofilm-forming phenotype, we have chosen to refer to this adhesion/aggregation phenotype as "adherence" and not "biofilm formation".

Adhesion in LB. Adhesion was estimated by measuring attachment to NUNC peg lids. Isolates 670 671 were re-grown in 96 well plates with 150µl medium where peg lids were used instead of the 672 standard plate lids. The isolates were incubated for 20 hours at 37°C, after which OD<sub>600nm</sub> was 673 measured and subsequently, the peg lids were washed in a "washing microtiter plate" with 180µl PBS to remove non-adhering cells. The peg lids were then transferred to a microtiter 674 plate containing 160µl 0.01% crystal violet (CV) and left to stain for 15 min. The lids were then 675 washed again three times in three individual "washing microtiter plates" with 180µl PBS to 676 677 remove unbound crystal violet. To measure the adhesion, the peg lids were transferred to a 678 microtiter plate containing 180µl 99% ethanol, causing the adhering CV stained cells to detach 679 from the peg lid. This final plate was used for measurements using an ELISA reader, measuring 680 the CV density at OD<sub>590nm</sub>. (Microtiter plates were bought at Fisher Scientific, NUNC Cat no. 681 167008, peg lids cat no. 445497)

682

683 Aggregation in ASM. Aggregation in each well was first screened by visual inspection of wells 684 during growth assays in ASM and by evaluation of noise in the growth curves, resulting in a 685 binary metric of "aggregating" versus "not aggregating". However, to incorporate this trait in 686 our archetype analysis, we needed to develop a continuous metric of aggregation. Based on the above manual assessment, we developed a metric based on the average noise of each 687 688 strain's growth curves. While we tested several different metrics based on curve variance, the 689 metric that seemed to delineate isolates according to the binary aggregation measure most 690 successfully was based on a sum of the amount of every decrease in OD that was followed by 691 a recovery at the next time point (versus the expected increase in exponential phase and 692 flatline in stationary phase). This value was normalized by the increase in OD across the whole 693 growth curve, to ensure that significant, irregular swings stood out with respect to overall 694 growth. This metric therefore specifically accounts for fluctuation - both a limited number of 695 large fluctuations in OD<sub>630nm</sub> (often seen during stationary phase) as well as smaller but 696 significant fluctuations across the entire curve (i.e. sustained irregular growth). While an imperfect assay of aggregation compared to available experimental methods <sup>61</sup>, this high-697 throughput aggregation estimate showed a significant relationship with adhesion when 698 699 analyzed with GAMMs (Figure 3D), supporting its potential as a measure of adherence-linked 700 behavior. We show examples of the measurement and comparison with binary aggregation 701 data in Figures S7-8.

702

#### 703 Protease production

Protease activity was determined using 20x20 cm squared LB plates supplemented with 1.5%
skim milk. From a "master microtitre plate", cells were spotted onto the square plate using a
96 well replicator. Colonies were allowed to grow for 48h at 37°C before protease activity,
showing as a clearing zone in the agar, was read as presence/absence.

708

#### 709 Mucoidity

Mucoidity was determined using 20x20 cm squared LB plates supplemented with 25 ug/ml
ampicillin. From a "master microtitre plate", cells were spotted onto the square plate using a
96 well replicator. Colonies were allowed to grow for 48h at 37°C before microscopy of colony
morphologies using a 1.25x air Leica objective. By this visual inspection, it was determined if
a colony was mucoid or non-mucoid.

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#### 716 MIC determination of ciprofloxacin and aztreonam

MICs were determined by E-tests where a suspension of each isolate (0.5 McFarland standard) was inoculated on 14 cm-diameter Mueller-Hinton agar plates (State Serum Institute, Hillerød, Denmark), where after MIC E-Test Strips were placed on the plate in accordance with the manufacturer's instructions (Liofilchem<sup>®</sup>, Italy). The antimicrobial concentrations of the E-tests were 0.016-256µg/ml for aztreonam and 0.002-32µg/ml for ciprofloxacin.

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#### 724 Construction of *gyrA/B* mutants

Four *P. aeruginosa* PAO1 mutants carrying point mutations in *gyrA* and *gyrB* were constructed: PAO1::*gyrA*<sup>G259A</sup>, PAO1::*gyrA*<sup>C248T</sup>, PAO1::*gyrB*<sup>C1397T</sup>, and PAO1::*gyrB*<sup>G1405T</sup>. A recombineering protocol optimized for *Pseudomonas* was adapted from Ricaurte *et al.* (2017)<sup>62</sup>. A PAO1 strain carrying a pSEVA658-ssr plasmid<sup>63</sup> expressing the recombinase *ssr* was grown to exponential phase with 250 rpm shaking at 37°C. Bacteria were then induced with 3-methylbenzoate and electroporated with recombineering oligonucleotides. Cells were inoculated in 5 ml of glycerol-free Terrific Broth (TB) and allowed to recover overnight at 37°C with shaking. Cip<sup>R</sup> colonies were identified after streaking on a Cip-LB plate (0.25 mg L<sup>-1</sup>) and
sent for sequencing after colony PCR.

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Fach recombineering oligonucleotide contained 45 base pair homology regions flanking the
nucleotide to be edited. Oligonucleotides were designed to bind to the lagging strand of the

- replichore of both genes and to introduce the mismatch in each mutation: G259A and C248T
- in *gyrA*, and C1937T and G1405T in *gyrB*, respectively. The recombineering nucleotides used
- 739 are the following: (Rec\_gyrA\_G259A -
- 740 G\*C\*ATGTAGCGCAGCGAGAACGGCTGCGCCATGCGCACGATGGTGTtGTAGACCGCGGTGTCGCC
- 741 GTGCGGGTGGTACTTACCGATCACG\*T\*C; Rec\_gyrA\_C248T -
- 742 A\*G\*CGAGAACGGCTGCGCCATGCGCACGATGGTGTCGTAGACCGCGaTGTCGCCGTGCGGGTGGT
- 743 ACTTACCGATCACGTCGCCGACCAC\*A\*C; Rec\_gyrB\_C1397T -
- 744 C\*C\*GATGCCACAGCCCAGGGCGGTGATCAGCGTACCGACCTCCTGGaAGGAGAGAGCATCTTGTCGA
- 745 AGCGCGCCTTTTCGACGTTGAGGAT\*C\*T; Rec\_gyrB\_G1405T
- 746 C\*C\*TCGCGGCCGATGCCACAGCCCAGGGCGGTGATCAGCGTACCGAaCTCCTGGGAGGAGAGCAT
- 747 CTTGTCGAAGCGCGCCTTTTCGACG\*T\*T).
- 748

## 749 Modeling of phenotypic evolution

750 To identify patterns of phenotypic adaptation while limiting necessary model assumptions 751 that might bias our predictions, we chose to implement generalized additive mixed models 752 (GAMMs), where the assumptions are that functions are additive and the components are 753 smooth. These models allow us to account for patient-specific effects, thereby enabling us to 754 identify trends in phenotypic adaptation across different genetic lineages and different host 755 environments. Furthermore, to be able to simultaneously assess multiple phenotypes of each 756 isolate from a systems perspective, we implemented archetype analysis (AA), where each 757 isolate is mapped according to its similarity to extremes, or archetypes, fitted on the 758 boundaries of the multi-dimensional phenotypic space. This modeling approach allows us to 759 predict the number and characteristics of these archetypes and furthermore identify 760 distinctive evolutionary trajectories that emerge from longitudinal analysis of fitted isolates 761 for each patient.

For all analyses, the time of infection is defined within each lineage as the time since the clone type of interest was first discovered in the patient in question. This is biased in the sense that the time since colonization can only be calculated from the first sequenced isolate of a patient. However, we have collected and sequenced the first isolate that has ever been cultured in the clinic for 20 out of the 39 patients.

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Normalization of phenotypic values were carried out the following way for both AA and 769 770 GAMM: ciprofloxacin and aztreonam MICs were normalized by dividing the raw MICs with 771 the breakpoint values from EUCAST: ciprofloxacin breakpoint value: >0.5 μg/ml, aztreonam 772 breakpoint value: >16  $\mu$ g/ml (EUCAST update 13. March 2017). This results in values above 773 one equaling resistance and equal to or below one equaling sensitive. The response and the 774 explanatory variables were log2 transformed to get a better model fit for ciprofloxacin MIC, aztreonam MIC, Adhesion, and Aggregation. For the AA, Adhesion, Aggregation and growth 775 776 rate in ASM was further normalized (before log2 transformation) by scaling the values by the 777 values of the laboratory strain *P. aeruginosa* PAO1 such that zero was equivalent to the PAO1 778 phenotype measurement or the EUCAST MIC breakpoint. PAO1 was chosen to be the 779 reference point of "wild type" phenotypes.

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Because the mutations identified in our collection are based on our previous study <sup>16</sup> where
mutations were called within the different clone types, we added a second filtering step to
identify mutation accumulation within patients. The second filtering step removed mutations
present in all isolates of a lineage (a clone type within a specific patient) from the analysis.

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All statistics were carried out in R<sup>64</sup> using the packages *mgcv*<sup>65,66</sup> for the GAMM analysis and *archetype*<sup>67–69</sup> for the AA. Complementary packages used for analysis are: *tidyverse*<sup>70</sup>, *itsadug*<sup>71</sup>, *ggthemes*<sup>72</sup>, *knitr*<sup>73</sup> and *kableExtra*<sup>74</sup>. We also referred to Thøgersen *et al.*<sup>27</sup> and Fernandez *et al.*<sup>75</sup> in the design of appropriate assessment methods for the final AA model. We include two R markdown documents that explain our modeling steps and further evaluation plots in detail (AA: Supplemental file 1, GAMM: Supplemental file 2), and summarize our methods below in brief.

#### 794 Data modeling

795 Archetype analysis (AA). We evaluated several different model fitting approaches by varying 796 the number and type of phenotypes modeled as well as the archetype number and fit 797 method, using RSS-based screeplots of stepped fits of differing archetype numbers, explained 798 sample variance (ESV), isolate distribution among archetypes, convex hull projections of 799 paired phenotypes (all combinations), and parallel coordinate plots as metrics for choosing 800 the best fit parameters and approach to accurately represent our data. Ultimately, we 801 focused on 5 continuous phenotypes correlated with growth (growth rate in ASM), biofilm 802 (adhesion and aggregation), and antibiotic resistance (aztreonam and ciprofloxacin MICs), 803 which also were linked to relevant findings provided by the GAMM models. We used a root 804 sum squared (RSS) versus archetype number screeplot of different fits to determine that a 6 805 archetype fit would produce the optimal model for this dataset.

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807 We then performed 500 simulations of a 100 iteration fit using the "robustArchetypes" 808 method<sup>68</sup>, which reduces the impact of data outliers in fitting the convex hull of the data. We 809 evaluated the mean ESV and the number of isolates with an ESV greater than 80% for the best 810 model from each simulation in this study and differences in archetype characteristics to 811 assess convergence, ultimately selecting the model with the second highest mean ESV 812 (90.32%) and highest number of isolates with an ESV over 80% (87.13%); this model also 813 resembled the other 10 top models of the simulation study. The order of archetypes around 814 the simplex plot boundary obscures the true dimensionality of the isolate distribution by 815 implying the archetypes are equidistant, so relationships between phenotypes are not always 816 obvious. We re-ordered the archetypes in the simplex plot by growth rate and secondarily 817 antibiotic resistance to improve clarity in the complex 6 archetype plot. This reordering was also justified when projecting the archetypes onto a PCA plot of the phenotypes 818 819 (Supplemental file 1). All simplex plots have also had the 11 isolates with an ESV < 50%820 removed such that we are not drawing any conclusions from these poorly fit data (they are 821 shown via simplex plot in the supplemental markdown).

822

823 *Generalized Additive Mixed Models (GAMMs).* For all phenotypes, GAMMs were used to 824 identify evolutionary trends over time since first colonization. We correct for the patient 825 environment and inconsistent sampling over time using a smooth random factor. Models 826 were fitted in the following way: All continuously measured phenotypes included in the 827 Archetype analysis were fitted as a response variable ("predicted" or "dependent" variable in 828 Figure 3D) one-to-one, with both time as an "explanatory" or "independent" variable alone 829 and combined with each of the phenotypes to account for potential time-dependence of the 830 observations. Factorial/binary phenotypes were implemented as categorical functions and continuous phenotypes as smooth functions, allowing for non-parametric fits. Normally only 831 832 one variable/phenotype of interest is used as the predictor while other alterable variables or factors are used as explanatory variables to explain or predict changes in the predictor. 833 However, this requires a preconceived idea of a "one-way-relationship" where one variable 834 835 (the predictor) is assumed to be affected by certain other variables (the explanatory 836 variables), but where the explanatory variables cannot be affected by the predictor. By testing 837 all phenotypes against each other, we avoid assumptions regarding the specific direction of 838 relationships between the predictor variable and the explanatory variable. Furthermore, in 839 using the GAMMs we prioritize accuracy of fitting but increase our risk of overfitting as a 840 byproduct. We sought to counteract the risk of overfitting by the default penalization of fits 841 inherent to the method used<sup>65,66</sup> and by model estimation via restricted maximum likelihood 842 (REML) which has been found to be more robust against overfitting<sup>31,66</sup>. When significant 843 relationships were identified in one-to-one models (p-value < 0.05, as based on Wald-type 844 tests as described in<sup>31,32</sup>), all significant explanatory variables were used to build a multi-trait 845 model for the associated predictor. If select explanatory phenotypes were then identified as non-significant (p-value > 0.05) in the multi-trait model, they would be removed in a reduction 846 step. To identify whether a reduced multi-trait model resulted in a better fit than the initial 847 multi-trait model, a Chi-square test was carried out on the models using the compareML 848 function of the R package *itsaduq*<sup>71</sup> (Figure 3D). The specific models and additional 849 850 information can be found in Supplemental file 2.

851

In demonstration of the utility of this approach, the multi-trait models of our 5 primary predictor phenotypes show that at least one explanatory phenotype has a statistically significant impact on the predictor phenotype. For all of the predictor phenotypes, multiple explanatory traits preserved significant impacts after model reduction steps (Figure 3D and Supplemental file 2). All mentions of significant relationships or correlations in the main text are obtained from the GAMM analyses with Wald-type test statistics presenting p-values < bioRxiv preprint doi: https://doi.org/10.1101/326025; this version posted July 26, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

- 858 0.01, unless otherwise stated. For information on deviance explained, R<sup>2</sup>, and degrees of
- 859 freedom for the individual models/variables, we refer to the Supplemental file 2.

860

# 861 SUPPLEMENTARY INFORMATION

- 862 Supplemental File 1. Construction and assessment of the archetype model.
- 863 Supplemental File 2. Construction and assessment of the generalized additive mixed models.
- 864 Supplemental spreadsheet 1. Phenotype Database
- 865 Supplemental Information.
- 866 Figure S1, related to Figure 4. Hypermutators versus normomutators
- 867 Figure S2, related to Figure 5. *mucA* and *algU* mutants
- 868 Figure S3, related to Figure 5. mexZ mutants and drug efflux pumps
- 869 Figure S4, related to Figure 5. Specific mutations in *gyrA/B* by patient and adhesion
- 870 Figure S5, related to Figure 5. Adhesion and generation time of gyrA/B mutants (PAO1)
- 871 Figure S6, related to Figure 2. Example growth curves
- 872 Figure S7, related to Figure 2. Development of an aggregation metric