

1 **A Genome-Wide Association Analysis Reveals a Role for Recombination in the Evolution**
2 **of Antimicrobial Resistance in *Burkholderia multivorans***

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4 Julio Diaz Caballero¹, Shawn T. Clark^{2,3}, Pauline W. Wang⁴, Sylva L. Donaldson⁴, Bryan
5 Coburn⁵, D. Elizabeth Tullis⁶, Yvonne C.W. Yau^{3,7}, Valerie J. Waters⁸, David M. Hwang^{2,3,9},
6 David S. Guttman^{1,4,*}

7
8 ¹ Department of Cell and Systems Biology, University of Toronto, Toronto, Ontario, Canada

9 ² Latner Thoracic Surgery Laboratories, University Health Network, University of Toronto,
10 Toronto, Ontario, Canada

11 ³ Department of Laboratory Medicine and Pathobiology, University of Toronto, Toronto, Ontario,
12 Canada

13 ⁴ Centre for the Analysis of Genome Evolution and Function, University of Toronto, Toronto,
14 Ontario, Canada

15 ⁵ Division of Infectious Diseases, Department of Medicine, University Health Network, University
16 of Toronto, Toronto, Ontario, Canada

17 ⁶ Adult Cystic Fibrosis Clinic, St. Michael's Hospital, Toronto, Ontario, Canada

18 ⁷ Department of Pediatric Laboratory Medicine, Division of Microbiology, The Hospital for Sick
19 Children, Toronto, Ontario, Canada

20 ⁸ Department of Pediatrics, Division of Infectious Diseases, The Hospital for Sick Children,
21 University of Toronto, Toronto, Ontario, Canada

22 ⁹ Department of Pathology, University Health Network, Toronto, Ontario, Canada.

23
24 * To whom correspondence may be addressed. Email: david.guttman@utoronto.ca

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33 **Abstract** (300 words)

34

35 Cystic fibrosis (CF) lung infections caused by members of the *Burkholderia cepacia* complex,
36 such as *Burkholderia multivorans*, are associated with high rates of mortality and morbidity. We
37 performed a population genomic study of 111 *B. multivorans* sputum isolates from a single CF
38 patient through three stages of infection including the initial incident infection, deep sampling of
39 a one-year period of chronic infection, and deep sampling of a post-transplant recolonization.
40 We reconstructed the evolutionary history of the population and used a lineage-controlled
41 genome-wide association study (GWAS) approach to identify genetic variants associated with
42 antibiotic resistance. We found that the incident isolate was more susceptible to agents from
43 three antimicrobial classes (β -lactams, aminoglycosides, quinolones), while the chronic isolates
44 diversified into distinct genetic lineages with reduced antimicrobial susceptibility to the same
45 agents. The post-transplant reinfection isolates displayed genetic and phenotypic signatures
46 that were distinct from sputum isolates from all CF lung specimens. There were numerous
47 examples of parallel pathoadaptation, in which individual loci, or even the same codon, were
48 independently mutated multiple times. This set of loci was enriched for functions associated with
49 virulence and resistance. Our GWAS approach identified one variant in the *ampD* locus (which
50 was independently mutated four times in our dataset) associated with resistance to β -lactams,
51 and two non-synonymous polymorphisms associated with resistance to both aminoglycosides
52 and quinolones, affecting an *araC* family transcriptional regulator, which was independently
53 mutated three times, and an outer member porin, which was independently mutated twice. We
54 also performed recombination analysis and identified a minimum of 14 recombination events.
55 Parallel pathoadaptive loci and polymorphisms associated with β -lactam resistance were over-
56 represented in these recombinogenic regions. This study illustrates the power of deep,
57 longitudinal sampling coupled with evolutionary and lineage-corrected GWAS analyses to reveal
58 how pathogens adapt to their hosts.

59 **Author Summary**

60
61 Cystic fibrosis (CF) is a common lethal genetic disorder that affects individuals of European
62 descent and predisposes them to chronic lung infections. Among the organisms involved in
63 these infections, bacteria from the *Burkholderia cepacia* complex (BCC) are often associated
64 with poor clinical prognosis. This study examines how the most prevalent BCC species among
65 CF patients, *B. multivorans*, evolves within a CF patient and identifies mutations underlying
66 antibiotic resistance and adaptation to both the native CF lung and a non-CF lung allograft. We
67 demonstrate that *B. multivorans* can diversify phenotypically and genetically within the CF lung,
68 with a complex population structure underlying a chronic infection. We noted that isolates
69 collected after the patient was re-infected post-transplant were more closely related to
70 descendants of the incident clone than to those recovered in the weeks prior to transplant. We
71 used a genome-wide association method to identify genes associated with resistance to the β -
72 lactam antibiotics: aztreonam and ceftazidime. Many of these variants were found in regions
73 that show patterns of recombination (genetic exchange) between strains. We also found that
74 genes which were mutated multiple times during overall infection were more likely to be found in
75 regions showing signals consistent with recombination. The presence of multiple independent
76 mutations in a gene is a very strong signal that the gene helps bacteria adapt to their
77 environment. Overall, this study provides insight into how pathogens adapt to the host during
78 long-term infections, specific genes associated with antibiotic resistance, and the origin of new
79 and recurrent infections.

80 Introduction

81

82 The *Burkholderia cepacia* complex (BCC) describes a highly diverse group of at least 20 closely
83 related species within the genus *Burkholderia* that can cause serious opportunistic infections in
84 humans [1, 2]. Individuals with the fatal genetic disease cystic fibrosis (CF) are particularly
85 susceptible to chronic BCC infections, which are commonly associated with rapid decline in lung
86 function, high rates of mortality and poor post-transplant outcome [3, 4]. Of the BCC species,
87 *Burkholderia multivorans* and *Burkholderia cenocepacia* account for 85-97% of all BCC found in
88 CF patients [5]; however, *B. multivorans* infections have surpassed *B. cenocepacia* in
89 prevalence over the past decade [6]. Many BCC that are CF-associated are intrinsically virulent
90 and antibiotic resistant and require strict infection control practices, as they can be transmitted
91 between patients [7-10]. Despite a wealth of knowledge describing the molecular basis of these
92 pathogenic properties and their evolution in strains of the well-studied *B. cenocepacia*, little is
93 known about the factors that govern these attributes in *B. multivorans* [9].

94

95 Dissecting the molecular basis of complex adaptive traits in bacterial pathogens, such as
96 antimicrobial resistance, can be difficult as a single phenotype may be influenced by a large
97 number of loci that interact with each other as well as their environment. Resistance in the BCC
98 is associated with alterations to outer membrane permeability, the expression of multidrug efflux
99 pumps and β -lactamases, and diversification of antimicrobial targets [11]. Consequently,
100 methods that focus on identifying polymorphisms in single genes with large effects may miss the
101 majority of loci that modulate phenotypes in more subtle ways. The development of genome-
102 wide association studies (GWAS) has expanded our ability to identify loci of small effect size
103 that have been associated with numerous diseases and other related phenotypes of interest in
104 humans [12, 13]. In contrast, the application of GWAS to analyze bacterial behaviors has been
105 slower to gain traction for a number of inter-related reasons: 1) clonal reproduction of microbes
106 leads to confounding associations due to common ancestry, often referred to as population
107 structure; 2) recombination in bacteria, which is more analogous to gene conversion than
108 eukaryotic recombination, occurs at variable rates among different species and is not linked to
109 reproduction; 3) the unpredictable nature of recombination results in the erratic breakdown of
110 linkage disequilibrium between selected sites and distal neutral sites; and 4) selection can be
111 extremely strong, resulting in the relatively rapid fixation of not only a selected allele, but entire
112 genomes due to the linkage disequilibrium [14, 15].

113

114 Nevertheless, several recent studies have proposed novel approaches to overcome these
115 challenges. These methods include using cluster membership [16-18], phylogenetic history [15,
116 19, 20], or lineage effects [21] to differentiate mutations leading to a phenotypic outcome from
117 mutations related to the genetic background of the bacterial population. While these methods
118 hold tremendous promise for identifying genetic variation underlying bacterial phenotypes of
119 interest, they generally focus on cross sectional sampling of diverse isolates and populations.
120 Their power has not been established for the fine-scale analysis of individual bacterial
121 populations evolving over short time scales, with strong positive selection and restricted
122 recombination [14, 22]. The application of fine-scale evolutionary analysis to bacterial
123 populations is especially important in the context of clinically significant pathogen infections,
124 where evolution is associated with adaptation to the host environment and antimicrobial
125 treatment [23].

126

127 In this study, we take a fine-scale approach to microbial GWAS to examine the genetic basis of
128 antimicrobial resistance within a *B. multivorans* population that had been sampled longitudinally
129 from a single patient over a ten-year period. We characterized the genomic diversity in this
130 population and assessed associations between all genetic variants and multiple antibiotic
131 resistance phenotypes. Using a clustering-based approach to control for population structure
132 and linkage disequilibrium, our analysis identified single nucleotide polymorphisms (SNPs) that
133 were associated with resistance to β -lactams, aminoglycosides, and quinolones. In addition, we
134 found that both multiply-mutated loci (those that are targets of parallel pathoadaptation) and β -
135 lactam resistance-associated variants were overrepresented in recombinogenic regions of the
136 *B. multivorans* genome

137

138 Results

139

140 For our evolutionary analysis and GWAS, we used a series of *B. multivorans* isolates that were
141 cultured from respiratory specimens obtained from an adult male with CF (CF170, being
142 followed by the CF Clinic at St. Michael's Hospital, Toronto, Canada). In a ten-year period,
143 patient CF170 acquired an incident (i.e. initial) lung *B. multivorans* infection, developed a
144 chronic *B. multivorans* lung infection, received a double lung transplant, and finally experienced
145 a *B. multivorans* re-colonization of the allograft three years post-transplant. Isolates from each
146 of these three phases of his *B. multivorans* infection are represented in this study (Fig 1). We
147 defined these isolates as 1) the single isolate recovered from the patient's first infection – the

148 'incident infection' isolate; 2) 100 isolates collected six to seven years post-incident infection
149 from ten sputum specimens (ten isolates per specimen) over approximately a one-year period –
150 the 'chronic infection' isolates; and 3) ten isolates collected from a single expectorated sputum
151 sample ten years after the incident infection, and three years after the patient underwent a
152 double lung transplant – the 'post-transplant' isolates. Patient CF170 was being treated with
153 alternating cycles of antibiotic therapy while chronically infected, with 13 antibiotics being
154 administered at different intervals and durations over the course of the chronic infection
155 sampling period (Fig 1). The genomes of all 111 isolates were whole-genome sequenced on the
156 Illumina platform, yielding a median coverage depth of 117X (S1 Fig). Multi-locus sequence
157 typing was performed *in silico* by extracting seven loci from the whole genome sequence data
158 (*atpD*, *gltB*, *gyrB*, *recA*, *lepA*, *phaC*, *trpB*) and comparing them to the *Burkholderia cepacia*
159 complex MLST Databases in pubMLST. This analysis revealed that all isolates were clonally
160 related and of the sequence type ST-783 [24].

161
162 **Genomic diversity and phylogenetic analysis suggest underlying population structure.**
163 The *de novo* genome assembly of a single isolate recovered from the third chronic infection
164 sputum sample was used as the reference for the mapping assembly of all other isolates. This
165 particular isolate was chosen as the reference since it had the best overall *de novo* assembly
166 metrics. The reference assembly consisted of 6,444,123 bases across 26 contigs, which were
167 pseudo-scaffolded against the complete genome of *B. multivorans* ATCC 17616 (Fig 2A).
168 Through a conservative variant calling pipeline [25], a total of 1,878 SNPs and 327 indels
169 segregating among the 111 isolates were identified, with 1,034, 666, and 177 SNPs being found
170 on chromosomes, 1, 2, and 3 respectively. Only a single SNP was found in a contig which did
171 not map to the ATCC 17616 genome. Overall, 740 (39.4%) SNPs and 168 (51.4%) indels were
172 parsimonious informative (PI, i.e. non-singleton), and 226 (12.0%) SNPs and 99 (30.3%) indels
173 segregated in at least two sampling time points. From the 1,878 SNPs, 70.6%, 15.7%, and
174 13.7% were non-synonymous, synonymous, and intragenic substitutions respectively (Fig 2C).
175 47.7% of the intergenic SNPs were found in putative regulatory regions (defined as the
176 intergenic region within 150 bases from the start codon of any gene). The population showed a
177 genetic diversity average of 123.39 ± 120.55 (number of SNP differences, mean \pm standard
178 deviation) pairwise differences. The distribution of these difference suggested an underlying
179 population structure since genetic diversity was not uniform even among isolates from the same
180 specimen (S2 Fig).

181

182 We reconstructed the core genome phylogenetic relationships among all isolates using an
183 alignment of the 1,878 SNPs and a Bayesian approach (Fig 3A). The root of this tree was
184 identified by adding *B. multivorans* ATCC17616 to the analysis. The tree topology indicates that
185 the incident infection isolate diverged from the other 110 isolates at the base of the tree. The ten
186 isolates from the post-transplant sample are again highly divergent (relative to the total diversity)
187 and form a basally branching, monophyletic clade, while the chronic sample isolates form a less
188 divergent, monophyletic clade. Moreover, there seem to be subgroups among the chronic
189 infection isolates suggesting population structure. This structure is also observed in a network-
190 based phylogenetic approach (S3 Fig), where two groups of isolates from the chronic infection
191 sampling cluster in a star-like phylogeny. Star phylogenies are characterized by roughly equal
192 divergence from the common ancestor, and are associated with recent purges in genetic
193 variation [26].

194

195 **Population structure analysis clusters the isolates into five groups.** We used the Monte
196 Carlo Markov Chain analysis of SNPs and indels implemented in STRUCTURE to infer
197 population structure among the 111 isolates. We identified the lowest number of subpopulations
198 that maximized the likelihood of data; hence determining the underlying population structure in
199 the data without overestimating the number of subpopulations [27]. There were three
200 subpopulations that arose from single common ancestors, which we labelled groups R, B, and
201 G, comprising 54, 26, and 10 isolates, respectively (Fig 3C-D). The ancestral composition of
202 the incident isolate and seven of the chronic infection isolates, recovered at collection points T1,
203 T2 and T10, resembled a combination of the three identified subpopulations. This group of
204 isolates was labeled RBG. Another group labeled RB (13 isolates) has an admixed ancestry
205 from the ancestral subpopulations of R and B.

206

207 Isolates from groups RBG and RB were found in low frequencies through different samples from
208 the chronic infection period (Fig 3B). In contrast, isolates from group R or B were more
209 dominant in this same period. The isolates from group R were first observed at the third time
210 point of the chronic infection samples, and they remained the most abundant group in
211 subsequent chronic samples (Fig 4). In contrast, the abundance of group B isolates decreased
212 over time. The genetic diversity, measured as number of SNPs, significantly differed between
213 these groups (one-way ANOVA: $F(4,1902) = 1,371.452$, $p\text{-value} < 0.0001$), with group G (those
214 recovered exclusively post-transplant) being the most diverse, followed by groups RBG and RB,
215 then groups R and B (S4a Fig).

216

217 The time to the most recent common ancestor (tMRCA) calculated as days before the last
218 sample for all isolates and the various STRUCTURE-defined groups is shown in Supplementary
219 Figure 4c. This analysis shows that the RGB group, which includes all of the chronic infection
220 isolates as well as the post-transplant isolates, coalesced to a common ancestor at roughly the
221 same time as the full isolate collection, including the incident infection (S4c Fig). This result
222 supports the hypothesis that the infection of the transplanted lung came from the same source
223 as the original incident isolate, despite being separated by approximately ten years, as opposed
224 to a clone that persisted and diversified in the lung of the patient during chronic colonization.
225 Additionally, it appears that groups R and B diverged at approximately the same time (S4c Fig).
226 Unfortunately, we are unable to determine if these were allopatric populations that colonized
227 distinct regions in the lung, or sympatric populations that coexisted within the same
228 compartment due to our sampling of expectorated sputum.

229

230 **Selection analysis supports positive selection in the population.** We determined the ratio
231 of non-synonymous to synonymous substitutions (d_N/d_S) as an estimate of selection. Since we
232 expect that time has allowed natural selection or genetic drift to have acted on the multi-time
233 segregating mutations more so than on variants that segregate in a single sample, we
234 determined the d_N/d_S both for all SNPs in each group, as well as for only those that segregate in
235 at least two time-points – ‘multi-time’ SNPs (S4b Fig). The d_N/d_S for the overall population was
236 1.35 (95% confidence interval, CI = 1.19-1.53) and 1.34 for multi-time SNPs (CI = 0.94-1.96),
237 which may indicate weak positive selection, or simply the segregation of mildly deleterious
238 variants. Only groups R and RB multi-time SNPs showed d_N/d_S above the neutral expectation
239 of 1.0 (group R d_N/d_S = 2.05, CI = 0.57-11.15, group RB d_N/d_S = 2.38, CI = 1.08-6.18), although
240 the confidence intervals for the group R are quite large. All other groups had d_N/d_S ratios only
241 slightly elevated (ranging from 1.19-1.63), although the differences between groups were not
242 statistically significant.

243

244 Further support for positive selection comes from a significantly negative Tajima's D test ($D = -$
245 2.21 , $P < 0.01$) and Fu and Li's tests ($D^* = -6.11$, $P < 0.02$; $F^* = -5.20$, $P < 0.02$). While all three
246 of these results can be explained by both positive selection and recent population expansion,
247 the combination of these results with the high nucleotide diversity and $d_N/d_S > 1.0$ is most
248 consistent with positive selection.

249

250 **GWAS identification of variants associated with antibiotic resistance.** We assumed that
251 the intensive antibiotic exposure during the chronic infection sampling period would result in
252 strong selection for resistance-associated genotypes in *B. multivorans*. Minimum inhibitory
253 concentrations (MICs) for two β -lactams (aztreonam, ceftazidime), two aminoglycosides
254 (tobramycin and amikacin), and the fluoroquinolone ciprofloxacin were determined for all
255 isolates. Isolates from the three phases of infection had distinct susceptibility profiles. The
256 incident isolate had MICs of 8 μ g/mL or less for all agents tested, while all chronic infection and
257 post-transplant isolates had higher MICs for both aminoglycosides (t-test $p < 0.0001$, Fig 3E),
258 but variable MICs for β -lactams and fluoroquinolone tested (range: ≤ 8 to >512 μ g/mL).

259
260 The 1,878 SNP positions segregating among the 111 isolates were grouped in 149 distinct
261 mutational profiles (i.e. one or more SNP positions that share the same pattern of reference vs.
262 alternative base among the strain collection, S5 Fig). Prior to population control, each of these
263 mutational profiles was examined for a statistical association to the five tested antibiotics at six
264 different levels of resistance and these associations were corrected for multiple testing by taking
265 into consideration the number of tests. Five mutational profiles (comprising 17 SNPs)
266 associated with resistance to both β -lactam antibiotics, and one mutational profile (comprising 2
267 SNPs) associated specifically with ceftazidime (S6 and S7 Fig). Ten mutational profiles
268 (comprising 250 SNPs) were associated with resistance to amikacin, tobramycin, and
269 ciprofloxacin. Additionally, two mutational profiles (comprising 31 SNPs) associated with
270 resistance to both aminoglycosides, and four mutational profiles (comprising 33 SNPs)
271 associated specifically with ceftazidime.

272
273 Next, we tested these variants against population structure controls, counting only those
274 associated variants that were observed in multiple subpopulation groups as determined by the
275 population structure analysis. This criterion could be satisfied by one of two mechanisms: 1) the
276 mutations arose in the subpopulations through multiple independent mutational events, or 2)
277 they arose in a common ancestor of multiple subpopulations and have been maintained in
278 multiple lineages while being lost in others. Out of all mutational profiles associated with
279 elevated MICs for both β -lactams, one (comprising a single SNP) passed the population
280 structure control (S6b Fig). This SNP was found in 20.4% of isolates in group R, and 50% of
281 isolates in group RBG. This variant leads to a non-synonymous amino acid substitution in the
282 sequence of the *ampD* gene (BMUL_2790), a locus extensively studied for its role in resistance
283 to β -lactams [28, 29]. This mutation was predicted to have a deleterious effect on AmpD by

284 PROVEAN analysis (score = -8.0, S8a Fig). In fact, the *ampD* locus was independently mutated
285 four other times within our dataset. A second SNP in *ampD* was found in a mutational profile
286 that was similarly associated with β -lactam MICs; nevertheless, it failed to pass the population
287 structure control. Additionally, two mutational profiles associated to the aminoglycosides and
288 ceftazidime showed evidence of multiple independent polymorphic events (S6e Fig). One of
289 these mutational profiles, which comprises a single SNP, is represented by a non-synonymous
290 substitution in an *araC* family transcriptional regulator locus (BMUL_3951). PROVEAN analysis
291 indicates that this mutation is unlikely to have a deleterious effect on the locus (score = 6.906).
292 The second mutational profile, again including only a single SNP, gave rise to a non-
293 synonymous substitution in locus BMUL_3342, which is annotated as an outer member protein
294 (porin). While this mutation is not expected to end in a deleterious effect (PROVEAN score =
295 3.273), it occurs in a locus that is independently mutated two other times.

296

297 **Additional variants associated with pathoadaptation can be detected by identifying multi-**
298 **mutated loci.** Loci that are independently mutated multiple times provide strong evidence of
299 selection by parallel pathoadaptation [30]. We observed 328 loci that were independently
300 mutated multiple times in our collection (Table 1). Given the genome size and the total number
301 of polymorphisms (both SNPs and indels), we only consider the 62 loci with three or more
302 independent mutations to be statistically significant (p -value $< 0.05/[1,878 \text{ SNPs} + 327 \text{ indels} =$
303 $2205 \text{ polymorphisms}]$). 184 SNPs (9.8%) and 26 indels (8.0 %) were found in these 62 loci. We
304 excluded the possibility that multiply mutated loci showed excess polymorphism simply due to
305 an increased mutational rate by examining the mutational class spectrum for the multiply
306 mutated loci relative to the genome-wide average. While the rate of non-synonymous,
307 synonymous and intergenic mutations among all 1,878 SNPs is 70.6%, 15.7%, and 13.7%
308 respectively, the mutational class spectrum of the SNPs found among multiply mutated loci is
309 83.1% non-synonymous, 11.7% synonymous, and 3.2% intergenic substitutions. Therefore, the
310 mutational class distribution of SNPs found in multiply mutated loci is significantly skewed
311 toward an excess of non-synonymous mutations ($P < 0.0001$, chi-square test).

312

313 Some of these multi-mutated loci are known to play significant roles in antibiotic resistance. For
314 example, a gene encoding a probable transcriptional regulator protein of MDR efflux pump
315 cluster (BMUL_0641), which has been associated with drug resistance in multiple pathogens
316 [31-33], has seven independently acquired mutations, and the probability of any gene being
317 mutated seven times is 1.65×10^{-23} . A locus with five multiple mutations ($P = 6.48 \times 10^{-16}$) encodes

318 N-acetylmuramoyl-L-alanine amidase (AmpD, BMUL_2790), which is associated with resistance
319 to β -lactam antibiotics [28]. Moreover, a functional enrichment analysis revealed the
320 phosphorelay signal transduction system GO function overrepresented in multiply mutated
321 genes compared to the functional annotation of the whole genome ($P = 0.050$). The
322 phosphorelay signal transduction system has been previously described as a therapeutic target,
323 given that it controls the expression of genes encoding virulence factors [34].

324

325 We also found ten genes that had two independent mutations located in the same or adjacent
326 codon (Table 2). The mutational class spectrum of the SNPs associated with this observation is
327 of 90%, 10% and 0% of non-synonymous, synonymous, and intergenic substitutions,
328 respectively. In this case, the fraction of non-synonymous mutations is significantly higher than
329 the fraction found for both all SNPs, as well as all the SNPs in the multiply mutated loci ($P <$
330 0.00001 , chi-square test). One of the genes with multiple independent mutations in the same
331 codon encodes for RNA polymerase sigma factor (RpoD), which is associated with the
332 expression of housekeeping genes [35]. One of the mutations in this locus is fixed between the
333 post-transplant isolates and the rest of the isolates, and the other mutation is fixed between the
334 isolates in group RBG collected in the tenth sample time and the rest of the isolates.

335

336 **Parallel pathoadaptive variants are overrepresented in recombinogenic regions.** We
337 identified a minimum of 14 recombination events in our full dataset based on the four-gamete
338 tests of Hudson and Kaplin [36] (Fig 2D). Three of these events were identified between sites in
339 different genome assembly contigs; therefore, they were not considered in downstream
340 recombination analysis. The nucleotide length of this recombinogenic regions ranged from
341 4,783 bases to 192,532 bases, and these regions account for 15.1% of the assembled genome.
342 298 (15.9%) out of the total 1,878 SNPs and 47 indels (14.4%) occur in these regions, which is
343 not significantly different than expected given the recombinogenic proportion of the genome.

344

345 We next looked to see if there was an association between recombination and the evolution of
346 antibiotic resistance. 51 (18.3%) of the 279 SNPs associated with both aminoglycosides tested
347 (amikacin & tobramycin), and 42 (14.9%) of the 281 SNPs linked to ciprofloxacin are found in
348 recombinogenic regions (Fig 5A). These ratios fail to reject the null hypothesis that these
349 mutations are randomly distributed around the genome. On the other hand, 52.9% (9 of 17
350 SNPs) and 47.4% (9 of 19 SNPs) of the SNPs associated with aztreonam and ceftazidime,

351 respectively, are found in recombinogenic regions, which are significantly different than
352 expected by chance ($p < 0.0001$, chi square test).

353

354 Finally, 49 (26.6%) of the 184 SNPs and 4 (8.5%) of the 47 indels found in loci independently
355 mutated three or more times occur in the identified recombinogenic regions (Fig 5B). Thus,
356 while SNPs involved in multi-mutated loci are overrepresented in recombinogenic regions more
357 than expected ($P < 0.0001$, chi square test), indels in multi-mutated genes are not significantly
358 underrepresented.

359

360 Discussion

361

362 Our study investigated how *B. multivorans* evolves within the lungs of an individual afflicted with
363 CF using a deep longitudinal sampling design (i.e. multiple isolates obtained per sputum
364 sample) to capture both the overall population diversity and the temporal shifts that occurred at
365 different phases of the infection, including the colonization of a new allograft. To identify the
366 source of genetic diversity in this *B. multivorans* population, we needed to understand: 1) the
367 genetic relationships between the incident isolate that was recovered from the first BCC-positive
368 sputum culture, the chronic strains that persisted in the population, and the population of strains
369 that re-established an infection post-transplant; 2) whether there were multiple colonization
370 events of the patient by divergent clones; 3) how genetic diversity was generated and dispersed
371 in the population; and 4) how the pathogen adapts and responds to clinical treatment. While we
372 were unable to address all of these questions, we have concluded that the chronic population
373 originated from either the incident isolate, or a clone that shared a recent common ancestor with
374 the incident isolate. Furthermore, all of the chronic isolates descended from a single common
375 ancestor, ruling out multiple independent colonization events.

376

377 One clear signal is that the *B. multivorans* isolates recovered from the post-transplant lung did
378 not originate from the chronic population. In fact, it appears that the post-transplant isolates
379 came from a new infection that originated from the same source as the incident infection.
380 Unfortunately, the source of these infections cannot be determined, and could be either the
381 environment or the patient's upper respiratory tract. In the former case, it is likely that the patient
382 lived in the same home or locale over the course of the study, and that the ancestral *B.*
383 *multivorans* clone is endemic in that environment. Alternatively, in the latter case, the upper
384 respiratory tract is known to act as a reservoir for a number of CF pathogens [37].

385 Consequently, it is possible that clonal descendants of the ancestral or incident strains resided
386 in the patient's upper airways since the incident infection. Some transplant procedures attempt
387 to clean the nasal reservoir prior to transplant via nasal washing / scraping, but we do not know
388 if this was done for this patient. If this hypothesis is true, it would explain why the post-transplant
389 isolates have an antibiotic susceptibility pattern much more similar to the chronic isolates than
390 the incident isolate. We also note that the post-transplant population is much more genetically
391 diverse than any of the chronic populations. This could suggest that this population was rapidly
392 adapting to an environmental change, such as the shift from CF to non-CF conditions, which
393 would include, differences in immune response, the composition of the allograft microbiome,
394 and treatment regimens. Alternatively, it could reflect colonization by a population of related
395 strains. It is possible that given sufficient time this population would eventually be winnowed
396 down to a single surviving clone (as is seen with the incident infection) due to selection and / or
397 genetic drift

398
399 A major motivator for this study was to better understand how pathogens adapt to their hosts
400 over the course of disease progression and treatment; an issue that can be addressed using
401 statistical association tests. Correcting for the genetic structure of the bacterial population poses
402 a challenge to the implementation of these tests. Population structure in this context refers
403 relationships among strains due to descent from a common ancestor and limited recombination.
404 This structure results in the linkage of segregating genetic variation around the genome, which
405 makes it very difficult to distinguish a causal mutation that is responsible for a phenotype of
406 interest from a neutral variant that occurred in the same genetic background. In the absence of
407 recombination, the neutral mutation will have the same population distribution as the causal
408 mutation due to genetic hitchhiking. This issue is particularly prevalent when studying largely
409 isolated and recently evolved populations, such as the case of pathogens evolving within a host.

410
411 To overcome these two issues, we imposed a lineage control filter on our GWAS approach, in
412 which we focused only on mutations that occurred in multiple, distinct, genetic lineages. This
413 pattern can best be explained by recombination of polymorphisms between lineages, but
414 formally, could also be due to extensive gene loss. Our analysis showed that linkage
415 disequilibrium was only disrupted in a relatively small number of polymorphism (those
416 polymorphisms shown as orange circles; S7b-e Fig). This reinforces the need for deep sampling
417 since the infrequent recombination signals may have been missed if isolates were only collected
418 from a single sample, or if only single isolates were recovered from each sample. Consequently,

419 the tractability of GWAS in this *B. multivorans* population was greatly enhanced by our sampling
420 schema.

421
422 Using the established lineage structure of the *B. multivorans* population as control for our
423 association study, we identified two non-synonymous SNPs associated with resistance to the
424 aminoglycosides amikacin and tobramycin, and to the quinolone ciprofloxacin. One of these
425 SNPs occurs in a locus encoding the transcription factor AraC, which is involved in the global
426 regulation of efflux pumps, while the other SNP was found in a locus annotated as a porin.
427 Although not specific to aminoglycosides or quinolones, overexpression of efflux pumps and
428 repression of porin proteins has been reported as important mechanisms of antibiotic resistance
429 for bacteria [38]. Neither mutation is projected to significantly vary the function of the encoding
430 protein.

431
432 Additionally, we identified a single SNP associated with resistance to the β -lactams aztreonam
433 and ceftazidime. This SNP occurs in the *ampD* gene, which is a negative regulator of the β -
434 lactamase AmpC, and it is expected to have a deleterious effect in the encoding protein. This
435 observation is not unexpected as bacteria treated with β -lactams would benefit from the
436 constitutive overproduction of β -lactamase. Overall, AmpD seems to play an important role in
437 the pathoadaptation of this *B. multivorans* population since four other independent non-
438 synonymous mutations, all of which are expected to have deleterious effects on the protein,
439 occur at this locus (S8a Fig).

440
441 Our use of the population control criterion of only considering mutations present in multiple
442 lineages meant that we excluded some variants associated to virulence, such as one of the four
443 mutations in *ampD*, which was statistically associated with β -lactam resistance. Without our
444 population control it would be impossible to identify causative mutations from hitchhiking
445 variants that are in linkage disequilibrium with the causative mutation. Filtering in this manner
446 reduces the number of false positives; nevertheless, variants underlying phenotypes of interest
447 could be segregating in linkage disequilibrium blocks, and therefore, may not be identified in our
448 GWAS approach (false negatives).

449
450 We observed that mutations associated with resistance to β -lactams (prior to lineage controls)
451 occur disproportionately in recombinogenic regions (Fig 2F), while variants associated with both
452 aminoglycosides or ciprofloxacin are more randomly distributed with respect to recombinogenic

453 regions. The study patient received both long-term maintenance β -lactam and aminoglycoside
454 treatments in addition to multiple short-term β -lactam treatments that included cycles of
455 ceftazidime, piperacillin/tazobactam, meropenem, and cefepime. This more aggressive and
456 varied course of treatment with β -lactams could potentially explain the increased role of
457 recombination in the dissemination of putatively beneficial polymorphisms, similar to what has
458 been observed in other pathogens [39, 40].

459
460 Our analysis identified genes under strong selection by focusing on loci with a statistical excess
461 of independent mutations (i.e. parallel pathoadaptation) [25, 41, 42]. Examining multi-mutated
462 loci can reveal the heterogeneous selective pressures that bacteria must adapt to in order to
463 reside within the lung. For instance, a gene encoding a transcription regulator of multidrug
464 resistance efflux pumps independently accumulated seven different mutations leading to eight
465 unique alleles in our population of 111 *B. multivorans* isolates. We also found seven different
466 alleles of a locus encoding cyclic β -1,2-glucan synthase, which is linked to bacteria's ability to
467 elude host cell defenses [43]. A number of loci underlying virulence-associated traits, such as
468 quorum sensing and biofilm production, also carry multiple independent mutations. Particularly
469 interesting are multiply mutated loci with no characterized function, or with no prior linkage to
470 resistance or virulence. These loci include a NAD-glutamate dehydrogenase locus BMUL_4010,
471 which was mutated five independent times over the course of the study, and a glycosyl
472 transferase protein (BCEN2424_5592), not previously seen in *B. multivorans* that was mutated
473 six times (4 SNPs and 2 indels) during the course of the study. Examples such as these provide
474 excellent candidates for characterizing the cryptic resistome – loci previously not known to be
475 involved in antimicrobial resistance. In addition, the strongest signals of parallel pathoadaptation
476 involve those cases where mutations occur independently in the same or adjacent codon.
477 These observations point to a very specific form of selective pathoadaptation, which identifies
478 the specific residue or region of the locus that potentially plays a role in selective advantage and
479 may affect a conserved function.

480
481 Finally, our study highlighted an intriguing role for recombination in the development of
482 antimicrobial resistance in *B. multivorans*. We observed that multi-mutated loci were over-
483 represented within recombinogenic regions, along with an excess of mutations associated with
484 β -lactam resistance. This suggests that while recombination plays an important role in the
485 pathoadaptation of this *B. multivorans* population, its selective benefit may be environment
486 dependent.

487

488 Our study illustrates the relevance of deep, longitudinal sampling to the implementation of
489 GWAS approaches in a population under positive selection. We identified the potential genetic
490 basis behind the antibiotic resistance of a *B. multivorans* population in a single host. Moreover,
491 this approach allowed us to study variants associated to antibiotic resistance and revealed that
492 resistance to β -lactams may be passed within the population via recombination. This study is
493 limited to *in silico* predictions of the impact mutations on protein function, and future efforts
494 should include functional validation of these mutants; nevertheless, many of the identified genes
495 are already well-established targets for antibiotic resistance. Additionally, our findings are
496 restricted to a single patient and a single bacterial species; extending this approach in other
497 systems under positive selection will be required to establish the generalizability of the findings.
498 Nevertheless, this study is one of the first examining in depth the fine-scale evolution of *B.*
499 *multivorans* in the lungs of a CF patient as it transitions from an early infection to chronic
500 infections and the eventual reinfection of a transplanted allograft.

501

502 **Materials and Methods**

503

504 **Ethics statement.** All protocols involving the collection, handling and laboratory use of
505 respiratory specimens were approved by the Research Ethics Boards of St. Michael's Hospital
506 (Protocol #09-289) (Toronto, Canada) and the University Health Network (Protocol #09-0420-T)
507 (Toronto, Canada). We obtained informed consent from the study subject prior to specimen
508 collection and sputa were produced voluntarily. All experiments involving clinical specimens
509 were performed in accordance with the *Tri-Council Policy Statement: Ethical Conduct for*
510 *Research Involving Humans*, of the Canadian Institutes of Health Research, the Natural
511 Sciences and Engineering Research Council of Canada, and the Social Sciences and
512 Humanities Research Council of Canada.

513

514 **Specimen collection and isolation of *B. multivorans*.** Sputum specimens were collected by
515 expectoration from a 29-year-old male (CF170), with a homozygous $\Delta F508$ CFTR genotype
516 being followed at the Adult CF Clinic at St. Michael's Hospital (Toronto, Canada). Ten sputum
517 specimens were collected over a 10-month period while the patient was in the advanced stages
518 of CF lung disease (assessed by the forced expiratory volume in 1 second (FEV₁), FEV₁ which
519 was 27-39 % predicted throughout the course of the study), and an additional sputum specimen
520 obtained after the patient had undergone double lung transplantation. All specimens were
521 processed for bacterial culture as previously described [44]. After 48h of incubation, cultures
522 were visually inspected, and each distinct colony morphotype was described using eight
523 characteristics of physical appearance (pigmentation, size, surface texture, surface sheen,
524 opacity, mucoidy, autolysis and margin shape). Ten colonies were selected from each sputum
525 culture in relation to the diversity of colony types present. The incident isolate was obtained from
526 the *Burkholderia cepacia* complex repository at St. Michael's Hospital and was recovered from
527 the first BCC positive sputum culture produced by the study patient (Toronto, Canada). Isolates
528 were stored at -80°C in 20% (v/v) glycerol after a 20h subculture in LB broth (Wisent Inc., QC,
529 CA) and confirmed as *Burkholderia* spp. by a secondary subculture onto both *Burkholderia*
530 *cepacia* selective (BCSA) (HiMedia Laboratories, Mumbai, IN) and MacConkey (Becton
531 Dickinson, MD, USA) agars, as well as being tested for growth at 42°C . The *recA* gene was
532 sequenced from each isolate as described by Spilker *et al.* for preliminary speciation [45].

533

534 **Antimicrobial susceptibility testing.** Each isolate confirmed as *B. multivorans* was screened
535 for antimicrobial susceptibility by agar dilution using Clinical and Laboratory Standards Institute

536 procedures [46]. We tested susceptibility to representatives of the β -lactam (aztreonam [ATM],
537 ceftazidime [CAZ]), fluoroquinolone (ciprofloxacin [CIP]) and aminoglycoside (amikacin [AMK],
538 tobramycin [TOB]) (Sigma-Aldrich, ON, Canada) classes. Minimum inhibitory concentrations
539 (MIC), defined as the lowest concentration of each antibiotic to inhibit growth, were reported as
540 the median MIC of three independent experiments. Growth was assessed following 24 to 48 h
541 of incubation on Mueller-Hinton agar (Becton, Dickinson, MD, USA). The *B. multivorans* ATCC
542 17616 strain was included as a positive control, while *P. aeruginosa* ATCC 27853 and *E. coli*
543 ATCC 25922 were used as quality controls.

544

545 **Sequencing and Quality Control.** *B. multivorans* isolates were whole-genome sequenced on
546 the MiSeq and NextSeq Illumina platforms. The number of bases sequenced per isolate ranged
547 from 213 to 2,262 million bases, and the median was 1,026 million bases. Trimmomatic v. 0.33
548 was used to remove adapters and quality trim the sequencing reads from each isolate
549 (parameter settings: PE -phred33 ILLUMINACLIP:adapters.fa:2:30:10 LEADING:5 TRAILING:5
550 SLIDINGWINDOW:4:25) [47]. Sequencing reads with guanine homopolymers longer than ten
551 bases were trimmed with cutadapt v. 1.9.1 (parameter settings: -a "G{10}") [48]. Reads below
552 100 bases were removed using Trimmomatic v. 0.33 (parameter settings: PE -phred33
553 MINLENGTH:100). The resulting quality-controlled sequencing reads yielded a median read
554 depth per position of 117X (range 32-276X).

555

556 **De novo and Reference Mapping Assembly.** Each of the isolates was *de novo* assembled
557 using the CLC Genomics Workbench v. 8.0.1 (Aarhus, Denmark). Contigs with a scaffolding
558 depth lower than 10X and/or with a size smaller than 1 Kb were removed from further analyses.
559 Isolate CF170-3b, which was sequenced with 250 bp-long paired-end reads, yielded the best
560 assembly metrics in 26 contigs with lengths ranging from 1,010 to 1,243,078 bases and an N50
561 of 654,231. The final assembly length of the CF170-3b isolate was of 6,444,123 bp. These
562 contigs were annotated at the RAST server using the native gene caller and Classic RAST as
563 the annotation scheme [47]. Further, this genome was functionally annotated with blast2go v
564 4.1.9 [49] including blastx v. 2.6.0+ [50]. Statistical results from the functional enrichment
565 analysis were Bonferroni corrected for multiple testing using the number of multiply-mutated
566 genes (P-value/62). The contigs of the CF170-3b genome were used as the reference for
567 mapping assembly of each remaining isolate. We performed three different reference-mapping
568 assemblies including BWA v 0.7.12 [51], LAST v 284v [52] and novoalign v 2.08.03 (Novocraft
569 Technologies).

570

571 **Single Nucleotide Polymorphism (SNP) and indel Calling.** SAMtools and BCFtools v 0.1.19
572 were used to produce the initial set of variants [53]. We implemented a method previously
573 described to detect SNPs among the 111 isolates [25, 54]. First, 1,878 high-confidence
574 polymorphic positions were identified using the following criteria: 1) variant Phred quality score
575 of ≥ 30 and 2) variants must be found at least 150 bp away from either the edge of the reference
576 contig or an indel. Second, we reviewed each high-confidence polymorphic position in each
577 isolate with a relaxed Phred score threshold of 25. Support for either the reference or the SNP
578 call was verified with a multi-hypothesis correction which required that at least 80% of the
579 sequencing reads endorsed the SNP or the reference. If the data did not support either base,
580 then the position was called as an ambiguous base ('N'). The ambiguous call rate was lower
581 than 0.1%.

582

583 Candidate indels detected by BWA and SAMtools were examined by realigning mapped and
584 unmapped sequencing reads to the indel regions using Dindel v. 1.01 [55]. High-confidence
585 indel positions were defined as sites with: 1) variant Phred quality score of ≥ 35 ; 2) at least two
586 forward and two reverse reads; and 3) sequencing coverage ≥ 10 . These indel positions were
587 reviewed in each isolate. The final indel call required a Phred quality score ≥ 25 and an allele
588 frequency $\geq 80\%$. Ambiguous indel calls were defined as those where the allele frequency was
589 $\leq 20\%$.

590

591 **Population and Single Genome Sequencing Evaluation.** We performed bulk population
592 sequencing on the post-transplant specimen to confirm that our isolate sampling depth
593 appropriately represented the real *B. multivorans* population diversity (S9 Fig). The sequencing
594 reads from each of the ten isolates from the post-transplant sample were rarified to 1/10th of the
595 number of sequencing reads produced by the population sequencing experiment. These reads
596 were combined in corresponding paired-end fasta files. Next, population and single isolate
597 sequencing reads were mapped to the *de novo* assembled genome of the CF170-3b isolate
598 using BWA. Mutation allele frequencies for each experiment were estimated as previously
599 described by Lieberman *et al.* [54].

600

601 **Phylogenetic, Population Structure, Coalescent and Recombination Analyses.** Using the
602 1,878 SNPs, we created a genome-wide alignment to reconstruct the phylogenetic relationships
603 among the 111 isolates. The phylogeny was calculated using MrBayes v. 3.2.6 [56]. The

604 nucleotide substitution model that best fit our data was the General Time Reversible (GTR) with
605 gamma-distributed rate variation across sites (LnL=-12,858.8103, AIC= 26,175.6205) as
606 calculated with jModelTest v. 2.1.10 [57]. The Bayesian analysis was run through two different
607 chains of 1 million Markov Chain Monte Carlo (MCMC) generations sampled every 100 MCMC
608 generations and the burn-in period was of 250,000 MCMC generations. The final average
609 standard deviation of split frequencies was of 7.7×10^{-3} , and the potential scale reduction factor
610 (PSRF) of the substitution model parameters ranged from 1 - 4.74×10^{-4} to 1 + 6.84×10^{-4} . The
611 phylogeny was rooted with *B. multivorans* ATCC 17616 [58]. The network-based phylogenetic
612 analysis was performed using SplitsTree v 4.14.4 [59]. We employed the Jukes-Cantor distance
613 matrix to implement the neighbor-net Network (Fit=99.418).

614

615 The variance among the 111 isolates, including SNPs and indels, was employed to investigate
616 the population structure using the Structure software v 2.3.4 [60]. Structure employs a Bayesian
617 algorithm to detect the number of ancestral populations (K), also known as clusters, which
618 describe the variance and covariance observed in a test population. The number of clusters
619 ranging from 1-10 was tested in triplicates through 1 million MCMC generations sampled every
620 1,000 MCMC generations and a burn-in period of 250,000 MCMC generations. We used the
621 correlated allele frequencies model, and admixture was allowed in these analyses. We plotted
622 the estimated ln probability of data for the tested levels of K, and identified the smallest stable K
623 as the optimum value since it maximized the global likelihood of the data (S10 Fig) [61]. The
624 estimated ln probability of data plateaus at K=3, where the variance of ln likelihood ranges from
625 2,517.3 to 2,466.7. Assuming three ancestral populations, the isolates were classified into five
626 different groups according to their ancestry. Isolates whose ancestry is attributed exclusively
627 (>90%) to either ancestral population one, two, or three are grouped in group red (R), (B), or
628 (G), respectively. Group RB includes isolates with admixed ancestry from clusters one and two
629 (at least 10% of both cluster one and two, and less than 10% of cluster three). Isolates whose
630 ancestral composition is made up from a combination of all three clusters (at least 10% of each
631 cluster) are in group RBG.

632

633 We used BEAST v. 1.8.4 to implement a Bayesian approach to inferring the time to the most
634 recent common ancestor (tMRCA) for the entire population and each group individually [62].
635 Next, we employed the GTR nucleotide substitution model, and estimated the nucleotide
636 substitution frequencies with MEGA7 using the Maximum Likelihood Estimate of the Substitution
637 Matrix tool ([AC] = 0.0092, [AG] = 0.4278, [AT] = 0.0016, [CG] = 0.0262, [GT] = 0.0062, and

638 [CT] = 0.5290). Preliminary analyses consisting of duplicate 10 million generations and a 10%
639 burn-in were used to estimate the appropriate molecular clock and demographic models. We
640 tested the Bayesian skygrid, constant size and the exponential, logarithmic and expansion
641 growth population size models using three different molecular clock models (strict and the
642 lognormal and exponential uncorrelated relaxed clocks). The exponential relaxed uncorrelated
643 molecular clock and the Bayesian skygrid model was inferred the most appropriate given our
644 data ([AIC] = 26,228.421) [63]. The final analysis was run in duplicate for 1 billion MCMC
645 generations sampled every 1,000 MCMC generation, and the burn-in period was set at 10% of
646 the MCMC generations.

647

648 Population genetic tests and detection of recombination events in each contig were performed
649 with DnaSP v. 5.10.01 [64].

650

651 **SNP to Phenotype Association.** We tested the null hypothesis that the presence or absence
652 of each of the 1,878 SNPs, summarized in 149 distinct mutational profiles, is equally likely found
653 in antibiotic resistant isolates using Fisher's exact test. These tests were conducted for each
654 examined antibiotic at six different MIC resistance thresholds (≤ 16 , 32, 64, 128, 256 and ≤ 512
655 MIC). For each test, we created a contingency table reflecting the distribution of each mutation
656 profile in isolates with lower and greater MIC than each resistance threshold. *P* values were
657 adjusted based on the total number of tests (number of mutational profiles), and only
658 associations with a *P* value $< 3.36 \times 10^{-4}$ ($0.05 / 149$) were considered significant to control for
659 multiple testing. Next, we simulated gains or losses of these mutational events following a
660 continuous-time Markov chain along a ClonalFrameML v. 1.0-19 phylogeny as implemented in
661 GLOOME v. 01.266 using the default parameters [65, 66]. We defined independent mutational
662 events as those with a probability greater than 0.95 and to control for population structure, we
663 required multiple independent mutational events in at least two STRUCTURE-defined groups.

664

665 ***In silico* mutation impact prediction.** To predict the potential impact of non-synonymous
666 SNPs on the biological function of a protein, we employed PROVEAN v. 1.1.3 [67]. These
667 calculations were performed on the GPC supercomputer at the SciNet HPC Consortium [68].

668

669

670

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Table 1. Parallel Pathoadapted Loci with Multiple Independent Mutations

| Locus | Encoded Protein | No. of SNPs/Indels | Probability ^a |
|----------------------------|---|--------------------|--------------------------|
| BMUL_0641 | Probable transcription regulator protein of MDR efflux pump cluster | 7/0 | 1.65 X 10 ⁻²³ |
| BCEN2424_5592 ^o | Glycosyltransferase 36 | 4/2 | 1.03 X 10 ⁻¹⁹ |
| BMUL_4010 | NAD-glutamate dehydrogenase | 5/0 | 6.48 X 10 ⁻¹⁶ |
| BMUL_0487 | Hypothetical protein | 5/0 | 6.48 X 10 ⁻¹⁶ |
| BMUL_4327 | Porin | 3/2 | 6.48 X 10 ⁻¹⁶ |
| BMUL_2790 | N-acetyl-anhydromuranmyl-L-alanine amidase (AmpD) | 5/0 | 6.48 X 10 ⁻¹⁶ |
| BMUL_1598 | Amino acid adenylation domain-containing protein | 4/0 | 4.06 X 10 ⁻¹² |
| BMUL_0353 | YD repeat-containing protein | 3/1 | 4.06 X 10 ⁻¹² |
| BMUL_0449 | Preprotein translocase subunit (SecB) | 4/0 | 4.06 X 10 ⁻¹² |
| BMUL_2632 | Chaperone protein (DnaJ) | 4/0 | 4.06 X 10 ⁻¹² |
| BMUL_4942 | Signal transduction histidine kinase (CheA) | 3/1 | 4.06 X 10 ⁻¹² |
| BMUL_2775 | UDP-N-acetylmuramate--L-alanyl-gamma-D-glutamyl-meso-diaminopimelate ligase | 4/0 | 4.06 X 10 ⁻¹² |
| BMUL_1444 | Transcription termination factor (Rho) | 4/0 | 4.06 X 10 ⁻¹² |
| BMUL_0954 | Glycoside hydrolase 15-like protein | 4/0 | 4.06 X 10 ⁻¹² |
| BMUL_4115 | Outer membrane autotransporter | 4/0 | 4.06 X 10 ⁻¹² |
| BMUL_0250 | 50S ribosomal protein L4 (RpL4) | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_5547 | Conjugation protein (TrbI) | 2/1 | 2.55 X 10 ⁻⁸ |
| BMUL_2931 | TPR repeat-containing protein | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_3678 | Integral membrane sensor signal transduction histidine kinase | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_3503 | L-serine dehydratase 1 | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_0690 | RND efflux system outer membrane lipoprotein | 2/1 | 2.55 X 10 ⁻⁸ |
| BMUL_0663 | Alpha/beta hydrolase fold protein | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_0431 | Histidine kinase | 1/2 | 2.55 X 10 ⁻⁸ |
| BMUL_4510 | Signal transduction histidine kinase (CheA) | 2/1 | 2.55 X 10 ⁻⁸ |
| BMUL_1970 | Major facilitator transporter | 3/0 | 2.55 X 10 ⁻⁸ |

| | | | |
|--------------------------|--|-----|-------------------------|
| BMUL_2008 | Major facilitator transporter | 2/1 | 2.55 X 10 ⁻⁸ |
| BMUL_2621 | DNA mismatch repair protein (mutL) | 1/2 | 2.55 X 10 ⁻⁸ |
| BMUL_4037 | Esterase | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_3977 | Metallophosphoesterase | 2/1 | 2.55 X 10 ⁻⁸ |
| BMUL_4949 | Aldehyde dehydrogenase | 2/1 | 2.55 X 10 ⁻⁸ |
| BMUL_3951 | Transcriptional regulator (AraC) | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_6019 | Cytosine/purines uracil thiamine allantoin permease | 2/1 | 2.55 X 10 ⁻⁸ |
| BMUL_0307 | Amino acid carrier protein | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_5501 | Cytochrome c oxidase subunit I | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_5087 | Short-chain dehydrogenase/reductase SDR | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_4813 | RNA polymerase sigma factor RpoD | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_3197 | Beta-galactosidase | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_3212 | Feruloyl-CoA synthase | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_3315 | PA-phosphatase like phosphoesterase | 1/2 | 2.55 X 10 ⁻⁸ |
| BMUL_3752 | Peptidoglycan-binding (LysM) | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_3615 | Aldehyde oxidase | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_1686 | Ribonuclease R | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_4615 ^b | Amidophosphoribosyltransferase | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_4605 | UTP-glucose-1-phosphate uridylyltransferase | 3/0 | 2.55 X 10 ⁻⁸ |
| ABD05_14940 ^d | Isochorismatase | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_1431 | GAF modulated sigma54 specific transcriptional regulator (Fis) | 2/1 | 2.55 X 10 ⁻⁸ |
| BMUL_1377 | N-acetyltransferase GCN5 | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_0964 | DNA polymerase III subunit alpha (DnaE) | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_0692 | Carbohydrate kinase FGGY | 2/1 | 2.55 X 10 ⁻⁸ |
| BMUL_0477 | Error-prone DNA polymerase (DnaE2) | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_0443 | Phosphoenolpyruvate-protein phosphotransferase | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_3068 | Aldehyde dehydrogenase | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_4835 | Hypothetical protein | 2/1 | 2.55 X 10 ⁻⁸ |
| BMUL_1873 | UvrD/REP helicase | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_2536 | Hypothetical protein | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_2710 | Outer membrane autotransporter | 3/0 | 2.55 X 10 ⁻⁸ |

| | | | |
|-----------|--|-----|-------------------------|
| BMUL_0123 | Heavy metal translocating P-type ATPase | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_0116 | Acyl-CoA dehydrogenase domain-containing protein | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_0075 | Two component transcriptional regulator | 2/1 | 2.55 X 10 ⁻⁸ |
| BMUL_4226 | 4-hydroxyphenylpyruvate dioxygenase | 3/0 | 2.55 X 10 ⁻⁸ |
| BMUL_4749 | Amino acid permease | 2/1 | 2.55 X 10 ⁻⁸ |
| BMUL_4798 | Integrase catalytic region | 1/2 | 2.55 X 10 ⁻⁸ |

^a Calculated based on the probability of resampling with replacement any locus n times, given a genome size of N . $P = (1/N)^{(n - 1)}$. We used $(n - 1)$ since we are calculating the probability for any locus, rather than a specific locus.

^b A mutation occurred in the intergenic region flanking the start codon of this locus.

^c This locus is not found in ATCC 17616 The homolog with highest similarity is in *B. cenocepacia* DDS 22E-1

^d This locus is not found in ATCC 17616 The homolog with highest similarity is in *B. cenocepacia* HI2424

Table 2. Pairs of Mutations Occurring in the Same or in Neighboring Codons.

| Encoded Protein | Proximity |
|--|----------------|
| Regulatory protein GntR, HTH:GntR, C-terminal | Adjacent codon |
| Oligopeptide ABC transporter, periplasmic oligopeptide-binding protein (OppA) | 2 codons away |
| Citrate-proton symporter | 2 codons away |
| CDP-6-deoxy-delta-3,4-glucoseen reductase-like | 2 codons away |
| RNA polymerase sigma factor (RpoD) ^a | Same codon |
| Endo-1,4-beta-xylanase Z precursor ^b | Adjacent codon |
| Isoquinoline 1-oxidoreductase beta subunit ^b | 2 codons away |
| LSU ribosomal protein L4p (L1e) ^b | Same codon |
| Chaperone protein (DnaJ) ^c | Adjacent codon |
| Probable transcription regulator protein of MDR efflux pump cluster ^d | 2 codons away |

a Loci additionally mutated 1 more time. Additional mutation is synonymous.

b Loci additionally mutated 1 more time. Additional mutation is non-synonymous.

c Locus additionally mutated 2 more times. All non-synonymous mutations.

d Locus additionally mutated 5 more times. All non-synonymous mutations.

936 **Figure Legends**

937

938 **Fig 1. Time course of *B. multivorans* infection in study patient CF170.** A total of 111 *B.*
939 *multivorans* isolates from twelve collection times were used in this study (1 isolate from the
940 initial infection, 10 isolates from each of 10 sputum samples collected during chronic infection,
941 and 10 isolates from a sputum sample obtained during a post-transplant infection). Antibiotic
942 treatment history during the chronic infection period is shown in the lower panel. Black bars
943 indicate antibiotic administration, while hashed bars indicate intermittent exposure in that time
944 block (only relevant prior to the start of chronic sampling). The method of antibiotic
945 administration is shown as intravenous (iv), inhaled (inh), or oral (po).

946

947 **Fig 2. Genomic Characterization of 111 *B multivorans* isolates.** (A) Contigs (gray outer ring)
948 of the *de novo* reference were arranged according to the three chromosomes of the complete
949 genome of *B. multivorans* ATCC 17616. This genome was obtained from expectorated sputum
950 collected in the third chronic infection sample. (B) Genome annotation according to RAST. (C)
951 SNP count per 10 Kb as a function of their location in the contigs. Non-synonymous (orange),
952 synonymous (yellow), putative regulatory (dark grey) and intergenic (light grey). (D) Indel (blue)
953 count per 10 Kb. (E) Recombinogenic regions, as predicted by DnaSP Hudson-Kaplan four
954 gamete test, are shown as red blocks. (F) Variants Associated with Antibiotic Resistance. From
955 outermost to innermost ring: aztreonam and ceftazidime (β -lactam), amikacin and tobramycin
956 (aminoglycoside), and ciprofloxacin (quinolone). This figure was prepared with circus v. 0.69
957 [69].

958

959 **Fig 3. Population structure and antibiotic resistance profiles.** (A) Phylogenetic relationships
960 of the 111 *B. multivorans* isolates were estimated employing a Bayesian approach based on
961 genome-wide single nucleotide polymorphisms (SNPs). (B) Time of collection for each isolate.
962 (C) Population structure analysis as assessed by Structure v2.3.4 with three expected ancestral
963 subpopulations. Ancestral subpopulations are coded as red (R), blue (B), and green (G). (D)
964 Isolates are grouped based on their ancestral composition. Group R, B, G, RB, and RBG are
965 shaded in red, blue, green, purple, and grey respectively. (E) Antibiotic susceptibility for each
966 isolate, the highest black circle represents the MIC ($\mu\text{g/mL}$), to the β -lactams: aztreonam and
967 ceftazidime, the aminoglycosides: amikacin and tobramycin, and the quinolone: ciprofloxacin
968 are shown as filled circles at six different concentration thresholds. This figure was elaborated at
969 the interactive tree of life (iTOL) website v. 3 [70].

970

971 **Fig 4. Population genomics of the community over time.** Groups R, B, G, RB, and RBG are
972 coloured in red, blue, green, purple, and grey respectively. (A) Frequency of each group over
973 time. (B) The clonal graph was created with the assumption that RGB is the group of isolates
974 resembling the ancestor of all the isolates, and RB is the group of isolates resembling the
975 ancestor of group R and B. The distance between sample times is relative to the actual number
976 of days between them. This plot was created using fishplot v. 0.3 [71].

977

978 **Fig 5. Distribution of pathoadaptive variants in recombinogenic regions of the genome.**
979 (A) Distribution of the mutations associated with the tested antibiotics in the identified
980 recombinogenic regions and in the rest of the genome (** $p < 0.0001$, chi square test with
981 multiple test correction). (B) Distribution of the mutations in multi-mutated loci in the identified
982 recombinogenic regions and in the rest of the genome (** $p < 0.001$, chi square test with
983 multiple test correction).

984

985 **Supporting Information**

986

987 **S1 Fig. Sequencing coverage.** Whole genome sequencing of 111 isolates of *B. multivorans* in
988 the Illumina platform. (A) Distribution of number of bases sequenced per isolate. (B) Distribution
989 of median read depth per position.

990

991 **S2 Fig. Genetic diversity over time.** (A) Pairwise nucleotide differences between isolates
992 collected from the same collection sample. Incident infection is not included since only one
993 isolate was recovered from that time point. (B) Nucleotide differences between each isolate and
994 the incident infection isolate.

995

996 **S3 Fig. Neighbor-Net phylogeny.** This network-based phylogeny was calculated in SplitsTree
997 v. 4.14.4. Individual strain names at the tips of each branch have been replaced with pie charts
998 indicating the distribution of dates during which the strains were sampled (indicated by the
999 circular legend).

1000

1001 **S4 Fig. Genetic diversity and selection analysis per group.** (A) Pairwise nucleotide
1002 differences between isolates from the same group based on ancestry. (B) d_N/d_S per group
1003 calculated including all SNPs and using only SNPs observed in multiple time points (MTP).
1004 d_N/d_S and the respective confidence intervals were calculated as described by Lieberman *et al.*
1005 [72]. (C). Time to Most Recent Common Ancestry (tMRCA) as estimated using the BEAST
1006 software for each group. The x axis represents the log of the years before the last sampling
1007 time. The whiskers for each data point show the 95% high probability density intervals.

1008

1009 **S5 Fig. SNP positions with identical distribution of reference or alternative bases across**
1010 **the strain collection are grouped into mutational profiles.** Here, “0”s and “1”s represent the
1011 reference or alternative base, respectively, at each SNP position for each strain. SNP1 is the
1012 only position where only Strain1 has a base alternative to the reference. Hence, mutational
1013 profile 1, 1-0-0-0, comprises only one SNP. On the other hand, Strain4 is the only strain with a
1014 variant base for positions SNP2 and SNP3. Therefore, mutational profile 2, 0-0-0-1, comprises
1015 SNP2 and SNP3.

1016

1017 **S6 Fig. Mutational profiles associated with antibiotic resistance.** (A) Maximum Likelihood
1018 phylogeny of 111 *B. multivorans* isolates was elaborated using RaxML v. 7.0.4 with a GTR +

1019 gamma model and 1,000 bootstraps [73]. Here, we show all mutation profiles associated with
1020 antibiotic resistance prior to lineage control in black and with lineage control in orange. (B)
1021 resistance to both β -lactams, (C) to amikacin only, (D) to both aminoglycosides, (E) to both
1022 aminoglycosides and to ciprofloxacin, (F) and to ciprofloxacin only. A filled circle represents a
1023 SNP call in the corresponding isolate compared to the reference.

1024

1025 **S7 Fig. Resistance levels at which genetic associations are statistically significant.**

1026 Mutational profiles were tested for association against six levels of antibiotic resistance (<16,
1027 <32, <64, <128, <256 and <512 MIC) to five antibiotics (amikacin, tobramycin, aztreonam,
1028 ceftazidime and ciprofloxacin). Black boxes show the levels of resistance at which the
1029 mutational profiles were statistically significant including multi-testing correction. Associations to
1030 ciprofloxacin antibiotic resistance are shown up to <128 MIC since no isolate had a MIC of 256
1031 or greater in relation to that antibiotic.

1032

1033 **S8 Fig. Mutations in *ampD* locus.** (A) Distribution of the PROVEAN scores of all identified
1034 non-synonymous substitutions highlighting SNPs in multi-mutated loci (yellow) and in the *ampD*
1035 gene (red or blue if associated to β -lactam resistance). Red lines represent thresholds from
1036 most specific (highest), to most sensitive (lowest) to determine if a mutation is deleterious to the
1037 function of the gene in which it occurs. (B) Crystal structure of protein product of AmpD (PDB
1038 ID:2Y2B, [74]) in complex with reaction products. Mutations found in our *B. multivorans*
1039 population are colored in red or blue (mutations associated with β -lactam resistance).

1040

1041 **S9 Fig. Population and single isolate sequencing.** Sequencing reads from each isolate from
1042 the post-transplant sample were rarified to 1/10th of the number of reads in the population
1043 sequencing experiment; then they were combined so that the number of reads would be the
1044 same for both experiments. Sequencing reads from the population and single isolate
1045 experiments were mapped to the same reference as described above. Mutation allele
1046 frequencies for both experiments were calculated using the quality thresholds described by
1047 Lieberman *et al.* [54]. (A) Grey circles represent mutation allele frequencies in the deep
1048 population sequencing experiment (y axis) versus in single isolate sequencing (x axis). The
1049 dashed line represents the $x=y$ function and the solid line is the best fit line taking into account
1050 all data points ($R^2=0.9928$, 95% confidence interval= 0.9918-0.9937). Red circles represent
1051 alleles found in the single isolate sequencing experiment but not in the deep sequencing one.

1052 Fixed mutations between the reference and all the post-transplant isolates are colored blue. (B)
1053 Proportion of false positives in the single isolate sequencing experiment.

1054

1055 **S10 Fig. Determining the number of ancestral populations that explain the variance and**
1056 **covariance in CF170 *B. multivorans* population.** (A) We ran three independent chains for
1057 each K between one and ten. The estimated ln probability of data plateaus at K=3 in all chains.

**Incident
Infection**
year 0

**Chronic
Infection**
year 6-7

**Post-Transplant
Infection**
year 10

N. Isolates
Collected

1 10 10 10 10 10 10 10 10 10 10 10 10

days between sampling times

* 34 34 41 34 36 25 6 17 30

β -lactams

Aztreonam (iv)

Aztreonam (inh)

Ceftazidime (iv)

Piperacillin-Tazobactam (iv)

Meropenem (iv)

Cefepime (iv)

Tetracyclines

Doxycycline (po)

Quinolone

Ciprofloxacin (po)

Aminoglycosides

Tobramycin (inh)

Macrolides

Azithromycin (po)

Polymyxins

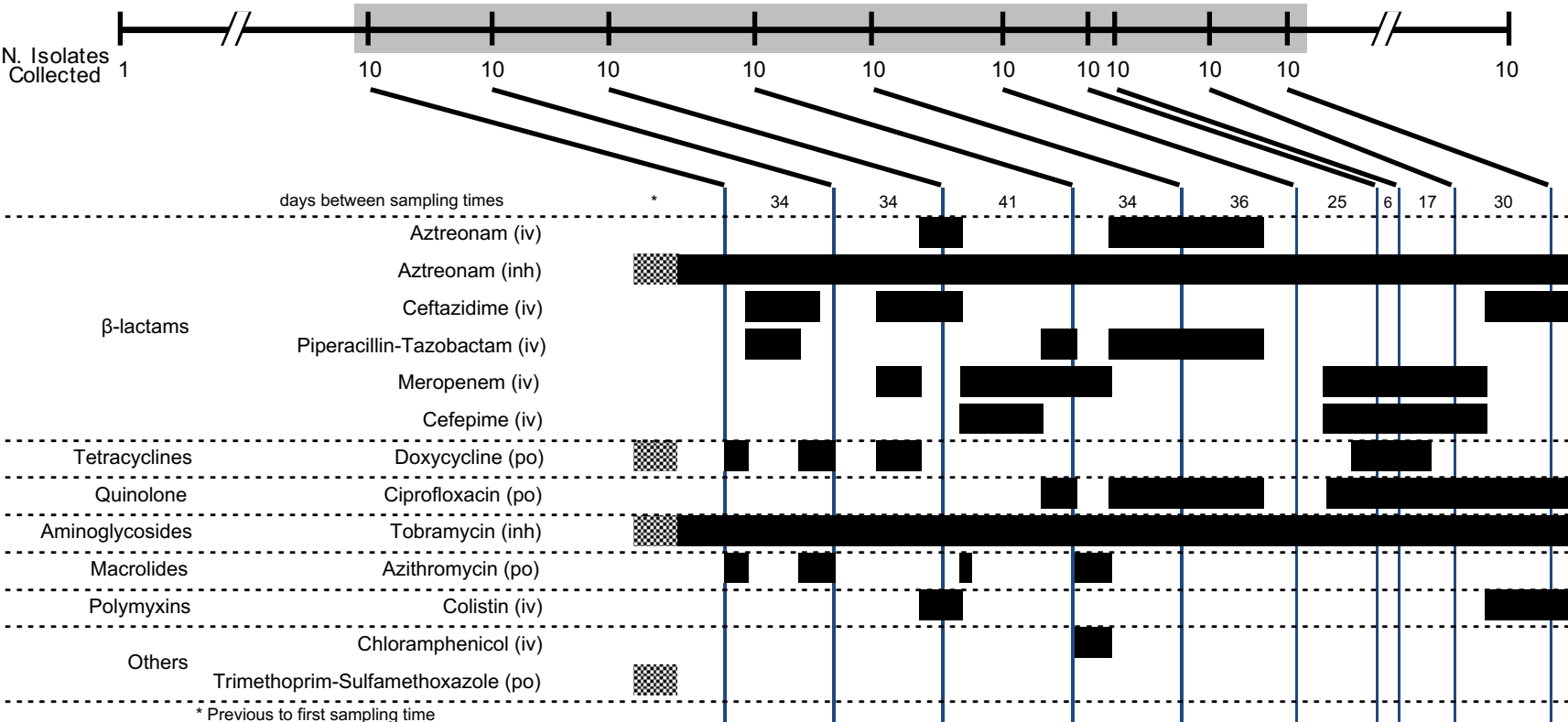
Colistin (iv)

Others

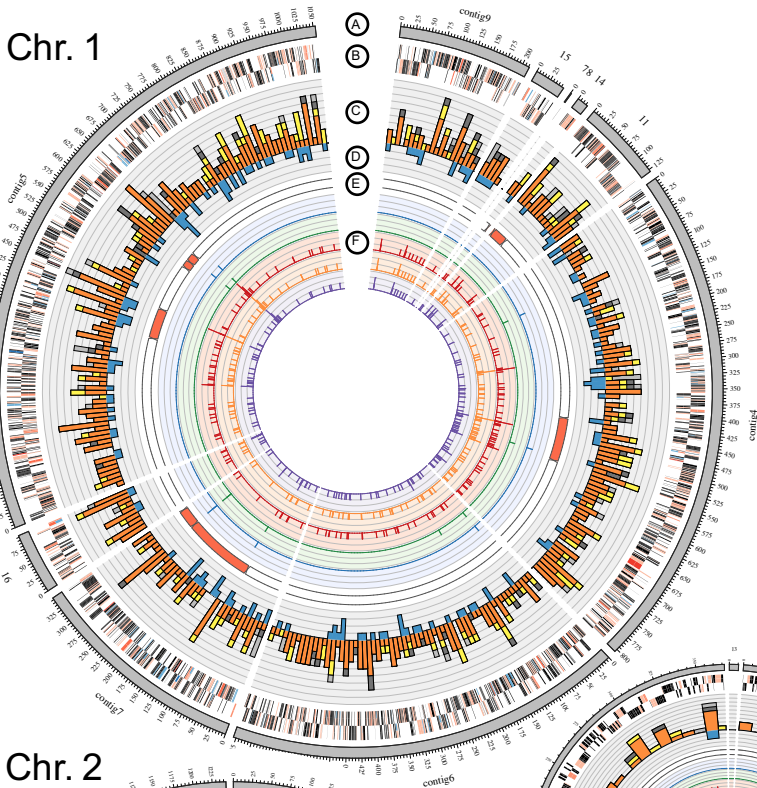
Chloramphenicol (iv)

Trimethoprim-Sulfamethoxazole (po)

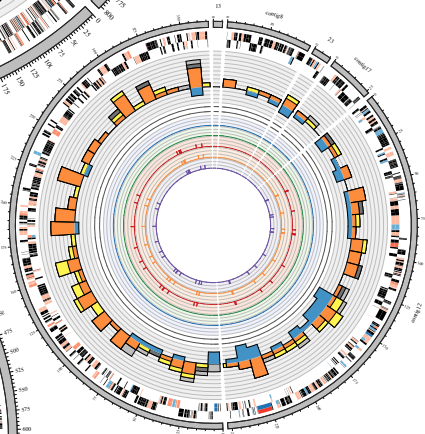
* Previous to first sampling time



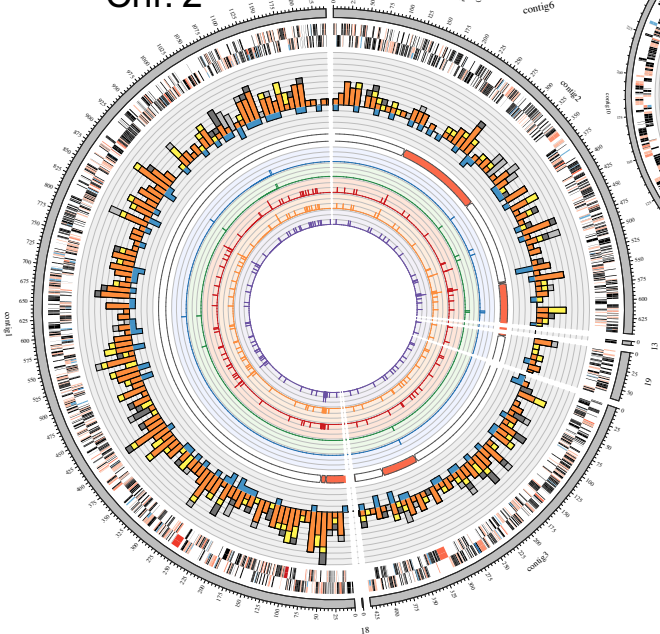
Chr. 1



Chr. 3

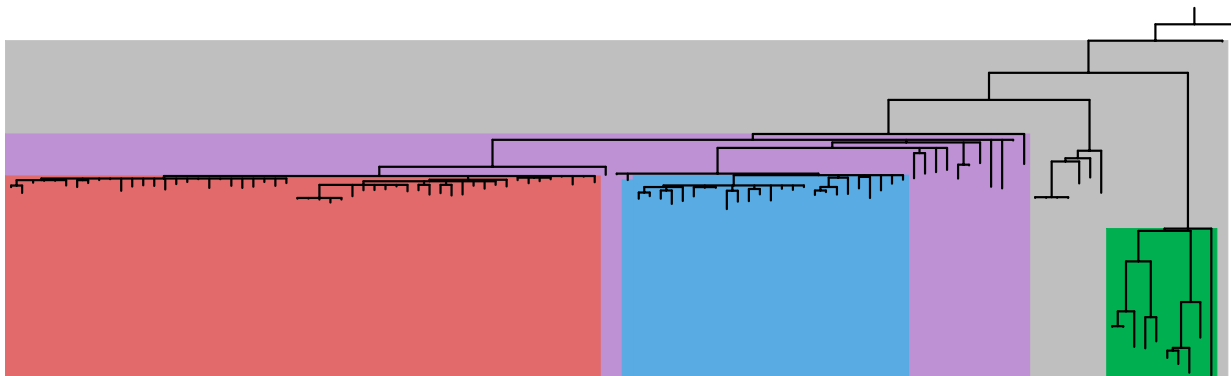


Chr. 2

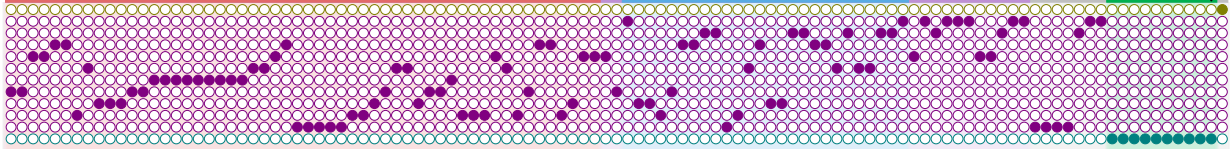


Tree scale: 0.1

A



B



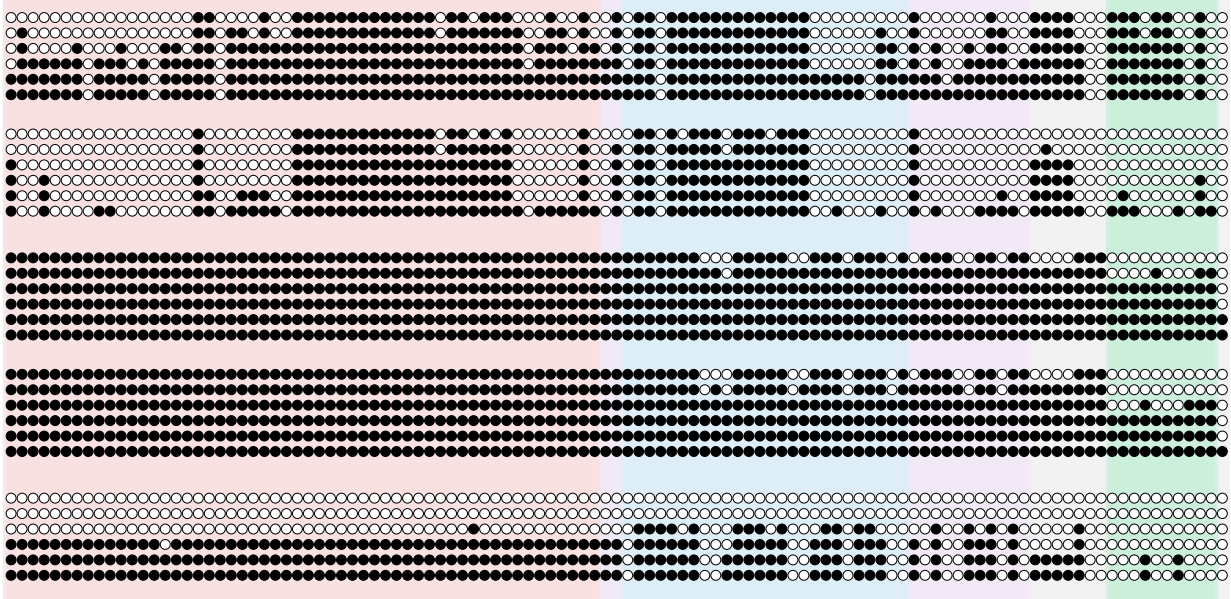
C



D



E



Collection Time

% Ancestral Contribution

Aztreonam

Ceftazidime

Amikacin

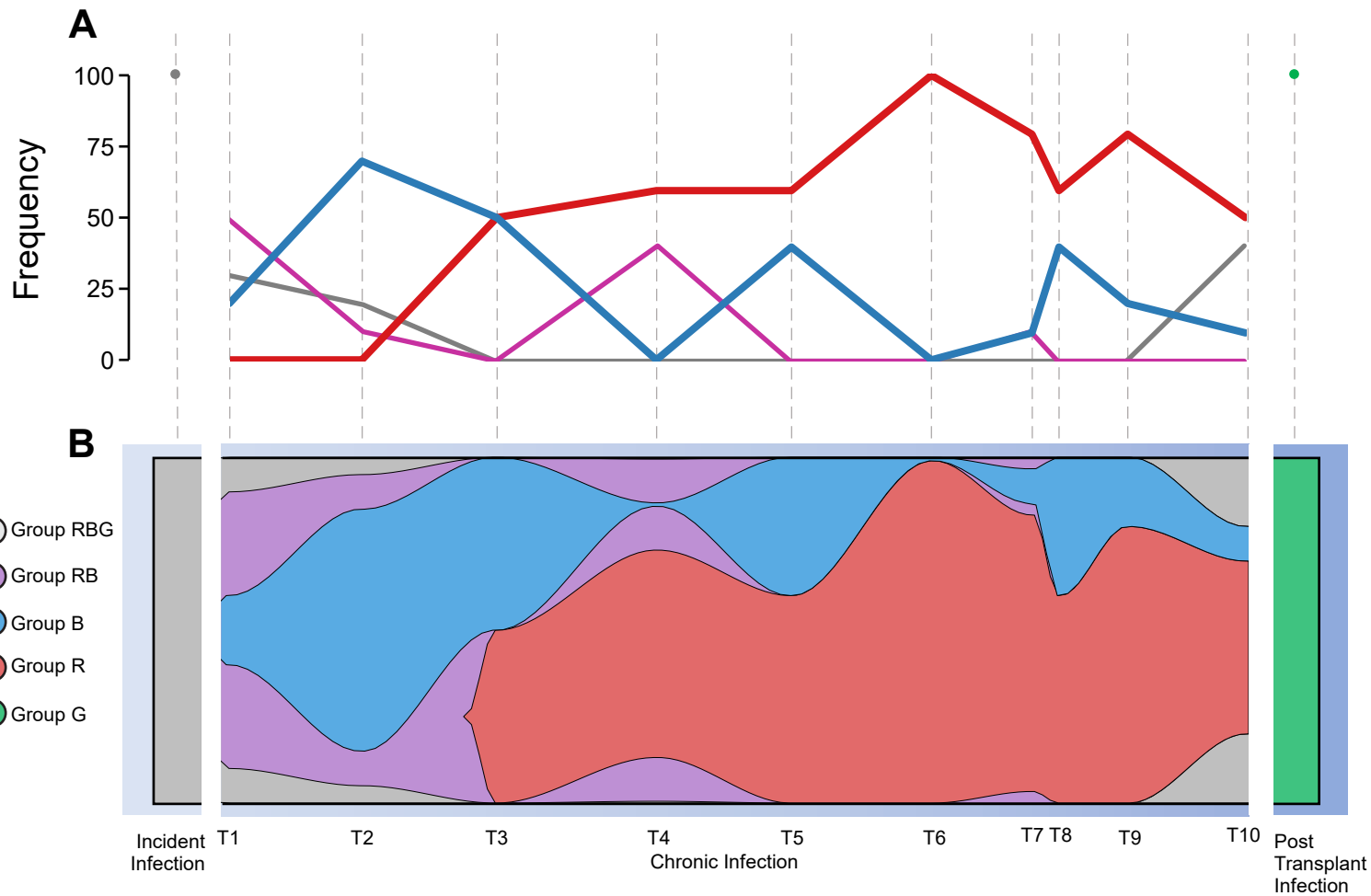
Tobramycin

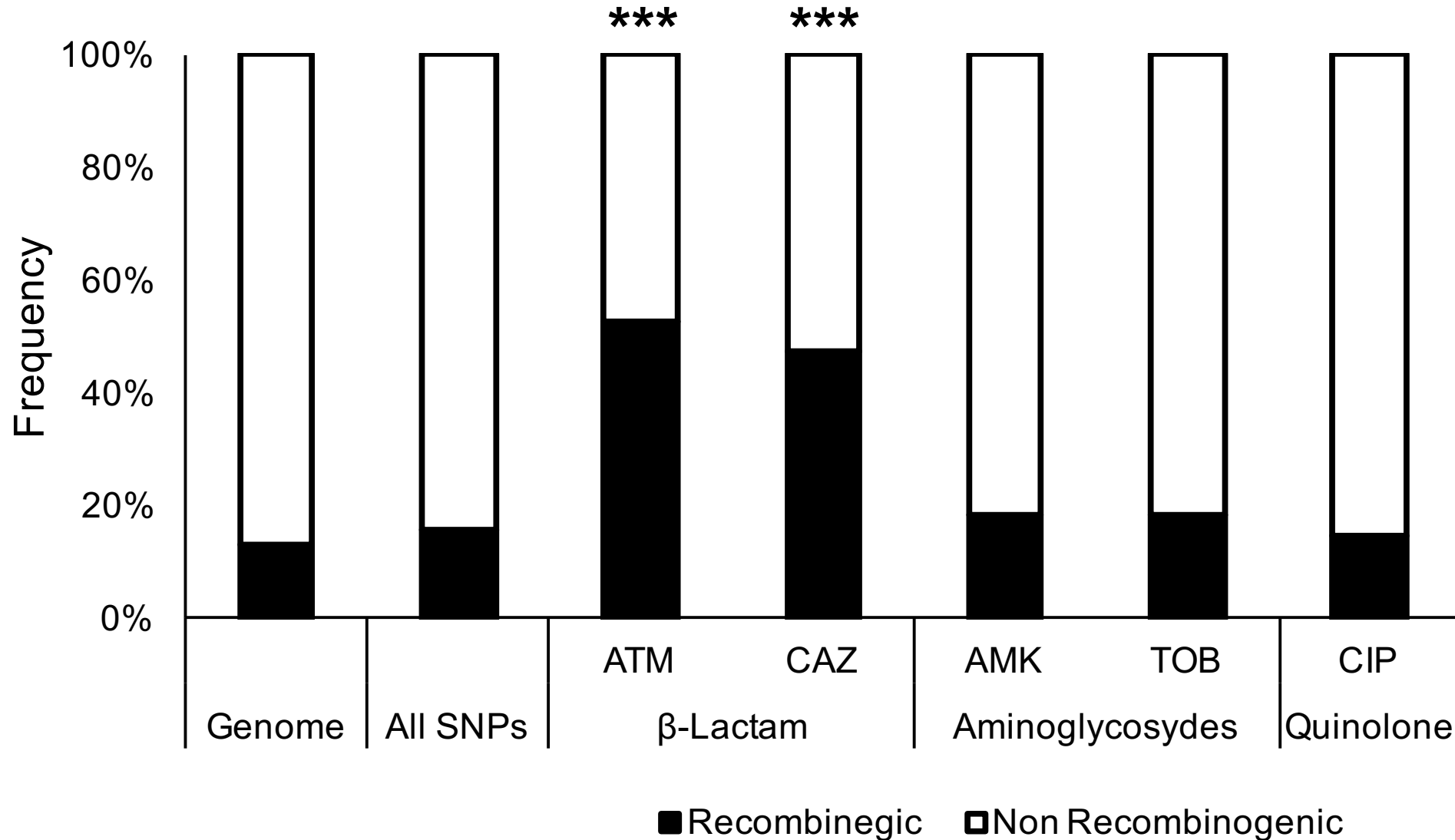
Ciprofloxacin

β -lactams

Aminoglycosides

Quinolone



A**B**