- Full Title: A community-level investigation of the yellow fever virus outbreak in South Omo
   Zone, South-West Ethiopia, 2012-2014
- $\frac{2}{3}$
- 4 Short Title: Investigation of a recent yellow fever outbreak in Ethiopia

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16

17 **Abstract** (250-300 words)

# 18 Background

- 19 A yellow fever (YF) outbreak occurred in South Omo Zone, Ethiopia in 2012-2014. This study
- aimed to analyse historical epidemiological data, to assess the risk for future YF outbreaks
- 21 through entomological surveillance, including mosquito species identification and molecular
- screening for arboviruses, and finally to determine the knowledge, attitudes and current
- 23 preventative practices within the affected communities.
- 2425 Methodology/Principal Findings
- From October 2012 to March 2014, 165 cases and 62 deaths were reported, principally in rural 26 27 areas of South Ari region (83.6%), south-west Ethiopia. The majority of patients were 15-44 28 years old (74.5%) and most case deaths were males (76%). Between June and August 2017, 29 688 containers were sampled from across 177 households to identify key breeding sites for 30 Aedes mosquitoes. Ensete ventricosum ("false banana") was identified as the primary natural 31 breeding site, and clay pots outside the home as the most productive artificial breeding site. 32 Entomological risk indices from the majority of sites were classified as "high risk" for future 33 outbreaks under current World Health Organization criteria. Adult trapping resulted in the 34 identification of members of the Aedes simpsoni complex in and around households. Screening 35 of adult females revealed no detection of yellow fever virus (YFV) or other arboviruses. 88%
- of adult females revealed no detection of yellow fever virus (YFV) or other arboviruses. 88% of 177 participants had heard of YF, however many participants easily confused transmission
- 37 and symptoms of YF with malaria, which is also endemic in the area.
- 38

# 39 Conclusions/Significance

- Study results emphasise the need for further entomological studies to improve our
   understanding of local vector species and transmission dynamics. Disease surveillance systems
   and in-country laboratory capacity also need to be strengthened to facilitate more rapid
- 43 responses to future YF outbreaks.
- 44

# 45 Author Summary (150-200 words)

- 46 Despite the availability of a highly effective vaccine, yellow fever virus (YFV) remains an
- 47 important public health problem across Africa and South America due to its high case-fatality
- 48 rate. This study aimed to assess and reduce the risk for future outbreaks. During this study,
- 49 historical data analysis was conducted to understand the epidemiology of the recent outbreak
- 50 in 2012-2014. Entomological surveillance was also carried out, including both mosquito

51 species identification and molecular screening for arboviruses, as well as a household survey 52 to understand the knowledge and attitudes towards yellow fever (YF) within the affected areas 53 and to assess community-level practices for YF prevention. We found a high abundance of 54 and 55 and 56 and 5

54 *Aedes simpsoni* complex in the context of low vaccination coverage. Community knowledge 55 and practice levels were low for reducing potential breeding sites, highlighting the need for

56 increased dissemination of information to community members on how to reduce their risk of

57 exposure to mosquito vectors of arboviruses.

# 58

# 59 Introduction

60 Yellow fever virus (YFV) is a flavivirus transmitted primarily to humans and non-human primates through the bite of an infected female Aedes spp. or Haemagogus spp. mosquito (1). 61 62 YFV is endemic to Africa and Latin America where it causes a spectrum of clinical symptoms 63 ranging in severity from asymptomatic infection, mild illness with flu-like symptoms to severe disease including, fever, jaundice or haemorrhage and death (2). Despite the availability of a 64 65 highly effective vaccine, YFV continues to occur in epidemic situations, and it is estimated to 66 result in 130,000 human cases and 78,000 deaths annually in Africa alone (2). Since the 1980s, 67 there has been an unprecedented rise in the number of large YFV outbreaks (3), including

- Angola and the Democratic Republic of Congo in 2016 which together became one of the
- 69 largest outbreaks in Africa for more than 20 years (4–6). In early 2018, numerous cases were
- 70 confirmed in Nigeria and Brazil, which was particularly concerning as they were being reported

71 from areas previously not considered at-risk (7). YFV has also re-emerged across East Africa

with outbreaks in Ethiopia in 2012 and Uganda in 2016 (8). Due to this global resurgence, YFV

73 continues to be considered a significant threat to public health (9).

74 The resurgence of epidemic yellow fever (YF) and the increased risk of urban outbreaks is 75 multi-factorial (10,11). Rapid urbanisation, population migration, climatic changes and 76 increased travel have all been implicated in expanding the geographical range of YFV and 77 driving mosquito vectors closer to human dwellings where unvaccinated individuals are often 78 living in highly populated areas (12). A multi-faceted approach is necessary for YFV control, 79 which includes strong laboratory and surveillance systems with rapid case reporting, 80 appropriate case management, vector control and reactive and preventive vaccination 81 campaigns (13).

82 Ethiopia has experienced numerous YFV outbreaks since the 1940s. Between 1960-1962, the 83 largest YFV outbreak ever recorded in Africa occurred along the River Omo, Ethiopia (Gamo 84 Gofa, Jinka and Kaffa regions) which resulted in approximately 200,000 human cases and 30,000 deaths. In 1966, YFV appeared in Arba Minch, in an area previously unaffected in the 85 1960 epidemic and therefore excluded from the mass vaccination campaign at the time. During 86 87 this outbreak, 450 deaths were reported (2,200 human cases) and the outbreak was confirmed 88 through serological and entomological testing (14). After almost a 50-year absence, YFV re-89 emerged in 2012. A reactive vaccination campaign commenced in June, 2013, which reached 90 approximately 550,000 people across the at-risk population. There have been no further 91 vaccination campaigns since this outbreak, and Ethiopia is one of the few remaining endemic 92 countries that have not introduced the YF vaccine into their childhood immunization 93 programme. Therefore, the country is classified as a top priority through the Eliminating 94 Yellow Fever Epidemics (EYE) strategy, a coalition of partners led by the World Health 95 Organization (WHO), UNICEF and Gavi, the Vaccine Alliance (15).

96 Knowledge, Attitudes and Practices (KAP) studies have been widely used to understand the 97 community context of disease transmission, to help inform appropriate control and risk

- 98 communication activities, with an overall aim to reduce barriers to the prevention of infectious
- diseases. In Ethiopia, there is a considerable paucity of such data on YFV, nor had a YFV
- 100 outbreak occurred in the region for almost 50 years. To guide appropriate, prospective disease
- 101 control interventions, this study aimed to collect historical epidemiological information of the
- 102 2012 YFV outbreak, to assess the risk for future outbreaks through entomological surveillance,
- 103 including mosquito species identification and molecular screening for arboviruses, and finally
- 104 to determine knowledge and attitudes towards YF within the affected communities following
- 105 this outbreak and assess current community-level practices for YF prevention.

## 106 Methods

- 107
- 108 Study location
- 109 The study was conducted in South Omo Zone (SOZ), Ethiopia, which is located in south-west
- 110 Ethiopia (Southern Nations Nationalities and People's Region SNNPR) across 5 selected
- 111 kebeles (villages), between June and August 2017 (Figure 1). Aykamer, Shepe, Arkisha (South
- 112 Ari woreda (region)), Hana (Salamago woreda) and Besheda (Hammer woreda) kebeles were
- selected as representative sites which reported varying numbers of cases during the 2012-2014
- 114 outbreak and were all targeted for vaccination during the emergency reactive campaign in
- 115 2013.
- 116 Figure 1: Map of study sites in South Omo Zone (SOZ), Southern Nationalities and
- 117 People's Region (SNNPR), south-west Ethiopia.

# 118 Historical clinical data collection

- 119 In November 2012, cases of an unknown febrile illness were reported to the Public Health 120 Emergency Management (PHEM) through the mandatory weekly reporting format for health 121 extension workers (HEW) on all immediately reportable diseases. Symptoms were a 122 combination of fever, headache, nausea, bloody vomiting, abdominal pain, joint pain and 123 jaundice. A team from the SOZ Health Department, WHO Ethiopia Country Office and 124 Ethiopian Public Health Institute (EPHI) were deployed to the field for a rapid risk assessment. The causative agent was confirmed as YFV, following serum sample analysis. WHO standard 125 126 case definition was used during the field investigation, and further cases confirmed through 127 epi-link. The line list of all suspected and confirmed cases during the outbreak was retrieved 128 from the SOZ Health Department in June 2017, to conduct a full historical descriptive 129 epidemiological analysis; an interim report detailing cases up to October 2013 was published 130 in 2017 by Lilay et al. (16).
- 131
- 132 Entomological investigation and sampling
- 133 At each household premise, selected to participate in the KAP survey, immature Aedes spp. 134 were sampled from all natural and artificial containers found around the home using a larval 135 dipper. Only containers that contained water were included. An entomological survey was 136 completed to define the type of container, its location, its usage and larval and pupal densities 137 to calculate risk indices and to assess the most productive breeding sites. Any container that 138 was found harbouring at least one larva or pupa of Aedes spp. was considered positive, and a 139 sample of immature stages collected were reared to adulthood for subsequent morphological 140 identification (17,18). The following risk indices were calculated:
- 141
- *House Index (HI)* the percentage of houses found positive for mosquito larvae or pupae.

144 *Container Index (CI)* – the percentage of containers found positive for mosquito larvae or pupae. 145

- Breteau Index (BI) the number of containers found positive for mosquito larvae and 146 147 pupae per 100 houses surveyed.
- 148

Pupal Demographic Index (PDI) – number of pupae found per number of residents in 149 the houses inspected.

150

151 Entomological indices were interpreted according to the WHO guidelines; a high risk of YFV

transmission is HI>35%, BI>50 or and CI>20% and low risk is HI<4%, CI<3% or BI<5 (19). 152

- The most productive containers were considered the types of water-holding containers where 153 154 >70% of all pupae were found (20).
- 155

156 A Prokopack aspirator was used to collect adult mosquitoes at each household, both inside and outside of the premises. Each location was sampled for a total of 15 minutes (50% inside, 50% 157 158 outside) to ensure systematic sampling. Specimens were labelled with time, date and location 159 of their collection and stored in RNAlater<sup>®</sup> (Sigma, UK) at 4°C or lower to prevent viral RNA

- 160 degradation.
- 161

#### 162 *Molecular species identification*

RNA was extracted from individual whole mosquitoes using QIAGEN RNeasy 96 kits 163 according to manufacturer's instructions. RNA was eluted in 40 µL of RNase-free water and 164 165 stored at -80°C. A QIAGEN QuantiTect Reverse Transcription kit was used to reverse 166 transcribe RNA to generate cDNA from all RNA extracts according to the manufacturer's 167 instructions. To determine the species of adult female Aedes collected in Shepe, Aykamer and 168 Arkisha, a fragment of the ITS2 gene was sequenced (21). PCR products were separated and 169 visualised using 2% E-Gel EX agarose gels (Invitrogen) with SYBR safe and an Invitrogen E-170 Gel iBase Real-Time Transilluminator. PCR products were submitted to Source BioScience 171 (Source BioScience Plc, Nottingham, UK) for PCR reaction clean-up, followed by Sanger 172 sequencing to generate both forward and reverse reads. Sequencing analysis was carried out in 173 MEGA7 (22) as follows. Both chromatograms (forward and reverse traces) from each sample 174 was manually checked, analysed, and edited as required, followed by alignment by ClustalW 175 and checking to produce consensus sequences. Consensus sequences were used to perform 176 nucleotide BLAST (NCBI) database gueries and an alignment constructed to include all field 177 sample consensus sequences; two consensus sequences from Ae. bromeliae specimens, 178 generated by following the same procedure as the field samples; plus relevant sequences 179 covering the region sequenced, obtained from GenBank. This alignment by ClustalW was used 180 to produce a Maximum Likelihood (ML) phylogenetic tree based on the Tamura-Nei method 181 (23).

- 182
- 183 Arbovirus screening

Screening for YFV and other major arboviruses of public health suspected or having the 184 185 potential of being transmitted in the region (dengue virus (DENV), Zika virus (ZIKV), 186 chikungunya virus (CHIKV), West Nile virus (WNV) and Rift Valley fever virus (RVFV)) 187 was undertaken using published real time PCR assays (Supplementary File S1). PCR reactions 188 for all assays except ZIKV and WNV were prepared using 5 µL of QIAGEN SYBR Green Master mix, a final concentration of 1 µM of each primer, 1 µL of PCR grade water and 2 µL 189 190 template cDNA, to a final reaction volume of 10  $\mu$ L. Prepared reactions were run on a Roche 191 LightCycler® 96 System and PCR cycling conditions are described in Supplementary File S1. 192 Amplification was followed by a dissociation curve (95°C for 10 seconds, 65°C for 60 seconds

193 and 97°C for 1 second) to ensure the correct target sequence was being amplified. ZIKV and

194 WNV screening was undertaken using a Taqman probe-based assay using 5  $\mu$ L of QIAGEN 195 QuantiTect probe master mix, a final concentration of 1  $\mu$ M of each primer, 1  $\mu$ L of PCR grade 196 water and 2  $\mu$ L template cDNA, to a final reaction volume of 10  $\mu$ L. PCR results were analysed 197 using the LightCycler® 96 software (Roche Diagnostics). Synthetic long oligonucleotide 198 standards (Integrated DNA technologies) of the PCR product template sequence were 199 generated in the absence of biological virus cDNA positive controls and each assay included 190 negative (no template) controls.

201

# 202 Knowledge, Attitudes and Practices (KAP) study design and sample population

180 KAP surveys were administered across the five sites during the study period. A full household list was gathered from each village health post and random sampling used to select households, using a random number generator. Sample size calculations were conducted in STATA/IC 14.2 using the *svysampsi* command for surveys with a dichotomous outcome variable, with a proportion of 0.9 assumed to have the expected outcome, an error rate of 5%, a response rate of 90%, and a 95% confidence interval.

- 209 The KAP survey was developed through adaptation of previous KAP surveys used for other
- 210 arboviruses (DENV and ZIKV), as well as compilation of context specific questions following
- 211 informal discussions with stakeholders in Ethiopia (24–27). The survey was semi-structured,
- 212 including both open and closed-ended questions, and captured details on household
- characteristics (socio-economic status/education), past YFV infection, vaccination status and general *Aedes* spp. control practices (Supplementary File S2). The survey was structured into
- eight main sections: [1] socio-demographic characteristics of study participants; [2] knowledge
- of YF symptoms, signs and transmission modes; [3] attitudes towards YF; [4] preventative
- 217 practices against YF; [5] sources of information regarding YF; [6] YF case finding; [7] YF
- 218 vaccination coverage estimation; and [8] other epidemiological risk factor information.
- The full questionnaire was first developed in English, translated into Amharic and re-translated back into English for analysis. Before its use in the study, the questionnaire was pilot tested among community members in Arkisha, who were not included in the final analysis.
- 222 *KAP data collection and analysis*
- 223 At each study site, the questionnaire was conducted in Amharic (or local dialect if preferred by
- respondent) by a HEW, who was trained by an experienced interviewer from Jinka Town. None
- of the interviewers were told the correct results to avoid interview bias during data collection.
- The head of household was the main respondent, however when this was not possible, another member of the family was interviewed *in lieu*.
- All completed household surveys were double-checked and verified on the same day for completeness and consistency. Data were interpreted taking into account informal conversations and observations in the community and hospital.
- The KAP assessment was conducted using a scoring system. A participant's KAP score was calculated as the sum of their correct answers, where a correct answer was given a value of 1 and an incorrect answer (including any answers "do not know" or "no answer") the value of 0 (25). The total possible score was 15 for Knowledge, 5 for Attitude and 8 for Practices. Respondents' levels were defined as "good" or "poor" based on a 75% cut-off threshold. Logistic regression was conducted in STATA/IC 14.2 to identify determinants for KAP levels. Independent variables included in the model were household location, YF vaccination status,
- education level and the age and sex of respondent.

#### 239 *Ethical approval*

Ethical approval for the study was obtained from the London School of Hygiene and Tropical Medicine (LSHTM; ref #12291) and Arba Minch University (AMU; ref #6008/111). An invitation letter from AMU was taken to SOZ Health Department, who then provided an invitation letter, which was delivered to the village health posts to gain permission to sample. All participants were adults and gave full written informed consent. Confidentiality of all respondents was assured by using unique study identifiers. Working closely with HEWs ensured community acceptance and cooperation.

- 247 Results
- 248

#### 249 Epidemiological information

Between November 2012 and March 2014, a total of 165 cases of YF were reported to PHEM,

including 62 deaths and 4 laboratory confirmed cases (with the other 161 suspected cases defined through epi-link), in an entirely unvaccinated population. The index case was reported

from Geza and first developed symptoms between 12<sup>th</sup> and 23<sup>rd</sup> November, 2012. Laboratory

confirmation was on 7<sup>th</sup> May, 2013. The main peak of the outbreak occurred from March to

255 May, 2013, with the cases appearing to decline following the emergency vaccination campaign

- which commenced on  $10^{\text{th}}$  June, 2013 (Figure 2).
- 257

Figure 2: Distribution of reported yellow fever cases by their date of onset, from November 259 2012 to January 2014 (n=165) in Southern Nations, Nationalities, and People's Region 260 (SNNPR), Ethiopia.

- 261 \*YFV: Yellow fever virus.
- 262

The majority of cases were 15-44 year olds (75.8%), with males slightly more affected than females, with a male to female case ratio of 1.4:1 (Table 1). Most case deaths were also in males (76%), which resulted in a case fatality rate (CFR) of 48.5% compared to 22.1% in females (Table 2). The overall CFR was 37.6%, with the majority of deaths occurring at the start of the outbreak. 69% of reported deaths occurred in health facilities; the remaining 31% were community deaths found through active case detection.

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Table 1: Age, sex and residence of reported yellow fever (YF) cases during the YF outbreak
 in Southern Nations, Nationalities, and People's Region (SNNPR), Ethiopia (n=165).

	Variable	Number of suspected cases	Percentage (%)
Age	0-4	5	3.0
0	5-14	16	9.7
	15-44	125	75.8
	45+	19	11.5
Sex	Male	97	58.8
	Female	68	41.2
Residence	South (Debub) Ari*	123	74.6
	BenaTsemay	19	11.5
	Jinka Town	11	6.7
	Salamago*	3	1.8
South Omo Zone	Malle	3	1.8
(SOZ)	Gnangatom	1	0.6
	Dasenech	1	0.6

	North (Semen) Ari	0	0
	Hammer*	0	0
	Total	161	97.6
	Konso	2	1.2
Gamo Gofa Zone	Geze Gofa	1	0.6
	Mirab Abaya	1	0.6
	Total	4	2.4

273 \*Contains one or more sampled kebele from this study

274

Table 2: Yellow fever status as of March 2014 by sex, outcome status, and respective case
 fatality rate (CFR) in Southern Nations, Nationalities, and People's Region (SNNPR), Ethiopia
 (n=165).

278

	No. alive (%)	No. dead (%)	CFR (%)
Female	53 (51.5)	15 (24.2)	22.1
Male	50 (48.5)	47 (75.8)	48.5
Total	103	62	37.6

279

74.6% of all cases were reported from rural kebeles (villages) in South Ari. Aykamer, Geza
and Shepe kebeles contributed 49% of all reported cases and 62% of reported cases within
South Ari woreda (Table 1 and Figure 3). Aykamer and Geza kebeles had the highest attack
rates (AR) at 103.2 and 104.7 per 10,000 cases respectively. On 10<sup>th</sup> June, 2013 the SOZ Health
Department began an emergency vaccination campaign which targeted 607,462 people (28).
HEWs and allied health professionals were able to reach 543,558 people and an overall
coverage estimate of 89% was reported from SOZ Health Department in March 2014.

287

Figure 3: Distribution and frequency of yellow fever cases in South Omo Zone, Ethiopia
 (n=165).

290 291

292 Entomological investigation

293 A total of 688 containers containing water were inspected among 177 households. Overall 240 294 (34.9%) of the containers were classified as positive, i.e. contained at least one mosquito larva 295 or pupa, across a total of 105 positive households (59.3%). The majority of water-filled 296 containers were found outdoors and filled with rainwater. The locations and types of containers 297 across the kebeles varied, reflecting the differences in larval indices among study sites. No 298 larvae or pupae were found in any water-holding containers in Besheda. A sample of all 299 immature stages were reared to adulthood (Table 3). From Shepe, Arkisha and Aykamer, the 300 main species collected was *Aedes* spp., however from Hana a large proportion was *Culex* spp. 301 A small number of Toxorhynchites spp. larvae and pupae were identified in Shepe and 302 Aykamer.

303

304 Table 3: Species of mosquitoes from immature stages reared to adults across the sample sites.305

	Shepe	Arkisha	Aykamer	Hana	Besheda
Aedes spp.	44 (76%)	35 (90%)	84 (76%)	5 (19%)	0 (0%)
Culex spp.	12 (21%)	4 (10%)	23 (21%)	22 (81%)	0 (0%)
<i>Toxorhynchites</i> spp.	2 (3%)	0 (0%)	3 (3%)	0 (0%)	0 (0%)
Total	49	37	110	27	0

#### 306

307 In the highland area of South Ari, the false banana plant is ubiquitously found in close proximity to the home and was the major site for immature Aedes spp. stages in Shepe (75% 308 309 of plants inspected were positive) and Aykamer (64% positive). The second most important 310 breeding sites in these two kebeles were discarded plastic and clay, which were commonly 311 observed outside the home (Figure 4). There was also a high coverage of mixed vegetation 312 close to the home, including sweet potato, maize and false banana, which were often the 313 locations of adult mosquito collections. By comparison, the homes in Besheda were often kept 314 completely clear of any containers, clutter or vegetation. It was very unusual to see any 315 containers outside of the home. In Shepe alone, 102 positive containers were identified, 316 followed by 94 in Aykamer, 24 in Hana, 20 in Arkisha and 0 in Besheda. The types of infested 317 water-holding containers varied between the sample sites depending on the local usage and 318 traditional practices (Table 4).

319

Table 4: Different types of containers inspected, including proportion found positive with
 either mosquito larvae or pupae, and relative pupal contribution, across the sample kebeles in
 South Omo Zone, Ethiopia, 2017.

	Type of container	No. of containers inspected	No. of positive containers* (%)	No. of pupae (% contribution)
Natural breeding	<i>Ensete ventricosum</i> (False banana)	220	141 (64.2)	179 (19.7)
sites	Musa spp. plant (Banana)	28	18 (64.3)	19 (2.1)
	Tree hole or tree trunk	6	3 (50.0)	27 (3.0)
	Colocasia esculenta (Taro)	1	0 (0.0)	0 (0.0)
Artificial	Plastic jug	101	5 (4.9)	3 (0.3)
breeding sites	Plastic drum / metal drum	110	8 (7.3)	51 (5.6)
sites	Discarded plastic	65	14 (21.5)	86 (9.5)
	Discarded clay pot	48	9 (18.8)	304 (33.5)
	Clay pot	45	10 (22.2)	225 (24.8)
	Plastic bowl	23	0 (0.0)	0 (0.0)
	Metal bowl	27	2 (7.4)	3 (0.3)
	Discarded tyre	7	4 (57.1)	8 (0.9)
	Discarded plastic shoe	4	2 (50.0)	2 (0.2)
	Water pump	1	1 (100.0)	0 (0.0)
	Discarded metal	1	0 (0.0)	0 (0.0)
	Glass bottle	1	1 (100.0)	0 (0.0)
Total		688	34.9	907 (100)

- 324 \*presence of at least one larva and/or pupa.
- 325
- 326
- 327

Figure 4: Typical mosquito breeding sites identified in South Omo Zone, Ethiopia, 2017: (a)
Plastic drum (b) Metal drum (c) Plastic jug (d) Discarded plastic (e) Discarded clay pot (f) Clay
pot (g) Metal bowl (h) Discarded Tyre (i) False banana plant (j) Various discarded items outside
the home.

332

333 Table 5 shows the Breteau Index (BI), Container Index (CI), Pupal Demographic Index (PDI) 334 and Household Index (HI) for each study site. The highest HIs were recorded in Shepe (79.0%) 335 and Hana (57.1%); both villages were classified as high risk for YFV transmission (WHO 336 threshold of >35%). The highest CIs were in Shepe (57.9%) and Aykamer (75.4%), both of 337 which inferred a high risk (HI>50%). The BIs were higher than the WHO threshold across all 338 sample sites except Besheda (threshold of BI>20); indicating there is no evidence for YFV 339 transmission in Besheda as no immature stage was found and all indices were below the low 340 risk thresholds of HI<4%, CI<3% and BI<5. The PDI was highest in Aykamer (2.24), which 341 also had the highest AR (103.2 cases per 10,000 people) (19). There was no statistically 342 significant correlation between the traditional entomological indices and the AR. However, 343 there was strong positive correlation (r=0.9545) between AR and PDI (p=0.0455).

344

345 Screening individual adult female *Aedes* mosquitoes collected using aspiration (n=120 from 346 Shepe, n=12 from Aykamer, n=1 from Arkisha) for YFV and other major arboviruses revealed 347 no evidence of arbovirus infection. Molecular species identification was undertaken by 348 sequencing a fragment of the ITS2 gene for a sub-sample of specimens (approximately 10% of 349 the total number from each location) which included 12 individuals from Shepe, where the 350 majority of adult females were collected, 2 from Aykamer and 1 from Arkisha, in addition to 351 2 Ae. bromeliae specimens from Tanzania for comparison. Figure 5 shows that all specimens 352 collected in our study were phylogenetically similar to GenBank sequences from the Ae. 353 simpsoni complex, non-anthropophilic grouping from Mukwaya et al. 2000, indicating these 354 specimens are part of the Ae. simpsoni complex and group with Ae. lilii. Consensus sequences 355 from this study have been submitted to GenBank (Accession numbers MH277621 -356 MH277635).

357

Table 5: Distribution of entomological indices and yellow fever (YF) attack rates recorded
 across the sample kebeles, South Omo Zone, Ethiopia, 2017.

Woreda	Kebele	Total houses inspected	Household index (%)	Container index (%)	Pupal demographic index*	Breteau Index	YF attack rate^
South Ari	Shepe	43	79.0	57.9	0.48	237.2	35.5
	Arkisha	26	38.5	18.5	0.28	76.9	9.81
	Aykamer	65	33.8	75.4	2.24	144.6	103.2
Salamago	Hana	21	57.1	24.0	0.50	114.3	3.2
Hammer	Besheda	25	-	-	-	-	-
	Total	180	41.7	35.2	0.70	114.6	30.3

361 \*Number of pupae per person.

362 ^Number of cases per 10,000 people.

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365

#### 367 **Figure 5**: Molecular phylogenetic analysis by Maximum Likelihood method

368 The evolutionary history was inferred using the Maximum Likelihood method based on the Tamura-Nei model (23). The tree with the highest log likelihood (-587.45) is shown. The 369 370 percentage of trees in which the associated taxa clustered together is shown next to the 371 branches. Initial tree(s) for the heuristic search were obtained automatically by applying 372 Neighbor-Joining and BioNJ algorithms to a matrix of pairwise distances estimated using the 373 Maximum Composite Likelihood (MCL) approach, and then selecting the topology with 374 superior log likelihood value. The tree is drawn to scale, with branch lengths representing the 375 number of substitutions per site. The analysis involved 41 nucleotide sequences. Codon 376 positions included were 1st+2nd+3rd+Noncoding. All positions containing gaps and missing 377 data were eliminated. There were a total of 230 positions in the final dataset. Evolutionary 378 analyses were conducted in MEGA7(22). Accession numbers for all GenBank sequences 379 included are shown.

- Consensus sequences from field specimens, labelled according to site of collection with
   newly generated Accession numbers included in brackets (ETH; Ethiopia, SHP; Shepe, AYK;
   Aykamer, ARK; Arkisha).
- 383 GenBank sequences of *Ae. simpsoni* complex, non-anthropophilic grouping from Mukwaya 384 *et al.* 2000 (29).
- 385 GenBank sequences of *Ae. simpsoni* complex, anthropophilic grouping from Mukwaya *et al.*

386 2000 (29), in addition to other Ae. simpsoni complex and Ae. bromeliae GenBank sequences.

- 387 ▲Consensus sequences from Ae. bromeliae specimens generated alongside field specimen
   388 sequences.
- 389 •GenBank seqences of Ae. aegypti.
- 390 GenBank sequence of *Ae. metallicus*.
- 391
- 392

# 393 Household and individual characteristics

The KAP respondents comprised of 101 (56.1%) females and 79 (43.9%) males, with 111 (62.3%) between 20-34 years of age (Table 6). The majority either had no education or primary school level (86.6%), while only 5.6% had achieved a higher education level. Among all respondents, 142 (78.9%) were farmers, while the remaining 38 (21.1%) were combinations of other professions.

399 Table 6: Demographic characteristics of study respondents to KAP household questionnaire400 in South Omo Zone, Ethiopia (n=180).

	Variable	Frequency	Percentage %
Sex	Male	79	43.9
	Female	101	56.1
Age (years)	<20	5	2.8
	20-24	23	12.8
	25-29	39	21.7
	30-34	50	27.8
	35-39	33	18.2
	>40	30	16.7
Education	None	96	53.3
	Primary School	60	33.3
	Secondary School	14	7.8
	Higher Education	10	5.6
Marital Status	Married	152	84.4

	Single	12	6.7
	Divorced	2	1.1
	Widowed	14	7.8
Occupation	Unemployed	14	7.8
-	Farmer	142	78.9
	Shopkeeper	1	0.6
	Forest-worker	1	0.6
	Trader	4	2.2
	Teacher	3	1.6
	Student	6	3.3
	Other	9	5.0
No. of people in the household	<4	26	14.4
	4	43	23.9
	5	29	16.1
	6	40	22.2
	7	19	10.6
	>7	23	12.8

# 402403 *Knowledge of YF*

404 158 (87.8%) of the respondents had previously heard of YF, with the majority able to identify 405 the general symptoms of YF, including fever (82.2%) and headache (82.2%) (Table 7). However, fewer participants were able to identify more specific YF signs and symptoms, 406 including jaundice (61.7%), muscle pain (74.5%) and bloody vomiting (56.1%). Most 407 408 participants stated that mosquitoes transmitted YFV (82.8%) (Table 7). However, knowledge 409 levels were lower concerning which mosquito species transmitted the diseases, with only 54 410 (30%) aware that it was different species to those capable of transmitting malaria. Many 411 respondents (57.2%) affirmed that YFV could be transmitted through ordinary person to person 412 contact, although knowledge was higher that it is not possible to transmit YFV via food or water (62.8%). Many thought YF mosquitoes were most likely to bite at night time (58.9%). 413 414 The majority of respondents knew that mosquitoes could breed in standing water (83.9%) but 415 much fewer were aware that this was possible inside the home (46.7%). 138 (76.7%) agreed 416 that removing or covering standing water helps to prevent mosquito breeding and 145 (80.6%) 417 agreed that pouring chemical into standing water was effective at killing mosquito larvae.

Table 7: Knowledge of yellow fever (YF) signs, symptoms and transmission modes among
 study respondents in South Omo Zone, Ethiopia, 2017 (n=180).

KNOWLEDGE	Frequency	Percentage %
Have you heard of yellow fever?	• • •	
Yes	158	87.8
No	22	12.2
SIGNS AND SYMPTOMS		
Is fever a symptom of YF?		
Yes	148	82.2
No	8	4.5
Don't know	2	1.1
No answer	22	12.2
Is headache a symptom of YF?		
Yes	148	82.2
No	8	4.5

Don't know	2	1.1
No answer	22	12.2
Is jaundice a symptom of yellow fever?		
Yes	111	61.7
No	37	20.5
Don't know	10	5.6
No answer	22	12.2
Is muscle pain a symptom of YF?		
Yes	134	74.5
No	20	11.1
Don't know	4	2.2
No answer	22	12.2
Is rash a symptom of YF?		
Yes	97	53.9
No	50	27.8
Don't know	11	6.1
No answer	22	12.2
Is bloody vomiting a symptom of YF?		
Yes	101	56.1
No	29	16.1
Don't know	28	15.6
No answer	22	12.2

TRANSMISSION	Frequency	Percentage %
Do mosquitoes transmit YF?		
Yes	149	82.8
No	9	5.0
Don't know	3	1.7
No answer	19	10.5
Are they the same mosquitoes that transmit malaria?		
Yes	81	45.0
No	54	30.0
Don't know	26	14.4
No answer	19	10.6
Does ordinary person to person contact transmit YF?		
Yes	103	57.2
No	46	25.6
Don't know	12	6.6
No answer	19	10.6
Is YF transmitted through food and water?		
Yes	38	21.1
No	113	62.8
Don't know	10	5.5
No answer	19	10.6
When are the YF mosquitoes most likely to bite?		
Night	106	58.9
Day	16	8.9
Both	39	21.6

Don't know	19	10.6
Do the YF mosquitoes breed in standing water?		
Yes	151	83.9
No	2	1.1
Don't know	8	4.4
No answer	19	10.6
Can mosquitoes breed inside the home?		
Yes	84	46.7
No	64	35.6
Don't know	13	7.1
No answer	19	10.6
Does removal or covering of standing water prevent mosquito breeding?		
Yes	138	76.7
No	10	5.5
Don't know	13	7.2
No answer	19	10.6
Can pouring chemical into standing water kill mosquito		
larvae?		
Yes	145	80.6
No	6	3.3
Don't know	10	5.5
No answer	19	10.6

421

422 Attitudes towards prevention and control of YF

423 147 (81.7%) of study respondents stated that YF is a serious illness, with the reasons for being 424 so including bloody vomiting, high CFR, and becoming more dangerous without early 425 treatment (Table 8). Respondents agreed that both controlling breeding sites of mosquitoes 426 (87.2%) and vaccination (75.6%) were good strategies to prevent YF, and that communities 427 have a role to play in controlling these mosquitoes (86.7%). Fewer participants (56.1%) thought 428 that it was the health post's responsibility to prevent YF.

428 that it was the health post's responsibility to prevent YF.

429 Table 8: Attitudes of study respondents towards yellow fever (YF) in South Omo Zone,
430 Ethiopia, 2017 (n=180).

147 16 17	81.7 8.9 9.4
16 17	8.9
17	
	9.4
157	87.2
7	3.9
16	8.9
136	75.6
28	15.6
16	8.8
_	7 16 136 28

controlling the mosquitoes of YF?

Yes	156	86.7
No	8	4.4
No answer	16	8.8
Do you think its responsibility of the health office to control and prevent YF?		
Yes	101	56.1
No	63	35.0
No answer	16	8.9

431

#### 432 *Practices regarding YF prevention*

433 166 (92%) respondents self-reported actively reducing mosquitoes near their homes, through 434 preventing standing water (89.4%), using insecticide-treated nets in the home (85.6%), using 435 smoke to drive mosquitoes away (86.7%) and wearing clothes to prevent mosquito bites 436 (92.8%) (Table 9). 145 (81%) respondents reported that the government had come to their 437 houses to spray insecticides on their walls in the past. The majority of respondents reported 438 covering water containers in the home (90.0%), with many claiming to clean their water filled 439 containers every day (37.3%) or once a week (51.7%). Most respondents reported turning their 440 containers upside down to avoid water collection (84.4%).

441 Sources of information about YF

442 The majority of respondents (76.8%) reported receiving information solely from their HEWs,

443 particularly as most live in rural kebeles without access to radio or TV. A large proportion of 444 respondents knew of neighbours or family members who had YF in the past, with many 445 reporting cases that occurred 5-6 years ago. However, individuals often cited cases occurring

between 2014 and 2017, particularly in Arkisha where 64% of respondents reported knowing someone who had YF in 2016 or 2017. 60% of respondents from Arkisha self-reported having

suffered from YF themselves, while no one in Besheda reported any cases of YF for either

themselves or anyone else they knew.

450 Self-reported vaccination history, collected alongside household KAP survey data, reported

451 only 62% of respondents having been vaccinated in the YF campaign in 2013, compared to the

452 89% coverage estimated by SOZ Health Department by March 2014. All of those who reported

453 being vaccinated, had done so at their kebele health post in 2013.

454 21 (11.7%) study respondents reported working in Mago National Park or other forest areas, 455 particularly from Arkisha which borders the park. 45 (25%) people reported having contact 456 with monkeys near to their homes. From the rural kebeles, little migration had occurred, 457 however, in Hana, almost every respondent (90.5%) had moved to the region for work, in 458 particular to work at the Omo Kuraz Sugar Factory project, or to serve this growing urban 459 community.

460 Table 9: Practices for yellow fever (YF) prevention and mosquito control of study respondents
 461 in South Omo Zone, Ethiopia, 2017 (n=180).

PREVENTING MOSQUITO-MAN CONTACT	Frequency	Percentage %
Do you do anything to reduce mosquitoes?		
Yes	166	92.2
No	14	7.8
Has the government come to spray insecticide to reduce mosquitoes?		
Yes	145	80.6

No	35	19.4
Do you prevent standing water around the house to		
reduce mosquitoes?		
Yes	161	89.4
No	19	10.6
Do you use insecticide treated nets to protect against		
mosquitoes in the home?		0 <b>-</b> (
Yes	154	85.6
No	26	14.4
Do you use smoke to drive mosquitoes away?		
Yes	156	86.7
No	24	13.3
Do you cover your body with clothes to prevent against mosquito bites?		
Yes	167	92.8
No	13	7.2
ELIMINATING BREEDING SITES		
Do you cover water containers in the home?		
Yes	162	90.0
No	18	10.0
How often do you clean water filled containers and		
ditches around the house?		
Everyday	67	37.3
Once a week	93	51.7
Once a month	9	5.0
Never	7	3.9
No answer	4	2.1
Do you turn containers upside down to avoid water		
collection?		
Yes	152	84.4
No	27	15.0
No answer	1	0.6

462

463 *Predictors for knowledge, attitudes and practices* 

464 Regarding KAP scores, 53.3% of participants achieved a good (at least 75%) knowledge score, 465 78.9% achieved a good attitude score and 70.6% a good practices score. In the univariate analysis of the association between KAP score and a number of independent variables 466 (including socio-economic variables, past YFV infection and YF vaccination status), there was 467 468 increased odds of having good knowledge if the respondent lived in Arkisha (OR:13.88; 95%) 469 CI 4.18-46.02), Aykamer (OR: 18.89; 95% CI 6.74-52.94), Hana (OR: 7.40; 95% CI 2.22-470 24.65) and Besheda (OR:5.22; 95% CI1.61-16.95), compared to a respondent living in Shepe (Table 10). 471

This analysis also predicted increased odds of having a good attitude score if the respondent was female (OR: 2.71; 95% 1.29-5.67), and by household location, with a decreased odds of good attitude by lack of YF vaccination (OR: 0.40, 95% CI 0.19-0.83) (Table 10). A good practice level score was also found to be predicted by YF vaccination status (OR: 0.37, 95% 0.19-0.71). After adjusting for potential confounders in a multivariate analysis, sex was no longer found to be a significant predictor of attitude levels; or vaccination status on knowledge level (Table 10).

479 The correlation of KAP scores revealed a slight positive correlation between knowledge and

- 480 attitude scores (r=0.41, p<0.001); while no significant correlation was found between 481 knowledge and practices (n=0.272) or attitude and practices (n=0.471) (Table 11)
- 481 knowledge and practices (p=0.373), or attitude and practices (p=0.471) (Table 11).

Dependent variable	Independent variable	Categories	OR (95% CI)	aOR* (95% CI)	p-value
Knowledge level	Vaccination status	Yes	1	1	
		No	1.17 (0.64, 2.16)	0.60 (0.26, 1.39)	0.231
	Household location	Shepe	1	1	
		Arkisha	13.88 (4.18, 46.02)	48.97 (9.40, 255.12)	< 0.001
		Aykamer	18.89 (6.74, 52.94)	31.97 (8.15,120.62)	< 0.001
		Hana	7.40 (2.22, 24.65)	25.35 (4.75, 135.46)	< 0.001
		Besheda	5.22 (1.61, 16.95)	9.71 (2.07, 45.53)	0.004
Attitude level	Sex	Male	1	1	
		Female	2.71 (1.29, 5.67)	2.33 (0.79, 6.84)	0.124
	Vaccination status	Yes	1	1	
		No	0.40 (0.19, 0.83)	0.21 (0.06, 0.68)	0.009
	Household location	Shepe	1	1	
		Arkisha	3.33 (1.05, 10.46)	14.03 (2.52, 78.25)	0.003
		Aykamer	9.50 (3.18, 28.34)	27.85 (5.17, 150.03)	< 0.001
		Hana	1.14 (0.40, 3.24)	2.19 (0.46, 10.34)	0.324
		Besheda	-	-	-
Practice level	Vaccination status	Yes	1	1	
		No	0.37 (0.19, 0.71)	0.34 (0.15, 0.80)	0.014
	Household location	Shepe	1	1	
		Arkisha	0.44 (0.14,1.40)	0.95 (0.23, 3.84)	0.941
		Aykamer	0.26 (0.10,0.66)	0.26 (0.08, 0.80)	0.019
		Hana	0.42	0.98	0.979

Table 10: Multivariate logistic regression showing predictors of knowledge, attitude and
 practices levels (good *vs.* bad).

		Besheda	(0.12, 1.40) 1.36 (0.32, 5.84)	(0.20, 4.80) 2.07 (0.41, 10.54)	0.382
484	OR: Odds Ratio				
485	aOR: adjusted Odds Ratio				
486	CI: Confidence Intervals				

487 \*Adjusted for age, sex, marital status, education level, vaccination status and household

- 488 location.
- 489
- 490

491	Table 11: Correlation between	n knowledge.	attitude and	practices scores.

Variables	Correlation co-efficient (r)	p-value
Knowledge-Attitudes	0.41 (0.24, 0.58)	< 0.001
Knowledge-Practices	-0.07 (-0.23, 0.09)	0.373
Attitudes-Practices	0.05 (-0.08, 0.18)	0.471

492

# 493 **Discussion**

494

To reduce the risk of future YFV and other arboviral disease outbreaks, it is important to understand past outbreaks and community perceptions and preventative practices in at-risk areas, to guide appropriate interventions and policy recommendations. From 2012-2014, 165 cases were reported in SOZ, including 62 fatalities. YF was unfamiliar to health professionals at this time as it was an unexpected outbreak of a disease that had not been seen locally since 1962 (30). With the majority of cases identified in rural kebeles, it is highly likely that the prevalence and severity of the outbreak was under-reported.

502

503 The epidemiological data indicate that the case number was higher in males, which is in line 504 with previous YF outbreaks, such as the 1960 outbreak in Ethiopia which had a male:female 505 case ratio of 1.6:1 (31) as well as in Uganda (2011) where the sex-specific AR was 16.5 (male) 506 vs. 9.6 (female) per 100,000 (32). In SOZ, the CFR in males (48.5%) was almost double 507 compared to females (22.1%), which was consistent with the YF outbreak in Uganda (29.6% 508 in males compared to 17.8% in females) and may be explained by males often reporting later (and sicker) to health facilities, due to underestimating the severity of the disease at symptom 509 510 onset. By contrast, during outbreaks in The Gambia (1978-1979) and Ghana (1969-1970), 511 children <15 years of age were the most affected, due to the stopping of routine mass YF 512 vaccination campaigns in the early 1960s (33).

513

514 The majority of cases were seen in 15-44 year olds (75.8%) who may have been infected while 515 working outdoors during the day and dusk, the peak mosquito biting times; a risk factor also 516 described in Kenya (1992-1993) where 81% of cases were <40 years old (34). The overall CFR 517 in SOZ was 37.6%, which is higher than in the Darfur epidemic of 2012 (20.3%) and in Uganda in 2011 (24.9%) (33). Only 4 cases were reported from outside of SOZ, which may be from 518 519 individuals visiting the region, e.g. becoming infected at the weekly Saturday market in Jinka 520 Town; rather than autochthonous YFV transmission. However, with reports of Aedes spp. in 521 Gamo Gofa (14), the potential for local transmission is possible (as seen in 1966, a region 522 previously unaffected during the 1960-1962 outbreak). Areas outside of SOZ were not included 523 in the mass vaccination campaign of 2013, leaving this population immunologically-vulnerable 524 to future outbreaks.

526 The mass emergency vaccination campaign of 2013 appeared to curb the outbreak as the 527 number of cases declined post-campaign and a high administrative vaccination coverage was 528 reported. However, cases were still appearing in early 2014: for example, 3 cases in Malle 529 woreda (previously unaffected during the peak period of the outbreak) were detected in 530 individuals who had not been vaccinated (35), and there are informal reports from South Omo 531 and Arba Minch of recent YF cases; highlighting the urgent need for a follow up vaccination 532 campaign and strengthened case investigation. The peak of the reported cases occurred in April 533 and May of 2013, which could be explained by the hot and wet climate in the region during 534 this time, encouraging mosquito breeding. However, this also coincided with the YFV 535 laboratory confirmation which increased disease awareness among community and health 536 professionals.

537

538 In early 2014, the EPHI conducted a training for health managers at regional and district levels 539 on YF. However, by this time, the peak of the outbreak was already over. In addition, 540 surveillance was slow and took almost 8 months to confirm the outbreak. These delays were 541 due to a combination of a lack of in-country laboratory diagnostics (requiring samples to be 542 sent to the Regional Reference Laboratory at Institut Pasteur, Dakar for analysis), non-543 specificity of the case definition, and misdiagnosis by clinicians (16)(36). Through informal 544 discussions, it was noted that most health professionals (particularly doctors) working in Jinka 545 Hospital rotate to new hospitals after 2-3 years. Many of the doctors currently at the hospital 546 did not know the signs, symptoms or treatment for YF, as no follow up trainings by the EPHI 547 have taken place for newer recruits. Therefore, knowledge levels of healthcare professionals 548 can be assumed low in the case of a future outbreak.

549

550 During the entomological investigations, a total of 688 artificial and natural containers were 551 inspected from across 177 households. Most of the containers were found outside of the home, 552 and due to the ongoing rains in South Ari, filled with rainwater. None of the kebeles sampled 553 had piped water, so buckets and drums were often used for water storage. The urban area of 554 Hana served a larger number of people per household, and therefore stored a larger proportion 555 of water, increasing the number of potential sites for harbouring immature stages.

556

557 The main breeding site in Aykamer and Shepe was the false banana plant, a crop found 558 ubiquitously in South Ari. Traditional practices ensure the plant is cultivated close to the home, 559 particularly as it requires a larger amount of dung and nutrients than the regular banana plant. 560 The plant has multiple purposes, including its leaves which are used to transport cabbage to 561 market and its stem and roots are used as food (the "false banana" that fruits is inedible). In 562 addition, the plant grows for about 4 years, in comparison to the banana plant which grows, 563 fruits and dies within a few weeks, providing a longer life-span to act as a mosquito breeding 564 site. In the hotter and drier months, the water in the large plant stem has previously been 565 reported to resist evaporation, making mosquito breeding possible all year round (30). A recent mini-drought in Arkisha resulted in the loss of all false banana plants, however, usually it is 566 567 pervasive in this kebele. Therefore, breeding sites described in Arkisha during this study may 568 not be entirely representative. In addition, previous studies identified the taro plant as an 569 important breeding site for Aedes spp. in East Africa, however, in this study it was often 570 difficult to isolate water from the plant and only a small number were inspected, therefore its 571 significance is most probably under-estimated (30).

572

573 The greatest pupal contribution across the study sites was from clay pots (both used and 574 discarded) as well as the false banana plant. The clay pots were used for multiple purposes, but 575 commonly found to store water during house construction or those that were broken and

discarded containing rainwater; in the former, as the water was not being consumed, it was left uncovered. Drums were also often used for water storage; however, most were found negative for mosquito larvae. One study in Dire Dawa, Ethiopia, found that artificial breeding sites (in particular tyres and plastic drums) were the primary source of vectors (37,38), however there sampling was conducted in an urban area. Various studies found differing types of containers responsible for *Aedes* spp. breeding, such as discarded tyres in Tanzania (39), medium storage containers in Nigeria (40) and natural sites in Kenya (34,41).

583

Larval entomological surveys were conducted to understand the larval density and mosquito 584 585 abundance, to determine the future risk of YFV transmission. In terms of entomological risk 586 indices, all kebeles except Besheda were above the WHO high risk thresholds for one or more 587 entomological index, indicating evidence for future local YFV transmission. As previous 588 studies have reported a number of limitations associated with measuring larval indices, pupal 589 numbers per person were also calculated (PDI) (42). The PDI was found highest in Aykamer 590 (2.24), with a strong positive correlation between the AR and PDI (r=0.9545, p=0.0455), and 591 therefore it can be considered a significant predictor of YF risk. A higher PDI was also 592 observed in rural areas, consistent with a previous study of Ae. aegypti breeding sites in Kenya 593 (43). 594

595 The ITS2 sequencing data from adult Aedes captured in and around households in this study, 596 in comparison with currently available sequences, indicated that they were most closely related 597 to non-anthropophilic members of the Ae. simpsoni complex from Uganda and Nigeria (29). 598 This complex comprises three known species including Ae. simpsoni s.s. Ae. lilii and Ae. 599 bromeliae, of which the latter is considered anthropophilic and has previously been 600 incriminated as the principle vector of YF epidemics in Ethiopia (16,44)). Adult mosquitoes 601 collected from SOZ were most likely Ae. lilii (Ae. simpsoni s.s. is confined to southern Africa) 602 and therefore the lack of detection of any medically important arboviruses which are 603 transmitted through human blood-feeding would be expected in this species given no females 604 have been recorded biting humans (29). While Ae. bromeliae can breed across varied ecologies. 605 Ae. lilii has been sampled from a more restricted range of plant axils (Musa spp. Colocasia spp. Dracena spp. and Sansevieria spp.) and not previously from inside the domestic 606 607 environment (45,46). Additional sampling efforts are warranted to identify the vector species 608 responsible for YFV transmission and its breeding patterns, to further define the local 609 distribution and ecology of Ae. lilii, and in particular, the host feeding behaviour of the species 610 in this specific locality.

611 The KAP survey was completed by an equal split of male and female respondents (43.9% and 56.1% respectively) and the majority did not have or had very little education. Despite this, 612 good knowledge (53.3%), attitude (78.89%) and practice (70.56%) scores were surprisingly 613 614 high across all respondents, which may also be explained by the confusion with, and fear of, 615 malaria. The results from the study showed that 87.8% of respondents had heard of yellow 616 fever (Amharic translation: *bicha woba*). However, the direct translation of *bicha* = yellow and woba = malaria, meant the direct translation of bicha woba was "yellow malaria" which 617 618 resulted in a large amount of confusion between YF and severe malaria. Therefore, if respondents thought the questionnaire was about severe malaria, information bias would result 619 in an overestimation of YF knowledge levels. Knowledge levels of general symptoms were 620 high, while the more specific symptoms were much lower. 57.2% of people thought YF could 621 622 be transmitted from person to person, which could be an issue when people are seeking 623 treatment and likewise when administering care to others. This finding is in agreement with a

recent study by Legesse *et al.* (2018) that also found a fairly high percentage (55.9%) of respondents in SOZ believed YFV could be transmitted through breathing (47).

626 There were high knowledge levels that YFV was transmitted by a mosquito (82.8%), in contrast 627 to Legesse et al. (2018) who found only 37.6% knew YFV was vector-borne. However, 628 because awareness of malaria transmission by mosquitoes was high, the true knowledge level 629 may have been overestimated in our study. Knowledge on malaria was not quantitatively 630 assessed in this study, however, studies by Abate *et al.* (2013) in other parts of Ethiopia showed 631 85% of respondents to a malaria KAP survey stated its transmission to be by mosquitoes (48). 632 Only 30.5% knew that the mosquito could bite during the daytime and therefore, many people 633 may not be adequately protecting themselves from mosquito bites. 81.7% stated that YF was a 634 serious illness, with reasons including bloody vomiting and problems with the brain, which is 635 also in agreement with the confusion over YF and severe and/or cerebral malaria.

A number of respondents from Arkisha reported knowing someone who had suffered from YF in 2016 or 2017, or self-reporting having suffered from YF themselves. This is consistent with the reporting of 22 suspected cases in Arkisha (sub-cluster: Giste) in March 2016 by PHEM

639 (49). However, according to a Weekly Humanitarian Bulletin for Ethiopia in June 2016, these640 cases turned out to be negative (50).

641 The only significant determinants of KAP scores were household location for knowledge score; 642 vaccination status and household location for attitude score; and vaccination status for practice 643 scores. As the majority of those vaccinated would have been so in their local kebele health post 644 by a HEW, they would have also been educated on the disease at this time. Loudspeaker 645 announcements throughout the kebele told heads of households to come and get their families 646 vaccinated, rather than actively seeking individuals for vaccination. This suggests those that 647 were the most active in ensuring the health of their household (measured by self-reported 648 vaccination status), had the highest KAP levels of YF.

649 In addition, the finding of household location being predictive of the KAP scores was in 650 agreement with how the respondents gathered their information on YF, with 76.8% reporting 651 HEWs as their only source. This suggests the odds of having good knowledge; which were 652 49.0 higher in Arkisha, 32.0 in Avkamer, 25.4 in Hana and 9.7 in Besheda compared to Shepe; could be explained by how active and knowledgeable the HEWs were in the respective 653 654 localities. However, this may also have been influenced by interviewer bias. The same was 655 seen for attitude levels, with the odds of having a good score higher in other kebeles, compared 656 to Shepe.

657

# 658 *Study limitations*

659 There are several weaknesses in the reported study design, which need to be considered when interpreting the data. The line list of YF cases from the PHEM contained missing data on 660 661 symptoms, occupation, travel history or past vaccination history, and therefore, a clinical 662 epidemiological analysis was not conducted and the scope for YF risk factor analysis was 663 limited. Regarding entomological indices, cross-sectional sampling was undertaken and thus it 664 was not possible to assess the relative importance of each breeding site over time or with respect to the rainy season; nor was it logistically feasible to extend mosquito collections to the 665 forest, to improve our understanding of local sylvatic YFV transmission. It was also not 666 possible to retrieve historical climatic data during the YFV outbreak period, which could have 667 helped to identify environmental risk factors for this outbreak. Due to the relatively low 668 669 numbers of mosquito species collected, it was not possible to identify the vector species 670 responsible for YFV transmission nor successfully detect any arboviruses within sampled 671 mosquitoes. Migrant workers and nomadic populations may not have been captured within this 672 study due to the time of day in which the questionnaires were completed, and therefore a follow 673 up survey should be conducted, also including those working for the Omo Kuraz Sugar Factory 674 in Salamago. All interviews were conducted by the HEWs in the language most comfortable to the interviewee. However, in each kebele we worked with different HEWs to ensure 675 676 community acceptability, but this may have introduced interview bias when completing the 677 questionnaire, translating and interpreting answers. Due to small numbers of participants from each kebele, data were pooled for analysis; however, this may have concealed variations in 678 679 KAP level by location, therefore multivariate analysis was performed to account for this. 680 Finally, self-reporting of vaccination status also introduced an unascertainable amount of recall bias, but unfortunately, vaccination cards were not provided during the mass campaign. 681

- 682
- 683 *Conclusions*

Despite YFV re-emerging in recent years, little research has been conducted across endemic 684 685 countries to understand the risk for further outbreaks. This study suggests that following an outbreak in 2012-2014, the SOZ area in southwestern Ethiopia still remains at risk for future 686 687 YFV transmission. With the high density of Aedes mosquitoes breeding close to human 688 habitats, and relatively low community knowledge levels of YF prevention methods, study findings highlight the importance of providing information to these at-risk communities. 689 690 Further research needs to be conducted in the surrounding areas, to identify the major vector 691 species of YFV, to understand the local sylvatic YFV transmission in forest areas and to further 692 define the local distribution of Ae. simpsoni complex mosquitoes. Follow-up vaccination 693 campaigns should be considered to target remaining pockets of potential unvaccinated 694 populations, in parallel to introduction of the vaccination into the country's national childhood 695 immunization regimen. Future training of HEWs and health professionals is necessary to 696 ensure sustained high knowledge of both professionals and the community. Overall, study 697 results emphasise the need to strengthen local and national disease surveillance and in-country 698 laboratory capacity to ensure more rapid detection and response to future outbreaks.

699

# 700 Abbreviations

- 701 Attack Rate (AR)
- 702 Breteau Index (BI)
- 703 Case Fatality Rate (CFR)
- 704 Chikungunya virus (CHIKV)
- 705 Container Index (CI)
- 706 Dengue virus (DENV)
- 707 Eliminating Yellow Fever Epidemics (EYE)
- 708 Ethiopian Public Health Institute (EPHI)
- 709 Health Extension Worker (HEW)
- 710 Household Index (HI)
- 711 Knowledge, Attitudes and Practices (KAP)
- 712 Public Health Emergency Management (PHEM)
- 713 Pupal Demographic Index (PDI)
- 714 Rift Valley fever virus (RVFV)
- 715 South Omo Zone (SOZ)
- 716 Southern Nations, Nationalities, and People's Region (SNNPR)
- 717 West Nile virus (WNV)
- 718 World Health Organization (WHO)
- 719 Yellow fever (YF)
- 720 Yellow fever virus (YFV)

#### 721 Zika virus (ZIKV)

722 723

#### 724 Acknowledgements

725

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734 735

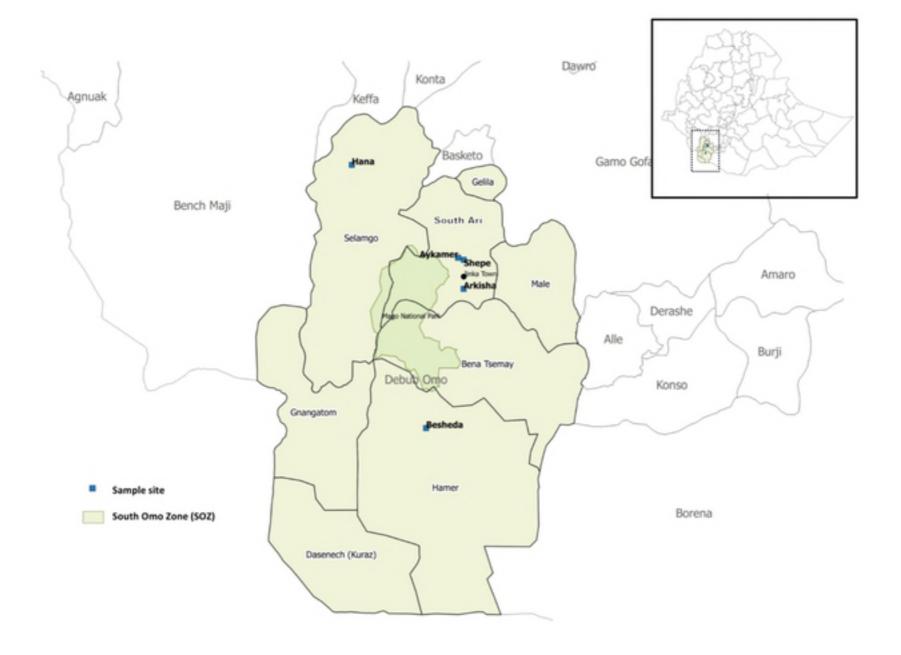
# 736 **References**

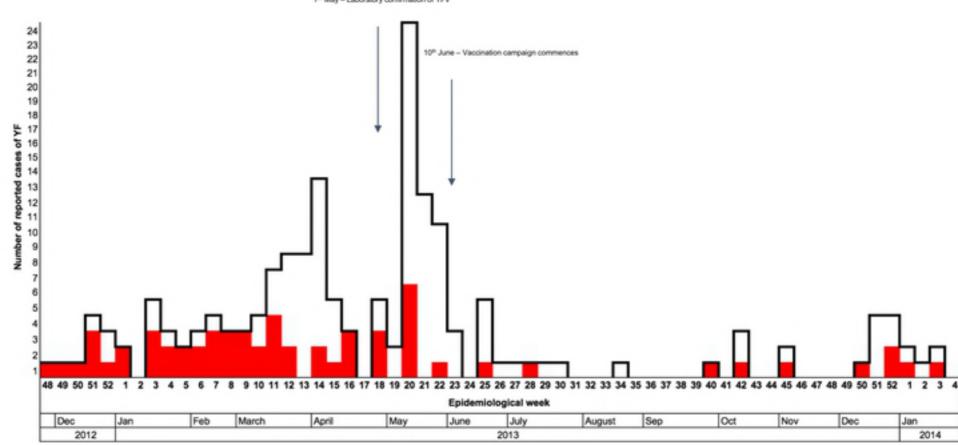
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- and cycling conditions.
- 898 Supplementary File S2: Household questionnaire: English version.
- 899 Supplementary File S3: STROBE checklist.





7th May - Laboratory confirmation of YFV\*



